Multiple Origins for Phenylketonuria in Europe

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Summary

Phenylketonuria (PKU), ^a disorder of amino acid metabolism prevalent among Caucasians and other ethnic groups, is caused primarily by ^a deficiency of the hepatic enzyme phenylalanine hydroxylase (PAH). PKU is ^a highly heterogeneous disorder, with more than 60 molecular lesions identified in the PAH gene. The haplotype associations, relative frequencies, and distributions of five prevalent PAH mutations (R158Q, R261Q, IVSlOnt546, R408W, and IVS12ntl) were established in a comprehensive European sample population and subsequently were examined to determine the potential roles of several genetic mechanisms in explaining the present distribution of the major PKU alleles. Each of these five mutations was strongly associated with only one of the more than 70 chromosomal haplotypes defined by eight RFLPs in or near the PAH gene. These findings suggest that each of these mutations arose through ^a single founding event that occurred within time periods ranging from several hundred to several thousand years ago. From the significant differences observed in the relative frequencies and distributions of these five alleles throughout Europe, four of these putative founding events could be localized to specific ethnic subgroups. Together, these data suggest that there were multiple, geographically and ethnically distinct origins for PKU within the European population.

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Address for correspondence and reprints: R. C. Eisensmith, Phenylketonuria (PKU) is an autosomal recessive ge-Houston, Texas 77030.

Patic phenylalanine hydroxylase (PAH). PAH converts L-phenylalanine to L-tyrosine, and severe PAH

Ph.D., Department of Cell Biology, Baylor College of Medicine, netic disorder caused primarily by a deficiency of he-

deficiency results in an accumulation of L-phenylalanine in the serum. The primary symptom in individuals with PKU is severe, irreversible mental retardation. The frequency of PKU ranges from approximately ¹ in 2,600 in Turkey (Ozalp et al. 1986) to approximately ¹ in 120,000 inJapan (Aoki and Wada 1988). Overall, the frequency among Caucasians is approximately ¹ in 10,000 (Bickel et al. 1981), corresponding to a carrier frequency of about ¹ in 50 (for review, see Scriver et al. 1989). Extensive RFLPs have been identified at the human PAH locus (Woo et al. 1983; Lidsky et al. 1985). The differences in the relative frequencies and distributions of various RFLP haplotypes among normal and mutant PAH genes in different European populations provided the foundation for molecular genetic analysis of PKU among Caucasians. The stong associations between some haplotypes and mutant chromosomes suggested that these haplotypes contained ^a single, predominant PAH mutation, similar to previous observations at the β -globin locus (for review, see Orkin and Kazazian 1984).

Direct molecular analysis of mutant PAH chromosomes has since identified the IVS12nt1 splicing mutation on mutant haplotype 3 chromosomes (DiLella et al. 1986), the R408W missense mutation on mutant haplotype 2 chromosomes (DiLella et al. 1987), and the IVS10nt546 splicing mutation on chromosomes of mutant haplotype 6 (Dasovich et al. 1991; Kalaydjieva et al. 1991) and some other haplotypes (Dworniczak et al. 1991a; Kalaydjieva et al. 1991). Similar studies on chromosomes of haplotypes well represented among both normal and mutant chromosomes have identified at least 14 putative PAH mutations associated with mutant haplotype ¹ chromosomes and at least 15 putative mutations associated with mutant haplotype 4 chromosomes (for reviews, see John et al. 1990; Eisensmith and Woo 1991, 1992a; Konecki and Lichter-Konecki 1991; Scriver et al., in press). The most common mutation yet observed on haplotype ¹ chromosomes in European populations is the R261Q mutation (Abadie et al. 1989; Okano et al. 1990), while the most common mutation yet observed on haplotype 4 chromosomes is the R158Q mutation (Dworniczak et al. 1989; Okano et al. 1990).

Since the discovery of PKU more than 50 years ago (Folling 1934), one interesting question has been how this autosomal recessive disorder attained its present frequency in human populations. The nearly absolute associations initially observed between certain PAH mutations and specific RFLP haplotypes in discrete European populations suggested that the present distribution of mutant PAH chromosomes in Europe may be due to founder effect and drift. To test this hypothesis, we determined the relative frequencies and distributions of the major PAH mutations in ^a large number of European sample populations. These data were then used to examine the potential roles of several genetic mechanisms in explaining the present distribution of the major PKU alleles.

Material and Methods

Sample Collection

Venous blood samples from nuclear PKU families were collected at 19 different clinics in 10 different European populations. Although nearly every hyperphenylalaninemic patient detected in the nationwide newborn screening program was included in the Swedish sample population, only patients with mild or classical PKU collected by one or more regional centers were included in the other sample populations. In addition, the number of samples collected within each of these countries was generally not proportional to the the incidence of PKU, or to the size of these populations, relative to the total European population. Thus, only relative frequencies could be established, with some possibility for sampling errors.

Determination of Normal and Mutant RFLP Haplotypes at the PAH Locus

RFLP analysis of genomic DNA isolated from venous blood samples was performed by digestion with seven specific restriction endonucleases, followed by Southern blot analysis using the full-length human PAH cDNA clone (phPAH 247) as ^a hybridization probe. Haplotypes of individual chromosomes were determined from the RFLPs as described elsewhere (Chakraborty et al. 1987). Haplotype data for normal chromosomes were derived from studies of those normal chromosomes present in PKU families, rather than in unaffected families, raising the possibility of some bias. However, previous haplotype analyses of the PAH and other loci have demonstrated that frequencies derived from this approach are unbiased estimates of their population values (Chakraborty 1986; Chakraborty et al. 1987). Associations between mutations and haplotypes were quantified by both D/D_{max} (Lewontin 1964) and D_s (Hill and Robertson 1968). The significance of the associations between normal or mutant chromosomes and RFLP haplotypes, and between PAH mutations and RFLP haplotypes, were determined by Fisher's exact test.

Detection of Known PAH Mutations by Allele-specific Oligonucleotide (ASO) Hybridization

The frequencies of five prevalent PAH mutations were determined in each European sample population by PCR amplification of the exonic regions of the PAH gene, followed by slot-blot hybridization using ASO probes according to conditions reported elsewhere (DiLella et al. 1988). All available samples from European populations were included in these experiments, regardless of whether the haplotypes were known or unknown. Thus, mutation frequency data were available on samples from English, Irish, Polish, Russian, and other populations that were not included in the haplotype studies.

Results

Relative Frequencies and Distributions of PAH RFLP Haplotypes

Of the ⁷¹ PAH RFLP haplotypes recorded to date (Woo 1988; Eisensmith and Woo 1992b), only 7 (haplotypes 1-7) accounted for over 80% of all mutant PAH chromosomes in most European populations (table 1). Haplotypes 3 and 6 were strongly associated with mutant chromosomes in several northern and southern European populations, respectively, while haplotype 2 was strongly associated with mutant chromosomes in many European populations. Haplotypes 5 and 7 were more often present on normal chromosomes in several European populations, especially in Germany, but haplotype 7 was associated with mutant chromosomes in Norway. Although haplotype 4 was more often found on normal chromosomes in some European populations, this haplotype and haplotype ¹ were common among both normal and mutant chromosomes in most European populations.

Haplotype Associations, Relative Frequencies, and Distributions of the Major PAH Mutations

Although over 60 mutations have been identified in the human PAH gene, only about 10 of these are present on a significant proportion of mutant chromosomes of haplotypes 1-7 in Caucasian populations (for review, see Eisensmith and Woo 1992a). The locations of five of these mutations (R158Q, R261Q, IVSl0ntS46, R408W, and IVS12ntl) are shown schematically in figure 1. Significant associations, as quantified by D/D_{max} (Lewontin 1964) and D_s (Hill and Robertson 1968), existed between these five PAH mutations and specific RFLP haplotypes in most European populations (table 2). The relative frequencies and distributions of these mutations in 14 European sample populations are presented in table 3.

Several different types of mutation/haplotype association were evident in this study. In one type of association, a specific mutation was linked to a single haplotype, but not all chromosomes of this haplotype contained a specific mutation. This type of mutation/ haplotype association is termed "exclusive," and the exclusive nature of this association is reflected in a perfect D/D_{max} value (D/D_{max} value = 100; table 2). In the second type of association, a specific mutation was again linked to a single haplotype, but in this case all chromosomes of this haplotype contained this mutation. This type of mutation/haplotype association is not only exclusive but is also termed "inclusive." The inclusive nature of this association is evident from a perfect D_s value ($D_s = 100$; table 2).

The IVSI 2nt I mutation. $-$ This mutation was present on about 95% of all mutant haplotype ³ chromosomes and on less than 0.5% of all non-haplotype ³ chromosomes (table 2). Thus, chromosomes containing the IVS12ntl mutation included nearly all mutant haplotype 3 chromosomes, and vice versa. Moreover, this association was strongly exclusive of other mutations and haplotypes, in that the IVS12ntl mutation was almost never found on chromosomes of other haplotypes; nor are other mutations found on the vast majority of mutant haplotype 3 chromosomes. The frequency gradient, shown in figure 2 (values in upper left quadrants), suggested that this mutation first occurred on a normal haplotype 3 chromosome in a Danish founding population and was subsequently spread into neighboring populations. The strong association observed between this mutation and haplotype 3 suggested that this founding event occurred within the past several hundred to few thousand years. It is unlikely that this frequency gradient was an artifact of sampling, since both newborn screening and sample collection are quite extensive within the Scandinavian countries where this mutation was most prevalent and where this gradient was already evident.

The R408W mutation. $-$ The association between the R408W mutation and haplotype 2 was also strongly inclusive, since this mutation was present on over 90% of all haplotype 2 chromosomes (table 2). This association was, however, less exclusive of other haplotypes, since the R408W mutation was also observed on a number of haplotype ¹ chromosomes, as first described byJohn et al. (1990). The R408W mutation

Relative Frequencies and Distributions of PAH Haplotypes in 14 European Sample Populations

Table 1

² Chakraborty et al. (1987).

^b Significant disequilibrium between normal or mutant chromosome and haplotype ($P < .05$, by Fisher's exact test).

"Significant disequilibrium between normal or mutant chromosome and haplotype ($P < .001$, by Fisher's exa

⁴ Apold et al. (1990).

e Significant disequilibrium between normal or mutant chromosome and haplotype ($P < .005$, by Fisher's exact test).

⁶ Svensson et al. (1991).

⁸ Rey et al. (1988).

^h Aulehla-Scholz et al. (1988), Herrmann et al. (1988), Lichter-Konecki et al. (1988), and Riess et al. (1988).

'Sullivan et al. (1989).

i Kalaydjieva et al. (1990).

^k Daiger et al. (1989a).

¹ Zygulska et al. (1991).

m Hofman et al. (1989). NA = not available; these data were combined into a single category representing haplotypes 5-12, rather than reported separately.

^a Dianzani et al. (1990).

^o Lichter-Konecki et al. (1989) and Stuhrmann et al. (1989).

Figure I Mutations in the human PAH gene. The locations of five PAH mutations common in some European populations are shown above the schematic representation of the exonic structure of the gene. The relative locations of other known PAH mutations are shown at the bottom of this diagram. The blackened squares (\blacksquare) denote the presence of missense mutations, the blackened circles (\lozenge) denote the presence of nonsense mutations, the asterisks (*) denote the presence of splicing mutations, the blackened triangles (A) denote the presence of deletions, and the unblackened squares \Box denote the presence of polymorphisms.

was most frequent in eastern European countries, where it accounted for about 50% or more of all mutant alleles (table 3 and fig. 2, values in upper right quadrants). Since haplotype 2 was most common among chromosomes in Czechoslovakia, it is more likely that the R408W mutation initially occurred on a haplotype 2 chromosome within this population, although the absence of haplotype and frequency data from the more eastern regions of the Russian and other republics of the former Soviet Union precluded a truly precise localization of a putative founding population. The absence of this mutation from haplotype 2 chromosomes in Chinese or Japanese populations (T. Wang, unpublished observation) suggested that the founding event that introduced the R408W mutation onto haplotype 2 chromosomes was unique to caucasoid peoples. The strong association still present between this mutation and haplotype 2 suggested that this founding event also occurred within the past few millennia.

The IVS10nt546 mutation. $-$ The association between the IVS10nt546 mutation and haplotype 6 was less exclusive of other mutations, since this mutation was present on only 85% of haplotype 6 chromosomes in Europe, but was strongly exclusive of other haplotypes, since this mutation was present on less than 0.5% of all non-haplotype 6 chromosomes in these sample populations (table 2). Similar to the previous mutations examined, the strong mutation/haplotype association suggested that the IVS10nt546 mutation also occurred fairly recently, within the past few thousand years. IVS1Ont546 was the most common muta-

tion yet reported in southern European populations (table 3). This mutation has also been observed in the Spanish population, where it accounts for 11% of all mutant alleles (Perez et al. 1992), and on a majority of the mutant haplotype 6 chromosomes in the Bulgarian population (Kalaydjieva et al. 1991). The distribution of this mutation (fig. 2, values in lower left quadrants) was certainly suggestive of a Turkish origin, with a subsequent spread throughout the Mediterranean basin. However, a more recent examination of the distribution of this mutation within the Italian population has shown that this allele is present primarily in regions that had been settled by Italian peoples prior to 1000 B.C. and not in regions settled by Turks or other Middle Eastern groups (Romano et al., in press), suggesting an Italian founding population for this mutation. The frequency of this allele in the Turkish population would then be a reflection of the high degree of consanguinity which has increased the incidence of PKU in this population (Ozalp et al. 1986).

The $R261Q$ mutation. $-$ The association between the R261Q mutation and haplotype 1 was strongly exclusive, since this mutation was not present on chromosomes of other haplotypes in these sample populations, but was not inclusive of all mutant haplotype ¹ chromosomes. This lack of inclusivity suggested that the event creating the R261Q mutation occurred well after the events mediating the origin of haplotype 1. Since this mutation was relatively frequent in both Switzerland and Turkey but rare in most other populations (table 3 and fig. 2, values in lower right quadrants), it could either have occurred in a single found-

Associations between PAH Mutations and RFLP Haplotypes

determined..
• Significant disequilibrium between mutation and haplotype ($P < .05$ by Fisher's exact test).
• Significant disequilibrium between mutation and haplotype ($P < .001$ by Fisher's exact test).
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Table 2

Table 3

Relative Frequencies and Distributions of PAH Mutations in Europe

Region and Country	R158Q [*]	R261Q	IVS10nt546	R408W	IVS12nt1
Northern Europe:					
Denmark $\dots\dots\dots\dots$	4/150(2.7)	4/150(2.7)	6/150(4.0)	32/150(21.3)	67/150 (44.7)
Norway	2/94(2.1)	7/94(7.4)	0/94(0)	15/94(16.0)	18/94(19.0)
Sweden	3/178(1.7)	3/178(1.7)	0/178(0)	39/178 (21.9)	28/178 (15.7)
Western Europe:					
England	2/38(5.3)	2/38(5.3)	0/38(0)	4/38(10.5)	6/38(15.8)
France	ND.	10/127(7.8)	7/88(8.0)	9/127(7.0)	16/127(12.5)
Germany	12/202(5.9)	12/202(5.9)	5/202(2.5)	52/202(25.7)	32/202 (15.8)
Ireland $\dots\dots\dots\dots\dots$	0/36(0)	0/36(0)	5/36(13.9)	13/36(36.1)	1/36(2.8)
Switzerland	2/50(4.0)	16/50(32.0)	2/50(4.0)	3/50(6.0)	2/50(4.0)
Eastern Europe:					
Czechoslovakia	2/36(5.6)	1/36(2.8)	0/34(0.0)	22/36(61.1)	0/36(0)
Hungary	5/70(7.1)	1/70(1.4)	2/70(2.9)	34/70 (48.6)	3/70(4.3)
Poland	ND.	0/26(0)	1/26(3.8)	17/26(65.4)	0/26(0)
Russia	8/220(3.6)	2/156(1.3)	4/330(1.2)	133/218 (61.0)	3/156(1.9)
Southern Europe:					
Italy	0/72(0)	2/72(2.8)	9/72(12.5)	1/72(1.4)	2/72(2.8)
$Turkey$	4/83(4.8)	8/83(9.6)	31/79(39.2)	1/83(1.2)	0/83(0)

NOTE.-Fractions are number of positive alleles divided by total number of alleles examined.

 a ND = not determined.

Figure 2 Map of the relative frequency distributions of the four PAH mutations common to some European populations. The numbers in the four quadrants of each box correspond to the percentages of PKU chromosomes containing the IVS12ntl (upper left), R408W (upper right), IVSlOntS46 (lower left), and R261Q (lower right) mutations.

ing population-with its frequency increased in Switzerland and, to a lesser extent, in Turkey through genetic drift-or it could have occurred independently in these two populations. The strong association of this mutation with haplotype 1, especially in Turkey, where haplotype ¹ was relatively rare among normal alleles, suggested that recurrence is unlikely. This conclusion is further supported by the more recent finding of a strong association between this mutation and a single VNTR allele present on the polymorphic haplotype ¹ background in these two populations (Goltsov et al. 1992).

The R158Q mutation. $-$ The association of the R158Q mutation with haplotype 4 was very similar to that seen between the R261Q mutation and haplotype 1, in that it was absolutely exclusive $(D/D_{\text{max}} = 100\%)$ but not inclusive (only about 20% of haplotype 4 chromosomes contained the R158Q mutation). Again, since this mutation also involves ^a CpG dinucleotide, it is unlikely that such a strong mutation/haplotype association could be the result of recurrence of this mutation in different European populations; rather, it reflects the occurrence of a single, relatively recent founding event. In contrast to the other mutations examined in this study, there was no clear spatial pattern that provides any insight into the origin of this mutation (table 3). The effects of genetic drift on the low frequency of this mutation have obliterated all evidence concerning a possible location for a putative founding population.

Discussion

There are five principal means whereby autosomal recessive disorders may achieve high frequencies in humans: (1) founder effect and drift, (2) heterozygote selection, (3) elevated mutation rate, (4) reproductive compensation, and (5) the involvement of multiple loci that confer the same disease phenotype. Multiple loci can be discounted in PKU, as defects in the PAH gene account for more than 95% of all cases. There is also no evidence for reproductive compensation, although possible effects of this mechanism during earlier time periods cannot be completely dismissed. There is evidence that some PKU genotypes are the result of recurrent mutation (John et al. 1990; Okano et al. 1990; Tsai et al. 1990; Dworniczak et al. 1991b). In each case, these mutations involve CpG dinucleotides that are apparent hot spots for mutations in the mammalian genome (Cooper and Youssoufian 1988) and the PAH gene (Abadie et al. 1989). However, elevated mutation rate can be discounted as the principal cause of PKU, since a majority of patients in most populations bear a limited number of relatively common mutant alleles. Founder effect and drift would require the presence of a large number of distinct founding populations, since PKU occurs in ^a large number of different ethnic groups. This mechanism has been proposed to account for the frequency of a deletion mutation among Yemenite Jews (Avigad et al. 1990) and for the M1V (John et al. 1989; Lyonnet et al. 1992) and R408W (John et al. 1990) alleles in French-Canadians. The data collected in the present study suggest that this mechanism may also have played an important role in determining the current distribution of the major PKU alleles in European populations, since five of the most predominant PAH mutations are each strongly associated with a single RFLP haplotypes. Heterozygote advantage remains a persistently attractive mechanism in accounting for the frequency of PKU. However, PKU is much less prevalent than other genetic disorders, such as the globin disorders, where this mechanism has also been invoked. Thus, if heterozygote advantage does exist in PKU, its effect may be too small to detect or define. Of course, it is also possible that such selection has existed in the past but is no longer active. In either case, this selective advantage must have existed in regions where there were significantly different climatic, cultural, and dietary conditions, since PKU is present at ^a relatively high frequency not only in European populations but in some Asian and Middle Eastern populations as well (Liu and Zuo 1986; Daiger et al. 1989b).

The frequency distributions of these major mutant PAH alleles are similar to those observed in studies of other genetic markers in European populations (Menozzi et al. 1978; Sokal et al. 1991). For example, Menozzi et al. (1978) demonstrated several principal axes along which the frequencies of several blood group markers varied. One major axis ran from southeast to northwest, and those authors proposed that this dine reflected the gene flow that accompanied the introduction of early agricultural methods 5,000-10,000 years ago. This frequency gradient is roughly similar to that observed for the IVSlOntS46 mutant allele in the present study, which had a high frequency in Mediterranean and Middle Eastern populations and which had diminished frequencies in northern and western populations. A second cline, running from east to west, was observed by both Menozzi et al. (1978) and by Sokal et al. (1991) in ^a study of the ABO protein markers among Europeans. These gradients are very similar to that observed in the present study for the R408W mutation on haplotype 2 chromosomes. The underlying causes of this east-west dine are less clear but may reflect the migrations of early Slavic or Germanic peoples in the middle of the first millenium A.D. The frequency distribution of the IVS12ntl PAH mutation does not conform to any of the patterns previously observed by Menozzi et al. or Sokal et al. but does bear a strong resemblance to that observed for the AF508 CF mutation in studies compiled and reported by DeVoto and co-workers (European Working Group on CF Genetics 1990), suggesting that human migration rather than selection may have been the most important factor in the spread of these alleles.

One remaining question is the relative time frame when these PAH mutations first occurred in these putative founding populations. While PKU for decades was considered primarily a Caucasian disorder, recent newborn screening studies for PKU in China have established an incidence (about ¹ in 16,500 births; Liu and Zuo 1986) similar to that in Caucasian populations. RFLP haplotype analysis of the PAH locus among Orientals has indicated a distribution of haplotypes distinct from that of Caucasians, suggesting a fundamental difference in the genetic basis of PKU in the two races. This conclusion is further supported by ^a number of studies of PAH mutations in Chinese PKU patients (Tsai et al. 1990; Wang et al. 1989, 1991a, 1991b, 1991 c , 1992), which indicate that only 2 of the 20 or so PAH mutations that account for over 70% of all mutant alleles in Orientals are present in both races. The two exceptions, the R261X (Shirahase et al. 1991) and R408W (Tsai et al. 1990) mutations, occur on different haplotype backgrounds and are likely to be the result of recurrent mutation. It can thus be concluded that at least ^a most, if not all, PAH mutations have occurred after the divergence of the caucasoid and the mongoloid peoples. Further refinement of the time frames of the mutational events that occurred in these founding groups will require much more detailed observations, not only from studies of PAH alleles but also from ^a large number of independent markers.

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