# Intermediates of Adeno-Associated Virus Type 2 Assembly: Identification of Soluble Complexes Containing Rep and Cap Proteins

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The proteins encoded by the adeno-associated virus type 2 (AAV-2) rep and cap genes obtained during a productive infection of HeLa cells with AAV-2 and adenovirus type 2 were fractionated according to solubility, cellular localization, and sedimentation properties. The majority of Rep and Cap proteins accumulated in the nucleus, where they distributed into a soluble and an insoluble fraction. Analysis of the soluble nuclear fraction of capsid proteins by sucrose density gradients showed that they formed at least three steady-state pools: a monomer pool sedimenting at about 6S, a pool of oligomeric intermediates sedimenting between 10 and 15S, and a broad pool of assembly products with a peak between 60 and 110S, the known sedimentation positions of empty and full capsids. While the soluble nuclear monomer and oligomer pool contained predominantly only two capsid proteins, the 30 to 180S assembly products contained VP1, VP2, and VP3 in a stoichiometry similar to that of purified virions. They probably represent different intermediates in capsid assembly, DNA encapsidation, and capsid maturation. In contrast, the cytoplasmic fraction of capsid proteins showed a pattern of oligomers continuously increasing in size without a defined peak, suggesting that assembly of 60S particles occurs in the nucleus. Soluble nuclear Rep proteins were distributed over the whole sedimentation range, probably as a result of association with AAV DNA. Subfractions of the Rep proteins with defined sedimentation values were obtained in the soluble nuclear and cytoplasmic fractions. We were able to coimmunoprecipitate capsid proteins sedimenting between 60 and 110S with antibodies against Rep proteins, suggesting that they exist in common complexes possibly involved in AAV DNA packaging. Antibodies against the capsid proteins, however, precipitated Rep78 and Rep68 predominantly with a peak around 30S representing a second complex containing Rep and Cap proteins.

Adeno-associated virus type 2 (AAV-2) is a human parvovirus with a single-stranded DNA (ssDNA) genome of approximately 4.6 kb encapsidated in an icosahedral virion 20 to 24 nm in diameter (5, 37). Generally, AAV depends on coinfection with a helper virus (either adenovirus or herpesvirus) for efficient DNA replication (2, 4, 7). In the absence of helper virus coinfection, the AAV genome integrates into the host cell genome to establish a latent infection (21, 32). The AAV genome contains two major open reading frames flanked by the 145-bp inverted terminal repeats which serve as origins of replication (37). The right half of the genome codes for the three overlapping structural proteins VP1, VP2, and VP3, with relative molecular weights of 87,000, 72,000, and 62,000. The capsid proteins are produced from a 2.6-kb transcript of the AAV p40 promoter, which is spliced into two 2.3-kb mRNAs by using alternate splice acceptor sites (3, 9, 42). While VP1 can be expressed only from a translation initiation codon at nucleotide (nt) 2203 of one mRNA species, VP2 and VP3 can be expressed from both 2.3-kb RNAs by use of an ACG initiation site at nt 2615 or a downstream AUG at nt 2810. This means that the larger capsid proteins share most of their amino acid sequence with the smaller ones but contain additional amino-terminal sequences. They terminate 27 amino acids downstream of the originally proposed C terminus (30). The stoichiometry of capsid proteins in the mature virion of 1:1:10 for VP1:VP2:VP3 (8, 29) is believed to be generated by the relative abundance of alternatively spliced mRNAs and by the

\* Correspondingauthor.Mailingaddress:DeutschesKrebsforschungszentrum, Forschungsschwerpunkt Angewandte Tumorvirologie, Im Neuenheimer Feld 242, D-69120 Heidelberg, Germany. Phone: 49-6221-424978. Fax: 49-6221-424962. reduced translation initiation frequency at the ACG initiation codon for VP2 (23). The left half of the genome encodes four overlapping nonstructural proteins, Rep78, Rep68, Rep52, and Rep40. The mRNAs for the two larger proteins (Rep78 and Rep68) are transcribed from the p5 promoter and are translated from unspliced and spliced transcripts. Likewise, Rep52 and Rep40 are translated from unspliced and spliced transcripts which are generated from the p19 promoter located further downstream. The Rep proteins are required for AAV DNA replication and control of AAV gene expression (17, 22, 40, 41). Genetic and biochemical evidence suggests that Rep52 and Rep40 are not essential for viral double-stranded DNA replication but that they are required for efficient accumulation of progeny ssDNA (11, 25).

Myers and Carter (24) showed by pulse-chase labeling experiments that the newly synthesized AAV proteins are rapidly assembled into capsids which are packaged to yield mature particles in a slow process requiring several hours. Inhibition of DNA synthesis by hydroxyurea suggested that AAV replication is not required for DNA packaging. Genetic experiments indicated that VP2 and VP3 are sufficient and necessary for accumulation or sequestration of progeny ssDNA, whereas VP1 seems to be required for production of infectious particles (17, 34, 40). The requirement of all three capsid proteins for particle formation and ssDNA accumulation has also been reported (23). Studies with individually expressed capsid proteins in insect cells by using recombinant baculoviruses showed that VP2 is essential for assembly of viruslike particles in this system (31). The requirement for small Rep proteins for efficient ssDNA accumulation suggests that Rep and Cap proteins interact at some stage of AAV DNA packaging (11).

We were interested in the analysis of low-molecular-weight

assembly precursors and their localization in infected cells. Here we describe steady-state pools of AAV capsid and replication proteins obtained during AAV assembly in the nucleus and cytoplasm of HeLa cells infected with AAV-2 and adenovirus type 2 (Ad2). Our data show that although capsid protein oligomers were formed in the cytoplasm, assembly products with sedimentation properties typical for empty and full AAV capsids were detectable only in the nucleus. Unexpectedly, the soluble Rep proteins in the nucleus also showed high-molecular-weight oligomers. Immunoprecipitation of Rep and Cap proteins with specific antibodies demonstrated the presence of two types of common Rep and Cap protein-containing complexes.

# MATERIALS AND METHODS

Cell culture and virus infection. HeLa cells were grown in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal calf serum and penicillin-streptomycin at 37°C in 5% CO<sub>2</sub>. For later infection with AAV-2, cells were grown to 80% confluency. Then the medium was removed, and the cells were incubated for 2 h with AAV-2 (multiplicity of infection [MOI] of 20) and Ad2 (MOI of 2) in a volume of 2 to 3 ml of DMEM per 175-cm<sup>2</sup> flask. After the incubation period, DMEM was added and the cells were incubated at 37°C in 5% CO<sub>2</sub> for the indicated periods of time before harvesting.

**Preparation of virus stocks.** To generate AAV stocks, HeLa cells were infected with AAV-2 (MOI of 20) and Ad2 (MOI of 2) and incubated at 37°C in 5% CO<sub>2</sub> for 48 h. Then the flasks containing cells and medium were forzen and thawed three times at -70 and 37°C. Cells and medium were centrifuged at 5,000 ×  $g_{av}$ , and the supernatants were collected. Ad2 was inactivated by heating to 56°C for 30 min. AAV stocks were titrated by immunofluorescence staining of cells which had been infected with serial dilutions of AAV. Ad2 was added at an MOI of 2 to all serial dilutions. Immunofluorescence staining was performed with monoclonal antibody 76/3.

Preparation of cytoplasmic and nuclear extracts. All steps of the following protocol were done at 4°C, and all buffers contained 1 mM dithiothreitol and 1 mM phenylmethylsulfonyl fluoride. Between  $3 \times 10^7$  and  $1 \times 10^8$  cells were used for each preparation. Cells were harvested by scraping and then pelleted for 10 min at  $100 \times g_{av}$ . The pellet was resuspended in 10 ml of isotonic buffer (137 mM NaCl, 5 mM KCl, 0.3 mM Na2HPO4, 0.5 mM MgCl2, 0.7 mM CaCl2, 25 mM Tris-HCl [pH 7.5]) and centrifuged again for 10 min at  $100 \times g_{av}$ . This step was repeated three times. The cell pellets were then resuspended in 2.5 ml of hypotonic buffer (1 mM MgCl<sub>2</sub>, 0.25 mM CaCl<sub>2</sub>, 20 mM Tris-HCl [pH 7.5]) and incubated for 5 min. After the incubation, the cells were homogenized five times with a precooled type S Dounce homogenizer. Immediately after homogenization, 2.5 ml of sucrose buffer (0.6 M sucrose, 10 mM Tris-HCl [pH 7.5]) was added to restore isotonic conditions, and leupeptin and pepstatin were added to final concentrations of 3 and 1 µg/ml, respectively. The extract was layered onto a 6-ml sucrose cushion consisting of 1 part 0.2 mM KH<sub>2</sub>PO<sub>4</sub>, 350 mM sucrose, 5 parts 0.2 mM Na<sub>2</sub>HPO<sub>4</sub>, and 350 mM sucrose, adjusted to 150 mM NaCl. The cushion was centrifuged 15 min at  $3500 \times g_{av}$ . Then the supernatant containing the cytoplasmic fraction was dialyzed under vacuum against TNEM buffer (150 mM NaCl, 50 mM Tris-HCl [pH 8.0], 1 mM EDTA, 2 mM MgCl<sub>2</sub>) in order to reduce the volume to 1 to 2 ml and to reduce the sucrose concentration. These concentrated cytoplasmic extracts were precipitated either by addition of trichloroacetic acid (TCA; final concentration, 20%) or by addition of 9 volumes of acetone. Alternatively, they were further analyzed on sucrose gradients.

The pellets consisting of nuclei were resuspended in 1 to 2 ml of TNEM buffer and sonicated on ice with a Branson Sonifier (three times for 10 s in 1-min intervals). The insoluble components were removed by centrifugation for 15 min at 17,600  $\times g_{av}$ , and the supernatants were either precipitated or analyzed on sucrose gradients. For nuclease digestion of the soluble nuclear fraction, DNase I and RNase A were added to the supernatant to final concentrations of 50 and 25 µg/ml, respectively. Samples were incubated either at 12°C for 3 h or at 4°C overnight. After digestion, the samples were centrifuged for 15 min at 17,600  $\times$  $g_{av}$  at 4°C in order to remove proteins which might have precipitated during incubation. Then the supernatants were analyzed by sucrose gradient centrifugation and Western blotting (immunoblotting). Alternatively, the nuclei prepared from 5  $\times$  10<sup>7</sup> HeLa cells were incubated for 1 min in 1 ml of buffer containing 0.5% (wt/vol) Triton X-100, 10 mM Tris-HCl (pH 6.5), and 0.5 mM MgCl<sub>2</sub> at room temperature. After incubation, the lysate was centrifuged 10 min at 17,600  $\times g_{av}$ . The supernatant was collected, and the pellet was reextracted with the same buffer and then extracted with buffer A. The insoluble material was sedimented at 17,600  $\times$  g<sub>av</sub> for 10 min. All three supernatants were collected, and 0.5 ml thereof was analyzed on a sucrose gradient. Several buffers were tested for the ability to solubilize insoluble nuclear material prepared from AAV/Ad2coinfected HeLa cells as described above. Pellets of equal sizes were resuspended with the aid of a pipette in one of the following buffers: buffer H (a

high-salt buffer containing 1 M NaCl and 10 mM Tris-HCl [pH 7.5]), buffer L (a low-salt buffer containing 10 mM Tris [pH 7.5]), buffer T (Triton X-100 buffer containing 0.5% Triton X-100, 10 mM Tris-HCl [pH 7.5], and 0.5 mM MgCl<sub>2</sub>), buffer A (TNEM buffer), and buffer D (DNase digestion buffer containing 150 mM NaCl, 50 mM Tris-HCl [pH 8.0], 1 mM EDTA, 5 mM MnCl<sub>2</sub>, and 5 mM MgCl<sub>2</sub>). In the case of buffer D, DNase and RNase were added to final concentrations of 50 and 25  $\mu$ g/ml, respectively. Then the vials were incubated for 3 h at 12°C with occasional mixing. After incubations, all samples were centrifuged for 15 min at 17,600 ×  $g_{av}$  at 4°C. Pellets and supernatants were then analyzed by sodium dodecyl sulfate (SDS)-polyacrylamide gel electrophoresis (PAGE) and Western blotting for their contents of AAV proteins.

For characterization of antibodies by Western blotting, total cell lysates were prepared by sonification of cells in protein sample buffer followed by heating to 100°C for 5 min. For immunoprecipitation of metabolically labeled proteins,  $5 \times 10^5$  cells were infected as described above either with AAV-2 and Ad2 or with Ad2 alone. After 20 h, cells were incubated for 1 h in methionine-free DMEM and for a further 3 h with methionine-free DMEM containing 100 µCi of <sup>35</sup>S-Translabel mix (ICN Biochemicals). Cells were washed two times with phosphate-buffered saline (PBS) and lysed directly in the dish with 1 ml of radioimmunoprecipitation assay buffer (150 mM NaCl, 1% Nonidet P-40, 0.1% deoxycholate, 0.1% SDS, 50 mM Tris-HCl [pH 8.0]) for 15 min at 4°C. The lysates were centrifuged for 15 min at 17,600 ×  $g_{av}$  at 4°C, and the supernatants were immunoprecipitated as described below.

Sucrose gradients. Samples of 0.5 ml were loaded onto 10-ml sucrose gradients (5 to 30% sucrose in TNEM buffer) and centrifuged for 2 or 18 h at 160,000  $\times g_{av}$  at 4°C in a swing-out rotor. Fractions of 500 to 600  $\mu$ l (for Western blotting) or 1 ml (for immunoprecipitation) were collected from the bottom of the tubes. For SDS-PAGE and Western blotting, the fractions were precipitated by adding TCA to a final concentration of 20% and 10  $\mu$ g of RNase A as a precipitation aid. The following reference proteins were analyzed on parallel gradients: bovine serum albumin (6.5S), catalase (11S), thyroglobulin (19S), recombinant empty AAV VP2/3 capsids (31) (60S to 70S), and purified AAV particles (24) (110S).

**PAGE.** Protein samples were analyzed on 15% polyacrylamide gels in the presence of SDS (39). For Western blot analysis, proteins were electrophoretically transferred to nitrocellulose membranes by using semidry blotting equipment and stained with Ponceau S. Incubations with monoclonal antibodies or polyclonal antisera were performed as described elsewhere (16). Rep and Cap proteins were visualized by phosphatase-coupled secondary antibodies as described elsewhere (16) or by peroxidase-coupled secondary antibodies and enhanced chemiluminescence detection (ECL kit; Amersham International, Amersham, England) as described by the supplier.

**Immunoprecipitation experiments.** Gradient fractions (500 µl) were incubated overnight with 200 µl of hybridoma supernatant of monoclonal antibody 76/3, A69, or A20 or 2 µl of a polyclonal Rep antiserum raised in guinea pigs (RepM or RepA) at 4°C with 0.5% Nonidet P-40. After this incubation, 2 µl of a polyclonal goat anti-mouse antiserum was added to the samples with the monoclonal antibodies, and the mixture was incubated for 1 h. To remove nonspecific protein precipitations, the samples were then centrifuged for 5 min at 17,600 ×  $g_{av}$  at 4°C. The immunocomplexes were precipitated by addition of 30 µl of protein A-Sepharose (10% [wt/vol] in NETN [0.1 M NaCl, 1 mM EDTA, 20 mM Tris-HCl {pH 7.5}; 0.5% Nonidet P-40]). After 1 h of incubation, the sepharose beads were washed three times with 1 ml of NETN buffer, and the samples were boiled in protein loading buffer and analyzed by SDS-PAGE and Western blotting. All incubations were done at 4°C.

Antibodies. To generate monoclonal antibodies against AAV capsid proteins, two BALB/c mice were injected subcutaneously with 150  $\mu$ l of a mixture of gel-purified recombinant capsid proteins in PBS containing 100  $\mu$ g each of VP1, VP2, and VP3, mixed with an equal volume of complete Freund's adjuvant. After 4 weeks, the mice were boosted subcutaneously with 25  $\mu$ g of purified, UVinactivated AAV-2 in 50  $\mu$ l of PBS and 50  $\mu$ l of incomplete Freund's adjuvant. After 4 weeks, the mice were injected intraperitoneally with 10  $\mu$ g of UVinactivated AAV-2 in 100  $\mu$ l of PBS. Three days later, one mouse was killed and the spleen cells were fused with X63/Ag8 cells as described elsewhere (16). Resultant hybridoma culture supernatants were screened by Western blotting, immunofluorescence, and enzyme-linked immunofluorescence assay. The second mouse was immunized intraperitoneally 6 months later with 100  $\mu$ g of purified VP3 in PBS, and monoclonal antibodies were prepared as described above.

The same procedure was used to generate the monoclonal antibodies against recombinant Rep proteins. The only exception was that for immunization and booster injections, 100  $\mu$ g of an N-terminally truncated gel-purified Rep protein (RepM) was used as described below. For preparation of Rep antisera in guinea pigs, Rep78 which was mutated at K-340 to H (RepA) and an N-terminally truncated Rep starting at methionine 172 (RepM) were expressed in bacteria by using the pet8c vector system as described by Studier et al. (38). Inclusion bodies were prepared, and the Rep proteins were gel purified. Guinea pigs were sub-cutaneously injected with 100  $\mu$ g of gel-purified protein RepA or RepM in Freund's complete adjuvant and then given two booster injections at 3-week intervals with 100  $\mu$ g of gel-purified protein in Freund's incomplete adjuvant. Blood was collected 1 week after the second booster injection, and serum was prepared by standard techniques.



FIG. 1. Recovery of capsid and replication proteins from different subcellular fractions of HeLa cells infected with AAV-2 and Ad2. Nuclei (N) and cytoplasms (C) of infected HeLa cells were prepared 24 h postinfection, and equivalent amounts of soluble (Sup) and insoluble (Sed) material were analyzed by SDS-PAGE and Western blotting with monoclonal VP antibody B1 for detection of capsid proteins (a) and monoclonal antibody 303/9 for detection of Rep proteins (b). Immunoreaction was visualized by incubation with peroxidasecoupled secondary antibodies followed by enhanced chemiluminescence detection. The effects of precipitation of soluble proteins with acetone [(A)] and TCA [(T)] on formation of high-molecular-weight protein bands are shown in separate slots.

# RESULTS

Soluble and insoluble forms of Rep and Cap proteins in AAV-2/Ad2-infected HeLa cells. HeLa cells were infected with AAV-2 (MOI of 20) and Ad2 (MOI of 2) and harvested 24 h postinfection by preparation of nuclei and cytoplasms. Equivalent amounts of the soluble and insoluble fractions of both compartments were analyzed by SDS-PAGE and Western blotting for AAV capsid or replication proteins (Fig. 1). Larger amounts of capsid proteins were detected in the insoluble fraction of the nucleus than in the soluble fraction (Fig. 1a). The soluble cytoplasmic fraction represented only one-fourth or less of the total amount of capsid proteins recovered. The soluble nuclear capsid proteins precipitated by TCA showed a number of specifically immunoreactive high-molecular-weight polypeptides besides the expected VP1, VP2, and VP3 polypeptides, which were resistant to dissociation by the protein sample buffer [Fig. 1a, Sup N(T)]. These bands most probably result from irreversible cross-linking due to precipitation by addition of TCA, since they were not detected in the insoluble fraction (Fig. 1a, Sed N) or when the soluble nuclear proteins were precipitated by addition of acetone [Fig. 1a, Sup N(A)]. One high-molecular-weight polypeptide of approximately 100 kDa was detected in the nuclear sediment fraction without TCA precipitation (Fig. 1a). The four Rep proteins were also predominantly recovered in the insoluble portion of the nucleus and to a slightly lower extent in the soluble fraction (Fig. 1b). When precipitated with TCA, they sometimes also formed a high-molecular-weight smear. More than half of Rep52 and Rep40 and a variable amount of Rep78 and Rep68 were recovered in the soluble fraction of the cytoplasm. This distribution of large and small Rep proteins between nucleus and cytoplasm was also observed in earlier studies (43). The small amounts of pelletable cytoplasmic Rep and Cap proteins were qualitatively identical with the amounts in the insoluble nuclear fraction (data not shown) and most probably consisted of contaminating nuclear material which was not completely sedimented when nuclei and cytoplasms were separated. This fraction was therefore not included in the following analysis. A kinetic analysis of AAV protein synthesis after coinfection of HeLa cells with AAV-2 and Ad2 showed that the capsid and



FIG. 2. Sedimentation analysis of capsid and replication proteins by sucrose density gradient centrifugation in the range of 0 to 180S. At 24 h postinfection, the soluble proteins of nuclei (a and c) and cytoplasms (b and d) of HeLa cells coinfected with Ad2 and AAV-2 were prepared and analyzed by sedimentation through 5 to 30% sucrose gradients for 2 h at 160,000 ×  $g_{av}$  and 4°C. Fractions were analyzed by Western blotting with a VP antiserum mixed with monoclonal antibody A69, recognizing VP1 and VP2, to detect all capsid proteins with sufficient intensity (a and b), or with anti-Rep monoclonal antibody 303/9 (c and d). Immunocomplexes were visualized by incubation with peroxidase-coupled secondary antibodies followed by enhanced chemiluminescence (a and b) or with alkaline phosphatase-coupled secondary antibodies (c and d). S values were determined on parallel gradients by using purified virus (110S), empty VP2/VP3 particles (60S), and thyroglobulin (19S) as standards.

replication proteins were already detectable 9 h postinfection and reached their steady-state concentrations between 18 and 24 h postinfection (data not shown; see also reference 28). For the following analysis of assembly intermediates, we harvested the different cellular fractions around 20 h postinfection if not otherwise specified.

Sedimentation profiles of soluble Rep and Cap proteins obtained during a productive AAV infection. The soluble nuclear and cytoplasmic HeLa cell proteins harvested 24 h after infection with AAV-2 and Ad2 were fractionated by sucrose density gradient centrifugation designed to separate particles of up to 180S. Fractions were analyzed by Western blotting to detect the capsid and replication proteins. Figure 2 shows that most of the soluble nuclear capsid proteins sedimented between 60 and 110S, the sedimentation values of empty and full capsids (24). These fractions probably contained AAV capsids and also showed the SDS-stable high-molecular-weight aggregates when precipitated with TCA (Fig. 2a; see above). Only a relatively small amount of capsid proteins sedimented below 20S. In the cytoplasm, we observed a larger fraction of all three capsid proteins sedimenting below 20S and a peak of VP3 between 60 and 110S similar to that in the nucleus. This peak was variable in intensity (see Fig. 4) and was not detected in the cytoplasmic fraction at earlier time points postinfection. We assume that it consists of capsid proteins released from the nucleus. The soluble nuclear Rep proteins were distributed over the whole gradient, with slightly increasing concentrations of the four Rep proteins toward the bottom of the gradient



FIG. 3. Sedimentation analysis of capsid and replication proteins by sucrose density gradient centrifugation in the range of 0 to 20S. The soluble fractions of HeLa cell nuclei (a and c) and cytoplasms (b and d) were prepared 24 h postinfection and analyzed by sedimentation through 5 to 30% sucrose gradients for 18 h at 160,000 ×  $g_{av}$  and 4°C. Fractions were analyzed by Western blotting with a VP antiserum mixed with monoclonal antibody A69, recognizing VP1 and VP2, to detect all three capsid proteins with sufficient intensity (a and b). Rep proteins were detected by monoclonal antibody 303/9, which recognizes all four Rep proteins (c and d). Immunoreaction was visualized by incubation with peroxidase-coupled secondary antibodies followed by enhanced chemiluminescence detection (a and b) or with alkaline phosphatase-coupled secondary antibodies (c and d). S values were determined on separate gradients by using bovine serum albumin (6-SS), catalase (11S), and thyroglobulin (19S) as standards.

(Fig. 2c). A peak of Rep78 and Rep68 was obtained between 10 and 20S, and Rep52 and Rep40 sedimented at higher concentrations below 10S. Rep78 and Rep68 of the cytoplasmic fraction sedimented around 30S, whereas Rep52 and Rep40 predominantly sedimented below 10S.

Higher-resolution analysis of the fractions in the sedimentation range below 20S showed that the capsid proteins in the nucleus and cytoplasm sedimented as monomers around 6.5S, with oligomers extending up to 19S (Fig. 3a and b). In the nucleus, we observed a distinct oligomer peak presumably of VP2 and VP3 sedimenting on average between 10 and 15S, whereas in the cytoplasm, a continuous pattern of oligomers of VP1, VP2, and VP3 without a defined peak was found. The nuclear 10 to 15S oligomers could theoretically represent VP2/ VP3 pentamers which become incorporated into capsids. In this experiment, the amount of VP1 and VP2 is slightly overrepresented because of the enhancement of the VP1 and VP2 signal by addition of the VP1/VP2-specific monoclonal antibody A69 to the VP antiserum. Nevertheless we observed nearly no VP1 in the 6.5 and 10 to 15S fractions of the nucleus (see also Fig. 4). Analysis of the Rep proteins in the sedimentation range of 0 to 20S showed that there was no significant amount of monomeric Rep78 and Rep68. Rep40 in the nucleus was found in two size fractions: a low-molecular-weight form sedimenting below 6.5S and a higher-molecular-weight form sedimenting above 6.5S in fractions 6 to 8 forming a separate peak with a retarded migration in SDS-PAGE (Fig. 3c). Rep52 never showed a similar distribution. Rep52 and

Rep40 of the cytoplasmic fraction always sedimented in a broader distribution around the monomer position than in the nuclear fraction (Fig. 3d). Rep78 and Rep68 were recovered in the cytoplasm in variable concentrations, and the higher-resolution analysis below 20S yielded no new information compared with the low-resolution analysis.

Since Rep78 and Rep68 bind to specific sites of doublestranded DNA and nonspecifically to ssDNA (1, 18, 19, 26), it is possible that decomposition of the nuclei by sonication might have generated nonspecific DNA binding sites by DNA shearing, leading to the unexpected Rep protein sedimentation pattern. We therefore avoided shearing of the nuclear DNA by lysis without mechanical treatment in a buffer containing detergent. Sedimentation analysis of solubilized Rep proteins showed that Rep78 still existed in high-molecular-weight forms, although with a slightly different sedimentation pattern (data not shown), indicating that at least the high-molecularweight Rep78 forms are not artifacts of binding to sheared cellular DNA. Since we included detergent in the lysis buffer, we have probably dissociated the other Rep proteins from the complexes described above. DNA digestion experiments supported the notion that the Rep proteins are probably associated with replicating AAV DNA (data not shown; see also Fig. 5).

Changes of size of capsid protein pools with time. We compared the pools of cytoplasmic and nuclear capsid proteins obtained at an early (15 h) and a late (24 h) time point postinfection (Fig. 4). The pool of nuclear capsid proteins sedimenting above 60S increased with time, whereas the pool of cytoplasmic capsid protein oligomers decreased. This result implicates a conversion of the undefined oligomers in the cytoplasm into structures sedimenting in the range of empty and full capsids in the nucleus. As already mentioned, the lowmolecular-weight fractions in the nucleus contained hardly detectable amounts of VP1, whereas VP1 was readily detectable in cytoplasmic fractions. In this case, we used only monoclonal antibody B1, which recognizes all three capsid proteins (see Fig. 6c). Although the structures sedimenting around 60S clearly contained VP1, the stoichiometry of the three capsid proteins was different from the expected 1:1:10 ratio and showed a higher amount of VP2 (Fig. 4e). This changed stoichiometry of capsid proteins was accompanied by the appearance of a VP degradation product of approximately 10 kDa (Fig. 4a and c, arrowheads).

Sedimentation patterns of insoluble nuclear Rep and Cap proteins after solubilization. To determine whether the insoluble nuclear fractions of Rep and Cap proteins showed the same oligomers as the soluble fractions, we tried to solubilize them under different conditions (Fig. 5a and b). Treatment with low-salt buffers did not solubilize any Rep or Cap proteins compared with treatment with buffer A, whereas high-salt buffers solubilized a portion of both the structural and nonstructural proteins of AAV. Also, treatment with detergents solubilized both of them to a certain amount. Treatment with DNase and RNase solubilized about 50% of the Cap proteins and only marginal amounts of the Rep proteins, as shown by Western blotting of the same sample with Rep and VP antibodies (Fig. 5a and b, lanes D). We compared the oligomerization states of Rep and Cap proteins solubilized by treatment with DNase and RNase and by high-salt buffer (Fig. 5c to f). The most striking result was that we never observed Rep oligomers after DNase-RNase treatment (Fig. 5c), whereas the Rep proteins solubilized under high-salt conditions still showed some high-molecular-weight oligomers of Rep78 and Rep68, suggesting that association with DNA accounts for the sedimentation pattern of Rep78 and Rep68 (Fig. 5e). The



FIG. 4. Change of capsid protein pools harvested at different time points postinfection. HeLa cell nuclei (a, c, and e) and cytoplasms (b and d) were prepared 15 h (a and b) or 24 h (c, d, and e) postinfection, and the soluble fraction was analyzed by sucrose density gradient centrifugation (5 to 30% sucrose, 160,000 ×  $g_{av}$ , 4°C, 2 h). In panel e, fractions 8 to 17 of panel c are shown in an enlarged scale. Fractions were analyzed by Western blotting with monoclonal VP antibody B1. Immunoreaction was visualized by incubation with peroxidase-coupled secondary antibodies followed by enhanced chemiluminescence detection. S values were determined on parallel gradients by using purified virus (110S), empty VP2/3 particles (60S), and thyroglobulin (19S) as standards.

majority of capsid proteins solubilized by DNase-RNase treatment still sedimented between 60 and 110S (Fig. 5d). Solubilization under high-salt conditions probably led to some aggregation of the AAV capsids (Fig. 5f). Surprisingly, we recovered a proportionally larger amount of capsid proteins sedimenting below 20S from the insoluble nuclear fraction compared with the soluble fraction. In addition, this fraction also contained VP1 and showed the SDS-resistant cross-linking products.

**Immunoprecipitation of complexes containing Rep and Cap proteins.** To test whether Rep and Cap proteins which cosedimented in overlapping fractions of the sucrose gradients are components of common complexes, we performed coimmunoprecipitation experiments and visualized the precipitated proteins with Rep- or Cap-specific antibodies by Western blotting. For these experiments, we first analyzed the specificities of various antibodies. For detection of Rep by Western blotting, we used either monoclonal antibody 303/9 or a polyclonal antiserum raised in guinea pigs (RepM) (Fig. 6a). The Rep proteins were precipitated with monoclonal antibody 76/3, which recognizes only the unspliced Rep proteins in Western blotting (Fig. 6a), but also coprecipitated Rep68 from lysates of metabolically labeled cells infected with Ad2 and AAV-2



FIG. 5. Solubilization of insoluble nuclear fractions. Equal aliquots of insoluble nuclear fractions harvested 20 h postinfection were treated with different buffers and analyzed for solubilization of Rep (a) or VP (b) proteins. They were treated with buffer A (A), with DNase and RNase in buffer A supplemented with 3 mM MgCl<sub>2</sub> and 5 mM MnCl<sub>2</sub> (D), with low-salt buffer (L), with high-salt buffer (H), and with Triton buffer (T) as specified in Materials and Methods. After sedimentation of insoluble material, equal amounts of pellets (P) and supernatants (S) were analyzed by SDS-PAGE and Western blotting with monoclonal antibody 303/9 to detect the Rep proteins (a) and monoclonal VP antibody B1 to detect the capsid proteins (b). Fractions solubilized by treatment with DNase and RNase (c and d) and high-salt buffers (e and f) were analyzed by sucrose density gradient centrifugation as specified for Fig. 2 and 4. Gradients c and e show Rep proteins, and gradients d and f show the capsid proteins. Immunoreaction was developed by peroxidase-coupled secondary antibodies followed by enhanced chemiluminescence detection.

(Fig. 6b). Alternatively, we used the polyclonal guinea pig Rep antiserum RepM, (Fig. 6a), which efficiently precipitated Rep78, Rep68, and Rep52 but also a cellular or adenovirusencoded protein (Fig. 6b). Immunoprecipitation of lysates from infected [<sup>35</sup>S]methionine-labeled cells yielded only minimal amounts of Rep40, although this Rep protein is recognized by the antiserum. For detection of VP proteins, we used either a rabbit VP antiserum (31) or, in more recent experiments, monoclonal antibody B1, which recognizes all three VP proteins (Fig. 6c). The capsid proteins were precipitated with monoclonal antibody A69 or A20 (Fig. 6d). A69 reacts with the VP proteins also in Western blotting and is specific for VP1 and VP2 (Fig. 6c), whereas A20 does not recognize the capsid proteins by Western blotting (data not shown).



FIG. 6. Evaluation of the specificity of antibodies used for immunoprecipitation experiments. The Rep and VP antibodies were tested by Western blotting (a and c) and immunoprecipitation (b and d) of radiolabeled proteins from extracts of HeLa cells infected with Ad2 (HA) or coinfected with AAV-2 and Ad2 (HAA). Western blots were developed by alkaline phosphatase-conjugated secondary antibodies (a and c). Immunoprecipitates were visualized by fluorography (b and d). 76/3 and 303/9 denote monoclonal Rep antibodies, RepM indicates a polyclonal guinea pig antiserum directed against Rep. A69, A20, and B1 indicate monoclonal VP antibodies, and VP indicates the rabbit VP antiserum.

To analyze common complexes of Rep and Cap, we performed immunoprecipitations of gradient fractions with antibodies against either Rep (Fig. 7a and c) or Cap (Fig. 7e and g). The immunoprecipitates were subsequently analyzed by Western blotting with antibodies against Rep (Fig. 7c and e) or Cap (Fig. 7a and g). As controls, gradient fractions were also precipitated with nonspecific antibodies and subjected to Western blotting (Fig. 7b, d, f, and h). We observed a strong coprecipitation of capsid proteins with the Rep antiserum (RepM) in fractions 4 to 8, ranging from 60 to 110S (Fig. 7a). The same pattern of coprecipitated VP proteins was observed with monoclonal antibody 76/3 (data not shown). Control precipitations with an unrelated guinea pig antiserum or with unrelated monoclonal antibodies of the same subtype showed no significant precipitation of Rep or Cap proteins (Fig. 7b and d and data not shown). The distribution of Rep proteins precipitated with the guinea pig antiserum or antibody 76/3 followed the pattern obtained by TCA precipitation and Western blotting, with a major peak of Rep78 and Rep68 between 10 and 20S (Fig. 7c; see also Fig. 2c). The Rep proteins in highermolecular-weight fractions sedimenting above 110S, however, were not recovered in equivalent amounts. A different pattern of Rep proteins was obtained after coimmunoprecipitation with monoclonal capsid antibody A20 (or alternatively A69; data not shown) (Fig. 7e). Predominantly Rep78 and some Rep68 sedimenting between 10 and 60S were coprecipitated. Only few Rep proteins were detected in control precipitations



FIG. 7. Immunoprecipitation of capsid and replication proteins from sucrose gradient fractions. Soluble nuclear proteins of HeLa cells harvested 24 h postinfection with AAV-2 and Ad2 were fractionated on sucrose density gradients (5 to 30% sucrose,  $160,000 \times g_{av}$ ,  $4^{\circ}$ C, 2 h), and individual fractions were immunoprecipitated with a polyclonal antibody against Rep proteins (RepM; a and c) or a monoclonal antibody against VP proteins (A20; e and g). Control precipitations were performed with a guinea pig antiserum directed against proteasomes (b and d) or with a monoclonal antibody against hepatitis B virus X protein (f and h). The immunoprecipitates were analyzed by SDS-PAGE and Western blotting with monoclonal VP antibody B1 (a, b, g, and h) or monoclonal Rep antibody 303/9 (c, d, e, and f). All Western blots were developed with a peroxidase-coupled secondary antibody and enhanced chemiluminescence detection. IgC, immunoglobulin G.

(Fig. 7f). In some experiments, we detected significant amounts of Rep52 and traces of Rep40 in fractions above 110S (fraction 9 and 10). Detection of precipitated VP proteins with antibody B1 revealed the capsid proteins in fractions overlapping the coprecipitated Rep proteins but with a different peak distribution (Fig. 7g). We did not observe coprecipitates of Rep with Cap proteins, or vice versa, from cytoplasmic fractions (data not shown).

Prompted by the detection of Rep-Cap complexes in infected cell nuclei, we analyzed whether such complexes also exist in virus stocks or in purified AAV preparations. Figure 8 shows that VP proteins were efficiently coprecipitated from AAV stocks by two different guinea pig antisera directed against Rep proteins and that Rep was also coprecipitated with VP antibodies (A20). Since such virus stocks might contain immature virus particles due to cell lysis by freezing and thawing without subsequent purification, we also analyzed CsCl-



FIG. 8. Coimmunoprecipitations of Rep and Cap proteins from virus stocks. Aliquots of a freeze-thaw supernatant of HeLa cells infected with AAV-2 and Ad2 and harvested 48 h postinfection were immunoprecipitated with the monoclonal antibody against capsid proteins (A20) or, as a control, with an antibody against the X protein of hepatitis B virus and detected with antibody 303/9 against the Rep proteins (a). Alternatively, such supernatant aliquots were immunoprecipitated with the guinea pig antiserum RepM or RepA and, as a control, with a guinea pig antiserum directed against proteasomes (20S) or protein N1 of *Xenopus laevis* oocytes (N1) and detected with monoclonal antibody B1 against the capsid proteins (b). IgG, immunoglobulin G.

purified virus of a density of 1.41. In such preparations, we could detect neither Rep when immunoprecipitated with VP monoclonal antibodies nor capsid proteins when precipitated with Rep antibodies (data not shown). These results indicate that the Rep-Cap complexes either were not present in mature AAV particles or became lost during CsCl density gradient purification of the virus.

### DISCUSSION

In this study, we describe intermediates of AAV assembly at the protein level. We analyzed the sedimentation pattern of Rep and Cap proteins during a productive AAV-2 infection and also considered the nucleocytoplasmic distribution and solubility of the AAV gene products to obtain a more detailed picture of the AAV assembly process. In addition to the known pool of empty and full capsids, we observed steady-state pools of monomers and oligomers which had different compositions in the different cellular compartments. Typical 60 to 110S particles indicative of empty and full capsids were detectable only in the nucleus. The AAV Rep proteins sedimented in fractions overlapping with the capsid proteins, suggesting possible interactions with the AAV capsid proteins. In coimmunoprecipitation experiments, we detected two types of complexes containing both Rep and Cap proteins.

In their analysis of AAV assembly, Myers and Carter (24) described particles sedimenting at 66 and 110S which, on the basis of labeling experiments, they interpreted as empty and full AAV capsids. Pulse-chase experiments suggested a precursor-product relationship, leading to the model of an assembly pathway of AAV whereby AAV DNA is packaged into preformed empty capsids. Since they prefractionated by CsCl density gradient centrifugation, they also preselected for particles of a certain density. Our analysis not only yielded 60 and 110S particles and intermediates in between but also showed several pools of capsid proteins sedimenting below 60S and above 110S. These pools were different in the nucleus and cytoplasm with respect to size, oligomerization state, and stoichiometry of capsid proteins. The interpretation of these pools within the dynamic process of virus assembly is based on changes of the pools with time and the cellular localization of the pools. We assume that the capsid proteins first accumulate in the cytoplasm, where we observed the formation of a continuous array of oligomers. The capsid protein stoichiometry in these fractions was approximately 1:1:5 to 1:1:10. At early time points

postinfection (15 h), these cytoplasmic VP oligomers overlapped the 60S region where empty capsids sediment, but they did not form a 60S peak, characteristic of empty particles. This oligomer pool decreased with time, and the nuclear pool showing a peak in the range of AAV capsids increased. Although one cannot exclude the possibility that the high-molecularweight VP oligomers of the cytoplasm already contained empty capsids, the dramatic change in the sedimentation profile of the VP proteins after they had entered the nucleus suggests that capsid assembly occurs in the nucleus. Otherwise, one must assume that assembled capsid proteins preferentially enter the nucleus, which is rather unlikely. It can be assumed from transfection experiments with single capsid proteins that VP monomers and oligomers can enter the nucleus (30) and that assembly has to occur from a nuclear pool of VP monomers and oligomers. This view is supported by the fact that we observed a significant proportion of VP proteins in the range of capsid protein monomers and oligomers in the nucleus, suggesting the existence of an equilibrium between capsids and free capsid proteins.

A relatively large proportion of the nuclear Rep and Cap proteins was associated with insoluble structures of the nucleus. The solubilization of part of these immobilized nuclear capsid proteins by DNase-RNase treatment suggests a direct or indirect association with DNA or RNA. The fact that only marginal amounts of Rep proteins were solubilized further suggests that the Rep and Cap proteins are not bound to the same sites of the nuclear structure. The most efficient solubilization of both proteins by high-salt treatment may indicate that they are immobilized by polar or ionic interactions. Comparison of the sedimentation profiles of the Rep proteins solubilized by DNase-RNase and high-salt treatment indicated that the Rep78 and Rep68 proteins sedimenting with high S values were bound to DNA, probably engaged in AAV DNA replication and/or packaging. Sedimentation of solubilized Cap proteins revealed that a relatively large portion of them was in an unassembled state and contained VP1, in contrast to the corresponding soluble fraction. Furthermore, the solubilized nuclear Cap proteins sedimenting below 20S showed an increased amount of TCA-induced cross-linking (similar to the 60 to 110S fractions) which was not observed in the soluble low-molecular-weight (<20S) fraction or in the soluble cytoplasmic fraction. This finding probably indicates different molecular properties of the capsid proteins in the immobilized state in the nucleus. Association of proteins of several viruses with an insoluble nuclear structure has been reported (6, 10, 12, 33), and the nuclear structure has been discussed as a site for viral capsid formation and/or viral DNA synthesis (6). Whether such functional implications also account for the insoluble nuclear pools of AAV proteins is still a matter of speculation.

The majority of soluble nuclear Rep proteins sedimented in complexes ranging from monomers to very high molecular weight forms. Only parts of the soluble Rep52 and Rep40 proteins of the nucleus sedimented in the range of monomers or dimers. A large portion of Rep52 and Rep40 is also distributed over the whole gradient together with Rep78 and Rep68. Since Rep78 and Rep68 bind to the inverted terminal repeats (1, 19, 27), it seems plausible that the majority of Rep78 and Rep68 is engaged in DNA replication and possibly also in packaging. This view is supported by the result of DNase-RNase treatments of the soluble and insoluble nuclear fractions by which the high-molecular-weight Rep forms were destroyed. Rep52 and Rep40, which by themselves do not bind AAV DNA (20, 27), would have to be complexed with the large Rep proteins or with cellular factors.

A relatively large portion of Rep52 and Rep40 and some Rep78 and Rep68 were found in the cytoplasm. Since all Rep proteins were found to be exclusively located in the nucleus by detection with indirect immunofluorescence (data not shown), in contrast to the capsid proteins, we assume that these apparently cytoplasmic Rep proteins represent a subpopulation of highly soluble nuclear Rep proteins, which easily leak out of the nucleus during preparation. A similar observation was made in an earlier study (43).

Since the soluble Rep and VP protein oligomers of the nuclear fraction sedimented in overlapping fractions, we examined whether they were associated in common complexes. Coprecipitation of Rep78 and Rep68 with different monoclonal antibodies against capsid proteins as well as coprecipitation of capsid proteins with monoclonal and polyclonal antibodies against Rep proteins strongly supported the existence of such complexes. Unexpectedly, the coprecipitates of Rep proteins with Cap antibodies and of Cap proteins with Rep antibodies from sucrose gradient fractions did not have the same peak distribution. We explain the complementary distribution of the coprecipitated Rep and Cap proteins along the sucrose gradient by the relative proportions of these proteins in the complexes. We assume that in the range between 20 and 60S, where Rep78 and Rep68 were predominantly coprecipitated with anti-VP antibodies, only few capsid proteins might be associated with multiple Rep complexes, whereas between 60 and 110S, where capsids are coprecipitated with Rep antibodies, only few Rep molecules are associated with the capsids. In addition, possible differences in the sensitivities of Rep and Cap antibodies have to be considered. The coprecipitated VP complexes sedimenting between 60 and 110S probably represent capsids in the process of DNA encapsidation, since their peak was generally shifted toward higher sedimentation values compared with the total capsid proteins precipitated with capsid antibodies (Fig. 7a and g). Furthermore, the precipitated capsid proteins of that sedimentation range contained AAV DNA protected against DNase digestion (data not shown). This interpretation would also explain the low Rep-to-VP protein ratio. It is more difficult to assign a functional meaning to the Rep78-Rep68 complexes coprecipitated with the VP antibodies from fractions with a peak between 20 and 60S. The sedimentation range around 30S suggests that Rep complexes, possibly in association with DNA, are bound to capsid protein oligomers not yet assembled into capsids. Alternatively, single empty capsids could be bound to AAV genomes with multiple Rep replication complexes (to account for the stoichiometry) which form a very extended particle with an abnormally low sedimentation coefficient. In case of the first possibility, the 30S Rep-Cap complexes would implicate the involvement of Rep proteins and possibly of AAV DNA in the capsid assembly process. Recently the involvement of the simian virus 40 large T antigen in capsid assembly was demonstrated (35, 36), and similar results were obtained for the polyomavirus middle T antigen (13-15). Although in these cases no direct association of the large or middle T antigen with the capsid protein oligomers has been demonstrated, it is tempting to speculate that AAV Rep proteins play a role in AAV capsid assembly. If this analogy holds true, one has to reconsider whether the AAV assembly pathway involves an empty capsid which is filled with DNA, in contrast to the simian virus 40 and polyomavirus pathways, in which capsids are formed around the viral minichromosomes.

It was previously shown by genetic experiments that the small Rep proteins Rep52 and/or Rep40 stimulate ssDNA accumulation (11). Since they also cosedimented with Rep78 and Rep68 over the whole sucrose gradient, we would have

expected to detect Rep52 and/or Rep40 in the coimmunoprecipitates, especially in the range of potential packaging intermediates between 60 and 110S. This mostly was not the case. We therefore assume that these proteins are only loosely associated with the complexes and were probably lost during the precipitation procedure, which always included small amounts of detergent. At least the immunoprecipitations from virus stocks and occasionally from fractions sedimenting with very high S values showed that some Rep52 and small amounts of Rep40 were recovered in the precipitates with the VP antibody A20 (Fig. 7e and 8a).

The description of AAV assembly intermediates based on the characterization of Rep and Cap protein oligomers opens a number of new questions. The composition and stoichiometry of the Rep-Cap complexes, the involvement of DNA in the formation of these complexes, the role of the nuclear assembly precursors, and the role of the nuclear structure in the AAV assembly process remain to be elucidated. A major goal of this analysis is the determination of factors which stimulate viral assembly after accumulation of all components inside the nucleus.

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