Considerations on the Preneoplastic Lesions of the Mammary Gland

Pietro M. Gullino, MD

The general characteristics of the preneoplastic lesions of the human mammary gland, as they are known through histologic description, are outlined, and data obtained from the experimental analysis of mammary gland preneoplasia in five areas of endeavor are discussed. Results obtained with transplantation procedures and aimed at defining the growth potential of hyperplastic outgrowths are reported. Information derived from the study of events able to induce benign hyperplastic outgrowths or their malignant transformation in the murine mammary gland is summarized. Attempts to predict neoplastic transformation in morphologically hyperplastic epithelium of human and rodent glands are discussed. The present status of efforts toward the prophylaxis of preneoplastic lesions of the mammary gland is described. Considerations of the relationship between preneoplasia and tumor dormancy conclude the presentation. (Am J Pathol 89:413-430, 1977)

A WORKING DEFINITION of a preneoplastic lesion of the mammary gland is that of a parenchymal alteration which increases the risk of a carcinoma. Conventionally, these alterations have been described in morphologic terms, since histology has furnished the tools to illustrate cell populations in continuous growth and with metastasizing capacity, the two major symptoms of neoplasia. Convincing evidence of the transition from hyperplastic or dysplastic epithelium to carcinoma cannot be obtained until and, probably, unless the conditions necessary to induce and maintain "malignant" growth are understood. Consequently, morphologic characterization and experimental attempts to define biologically the transition from normal to neoplastic epithelium represent two integral parts of our discussion.

Morphology

From the day-to-day postmortem practice of the Western Infirmary in Glasgow, Sandison¹ examined the breasts of 800 women over age 20. The organs were collected consecutively and were unselected; macroscopic analysis of abnormalities was carried out on repeated sections, and at least one large block of tissue was taken from each gland for histologic examination. This work is one of the most informative we have on the patho-

From the Laboratory of Pathophysiology, National Cancer Institute, National Institutes of Health, Bethesda, Maryland.

Presented at the Seventy-fourth Annual Meeting of the American Association of Pathologists. Toronto, Ontario, Canada, March 14-15, 1977.

Address reprint requests to Dr. Pietro M. Gullino, Laboratory of Pathophysiology, National Cancer Institute, Building 10, Room 5B36, National Institutes of Health, Bethesda, MD 20014.

physiologic history of the mature human mammary gland, although the sampling does not strictly represent the population at large. A summary of Sandison's data is reported in Table 1. One is struck by the frequency in the asymptomatic gland of proliferative lesions listed under the terms of adenosis and epitheliosis. In Sandison's terminology, adenosis refers to "a glandular hyperplasia which produces more and larger lobules of normal or exaggerated physiological pattern" and epitheliosis follows Dawson's definition² as "the multiplication of epithelial cells within existing gland structures without formation of new gland elements . . . a cellular filling of the lobule, not a branching, which indicates a more or less pathological response." Sandison's data epitomize the observations of most pathologists. Whether the hyperplastic condition involves branching of the ductal system or only cell proliferation, the key element under both circumstances is the distinction between hyperplasia evolving toward carcinomas and hyperplasia ending in cell degeneration and possibly cyst formation. Morphologists have collected a long list of changes that should anticipate neoplastic transformation:³⁻⁷ enlargement of lobules which persist after the menopause; coalescence of adjacent intralobular ducts: thickening of the epithelial layer with intraluminal, papilla-like projections; loss of lumina by cell-filling; lessening of cohesiveness among epithelial cells; tendency of lobular epithelium to become columnar; formation of macrovilli on the cell surface; appearance of intracytoplasmic ductules; nuclear pleomorphism; increase in nuclear size; hyperchromasia; and small intranuclear vacuoles. Modifications of the stroma often coexist with the hyperplastic epithelium. They are usually classified as sclerosis and elastosis; both occur rather frequently and are also found in breasts without carcinoma (Table 1). The correlation between increased number of epithelial cells in ducts and lobules and sclerosis or elastosis of the stroma is poorly understood. However, the integrity of the basal membrane is generally accepted as an essential condition for distinguishing in situ from invasive proliferation. Although cases have been described where lymph node metastases occurred despite the integrity of a basal membrane, observed in serial sections, the event is exceptional.^{8,8} Like carcinoma of the cervix, mammary carcinoma has a preinvasive phase in which neoplastic cells remain confined within the basement membrane of ducts and lobules.9,10

The pathologist has the responsibility of detecting the preinvasive phase of neoplastic proliferations and the major tool at his disposal is histologic analysis. However, the limitation of this approach has been pointed out, among others, by Geschickter¹¹ who stated that "histologic study made on human breast tissue cannot be cited as convincing evi-

	Percent of all cases†	Percent by decades				_
		31-40	41-50	51-60	61-70	71-80
Atrophy Duct ectasia Cysts	48.0	17.4	37.8	52.1	57.3	57.6
Apocrine metaplasia	39.2	23.5	29.1	40.2	46.7	49.1
Adenosis	29.4	49.3	44.4	23.4	11.1	6.8
Sclerosing adenosis	7.0	6.1	5.7	7.2	7.2	9.7
Periductal elastosis	16.1	6.8	6.5	17.3	22.0	21.2
Epitheliosis	22.0	6.4	20.2	20.4	27.0	31.0
Papillomatosis	2.9	3.0	2.0	2.6	3.1	4.8
Fibroadenoma	0.5					

Table 1-Hyperplastic and Dysplastic Lesions of the Human Mammary Gland*

* From Sandison.1

† Total of 800 autopsy cases, ages 21-90.

dence of the transition of hyperplasia or involutional dysplasia into malignancy so long as morphologic criteria are lacking to distinguish between benign neoplasia and early malignancy." Thus the efforts to define preneoplasia in the mammary gland originated a good deal of experimental work.

Experimental Analysis of Preneoplasia

Transplantation of Hyperplastic Outgrowths

This approach was one of the first used to establish whether hyperplastic nodules of the mammary gland had growth potential comparable to that of neoplastic cell populations. Mice were used, since hyperplastic nodules had already been described in the mammary gland of this species at the beginning of this century.¹² A first basic observation revealed that transplantation of hyperplastic nodules into the gland-free mammary fat pad of isogenic mice produced mammary tumors sooner and in greater frequency than normal tissues transplanted into the contralateral mammary fat pad.^{13,14} The study of the tumor-producing capabilities of hyperplastic nodules revealed that the transplanted tissue proliferates within the fat pad and fills it, partially or completely, but never invades beyond the fat pad limits. The invasion of the fat pad follows morphogenetic rules that are poorly understood. For instance, the newly formed ducts remain at a distance of about 250 μ from each other and stop growing when they reach normal mammary parenchyma instead of invading it, as does the neoplastic tissue.¹⁵ Normal mouse mammary parenchyma can also be serially transplanted into the fat pad of young syngeneic virgin or hormone-stimulated mice, but the life-span of the transplant is limited. In contrast, nodule outgrowths, like tumors, can be propagated for years, and their growth potential is practically unlimited.^{16,17} Indeed, permanent lines have been developed.¹⁸

These transplantation experiments suggest that continuous growth is a property acquired by the tissue independently from its invasive capacity. The growth potential of nodular outgrowths is unlimited but only within the boundaries of the fat pad; only when a carcinoma develops is invasion of surrounding tissues observed. If this conclusion is valid and transferable to the human mammary gland, then some of the hyperplastic lesions found at biopsy already possess unlimited growth capacity, although they are still limited to the mammary area and are morphologically indistinguishable from others lacking this property.

Serial transplantation of hyperplastic outgrowths has also permitted a characterization of the cell population of these lesions. Using allophenic mice derived from the fusion of blastomers of C3H \leftrightarrow C57BL zygotes, both alveolar and ductal cells were found to coexist in the outgrowths. The allophenic mice from these two strains have a high frequency of both mammary tumors and hyperplastic nodules. When these nodules were transplanted into C3H females, they produced outgrowths of alveolar structure; when transplanted into C57BL females, they produced ducts.^{19,20} The coexistence of ductal, alveolar, and myoepithelial cells in the hyperplastic nodes persisted after years of transplantation.²¹ The interplay among these cells for the existence and growth potential of the hyperplastic node is unknown. However, the survival of hyperplastic cells seems to be dependent on the presence of normal cells, although this dependency ceases when the hyperplastic epithelium becomes neoplastic.²²⁻²⁴

Tumorigenesis in Hyperplastic Outgrowths

By the development of outgrowth lines it was found that appearance of tumors, without any treatment of the host, was a stable characteristic of each established line. Within a stable environment, these properties could be transmitted by transplantation and maintained for generations.²⁵

The increase of tumorigenesis in nodular outgrowth lines was obtained in a variety of ways (Table 2). In general, the presence of murine mammary tumor virus (MuMTV) induced a sharp increase in tumorigenesis,

D1		D2		
Treatment	Percent	Treatment	Percent	
No treatment	4	No treatment	45	
Treatment +		Treatment +		
MTV	75	MTV	81	
Pituitary	7	Pituitary	77	
Irradiation	23	Irradiation	61	
MTV + MCA	79	MTV + MCA	94	
MTV + pituitary	71	MTV + pituitary	94	
DMBA + pituitary	92			
Urethane + pituitary	81			
Irradiation + pituitary	9			

Table 2-Tumor Induction in D-Lines (BALB/c Transplants)*

MTV = mammary tumor virus, MCA = 3-methylcholanthrene, DMBA = 7,12-dimethylbenz[α]anthracene.

* From Medina,¹⁸ pp 34, 36, 38.

regardless of the frequency shown before infection. This, however, was not the rule. The D5 line of Medina maintained a 6 to 7% tumorproducing capacity regardless of MuMTV infection and despite the presence of B particles in the transplants.²⁶ The reasons for this exception are unknown; however, Squartini *et al.*²⁷ showed that superinfection with murine leukemia virus may reduce the oncogenic effect of MuMTV.

Chemical carcinogens such as 3-methylcholanthrene (MCA), 7,12dimethylbenz[α]anthracene (DMBA), and urethane increased the tumorproducing capability of the hyperplastic outgrowths to a degree, dependent on the carcinogen, the host, and the outgrowth line.¹⁸ Three observations in this area are important for our analysis of mammary preneoplastic lesions. In the urethane-treated or 7,12-dimethylbenz $[\alpha]$ anthracene-treated host bearing a D1 line, the tumorigenic effect was higher when the dose of carcinogen was increased. Moreover, outgrowths exposed to urethane between 3 to 5 weeks after transplantation produced tumors earlier and at a higher incidence than outgrowths exposed to urethane at later periods.²⁰ The effect of the carcinogen on the hyperplastic cells was also evident at the second transplant generation (Table 3). Of particular interest is the fact that pituitary action of the D1 line does not increase appreciably tumor incidence (Table 2), but the same treatment of second generation methylcholanthrene-treated cells increased tumor incidence dramatically (Table 3). This is a good example of "altered" responsiveness of a hyperplastic epithelium to hormonal influence.

The last observation concerns the interaction between fat pad and hyperplastic epithelium in tumorigenesis. Transplantation in untreated

Treatment†	Generation 1 (%)	Generation 2 (%)
 D1 + MCA	60	18†
D1 + DMBA	68	23
D1 + irradiation	23	0
D2 + MCA	82	75
D2 + irradiation	61	39

Table 3—Tumor Incidence in Carcinogen-Treated Outgrowths Transplanted Into Untreated BALB/c Mice*

MCA = 3-methylcholanthrene, DMBA = 7,12-dimethylbenz[α]anthracene.

* From Medina,¹⁸ p 40.

† D1 + MCA second generation + pituitary = 95%.

animals of carcinogen-treated D1 outgrowths produced a high number of tumors only when the whole fat pad containing the D1 implants was transplanted. Fragments of epithelium without fat pad did not take. The role of the fat pad under these circumstances is unknown.²⁹

Irradiation (γ -rays) also induced an increase of neoplastic transformation in the D series of BALB/c outgrowth lines: from 4 to 23% in the D1 line and from 45 to 61% in the D2 line (Tables 2 and 3). However, a comprehensive analysis of the effect of radiation on hyperplastic outgrowths of mammary epithelium is not available despite the importance that it might have today in relation to the increase in the use of mammographic analysis in humans.

Under natural conditions the mammary tissue is indeed exposed to several carcinogens which may interact and produce additive or synergistic effects. Moreover, the hormonal milieu of the host during carcinogenic action plays a determining role in influencing tumorigenicity, and carcinogens per se can influence the hormonal milieu of the host.³⁰ The sophistication of the experiments analyzing this set of parameters in hyperplastic mammary outgrowths is far from satisfactory and is insufficient to permit generalizations.^{18,81,82} On the whole, however, the data concerning the tumorigenic effects of several carcinogens, acting singly or in combination, on the hyperplastic outgrowths of mouse mammary gland, can sustain the generalization that this tissue is indeed at a higher risk of becoming neoplastically transformed by carcinogens more frequently and earlier than nonhyperplastic tissue.

Induction of Hyperplastic Outgrowths

The presence of hyperplastic outgrowths in the mammary parenchyma has been described not only in humans and rodents but also in dogs and nonhuman primates.^{33,34} A major concern of experimentalists is to discover

ways of inducing these outgrowths as an approach to understanding their pathogenesis during the natural aging of the gland. Rodents were mostly used in these experiments. It was soon observed that the carcinogens capable of increasing the frequency of neoplastic transformation in naturally occurring outgrowths were also able to induce the hyperplastic outgrowths themselves. Strains of mice such as C3H and GR that carry MuMTV and have a high incidence of mammary tumors also show a large number of hyperplastic nodules in their mammary glands. Strains supposedly not infected by MuMTV have very few hyperplastic outgrowths; this number, however, is increased by a MuMTV infection via milk or an intraperitoneal injection of infected tissues.^{35,36} Adenovirus type 9 was found able to induce, in rats, proliferative lesions defined as fibroadenomas.³⁷ The mechanism of MuMTV infectivity is poorly understood and. therefore, the role of the virus in the induction of preneoplastic lesions is unclear. There are indications suggesting that MuMTV infection goes through a cycle whereby Type B particles function primarily in the transmission of the viral genome from mother to young while a variant of MuMTV (B-MTV) should be the transforming component active after the transmission has occurred.38 The kind of lesion that the cell-associated form of MuMTV produces is also not known. Morphologically, a hyperplastic outgrowth often appears as a pregnancy-related structure surviving in a nonpregnancy hormonal milieu. Whether viral infection alters the physiologic cycle of proliferation, secretion, and regression due to hormonal modulation of the mammary tissue remains an open question. Indeed, in the nodulogenic assav of Nandi,^{35,36} MuMTV is revealed only in glands which are under strong pituitary stimulation.

New appearance of hyperplastic outgrowths was also obtained with a variety of chemical carcinogens; however, their induction was greatly facilitated by hormonal stimulation.^{39,40} Strong hormonal stimulation appears to be an essential component of the outgrowth induction process. Genesis of the outgrowth is controlled by the ovaries and the pituitary gland. After the hyperplastic outgrowth is induced, its maintenance is supported by pituitary hormones.^{41,42} Estrogen is not necessary for outgrowth maintenance, but its absence limits the alveolar proliferation. Nodules transplanted into an ovariectomized host show a prevalence of ductal tissue as compared to transplants in normal females.²⁵ The hormonal milieu not only influences the onset of a hyperplastic outgrowth but probably also controls its cellular constitution.

Modulation of tissue composition was also observed during MuMTV infection. MuMTV-positive and nodule-inducing virus (NIV)-positive C3H mammary glands show more lobuloalveolar development than synge-

neic MuMTV-free, NIV-positive C3Hf mice.43,44 Exogenous hormone stimulation enhances formation of adenocarcinomas both in the presence and absence of MuMTV, and the most effective way of reaching this objective is transplantation of a pituitary gland under the kidney capsule.45,46 Nodulogenesis is also enhanced by the mammotropins secreted by pituitary isografts, and the question arises whether hyperplastic outgrowths are an obligatory step in the morphogenesis of carcinomas. The problem has been extensively studied by Sinha and Dao⁴⁷⁻⁴⁹ in the rat, and their answer is negative. In the mouse, much more frequently than in rats, one sees a carcinoma initiated within a hyperplastic outgrowth. The experts, however, concede that the interdependence is not obligatory.⁵⁰ In the human there is the well-proved observation that glands with carcinomas are usually richer in hyperplastic lesions with various degrees of morphologic alteration to make them atypical.^{3,7,51,52} Neoplastic transformation of these lesions is also a nonobligatory event, although the correlation between morphologic appearance and risk of carcinoma is still a subjective assessment.

Prognostic Evaluation of Hyperplastic Outgrowths

A basic objective in any study of mammary preneoplasia is to predict which hyperplastic epithelium will become a carcinoma. In our laboratory, we utilized as a marker the angiogenic capacity of the tissue studied by the iris transplantation assay.^{53,54} The initial hypothesis sustaining this approach was based on the observation that a fragment of tumor transplanted at the center of the cornea or the vitreous body survived for many days without producing a tumor mass. Cornea and vitreous are not vascularized, but when the transplant was placed close enough to the limbus or retina, a rich vascular network developed, the new formed vessels penetrated the transplant, and rapid growth of a solid tissue ensued.53-59 The ability to stimulate new vessel formation was considered a property that a hyperplastic tissue might acquire on its way to becoming neoplastic. Thus, the questions to answer were whether the hyperplastic outgrowths did induce angiogenesis and whether a correlation existed between frequency of angiogenic response and frequency of carcinomas. The $C3H/A^{vy}$ mouse was used as a model because of the high frequency of mammary tumors and hyperplastic outgrowths. The answer to both questions was positive (Table 4). About 30% of the hyperplastic nodules taken at random were able to induce angiogenesis. When the D1 and D2 lines of transplantable hyperplastic nodules were compared, the highest frequency of angiogenic response was found in the D2 line that produces carcinomas with the highest frequency (Table 4). When human hyper-

Mouse (C3H/AVy)			Human		
	Pos/Total	Percent		Pos/Total	Percent
Primary HAN	7/23	30	Hyperplastic lobules	14/50	28
HAN Outgrowths			Fibroadenomas	0/18	_
D1 D2	19/59 83/109	32 76	Cystic fibrosis	0/96	-
Papillomas	305/309	100	Papillomas	6/6	100
Carcinomas	89/98	90	Carcinomas†	49/75	65

Table 4-Angiogenic Response of Tissue Transplants*

HAN = hyperplastic alveolar nodule.

* From Brem et al.^{33,54} and Gimbrone and Gullino.⁵⁴

† Six to eight fragments from eleven carcinomas; each case with a few positive fragments.

plastic lesions were tested, about 28% of them were found to produce angiogenesis, a result remarkably similar to the mouse model.^{53,54}

The second question concerning frequency of carcinomas in glands rich in hyperplastic outgrowths able to induce angiogenesis has not been answered in the human. A systematic analysis by the iris assay of human hyperplastic outgrowths detected in biopsy material from cases with benign lesions should probably be rewarding for sorting out a high-risk population.

Prognostic assessment of hyperplastic outgrowths was also attempted by Jensen and Wellings ⁶⁰ following the transplantation approach in the nude-athymic mouse. Human hyperplastic lobules with varying degrees of morphologic atypia were transplanted into the inguinal fat pad of males and females. After several weeks the morphology of the transplant was examined and the degrees of hyperplasia and architectural disorder were recorded. The greatest morphologic modifications were observed in normal-appearing lobules obtained from cancer-associated breasts of women over age 50.

The end-point evaluation of this assay is dedifferentiation, and it is less precise than the angiogenesis test where presence or absence of a halo of newly formed vessels around the transplant is observed. Both tests, however, point to changes in the biologic properties of hyperplastic lobules which make them closer to overt neoplasia than other lobules, despite identical morphology.

Analysis of nipple secretion has been focused mostly on a search for morphologically atypical cells.^{61,62} It is possible that a broader evaluation of the biologic characteristics of both cells and fluid might open new approaches to the assessment of breast preneoplasia.

There is also a variety of biochemical observations that remain anecdotal since their prognostic potential has never been tested. For instance, a) the lack of estradiol receptors in the rat hyperplastic nodules;⁶³ b) the capability of the D1 line transplanted into BALB/c mice to synthesize casein versus the inability of the D2 line under identical hormonal treatment;⁶⁴ c) the presence of glucose-6-phosphate dehydrogenase isoenzyme-1 in hyperplastic nodules and carcinomas but not in normal BALB/c mammary gland;^{65,66} and d) the diversity of hybridizable nuclear RNA species with thermally denatured DNA in mammary tissue with morphologically changing patterns from normal to hyperplastic to neoplastic, suggesting a gradual alteration of gene expression as the lesion progresses.⁶⁷

It seems evident that efforts in the area of prognostic assessment of hyperplastic outgrowths of the mammary gland should be intensified and that the search for biologic properties more than morphologic characteristics appears to offer better chances of identifying morphologically hyperplastic lesions on their way of becoming clinically neoplastic.

Prophylaxis of Hyperplastic Outgrowths

The best results in this area have been obtained by suppression of prolactin secretion using two ergot alkaloids, 2-bromo- α -ergocryptine (CB-154) and 6-methyl-8- β -ergoline-acetonitrile (MEA).⁶⁸⁻⁷¹ The effectiveness of CB-154 seems superior to MEA (Table 5), and the destruction of the mammary epithelium appears to be the mechanism of action for both compounds. Body weight gain and death rate (non-tumor-related) are not altered by the drugs; thus, medication seems feasible for long periods of time. Estrogen enhances nodulogenesis and also tumor incidence, as has been well documented, but prolactin suppression, while

Treatment	Inguinal gland development at 19 mo†	Mean No. of HAN at 19 mo	Percent tumors
Control	4.1	15.9 ± 1.5	51
CB-154	1.8	10.0 ± 1.4	1.3
MEA Ovariectomy at 5 mons	2.0	7.0 ± 1.0	33
Estradiol [±]	4.3	19.3 ± 2.0	46
Estradiol + CB-154	1.6	3.5 ± 0.6	32

Table 5—Mature Multiparous C3H/HeJ Females	Table 5-M	lature Multip	barous C3H	I/HeJ ∣	Females*
--	-----------	---------------	------------	---------	----------

CB-154 = 2-bromo- α -ergocryptine, MEA = 6-methyl-8- β -ergoline-acetonitrile, HAN = hyperplastic alveolar nodule.

* From Welsch,⁷¹ p 2623. Eight months at start; daily subcutaneous injections at alternate months for 1 year.

† Wilcoxon rank procedure test.

 \pm Estradiol-17 β in drinking water for 1 year.

reducing nodulogenesis, was unable to substantially depress tumorigenesis in the ergot-estrogen-treated mice when treatment was started at adult age (Table 5). When young, nulliparous mice were treated with the ergot drugs, practically no mammary tumors were observed, but at the expense of a severe atrophy of mammary epithelium.⁷¹

Manipulation of the hormonal environment to control fertility has now gained acceptance in the human population. Intensification of efforts in keeping low levels of prolactin in women may produce appreciable results in counteracting mammary hyperdysplasias and, possibly, reducing the frequency of mammary carcinomas.

A phenylalanine-deficient diet has been utilized in attempts to reduce transformation of hyperplastic outgrowths into carcinomas.^{72,73} These efforts were expanded by attempting to reduce the level of circulating phenylalanine by ammonia-lyase, an enzymatic preparation derived from *Ustilago hordei* or *Rhodotorula glutinis*.^{74,75} The results obtained thus far can be explained in part as being due to the effects of the treatment on the hormonal milieu, atrophy of the ovaries in particular, and depression of food intake. No clear indication of a specific effect on the hyperplastic outgrowths has emerged.

Destruction of hyperplastic outgrowths has been attempted bv immunologic means. There is no method that I know of to immunize against primary lesions in situ, probably because each of them expresses unique antigenicity. Therefore, outgrowths transplanted into the mammary fat pad are normally utilized for testing the host response. In one of these experiments, Slemmer ⁷⁶ transplanted the hyperplastic tissue 8 to 12 weeks before immunizing the host by intradermal implantation with two outgrowth lines, one highly and one less antigenic. He showed that the premalignant lesions failed to immunize the host but remained highly antigenic and susceptible to immune destruction. This destruction was mediated by lymphocytic infiltration and was effective only when the original implant was small (about 1 mg). Carcinomas originating from one hyperplastic outgrowth tended to exhibit equal antigenic specificity; however, the carcinomas continued to grow in the immunized host more effectively than the hyperplastic outgrowth.^{π}

The neonatal infection of the host by MuMTV modifies the immunologic resistance to growth of transplanted preneoplastic nodes and carcinomas. C3Hf mice free of biologically identifiable MuMTV accept grafts of a C3Hf nodular outgrowth but react strongly against implants of outgrowths derived from isogenic C3H donors infected by MuMTV. Immunogenicity of hyperplastic outgrowths in mice resides, at least partly, in antigens induced or possessed by MuMTV.⁷⁸⁻⁸⁰ In fact, MuMTV infection, either neonatal or later in life, depresses the humoral as well as the cell-associated immune response to unrelated antigens and the deficiency in response increases with age.⁸¹ The immunogenicity of outgrowths induced by chemical carcinogens such as methylcholanthrene has a component related to the carcinogen per se, which confers to the tissue a distinct antigenic specificity.^{76,82,83}

Preneoplasia Versus Tumor Dormancy

Histologic evidence that clinically normal persons carry tumor cells in a "dormant" state has been obtained in several instances, e.g., foci of neuroblastoma cells in the adrenals of infants,⁸⁴ unsuspected small carcinomas of the prostate,⁸⁵ and occult primary carcinomas in thyroids without clinical evidence of disease.⁸⁶ Whether histology can actually define the neoplastic potential of cell populations is debatable, but for practical purposes, histology is the only available tool for detecting "early" neoplasia. Accepting this premise, Ashley ⁸⁷ observed that frequency of prostatic carcinoma, histologically detected in routine necropsies, rose with the cube of age, while clinically manifested carcinomas, as represented by death rates, rose to the seventh power of the age. If one accepts the hypothesis that neoplasia is the end result of a series of events determining a progression of tissue changes,^{88,89} then the inference can be drawn that clinical carcinoma is the result of additional events which affected the cells after a first series of events had made them preneoplastic. Without the additional events, the cell population would have remained hyperplastic and without clinical manifestations of neoplastic transformation. A majority of researchers involved in the study of preneoplasia accept, more or less, this working hypothesis. However, there is another possibility.

Clinical cancer is defined by one symptom, unrestrained growth of cell populations, *in situ* or at a distance from the origin. In either case, death occurs because the host is or becomes unable to control the proliferating cells. This control may occur in different ways, two of which are known to me.

The erythroleukemia produced by Friend leukemia virus can be arrested by injections of statolon, an extract of *Penicillium stoloniferum*. The suppression of the disease in 90% of animals is due to the production of interferon and cytotoxic antibodies, in particular against virion p12. The infection, however, remains latent, as shown by the reappearance of leukemia either late in the life of treated mice or by the transfer of cells in normal mice.^{90,91} Infection and leukemia are, in effect, dormant because the organism can keep them in check.

The second example of tumor dormancy is found in the lack of angio-

genic response, as reported in the previous section. If the host tissue fails to provide neovascularization, the neoplastic cell population does not form a clinically evident tumor. This has been shown by transplants in avascular organs.⁹² Theoretically one can foresee that a tumor can be kept dormant if angiogenesis could be blocked. Indeed, it seems that cartilage, a tissue normally deprived of vessels, does have the capacity to block angiogenesis.⁹³⁻⁹⁹ If this is correct, then the effectiveness of the basal membrane in containing proliferating cells within the boundaries of the lobule or duct might, in effect, depend on its antiangiogenic activity. The 28% of human hyperplastic lobules found, in the iris assay, already able to induce angiogenesis could in effect be dormant tumors not growing since their ability to induce new formation of vessels is counteracted.

In conclusion: The study of preneoplasia can be approached either as an analysis of the events necessary to transform hyperplastic into neoplastic cells or as an analysis of conditions necessary to keep neoplastic cells in a state of dormancy, without the clinical manifestations of a growing tumor. Regardless of the approach, however, one must remember that the focus of our efforts remains concentrated on a symptom-unrestrained growth of cell populations. Our colleagues of 200 years ago or more had infections as a major medical problem. They also focused their attention on a major symptom of these syndromes—fever. They described it carefully and found among other things "mental obnubilation and delirium" in the majority of their patients with fever and congestion of cerebral veins with edema of the meninges at autopsy. Many of them believed that the cause of fever was in the brain, and they bled their patients to relieve brain congestion.¹⁰⁰ Today we know better, but one has the impression sometimes that for neoplastic diseases we are in the same kind of bind as our colleagues of the past years were about fever in infectious diseases.

References

- 1. Sandison AT: An autopsy study of the adult human breast: With special reference to proliferative epithelial changes of importance in the pathology of the breast. Natl Cancer Inst Monogr 8:1–145, 1962
- 2. Dawson EK: The genesis and spread of mammary cancer, Ann R Coll Surg Engl 2:241-247, 1948
- 3. Gallager HS, Martin JE: Early phases in the development of breast cancer. Cancer 24:1170-1178, 1969
- 4. Kern WH, Dermer GB: The cytopathology of hyperplastic and neoplastic mammary duct epithelium: Cytologic and ultrastructural studies. Acta Cytol (Baltimore) 16:120-129, 1972
- 5. Middleton PJ: The histogenesis of mammary tumours induced in the rat by chemical carcinogens. Br J Cancer 19:830-839, 1965
- 6. Wellings SR, Jensen HM: On the origin and progression of ductal carcinoma in the human breast. J Natl Cancer Inst 50:1111-1118, 1973

- 7. Wellings SR, Jensen HM, Marcum RG: An atlas of subgross pathology of the human breast with special reference to possible precancerous lesions. J Natl Cancer Inst 55:231-273, 1975
- 8. Godwin JT: Chronology of lobular carcinoma of the breast: Report of a case. 5:259-266, 1952
- 9. Foote FW Jr, Stewart FW: Lobular carcinoma in situ: A rare form of mammary cancer. Am J Pathol 17:491-496, 1941
- 10. Toker C: Small cell dysplasia and in-situ carcinoma of the mammary ducts and lobules. J Pathol 114:47-52, 1974
- 11. Geschickter CF: Diseases of the Breast: Diagnosis, Pathology, Treatment, Second edition. Philadelphia, J. B. Lippincott Co., 1945, p 268
- 12. Apolant H: Die Epithelialen Geschwulste der Maus. Arb Inst Exp Therap Frankfurt 1:7-62, 1906
- DeOme KB, Faulkin LJ Jr, Bern HA, Blair PB: Development of mammary tumors from hyperplastic alveolar nodules transplanted into gland-free mammary fat pads of female C3H mice. Cancer Res 19:515-520, 1959
- 14. DeOme KB, Bern HA, Nandi S, Pitelka DR, Faulkin LJ Jr: The precancerous nature of the hyperplastic alveolar nodules found in the mammary glands of old female C3H/He Crgl mice. Genetics and Cancer. Houston, University of Texas Press, 1959, pp 327–348
- 15. Faulkin LJ Jr, DeOme KB: Regulation of growth and spacing of gland elements in the mammary fat pad of the C3H mouse. J Natl Cancer Inst 24:953-969, 1960
- Daniel CW, Aidells BD, Medina D, Faulkin LJ Jr: Unlimited division potential of precancerous mouse mammary cells after spontaneous or carcinogen-induced transformation. Fed Proc 34:64–67, 1975
- 17. Young LJT, Medina D, DeOme KB, Daniel CW: The influence of host and tissue age on life span and growth rate of serially transplanted mouse mammary gland. Exp Gerontol 6:49-56, 1971
- Medina D: Preneoplastic lesions in mouse mammary tumorigenesis. Methods in Cancer Research, Vol 7. Edited by H Busch. New York, Academic Press, Inc., 1973, pp 3–53
- Mintz B: Neoplasia in allophenic mice: Role of genotype-specific differences in normal cell growth. Genetic Concepts and Neoplasia. Baltimore, Williams & Wilkins Co., 1970, pp 477-517
- Mintz B, Slemmer G.: Gene control of neoplasia. I. Genotypic mosaicism in normal and preneoplastic mammary glands of allophenic mice. J Natl Cancer Inst 43:87-95, 1969
- 21. Slemmer GL: Recovery of non-neoplastic outgrowth from established lines of neoplastic mammary epithelium. Proc Am Assoc Cancer Res 9:65, 1968 (Abstr)
- 22. Slemmer G: Host response to premalignant mammary tissues. Natl Cancer Inst Monogr 35:57-71, 1972
- 23. Slemmer G: Requirement for the association of normal parenchymal cells with abnormal epithelial or myoepithelial cells during neoplastic progression in mammary gland. Proc Am Assoc Cancer Res 13:117, 1972 (Abstr)
- 24. Slemmer G: Interactions of separate types of cells during normal and neoplastic mammary gland growth. J Invest Dermatol 63:27-47, 1974
- Blair PB, DeOme KB, Nandi S: The preneoplastic state in mouse mammary carcinogenesis. Biological Interactions in Normal and Neoplastic Growth: A Contribution to the Host-Tumor Problem. Edited by MJ Brennan, WL Simpson. Boston, Little, Brown & Co., 1962, pp 371–389
- 26. Medina D, DeOme KB, Pitelka DR, Colley VB: Appearance of virus particles in BALB/c mammary nodule outgrowth lines transplanted into BALB/c f. C3H and (C3Hf × BALB/c)F1 mice. J Natl Cancer Inst 46:1153-1160, 1971
- 27. Squartini F, Olivi M, Bolis GB, Rabacchi R, Giraldo G: Reciprocal interference

between mouse mammary tumour virus and leukaemia virus. Nature 214:730–732, 1967

- 28. Medina D: Effect of hormone stimulation, dose, and time of administration of carcinogen on carcinogen-induced mammary tumors from preneoplastic nodule outgrowths. J Natl Cancer Inst 46:909–916, 1971
- 29. Medina D: Serial transplantation of methylcholanthrene-treated mammary nodule outgrowth line D1. J Natl Cancer Inst 48:1363-1370, 1972
- 30. Ohno S, Nagi Y: Genes in multiple copies as the primary cause of ageing. Genetic Effects on Ageing. Edited by D Bergsma, D Harrison. Washington, D.C., National Foundation–March of Dimes (In press)
- Shellabarger CJ: Effect of 3-methylcholanthrene and x irradiation, given singly or combined, on rat mammary carcinogenesis. J Natl Cancer Inst 38:73-77, 1967
- 32. Shellabarger CJ, Straub RF: Effect of 3-methylcholanthrene and fission neutron radiation, given singly or combined, on rat mammary carcinogenesis. J Natl Cancer Inst 48:185–187, 1972
- 33. Cameron AM, Faulkin LJ Jr: Hyperplastic and inflammatory nodules in the canine mammary gland. J Natl Cancer Inst 47:1277-1287, 1971
- Cameron AM, Faulkin LJ Jr: Subgross evaluation of the nonhuman primate mammary gland: Method and initial observations. J Med Primatol 3:298-310, 1974
- 35. Nandi S: New method for detection of mouse mammary tumor virus. I. Influence of foster nursing on incidence of hyperplastic mammary nodules in BALB/cCrgl mice. J Natl Cancer Inst 31:57-73, 1963
- 36. Nandi S: New method for detection of mouse mammary tumor virus. II. Effect of administration of lactating mammary tissue extracts on incidence of hyperplastic mammary nodules in BALB/cCrgl mice. J Natl Cancer Inst 31:75–89, 1963
- 37. Ankerst J, Jonsson N, Kjellén L, Norrby E, Sjögren HO: Induction of mammary fibroadenomas in rats by adenovirus type 9. Int J Cancer 13:286–290, 1974
- Nandi S, McGrath CM: Mammary neoplasia in mice. Adv Cancer Res 17:353-414, 1973
- 39. Blair PB, Blair SM, Lyons WR, Bern HA, Li CH: Effect of hormones and of parity on the occurrence of hyperplastic alveolar nodules and tumors in the mammary glands of female A/Crgl mice. Cancer Res 20:1640-1645, 1960
- 40. Medina D, DeOme KB, Young L: Tumor-producing capabilities of hyperplastic alveolar nodules in virgin and hormone-stimulated BALB/c f. C3H and C3Hf mice. J Natl Cancer Inst 44:167–174, 1970
- 41. Nandi S: Effect of hormones on maintenance of hyperplastic alveolar nodules in mammary glands of various strains of mice. J Natl Cancer Inst 27:187-201, 1961
- 42. Yanai R, Nagasawa H: Enhancement of pituitary isografts of mammary hyperplastic nodules in adreno-ovariectomized mice. J Natl Cancer Inst 46:1251–1255, 1971
- Ben-David M, Heston WE, Rodbard D: Mammary tumor virus potentiation of endogenous prolactin effect on mammary gland differentiation. J Natl Cancer Inst 42:207–218, 1969
- 44. Nandi S: Interactions among hormonal, viral, and genetic factors in mouse mammary tumorigenesis. Can Cancer Conf 6:69–81, 1966
- 45. Liebelt AG, Liebelt RA: Chemical factors in mammary tumorigenesis. Carcinogenesis: A Broad Critique. Baltimore, Williams & Wilkins Co., 1967, pp 315–345
- 46. Mühlbock O, Boot LM: Induction of mammary cancer in mice without the mammary tumor agent by isografts of hypophyses. Cancer Res 19:402–412, 1959
- 47. Sinha D, Dao TL: A direct mechanism of mammary carcinogenesis induced by 7,12-dimethyl-benz(α)anthracene. J Natl Cancer Inst 53:841-846, 1974
- 48. Sinha D, Dao TL: Site of origin of mammary tumors induced by 7,12-dimethylbenz(α)anthracene in the rat. J Natl Cancer Inst 54:1007-1009, 1975
- 49. Sinha D, Dao TL: Hyperplastic alveolar nodules of the rat mammary gland:

Tumor-producing capability in vivo and in vitro. Cancer Lett 2:153-160, 1977

- 50. Bern S, Nandi S: Personal communication
- 51. Fenig J, Arlen M, Livingston SF, Levowitz BS: The potential for carcinoma existing synchronously on a microscopic level within the second breast. Surg Gynecol Obstet 141:394-396, 1975
- 52. Robbins GF, Berg JW: Bilateral primary breast cancer: A prospective clinicopathological study. Cancer 17:1501-1527, 1964
- 53. Brem SS, Gullino PM, Medina D: Angiogenesis: A marker for neoplastic transformation of mammary papillary hyperplasia. Science 195:880–882, 1977
- 54. Gimbrone MA Jr, Gullino PM: Neovascularization induced by intraocular xenografts of normal, preneoplastic, and neoplastic mouse mammary tissues. J Natl Cancer Inst 56:305–318, 1976
- 55. Brem SS, Jensen HM, Gullino PM: Angiogenesis as a marker of neoplastic and preneoplastic lesions of the human breast. (In press)
- 56. Folkman J: Tumor angiogenesis. Biology of Tumors: Cellular Biology and Growth. Edited by FF Becker. New York, Plenum Publishing Corp., 1975, pp 355–388
- 57. Folkman J: Tumor angiogenesis. Adv Cancer Res 19:331-358, 1974
- 58. Gimbrone MA Jr, Leapman SB, Cotran RS, Folkman J: Tumor angiogenesis: Iris neovascularization at a distance from experimental intraocular tumors. J Natl Cancer Inst 50:219-228, 1973
- 59. Gimbrone MA Jr, Cotran RS, Leapman SB, Folkman J: Tumor growth and neovascularization: An experimental model using the rabbit cornea. J Natl Cancer Inst 52:413-427, 1974
- 60. Jensen HM, Wellings SR: Preneoplastic lesions of the human mammary gland transplanted into the nude athymic mouse. Cancer Res 36:2605-2610, 1976
- 61. Diezel PB, Heilmann K: Zytologische und zytochemische Untersuchungen am Mamillensekret. Verh Dtsch Ges Pathol 57:347-350, 1973
- 62. Petrakis NL, Mason L, Lee R, Sugimoto B, Pawson S, Catchpool F: Association of race, age, menopausal status, and cerumen type with breast fluid secretion in nonlactating women, as determined by nipple aspiration. J Natl Cancer Inst 54:829-834, 1975
- 63. Dao TL, Sinha D, Christakos S, Varela R: Biochemical characterization of carcinogen-induced mammary hyperplastic alveolar nodule and tumor in the rat. Cancer Res 35:1128-1134, 1975
- 64. Hohmann P, Bern HA, Cole RD: Responsiveness of preneoplastic and neoplastic mouse mammary tissues to hormones: Casein and histone syntheses. J Natl Cancer Inst 49:355-360, 1972
- 65. Hilf R, Rector W, Abraham S: A glucose-6-phosphate dehydrogenase isoenzyme characteristic of preneoplastic and neoplastic mouse mammary tissue. J Natl Cancer Inst 50:1395–1398, 1973
- 66. Hilf R, Ickowicz R, Bartley JC, Abraham S: Multiple molecular forms of glucose-6-phosphate dehydrogenase in normal, preneoplastic, and neoplastic mammary tissues of mice. Cancer Res 35:2109-2116, 1975
- 67. Turkington RW: Regulation of gene expression in normal and neoplastic mammary cells: A review. J Natl Cancer Inst 48:1231-1234, 1972
- Brooks CL, Welsch CW: Inhibition of mammary dysplasia in estrogen-treated C3H/HeJ female mice by treatment with 2-bromo-α-ergocryptine. Proc Soc Exp Biol Med 145:484-487, 1974
- 69. Brooks CL, Welsch CW: Reduction of serum prolactin in rats by 2 ergot alkaloids and 2 ergoline derivatives: A comparison. Proc Soc Exp Biol Med 146:863-867, 1974
- 70. Cassell EE, Meites J, Welsch CW: Effects of ergocornine and ergocryptine on

growth of 7,12-dimethylbenz(α)anthracene-induced mammary tumors in rats. Cancer Res 31:1051–1053, 1971

- 71. Welsch CW: Prophylaxis of early preneoplastic lesions of the mammary gland. Cancer Res 36:2621-2625, 1976
- 72. Hui YH, DeOme KB, Briggs GM: Inhibition of spontaneous development of hyperplastic alveolar nodules and mammary tumors in C3H mice fed phenylalanine-deficient diets. J Natl Cancer Inst 47:687-695, 1971
- 73. Hui YH, DeOme KB, Briggs GM: Inhibition of transformation of mammary preneoplastic nodules to tumor in C3H mice fed a phenylalanine-deficient diet. J Natl Cancer Inst 47:245-251, 1971
- 74. Abell CW, Hodgins DS, Stith WJ: An in vivo evaluation of the chemotherapeutic potency of phenylalanine ammonia-lyase. Cancer Res 33:2529–2532, 1973
- Fritz RR, Hodgins DS, Abell CW: Phenylalanine ammonia-lyase: Induction and purification from yeast and clearance in mammals. J Biol Chem 251:4646-4650, 1976
- 76. Slemmer G: Host response to premalignant mammary tissues. Natl Cancer Inst Monogr 35:57-71, 1972
- 77. Slemmer G: Effects of immunity on mouse mammary cancer. Experimental Cancer Therapy, Vol 2, Oncology. Edited by RL Clark, RW Cumley, JE McCay, MM Copeland. Chicago, Year Book Medical Publishers, Inc., 1971, pp 483–494
- Lavrin DH, Blair PB, Weiss DW: Immunology of spontaneous mammary carcinomas in mice. III. Immunogenicity of C3H preneoplastic hyperplastic alveolar nodules in C3Hf hosts. Cancer Res 26:293–304, 1966
- 79. Lavrin DH, Blair PB, Weiss DW: Immunology of spontaneous mammary carcinomas in mice. IV. Association of the mammary tumor virus with the immunogenicity of C3H nodules and tumors. Cancer Res 26:929–934, 1966
- Lavrin DH: Immunology of spontaneous mammary carcinomas in mice: Immunogenicity of mammary tumor virus-containing tissues in mammary tumor virus-free C3H/2 hosts. Cancer Res 30:1156-1162, 1970
- 81. Blair PB, Kripke ML, Lappé MA, Bonhag RS, Young L: Immunologic deficiency associated with mammary tumor virus (MTV) infection in mice: Hemagglutinin response and allograft survival. J Immunol 106:364–370, 1971
- Basombrio MA, Prehn RT: Studies on the basis for diversity and time of appearance of antigens in chemically induced tumors. Natl Cancer Inst Monogr 35:117-124, 1972
- 83. Mondal S, Iype PT, Griesbach LM, Heidelberger C: Antigenicity of cells derived from mouse prostate cells after malignant transformation *in vitro* by carcinogenic hydrocarbons. Cancer Res 30:1593–1597, 1970
- 84. Beckwith JB, Perrin EV: In situ neuroblastomas: A contribution to the natural history of neural crest tumors. Am J Pathol 43:1089–1104, 1963
- 85. Munsie WJ, Foster EA: Unsuspected very small foci of carcinoma of the prostate in transurethral resection specimens. Cancer 21:692–698, 1968
- 86. Mortensen JD, Woolner LB, Bennett WA: Gross and microscopic findings in clinically normal thyroid glands. J Clin Endocrinol Metab 15:1270-1280, 1955
- 87. Ashley DJB: On the incidence of carcinoma of the prostate. J Pathol Bacteriol 90:217-224, 1965
- 88. Foulds L:fi The experimental study of tumor progression: A review. Cancer Res 14:327–339, 1954
- 89. Foulds L: Neoplastic Development, Vol 2. New York, Academic Press, Inc., 1975, pp 549-636
- 90. Wheelock EF, Caroline NL: Suppression of established Friend virus leukemia by statolon. II. Significance of interferon in long-term remissions. L'Interferon. Paris, Institute Nationale de la Santé et de la Recherche Medicale, 1970, pp 305–313

- 91. Wheelock EF, Toy ST, Caroline NL, Sibal LR, Fink MA, Beverley PCL, Allison AC: Suppression of established Friend virus leukemia by statolon. IV. Role of humoral antibody in the development of a dormant infection. J Natl Cancer Inst 48:665-673, 1972
- 92. Brem S, Brem H, Folkman J, Finkelstein D, Patz A: Prolonged tumor dormancy by prevention of neovascularization in the vitreous. Cancer Res 36:2807-2812, 1976
- Brem H, Folkman J: Inhibition of tumor angiogenesis mediated by cartilage. J Exp Med 141:427-439, 1975
- 94. Brem H, Arensman R, Folkman J: Inhibition of tumor angiogenesis by a diffusible factor from cartilage. Extracellular Matrix Influences on Gene Expression. Second International Santa Catalina Colloquium. Edited by HC Slavkin, RC Grevlich. New York, Academic Press, Inc., 1975, pp 767–772
- 95. Eisenstein R, Sorgente N, Soble LW, Miller A, Kuettner KE: The resistance of certain tissues to invasion: Penetrability of explanted tissues by vascularized mesenchyme. Am J Pathol 73:765-774, 1973
- 96. Eisenstein R, Kuettner KE, Neapolitan C, Soble LW, Sorgente N: The resistance of certain tissues to invasion. III. Cartilage extracts inhibit the growth of fibroblasts and endothelial cells in culture. Am J Pathol 81:337–348, 1975
- 97. Gimbrone MA Jr, Leapman SB, Cotran RS, Folkman J: Tumor dormancy in vivo by prevention of neovascularization. J Exp Med 136:261-276, 1972
- 98. Langer R, Brem H, Falterman K, Klein M, Folkman J: Isolation of a cartilage factor that inhibits tumor neovascularization. Science 193:70-72, 1976
- 99. Sorgente N, Kuettner KE, Soble LW, Eisenstein R: The resistance of certain tissues to invasion. II. Evidence for extractable factors in cartilage which inhibit invasion by vascularized mesenchyme. Lab Invest 32:217-222, 1975
- 100. Clutterbuck H: An Inquiry into the Seat and Nature of Fever, Second edition. London, 1825