

# **African Tuberculosis Vaccine Trial (AFTBVAC)**

**A phase I study of the safety and immunogenicity of MVA85A in healthy  
Gambian volunteers**

**MVA to BCG vaccinated**

**SCC number 920**

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## **Study Protocol**

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## Study Management

All questions concerning this protocol should be sent via e-mail to [hibanga@mrc.gm](mailto:hibanga@mrc.gm).

For Questions About Inclusion/Exclusion Criteria, The Schedule Of Events, Case Report Forms, Entering Waivers For Randomization/Registration Exemptions, Transfers, And Delinquencies, And Other Data Management Issues, the Project Data Manager will respond:

Send an e-mail message to [phill@mrc](mailto:phill@mrc) or [rbrookes@mrc.gm](mailto:rbrookes@mrc.gm)  
Include the MRC number and household number  
Give a detailed description of the question

### **For Adverse Events Questions:**

Send an e-mail message to [hibanga@mrc.gm](mailto:hibanga@mrc.gm) or  
Call MRC ext 359

## Schema

TITLE: A phase I study of the safety and immunogenicity of MVA85A in healthy Gambian volunteers

LOCATED: MRC Labs, Fajara, The Gambia

ESTIMATED COMPLETION DATE: June 30, 2004

DESIGN: A dose-escalating open label non-randomised Phase I trial of a new TB subunit vaccine.

SAMPLE SIZE: 12 subjects .

POPULATION: Subjects will be adults 18 to 45 years of age.

OBJECTIVE: To assess the safety and immunogenicity of a recombinant MVA vaccine expressing the tuberculosis antigen 85A, MVA85A, in healthy Gambian volunteers.

## 1.0 INTRODUCTION

### 1.1 Background

The huge scale of global TB mortality, the increasing prevalence of HIV infection and the spread of multi-drug resistant TB, along with the variable efficacy of BCG against pulmonary TB, has led to renewed efforts to develop effective TB vaccines. Two types of approach are being developed, the use of genetically modified mycobacteria and subunit vaccines. The former approach may be some way from clinical testing and there are major regulatory hurdles to the deployment of such vaccines, so much TB vaccine research and development now focuses on new subunit vaccines. These will have to work by stimulating the cellular arm of the immune system. The field of induction of T cell mediated protection by subunit vaccines is a new one, but important for the development of new vaccines for malaria, HIV and cancers as well as TB. CD4 T cells that activate macrophages are of central importance in TB immunity as illustrated by the increased susceptibility of HIV positive individuals to TB. Evidence from gene knockout mice, adoptive transfer experiments in mice and human correlative analyses also support a protective role for classical CD8 T cells in both mice and humans. Thus new TB subunit vaccines should aim to induce both CD4 and CD8 T cell responses. Current subunit vaccines divide into those that efficiently boost pre-existing T cell responses, such as MVA, fowlpox and adenovirus vectors and those that do not, such as DNA, lipopeptides and particles. Recombinant proteins that are non-particulate generally induce no, or very weak, CD8 T cell responses whatever adjuvant is used, because they fail to access intracellular class I processing pathways. In the developing world a large proportion of healthy individuals have T cell responses to mycobacteria because they are latently infected with *Mtb* or other mycobacteria, and *Mtb* infected individuals are at increased risk of clinical tuberculosis compared to non-infected. These individuals require a post-exposure vaccine that will enhance their immune responses and prevent disease. For these there is a good case for using two boosting vectors, such as FP9 and MVA, rather than just one (the MVA in DNA-MVA) in heterologous prime-boost immunisation strategies. Differences between the immunogenicity and protective efficacy of BCG vaccine in Europe and Africa make a strong case for early assessment of new subunit vaccines in Africa. Immunogenicity studies have recently demonstrated that most immunised Malawians had pre-existing anti-PPD T cell responses that were not enhanced further by BCG vaccination, in marked contrast to the UK vaccinees in which BCG vaccine was highly immunogenic. Prior exposure to environmental mycobacteria in Africa probably pre-sensitises most individuals to mycobacterial antigens and BCG vaccine then fails to generate or boost *Mtb*-specific responses, probably because its replication is impaired. BCG is also ineffective as a therapeutic vaccine in animals. In contrast to BCG, the MVA and FP9 viral vectors should enhance anti-mycobacterial responses in Africans because of their excellent boosting abilities and their capacity to strongly boost immune responses post-BCG immunisation, thereby providing the basis for a post-exposure TB vaccine. But clearly this key question needs to be assessed directly. The importance of early African studies of prime-boost vaccine regimes has been demonstrated in malaria vaccine trials. Higher immunogenicity of DNA-MVA malaria vaccines was observed in Africans compared to using the same vaccines in Europeans. Notably these Africans were previously malaria-exposed, providing evidence that MVA can boost pre-existing T cells responses (both CD4 and CD8) in Africans.

Secreted antigens from *M. tuberculosis* are released from actively metabolising bacteria, and are important targets in protective immunity. Antigen 85A is a major secreted antigen from *M. tuberculosis* which forms part of the antigen 85 complex (A, B and C). This complex constitutes a major portion of the secreted proteins of both *M.tb* and BCG. It is involved in fibronectin binding within the cell wall and has mycolyltransferase activity. Dr Huygen and colleagues have cloned and characterised this antigen and demonstrate that it is a protective antigen in small animal TB challenge models, particularly when used as a DNA vaccine. MVA85A induces both a CD4+ and a CD8+ epitope when used to immunise mice. When mice are primed with BCG and then given MVA85A as a boost, the levels of CD4+ and CD8+ T cells induced are higher than with either BCG or MVA85A alone. The Oxford co-investigators have extended this work to studies in guinea pigs with prime-boost immunisation regimes in which Ag85A was protective, to macaques where both MVA85A and FP9-85A were strongly immunogenic. In Oxford phase I clinical studies with the same viral vector are currently being carried out. These latter clinical trials in Europe seek to evaluate initially and sequentially the safety and the immunogenicity of BCG, MVA85A and FP9-85a used individually and in heterologous prime-

boost regimes. Initially, non-mycobacterially infected individuals (skin-test and ELISPOT negative) are being studied, which is to be followed by studies of healthy infected contacts.

## 1.2 Rationale

An effective TB vaccine for use in the developing world must be of low-cost and have high stability. The recombinant MVA vaccine can be manufactured economically to high titre in a GMP manufacturing facility. Costs of manufacturing poxviruses are substantially lower than DNA vaccines on a dose per dose basis and these viruses may be freeze dried for transport without a cold chain.

The parental vaccinia virus was once used as a smallpox vaccine (MVA) was previously deployed world-wide to successfully eliminate smallpox and was not associated with serious adverse effects. MVA appears to be an exceptionally safe viral vector and was used recently without inducing significant adverse events even in severely immunocompromised macaques. Over 400 people, including over 250 Gambians adults and children, have now been immunised with various recombinant MVA investigational vaccines including constructs expressing malaria, HIV, hepatitis B and melanoma antigens without significant adverse events.

## 1.3 Study Design

The study is a non-randomized clinical trial. Twelve volunteers will be recruited. They would be given  $5 \times 10^7$  pfu of the MVA85A vaccine intradermally once. The subjects will be required to stay in the unit for an hour after each vaccination. Local and systemic adverse events would be recorded. Blood samples will be taken at the screening visit, day of immunisation, 1 week after vaccination, then at 2, 4, 8, 12 and 24 weeks after the vaccination. If the vaccine were shown to be safe, a higher dose ( $1 \times 10^8$  pfu) of the vaccine would be administered to a second group of healthy volunteers (separate study, identical protocol).

## 2.0 STUDY OBJECTIVES

1. To assess the safety and immunogenicity of a modified vaccinia Ankara, antigen 85A subunit vaccine (MVA-Ag85A) in African volunteers who are without evidence of mycobacterial infection (M-uninfected – MUn).

## 3.0 SELECTION AND ENROLLMENT OF SUBJECTS

### 3.1 Inclusion Criteria

#### **MUn volunteers**

- Healthy adult male aged 18-45 years.
- Normal medical history and physical examination. Minor physical ailments e.g. Fungal skin infections, will not be sufficient to define a physical examination as abnormal.
- Normal urine dipstick, blood count, liver enzymes, and creatinine
- Frequency  $< 4$  SFU per/well/ $3 \times 10^5$  PBMC as determined by ELISPOT with ESAT6/CFP-10 antigens and less than 100 SFU per/well/ $1 \times 10^6$  PBMC as determined by ELISPOT with PPD.
- Mantoux negative (0.0 mm induration).
- Normal Chest X-ray.
- Willing to donate blood samples as required by the protocol

### 3.2 Exclusion criteria

#### **MUn volunteers**

- Clinically significant history of skin disorder (eczema, psoriasis, etc.), allergy, immunodeficiency, cardiovascular disease, respiratory disease, endocrine disorder, liver disease, renal disease, gastrointestinal disease or neurological illness.
- Any clinical evidence of immunosuppression such as oral candida, stomatitis, aphthous or septic ulceration, septic skin lesions or any clinical or laboratory evidence of infection or immunocompromise.
- History of splenectomy
- Haematocrit of less than 30%
- Serum creatinine concentration >130mmol
- Serum ALT concentration >80IU/L
- Blood transfusion within one month of the beginning of the study
- History of vaccination with any previous experimental poxvirus vaccine
- Administration of any other vaccine or immunoglobulin within two weeks before or two weeks after vaccination.
- Positive HIV antibody test, evidence of HBV (Hepatitis B vaccination is not an exclusion criteria)..
- Current participation in another clinical trial, or within 12 weeks of this study
- Any other finding which in the opinion of the investigators would increase the risk of an adverse outcome from participation in the trial.
- Likelihood of travel away from the study area for the duration of the study
- Untreated malaria infection

### 3.3 Enrollment Procedures

Subjects will be recruited from at the healthy relatives of patients at the MRC Gate or Outpatient Clinic, MRC staff and their relatives, external controls from the current TBCC study, healthy blood donors at the Royal Victoria Hospital and youth groups of mosques and churches, football clubs. The purpose of the study would be described to the prospective volunteers and what it involves for participants. At the at the MRC the nature of the trial will be fully explained including the methods of vaccination, observation details, spectrum of likely side effects, follow-up details and extent of blood sampling. Volunteers must understand that these vaccines have not yet been shown to prevent tuberculosis and this will be stressed during the recruitment stage.

### 3.4 Substudies

Substudies will take place; these will be all covered in the consent forms.

### 3.5 Co-enrollment Guidelines:

As is MRC policy, study subjects are encouraged not to be involved in other studies. Certainly they should not be subjects in a vaccine trial or any drug trial.

## 4.0 **CLINICAL AND LABORATORY EVALUATIONS**

### 4.1 Pre-entry/Entry Evaluations

#### 4.11 Screening

Details of the study will be carefully discussed with the subjects, who will be asked to read and sign (or thumb-print) an informed consent approved by the combined MRC/Gambian government ethics committee prior to any study-related evaluations being performed. The subjects that agree to enroll and have signed consent documentation will be assessed at the AFTBVAC clinic at MRC as described below.

#### 4.12 Entry

Subjects will be recruited at the MRC AFTBVAC clinic. Subjects will be screened in the eight weeks prior to entering the study. The screen will consist of checking subject eligibility and a full physical examination. The following will be carried out: height, weight, vital signs, heamatology (haemoglobin, packed cell volume, white



cell counts, platelets, blood film for malaria parasites), serum chemistry (electrolytes and creatinine, liver enzymes, serum bilirubin and proteins), Mantoux test, ELISPOT, Chest X-ray, anti-vaccinia antibodies, anti-HBV antibodies, , anti-HIV antibodies, urinalysis. All chest X-rays will be reviewed by two clinicians to confirm no radiological sign of Tuberculosis.

## 4.2 Evaluations During study

### 4.2.1 Scheduled follow-up

Day -2 (Two days before the vaccination): Volunteers will have the Day 0 bleed done and results given to the study physician by the Day -1 (day before the vaccination).

Vaccination: Day 0: Prior to being vaccination, the study physician would confirm that the volunteers are ESAT 6 and CFP 10 negative. A paper report of this would be included in the CRF. On each vaccination day subjects will visit the clinical site. The following assessments will be performed pre-dosing: vital signs (20 minutes pre-dose) and blood samples collected for heamatology (haemoglobin, packed cell volume, white cell counts, platelets, blood film for malaria parasites), serum chemistry (electrolytes and creatinine, liver enzymes, serum bilirubin and proteins) and immunological assays. Provided vital signs are is satisfactory, subjects will receive the first vaccination. Subjects will have a dressing applied over the injection site, which will remain for at least one hour after the vaccination. Post vaccination vital signs will be taken at 30 and 60 minutes. Any adverse events (AEs) noted by the study personnel or described by the volunteer will be documented. Concomitant medication given will be documented. The volunteer will remain at the clinical area for one hour following vaccination and will then be allowed to return home.

**Day 1 and 2:** On the first and second day after vaccination subjects will be visited at home by a field worker or they will return to the clinical area. The injection site will be examined and the subjects will be questioned for AEs and use of any concomitant medications.

**Day 7:** Subjects will be visited at home by a field worker or they will return to the clinical area. Vital sign assessments will be performed. Blood samples will be taken for heamatology( haemoglobin, packed cell volume, white cell counts, platelets, blood film for malaria parasites), serum chemistry (electrolytes and creatinine, liver enzymes, serum bilirubin and proteins) and immunological assays. The injection site will be examined and the subjects will be questioned for AEs and concomitant medications.

**Day 14, 28, 60, 90, and 180:** Subjects will return to the clinical site for vital signs assessment and blood samples will be collected for heamatology (haemoglobin, packed cell volume, white cell counts, platelets, blood film for malaria parasites), serum chemistry (electrolytes and creatinine, liver enzymes, serum bilirubin and proteins) and immunological assays. The injection site will be examined and the subjects will be questioned for AEs and concomitant medications.

## 4.3 Subjects who prematurely discontinue the study

Subjects who leave the study at any time, may do so without repercussions. They may still access medical care at the MRC, and will not be turned away.

## 4.4 Post study Evaluations:

The injection site will be examined and the subjects asked about AEs. It is possible that they will be asked in the future to provide samples for genetic studies.

## 5.0 DATA COLLECTION AND MONITORING AND ADVERSE EXPERIENCE REPORTING

### 5.1 Records to Be Kept

A regulatory folder will contain:

- SCC document
- Project Management plan
- Protocol with appendices
- Letter of ethical approval
- Information sheets and blank forms
- Signed consent forms for each subject

A protocol deviations folder will contain documentation of all pre-planned deviations from the protocol and their justification.

A protocol violations folder will contain documentation of unplanned protocol violations.

All filled in paper forms will be filed. Individual medical records will be filed together.

All data on the Case Report Forms (CRF) must be legibly recorded in blue or black ink or typed. A correction should be made by striking through the incorrect entry with a single line and entering the correct information adjacent to it. The correction must be initialed and dated by the investigator or a designated, qualified individual.

Any requested information that is not obtained as specified in the protocol should have an explanation noted on the CRF as to why the required information was not obtained.

## 5.2 Role of Data Management

The Data manager will be responsible for receiving, entering, cleaning, querying, analysing, and storing the data which accrues from the study. He will be responsible for linking the epidemiological and clinical data from the field and the clinic with the laboratory data from the immunology, microbiology, haematology and genetics laboratories.

## 5.4 Adverse Events (AE) Reporting

Adverse events, however minor, will be recorded as observed by the Investigators or as volunteered by the subject. Full details will be documented in the CRF whether or not the Investigator or his deputies consider the event to be related to the trial substance.

## 5.5 Serious Adverse Events (SAE) Reporting

Serious adverse events (SAEs) that occur during the study or within six months of the final vaccination will be notified immediately (within 24 hours) by telephone to the Safety Monitor, Gambian Regulatory Authorities/ Ethics Committee, Collaborators and funding agency.

Serious adverse event are defined as an event that:

- results in death;
- is life-threatening (*i.e.*, the subject was at risk of death at the time of the event);
- requires or prolongs in-patient hospitalization;
- results in persistent or significant disability/incapacity;
- is a congenital anomaly/birth defect;
- is a cancer.

### **Note:**

Proven malaria events will not be included as an SAE.

- Name of reporting doctor and contact telephone number.
- Study number.
- Nature of adverse event.
- Subject details (number, initials, sex, date of birth, weight and age).
- Date and time of event.
- Date and time of MVA85A administration and dose.
- Other drug history.
- Other relevant history.
- Outcome.
- Causality.

The event will be documented on the SAE page of the CRF and reported to the Safety Monitor, Gambian Regulatory Authorities/ Ethics Committee, Collaborators and funding agency as appropriate. Other adverse events will be graded according to Appendix 4. If any SAE occurs then that volunteer will not be administered further vaccinations. After the ethics committee's response to the SAE is received, the Principal Investigator, Clinical Monitor and available co-investigators will meet to determine the future plan for the study, which could involve amending the protocol, discontinuing the vaccinations, or continuing unchanged for the other volunteers.

## **6.0 STATISTICAL CONSIDERATIONS**

### 6.1 General Design Issues

A total of 12 subjects will be sufficient to provide descriptive data. As some of the subjects may drop out of the study, 12 subjects. However, only 10 individuals will be given the vaccine.

### 6.2 Outcomes of interest

#### 6.21 Safety of the Vaccine

This will be determined by the degree and number of adverse events reported.

#### 6.22 Immunogenicity of this vaccine

It is expected that the vaccine will stimulate T cell responses, which will be measured by interferon –gamma Elispot assays.

### 6.3 Sample Size and Accrual

Formal sample sizing calculations have not been performed but it is believed that the sample size of 10 subjects will be sufficient for this purpose.

No attempt will be made in the study to conceal the allocation group of the subjects either from the subjects themselves, the investigators or laboratory personnel.

### 6.4 Monitoring and Analysis

The data manager will be responsible for monitoring the trial. This will include confirming the existence of the appropriate documents in the regulatory folder and of source documents for all entered data. He would also assess the consistency of data, missing data, and abnormal data and generate queries to be addressed by the investigators. The data manager will be responsible for data entry, data cleaning and for initial analysis of the results.

The main analyses will be descriptive and comparative.

## **7.0 HUMAN SUBJECTS**

### **7.1 Institutional Review Board (IRB) Review and Informed Consent**

The study has been approved by the joint MRC/ Gambia government ethical committee. Written informed consent will be obtained from the subject (or parent, legal guardian, or person with power of attorney for subjects who cannot consent for themselves, such as, those below the legal age). The subject's assent must also be obtained if he or she is able to understand the nature, significance and risks associated with the study. The informed consent will describe the purpose of the study, the procedures to be followed, and the risks and benefits of participation. A signed copy of the consent form will be given to the subject (or parent or legal guardian).

### **7.2 Subject Confidentiality**

All records will be kept in a secure place. All data on computer files will have restricted access. Clinical information will not be released without written permission of the subject, except as necessary for monitoring.

### **7.3 Study Discontinuation**

The study may be discontinued by the MRC SCC or the combined MRC/Gambian government ethics committee.

## **8.0 PLANS FOR DISTRIBUTION OF RESEARCH FINDINGS TO STUDY COMMUNITY**

At the end of the study, a research report of the methods, detailed results, and brief conclusions will be prepared for distribution to the collaborators. A simplified lay document will be made available to study subjects.

## **9.0 BIOHAZARD CONTAINMENT**

As the transmission of HIV and other blood-borne pathogens can occur through contact with contaminated needles, blood, and blood products, appropriate blood and secretion precautions will be employed by all personnel in the drawing of blood and shipping and handling of all specimens for this study, according to the MRC safety manual.

## APPENDICES

## APPENDIX 1-EQUIPMENT FOR FIELDWORK

### A. Recruitment team Staff

- Field worker supervisor for pre-recruitment visit
- Recruitment team leader
- Field researchers x 2

### B. Transport

- Landrover x 1 with driver
- Motorbikes- field worker supervisor, genetics fieldworker, Mantoux reading

### C. Equipment

- Bags to carry equipment
- Two cold boxes
- Ice packs
- Sharp container
- Alcohol swabs, cotton swabs, tissue papers
- Scales for weighing, rulers
- MVA85A and PPD vials
- Note books and all forms
- Syringes and needles
- Mobile phones
- Drugs- analgesics, antimalarials, antihistamines, antihelmentics, mutlivitamins
- Tubes for blood samples
- Address of subjects
- Height measuring equipment
- Resuscitation box containing Ambu bag and face masks, endotracheal tubes, oropharyngeal airways, laryngoscopes and blades, batteries
- Resuscitation drugs- adrenaline, antihistamines, intravenous fluids, oxygen (available on adjacent ward)