# Differentiation and DNA Contact Points of Host Proteins Binding at the *cis* Site for Virion-Mediated Induction of $\alpha$ Genes of Herpes Simplex Virus 1

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Transcriptional *trans*-activation of the five herpes simplex virus 1  $\alpha$  genes by the  $\alpha$  *trans*-inducing factor requires a cis-acting site (aTIC; with the consensus 5'-GyATGnTAATGArATTCyTTGnGGG-3') located in the promoter-regulatory domains of the  $\alpha$  genes. In DNA band shift assays with nuclear extracts from either mock-infected or infected cells, the DNA fragments containing an  $\alpha$ TIC sequence from the  $\alpha$ 0,  $\alpha$ 4, and  $\alpha$ 27 genes formed several cellular protein-DNA complexes designated  $\alpha$ H1,  $\alpha$ H2, and  $\alpha$ H3. The host proteins that formed the aH2 and aH3 complexes were differentiated from those that formed the aH1 complex but not from each other by chromatography and specificity of the DNA-binding sites. The  $\alpha$ H1 proteins protected the  $\alpha$ TIC sequence of all three genes from DNase I digestion. Methylation of the purines in the sequence 5'-GyATGnTAAT-3' located at the 5' terminus of the  $\alpha$ TIC sites precluded the binding of  $\alpha$ H1. The binding site of the  $\alpha$ H2- $\alpha$ H3 proteins in the  $\alpha$ 27 gene  $\alpha$ TIC overlapped, in part, with the  $\alpha$ H1-binding site. The binding of these proteins was precluded by methylation of the purine residues in the sequence 5'-GCCACGTG-3' located at the 3' terminus of the DNase I footprint. The maximum apparent molecular weight of  $\alpha$ H1 was 110,000, whereas that of aH2-aH3 was 64,000. A protein designated aH2', resembling aH2-aH3 with respect to molecular weight and chromatographic properties but differing in sequence specificity, bound to a site adjacent to the  $\alpha$ H1 site in the fragment carrying an  $\alpha$ TIC sequence of the  $\alpha$ 4 gene.  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 or  $\alpha$ H2' bound concurrently, notwithstanding the apparent overlap in the DNase I footprints.

The 70+ genes of herpes simplex virus 1 (HSV-1) expressed during productive infection are tightly regulated in a cascade fashion (17, 18). Interest in the regulation of HSV-1 genes stems from the complexity of the HSV-1 genome, the diversity of the trans-activating factors, and the increasing realization that the activation of the productive infection is subordinate to a regulated process that determines whether the virus will replicate or remain latent. Because this process occurs very early in infection, the focus of many of the studies is on the  $\alpha$  genes, the first set of genes transcribed after infection (17, 18). Unique among diverse families of viruses, HSV-1 encodes a virion protein which activates in trans the transcription of the five  $\alpha$  genes (1, 42). The trans-activating protein, identified as the infected cell protein 25 (17), or Vmw65 by Campbell et al. (5), and designated as the  $\alpha$  trans-inducing factor ( $\alpha$ TIF [40]), is contained in the tegument (1), a virion component located between the capsid and the envelope of the virus. *trans*-Induction by  $\alpha$ TIF requires specific cis-acting elements, including a short ATrich sequence designated as the  $\alpha$ TIC ( $\alpha$  trans-induction cis site), which is present in one to several copies in the 5' promoter-regulatory regions of all  $\alpha$  genes (4, 5, 8, 23, 29–31, 34, 40, 45, 49). In these studies in which deletion mutations and chimeric gene constructs were used, it has been demonstrated that the  $\alpha$ TIC sequence can confer  $\alpha$ -gene regulation on some recipient promoter-indicator genes.

Studies designed to detect the direct binding of the  $\alpha$ TIF protein to the  $\alpha$ TIC site have not been successful. These experiments have revealed, however, that DNA fragments containing representative  $\alpha$ TIC sequences bind host cell rather than viral-encoded proteins (26). Earlier, we reported (26) that in DNA band shift experiments, DNA fragments

containing  $\alpha$ TIC sites formed several complexes with proteins in nuclear extracts of mock-infected or infected cells. Of the three complexes designated  $\alpha$ H1,  $\alpha$ H2, and  $\alpha$ H3, the most prominent,  $\alpha$ H1, was shown to protect the domain of the  $\alpha$ TIC sequence of the  $\alpha$ 27 gene from DNase I digestion (26). Here we report on the properties of the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins and on the DNA sequences with which they interact.

The promoter-regulatory domains of  $\alpha$  genes possess several significant features. Foremost, the sequences upstream from the transcription initiation site of  $\alpha$  genes are separable into promoter domains, which confer upon recipient genes the capacity to be expressed, and regulatory domains, which confer to both  $\alpha$  and non- $\alpha$  promoters the capacity to be regulated as  $\alpha$  genes (29–31). In addition to the  $\alpha$ TIC sequences, the regulatory domains of  $\alpha$  genes contain GC-rich regions identified in at least one gene ( $\alpha$ 4) to be binding sites for the transcriptional factor SP1 (19, 30). Homologs of the immunoglobulin enhancer element (10, 51) were also identified within  $\alpha$ -gene promoter domains. Lastly, several of the genes have been shown to contain the binding sites for  $\alpha 4$ , the major regulatory protein specified by HSV-1 in infected cells (22, 24, 25, 37). The binding sites and the corresponding factors appear to account for the constitutive expression of  $\alpha$  genes in cells or, in the case of the  $\alpha 4$ protein, for the negative regulation of  $\alpha$ -gene expression late in infection (4, 23, 38, 39, 44, 56). They do not account for the virion-mediated induction of these genes. There is, to date, very little information on the mechanism by which  $\alpha$ TIF trans-activates the transcription of  $\alpha$  genes. Inasmuch as aTIF and its cis-acting site must play a key role in the initiation of viral gene expression after infection, elucidation of the mechanism by which aTIF initiates the expression of viral genes after infection requires a more thorough under-

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standing of the interaction of the cellular proteins with the  $\alpha TIC$  sequences.

### **MATERIALS AND METHODS**

Cells and protein extracts. HeLa cells were grown to confluency in 850-cm<sup>2</sup> roller bottles in Dulbecco modified Eagle medium supplemented with 5% fetal bovine serum. The nuclei were washed once in 0.05% Nonidet P-40 prior to protein extraction with 0.42 M KCl (9). The extract was dialyzed for 5 h and cleared by centrifugation at  $25,000 \times g$  for 30 min. Typical extracts contained 8 to 12 mg of protein per ml.

Clones, DNA probes, and competitor DNAs. The DNA fragments used in this study were cloned by standard techniques. All enzymes were used according to the recommendations of the manufacturer. The derivations of cloned DNAs are referenced or described in the appropriate figure legend. All DNA fragments were extracted from polyacrylamide gels (32) after electrophoretic separation of restriction endonuclease digests of cesium chloride gradient-purified plasmid DNAs. The concentrations of DNA fragments were determined by comparison with known standards in agarose gels. Probe DNA fragments were dephosphorylated with calf intestinal alkaline phosphatase (Boehringer Mannheim Biochemicals, Indianapolis, Ind.) and 5' end-labeled with  $[\gamma$ -<sup>32</sup>P]ATP (>5,000 Ci/mmol; New England Nuclear Corp., Boston, Mass.) with T<sub>4</sub> polynucleotide kinase (United States Biochemical Corp., Cleveland, Ohio) to an average activity of 60,000 cpm/ng of DNA fragment. Probe DNA fragments used for the DNase I and methylation studies were prepared from plasmid DNAs digested with a restriction enzyme, 5' end-labeled as described above, redigested with a second enzyme, and extracted from polyacrylamide gels.

DNA-protein binding assays. DNA-protein binding assays (12–14, 54, 55) were done as follows. A total of 0.5 ng of probe DNA was incubated with 5  $\mu$ g of protein extract, 3  $\mu$ g of poly(dI)-poly(dC) or 3  $\mu$ g of poly(dA-dT)-poly(dA-dT) (P-L Biochemicals, Inc., Milwaukee, Wis., and Pharmacia Fine Chemicals, Piscataway, N.J.), and 5  $\mu$ g of bovine serum albumin (BSA; Sigma Chemical Co., St. Louis, Mo.) in 20 mM Tris hydrochloride (pH 7.6)–50 mM KCl–1 mM EDTA-0.05% Nonidet P-40–5% (vol/vol) glycerol–5 mM  $\beta$ -mercaptoethanol in a reaction volume of 15  $\mu$ l for 30 min at 25°C. The reaction mixtures were electrophoretically separated in a 4% polyacrylamide gel (acrylamide-bisacryl-amide [29:1]) in 40 mM Tris borate–1 mM EDTA (pH 8.0) at 10 V/cm. The gels were dried and exposed to XS film (Eastman Kodak Co., Rochester, N.Y.) for 12 to 16 h.

DNase I protection assays. Probe DNAs (1 ng), labeled at the 5' terminus of the coding or noncoding strand, were reacted with either 10 µg of nuclear extract or 10 µg of bovine serum albumin and 7.5 µg of poly(dI)-poly(dC) or 5.0 µg of poly(dA-dT)-poly(dA-dT) in a 30-µl total volume for 30 min at 25°C. The reactions were adjusted to 2.5 mM MgCl<sub>2</sub>, and DNase I (Cooper Biomedical) was added to 2.5 µg/ml for 2.0 min. The digestion was terminated by the addition of EDTA to 5.0 mM, and the reaction mixtures were electrophoretically separated in a 4% nondenaturing polyacrylamide gel (54). After autoradiography to localize the DNAprotein complexes, the bound and free DNAs were eluted in 0.5 M ammonium acetate-1 mM EDTA-0.1% sodium dodecyl sulfate. The eluted DNA was extracted sequentially with phenol-chloroform-isoamyl alcohol (24:24:1) and chloroform-isoamyl alcohol (24:1) and was precipitated with ethanol in the presence of carrier tRNA (50 µg/ml). The precipitated DNA was suspended in 90% formamide-10 mM EDTA, and equal counts were separated in 8 to 12% sequencing gels. For selected experiments, the appropriate chromatographic fraction was substituted for the crude nuclear extract in the binding reaction, as described in the figure legends.

Column chromatography. Double-stranded calf thymus DNA-cellulose (Sigma) was suspended in 2.0 M KCl in buffer A (40 mM HEPES [N-2-hydroxyethylpiperazine-N'-2-ethanesulfonic acid; pH 7.6; 5°C], 20% [vol/vol] glycerol, 1 mM dithiothreitol, 1 mM EDTA, 0.1 mM phenylmethylsulfonvl fluoride). Nuclear extract (20 mg in 4 ml of 0.1 M KCl in buffer A) was applied to a 1-ml column equilibrated with 0.1 M KCl in buffer A. The column was washed with 10 column volumes of 0.1 M KCl in buffer A, and the proteins were eluted with an 18-ml linear gradient of 0.1 to 0.5 M KCl and then with five column volumes of 1.0 M KCl in buffer A. Fractions of 500 µl were collected and assayed for protein concentration by use of assays from Bio-Rad Laboratories (Richmond, Calif.) and for KCl concentration by conductivity. Fractions were assayed for specific DNA-binding activity, as detailed in the legend to Fig. 4. A 2-ml DEAE-Sepharose CL6B (P-L Biochemicals and Pharmacia) column was equilibrated with 0.1 M KCl in buffer B (40 mM Tris hydrochloride [pH 7.9; 5°C], 20% glycerol, 1 mM dithiothreitol, 1 mM EDTA, 0.1 mM phenylmethylsulfonyl fluoride). Nuclear extract (15 mg) was dialyzed for 5 h against 250 volumes of 0.1 M KCl in buffer B and applied to the equilibrated column. The column was washed with 10 column volumes of the same buffer and step eluted with 5 column volumes each of 0.25, 0.5, and 1.0 M KCl in buffer B. Fractions of 500 µl were collected and assayed for protein concentration. Fractions containing the  $\alpha$ H1- (flowthrough) and aH2-aH3 (0.25 M KCl elution step)-binding activities were identified by assaying an equal volume  $(2.5 \ \mu l)$  of alternate fractions with  $48\alpha 27R$  or  $70\alpha 4R$  DNA probes, in DNA-binding buffer adjusted to a final KCl concentration of 50 mM. Fractions containing the peak activity of aH1 or  $\alpha$ H2- $\alpha$ H3 were used for the methylation interference and protection assays.

For selected experiments, fractions containing  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 were concentrated by precipitation with ammonium sulfate (50% saturation) and suspended in buffer B at 20% of the original fraction volume.

Methylation interference assays. The procedures for the methylation interference assays were similar to those described elsewhere (15, 53, 54). DNA probes were partially methylated in 200  $\mu$ l of 50 mM sodium cacodylate (pH 8.0)–1 mM EDTA by the addition of 1  $\mu$ l of dimethyl sulfate (Aldrich Chemical Co., Inc., Milwaukee, Wis.) at 20°C to yield approximately one modification per DNA molecule (32). The reaction was stopped by the addition of 50  $\mu$ l of 1.5 M sodium acetate (pH 7.0)–1.0 M  $\beta$ -mercaptoethanol–100  $\mu$ g of poly(dI)-poly(dC) per ml. The DNA was precipitated with ethanol and suspended in 10 mM Tris hydrochloride (pH 7.6)–1 mM EDTA.

The modified probe DNAs (1 ng) were reacted with 8  $\mu$ l of the DEAE-Sepharose flowthrough fraction ( $\alpha$ H1), 6  $\mu$ g of poly(dI)-poly(dC), and 10  $\mu$ g of BSA or 10  $\mu$ l of the DEAE-Sepharose 0.25 M KCl elution fraction ( $\alpha$ H2- $\alpha$ H3); 9  $\mu$ g of poly(dA-dT)-poly(dA-dT); and 10  $\mu$ g of BSA in DNAbinding buffer adjusted to a final KCl concentration of 70 to 80 mM in a reaction volume of 35  $\mu$ l for 30 min.

All samples were subjected to electrophoresis in a 4% nondenaturing polyacrylamide gel to separate the bound from the unbound DNA. After autoradiography, the com-

plexed and free DNA was cut from the gel and recast in a 0.8% agarose–Tris acetate gel and electroeluted onto paper (NA45; Schleicher & Schuell, Inc., Keene, N.H.). The DNA was recovered from the paper as specified by the manufacturer, extracted sequentially with phenol-chloroform (1:1) and then with chloroform, and precipitated with ethanol in the presence of carrier tRNA (50  $\mu$ g/ml).

To reveal the methylated purines, the DNA was suspended in 43  $\mu$ l of 20 mM sodium acetate-1 mM EDTA and cleaved (14). The DNA was precipitated with ethanol, suspended in 90% formamide-10 mM EDTA, and separated in 8 to 12% sequencing gels.

Cross-linking of proteins to their DNA-binding sites. The PvuII fragments (0.6 pmol) of pRB606, pRB607, and pRB3430 containing the 48a27R, 29a0R, and 70a4R DNA fragments, respectively, were boiled for 5 min in the presence of 1.0 pmol of the M13 universal primer and 1.0 pmol of the M13 reverse primer and allowed to cool slowly to room temperature. The hybridized primers were extended by Escherichia coli DNA polymerase I Klenow fragment in the presence of 50 µM each of dGTP, dATP, and 5-bromo-2'deoxyuridine-5'-triphosphate (Sigma) and 2.5 µM [a-<sup>32</sup>P]dCTP (800 Ci/mM; New England Nuclear) (6). The reaction mixtures were digested with EcoRI and HindIII, and the aTIC-containing fragment probes and control DNA probes were isolated from polyacrylamide gels. Labeled probe DNA (1.0 ng) was reacted for 30 min with either 30 µg of the DEAE-Sepharose CL6B fraction containing aH1 and 5  $\mu$ g of poly(dI)-poly(dC) or 40  $\mu$ g of the fraction containing  $\alpha$ H2- $\alpha$ H3 and 7.5 µg of poly(dA-dT)-poly(dA-dT) in a total reaction volume of 30 µl. The reaction mixtures, in polypro-

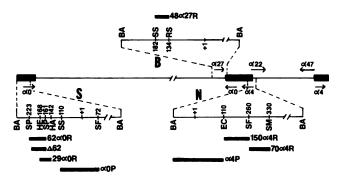


FIG. 1. Schematic diagram of the HSV-1 genome and the location of the  $\alpha$ -gene DNA fragments used in this study. The thin lines represent unique sequences of the long and short components, whereas the solid rectangles represent the terminal sequences ab and ca internally repeated as the inverted sequences b'a'c' (47). The arrows indicate the location and direction of transcription of the five α genes 4, 0, 27, 22, and 47 (28, 57). Expanded scales of BamHI fragments S, B, and N illustrate the locations of the promoterregulatory domains of the  $\alpha 0$ ,  $\alpha 27$ , and  $\alpha 4$  genes, respectively (29-31). The  $\alpha$ -gene DNA fragments (thick lines) cloned and tested in these studies were as follows:  $62\alpha 0R$ , the 62-bp SphI fragment of BamHI-S containing the  $\alpha$ TIC site at position -223 of the  $\alpha$ 0 gene cloned in the SphI site of pUC19 as pRB3558;  $\Delta 62$ , the 62-bp SphI fragment of pRB3558 cloned in the SmaI site of pUC9 as pRB3429. This fragment lacks five nucleotides from the 5' and 3' termini of the 62a0R fragment. 29a0R (pRB607), 48a27R (pRB606), 150a4R (pRB3431), and  $70\alpha 4R$  (pRB3430) have been described previously (23, 25) and contain the  $\alpha$ TIC homologs from the  $\alpha$ 0,  $\alpha$ 27, and  $\alpha$ 4 (promoter-proximal and promoter-distal) genes, respectively. a0P (pRB3563) and a4P (pRB3353) contain the promoter domains of the  $\alpha 0$  and  $\alpha 4$  genes, respectively (24). Restriction enzymes are abbreviated as follows: SP, SphI; HE, HaeIII; HA, HaeII; SS, SstII; SF, SfaNI; BA, BamHI; RS, RsaI; EC, EcoRI; SM, Smal.

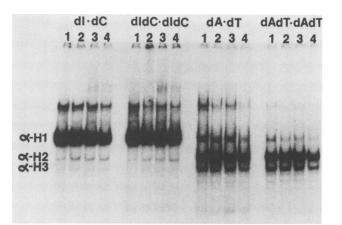


FIG. 2. Autoradiographic image of labeled  $48\alpha 27R$  DNA fragments reacted with the HeLa cell nuclear protein extract in the presence of various synthetic DNA polymers. The DNA protein gel electrophoresis assay was done as described in the text, except that increasing amounts of the different competitor nucleic acids indicated at the top of the gel were included in the reactions as follows: lanes 1, 1.3 µg; lanes 2, 2.6 µg; lanes 3, 3.9 µg; lanes 4, 5.2 µg. The positions of the DNA-protein complexes are indicated as  $\alpha H1$ ,  $\alpha H2$ , and  $\alpha H3$ . Analyses of the unmarked complex that migrated more slowly than  $\alpha H1$  (see text and Fig. 6 and 9) indicated that it contains both  $\alpha H1$  and  $\alpha H2-\alpha H3$ .

pylene tubes (12 by 75 mm) covered with Saran wrap, were irradiated with UV light (254 nM) at an intensity of 340  $\mu$ W/cm<sup>2</sup> (6). Irradiated samples were brought to 10 mM CaCl<sub>2</sub>, digested with 3.5  $\mu$ g of DNase I (Cooper Biomedical)–1 U of micrococcal nuclease (Worthington Diagnostics, Freehold, N.J.) for 30 min at 37°C and subjected to sodium dodecyl sulfate-polyacrylamide gel electrophoresis. The gels were silver stained, dried, and autoradiographed; or the resolved proteins were electrically transferred to nitrocellulose sheets and autoradiographed.

## RESULTS

Characteristics of DNA fragments used for analyses of DNA-binding proteins specific for the  $\alpha$ TIC homolog. The genomic locations of the  $\alpha$  genes of HSV-1 and of the DNA fragments from the  $\alpha 4$ ,  $\alpha 0$ , and  $\alpha 27$  genes tested in this study are shown in Fig. 1. Of these fragments, one set contained either the intact ( $29\alpha 0R$ ,  $48\alpha 27R$ , and  $70\alpha 4R$ ) or the partial  $(62\alpha 0R, \Delta 62, 150\alpha 4R) \alpha TIC$  sites which are required for the trans-activation of  $\alpha$  genes by the HSV-1  $\alpha$ TIF (23, 29–31, 34, 40, 49). Two of these fragments, the 29-base-pair (bp) DNA fragment from the  $\alpha 0$  gene (29 $\alpha 0R$ ) and the 48-bp fragment from the  $\alpha 27$  gene (48 $\alpha 27R$ ), were previously shown (23, 34) to confer in vivo  $\alpha$ -gene regulation on chimeric indicator genes containing the promoter (-110 to)+33) of the  $\alpha$ 4 gene. The other set of fragments derived from the promoter domains of some  $\alpha$  genes ( $\alpha$ 0P,  $\alpha$ 4P) were used in competition studies but did not contain homologs of the αTIC sites (25, 29-31).

 $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 protein-DNA complexes are detected in the presence of different synthetic DNA competitors. The electrophoretic mobilities of the DNA-protein complexes formed by the labeled 48 $\alpha$ 27R DNA fragment with proteins contained in mock-infected HeLa cell nuclear extracts in the presence of different deoxyoligonucleotide competitors are shown in Fig. 2. The results indicate that the homopolymer

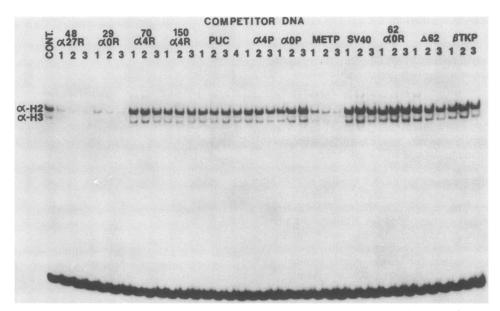


FIG. 3. Autoradiographic image of labeled  $48\alpha 27R$  DNA reacted with the HeLa cell nuclear protein extract in the presence of various unlabeled competitor DNA fragments. Labeled  $48\alpha 27R$  fragments (0.5 ng) were reacted with nuclear extracts from HeLa cells in the presence of 3.2 µg of poly(dA-dT)-poly(dA-dT) and unlabeled competitor DNA fragments indicated at the top of the gel in molar concentrations of 0.3 pM (lanes 1), 0.6 pM (lanes 2), 0.9 pM (lanes 3), 1.4 pM (lane 4), for a molar excess of competitor over probe DNA of 20, 40, 60, and 90 times, respectively.  $48\alpha 27R$ ,  $29\alpha 0R$ ,  $70\alpha 4R$ ,  $150\alpha 4R$ ,  $\alpha 4P$ ,  $\alpha 0P$ ,  $62\alpha 0R$ , and  $\Delta 62$  were described in the legend to Fig. 1. The remaining competitor DNAs were as follows: PUC, the 175-bp *PvulI-HindIII* fragment from pUC9; METP, the 216-bp *SacI-Bg/III* fragment derived from pMK (3) and containing the mouse metallothionein gene promoter domain from positions +64 to -152; SV40, the 200-bp *SphI-HindIII* fragment derived from pSV2Neo (27) and containing the SV40 early promoter and approximately 30% of the promoter-proximal 72-bp enhancer element;  $\beta$ TKP, the 160-bp *Bg/II-Bam*HI fragment of LS119/109 (35) and containing the  $\beta$ TK gene promoter domain from positions +50 to -110; CONT, reaction in the absence of additional competitor DNA.  $\alpha$ H2 and  $\alpha$ H3 indicate the locations of the respective  $48\alpha 27R$ -protein complexes.

poly(dA)-poly(dT) and the alternating copolymer poly(dAdT)-poly(dA-dT) effectively competed with the labeled DNA probe for the DNA-binding activity designated  $\alpha$ H1, but not for the DNA-binding activity designated  $\alpha$ H2- $\alpha$ H3. In contrast, the homopolymer poly(dI)-poly(dC) or the alternating copolymer poly(dI-dC)-poly(dI-dC) competed for the  $\alpha$ H2- $\alpha$ H3 but not for the  $\alpha$ H1 DNA-binding activities. In these competition studies, we noted a DNA-protein complex with an electrophoretic mobility slower than that formed by  $\alpha$ H1. The DNA-binding activity in this complex has properties similar to those of the  $\alpha$ H1 activity and is identified and discussed in more detail later in the text. The results of these studies are consistent with the expectations that the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 DNA-binding activities recognize different sequences within the  $\alpha$ 27-gene DNA fragment.

Specificity of proteins contained in aH2-aH3 protein-DNA complexes. In the competition studies illustrated in Fig. 3, labeled 48a27R DNA was reacted with nuclear extracts of mock-infected cells in the presence of poly(dA-dT)-poly(dAdT) and increasing molar quantities of unlabeled competitor DNA fragments derived from the promoter domains of various genes. Both the  $29\alpha 0R$  and the homologous  $48\alpha 27R$ DNA fragments efficiently competed for the aH2-aH3 proteins. In contrast, equimolar concentrations of the plasmid vector sequences (PUC) and the HSV-1 DNA fragments containing the  $\alpha 4$  regulatory (70 $\alpha 4R$  and 150 $\alpha 4R$ ) or promoter (-110 to +33;  $\alpha$ 4P) domains, the  $\alpha$ 0 gene promoter  $(-110 \text{ to } +72\alpha 0P)$ , or the  $\beta$ TK gene promoter domain  $(-110 \text{ to } +72\alpha 0P)$ to +50;  $\beta$ TKP) did not effectively compete for the  $\alpha$ H2- $\alpha$ H3 DNA-binding activities. The mouse metallothionein promoter, which failed to compete for the  $\alpha$ H1 DNA-binding activity (26), did compete for the  $\alpha$ H2- $\alpha$ H3 proteins (Fig. 3).

In contrast, the simian virus 40 (SV40) early region, which competed efficiently for the  $\alpha$ H1 proteins, failed to compete with the 48 $\alpha$ 27R fragment for the  $\alpha$ H2- $\alpha$ H3 proteins (26). These results indicate that the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins recognize specific sequences located within the  $\alpha$ TIC sites of the 48 $\alpha$ 27R and 29 $\alpha$ 0R HSV-1 DNA fragments and within the promoter domain of the mouse metallothionein gene.

Results of the  $\alpha$ TIC site in the 29 $\alpha$ 0R fragment also support this conclusion. Although the  $29\alpha 0R$  fragment effectively competed with the  $48\alpha 27R$  DNA fragment for the  $\alpha$ H2- $\alpha$ H3 proteins (Fig. 3), it failed to form demonstrable DNA-protein complexes with the aH2-aH3 DNA-binding activities in crude nuclear extracts (data not shown). Another fragment,  $62\alpha 0R$ , contained the second distal  $\alpha TIC$ homolog of the  $\alpha 0$  gene. This homolog is nearly identical to the  $\alpha$ TIC homolog of the 29 $\alpha$ 0R fragment, but it lacks the five 5' nucleotides (CCGTG) present in the  $\alpha$ TIC sequence of the 29a0R fragment. The 62a0R fragment does not compete for the  $\alpha$ H2- $\alpha$ H3 proteins (Fig. 3) but does compete for and bind the  $\alpha$ H1 proteins (data not shown). Deletion of the five nucleotides (GCATG; fragment  $\Delta 62$ ) adjacent to those missing in the  $62\alpha 0R$  fragment abolished the ability of this fragment to compete for the  $\alpha$ H1 protein (data not shown). These results suggest that the DNA sequences critical for the binding of  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 either overlap or are directly juxtaposed within this  $\alpha$ TIC site of the  $\alpha$ 0 gene.

At the molar concentrations tested, the  $70\alpha 4R$  DNA fragment did not significantly compete with the  $48\alpha 27R$  DNA fragment for the  $\alpha H2$ - $\alpha H3$  DNA-binding activity in the crude extract. As shown below, this fragment does bind proteins in chromatographic fractions, with characteristics similar to those of the  $\alpha H2$ - $\alpha H3$  proteins.

Chromatographic separation of host proteins forming the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 DNA-protein complexes. The separation of the  $\alpha$ H1- from the  $\alpha$ H2- $\alpha$ H3-binding activities was obtained by several chromatographic procedures. In the one illustrated in Fig. 4, the nuclear extract from mock-infected

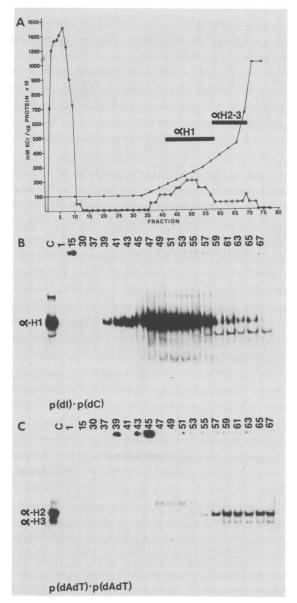


FIG. 4. Separation of  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins by chromatography on DNA-cellulose. (A) Elution profile of the proteins. Symbols: X, KCl as determined by conductivity readings of the fractions: O, micrograms of protein per 500- $\mu$ l fraction. The fractions containing the peak activities of the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins are indicated by the filled bars. (B and C) Autoradiographic image of the labeled 48 $\alpha$ 27R probe reacted with selected fractions from DNAcellulose chromatography in the presence of 3.0  $\mu$ g of poly(dI)poly(dC) for detection of the  $\alpha$ H1 protein or 4.5  $\mu$ g of poly(dA-dT)poly(dA-dT) for detection of the  $\alpha$ H2- $\alpha$ H3 proteins, respectively. Binding assays were done as described in the text with 2.5  $\mu$ l of the fractions indicated at the top of the gel. The lanes designated C contained the complex formed by the labeled probe with proteins in 5.0  $\mu$ g of crude HeLa cell nuclear extract in the presence of the respective synthetic polymers.

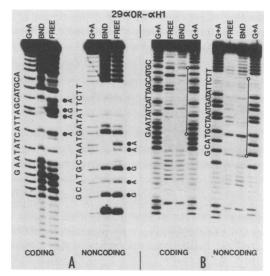


FIG. 5. Analyses of the  $\alpha$ H1-binding site in the 29 $\alpha$ 0R DNA fragment by methylation interference and DNase I protection tests. (A) The 29 $\alpha$ 0R DNA fragment was labeled at the 5' terminus of the coding or noncoding strands, partially methylated, and reacted with the DEAE chromatographic fraction containing aH1. The positions of the methylated purines which partially or completely precluded the binding of the  $\alpha$ H1 protein are indicated by the filled circles to the right of the panel. Abbreviations: BND, DNA extracted from the aH1-bound complex; FREE, DNA excluded from the aH1 complexes. (B) The 29 $\alpha$ 0R DNA fragment, labeled at the 5' terminus of the coding or noncoding strands, was reacted with 10 µg of bovine serum albumin (FREE) or 10  $\mu$ g of HeLa cell nuclear extract (BND) in the presence of 7.5 µg of poly(dI)-poly(dC) and partially digested with DNase I. The maximum protected domain is indicated by the vertical line. The relevant nucleotide sequence is indicated to the left of each reaction set. G+A, Purine-specific sequencing reaction. The procedures used in this and in the other studies for which the results are shown in Fig. 6 and 7 are described in the text.

cells was chromatographed on a column of calf thymus double-stranded DNA-cellulose.  $\alpha$ H1 eluted with most of the DNA-binding proteins at 150 to 300 mM KCl, whereas the  $\alpha$ H2- $\alpha$ H3 proteins eluted at 300 to 500 mM KCl (Fig. 4). Separation of the  $\alpha$ H1 from the  $\alpha$ H2- $\alpha$ H3 proteins was also obtained by DEAE-Sepharose and heparin-agarose chromatography. The separation of  $\alpha$ H1 from  $\alpha$ H2- $\alpha$ H3 on heparinagarose was qualitatively similar to that seen on DNAcellulose, but  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 eluted at 250 and 500 mM KCl, respectively. On DEAE-Sepharose, the  $\alpha$ H1 proteins flowed through the column at 100 mM KCl, whereas the  $\alpha$ H2- $\alpha$ H3 proteins eluted from the column at 250 mM KCl. In none of these studies were we able to separate the  $\alpha$ H2 from the  $\alpha$ H3 activities.

Binding sites of  $\alpha$ H1 proteins within the regulatory domains of  $\alpha$  genes. We have shown previously (26) by DNase I protection studies that the  $\alpha$ H1 protein binds across the  $\alpha$ TIC consensus homolog that is contained within the  $48\alpha$ 27R DNA fragment. A partial DNase I digestion of the  $29\alpha$ 0R DNA fragment labeled at the 5' terminus of the coding or the noncoding strands and incubated with nuclear extracts of mock-infected cells is shown in Fig. 5B. The vertical line in Fig. 5B designates the maximum boundaries of the DNase protection. For both noncoding and coding strands, the 5' termini of the indicated sequences (GCAT GCTAAT and CATTAGCATG, respectively) were more strongly protected from DNase I digestion than were the corresponding 3' termini. The partial protection of the 3' domain can be inferred from the intensity of the bands outside of the vertical lines (Fig. 5B). The regions totally or partially protected by  $\alpha$ H1 in the 29 $\alpha$ 0R fragment correspond to the equivalent domain of the 48 $\alpha$ 27R fragment protected by the  $\alpha$ H1 protein (26).

In Fig. 5A, 6A and D, and 7A are shown the positions of the methylated guanine and adenine residues which interfered completely or partially with the binding of the  $\alpha$ H1 protein to the 29a0R, 48a27R, and 70a4R DNA fragments, respectively. In these fragments the sequences which contain the methylated purines are nearly identical and are located near the 5' terminus of the  $\alpha$ TIC consensus sequence (30). Specifically, (i) in the coding strand of  $29\alpha 0R$  (Fig. 5A), the interfering methylated purines were those contained in the sequence ATTAGCA. In the noncoding strands, in the sequence GCATGCTAA, the 5' purines were partially interfering (compare the unequal intensities of these bands with the intensity of the purine immediately 5'), whereas the two methylated adenines 3' completely interfered with the binding of  $\alpha$ H1. (ii) In the coding strand of 48 $\alpha$ 27R, the methylated purines which interfered with the binding of  $\alpha$ H1 (Fig. 6A) were in the sequence ATTAGCA. In the noncoding strand (Fig. 6D), the three adenines in the sequence ATGC TAA nearly completely blocked binding, whereas the methylated guanine was only mildly interfering. (iii) In the coding strand of the  $70\alpha 4R$  fragment (Fig. 7A), the methylated purines which interfered with the binding of aH1 were contained in the sequence GCATGCTAACG. In the noncoding strand (Fig. 7A), the methylated purines which interfered with the binding of  $\alpha$ H1 were in the sequence GTTAG CATG.

The dominant motif of the  $\alpha$ H1-binding site is the 5' domain GyATGnTAAT of the consensus sequence for the  $\alpha$ TIC site (30). The near identity of the purine contact points of the three  $\alpha$ TIC sites with the  $\alpha$ H1 proteins and the results of the previously described competition experiments (26) indicate that the  $\alpha$ H1 proteins which bind to the  $\alpha$ TIC sites of the  $\alpha$ 0,  $\alpha$ 27, and  $\alpha$ 4 genes are identical.

Binding site of aH2-aH3 proteins within the 48a27R DNA fragment. The 48a27R DNA fragment sequences protected from DNase I digestion by the  $\alpha$ H2- $\alpha$ H3 proteins contained in the DEAE-Sepharose chromatographic fraction are shown in Fig. 6G and H. In this instance, the electrophoretically separated aH2 and aH3 protein-DNA complexes were each individually excised from the nondenaturing gels and processed as described above. The vertical lines shown in Fig. 6G and H represent the region that is more highly protected by the  $\alpha$ H2 protein. The sequence protected from DNase I digestion by the  $\alpha$ H2 proteins overlapped and extended 3' to the  $\alpha$ TIC homolog sequence protected by the  $\alpha$ H1 protein and reported previously (26) (Fig. 6G and H). Identical results were obtained with the  $\alpha$ H3 protein-48 $\alpha$ 27R DNA complex (data not shown), suggesting that the binding sites of the  $\alpha$ H2 and  $\alpha$ H3 proteins are identical and different from that of the  $\alpha$ H1 proteins.

In Fig. 6B, C, E, and F are identified the methylated purines which completely or partially interfered with the binding of the  $\alpha$ H2 and  $\alpha$ H3 proteins to the 48 $\alpha$ 27R DNA fragment. Specifically, in the coding strand, the methylated purines which interfered with the binding of  $\alpha$ H2 (Fig. 6B) or  $\alpha$ H3 (Fig. 6C) resided within the sequence ACGTGG. The 3'-terminal guanine only partially interfered with the binding of these proteins. In the noncoding strand, the methylated purines which interfered with the binding of  $\alpha$ H2 (Fig. 6E) or  $\alpha$ H3 (Fig. 6F) resided within the sequence GCCACGTG. For both proteins, the 5'-terminal guanine was only partially interfering.

Comparison of the methylation interference patterns for the  $48\alpha 27R \cdot \alpha H2$  and  $\alpha H3$  protein-DNA complexes reinforces the conclusion concerning the identity of the binding sites. The purines critical for the binding of these proteins reside near the center of the pallindromic sequence 5'-AATACATGcCACGTACT-3' at the 3' terminus of the  $48\alpha 27R$  fragment, adjacent to the  $\alpha H1$  core consensus sequences. The dominant motif of the clustered methylated nucleotides that interfere with the binding of  $\alpha H2$  and  $\alpha H3$  is the sequence 5'-GCCACGTG-3'.

aH1 and aH2-aH3 proteins may bind concurrently to the  $48\alpha 27R$  and fragment. The observation that the binding sites for the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins partially overlap raised the question as to whether the binding of these proteins is mutually exclusive. To test this hypothesis, the 48a27R fragment was reacted first with a near saturating concentration of the DEAE-Sepharose chromatographic fraction containing the  $\alpha$ H1 protein and then with increasing amounts of the chromatographic fraction containing the separated  $\alpha$ H2- $\alpha$ H3 protein. The addition of the  $\alpha$ H2- $\alpha$ H3 fraction generated a DNA-protein complex similar to the slowelectrophoretic-mobility complex shown in Fig. 2 and slower than that of the  $\alpha$ H1-DNA complex (see Fig. 9A). To determine whether the larger DNA-protein complex contained both the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins, rather than the other proteins that were present in these fractions, the complexes were analyzed by DNase I digestion and methylation interference assays. Partial digestion of the 48a27R low-electrophoretic-mobility complex with DNase I (Figures 6I and J) generated a protected domain which encompassed the individual boundaries of the aH1- and aH2-aH3-protected domains. In Fig. 6I and J, the vertical line represents the boundaries of maximal protection.

The purines whose methylation interfered with the formation of the slower-migrating complex were approximately the sum of the individual sets of purines that formed the contact points of aH1 and aH2-aH3 proteins (Fig. 6K and L). Specifically, the methylated purines that interfered with the formation of the slow-electrophoretic-mobility complex were within the sequences ATGCTAA and GCCACGTG at the 5' and 3' termini, respectively, of the noncoding-strand sequence ATGCTAATTAAATACATGCCACGTG. For the coding strand, the methylated purines which interfered with the formation of this complex were within the sequence ACGTGG and ATTAGCA at the 5' and 3' termini, respectively, of the sequence ACGTGGCATGTATTTAATT AGCA. The results therefore indicate that the slower-migrating complex contains both sets of proteins and that the purine contact points with these proteins, which were resolved by this assay, do not appear to be significantly altered.

Binding of the 70 $\alpha$ 4R DNA fragment to proteins in fractions containing  $\alpha$ H2- $\alpha$ H3 proteins. Experiments similar to those described above were also done with the 70 $\alpha$ 4R fragment. As in the case of the binding of  $\alpha$ H2- $\alpha$ H3 to the 48 $\alpha$ 27R DNA fragments, the cytosine-rich deoxyoligonucleotides effectively competed with the 70 $\alpha$ 4R fragment for the binding to these proteins (data not shown). In this instance, however, the methylated purines which interfered with the binding of proteins in the chromatographic fraction containing the  $\alpha$ H2- $\alpha$ H3 proteins were different from those of the 48 $\alpha$ 27R fragment (Fig. 6B, C, E, and F and Fig. 7B). Thus, the methylated purines which interfered with the binding of  $\alpha$ H2 to the coding strand of the 70 $\alpha$ 4R fragment reside within

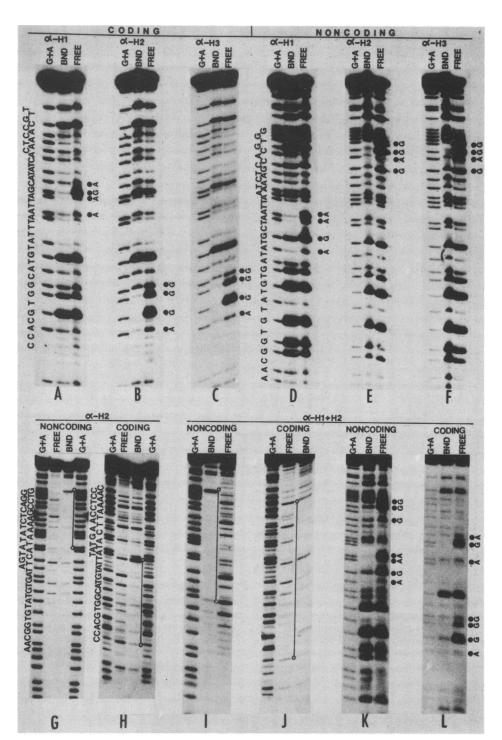


FIG. 6. Analyses of the  $\alpha$ H1- and  $\alpha$ H2- $\alpha$ H3-binding sites in the 48 $\alpha$ 27R DNA fragment by methylation interference and DNase I protection assays. The positions of the methylated purines which partially or completely precluded the binding of  $\alpha$ H1 (A and D),  $\alpha$ H2 (B and E), and  $\alpha$ H3 (C and F) on the coding and noncoding strands are shown. (G and H) DNA sequences protected from DNase I digestion by  $\alpha$ H2 on the coding and noncoding strands. (I and J) Positions of DNA sequences protected from DNase I digestion by  $\alpha$ H2 on the  $\alpha$ H2- $\alpha$ H3 (identified as  $\alpha$ H2 in the figure). (K and L) Positions of the methylated purines which interfered with the binding of a reconstituted mixture of  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3. In all of these experiments, the DEAE-Sepharose chromatographic fractions were the source of separated  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3. The reactions shown in panels B, C, E, and F were done on  $\alpha$ H2 or  $\alpha$ H3 protein-DNA complexes that were individually excised from the gels. The position of interfering methylated purines is shown by solid circles. The minimal domains protected from DNase I digestion are indicated by the vertical lines. BND and FREE are as defined in the legend to Fig. 5.

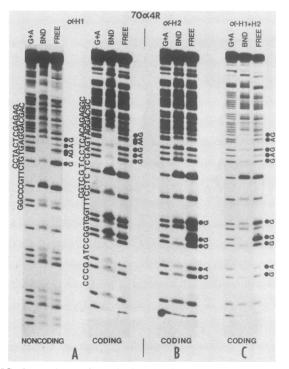


FIG. 7. Analyses of the binding sites in the 70 $\alpha$ 4R DNA fragment by methylation interference assays. The locations of methylated purines which partially or completely interfered with the binding activities in the DEAE chromatographic fractions containing  $\alpha$ H1 (A) or  $\alpha$ H2- $\alpha$ H3 (indicated as  $\alpha$ H2 [B]) or a reconstituted mixture of both activities (indicated as  $\alpha$ H1+H2 [C]) are shown. The relevant nucleotide sequence of the 70 $\alpha$ 4R fragment is shown to the left of each panel. The solid circles indicate the positions of the interfering methylated purines. BND and FREE are as defined in the legend to Fig. 5.

the sequence GATCCGGTGG. Only the fourth purine in this sequence completely blocked binding. The possibility that these proteins represent either entirely distinct or modified  $\alpha$ H2- $\alpha$ H3 proteins is supported also by the observation that unlabeled 70 $\alpha$ 4R competed with the homologous labeled fragment for this binding activity (data not shown) but did not compete with the labeled 48 $\alpha$ 27R fragment for the  $\alpha$ H2- $\alpha$ H3 proteins (Fig. 3).

Both  $\alpha$ H1 and the activity present in the fractions containing  $\alpha$ H2- $\alpha$ H3 were capable of binding simultaneously to the 70 $\alpha$ 4R DNA fragments in reconstitution experiments (Fig. 9B). The methylated purines which interfered with the formation of this complex were the sum of the purines which interfered with the binding of the individual proteins (Fig. 7C). Thus, the methylated purines which interfered with the formation of the slow-migrating complex (Fig. 9B) were contained within the sequences GATCCGGTGG and GCATGCTAACG representing the 5' and 3' termini, respectively, of the sequence GATCCGGTGGTTTCCGCTTCCG TTCCGCATGCTAACG. The patterns of partial and complete interference observed with  $\alpha$ H1 and  $\alpha$ H2 were similar to those obtained with the individual proteins.

Apparent molecular weights of proteins binding to the 29 $\alpha$ 0R, 48 $\alpha$ 27R, and 70 $\alpha$ 4R DNA fragments cross-linked to labeled deoxynucleotides. The 29 $\alpha$ 0R, 48 $\alpha$ 27R, and 70 $\alpha$ 4R DNA fragments labeled with [ $\alpha$ -<sup>32</sup>P]dCTP and 5-bromo-2'-deoxyuridine-5'-triphosphate were reacted with the chromatographic fractions containing either the  $\alpha$ H1 or the

 $\alpha$ H2- $\alpha$ H3 proteins. The incorporation of 5-bromo-2'-deoxyuridine-5'-triphosphate did not significantly affect the binding of these proteins, as assayed by gel electrophoresis. The reaction mixtures were irradiated with UV light for various time intervals to cross-link the protein-DNA complexes, digested with nucleases, and electrophoretically separated in denaturing polyacrylamide gels. The lanes containing the  $29\alpha 0R-\alpha H1$  protein complex, which was irradiated for 15 to 60 min, showed the presence of a labeled protein band with an apparent molecular weight of 110,000 (Fig. 8A). This protein band was not detected in lanes containing the control 91-bp fragment derived from pUC9 and reacted with the same fraction. The labeling of this protein was not affected in the presence of a 60-fold molar excess of the 91-bp control fragment (Fig. 8B, lane 4 versus lane 2) but was reduced in labeling intensity in the presence of an equimolar amount of the unlabeled 29a0R DNA fragment (Fig. 8B, lane 3 versus lane 2). In similar experiments, a labeled band with an identical electrophoretic mobility was also obtained with the 70α4R DNA fragment probe. Moreover, competition experiments with a 150-fold molar excess of the  $70\alpha 4R$  and the 91-bp control fragments yielded results similar to those obtained with the  $29\alpha 0R$  fragment (data not shown).

Results of cross-linking studies on the  $48\alpha 27R$  DNA fragment with the proteins in the  $\alpha H2$ - $\alpha H3$  chromatographic fraction (Fig. 8C and D) revealed the labeling of a specific band with an apparent molecular weight of 64,000. This band was not formed by the control 91-bp pUC9 fragment that was treated in an identical fashion. In the case of the 70 $\alpha$ 4R DNA fragment (Fig. 8D), the specific labeled protein from the  $\alpha$ H2- $\alpha$ H3 chromatographic fraction migrated slightly slower than the corresponding protein from this fraction labeled with the 48 $\alpha$ 27R DNA fragment. The differential electrophoretic mobilities could be due to the difference in the number of residual cross-linked nucleotides.

## DISCUSSION

Differentiation and binding sites of host proteins interacting with  $\alpha$ TIC sites. The five HSV-1  $\alpha$  genes contain in their promoter-regulatory domains homologs of a sequence designated  $\alpha$ TIC which is required for the induction of these genes by the structural component of the virus designated  $\alpha$ TIF. Our studies centered on the proteins that bind to small DNA fragments containing the single  $\alpha$ TIC homolog of the  $\alpha$ 27 gene, one of the three homologs of the  $\alpha$ 0 gene, and the most distal of the homologs of the  $\alpha$ 4 gene. We have reported previously (26) that the host proteins designated  $\alpha$ H1 bind and protect the  $\alpha$ TIC site from DNase I digestion. In this study, we have extended the analysis of the  $\alpha$ H1 proteins and the additional host cell proteins which bind to the  $\alpha$ TIC site and to the sequences juxtaposed to this element. The salient conclusions of this study are as follows.

(i) The environment of the  $\alpha$ TIC site contains sequence elements for which we identified at least two sets of proteins (Fig. 10). The first sequence, corresponding to the  $\alpha$ TIC consensus homolog, binds to a protein designated  $\alpha$ H1. The protein cross-linked to labeled deoxynucleotides has an apparent molecular weight of 110,000, but at this time it is not known whether it is a monomeric or a polymeric protein. The dominant motif of the  $\alpha$ H1-binding site is in the 5' domain (GyATGnTAAT) of the  $\alpha$ TIC consensus sequence (30) and is similar to the binding site for nuclear factor III (46).

The 70 $\alpha$ 4R fragment the  $\alpha$ TIC homolog is inverted relative to the transcription initiation site of the  $\alpha$ 4 gene (30). The

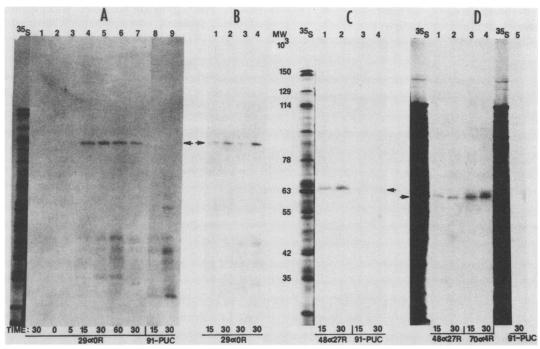


FIG. 8. Autoradiographic images of the proteins labeled by cross-linking to internally labeled DNA probes containing  $\alpha$ TIC sites and electrophoretically separated in denaturing gels. In the experiments for which the results are shown here, the labeled probe DNAs were reacted with the DEAE-Sepharose chromatographic fractions containing  $\alpha$ H1 (A and B) or  $\alpha$ H2- $\alpha$ H3 (C and D) in buffer containing 10  $\mu$ g of BSA (complete reaction mixture), irradiated with UV light for the time intervals (in minutes) indicated at the bottom of the gel, digested with nucleases, and subjected to electrophoresis in denaturing polyacrylamide gels. (A) The electrophoretic mobilities of the proteins cross-linked to the 29 $\alpha$ OR fragment in complete mixture (lanes 2 to 6), in the absence of the chromatographic fraction (lane 1), or in the absence of BSA (lane 7). Lanes 8 and 9 show the electrophoretic mobilities of the proteins from the same fraction cross-linked to the control 91-bp fragment from pUC9 in the complete mixture. (B) Electrophoretic mobilities of the proteins cross-linked to the 29 $\alpha$ OR fragment in the absence of unlabeled 29 $\alpha$ OR (lane 3) or the 91-bp pUC9 (lane 4) competitor DNA fragments. (C) Proteins cross-linked to the 48 $\alpha$ 27R (lanes 1 and 2) or the 91-bp pUC9 (lane 5). The lanes marked with <sup>35</sup>S contain an electrophoretically separated lysate of cells labeled at late times postinfection with [<sup>35</sup>S]methionine for use as a molecular weight (MW) marker based on the apparent molecular weights of HSV-1 proteins (36).

significance of this inversion is not clear. In the in vivo studies on chimeric gene constructs,  $\alpha TIC$  sequences were capable of conferring  $\alpha TIF$ -dependent induction in an orientation-independent manner (23). The  $\alpha TIC$  sequence of the 70 $\alpha$ 4R fragment was the most distal homolog relative to the  $\alpha$ 4 gene transcription initiation site and was located in a domain which could serve as the regulatory sequence for both the  $\alpha$ 4 and the  $\alpha$ 22 or  $\alpha$ 47 genes (Fig. 1).

The second sequence, located in the  $\alpha 27$  gene (48 $\alpha 27R$  DNA fragment) and deduced from results of competition studies to be present in the  $\alpha 0$  gene, binds to a protein designated  $\alpha H2$ - $\alpha H3$ . Except for the electrophoretic mobilities of the DNA-protein complexes, we were not able to demonstrate a difference between the two DNA-binding activities. The  $\alpha H2$ - $\alpha H3$  protein cross-linked to labeled deoxynucleotides had an apparent molecular weight of 64,000. The dominant motif of the  $\alpha H2$ - $\alpha H3$  binding site was ACGTGGCATGT (Fig. 10).

As discussed below, a protein with many attributes of  $\alpha$ H2- $\alpha$ H3 but differing in its affinity for DNA sequences was detected in studies of protein binding to the 70 $\alpha$ 4R fragment, which contains an  $\alpha$ TIC site from the  $\alpha$ 4 gene. For convenience, and pending further analyses of the relationship of this protein to  $\alpha$ H2- $\alpha$ H3, we designate this protein  $\alpha$ H2'.

(ii)  $\alpha$ H1 is common to all the  $\alpha$ TIC sequences analyzed to date. However, both  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins may be

required for the induction of  $\alpha$  genes. This conclusion rests on the observation that the 48 $\alpha$ 27R fragment was able to impart  $\alpha$ -gene regulation to both  $\alpha$  and  $\beta$  promoters, whereas 29 $\alpha$ 0R was able to impart  $\alpha$ -gene regulation only in the case of an  $\alpha$  promoter ( $\alpha$ 4P) (Fig. 1) which contains a portion of the  $\alpha$ TIC sequence at its 5' terminus. While the 48 $\alpha$ 27R fragment contains an intact  $\alpha$ H2- $\alpha$ H3-binding site at its 3' terminus, the corresponding sequence is only partially present in the 29 $\alpha$ 0R fragment.

(iii) The position of the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 or  $\alpha$ H2' binding sites suggests that the proteins binding to these sequences may interact. In the  $48\alpha 27R$  fragment, the binding site for the  $\alpha$ H2- $\alpha$ H3 protein overlaps, in part, with the  $\alpha$ H1-binding site. Although the  $29\alpha 0R$  fragment tested does not have an intact  $\alpha$ H2- $\alpha$ H3-binding site, the presence of a binding site adjacent to the aH1-binding site was deduced from the results of competition studies. The analyses described above indicate that the  $\alpha$ H2- $\alpha$ H3-binding site in this instance is 5' to the  $\alpha$ H1-binding site and may also overlap in part with an  $\alpha$ H1-binding site. In the case of the 70 $\alpha$ 4R fragment,  $\alpha$ H2', while nearly identical to  $\alpha$ H2- $\alpha$ H3 with respect to apparent molecular weight and chromatographic properties, differed from the defined aH2-aH3 proteins with respect to its affinity for particular DNA sequences, as shown by results of the competition and methylation interference studies described above. The binding site for this protein is at the 3'

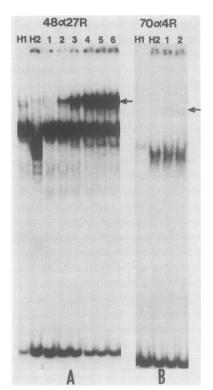


FIG. 9. Autoradiographic images of the  $48\alpha 27R$  and  $70\alpha 4R$  DNA fragments reacted with the reconstituted extracts containing  $\alpha H1$  and  $\alpha H2-\alpha H3$ . (A) The labeled  $48\alpha 27R$  DNA fragment was reacted with 9 µg of the chromatographic fraction containing the  $\alpha H1$  protein and increasing amounts of the proteins in the fraction containing the  $\alpha H2-\alpha H3$ -binding activities as follows: lanes 1 to 6, 0, 3, 9, 15, 21, and 27 µg, respectively; lanes H1 and H2 contained 9 and 21 µg of the  $\alpha H1$  or the  $\alpha H2-\alpha H3$  fraction, respectively. (B) The  $70\alpha 4R$  DNA fragment was reacted with 3 µg of the chromatographic fraction containing  $\alpha H1$  (lane H1), 3 µg of the fraction containing  $\alpha H2-\alpha H3$  fractions (shown in duplicate in lanes 1 and 2). The arrows show the location of the slow-migrating complex described in the text.

terminus of the fragment, immediately adjacent to the second  $\alpha TIC$  sequence located at position -260 from the transcription initiation site of the  $\alpha 4$  gene. In all the instances in which we demonstrated the binding of  $\alpha H1$  and  $\alpha H2-\alpha H3$ or  $\alpha H2'$ , both sets of proteins were shown to be able to bind concurrently.

(iv) The 70 $\alpha$ 4R fragment contains several homologs of a sequence, GGAACGG, that is shared with the 48 $\alpha$ 27R sequence and with the immunoglobulin enhancer. This sequence is located 5' to the  $\alpha$ H1 site in the 48 $\alpha$ 27R DNA fragment and between the  $\alpha$ H1- and the  $\alpha$ H2'-binding sites of the 70 $\alpha$ 4R DNA fragment. A possible interaction between  $\alpha$ H2' and  $\alpha$ H1 with a protein which may bind to this sequence is suggested by the sequence motif arrangement and by the observation that the homolog adjacent to the  $\alpha$ H2'-binding site is partially protected by  $\alpha$ H2' from DNase I digestion (data not shown). Although we could not show protection of the GA homolog shared by the immunoglobulin enhancer element that is present in the 48 $\alpha$ 27R fragment, the possibility exists that a required portion of the binding site is in sequences adjacent to this fragment.

(v) Sequences homologous to the  $\alpha$ TIC sites have been detected in a variety of constitutively expressed or inducible cellular and viral genes (49). As might be expected, we found

that the metallothionein promoter, which competed for the  $\alpha$ H2- $\alpha$ H3 proteins, contains a homolog of the  $\alpha$ H2- $\alpha$ H3binding site at positions -90 to -101. The SV40 72-bp repeat successfully competed for the  $\alpha$ H1 protein and contains a homolog of this binding site.

We conclude that  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 are distinct host factors involved in transcriptional regulation and that the *trans*-activation of  $\alpha$  genes may involve the function of both  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins at the  $\alpha$ TIC site. Furthermore, the juxtaposition of the  $\alpha$ H1- and  $\alpha$ H2- $\alpha$ H3-binding sites suggests that interaction of these factors is an essential feature of  $\alpha$ -gene regulation.

Sequence arrangement in the 48 $\alpha$ 27R DNA fragment. The sequence and the implied *cis*-functional arrangement in the 48 $\alpha$ 27R DNA fragment are of interest because it has been shown in vivo to confer  $\alpha$ -gene regulation to both  $\alpha$ - and non- $\alpha$ -gene promoters. To understand its function, it must be viewed in the context of the sequences which extend both 5' and 3' to the fragment. The center point is the sequence ATGCTAAT containing the purines whose methylation interferes with the binding of the  $\alpha$ H1 protein. This sequence is flanked 3' by the  $\alpha$ H2- $\alpha$ H3-binding site contained within the 19-bp pallindromic sequence AAATACATGCCACG TACTT which extends four nucleotides outside of the

DESCRIPTIO	N	SEQUENCE	
Q - TIC CONSENSUS		SGYATGHTAATGARATTCYTTGHGGG	
TEST NOMOLOGS:			
48xt27R 150xt4R - 1		ATATECTAATTAAATACATECCACE Cetecataateeaattccettcee	
150a4R-2		GGGCGGTAATGAGATGCCATGCGGG	
7 0ot4R 2 9ot0R 5 ¥4.0 - 7 2			
3	¥4U-72	SCIERCIARITERERISCRISCIIIS	
BINDING SITES:			
48 of 27 R - of H 1		00000 GTGTATGTGATATGCTAATTAAATACATGCCACGTGG	N
	SCCTTCSCCTTSC	CACATACACTATACGATTAATTTATETACESTECACC	C
48a:27 R - a1H 2		ATGTATGTGATATGCTAATTAAATACATGCCACGTGG	N
		CACATACACTATACGATTAATTTATGTACGGTGCACC	C
48at27R - atH 1 + atH 2 - atH 3		GTGTATGTGATATGCTAATTAAATACATGCCACGTGG	N
	SCCTTCSCCTTSC	CACATACACTATACGATTAATTTATGTACGGTGCACC	C
2 9 at 0 R - at H 1		SccatGCATGCTAATGATATTCTTtasses	N
		GGCACGTACGATTACTATAAGAAACCCCC	C
7004R-0H1 5ACCECCCEATCCEETEETTTCCECTTCCETTCCGCATGCTAACGAEEAACEEEEEEE			с
TESCEGEC	TAGGCCACCAAAGGCG	AAGGCAAGGCGTACGATTGCTCCTTGCCCGGCCCCCG	N
70m48-082' 54000006	AtccGGTsGTTTCCS	TTCCGTTCCGCATGCTAACGAGGAACGGGCCGGGGGG	с

FIG. 10. Summary of the binding sites for the host proteins in the  $\alpha 0$ ,  $\alpha 27$ , and  $\alpha 4$  DNA fragments identified in this study. The top line shows the consensus sequence of the  $\alpha$ TIC sites of the HSV-1(F)  $\alpha$ genes (30). The list below the consensus sequence includes the nucleotide sequence of the  $\alpha$ TIC homologs of  $\alpha$ 4,  $\alpha$ 0, and  $\alpha$ 27 genes tested in this study and a homolog identified in the 72-bp repeat of the SV40 DNA. The entire HSV-1 nucleotide sequence of the  $48\alpha 27R$  and the  $29\alpha 0R$  fragments is shown, but only the relevant portions of the 70a4R fragment are shown. It should be noted that the last two nucleotides of the 48a27R fragment (GG of the noncoding strand) were donated by the plasmid vector sequence; the authentic nucleotides are AC (29, 30). The large letters identify the purines whose methylation partially or completely interfered with the binding of the respective proteins. The intermediate-sized letters show the domains protected from DNase I digestion. The circles indicate a sequence motif that is homologous to the immunoglobulin gene enhancer element. As noted in the text, the aTIC sequence contained in  $70\alpha 4R$  is inverted relative to the transcription initiation site of the  $\alpha$ 4 gene. Abbreviations: NC, noncoding strand; C, coding strand; Y, pyrimidine; R, purine; N, any nucleotide. The binding site of the  $\alpha$ H1 and  $\alpha$ H2- $\alpha$ H3 proteins on the 48 $\alpha$ 27R fragment was derived from data shown in Fig. 6I, J, K, and L. The corresponding patterns for the 70a4R fragment are not shown. The aH1 protein DNase 1 footprint on the 48a27R fragment is from Kristie and Roizman (26).

48 $\alpha$ 27R fragment. Homologs of portions of this pallindrome (AAATACAT and TACATGCCACG) are present in an inverted orientation at the 5' domain of the DNA protected from DNase I digestion by  $\alpha$ H1. The 5' end of the  $\alpha$ H1-protected domain is juxtaposed to the 12-bp sequence (5'-CGGAACGGTGTA-3') that is homologous to the immuno-globulin enhancer sequence (7, 10, 51). The proximity of this sequence to the  $\alpha$ TIC site, and particularly to the  $\alpha$ H2- $\alpha$ H3-and  $\alpha$ H2'-binding sites, may be relevant to the overall regulation of  $\alpha$ -gene expression. Finally, the 5'-terminal sequence (5'-CGGAAGCGGAA-3') of this fragment corresponds exactly to the sequence between the purine-protein contact points of the  $\alpha$ H1 and  $\alpha$ H2' of the 70 $\alpha$ 4R DNA fragment and is partially protected from DNase I digestion by  $\alpha$ H2' (data not shown).

This sequence analysis does not take into account other possible matches in which the sequence divergence is greater. The conservation of nucleotide stretches, some of which are within known binding sites, suggests that additional proteins might bind to this fragment. In this context, the role of the  $\alpha$ TIC sequence should be viewed as necessary but potentially not in itself sufficient to confer  $\alpha$ -gene regulation. Further analyses of the domains of this fragment should establish the role of these sequences in the induction of  $\alpha$  genes.

Function of  $\alpha$ TIF,  $\alpha$ H1, and  $\alpha$ H2- $\alpha$ H3 proteins in the induction of  $\alpha$  genes. Assessment of the functions of  $\alpha$ TIF,  $\alpha$ H1, and  $\alpha$ H2- $\alpha$ H3 proteins in the induction of  $\alpha$  genes in the naturally infected host are hampered by the fact that these studies have been done in cell culture, which may not reflect the transcriptional capabilities of cells in tissues infected by HSV. For example,  $\alpha$  genes are expressed constitutively on introduction into animal cells even when the selectable marker is another non- $\alpha$  gene (16, 23, 34, 43, 52). There is no evidence to suggest that the cells in tissues naturally infected by HSV have this capability. Notwithstanding these limitations, the significant observation is that the fusion of  $\alpha$ TIC sequences to an  $\alpha$ -gene promoter does not significantly increase the expression of the chimeric gene in the absence of  $\alpha$ TIF (23). Any model of the mechanism of induction of  $\alpha$  genes by  $\alpha$ TIF must, however, take into account the recent observation that aTIF forms specific complexes with DNA fragments containing the aTIC site in the presence of  $\alpha$ H1, but not in the presence of  $\alpha$ H2- $\alpha$ H3 alone or in the presence of competitors which preclude the binding of  $\alpha$ H1 (33). The identification of the  $\alpha$ H1 and aH2-aH3 proteins and their DNA-binding sites should permit genetic and biochemical analyses of the function of these host proteins.

Comparison of functions and requirements of the *trans*acting proteins involved in regulation of expression of HSV-1 genes. Until the discovery of  $\alpha$ TIF, the  $\alpha$ 4 protein was the only HSV-1 gene product known to specifically *trans*-activate viral genes. This protein regulates either positively or negatively the expression of HSV genes (for a review, see reference 48). In this instance, however, there is evidence for the binding of  $\alpha$ 4 to the viral DNA at specific sites (2, 11, 24, 25, 37, 41), even in the absence of other cellular proteins (22).

 $\alpha$ TIF differs from  $\alpha$ 4 in three important respects. First,  $\alpha$ TIF appears to induce a specific set of coordinately regulated genes (the  $\alpha$  genes). Second,  $\alpha$ TIF is a component of the virion, whereas  $\alpha$ 4 is expressed after infection. Lastly, it does not appear to bind, by itself, to the domains of the genes on which it acts, even though a specific *cis*-acting site is required for *trans*-activation. The first two properties of  $\alpha$ TIF are without precedent. With respect to the last property mentioned above, the most notable example of such a *trans*-acting factor is the E1A protein of adenoviruses that has been reported to *trans*-activate adenovirus genes expressed later in infection by mobilization or activation of host transcriptional factors (20, 21).

The molecular mechanisms of the *trans*-activation of  $\alpha$ genes by aTIF remain to be established. In a different dimension, there remains the question as to why herpesviruses have evolved a structural component packaged in a different virion compartment than that of the viral DNA to *trans*-activate the first set of genes to be expressed. Fundamentally, the choice of regulatory genes must be governed by biological necessity. Mobilization of transcriptional factors for effective expression of the first transcribed set of genes in other viruses (e.g., adenoviruses, papovaviruses, retroviruses) is accomplished by the presence of specific cis sites such as enhancer elements. In such systems, however, initiation of expression of the first set of genes is irrevocable in permissive cells in which transcriptional factors are, by definition, present. In its natural host, HSV is capable of both productive and latent infections, the determinants of which may be, in part, viral. As a *trans*-acting element,  $\alpha$ TIF may play a role in determining whether infection is productive or latent. When  $\alpha$ TIF is present, it could rapidly effect the initiation of transcription of the  $\alpha$  genes containing the aTIC sites, leading to productive infection and obligatory cell death. Conceivably, suppression of  $\alpha$ -gene expression is a requirement for the establishment of latency, and in the absence of aTIF, latency-specific genes would have a selective advantage. The biological necessity of such a regulatory system is exemplified in HSV infections of sensory neurons in which the efficient expression of  $\alpha$  genes may lead to productive infection rather than to the latent state in which the expression of these genes is not detected uniformly (for a review, see reference 50). We note that in cultured cells, the distance between the site of entry of the virus into the cell and the nucleus is measured in micrometers, whereas the distance between the site of entry at the nerve endings of sensory neurons and the neuronal nucleus harboring latent virus is measured in centimeters. The separation of  $\alpha$ TIF and viral DNAs in the virion may well imply that they make their way into the intracellular environment independently, with interesting biological consequences. For example, in a model in which  $\alpha$ TIF must interact with  $\alpha$ H1 proteins for the induction of  $\alpha$  genes (33), the failure of  $\alpha$ TIF to reach the nucleus of the sensory neuron could explain the dominance of latency-specific functions over those leading to productive infection (50). The ability to establish latency may well be a requirement for the survival of HSV in their natural hosts.

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