| 1 ONLINE REPOSITORY 3 Immunologic Features of Infants with Milk or Egg Allergy Enrolled in an Observational Study (CoFAR) of Food Allergy 6 Scott H. Sicherer, MD* 7 Scott H. Sicherer, MD* 8 Robert A. Wood, MD* 9 Donald Stablein, PhD* 10 A. Wesley Burks, MD** 11 Andrew H. Liu, MD* 12 Stacie M. Jones, MD*** 13 David M. Fleischer, MD* | |
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34 Definition of Atopic Diseases

35 Atopic disease history in parents and siblings of enrolled infants was based upon previously accepted definitions.⁽¹⁾ A diagnosis of asthma was defined by a minimum of 3 reported 36 37 episodes (history) of wheezing in addition to respiratory symptoms with response to beta 38 agonists or signs of airway hyperreactivity (wheezing or severe coughing while exercising, cold 39 weather, or disturbed coughing at night) without ongoing upper respiratory infection. Atopic dermatitis required^(2,3) pruritus and an eczematous rash (acute, subacute, chronic) with typical 40 morphology and age specific patterns and a chronic (3 or more weeks) or relapsing history 41 42 (excluding scabies, seborrheic dermatitis, allergic contact dermatitis, ichthyoses, cutaneous lymphoma, psoriasis, and immune deficiency disease) and atopy (personal and/or family 43 44 history or IgE reactivity) and xerosis. A family history of food allergy required typical symptoms 45 such as urticaria, angioedema, or asthma, directly following consumption of the food.

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47 For enrolled infants, asthma is graded by severity according to NHLBI guidelines and atopic 48 dermatitis severity is graded by criteria previously described and published by Rajka and Langeland.⁽³⁾ Briefly, the AD severity is graded as mild, moderate, or severe using the 49 50 following parameters to compute a score summation: 1) extent of disease (by "rule of nine"), 2) 51 course of disease (by history), and 3) intensity of disease (disturbance of night's sleep by 52 itching) each on a 3 point scale. Summation scores of 3-4 indicate mild disease, 5-7 53 moderate disease, and 8-9 severe atopic dermatitis. To avoid exclusion of milk or egg 54 sensitized children who experienced improvement of atopic dermatitis by ongoing milk or egg 55 restriction prior to consideration for enrollment, a historical grading of AD severity prior to 56 dietary manipulation (change of formula or maternal exclusion of milk or egg) was allowed. 57

58 Categorization of food allergy

Because this is an observational study, repeated diagnostic oral food challenges could not be
imposed upon infants at enrollment. Therefore, the following categorization scheme was
developed and designed for longitudinal use.

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63 At enrollment (and for the longitudinal course of the study) we define food allergy according to 64 clinical history and test results, as well as by oral food challenges when clinically indicated. A clinical history was considered <u>convincing</u> when there were symptoms within an hour of
isolated ingestion that included at least: urticaria and/or angioedema, difficulty breathing,
wheezing, throat tightness, and/or vomiting. Brief ingestion of cow's milk protein formula
during the newborn period does not qualify as evidence of tolerance.

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Based upon available studies for children under age 2 years, we considered food-specific IgE levels to have diagnostic accuracy of >95% when they were equal to or greater than $5 \text{ kU}_{\text{A}}/\text{L}$ for milk⁽⁴⁾, 2 kU_A/L for egg,⁽⁵⁾ and 5 kU_A/L for peanut (see below).

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We developed a novel classification scheme to categorize each study subject into one of the
following food allergy diagnostic categories based upon the clinical history and standard IgE
levels:

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78 <u>Confirmed IgE mediated reaction (~97% certainty)</u>: A positive physician-supervised oral 79 food challenge and sensitization to the food (food-specific IgE ≥ 0.35 kU_A/L and/or PST ≥ 3 80 mm) and/or a convincing reaction plus a >95% predictive food-specific IgE test result. 81

82 <u>Convincing</u>, but not confirmed IgE mediated reaction (~95% accurate): a convincing 83 history with sensitization demonstrated by a positive serologic test (milk or egg \geq 0.35 but < 84 95% predictive levels) and/or a positive skin test; or history of flare of atopic dermatitis upon 85 ingestion of the food AND food-specific plasma IgE > 95% predictive level.

86

Serological diagnosis: a food-specific IgE test result that is >95% predictive of a clinical 87 88 reaction but no ingestion of the food. Based upon available studies for children under age 2 years, we considered food-specific IgE levels to have diagnostic accuracy of >95% when they 89 were equal to or greater than 5 kU_A/L for milk⁽⁴⁾, 2 kU_A/L for eqg,⁽⁵⁾ and 5 kU_A/L for peanut. 90 91 The predictive value for peanut is derived. Oral food challenges are not typically performed to 92 peanut in this age group and therefore diagnostic properties of the test have not been determined in infants.^(6;7) The predictive value of serum IgE for clinical reactions varies by age, 93 94 with younger infants reacting at lower levels than school-age children. For example, previous studies of egg and milk allergy in infants^(4;5,8) indicate that >95% react at IgE levels to egg and 95

96 milk that correspond to 50% reaction rates for 5-7 year olds (e.g., a level of 2 kU_A/L for egg and milk).⁽⁷⁾ In studies of children at mean ages of 5-7 years, $^{(6;7;9)}$ a level of 5 kU_A/L to peanut 97 is associated with a 70-90% clinical reaction rate. Based upon these studies of peanut, and 98 99 studies on egg and milk showing that infants react at lower food-specific IgE levels than older 100 children, we estimate that a peanut IgE level of $> 5 \text{ kU}_A/\text{L}$ in the infants in this study would 101 indicate a high (>95%) likelihood of current clinical peanut allergy. Based upon the abovereferenced studies,^(6;7;9) co-incident soy allergy affecting a small percent of peanut-allergic 102 103 children, would not likely influence these predictive values.

104

Potential allergy: no ingestion and an indeterminate positive test result, or a convincing
 history but no sensitization, or there was a flare of atopic dermatitis and sensitization to the
 food (but the food-specific IgE is not in diagnostic range).

108

109 *Not allergic-sensitized*: detectable IgE antibody (sensitized, IgE $\ge 0.35 \text{ kU}_{\text{A}}/\text{L}$ or PST ≥ 3 110 mm) but tolerates eating the food.

111

112 **Not allergic- not sensitized:** No evidence of IgE antibody to the food and food tolerant.

113

114 *Not sensitized-never ingested*: tested negative and has not ingested the food (does not
 115 include exposure to allergen in breast milk).

116

117 <u>Non-IgE food allergy</u>: a positive oral food challenge but not sensitized (serum IgE < 0.35
 118 kU_A/L and PST < 3 mm).

119

120 As described in the manuscript, we only evaluated mononuclear cell expression of key

121 cytokine and regulatory genes for the clinical endpoints associated with allergy

122 (confirmed/convincing) or no allergy categories.

- 123
- 124 Skin tests

125 A positive PST is defined by a mean wheal diameter of 3 mm or greater, after subtraction of 126 the saline control. Tests were considered reliable if the wheal size of the histamine control was 127 at least 3 mm larger than the wheal size of the negative control. All sites used the same lot of 128 reagents and training was performed to ensure consistency. The following extracts were used 129 (Greer catalog number in parentheses, Lenoir, NC): cow milk (F293), chicken egg white 130 (F272), and peanut (F171). For additional allergic characterization, PSTs were performed with 131 environmental allergens: standardized cat (TE3), dog epithelia (Canis familiaris; mixed breed) 132 (E7), Dermatophagoides pteronyssinus (B70), Dermatophagoides farinae (B64), mold mix #1 133 (alternaria, aspergillus, helmithosporium, cladosporium, penicillium) (MO1), and cockroach mix 134 (American German) (B012). Skin tests to a specific agent could be deferred if the infant 135 experienced an unequivocal recent episode of anaphylaxis to the substance.

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137 ADDITIONAL RESULTS

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139 Additional Demographic Features

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141 142 The number of children enrolled at each site were: Denver, CO (99), Durham, NC (103), 143 Baltimore, MD (109), New York, NY (107) and Little Rock, AR (94). Of the mothers, 79.1% 144 had a college degree or higher and among fathers this was 73.0%. Parental atopic disease 145 was reported among 67.4% of mothers, 59.8% of fathers and 43.6% were families with 146 biparental atopy. The mean birth weight of participants was 3.42 kg (range 1.16-4.77). Full 147 term pregnancy (\geq 37 weeks) was reported for 92.4% of the participants, and 13 infants were 148 born prematurely at <34 weeks. Pets in the household included dogs (24.8% of households) 149 or cats (13.3%) and 3.1% had both types. Asthma was diagnosed in 28 (5.5%) of the entrants 150 and when present, was mild-intermittent in 19, mild-persistent in 8 and moderate-persistent in 151 1. By parental report, 15.2% of the infants had experienced bronchiolitis. The sites were 152 geographically diverse and distributions of race, ethnicity, household income, parental 153 education, atopic dermatitis severity and pets in the home, but not gender, differed significantly 154 (p<.001) by site. For some variables, the range of site-specific characteristics was substantial; 155 for example, the Caucasian race rate ranged from 57.5% to 86.0%, incomes over \$100,000 156 from 13.8% to 60.8% and pets in the home from 21.5% to 50.5%. Table E1 shows the 157 categories of allergy as described above. **Table E2** shows sensitization characteristics. 158

159 Additional Results and Quality Control Standards for PCR

- 160 **Table E3** shows the mean delta Ct and percent of undetectable stimulations. Overall 96.8% of
- 161 the PCR passed laboratory quality standards while 12.5% of the assays failed to detect the
- 162 targeted gene (5% for anti-CD3/-CD28, 22% for medium). The 5 clinic sites demonstrated the
- ability to consistently prepare samples with quality success rates ranging from 95.3 to 97.4 %
- and non-detection rates ranging from 10.7- to 16.1%. As expected, CD25 up-regulation
- 165 following positive control (anti-CD3/-CD28) and tetanus toxoid was readily detectable by PCR.
- 166 Transcriptional changes were also evident upon allergen stimulation for several target genes in
- 167 comparison to medium alone. To show quality control, **Figure E2** displays a high correlation of
- 168 housekeeping genes and **Figure E3** shows typical consistent results across study sites for a
- 169 representative stimulation (peanut stimulated IL4 gene).
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| 171 172 | References |
|-------------------|--|
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| 195 | |

Table E1. Demographic characteristics of the study participants (n=512 unless otherwisenoted).

| | N | % |
|----------------------------|-----|------|
| Male | 345 | 67.4 |
| Age (months)at enrollment | | |
| 3-5 | 65 | 12.7 |
| 6-8 | 128 | 25.0 |
| 9-11 | 182 | 35.5 |
| 12-14 | 137 | 26.8 |
| Race | | |
| Caucasian | 378 | 73.8 |
| Black/African-American | 79 | 15.4 |
| Asian | 40 | 7.8 |
| Other | 15 | 2.9 |
| Ethnicity | | |
| Hispanic or Latino | 36 | 7.0 |
| Household income | | |
| \$0-\$49,999 | 86 | 16.8 |
| \$50,000-\$99,999 | 135 | 26.4 |
| >\$100,000 | 215 | 42.0 |
| Caeserian section Delivery | 178 | 34.8 |
| Breastfed | | |
| Never | 73 | 14.3 |
| Yes | 439 | 85.7 |
| Atopic Dermatitis severity | | |
| None | 40 | 7.8 |
| Mild | 51 | 10.0 |
| Moderate | 258 | 50.4 |
| Severe | 163 | 31.8 |

| Sensitization parameter | Milk | Egg | Peanut |
|--|------|------|--------|
| Positive PST (%) | 68.7 | 87.1 | 53.7 |
| IgE \ge 0.35 to 2 kU _A /L (%) | 22.1 | 23.7 | 20.9 |
| $IgE > 2 \text{ to } 5 \text{ kU}_{A}/L (\%)$ | 12.7 | 16.1 | 11.9 |
| $IgE > 5 kU_A/L (\%)$ | 26.6 | 34.6 | 27.8 |
| Positive sensitization by positive PST and/or IgE $\geq 0.35_{k}U_{A}/L$ (%) | 77.7 | 88.7 | 68.8 |
| Mean IgE (kU _A /L) | 9.1 | 10.4 | 9.8 |
| 25 th Percentile IgE (kU _A /L) | .1 | .3 | .05 |
| Median IgE (kU _A /L) | 0.9 | 2.1 | 0.9 |
| 75 th Percentile IgE (kU _A /L) | 5.5 | 9.5 | 5.9 |

Table E2. Sensitization rates (n = 503).

| | Stimulant | | | | | | | |
|-------|--------------|----------|--------------|----------|--------------|----------|--------------|----------|
| | Peanut | | Medium | | aCD3/28 | | Tetanus | |
| | % | | % | | % | | % | |
| | Undetectable | Delta CT |
| Gene | | | | | | | | |
| CD25 | 5.22 | 5.91 | 6.81 | 7.81 | 4.02 | 4.93 | 2.41 | 5.41 |
| CISH | 11.16 | 7.58 | 39.23 | 11.60 | 6.29 | 7.29 | 9.05 | 7.12 |
| FOXP3 | 9.62 | 7.66 | 12.66 | 8.46 | 6.38 | 7.52 | 11.01 | 7.72 |
| GATA3 | 2.46 | 4.66 | 4.97 | 5.07 | 2.33 | 4.94 | 2.18 | 4.37 |
| IL10 | 35.66 | 12.09 | 60.57 | 14.49 | 14.03 | 11.84 | 39.37 | 12.40 |
| IL4 | 36.50 | 12.48 | 59.45 | 14.36 | 11.48 | 11.37 | 20.32 | 9.99 |
| INFG | 21.66 | 9.17 | 38.63 | 12.60 | 5.93 | 5.47 | 4.80 | 6.10 |
| RPL41 | 0.00 | -1.72 | 0.00 | -1.67 | 0.00 | -1.80 | 0.00 | -1.77 |
| RPS9 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| TBET | 9.43 | 8.57 | 12.87 | 10.32 | 2.34 | 6.48 | 7.41 | 8.03 |

Table E3. Raw data showing Mean Delta Ct by Stimulation and % Undetectable

| | | Stim | | | | | |
|-------|--------------|----------|--------------|----------|--------------|----------|--|
| | Caseir | ıs | Egg Wh | ite | All | | |
| | % | | % | | % | | |
| | Undetectable | Delta CT | Undetectable | Delta CT | Undetectable | Delta CT | |
| Gene | | | | | | | |
| CD25 | 6.57 | 5.57 | 4.77 | 5.89 | 4.96 | 5.93 | |
| CISH | 10.60 | 7.11 | 15.44 | 7.78 | 15.23 | 8.08 | |
| FOXP3 | 11.94 | 7.03 | 12.29 | 7.59 | 10.60 | 7.68 | |
| GATA3 | 2.33 | 4.07 | 1.65 | 4.27 | 2.69 | 4.58 | |
| IL10 | 29.19 | 10.53 | 53.14 | 13.33 | 38.25 | 12.45 | |
| IL4 | 35.59 | 11.60 | 44.67 | 12.61 | 34.37 | 12.06 | |
| INFG | 17.65 | 8.04 | 11.99 | 8.08 | 16.87 | 8.25 | |
| RPL41 | 0.00 | -1.85 | 0.00 | -1.80 | 0.00 | -1.77 | |
| RPS9 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| TBET | 18.81 | 10.14 | 9.57 | 9.00 | 9.93 | 8.73 | |

- 202 Figure Legends.
- 203

Figure E1a-c. Relationship of skin test wheal sizes (mm) to serum IgE antibody levels (kU_A/L) for milk (1a), egg (1b) and peanut (1c) shown on a logarithmic scale. Spearman correlation coefficients are 0.64 for milk, 0.65 for egg and peanut. "+" symbols refer to those with confirmed/convincing milk/egg allergy; Spearman correlation coefficients are 0.47 for milk and 0.48 for egg, p < 0.001 for this subgroup. PSTs were recorded as negative if the saline control wheal was larger than the food test wheal.

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Figure E2. Reproducibility of replicate PCR: Correlation of two housekeeping genes threshold cycle number from 2,708 PCR assays, using 5 stimulation conditions. Spearman correlation coefficient = 0.84.

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Figure E3. Reproducibility across study sites: The 5 participating sites (DC-National

- 216 Jewish Health, Denver, CO, DU-Duke, Durham, NC, JH-Johns Hopkins, Baltimore, MD, MS-
- 217 Mount Sinai, NY, NY and UA- University of Arkansas, Little Rock, AR) prepared stimulated
- 218 lymphocytes that were shipped to a central laboratory for qPCR analysis. The site specific
- results for the peanut stimulated IL4 gene Delta-Delta Ct are shown (N ranges from 77-91 per
- site; there was no significant difference between sites for the detection of IL4, p=0.32).









