

Microduplications of 16p11.2 are Associated with Schizophrenia

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Supplementary Table 1. Sample-by-Array Distribution. The institutions contributing case-control samples or data to the association analysis of 16p11.2 in schizophrenia, autism and bipolar disorder are provided with a breakdown of how many samples from each institution were analyzed by one of the five microarray platforms (ROMA 85K, NimbleGen HD2, Affymetrix 500K, Affymetrix 5.0 and Affymetrix 6.0). In addition we summarize the patient and control ascertainment schemes used by studies that contributed to the primary and replication samples. Cases that were drawn from larger pedigrees are referred to as “Family-based”. “Early onset Schizophrenia” was defined as having an age at onset younger than 13. References provided for each set sample set describe the ascertainment of these samples in greater detail.

Supplementary Table 1.

| Sample Source | Total | ROMA 85K | NimbleGenHD2 | Affymetrix 500K | Affymetrix 5.0 | Affymetrix 6.0 | Ascertainment (Reference) |
|---------------------------------|-------------|------------|--------------|-----------------|----------------|----------------|---------------------------------------|
| Primary Cases | | | | | | | |
| <i>Cardiff University</i> | 471 | 0 | 0 | 471 | 0 | 0 | (3) |
| <i>CATIE</i> | 738 | 0 | 0 | 738 | 0 | 0 | (2, 14) |
| <i>Columbia University</i> | 19 | 19 | 0 | 0 | 0 | 0 | Family based (8) |
| <i>McLean Hospital</i> | 161 | 9 | 152 | 0 | 0 | 0 | Family based (7) |
| <i>NIMH</i> | 83 | 0 | 0 | 83 | 0 | 0 | Early Onset (1) |
| <i>New York University</i> | 259 | 22 | 237 | 0 | 0 | 0 | Family based (9) |
| <i>University of Washington</i> | 175 | 51 | 124 | 0 | 0 | 0 | In Patient or Early onset (1) |
| Total | 1906 | 101 | 513 | 1292 | 0 | 0 | |
| Primary Controls | | | | | | | |
| <i>CATIE</i> | 289 | 0 | 0 | 289 | 0 | 0 | Negative History (2, 14) |
| <i>CSHL</i> | 29 | 29 | 0 | 0 | 0 | 0 | Not Screened (11) |
| <i>HapMap</i> | 117 | 0 | 117 | 0 | 0 | 0 | Not Screened (4,5) |
| <i>NINDS</i> | 262 | 262 | 0 | 0 | 0 | 0 | Negative History (6) |
| <i>NIMH</i> | 96 | 0 | 96 | 0 | 0 | 0 | Negative History (11) |
| <i>NYCP</i> | 386 | 20 | 366 | 0 | 0 | 0 | Not Screened (10) |
| <i>WTCCC</i> | 2792 | 0 | 0 | 2792 | 0 | 0 | Not Screened (12) |
| Total | 3971 | 311 | 579 | 3081 | 0 | 0 | |
| Replication Cases | | | | | | | |
| <i>GAIN (phs000021.v2.p1)</i> | 2645 | 0 | 0 | 0 | 0 | 2645 | Multisite Recruitment (15) |
| Replication Controls | | | | | | | |
| <i>GAIN (phs000021.v2.p1)</i> | 2420 | 0 | 0 | 0 | 0 | 2420 | Absence of History (15) |
| Bipolar Disorder Cases | | | | | | | |
| <i>GEM</i> | 161 | 0 | 161 | 0 | 0 | 0 | Multisite Recruitment (see section 1) |
| <i>WTCCC</i> | 1697 | 0 | 0 | 1697 | 0 | 0 | Multisite Recruitment (12) |
| <i>GAIN (phs000017.v2.p1)</i> | 1457 | 0 | 0 | 0 | 0 | 1457 | Multisite Recruitment (19,20) |
| Total | 3315 | 0 | 161 | 1697 | 0 | 1457 | |
| Autism Cases | | | | | | | |
| <i>AGRE</i> | 692 | 9 | 0 | 0 | 683 | 0 | (16,17) |
| <i>Columbia University</i> | 32 | 32 | 0 | 0 | 0 | 0 | (17) |
| <i>Inst.Child Health</i> | 28 | 28 | 0 | 0 | 0 | 0 | (17) |
| <i>NIMHAU</i> | 49 | 10 | 39 | 0 | 0 | 0 | (17) |
| <i>N. Shore. Uni. Hospital</i> | 10 | 9 | 1 | 0 | 0 | 0 | (17) |
| <i>Trinity College Dublin</i> | 60 | 60 | 0 | 0 | 0 | 0 | (18) |
| <i>Vanderbilt University</i> | 63 | 63 | 0 | 0 | 0 | 0 | (17) |
| Total | 934 | 211 | 40 | 0 | 683 | 0 | |

Supplementary Table 2. HMM identified 16p11.2 Microduplications and Microdeletions. The 16p11.2 microduplications and microdeletions detected by HMM segmentation are summarized in detail by sample, dataset and microarray platform (Supplementary Note). The genome coordinates of each event are given for the discovery platform and coordinates are also given for the results of the fine mapping using the Nimblegen HD2 platform. Coordinates are based on the UCSC human genome version 18 (hg18). We also report the percentage of the 16p11.2 region (chr16:29557498-30107355) that was overlapped by each segment. The number of MeZOD genotyping probes and MeZOD Z-Score for each segment is also given. (Supplementary Note)

^a Data from Cardiff University, NIMH and WTCCC were not analyzed by MeZOD. This table lists only CNVs detected in our analysis of data on the ROMA, NimbleGen HD2, Affymetrix 500K, 5.0 and 6.0 platforms. Not included here are CNVs reported in published studies ^{16,22,23} where raw data were not publicly available.

^b Events detected in WTCCC bipolar cases and controls were detected independently on the NSP and STY arrays; thus CNVs were detected and validated on independent microarrays. Fine mapping of these samples using the Nimblegen HD2 platform was not possible due to insufficient DNA.

^c Insufficient DNA was available to validate these 16p11.2 rearrangements in autism (one duplication and two deletions) detected by ROMA 85K arrays.

Supplementary Table 2

| Sample | Data Set | Platform | Gender | Ethnicity | 16p11.2 Genotype | Median Probe Ratio | hg18 Start | hg18 End | CNV-Target Overlap | Number of Genotyping Probes | MeZOD Z-Score | Validation Platform | hg18 Start | hg18 End |
|-------------|---------------------|------------------------------|--------|-----------|------------------|--------------------|------------|----------|--------------------|-----------------------------|---------------|------------------------------|------------|----------|
| 03C18520 | Primary Case | Affymetrix 500K | Male | EA | Del | 0.69 | 29559989 | 29926001 | 67% | 19 | -3.03 | NimbleGen HD2 | 29564890 | 30132589 |
| 03C15581 | Primary Case | Affymetrix 500K | Male | EA | Dup | 1.24 | 29559989 | 30085308 | 96% | 20 | 1.70 | NimbleGen HD2 | 29534144 | 30098184 |
| 03C15536 | Primary Case | Affymetrix 500K | Female | AA | Dup | 1.25 | 29559989 | 30085308 | 96% | 20 | 1.25 | NimbleGen HD2 | 29280728 | 30287854 |
| 03C15896 | Primary Case | Affymetrix 500K | Male | EA | Dup | 1.17 | 29580704 | 30085308 | 92% | 20 | 1.18 | NimbleGen HD2 | 29295104 | 30287854 |
| AV-27-05 | Primary Case | ROMA | Female | EA | Dup | 1.31 | 29686691 | 30037311 | 64% | 6 | 2.37 | NimbleGen HD2 | 29345816 | 30252311 |
| OX-100-01 | Primary Case | NimbleGen HD2 | Male | EA | Dup | 1.33 | 29281696 | 30207681 | 100% | 177 | 1.80 | Agilent 244K | | |
| MC235 | Primary Case | NimbleGen HD2 | Male | EA | Dup | 1.33 | 29481419 | 30252311 | 100% | 177 | 1.24 | Agilent 244K | | |
| NWP-110-02 | Primary Case | NimbleGen HD2 | Male | EA | Dup | 1.34 | 29553777 | 30105580 | 100% | 177 | 2.00 | Agilent 244K | | |
| 676 | Primary Case | Affymetrix 500K ^a | Female | EA | Dup | 1.24 | 29652656 | 30085308 | 79% | 17 | NA | NimbleGen HD2 | 29557094 | 30107502 |
| 2011 | Primary Case | Affymetrix 500K ^a | Female | EA | Dup | 1.20 | 29657405 | 30235818 | 82% | 16 | NA | NimbleGen HD2 | 29534144 | 30098184 |
| 19326A3 | Primary Case | Affymetrix 500K ^a | Male | EA | Dup | 1.26 | 29559989 | 30227808 | 100% | 20 | NA | NimbleGen HD2 | 29325222 | 30133525 |
| 19328A3 | Primary Case | Affymetrix 500K ^a | Female | EA | Dup | 1.26 | 29559989 | 30085308 | 96% | 20 | NA | NimbleGen HD2 | 28548146 | 30309077 |
| 19328G5 | Primary Case | Affymetrix 500K ^a | Male | EA | Dup | 1.19 | 29559989 | 29926001 | 67% | 19 | NA | NimbleGen HD2 | 29512728 | 30105580 |
| 11158E8 | Primary Control | Affymetrix 500K ^a | Female | EA | Dup | 1.27 | 29559989 | 29926001 | 67% | 19 | NA | Affymetrix 500K ^b | | |
| 129998A | Primary Control | Affymetrix 500K ^a | Male | EA | Del | 0.74 | 29559989 | 30227808 | 100% | 20 | NA | Affymetrix 500K ^b | | |
| 15051D6 | Primary Control | Affymetrix 500K ^a | Male | EA | Del | 0.70 | 29559989 | 30227808 | 100% | 20 | NA | Affymetrix 500K ^b | | |
| 15387D8 | Primary Control | Affymetrix 500K ^a | Male | EA | Del | 0.68 | 29559989 | 30227808 | 100% | 20 | NA | Affymetrix 500K ^b | | |
| 37540 | Replication Case | Affymetrix 6.0 | Male | EA | Dup | 1.23 | 29158416 | 30038055 | 87% | 137 | 1.68 | NimbleGen HD2 | 29156718 | 30217554 |
| 40245 | Replication Case | Affymetrix 6.0 | Female | AA | Dup | 1.23 | 29425200 | 30099396 | 99% | 163 | 1.58 | NimbleGen HD2 | 29470406 | 30105580 |
| 37612 | Replication Case | Affymetrix 6.0 | Female | EA | Dup | 1.24 | 29474798 | 30214457 | 100% | 163 | 1.52 | NimbleGen HD2 | 29351484 | 30252311 |
| 851 | Replication Case | Affymetrix 6.0 | Female | EA | Dup | 1.26 | 29487523 | 30099396 | 99% | 163 | 1.46 | NimbleGen HD2 | 29528158 | 30111196 |
| 40832 | Replication Case | Affymetrix 6.0 | Female | AA | Dup | 1.23 | 29487523 | 30084010 | 96% | 159 | 1.59 | NimbleGen HD2 | 29553777 | 30105580 |
| 1669 | Replication Case | Affymetrix 6.0 | Male | EA | Dup | 1.25 | 29488112 | 30099396 | 99% | 163 | 1.35 | NimbleGen HD2 | 29351484 | 30256447 |
| 40350 | Replication Case | Affymetrix 6.0 | Male | AA | Dup | 1.26 | 29488603 | 30058600 | 91% | 148 | 1.42 | NimbleGen HD2 | 29204284 | 30275324 |
| 38499 | Replication Case | Affymetrix 6.0 | Female | EA | Dup | 1.25 | 29498829 | 30099396 | 99% | 163 | 1.71 | NimbleGen HD2 | 29459174 | 30119610 |
| 38492 | Replication Case | Affymetrix 6.0 | Male | EA | Dup | 1.26 | 29498891 | 30097531 | 98% | 162 | 1.37 | NimbleGen HD2 | 29521802 | 30170962 |
| 36604 | Replication Control | Affymetrix6.0 | Female | EA | Del | 0.72 | 29309802 | 30085308 | 96% | 161 | -2.78 | NimbleGen HD2 | 29293200 | 30133525 |
| 39636 | Replication Control | Affymetrix6.0 | Male | AA | Dup | 1.26 | 29425200 | 30099396 | 99% | 163 | 1.59 | NimbleGen HD2 | 29537669 | 30107502 |
| 2201-0 | Bipolar Disorder | NimbleGen HD2 | Male | EA | Dup | 1.34 | 29453358 | 30252311 | 100% | 177 | 1.68 | Agilent 244K | | |
| F440_4 | Bipolar Disorder | Affymetrix 500K ^a | Male | EA | Dup | 1.17 | 29559989 | 29926001 | 67% | 19 | NA | Affymetrix 500K ^b | | |
| 8026_1 | Bipolar Disorder | Affymetrix 500K ^a | Female | EA | Dup | 1.26 | 29559989 | 30085308 | 96% | 20 | NA | Affymetrix 500K ^b | | |
| 6023_9 | Bipolar Disorder | Affymetrix 500K ^a | Female | EA | Dup | 1.23 | 29559989 | 30085308 | 96% | 20 | NA | Affymetrix 500K ^b | | |
| JS-6059-900 | Autism | ROMA | Female | | Del | 0.71 | 27000632 | 45495496 | 100% | 6 | -1.87 | NA ^c | | |
| JS-6056-900 | Autism | ROMA | Male | | Del | 0.74 | 27051731 | 45671669 | 100% | 6 | -2.29 | NA ^c | | |
| CG20261 | Autism | ROMA | Female | EA | Del | 0.67 | 29560786 | 30037311 | 87% | 6 | -2.98 | NimbleGen HD2 | 29564890 | 30102245 |
| AU0938301 | Autism | Affymetrix 5.0 | Male | | Del | 0.72 | 29530260 | 30011863 | 83% | 51 | -2.27 | NimbleGen HD2 | 29367869 | 30226378 |
| AU041905 | Autism | Affymetrix 5.0 | Male | | Del | 0.72 | 29530260 | 30214457 | 100% | 63 | -2.33 | NimbleGen HD2 | 29511515 | 30119610 |
| AU0154302 | Autism | Affymetrix 5.0 | Male | | Del | 0.73 | 29530260 | 30038195 | 87% | 56 | -2.55 | NimbleGen HD2 | 29561239 | 30124017 |
| AU029803 | Autism | Affymetrix 5.0 | Male | EA | Del | 0.72 | 29534002 | 30035231 | 87% | 55 | -2.64 | NimbleGen HD2 | 29367869 | 30132589 |
| JS-2100-3 | Autism | ROMA | Male | | Dup | 1.34 | 29560786 | 30037311 | 87% | 6 | 2.65 | NA ^c | | |
| AU011004 | Autism | Affymetrix 5.0 | Male | EA | Dup | 1.22 | 29530260 | 30097531 | 98% | 62 | 1.28 | NimbleGen HD2 | 29459174 | 30107502 |
| AU002903 | Autism | Affymetrix 5.0 | Male | EA | Dup | 1.21 | 29559011 | 30055840 | 90% | 57 | 1.36 | NimbleGen HD2 | 29497757 | 30105580 |
| AU032704 | Autism | Affymetrix 5.0 | Male | EA | Dup | 1.16 | 29606126 | 30007823 | 73% | 45 | 1.23 | NimbleGen HD2 | 29537669 | 30105580 |

Supplementary Table 3. Studies Reporting 16p11.2 Variants used in the Meta-analysis of 16p11.2 Variants and Psychiatric Disease. Listed for each source (i.e. study) are the number of individuals of each disease type and the number of controls that were included in the meta-analysis. Efforts were made to eliminate sample overlap between studies. For the published studies by the ISC²² and Marshall et al.²³, no cases or controls overlapped with the samples in our primary or replication samples, and thus the numbers of each corresponded exactly to what is described in the methods of the respective study. From the study by Weiss et al, we included only data from Children’s Hospital Boston, deCode and STEP-BD. We did not include any data from AGRE or NIMH controls that overlapped with sources used in our study. Last, because of the removal of the corresponding NIMH controls, the STEP-BD patients were combined with the bipolar sample from deCode for the purposes of the meta-analysis.

| Study | Disease | Deletion | Duplication | Normal | Total |
|--------------------------|--|-----------------|--------------------|---------------|--------------|
| Current Study | Schizophrenia | 1 | 12 | 1893 | 1906 |
| | Bipolar Disorder | 0 | 4 | 1854 | 1858 |
| | Global Deveopmental Delay or Autism | 7 | 4 | 923 | 934 |
| | Controls | 3 | 1 | 3967 | 3971 |
| GAIN | Schizophrenia | 0 | 9 | 2636 | 2645 |
| | Bipolar Disorder | 0 | 0 | 1457 | 1457 |
| | Controls | 1 | 1 | 2418 | 2420 |
| Weiss et al | Schizophrenia (deCode) | 1 | 0 | 647 | 648 |
| | Global Deveopmental Delay or Autism (deCode) | 3 | 0 | 296 | 299 |
| | Bipolar Disorder (STEP-BD and deCode) | 4 | 2 | 1501 | 1507 |
| | Controls (deCode) | 2 | 5 | 18827 | 18834 |
| Weiss et al (CHB) | Global Deveopmental Delay or Autism (CHB) | 5 | 4 | 503 | 512 |
| | Controls (CHB) | 0 | 0 | 434 | 434 |
| Marshall et al | Global Deveopmental Delay or Autism | 2 | 2 | 423 | 427 |
| | Controls | 0 | 0 | 1652 | 1652 |
| ISC | Schizophrenia | 1 | 5 | 3385 | 3391 |
| | Controls | 3 | 1 | 3177 | 3181 |

Supplementary Table 4. Quantitative Clinical Data for 16p11.2

Microduplication and Microdeletion Carriers. Presented here are the available data on height, weight, occipital-frontal-circumference (OFC) or non-verbal IQ on patients with microduplications or microdeletions of 16p11.2. Data were obtained from patients identified in this study and in 3 previous publications^{16,27,28}. Also included are data on three microdeletion carriers referred for psychiatric evaluation at the Children's Hospital in Philadelphia (CHOP). Height and OFC data were collected in centimeters (cm) and weight data were collected in kilograms (kg). Standardized Z-scores were calculated as described in the Supplementary Note. Height and head circumference measurements were taken at the same age for each subject with one exception CG20261, whose height and OFC were collected at ages 25 and 5 years respectively. The reported diagnoses of individual patients are listed, which included schizophrenia (SCZ), developmental delay (DD), mental retardation (MR), autism spectrum disorder (ASD) and attention-deficit disorder (ADD), as well as atypical autism and dysmorphism. Diagnoses for all cases from Children's Hospital Boston (See reference 16) were classified as "developmental delay, mental retardation or autism" (which we refer to as "DD/ASD").

^a CHOP: Children's Hospital of Philadelphia; CATIE: Clinical Antipsychotic Trials of Intervention Effectiveness; AGRE: Autism Genetics Resource Exchange; UW: University of Washington; NIMH: National Institute of Mental Health; MCHG: Marshfield Clinical Human Genetics, WI; CHB : Children's Hospital Boston

^b European Ancestry (EA); Ancestry Unknown (-)

c Autism Spectrum Disorder (ASD): Global Developmental Delay (DD);
Schizophrenia (SCZ); Mental Retardation (MR); No diagnosis (-).

| Study | Patient | Source ^a | 16p11.2 Genotype | Gender | Ethnicity ^b | Primary Diagnosis ^c | Age at Examination | WISC Performance IQ | Ravens IQ | Estimated IQ | Weight (kg) | Height (cm) | Height Z-score (CDC) | Height Z-score (Farkas) | OFC (cm) | OFC score (CDC) | Z-score (Farkas) | OFC Z-score (Farkas) |
|-------------------|----------|---------------------|------------------|--------|------------------------|--------------------------------|--------------------|---------------------|-----------|--------------|-------------|-------------|----------------------|-------------------------|----------|-----------------|------------------|----------------------|
| Referral | CHOP 1 | CHOP | Deletion | Female | EA | DD | 3.25 | | | | 12.40 | 86.30 | -2.33 | -2.59 | 50 | 0.74 | -0.17 | |
| Referral | CHOP 3 | CHOP | Deletion | Male | EA | DD | 19 | | | | 74.40 | 178.70 | 1.23 | 2.17 | 58.5 | 2.40 | 0.42 | |
| Referral | CHOP 4 | CHOP | Deletion | Male | EA | DD | 14.75 | | | | 99.79 | 185.42 | 1.04 | 1.09 | 58 | 2.05 | 1.99 | |
| Current | 03C15881 | CATIE | Duplication | Male | EA | SCZ | 62 | | | | 58.06 | 182.88 | 0.71 | 0.78 | | | | |
| Current | 03C15896 | CATIE | Duplication | Male | EA | SCZ | 44 | | | | 96.16 | 175.26 | -0.25 | -0.17 | | | | |
| Current | 03C18520 | CATIE | Deletion | Male | EA | SCZ | 23 | | | | 69.40 | 179.07 | 0.92 | 0.86 | 54.8 | -0.13 | -0.99 | |
| Current | AU002903 | AGRE | Duplication | Male | EA | ASD | 15.46 | | 90 | | 49.44 | 156.21 | -0.47 | -0.13 | 53.3 | -0.33 | -0.35 | |
| Current | AU002904 | AGRE | Duplication | Female | EA | - | 13.36 | | 94 | | 39.46 | 153.67 | 0.08 | 0.97 | 53.4 | 0.31 | 0.26 | |
| Current | AU002905 | AGRE | Duplication | Female | EA | ASD | 11.94 | | | | | | | | | | | |
| Current | AU011004 | AGRE | Duplication | Male | EA | ASD | 11.04 | | 110 | | | | | | 53.5 | 0.20 | -0.14 | |
| Current | AU032704 | AGRE | Duplication | Male | EA | ASD | | | 75 | | | | | | | | | |
| Current | AU032705 | AGRE | Duplication | Male | EA | ASD | | | 50 | | | | | | | | | |
| Current | AU032706 | AGRE | Duplication | Male | EA | ASD | | | 107 | | | | | | | | | |
| Current | AU032707 | AGRE | Duplication | Male | EA | - | | | 107 | | | | | | | | | |
| Current | AU041905 | AGRE | Deletion | Male | EA | ASD | 7.96 | | 108 | | 24.49 | 124.46 | -0.61 | -0.02 | 54 | 1.28 | 1.32 | |
| Current | AU093830 | AGRE | Deletion | Male | EA | ASD | 14 | | | | | | | | 56.5 | 1.23 | 1.06 | |
| Current | 1 | | | Male | EA | ASD | 5 | | | | | 157.48 | -0.95 | -0.76 | 51.5 | 0.84 | -0.18 | |
| Current | CG20261 | Columbia | Deletion | Female | EA | ASD | | | | | | | | | | | | |
| Current | MC235 | UW | Duplication | Male | EA | SCZ | | | | 80-90 | | | | | | | | |
| Current | Rap-2011 | NIMH | Duplication | Female | EA | SCZ | 15 | 81 | | | 48.10 | 152.40 | -1.44 | -1.44 | 54 | -0.18 | -0.13 | |
| Current | Rap-676 | NIMH | Duplication | Female | EA | SCZ | 13 | 72 | | | 34.50 | 157.50 | 0.00 | 0.02 | 52.5 | -0.88 | -0.94 | |
| Ghebranious et al | Twin1 | MCHG | Deletion | Male | - | DD | 28 | | | | | | | | 59.5 | 3.10 | 1.11 | |
| Ghebranious et al | Twin2 | MCHG | Deletion | Male | - | DD | 28 | | | | | | | | 57.3 | 1.55 | -0.42 | |
| Weiss et al | CHBDel1 | CHB | Deletion | Male | - | DD/ASD | 6y6m | | | | 19.00 | 108.10 | -1.88 | -1.65 | 51 | -0.55 | -0.60 | |
| Weiss et al | CHBDel2 | CHB | Deletion | Male | - | DD/ASD | 2y9m | | | | | | | | 52 | 1.64 | 1.32 | |
| Weiss et al | CHBDel3 | CHB | Deletion | Male | - | DD/ASD | 17m | | | | 9.00 | 74.20 | -2.33 | -1.42 | 48.5 | 0.67 | -0.53 | |
| Weiss et al | CHBDel4 | CHB | Deletion | Male | - | DD/ASD | 9y2m | | | | 65.40 | 143.30 | 0.67 | 0.89 | 54.5 | 1.41 | 1.17 | |
| Weiss et al | CHBDel5 | CHB | Deletion | Male | - | DD/ASD | 9y2m | | | | 71.90 | 150.00 | 2.33 | 1.73 | 56 | 2.50 | 2.25 | |
| Weiss et al | CHBDup1 | CHB | Duplication | Male | - | DD/ASD | 14m | | | | 11.00 | | | | | 0.84 | 0.84 | |
| Weiss et al | CHBDup2 | CHB | Duplication | Female | - | DD/ASD | 3y3m | | | | 14.70 | 97.20 | 0.25 | -0.45 | 46.5 | -1.48 | -2.91 | |
| Weiss et al | CHBDup4 | CHB | Duplication | Female | - | DD/ASD | 9y9m | | | | 33.50 | | | | 51 | -0.88 | -0.90 | |
| Weiss et al | ICEDel1 | Iceland | Deletion | Female | EA | ASD/MR | 5y2m | | | | 18.00 | 106.00 | -0.67 | -1.87 | 52.5 | 1.48 | 1.71 | |
| Weiss et al | ICEDel2 | Iceland | Deletion | Male | EA | Atypical Autism | 10y6m | | | | 69.00 | 152.00 | 1.55 | 1.76 | 54 | 0.71 | 0.38 | |
| Bijlsma | Case 1 | - | Deletion | Male | - | DD | 44 | | | | 84.9 | 172 | -0.67 | -0.57 | | | | |
| Bijlsma | Case 2 | - | Deletion | Male | - | DD | 17yr 2m | | | | 130.5 | 180 | 0.67 | 0.52 | 60 | 3.4 | 3.81 | |
| Bijlsma | Case 3 | - | Deletion | Female | - | DD | 8yr 2m | | | | 45 | 130 | 0.18 | 0.17 | 54.5 | 2.05 | 1.90 | |
| Bijlsma | Case 4 | - | Deletion | Male | - | ADD | 13yr | | | | | | | 2.00 | | | | |
| Bijlsma | Case 5 | - | Deletion | Male | - | ASD | 3yr | | | | | | | 0.00 | | -0.80 | -0.80 | |
| Bijlsma | Case 6 | - | Deletion | Female | - | DD | 7yr | | | | 25 | 122 | 0.05 | -0.28 | 52.5 | 0.88 | 0.67 | |
| Bijlsma | Case 7 | - | Deletion | Male | - | DD | 18m | | | | 8.2 | 72 | -2.33 | -1.85 | 47.5 | -0.20 | -1.43 | |
| Bijlsma | Case 8 | - | Deletion | Female | - | DD | 11yr | | | | 42.8 | 146 | 0.13 | -0.04 | | | | |
| Bijlsma | Case 10 | - | Deletion | Female | - | DD | 8yr | | | | 24 | 128 | 0.05 | -0.14 | 53 | 1.04 | 0.85 | |
| Bijlsma | Case 11 | - | Deletion | Male | - | DD | 4yr | | | | 15 | 101.5 | -0.39 | -1.67 | 51.5 | 0.74 | -0.23 | |
| Bijlsma | Case 12 | - | Deletion | Female | - | Dysmorphism | 11m | | | | 8.4 | 77.5 | 1.75 | 1.79 | 45.5 | 0.52 | 0.21 | |
| Bijlsma | Case 13 | - | Deletion | Male | - | DD | 4yr 6m | | | | 21 | 112 | 1.41 | 0.47 | | | | |

Supplementary Table 5. Rearrangements of 16p11.2 are Significantly Associated with Head Circumference. Measurements of orbital frontal circumference (OFC) were available for 23 patients with microdeletions and 9 patients with microduplications. OFC was adjusted for age and gender. Listed here are the mean OFC and standard error for the microdeletion and microduplication groups respectively and the increase in mean OFC of the deletion group compared to that of the duplication group (Δ OFC). Significance was tested using the Wilcoxon two-sample Rank Sum Test. Analyses were performed on all patients, on patients with developmental delay or autism (DD/ASD), and separately in the subgroups of patients with primary diagnoses of autism (ASD) and developmental delay (DD). All cases from one center (Children’s Hospital Boston) were listed under the broad category, “developmental delay, mental retardation or autism”, and these were assigned to the DD subgroup.

| Case group | Del:Dup | Mean OFC | | ΔOFC | P-value |
|-------------------|----------------|-----------------|--------------------|-------------------------------|----------------|
| | | Deletion | Duplication | | |
| All subjects | 23:9 | 1.25±0.22 | -0.28±0.24 | 1.53 | 0.0007 |
| DD/ASD | 22:6 | 1.25±0.23 | -0.19±0.35 | 1.44 | 0.0056 |
| DD | 16:3 | 1.46±0.28 | -0.50±0.69 | 1.96 | 0.038 |
| ASD | 6:3 | 0.78±0.34 | 0.13±0.13 | 0.65 | 0.167 |

Supplementary Table 6. Description and Function of Genes within the 16p11.2 Critical Region. This table describes the function for each gene within the 16p11.2 critical region (Supplementary Figure 1) as recorded in the UCSC genome browser (hg18). The expression of the gene in either the murine or human brain is also given based on the GeneBrainAtlas or publication elsewhere. Diseases associated with each gene are given as well as any known mouse models associated diseases with the gene, and references to the literature are provided.²⁹⁻³⁹

Supplementary Table 6.

| GeneName | Description | Known/Predicted Function | Expressed in Human/Mouse Brain | Associated Disease (OMIM) | Mouse Model | Model Phenotype |
|--------------------------------|--|---|--|---|-------------|--|
| ALDOA | fructose-bisphosphate aldolase A | catalyzes the reversible conversion of fructose-1,6-bisphosphate to glyceraldehyde 3-phosphate and dihydroxyacetone phosphate | Y | aldoA deficiency or red cell aldolase deficiency | | |
| ASPHD1 | aspartate beta-hydroxylase domain containing 1 | catalyses oxidative reactions in a range of metabolic processes | Y | | | |
| C16orf53 | PAXIP1-associated protein 1 | component of a Set1-like multiprotein histone methyltransferase complex | Y | | | |
| C16orf54 | Transmembrane protein C16orf54. | hypothetical protein LOC283897 | Y | | | |
| CDIPT | CDP-diacylglycerol-inositol | in the biosynthesis of phosphatidylinositol | Y (29) | | | |
| CORO1A | | | | | | |
| DOC2A | double C2-like domains, alpha | May be involved in calcium dependent neurotransmitter release through the interaction with UNC13A. May be involved in dynein-dependent intracellular vesicle transport. In vitro, binds calcium and phospholipids | Y | | doc2a-/- | abnormal Passive Avoidance behavior/reduced long term potentiation (30) |
| FAM57B | hypothetical protein LOC83 | integral membrane protein | Y | | | |
| FLJ25404 | hypothetical protein LOC146378 isoform 2 | Uncharacterized protein FLJ25404. | | | | |
| GDPD3 | glycerophosphodiester phosphodiesterase domain | glycerol metabolic process | N | | | |
| HIRIP3 | HIRA interacting protein 3 | May play a role in chromatin function and histone metabolism via its interaction with HIRA and histones | Y | | | |
| INO80E | INO80 complex subunit E | | Y | | | |
| KCTD13 | potassium channel tetramerisation domain | voltage-gated potassium channel activity | Y | Upregulated in 15q11-q13 duplication autism cell lines (31) | | |
| KIF22 | kinesin family member 22 | spindle formation and the movements of chromosomes during mitosis and meiosis | | | kif22-/- | abnormal development/premature death |
| MAPK3 | mitogen-activated protein kinase 3 isoform 1 | | | | | Hyperactive/abnormal active and passive avoidance behavior/reduced long term potentiation (32) |
| MAZ | MYC-associated zinc finger protein isoform 2 | transcription factor, may be important for neuronal differentiation | Y | Expression Altered in lymphocytes in Schizophrenia (33) | | |
| MVP | major vault protein | Required for normal vault structures may act as scaffolds for proteins involved in signal transduction and nucleocytoplasmic transport | Y (pyramidal neurons, especially axons and principle dendrites) (34) | upregulated in refractory epilepsy (35) | mvp-/- | increased mortality after P. aeruginosa infection (36) |
| PPP4C | Serine/threonine-protein phosphatase 4 catalytic subunit | Protein phosphatase that regulates many processes such as microtubule organization or maturation of spliceosomal snRNPs | | | ppp4c | lethal |
| PRRT2 | proline-rich transmembrane protein 2 | Multi-pass membrane protein | Y | | | |
| QPRT | quinolinate phosphoribosyltransferase | key enzyme in catabolism of quinolinate, | Y | Elevation of quinolinate levels in the brain has been linked to the pathogenesis of neurodegenerative disorders such as epilepsy, Alzheimer's disease, and Huntington's disease | | |
| SEZ6L2 | seizure related 6 homolog (mouse)-like 2 isoform | | Y | Seizures (37) | sez6l2-/- | motor discoordination/Purkin+G19e cell dendritic innervation by climbing fibers is abnormal (38) |
| SPN | sialophorin | One of the major glycoproteins of thymocytes and T lymphocytes | | Wiskott-Aldrich syndrome (301000) | spn-/- | Immune and hematopoietic System, decrease tumor incidence |
| TAOK2 | TAO kinase 2 isoform 1 | activates the JNK MAP kinase pathway | Y | | | |
| TBX6 | T-box 6 | involved in paraxial mesoderm formation and somitogenesis | Y | | tbx6-/- | kinked neuronal tube (39) |
| TMEM219 YPEL3 | transmembrane protein 219 yippe-like 3 | Multi-pass membrane protein | Y | | Y | ? |

Supplementary Table 8. Summary of Psychiatric Symptoms in 16p11.2

Microduplication Carriers. The psychiatric symptoms for each 16p11.2

microduplication carrier were ascertained from medical records and

supplementary clinical data as described in Supplementary Note.

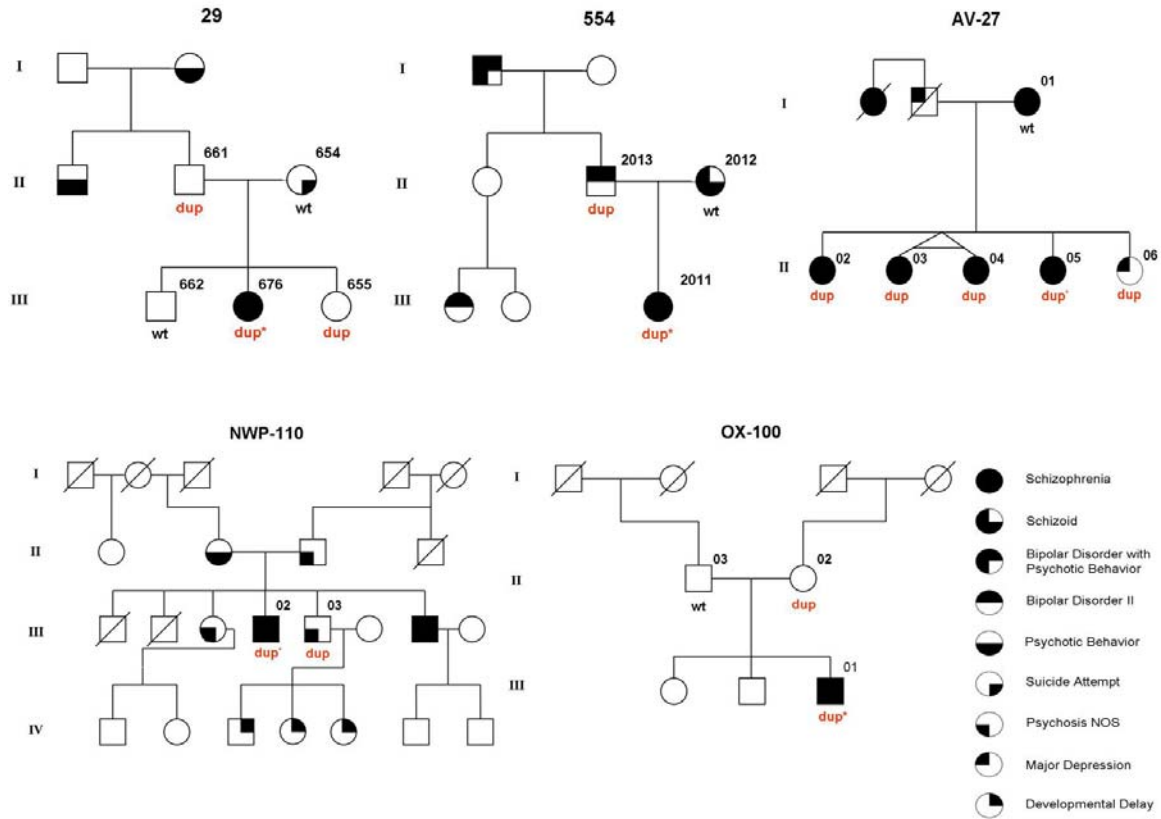
| ID | Approx. Age of Onset or 1st Admisson | Gender | Diagnosis | Delusions | Thought Disorder | Hallucinations | Disorganized or Catatonic Behaviour | Grandiose or Manic svmptoms | Negative Symptoms | Family History |
|------------|---|--------|-----------|-----------|---------------------|----------------|---|-----------------------------------|----------------------|-------------------|
| OX-100-1 | 21 | M | SZ | + | | | | | | |
| NWP-110-02 | 32 | M | SZ | + | + | + | + | | + | + |
| AV-27-05 | 28 | F | SA | | | | | | | + |
| 19326A3 | 25 | M | SZ | | | | + | + | | + |
| 19328A3 | 22 | F | SZ | + | + | | + | | | |
| 19328G5 | 19 | M | SZ | + | | | | | + | - |
| 03C15581 | | M | SZ | + | + | + | | + | + | |
| 03C15536 | 27 | F | SZ | + | + | + | | + | + | |
| 03C15896 | 29 | M | SZ | + | + | + | | + | + | + |
| MC235 | 21 | M | SZ | + | + | + | + | + | + | + |
| 676 | 10 | F | SZ | + | + | + | + | + | + | + |
| 2011 | 8 | F | SZ | + | + | + | + | - | - | + |
| 38499 | 15 | F | SA | + | + | + | | | + | |
| 37540 | 16 | M | SA | + | + | + | | | | |
| 37612 | 21 | F | SZ | + | + | + | + | | + | |
| 851 | 13 | F | SA | + | + | + | + | | + | + |
| 38492 | 40 | M | SZ | + | + | + | | | + | |
| 1669 | 16 | M | SZ | + | | + | + | | + | |
| 40832 | 17 | F | SZ | + | + | + | + | | + | + |
| 40245 | 19 | F | SZ | + | + | + | + | | + | |
| 40350 | 21 | M | SZ | + | + | + | + | | + | |
| 2201 | 21 | M | BD | + | + | - | | + | | |
| 6023_9 | 17 | F | BD | - | - | - | - | + | - | + |
| F400_4 | 16 | M | BD | - | - | - | - | + | - | - |
| 8026_1 | 27 | F | BD | + | + | + | + | + | - | - |

Supplementary Figure 1: HMM detection of 16p11.2 CNVs in Autism

Spectrum Disorder, Bipolar Disorder and Controls. Rearrangements of 16p11.2 were detected by HMM segmentation in 4551 SCZ, 934 ASD and 3315 bipolar disorder cases, and in 6391 controls (Panel A) Not listed here are CNVs reported in published studies^{16,22,23} where raw data were not publicly available. Probe coverage of the target region on each platform is displayed as 5 additional tracks. Probes highlighted in red were used for genotyping the target region. Probes highlighted in green were used to determine copy number of the flanking invariant regions. The accompanying UCSC bed file contains all the probes used for genotyping on all microarray platforms. Panel B displays the genes within the 16p11.2 critical region flanked by segmental duplications.

Supplementary Figure 2: Variability in Psychiatric Phenotype among Carriers of the 16p11.2 Microduplication in Families. Of the 12 microduplication carriers who were identified in our primary sample, five were derived from families where genomic DNAs were available on additional relatives. Mutation status was determined for all relatives by microarray CGH (Nimblegen HD2 platform). Affected status is shown in the key. Mutation status is indicated for subjects where genomic DNA was available. In three families, the microduplications were inherited from a non-schizophrenic parent. In the remaining 2 families, the microduplication was detected in one or more siblings of the proband, suggesting that that these microduplications were also in the parental germline. In addition to the 5 probands, 9 additional microduplication carriers were identified. Of these 9 additional carriers, the diagnoses were as follows: schizophrenia (n=3), bipolar disorder II (n=1), psychosis not otherwise specified (NOS) (n=1), major depressive disorder (N=1), and no mental illness (n=3).

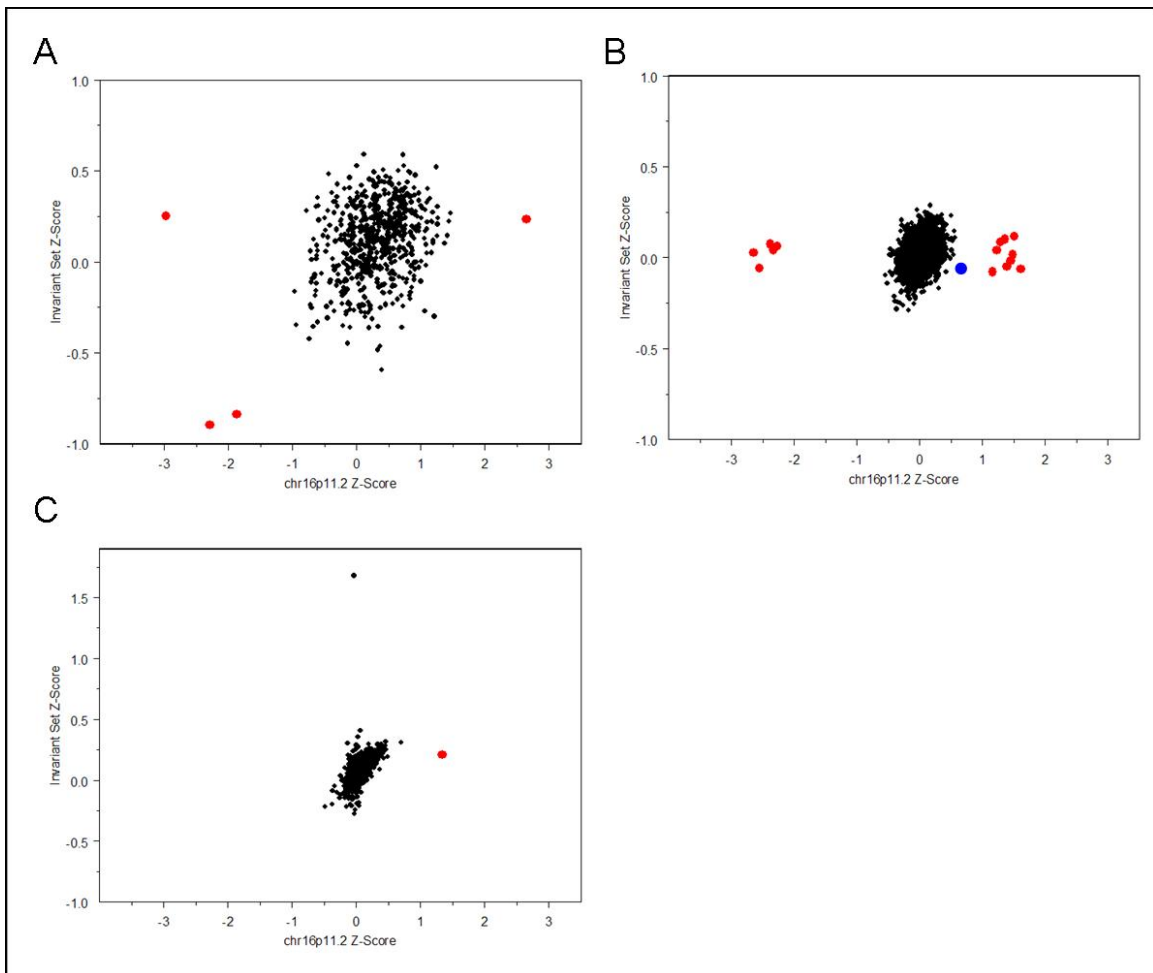
Supplementary Figure 2: Variability in Psychiatric Phenotype among Carriers of the 16p11.2 Microduplication in Families.



Supplementary Figure 3: MeZOD detection of 16p11.2 CNVs in Autism

Spectrum Disorder, Bipolar Disorder and Controls Results of MeZOD

genotyping of the ROMA, Affymetrix 5.0 and NimbleGen HD2 data are shown in panels A, B, and C respectively. The X-axis represents the median Z-score of the target region and the Y-axis represents the median Z-score of invariant probes outside of the target region and segmental duplications. CNVs detected by HMM and MeZOD are shown in red (●). CNVs detected only by HMM are shown in blue (●).



Supplementary Note

Data Collection

a. Primary Data Set

The Primary Data Set for this study was composed of 5877 independent case and control samples analyzed on one of three microarray platforms (ROMA, NimbleGen HD2, Affymetrix500K). The primary sample consisted of 1906 patients (1329 male, 577 female) and 3971 controls (1966 male, 2005 female) collected at twelve sites. Ascertainment of these samples is described in previous publications ¹⁻¹⁴

Many of the same NIMH controls were analyzed using multiple platforms, and in each case we eliminated the overlapping samples from the dataset by including only the experiment with the greatest probe density. A Sample-by-Array breakdown of the final data set is provided in Supplementary Table 1. Approximately 74% of the Primary sample set was analyzed on the Affymetrix 500K microarray platform accounting for 67% and 78% of cases and controls respectively. NimbleGen HD2 data constituted 26.9% of cases and 14.6% of controls while 5.3% of cases were assayed by ROMA versus 7.8% of controls.

Information documenting the microarray hybridization methods for the ROMA ¹² and Affymetrix 500K ^{1-3,13} platforms has been described previously. Microarray Hybridizations using the NimbleGen HD2 platform were performed at the service laboratory of Roche NimbleGen according to the manufacturer's specifications.

b. Replication Data Set

We used the Genetic Association Information Network (GAIN) supported case-control “Genome-Wide Association Study of Schizophrenia” (phs000021.v2.p1) as the replication data set in our analysis (Supplementary Table 1). This data set consisted of 2645 cases (1749 male, 896 female) and 2420 controls (1038 male, 1382 female) including individuals with European American (1404 cases, 1442 controls) and African American ancestry (1241 cases, 978 controls) genotyped on Affymetrix 6.0. Genotyping of these 5065 samples was performed at the Broad Institute Center for Genotyping and Analysis (see URLs). Further details concerning the collection sites, principal investigators and case-control inclusion criteria are available through dbGap (see URLs): and in a previous publication¹⁵.

c. Autism Spectrum Disorder (ASD) Data Set

The ASD dataset was composed of 934 independent cases ascertained by public and private sample collections for analysis on one of three microarray platforms (ROMA 85K, Affymetrix 5.0, NimbleGen HD2) (Supplementary Table 1). Six hundred and ninety three cases were unrelated subjects from the Autism Genetic Resource Exchange (AGRE), 683 of which were analyzed on Affymetrix 5.0 and ten of which were analyzed on NimbleGen HD2 and ROMA arrays. Ascertainment of AGRE Affymetrix 5.0 data has been described previously¹⁶ while the NimbleGen HD2 and ROMA experiments were performed at CSHL. None of the 49 NIMH Autism Genetics Initiative (NIMHAU) samples analyzed on ROMA and NimbleGen HD2 overlapped with the AGRE data set. Documentation of the NIMH autism sample collection and contributors is described elsewhere

(see URLs). Ascertainment of the remaining ASD samples has been published previously¹⁷. Samples from Trinity College Dublin have been described in previous publications¹⁸.

In total Affymetrix 5.0 data composed 73% of the ASD data collection while 23% and 4% of the remaining samples were represented by ROMA and NimbleGen HD2 respectively.

d. Bipolar Disorder Data Set

The Bipolar Disorder (BD) data set was composed of 3315 unrelated cases, including 161 that were collected as part of the Genetics of Early Onset Mania (GEM) Study of Bipolar Disorder and analyzed using the NimbleGen HD2 platform, 1697 cases analyzed by Cardiff University as part of the WTCCC study of bipolar disorder on the Affymetrix 500K platform and 1457 cases were obtained from the GAIN supported study: “Whole Genome Association of Bipolar Disorder” (phs000017.v2.p1), analyzed on the Affymetrix 6.0 platform. GAIN bipolar cases that overlapped with the GEM cases were removed from the analysis ($N = 2$).

The GEM Study of Bipolar Disorder is a collaborative project between CSHL, Johns Hopkins School of Medicine, NIMH and the Central Institute of Mental Health, in Mannheim Germany (CIMH). All procedures were approved by the Internal Review Boards at the respective institutions. In total the GEM sample consisted of 161 patients, with 50% of cases having an age-at-onset <18 (mean and median age at onset were 19 and 18, respectively). Of these 161 cases, 88 were collected as part of a family-based study by TGS and MR. Parent-offspring trios were collected where the offspring had a clinical diagnosis of bipolar 1

disorder and there was no bipolar I or schizoaffective-bipolar illness in first-degree relatives. Families were recruited from consecutive admissions to the inpatient units of several German University Departments of Psychiatry within a common research project on the genetics of bipolar disorders. DSM-IV diagnosis was made by psychiatrists/psychologists applying a consensus best-estimate procedure based on all available information, including a structured interview (SCID-I), medical records, and the family history method. Thirty-five of these 88 patients had an early age at onset of mania defined as onset below 21 years. All patients are of Caucasian descent, based on patient- and parent-based self report. For all patients and parents, written informed consent was obtained prior to study participation. Patients and controls also consented to transfer of DNA and phenotypic data in a pseudonymised way for collaboration with other researchers from foreign countries. Protocols and procedures were approved by the local ethics committees.

Collection and diagnosis of the 1697 WTCCC Bipolar Disorder cases have been previously described ¹³. All cases were >16 years of age and ascertained at 5 locations across the United Kingdom. Written consent was obtained from each subject. Diagnosis was assigned based on the Research Diagnostic Criteria and best estimate ratings for key phenotypic measurements on the basis of the OPCRIT checklist ¹³

Ascertainment of the 1457 GAIN Bipolar Disorder cases (1057 of European Ancestry and 400 of African Ancestry), has been described previously ^{19,20}. Data collection was also performed at the Broad Institute Center for Genotyping and Analysis (see URLs). Further details concerning the collection

sites, principal investigators and case-control inclusion criteria are available through dbGap (see URLs)

Association of 16p11.2 Microduplication with Schizophrenia

Our primary sample consisted of data from multiple microarray platforms. These platforms vary in probe density. The ROMA, Affymetrix 500K and Nimblegen HD2 platform consist of 85,000, 500,000 and 2.1 million probes respectively. Although all of these platforms have good sensitivity to detect CNVs that are 600 Kb in size, even subtle differences in sensitivity could influence the overall frequency of the 16p11.2 microduplication in patients and controls when all platforms are combined into a single dataset. Therefore, we controlled for array-type when testing for association. We applied the Cochran-Mantel-Haenszel (CMH) exact test using array type as a stratifying variable, and logistic regression was used to estimate the combined odds ratio (OR) and 95% confidence intervals (95%CI). Based on the logistic regression, the effect of array type was not significant (Deviance P-value = 0.76).

In our replication dataset, which consisted of data from a single study using a single array type, we tested the association of the 16p11.2 microduplication using a Fisher's exact test. The Breslow-Day-Tarone test was used to assess the homogeneity of the odds ratios between the primary and replication data sets. Odds ratios of the primary and replication datasets were not significantly different ($P = 0.45$).

In both the primary and replication data the ratio of males to females in cases is approximately 2, while the male-to-female ratio in control samples is approximately 1. We examined whether sex had an effect on the association. We

tested the association in the combined primary and replication sample using gender as a covariate. Gender did not have an effect ($P=0.6$) and the association was still significant ($P = 3.75 \times 10^{-7}$, OR = 15.7[3.7,67.3]).

Meta-Analysis and Strength of 16p11.2 Associations in Multiple Psychiatric Disorders

To refine the spectrum of psychiatric illnesses associated with 16p11.2 rearrangements, we examined the association of microduplications and microdeletions independently with schizophrenia, bipolar disorder and autism. By combining data from this study and three independent published studies^{16,22,23}, we obtained combined samples of 8590 patients with schizophrenia 4822 with bipolar disorder and 2172 with autism or developmental delay, and a combined sample of 30,492 controls. We tested the association of the microduplication and microdeletion with each disorder with a Cochran Mantel Haenszel exact test, and we controlled for variation by study as described below. Because we were using “study” as a stratifying variable, the control samples used for a particular disorder were derived only from those studies contributing cases of the same disorder. Thus the control samples for schizophrenia, bipolar disorder and autism/developmental delay consisted of 28,406, 25,225 and 24,891 individuals, respectively.

Supplementary Table 3 describes the patients and controls from each source that were used in the meta-analysis. In addition, we list the number of individuals with microdeletions, microduplications and normal copy number for each group.

We examined the association of 16p11.2 microduplications and microdeletions with schizophrenia, bipolar disorder and autism or developmental delay by meta-analysis of data from this study and additional studies of schizophrenia, bipolar disorder and autism spectrum disorders. We examined the association of the microduplication and microdeletion independently in each disorder with the Cochran-Mantel-Haenszel exact test using source as a stratifying variable. Logistic regression was used to determine the combined odds ratio from multiple studies for the meta-analysis of each disorder.

The Breslow-Day-Tarone test was used to assess the homogeneity of the odds ratios between the studies used in the meta-analysis. A common P -value is reported from the CMH exact test and a common odds-ratio is reported from the logistic regression only if there is homogeneity in the odds ratios between the studies in the meta-analysis (Table 2). With two exceptions, there was no significant heterogeneity in odds ratios for the microdeletion or microduplication in schizophrenia, bipolar disorder and autism/developmental delay. Because significant differences between studies were observed for microdeletions in schizophrenia (Breslow-Day Tarone $P = 0.003$) and bipolar disorder (Breslow-Day Tarone $P = 0.0004$), the odds ratios were reported independently for each source, and a common odds ratio was not reported (Table 2). The partial odds ratios [95%CI] for the deletion in schizophrenia were 0.69 [0.1, 4.9], 0.3 [0.05, 2.2], 14.6 [1.9, 111.2], 0.3 [0.03, 3.7] in this study, ISC, Weiss et al and GAIN, samples, respectively. Partial odds ratios [95% CI] for the microdeletion in BD were 0.3[0.03,3.3], 0.55[0.05,6.7], 25[5.4,117] for our study, the GAIN study and the Weiss et al. studies, respectively. Given the very small number of deletion observations in the GAIN schizophrenia study and in each of the BD studies,

approximate odds ratios were calculated by replacing the number of deletions n with $\{n + 0.5\}$. In light of contrasting results between studies, our meta-analysis does not show an unequivocal association of the microdeletion with schizophrenia or bipolar disorder.

Analysis of Quantitative Clinical Features with 16p11.2 Rearrangements

To perform a more systematic analysis of clinical features potentially associated with 16p11.2 rearrangements, we collected quantitative clinical data on height, weight, and head circumference from records on 16p11.2 carriers in this study, 16p11.2 carriers in previously published studies (Weiss et al. and Ghebranious et al.^{16, 27}) and from unpublished 16p11.2 carriers ascertained by referral for global developmental delay (Tamim Shaikh, personal communication, Supplementary Table 4). We excluded from our analysis subjects with known Hispanic, Polynesian and African American ethnicity. In addition, we excluded subjects with documented cytogenetic abnormalities.

Data on height (cm) were available for 23 deletion carriers (5 with a primary diagnosis of autism, 15 with developmental delay, 1 with ADD, 1 with dysmorphism and 1 with schizophrenia) and nine microduplication carriers (2 with a primary diagnosis of ASD, 1 with developmental delay, 5 schizophrenia and 1 unaffected).

Head Circumference (HC) is typically measured just above the glabella to the area near the top of the occipital bone (opisthocranium) and hence is often called Occipital-Frontal Circumference (OFC). HC/OFC data were recorded for nine microduplication (2 with childhood onset schizophrenia, 3 with ASD, 3 with developmental delay and 1 with no diagnosis) and 23 microdeletion (6 with a

primary diagnosis of autism, 16 with developmental delay and 1 with dysmorphism) carriers. See supplementary table 4.

Occipital-Frontal Circumference and body height measurements were converted to percentile rankings, conditioned on age and gender using clinical growth charts from the Center for Disease Control's (CDC) National Center for Health Statistics (see URLs). OFC percentile rankings were further verified using the online tool (see URLs), which is based on the same reference database. Height and OFC percentiles were converted to Z-scores using online resources (see URLs). Continuously-distributed dependent measures were contrasted among 16p11.2 microdeletions versus microduplications carriers, using the Wilcoxon two-sample Rank Sum test. Last, we repeated the above analysis using, instead of the CDC growth charts, the craniofacial normative database of Farkas et al.^{24,25} which is based on a population with European ancestry.

Mean head circumference was 1.25 [1.05] and -0.28 [0.71] for patients with microdeletions and microduplications, respectively. Difference in OFC was statistically significant (two-tailed Wilcoxon rank sum test $P = 0.0007$). In order to rule out the possibility that differences in head circumference were related to ethnicity, we examined OFC for a subset of patients with unambiguous European-American (EA) ancestry (8 with microdeletions and 6 with microduplications), and similar results were obtained. In this group, the mean [SD] OFC values of patients with microdeletions and microduplications were 1.34 [0.62] and -0.17 [0.42] based on CDC converted Z-scores and 0.82 [0.83] and -0.38 [0.49] based on Standard Normal Database converted Z-scores (CDC, two-tailed Wilcoxon rank sum test $P = 0.0007$).

We repeated our analysis using the Farkas normative database instead of the CDC growth charts. Mean OFC was 0.69[1.2] and -0.58[1.06] for patients with microdeletions and microduplications respectively, which was statistically significant ($P = 0.013$). The effect size (d) for this contrast was 1.12, which is very large according to Cohen's criteria²⁶. In the subset of patients with unambiguous European ancestry, the difference was also significant ($P = 0.02$, $d = 2.0$).

Group contrast for height was not significantly different. Mean height was -0.02 [1.37] and 0.13 [0.81] for patients with the microdeletion and microduplication, respectively ($P = 0.68$). Due to limited availability of data on IQ, we did not examine intellectual disability of microdeletion and microduplication cases. Because of the known influences of antipsychotic medication on body weight, differences in weight between microdeletion and duplication carriers were not examined.

The above analysis included patients with diagnoses of schizophrenia (SCZ), developmental delay (DD) or autism spectrum disorders (ASD). We further examined whether the observed effect was present within each individual group (Supplementary Table 9). In the broad group of developmental disorders (DD/ASD) mean OFCs of patients with deletions and duplications were significantly different ($\Delta\text{OFC} = 1.44$, $P = 0.0056$). In the subgroup of patients with developmental delay, the effect was also significant ($\Delta\text{OFC} = 1.96$, $P = 0.038$), and in the smaller subgroup of patients with a primary diagnosis of ASD, the effect was present but not significant ($\Delta\text{OFC} = 0.65$, $P = 0.167$). OFC measurements were available for only 2 schizophrenic patients with duplications, which were -0.88 and -0.18 respectively. Thus, the association of 16p11.2 reciprocal rearrangements with head circumference was observed in multiple

diagnostic categories, and the effect was not limited to the subgroup of patients with autism.

Intensity Data Processing

a) Array-CGH Intensity Data

1. NimbleGen HD2

NimbleGen HD2 dual color intensity data were normalized in a two step process: first, a “spatial” normalization of probes was performed to adjust for regional differences in intensities across the surface of the array, and second, the Cy5 and Cy3 intensities were adjusted to a fitting curve by invariant set normalization.

Briefly, spatial normalization was performed using an R-module provided by Kyle Munn (Roche-NimbleGen Inc). Invariant set normalization of intensity data involves the selection of a probe set with minimal variability between the ranked test and reference autosomal probe intensities as described in Li et al²¹. The test intensities of this invariant autosomal probe set are then adjusted to the reference distribution. Based on these adjustments, a fitting curve is established to which all other intensities are shifted, preserving the variability in the data. The intensities of XY-chromosomes are then extrapolated to the fitting curve. The process is repeated exchanging the test and reference to simulate a dye swap experiment. The \log_2 ratio for probe i is then estimated using the geometric mean of normalized and raw intensity data of test (Tst) and reference (Rfn) as follows:

$$\log_2(Ratio_i) = \log_2 \left(\sqrt{\frac{NormalizedTst_i}{RawRfn_i} \times \frac{RawTst_i}{NormalizedRfn_i}} \right)$$

b) SNP Genotyping Data

1. Affymetrix 500K, Affymetrix 5.0 & Affymetrix 6.0

We developed a two-step normalization method to process Affymetrix SNP Array single color intensity data (Affymetrix 500K, Affymetrix 5.0 & Affymetrix 6.0). First, all arrays are normalized by invariant set normalization to a single reference array. The common reference array was selected by comparing all arrays pair-wise based on the Pearson's correlation coefficient of a defined probe set representing 5% of all probes on the array. This defined probe set was composed of every 20th autosomal SNP probe and the adjacent copy number (CN) probe. Second, for each experiment we calculate the ratio of intensities in comparison to a "virtual reference genome" (VRG). The optimal VRG for each "test" consisted of the median of the 10 most highly-correlated experiments in the dataset based on the Pearson correlation of 5% of probes on the array. Separate virtual reference genomes were computed for males and females in order to have a sex-matched VRG.

$$\log_2(Ratio) = \log_2\left(\frac{NormalizedTst}{VRG}\right)$$

Further Assessment of HMM Detection and MeZOD Genotyping

To further assess the reliability of HMM detection and MeZOD genotyping, we compared HMM segmentation and MeZOD genotype calls made in a subset of the AGRE Affymetrix 5.0 data set originally used to identify the association 16p11.2 CNVs in autism ¹⁶. The authors of this study reported fifteen 16p11.2

rearrangements (ten microduplications and five microdeletions) in seven AGRE families. All fifteen expected HMM rearrangements were detected by the HMM segmentor and fourteen were detected by MeZOD genotyping (Supplementary Figure 3). One microduplication was not detected by MeZOD, although it was detected by the HMM in our study and it was detected and validated in Weiss et al ¹⁶. Examination of the probe ratio data in this subject revealed high variance in probe ratios genome-wide, which contributed to the negative result by MeZOD.

Description of Psychiatric Symptoms in 16p11.2 Microduplication Carriers

Data on the psychiatric symptoms of each microduplication carrier were acquired from medical case records or supplementary clinical data (Supplementary Table 8). With the exception of samples from the CATIE and GAIN studies all psychiatric symptom data were obtained from individual medical records. Clinical data for CATIE microduplication carriers were ascertained from baseline measures recorded in the PANSS (Positive and Negative Syndrome Scale) and SCID (Structured Clinical Interview for DSM Disorders) Public Access Files. Psychiatric symptoms for GAIN microduplication carriers were based on consensus ratings for DSM-IV criteria provided in the supplementary clinical data for project version *phs000021.v2.p1*. With the exception of early onset in some cases, no particular positive or negative symptom distinguished microduplication carriers from typical cases of schizophrenia.

URLS

The Broad Center for Genotyping and Analysis, www.broad.mit.node/306

dbGap (Schizophrenia), http://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000021.v2.p1

NIMH Center for Genetics Studies, <http://www.nimhgenetics.org/>

Rutgers University Cell and DNA Repository (Autism),

<http://www.rucdr.org/ASHG/Autism.htm>

dbGap (Bipolar), [http://www.ncbi.nlm.nih.gov/projects/gap/cgi-](http://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000017.v2.p1)

[bin/study.cgi?study_id=phs000017.v2.p1](http://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000017.v2.p1)

CDC Clinical Growth Charts,

http://www.cdc.gov/nchs/about/major/nhanes/growthcharts/clinical_charts.htm

Simconsult, <http://www.simulconsult.com/resources/ftemp20.html>

HyperStat Online, http://davidmlane.com/hyperstat/z_table.html

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