# Supplementary Appendix

This appendix has been provided by the authors to give readers additional information about their work.

Supplement to: Jones HE, Kaltenbach K, Heil SH, et al. Neonatal abstinence syndrome after methadone or buprenorphine exposure. N Engl J Med 2010;363:2320-31.

### Appendix Figure 1. MOTHER Assessment Measures and Data Collection Schedule

Measures	Screening	Before/After Morphine Dosing	Pre-Delivery: Weekly	Pre-Delivery: Every 30 Days	Post-Delivery
Demographics	✓				
Medical history	$\checkmark$				
Psychiatric assessment MINI <sup>46</sup>	✓				
Addiction Severity Index <sup>47</sup>	$\checkmark$			✓	✓
Nicotine dependence <sup>48,49</sup>	$\checkmark$				
Adjective Checklist <sup>17,26</sup>	$\checkmark$	√(On Day 3–4 after dosing)	✓		
Global Assessment <sup>17,26</sup>	$\checkmark$	√(On Day 3–4 after dosing)	✓		
Drug Dose Adequacy <sup>17,26</sup>	$\checkmark$	√(On Day 3–4 after dosing)	✓		
HIV Risk Behaviors <sup>50</sup>	$\checkmark$	√(On Day 3–4 after dosing)		✓	
OB visit	$\checkmark$	√ (or as scheduled by OB)		✓ or as	
		,		needed	
Concomitant medications <sup>25</sup>	$\checkmark$		✓	weekly	✓
Clinical Institute Narcotic Assessment <sup>51</sup>	✓	√ (every 6 hours while awake during induction and continued until 72 hours post-receipt of the first dose of double-blind study medication)			
Adverse events <sup>26</sup>	✓	medication)	✓	weekly	Until study discharge
Urine drug screening results	<b>√</b>		<b>√</b>	Weekly	Onthi Study discharge
Blinding questionnaire <sup>26</sup>			EGA weeks 2, 35 (participant and staff)		✓once for NAS Raters
Safety blood sample	✓		,	EGA weeks 9,13,17,21,25, 29, 33, 37,and post-partum	✓
Biophysical Profile (Pre-dosing)		EGA week 32 √(before dosing)			
Biophysical Profile (Post-dosing)		√(2 hours after dosing)			
Maternal and infant delivery information (e.g., birth weight)					✓
Neonatal abstinence syndrome (NAS) <sup>26,35-37</sup>					<ul> <li>✓ (every 3-4 hrs in hospital; twice daily for non- hospitalized neonates)</li> </ul>
Nursery environment					✓ (at each NAS assessment)

#### Appendix Figure 1 REFERENCES

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- 50. Navaline HA, et al. An automated version of the Risk Assessment Battery (RAB): Enhancing the assessment of risk behaviors, AIDS Res Hum Retroviruses 1994;10:S281-S283.
- 51. Peachey JE, Lei H. Assessment of opioid dependence with naloxone. Br J Addict 1988;83:193-201.

#### Appendix Figure 2. Maternal Opioid Treatment: Human Experimental Research (MOTHER) Neonatal Abstinence Measure **Morphine Maintenance** PATIENT ID# Maintain dose if score 0-8 Dose given a 3-4 hrs with feeds: do not exceed 4 hrs between doses Increase dose by 0.02 if score is 9-12 (rescore before dosing) SCORE Morphine (0.04mg/0.1ml) DOSE FOR INITIATION Increase dose by 0.04 if score 13-16 Increase score by 0.06 if score 17-20 9-12 0.04 mg/dose Weaning Instructions: 0.08 13-16 mg/dose Maintain on dose 48 hrs before starting weaning mg/dose 17-20 0.12 Wean 0.02 mg morphine every day for a score is 0-8 21-24 0.16 mg/dose Defer wean for score e 9-12 25 or above 0.20mg/dose Re-escalation **Morphine Initiation:** If neonate scores 9-12 re-score as described for initiation, If neonate scores 9-12 re-score after feeding or within the hour and if re-score is 9-12 If second score is in 9-12 increase morphine 0.01 mg q3-4 hrs start treatment based on highest score. If re-score is 0-8, do not initiate treatment. If 2 consecutive scores 13-16, increase 0.02 mg q3-4 hrs If initial score is 13 or greater, start treatment immediately without reassessment. If 2 consecutive scores in 17-20, increase 0.04 mg q3-4 hrs etc Timing of Scoring: Hospitalized infants scored every 3-4 hrs before feeds. Reassessment Occurs immediately after feeds or within 1 hour. Discharged (e.g., in GCRC) infants scored twice a day scores must be separated by 8 hrs) \*\*\*NOTE: Discharged infants are to be admitted to hospital if the infant receives a single score of 9 or more\*\*\* Date/time Date/time Date/time Date/time Date/time Date/time Date/time Date/time SIGNS AND SYMPTOMS Score Please note presence (pr) or absence (ab) of items where indicated. Include observations for the past 4 hour period. Crying: excessive high pitched Crying: Continuous high pitched 3 Sleeps < 1 hour after feeding 3 Sleeps < 2 hours after feeding 2 Sleeps < 3 hours after feeding Hyperactive Moro Reflex 1 Markedly Hyperactive Moro Reflex Mild Tremors: Disturbed 1 Moderate-Severe Tremors: Disturbed 2 Mild Tremors: Undisturbed 1 Moderate-Severe Tremors: Undisturbed 2 present/absent Myoclonic jerks □pr □ab Increased Muscle Tone 1-2 Excoriation (indicate specific area): 1 - 2 present/absent Mottling □pr □ab Generalized Seizure (or convulsion) □pr □ab □pr □ab Convulsions present/absent □pr □ab □pr □ab □pr □ab □pr □ab □pr □ab □pr □ab Fever > 37.3 C (99.2 F) Fever >38.4 (101.2 F) present/absent □pr □ab Frequent Yawning (4 or more successive 1 times ) Sweating 1 Nasal Stuffiness 1 Sneezing (4 or more successive times) 1 Tachypnea (Respiratory Rate> 60/min) 2 Retractions □pr □ab present/absent Nasal flaring present/absent □pr □ab Poor Feeding □pr □ab □pr □ab □pr □ab □pr □ab □pr □ab □pr □ab Excessive sucking □pr □ab □pr □ab present/absent Vomiting (or regurgitation) present/absent Projectile vomiting □pr □ab Loose Stools □pr □ab □pr □ab □pr □ab □pr □ab □pr □ab □pr □ab Watery Stools □pr □ab □pr □ab present/absent Failure to Thrive (Current weight ≥ 10% 2 (record weight in below birth weight) 90% BWT= score box 1 x day) Excessive Irritability 1 - 3 **TOTAL SCORE CURRENT MORPHINE DOSE** Dose in mg Time Given STATUS OF TREATMENT\* N, I, M, W, R INITIALS of SCORER Note: Code Status of Treatment as follows: N="No treatment", I="Initiation", M='Maintenance", W="Weaning", R=' Re-Escalation"

The MOTHER NAS scoring instrument is a revision of the Finnegan scoring system for the Neonatal Abstinence Syndrome.

Following are the operation definitions of scores:

#### Criteria

Crying			
Crying=0	Non-high pitched and /or crying stops with caretaker or self soothing		
Crying: Excessive high pitched =2	High pitched cry more than 15 seconds <b>or</b> intermittently up to 5 minutes		
Crying: Continuous high pitched =3	High pitched cry more than 15 seconds <b>and</b> intermittently more than 5 minutes		
Sleeping			
Sleeping 3+ hours after feeding=0	Use the longest single continuous time sleeping since last feeding		
Sleeping <3 hours after feeding=1	Use the longest single continuous time sleeping since last feeding		
Sleeping <2 hours after feeding=2	Use the longest single continuous time sleeping since last feeding		
Sleeping <1 hour after feeding=3	Use the longest single continuous time sleeping since last feeding		
Moro Reflex			
Hyperactive Moro=0	Arms return to chest within 1 to 2 seconds after extension		
Hyperactive Moro=1	Arms stay up 3-4 seconds and / or pronounced jitteriness of the hands during or at the end of the Moro reflex		
Markedly Hyperactive Moro=2	Arms stay up 5 seconds or more. Jitteriness may or may not be noted		
Tremors Disturbed			
Mild Tremors: Disturbed=0	No tremors when disturbed.		
Mild Tremors: Disturbed=1	Hands or feet only. Tremors last for up to 3 seconds		
Moderate-Severe Tremors: Disturbed=2	Arms or legs. Tremors last for more than 3 seconds		
Tremors Undisturbed			
Mild Tremors: Undisturbed=0	No tremors undisturbed. If the infant is asleep, a few jerking movements of the extremities may be present.		
Mild Tremors: Undisturbed=1	Hands or feet only. Tremors last for up to 3 seconds		
Moderate-Severe Tremors: Undisturbed=2	Arms or legs. Tremors last for more than 3 seconds		

### Criteria

Myoclonic Jerks			
Myoclonic Jerks (present or absent)	Infant must be awake when involuntary spasms		
	or twitching of muscle in face or extremities are		
	observed		
Increased Muscle Tone			
Increased muscle tone=0	Some resistance to extension or flexion but slight		
	flexion or extension is possible and the extremity		
	returns spontaneously to it prior position		
Increased muscle tone=1	Difficult to straighten or bend the arms but is		
	possible AND head lag is present		
Increased muscle tone=2	No head lag noted and / or arms or legs won't		
	straighten or bend		
Excoriation			
Excoriation=0	No excoriation present		
Excoriation=1	Skin is red but intact or healing and no longer		
	broken (indicate specific area)		
Excoriation=2	Skin is broken (indicate specific area)		
Mottling			
Mottling (present or absent)	Make sure infant is not chilled when evaluated.		
	The presence of mottling is defined by the		
	identification of marbling or discoloration or chest,		
	trunk, arms or legs.		
Generalized Seizure (or convulsion)			
Generalized Seizure (or convulsion)=0	No seizure or convulsion present		
Generalized Seizure (or convulsion)=8	Eye staring, rapid involuntary movements of the		
	eyes, chewing, back arching, fist clenching, etc.		
Convulsion			
Convulsions (present or absent)	The presence of convulsions is defined by the		
	jerking or tonic clonic movements, present in		
	conjunction with or in the absence of seizure.		
Fever			
Fever=0	Temperature < or = 37.2 C (99.1 F)		
Fever > 37.3 C (99.2 F)=1	Temperature ≥ 37.3 C (99.2 F)		
Fever > 38.4 C (101.2 F) (present or	Temperature >38.4 C (101.2 F)		
absent)			
Yawning			
Yawning=0	Infant yawns 3 times or less in succession		
Yawning=1	Infant yawns 4 or more times in succession. This		
	may have occurred at any point within the 3-4		
	hour period prior to assessment.		
Sweating			
Sweating=0	Wetness due to sweat may be present on back of		
	neck due to overheating		
Sweating=1	Wetness is felt on the infant's forehead or upper		
	lip		

#### Criteria

Nasal Stuffiness	
Nasal Stuffiness=0	No nasal noise
Nasal Stuffiness=1	Any nasal noise – may or may not have a runny nose
Sneezing	
Sneezing=0	Sneezing occurs 3 times or less in succession
Sneezing=1	Sneezing occurs 4 or more successive times
Tachypnea	
Tachypnea=0	Respiratory rate ≤ 60 minute. Infant must be at rest, respirations must be counted for a full minute.
Tachypnea=2	Respiratory rate > 60 minute. Infant must be at rest, respirations must be counted for a full minute.
Retractions	
Retractions (present or absent)	Retractions occur due to the use of intercostals muscles to assist respiration.
Nasal Flaring	
Nasal Flaring (present or absent)	Outward spreading of the nostrils during breathing.
Poor Feeding	
Poor Feeding=0	Feeding occurs smoothly, takes less than 20 minutes.
Poor Feeding=2	Feeding takes more than 20 minutes and any one or more of the following: Excessive sucking prior to feeding but infrequent sucking during feeding. Takes a small amount of formula/breast milk or loses formula/breast milk out sides of mouth. Uncoordinated suck-swallow mechanism. Continuously gulps formula/breast milk but stops frequently to breathe, burp or spit up. Places tongue above or to the side of nipple.
Excessive Sucking	
Excessive Sucking (If present, may score 2 from above and present, or Present with no score, or absent)	Four or more times in 3 to 4 hour assessment period infant displays increased rooting (turns head to one side in search of food) while displaying rapid swiping movements with the hand across the mouth in an attempt to such on fist, hands or pacifier. May occur prior to <b>or</b> after feeding.
Vomiting	
Vomiting (or regurgitation)=0	No vomit or regurgitation associated with burping.
Vomiting (or regurgitation)=2	Vomits whole feeding or vomits 2 or more times during feed but not associated with burping or large amounts during burping.

#### Criteria

Projectile Vomiting			
Projectile Vomiting (If present, score 2	Forceful ejection of stomach contents from mouth		
above and pick present, or absent if	during or immediately after feeding.		
absent)			
Loose Stools			
Loose Stools=0	Normal stool.		
Loose Stools=2	Stool is half liquid / half solid – may or may not leave water ring in diaper.		
Watery Stools			
Watery Stools (present or absent)	Soft mushy, liquid or had stool that is accompanied by a water ring on the diaper.		
Failure to Thrive	Current weight divided by Birth Weight =%. Record birth weight in score sheet box at admission and then in the score sheet box once		
F 11 ( TI ) 0	a day.		
Failure to Thrive=0	Current weight is 91% to 100% or more of birth weight.		
Failure to Thrive=2	Current weight is 90% of birth weight or less.		
Excessive Irritability			
Excessive Irritability=0	Not sensitive to sound, light or touch. Can achieve calm or relaxed state by self. Able to be consoled by caretaker.		
Excessive Irritability=1	Consoling calms infant in 5 minutes or less. Sensitive or aversive to sound, light or touch. Cannot achieve calm or relaxed state by self.		
Excessive Irritability=2	Consoling calms infant in 6-15 minutes. Sensitive or aversive to sound, light or touch. Cannot achieve calm or relaxed state by self.		
Excessive Irritability=3	Consoling takes 15 or more minutes or is unsuccessful. Sensitive or aversive to sound, light or touch. Cannot achieve calm or relaxed state by self.		

Appendix Table 1. Primary and Secondary Outcomes in the Methadone and Buprenorphine Groups Adjusted for Covariates

Outcome Measure	Methadone	Buprenorphine	Odds Ratio (Confidence Interval)	Р
Primary Outcomes				
Treated for NAS [Yes]			.7 (.24, 2.1)	.43
NAS peak score	13.6 (.7)	12.0 (.7)		.07
Total amount of morphine for NAS (mg)	10.3 (2.5)	1.1 (.6)		<.001
Days of infant hospital stay	16.9 (1.7)	2.4 (.1)		<.002
Head circumference (cm)	33.4 (.2)	33.6 (.3)		.37
Secondary Neonatal Outcomes				
Days medicated for NAS	11.0 (2.2)	4.3 (1.1)		<.002
Birthweight (gm)	2980.7 (50.0)	2995.6 (55.9)		.83
Infant length (cm)	48.5 (.5)	49.7 (.5)		.09
Pre-term (<37 weeks) birth [Yes]			.4 (.1, 2.8)	.16
Gestational age at delivery (weeks)	37.9 (.3)	38.8 (.3)		.011
Apgar score at 1 minute	8.0 (.2)	7.9 (.2)		.65
Apgar score at 5 minutes	8.9 (.1)	8.9 (.1)		.69
Secondary Maternal Outcomes				
Cesarean section [Yes]			.6 (.2, 2.1)	.22
Maternal weight gain (kg)	8.6 (.8)	7.9 (.9)		.57
Non-normal presentation [Yes]			.2 (.0, 2.2)	.056
Analgesia during delivery [Yes]			1.1 (.2, 5.1)	.90
Drug screen at delivery [Positive]			2.0 (.2, 17.2)	.33
Medical complications at delivery [Yes]			.4 (.1, 1.2)	.012
Study discontinuance [Yes]			3.1(.9, 11.3)	.009
Amount of voucher money earned for drug-negative tests (US \$)	1195.14 (63.83)	1167.32 (64.70)		.51
Number of prenatal obstetrical visits	8.5 (.3)	8.6 (.3)		.91

*Notes.* Estimates are n (%) or Mean (SE). Based on the  $\alpha$  level chosen for the tests of significance (see article), 99.09% confidence intervals were used for the primary outcome measures, and 99.6825% confidence intervals for the secondary neonatal and maternal outcome measures. Estimates represent the medication effect in a statistical model adjusting for site and the covariates. Means and standard errors are the model-derived exponentiated estimated model means for the Poisson-distributed outcome measures and the model-derived least squares means for the normally-distributed outcome measures, while odds ratios were estimated for a logistic regression analysis in which the methadone group served as the reference category and the likelihood of the outcome enclosed in [brackets] was modeled. Covariates for the neonatal outcomes were: number of days of study medication; average daily number of cigarettes smoked during study enrollment; percent of cocaine-positive urine tests; exposure (yes v. no) to serotonin specific reuptake inhibitor (SSRI) medications during study enrollment; number of prenatal obstetrical visits during study enrollment; and estimated gestational age at delivery (except when estimated gestational age at delivery was the outcome measure). Maternal urine screening test results (positive v. negative) for opioids, benzodiazepines, cocaine, and marijuana in the 28 days prior to delivery were included as additional covariates for treated for NAS, peak score on the MOTHER NAS scale during the assessment period, and days medicated for NAS. With the exception of discontinued from the study, covariates for the maternal outcomes were: number of days of study medication; number of prior drug treatments; baseline self-report of methadone use within the last 48 hours; and percent of cocaine-positive urine tests (except for urine drug screening results at delivery). Body mass index served as an additional covariate for cesarean section (yes v. no), maternal weight gain, non-normal presentation (yes v. no), and anesthesia during

delivery, while last morphine dose in milligrams prior to randomization served as an additional covariate for urine drug screening results at delivery (positive *v.* negative), medical complications at delivery (yes *v.* no), amount of drug-abstinent-contingent voucher money earned, and number of prenatal obstetrical visits attended. Number of prior drug treatments, baseline self-report of methadone use within the last 48 hours, any cocaine use (yes *v.* no) within 30 days of study entry, and last morphine dose in milligrams prior to randomization served as covariates for discontinued from the study (completer *v.* non-completer), for which *N*=175.

Appendix Table 2. Selected Neonatal Outcomes for Neonates of Non-Completers

	Methadone		Buprenorphine	
	n or n/n	% or Mean ( <i>SD</i> )	n or n/n	% or Mean ( <i>SD</i> )
Treated for NAS	7/11	64%	9/16	56%
Birthweight (cm)	11	2632.4 (436.5)	18	2939.7 (469.7)
Gestational age at delivery (weeks)	11	36.8 (1.7)	18	37.8 (1.7)
Apgar score at 1 minute	11	7.2 (1.9)	16	8.3 (.9)
Apgar score at 5 minutes	11	8.7 (.5)	16	9.3 (.6)

Note. In order to provide a more complete summary of the impact of the two medications, efforts were made to collect data on the neonates of the 44 maternal noncompleters. Four of these participants had been administratively discharged from their program due to rules violations (e.g., physical threats against staff) and so current information on their whereabouts was unobtainable. Two fetal deaths occurred in the methadone condition. It was possible to collect a limited amount of birth information on a portion of the remaining 38 neonates whose participant mothers were able to be located, provided consent, and gave birth in a local hospital. Considerable caution needs to be exercised in interpreting these data, as they were collected under non-standard conditions outside the control of the study investigators. Therefore, summary statistics are reported for descriptive purposes only and the two groups are not compared statistically. Nonetheless, two points merit mention. First, the birth outcomes of these neonates suggest they were, on average, inferior to their counterparts in their respective Medication Condition (Table 3), as evidenced in their slightly lower birthweights, gestational age at delivery, and Apgar scores at 1 minute. Second, the outcomes for the neonates in the buprenorphine condition are, in each case, superior to the corresponding outcomes of the neonates in the methadone condition.

Appendix Table 3. Percentage of Urine-Positive Screening Test Results

During Study Enrollment and in the Last 4 Weeks Prior to Delivery (*N*=131)

	Methadone	Burprenorphine
During Study Enrollment		
Opioid-positive	23%	33%
Cocaine-positive	16%	21%
Benzodiazepine-positive	7%	9%
Marijuana-positive	15%	17%
Last 4 Weeks Prior to Delivery		
Opioid-positive	6%	11%
Cocaine-positive	0%	0%
Benzodiazepine-positive	1%	5%
Marijuana-positive	10%	11%

*Notes.* All Ps > .2 associated with Fisher exact tests for all variables (except for cocaine-positive in the last 4 weeks prior to delivery, which can't be tested due to no variability. Drug-positive rates for 5 other illicit substances (e.g., amphetamines) for which testing was conducted were substantially lower than the rates for opioids, cocaine, benzodiazepines, and marijuana.

#### **Medication Dosage**

The average doses of methadone and buprenorphine at delivery were 78.2 mg and 16.2 mg respectively. Similar numbers of medication dose increases were provided for methadone (.1, 1.2, and 1.5) and buprenorphine (.1, 1.3, and 1.2) during the first, second, and third trimesters, respectively.

#### Blinding

Only 24.7% of the participants in the methadone condition and 51.7% of the participants in the buprenorphine condition consistently correctly identified their blind medication, with the frequency of guessing correctly less than expected chance levels in the methadone condition, P<.001, not different than chance in the buprenorphine condition, P=.79, and inferior in the methadone condition relative to the buprenorphine condition, P=.0014. These results are likely due to the fact that most participants guess they are receiving the more novel medication<sup>1</sup>. NAS raters were unable to guess the Medication Condition of either the methadone, P=.08, or buprenorphine participants, P=.43, nor did their relative frequency of guessing correctly differ by medication condition, P=.56. Results demonstrate successful study blinding procedures.

 Jones HE, et al. Buprenorphine versus methadone in the treatment of pregnant opioiddependent patients: Effects on the neonatal abstinence syndrome. Drug Alcohol Depend 2005;79:1-10.