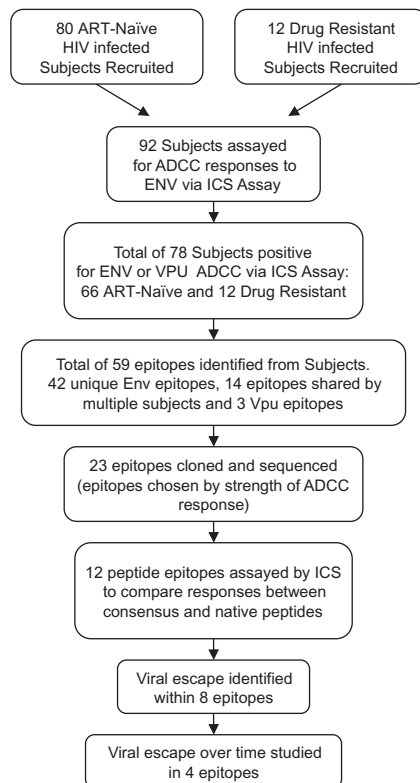


# Supporting Information

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**Fig. S1.** Flowchart of experimental studies showing the identification of antibody-dependent cellular cytotoxicity (ADCC) epitopes and viral escape. ART, antiretroviral therapy; Env, HIV-1 envelope protein; ICS, intracellular cytokine staining.

### A. Clonal analysis of sequence changes during infection

Initial infection	TEK <b>L</b> WVTVY <b>Y</b> GV <b>P</b> V <b>K</b> DA <b>T</b> TT <b>L</b> FCASDA <b>K</b> AYD <b>T</b> EA <b>H</b> N <b>V</b> W <b>A</b> THAC <b>V</b> PT <b>D</b> P <b>S</b> P <b>Q</b> E <b>I</b> V <b>L</b> EN <b>V</b> T <b>E</b> D <b>F</b> N <b>M</b> W <b>K</b> N <b>D</b> M <b>V</b> E <b>Q</b> M <b>H</b> E <b>D</b> I <b>I</b> SL <b>W</b> D <b>Q</b> SL <b>K</b> PC <b>V</b> KL <b>T</b> PL <b>C</b> VT <b>L</b> NC
Clones (year 2000)	.....N.....L.....E..... .....K..... ..... .....
Clones after 8 years of infection (year 2008)	.....N.....L.....R.DA..... .....N.....L.....R.DA..... .....N.....L.....R.DA..... .....N.....L.....R.DA..... .....N.....L.....Q.DA..... .....N.....L.....Q.DA..... .....N.....L.....Q.DA.....

The C1 region of Env was cloned and sequenced from plasma HIV-1 RNA obtained early after initial infection (year 2000) and 8 years later.

Shaded areas represent mapped ADCC epitopes in the subject.

Bolded changes represent variants rarely ( $\leq 2\%$ ) found in 300 unique Env clones (kindly supplied by Dr B Korber, Los Alamos National Laboratory).

### B. Analysis of amino acid changes within and outside ADCC epitopes in Env C1 in multiple subjects:

All changes within C1 ADCC epitopes	5 of 45 aa	
All changes outside epitopes in C1	4 of 151 aa	p = 0.031 Fisher's exact test
Rare† changes within C1 ADCC epitopes	4 of 45 aa	
Rare changes outside epitopes in C1	2 of 151 aa	p = 0.026 Fisher's exact test

† rare changes defined as changes rarely identified ( $\leq 2\%$ ) in 300 unique subtype B Env clones

**Fig. S2.** Amino acid changes in and around the ADCC epitope in the conserved domain 1 (C1) envelope. (A) Clonal analysis of sequence changes during infection in one subject. Five clones from the year 2000 (within 2 mo of initial infection) and seven clones from the year 2008 are shown aligned to the most common year-2000 variant. Shaded areas represent mapped ADCC epitopes in the subject. Changes shown in bold represent variants rarely ( $\leq 2\%$ ) found in 300 unique Env clones (kindly supplied by B. Korber, Los Alamos National Laboratory, Los Alamos, NM). (B) Analysis of amino acid changes within and outside ADCC epitopes in Env C1 in multiple subjects. All changes and rare changes (defined as changes identified in  $\leq 2\%$  of 300 unique subtype B Env clones) are analyzed separately.

**Table S1. Sequences of identified ADCC epitopes in Env and Vpu**

Env peptide no.*	Residues	Amino acid sequence	Region <sup>†</sup>	Patient no.	NK cells secreting IFN- $\gamma$ <sup>‡</sup> (%)
2	5–19	GIRRNYYQHWWGWGTM	Signal peptide	89	0.2
				56	0.7
4	13–17	WWGWGTMMLLGLLMIC	Signal peptide	89	0.2
				56	0.9
8–9	29–47	ATEKLWVTVYYGVVWKEA	C1	91	1.5
				84	1.6
9	33–47	LWVTVYYGVVWKEA	C1	61	1.8
				89	0.2
				88	0.4
				72	1.2
				56	1.3
10–11	37–55	VYYGVVWKEATTLFCAS	C1	56	1.3
11	41–55	VPVWKEATTLFCAS	C1	8	0.3
12	45–59	KEATTLFCASDAKA	C1	61	1.3
13	49–63	TTLFCASDAKAYDTE	C1	1	0.6
14	53–67	CASDAKAYDTEVHNV	C1	80	0.9
14–15	53–71	CASDAKAYDTEVHNVWATQ	C1	29	0.4
14–17	53–79	CASDAKAYDTEVHNVWATQACVPTDPN	C1	89	0.2
16	61–75	DTEVHNVWATQACVP	C1	56	1.5
19	73–87	CVPTDPNPQEVELVN	C1	56	0.8
19–20	73–91	CVPTDPNPQEVELVNVNVTEN	C1	80	0.5
23	89–103	TENFNMWVKNNMVEQM	C1	91	1.4
				61	7.6
				42	0.4
				88	1.1
				72	0.4
23–24	89–107	TENFNMWVKNNMVEQMHEDI	C1	84	5.0
24	93–107	NMWKNMVEQMHEDI	C1	89	0.3
				19	0.3
30	117–131	PCVKLTPLCVTLNCT	C1	80	0.5
49–50	193–211	STSYRLISCNTSVITQACP	V2-C2	61	1.4
52	205–219	VITQACPKISFEPIP	V2-C2	29	0.8
53	209–223	ACPKISFEPIPIHYC	C2	19	0.5
70–71	277–295	IRSENFDTNAKTIIVHLNE	C2	61	1.5
77–78	305–323	NYNKRKRIHIGPGRAFYT	V3	31	6.7
				67	3.9
78	309–323	RKRIHIGPGRAFYT	V3	13	
				91	2.1
				8	0.9
				94	2.0
				86	0.2
78–80	309–331	RKRIHIGPGRAFYTCKNIIGTIR	V3	93	8.3
79–80	313–331	HIGPGRAFYTCKNIIGTIR	V3	56	1.0
80	317–331	GRAFYTCKNIIGTIR	V3	31	0.9
				67	1.2
87	345–359	TLRQIVSKLKEQFKN	C3	13	1.0
				3	1.3
94–96	373–395	EIVMHSFNCGGEFFYCNTPSPLFN	C3	8	0.3
106–107	421–439	KQIINMWQEVGKAMYAPPI	C4	61	1.7
137	545–559	LLSGIVQQQNLLRA	C6	36	0.3
137–138	545–563	LLSGIVQQQNLLRAIEAQ	C6	61	0.2
				61	0.2
143	569–583	LTWVGIKQLQARVLA	C6	8	0.3
154	613–627	ASWSNKSLDDIWNM	C6	3	2.0
161	641–655	SLIYSLLEKSQTQQE	C6	3	1.2
176	701–715	AVLSIVNRVRQGYSP	C7	92	1.0
176–177	701–719	AVLSIVNRVRQGYSPSLQ	C7	3	1.0
187	745–759	TSGRLVHGFLAIWV	C7	29	0.9
Vpu peptide epitope	Residues	Amino acid sequence	Region*	Patient no.	Cells secreting IFN- $\gamma$ <sup>§</sup> (%)
3	9–23	VALVVAIIIAIVVWS	n/a	95	0.3
7	25–39	VIIIEYRKILRQRKID	n/a	95	0.5
19	69–81	EMGHHAPWVDVDDL	n/a	8	5.4

\*Derived from subtype B Env consensus sequence. 15-mer peptides overlapping by 11 amino acids. (supplied by the National Institutes of Health AIDS Research and Reference Reagent Program).

<sup>†</sup>There are five variable domains (V1–V5) and seven conserved domains (C1–C7).

<sup>‡</sup>The percentage of NK (CD3<sup>+</sup>CD56<sup>+</sup>) cells secreting IFN- $\gamma$  after peptide stimulation of whole blood for 5 h.

<sup>§</sup>Patients were recruited into two cohorts: Treatment-naïve patients were HIV-infected adults who never had undergone any antiretroviral drug therapy. Treated patients were HIV-infected adults who had undergone extensive antiretroviral drug therapy and who had drug-resistant HIV.

**Table S2. Comparison of consensus and subjects' native viral epitopes**

Subject no.	Peptide*	Sequence <sup>†</sup>	Frequency <sup>‡</sup>	Amino acid changes
31	Env 77–78	NYNKRKRIHIGPGRAFYT	Consensus	
		YN.T.RS.....FA.	2/3 (66%)	7
31	Env 80	GRAFYTTKNIIGTIR	Consensus	
		....FA.GE...D..	2/3 (66%)	5
8	Env 78	RKRIHI--GPGRAFYT	Consensus	
		..S.R.QR.....V.I	13/13 (100%)	6
56	Env 2	GIRRNQYQHWWGWGTM	Consensus	
		...K.C.RL.R....	8/9 (89%)	5
3	Env 87	TLRQIVSKLKEQFKN	Consensus	
		..K..A...R...G.	2/3 (66%)	4
67	Env 80	GRAFYTTKNIIGTIR	Consensus	
		....A.GE...D..	4/7 (57%)	4
67	Env 77–78	NYNKRKRIHIGPGRAFYT	Consensus	
		.N.T..S.P.....A.	7/7 (100%)	5
56	Env 4	WWGWGTMLLGLLMIC	Consensus	
		L.R.....M....	8/9 (89%)	3
8	Env 96	CGGEFFYCNTSPLFN	Consensus	
		.....STQ...	13/13 (100%)	3
72	Env 23	TENFNMWKNNMVEQM	Consensus	
		..Q.DA.....	3/9 (33%)	3
80	Env 19–20	CVPTDPNPQEVELVNTEN	Consensus	
		.....V.D...H	5/7 (72%)	3
93	Env 78–80	RKRIHIGPGRAFYT	Consensus	
		..G.....GE...D..	2/2 (100%)	4
84	ENV 8–9	ATEKLVVTVYGVVWKEA	Consensus	
		..A.P.....	3/4 (75%)	2
56	Env 19	CVPTDPNPQEVELVN	Consensus	
		.....V.G.	9/9 (100%)	2
80	Env 13–14	TTLFCASDAKAYGTEVHNV	Consensus	
		.....K.....	3/7 (43%)	1
56	Env 16	DTEVHNVWATQACVP	Consensus	
		.....H....	8/9 (89%)	1
84	Env 23–24	TENFNMWKNNMVEQM	Consensus	
		.....K.A...	4/7 (57%)	2
8	Env 94	DTEVHNVWATQACVP	Consensus	
		.....H....	8/9 (89%)	1
8	Env 143	EIVMHSFNCGGEFFY	Consensus	
		...T.....	13/13 (100%)	1
8	Vpu 19	LTWVGIKQLQARVLA	Consensus	
		.....I..	Bulk Seq	1
72	Env 9	EMGHHPWDVDDL	Consensus	
		.....I...	10/10 (100%)	1
42	Env 23	LWVTVYGVVWKEA	Consensus	
		.....N.	9/9 (100%)	1
8	Env 11	TENFNMWKNNMVEQM	Consensus	
		..E.....	3/3 (100%)	1
56	Env 10–11	VPVWKEATTLFCAS	Consensus	
		.....	6/6 (100%)	0
56	Env 10–11	VYGVVWKEATTLFCAS	Consensus	
		.....	9/9 (100%)	0

\*Peptide ADCC epitopes identified by ADCC intracellular cytokine staining (ICS) assay. Peptides derived from subtype B Env consensus sequence; 15-mer peptides overlapping by 11 amino acids (supplied by the National Institutes of Health AIDS Research and Reference Reagent Program).

<sup>†</sup>Sequence of consensus peptide epitope (top) and sequence of patients' native viral epitope obtained by extracting viral RNA from patients' plasma and bulk sequencing or cloning and sequencing across identified epitopes.

<sup>‡</sup>Frequency of clones from patients' virus with specific sequence (X/Y, where X = number of clones with each specific sequence and Y = total number of clones). Consensus identifies the sequence as HIV-1 Clade B consensus sequence across the epitope.