

Ni-Catalyzed Ketene Cycloaddition: A System that Resists the Formation of Decarbonylation Side Products

Puneet Kumar, Dawn M. Troast, Rodrigo Cella, and Janis Louie*

SUPPORTING INFORMATION

General Experimental:

All reactions were conducted under an atmosphere of N₂ using standard Schlenk techniques or in a N₂ filled glove-box unless otherwise noted. Toluene was dried over neutral alumina under N₂ using a Grubbs type solvent purification system. THF was freshly distilled from Na/benzophenone. Ni(cod)₂ was purchased from Strem and used without further purification. The ketenes **a**,^{1a} **b**,^{1b} **c**,^{1c} **d**,^{1d} **e**,^{1e} **f**,^{1f} **g**,^{1g} **h**,^{1h} **i**,¹ⁱ **j**,^{1j} and diynes **1**,^{2a} **2**,^{2b} **3**,^{2c} **5**,^{2d} **6**,^{2e} **7**,^{2a} and **8**^{2c} were prepared according to literature procedures. All other reagents were purchased and used without further purification unless otherwise noted.

¹H and ¹³C Nuclear Magnetic Resonance spectra of pure compounds were acquired at 300 and 125 MHz, respectively unless otherwise noted. All spectra are referenced to a singlet at 7.27 ppm for ¹H and to the center line of a triplet at 77.23 ppm for ¹³C. The abbreviations s, d, dd, dt, dq, t, q, and quint stand for singlet, doublet, doublet of doublets, doublet of triplets, doublet of quartets, triplet, quartet, and quintet, in that order. All ¹³C NMR spectra were proton decoupled. Gas Chromatography was performed using the following conditions: initial oven temperature: 100 °C; temperature ramp rate 50 °C/min.; final temperature: 300 °C held for 7 minutes; detector temperature: 250 °C.

Ligand Screening:

In a nitrogen filled glovebox, a stock solution of diyne (**1**, 1 equiv., 0.05M) in benzene was prepared along with decane as standard in a clean and pre-dried scintillation vial. The stock solution of ketene (**a**, 1.2 equiv.) in benzene was also prepared in a separate vial. In separate vials, stock solutions of catalyst were prepared by mixing Ni(cod)₂ and ligands (see Table 1 for the ratio). 5 mol% Catalyst was added to the vial containing diyne and ketene. The vials were

taken out of the glove box and stirred at 60 °C for overnight; after which, all the reaction vials were opened to air and then analyzed by GC.

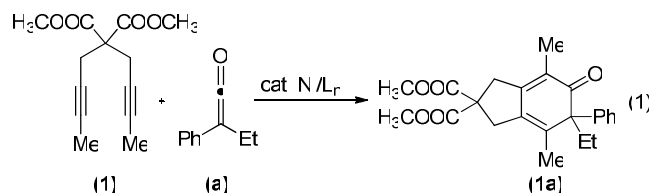


Table 1: Ni-Catalyzed Cycloaddition of Dienes and Ketenes^e

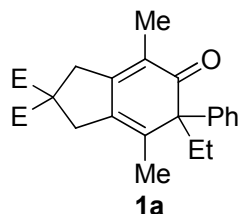
Entry	Ligand (L _r)	N/L _r	1 % Conv ^f	1a % Yield ^f
1	Pr ^c	1/2	100	12
2	SPr ^c	1/2	63	3
3	PPh ₃	1/2	100	39
4	PCy ₃	1/2	72	20
5	MePPh ₂	1/2	100	54
6	CyPPh ₂	1/2	100	31
7	DPPE	1/1	32	2
8	DCPE	1/1	39	-
9	DPPF	1/1	100	>99 (86)% ^d
10	DPPB	1/1	100	86 (86)% ^d

^cReaction conditions: 5 mol% Ni-catalyst, diene (1 equiv, 0.05M), ketene (1.2 equiv), benzene, 60 °C, 12 h; ^fGC yield analyzed using decane as an internal standard. ^eThe catalyst solutions were equilibrated for at least 6 h before use. ^dIsolated yields.

General Procedure for Cycloaddition:

In a nitrogen-filled glove box, a 5 mol% catalyst solution (prepared from Ni(cod)₂ and DPPB in 1:1 ratio in toluene) was added to the vial containing diene (1 equiv., 0.1 M) and ketene (1.2 equiv.) in toluene. The vial was taken out of the glove box and stirred at 60 °C for 5h, opened to air, concentrated *in vacuo*, and purified by silica gel flash column chromatography.

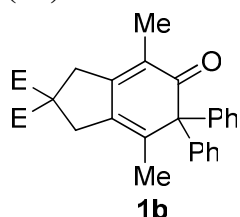
Dimethyl 5-ethyl-4,7-dimethyl-6-oxo-5-phenyl-5,6-dihydro-1H-indene-2,2(3H)-dicarboxylate (1a):



The general procedure was used with 41.8 mg (0.18 mmol, 0.1 M) of diene **1**, 31.0 mg (0.21 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **1a** as bright yellow sticky oil, 82% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.26-7.10 (m, 5H), 3.79 (s, 3H), 3.77 (s, 3H), 3.32 (s, 2H), 3.24 (d, $J=7.2$ Hz, 2H), 2.63 (dq, $J_1=7.5$ Hz, $J_2=14.9$ Hz, 1H), 1.95 (dq, $J_1=7.5$ Hz, $J_2=14.9$ Hz, 1H), 1.79 (s, 3H), 1.61 (s, 3H), 0.64 (t, $J=7.5$ Hz, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 203.3, 171.6, 154.6, 142.2, 141.6, 132.1, 128.8, 127.1, 126.9, 124.7, 61.4, 58.3, 53.3, 53.2, 39.7, 38.0, 29.9, 16.4, 11.6, 8.8. IR (CH_2Cl_2 , cm^{-1}): 2956, 1736, 1648, 1437, 1263, 1204, 1076. HRMS (ESI) calcd for $\text{C}_{23}\text{H}_{26}\text{O}_5\text{Na}$ $[\text{M}+\text{Na}]^+$ 405.1678, found 405.1682.

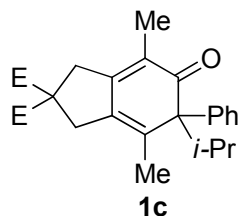
Dimethyl 4,7-dimethyl-6-oxo-5,5-diphenyl-5,6-dihydro-1H-indene-2,2(3H)-dicarboxylate (1b):



The general procedure was used with 50 mg (0.21 mmol, 0.1 M) of diyne **1**, 49.3 mg (0.25 mmol) of ketene **b**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 20% ethyl acetate in hexanes to afford the title compound **1b** as yellow sticky oil, 50% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.26 (m, 6H), 7.15 (m, 4H), 3.75 (s, 6H), 3.32 (s, 2H), 3.25 (s, 2H), 1.80 (s, 3H), 1.56 (s, 3H); ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 203.3, 171.7, 153.5, 141.8, 141.5, 131.4, 129.9, 128.3, 127.3, 124.3, 68.3, 58.2, 53.4, 39.6, 38.1, 18.9, 12.0. IR (CH_2Cl_2 , cm^{-1}): 2954, 2922, 1736, 1652, 1616, 1438, 1258, 1203, 1072. HRMS (ESI) calcd for $\text{C}_{27}\text{H}_{26}\text{O}_5\text{Na}$ $[\text{M}+\text{Na}]^+$ 453.1678, found 453.1693.

Dimethyl 5-isopropyl-4,7-dimethyl-6-oxo-5-phenyl-5,6-dihydro-1H-indene-2,2(3H)-dicarboxylate (1c):

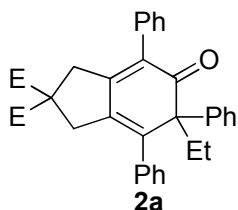


The general procedure was used with 119.0 mg (0.50 mmol, 0.1 M) of diyne **1**, 160 mg (1.0 mmol) of ketene **c**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **1c** as bright yellow liquid, 50% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.20 (m, 3H), 7.09 (d, $J=7.2$ Hz, 2H), 3.77 (s, 3H), 3.76 (s, 3H), 3.29 (d, $J=6.3$ Hz, 2H), 3.20 (s, 2H), 2.92 (septet, $J=6.9$ Hz, 1H), 1.79 (s, 3H), 1.67 (s, 3H), 0.95 (d, $J=7.2$ Hz, 3H), 0.86 (d, $J=7.2$ Hz, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 203.7, 171.7, 171.68, 153.7, 141.7, 141.4, 132.4, 128.8, 128.4, 126.7, 125.2, 65.7, 58.3, 53.3,

53.27, 39.6, 38.2, 35.4, 18.8, 17.5, 11.6. IR (CH₂Cl₂, cm⁻¹): 2959, 1737, 1438, 1265, 1074. HRMS (ESI) calcd for C₂₄H₂₈O₅K [M+K]⁺ 435.1574, found 435.1586.

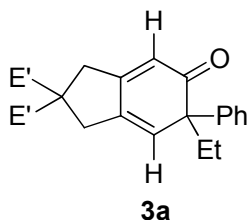
Dimethyl 5-ethyl-6-oxo-4,5,7-triphenyl-5,6-dihydro-1H-indene-2,2(3H)-dicarboxylate (2a):



The general procedure was used with 65.4 mg (0.18 mmol, 0.1 M) of diyne **2**, 31.8 mg (0.22 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **2a** as bright yellow sticky oil, 65% yield.

¹H NMR (300 MHz, CDCl₃): δ (ppm) 7.30 (m, 8H), 7.20 (m, 5H), 6.75 (d, *J* = 8.1 Hz, 2H), 3.77 (s, 3H), 3.72 (s, 3H), 3.23 (m, 4H), 2.72 (dq, *J*₁ = 7.5 Hz, *J*₂ = 14.7 Hz, 1H), 1.75 (dq, *J*₁ = 7.2 Hz, *J*₂ = 14.4 Hz, 1H), 0.84 (t, *J* = 7.2 Hz, 3H). ¹³C NMR (75 MHz, CDCl₃): δ (ppm) 200.7, 171.4, 171.3, 155.1, 147.5, 140.6, 138.0, 134.3, 134.2, 130.4, 129.8, 129.1, 128.5, 128.1, 128.0, 127.9, 127.5, 127.2, 62.3, 58.6, 53.3, 53.2, 40.9, 39.8, 28.6, 9.4. IR (CH₂Cl₂, cm⁻¹): 2956, 1736, 1655, 1594, 1492, 1441, 1265, 1204, 1075. HRMS (ESI) calcd for C₃₃H₃₀O₅Na [M+Na]⁺ 529.1991, found 529.2004.

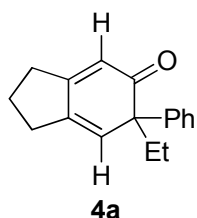
Dimethyl 5-ethyl-6-oxo-5-phenyl-5,6-dihydro-1H-indene-2,2(3H)-dicarboxylate (3a):



The general procedure was used with 39.3 mg (0.18 mmol, 0.1 M) of diyne **3**, 33.1 mg (0.22 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **3a** as slightly yellow oil, 54% yield.

¹H NMR (300 MHz, CDCl₃): δ (ppm) 7.25 (m, 5H), 6.25 (s, 1H), 5.95 (s, 1H), 4.24 (m, 4H), 3.30 (m, 4H), 2.50 (dq, *J*₁ = 4.5 Hz, 1H), 1.91 (dq, *J*₁ = 4.5 Hz, 1H), 1.27 (m, 6H), 0.78 (t, *J* = 4.5 Hz, 3H); ¹³C NMR (75 MHz, CDCl₃): δ (ppm) 202.8, 170.7, 159.8, 140.9, 137.1, 135.0, 128.8, 127.4, 127.0, 119.5, 62.2, 59.2, 58.5, 40.3, 38.4, 32.0, 14.2, 9.4. IR (CH₂Cl₂, cm⁻¹): 2975, 1732, 1649, 1446, 1368, 1251, 1190, 1069, 860, 697. HRMS (ESI) calcd for C₂₃H₂₆O₅Na [M+Na]⁺ 405.1678, found 405.1692.

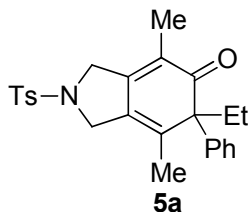
6-ethyl-6-phenyl-2,3-dihydro-1H-inden-5(6H)-one (4a):



The general procedure was used with 37.0 mg (0.40 mmol, 0.1 M) of diyne **4**, 70.4 mg (0.48 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 5-10% ethyl acetate in hexanes to afford the title compound **4a** as slightly yellow oil, 35% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.26 (m, 5H), 6.23 (s, 1H), 5.95 (s, 1H), 2.68 (m, 4H), 2.47 (dq, $J_1 = 7.5$ Hz, 1H), 1.94 (m, 3H), 0.81 (t, $J = 7.5$, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 203.6, 164.5, 141.6, 138.3, 135.9, 128.7, 127.2, 127.1, 119.0, 58.4, 33.5, 32.1, 30.8, 24.9, 9.4. IR (CH_2Cl_2 , cm^{-1}): 2964, 1675, 1646, 1597, 1492, 1444, 1369, 1301, 1221, 1168, 1034, 857, 758, 696. HRMS (ESI) calcd for $\text{C}_{17}\text{H}_{18}\text{ONa}$ $[\text{M}+\text{Na}]^+$ 261.1255, found 261.1270.

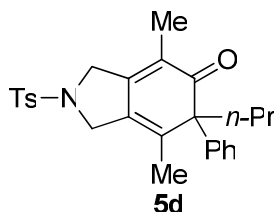
6-ethyl-4,7-dimethyl-6-phenyl-2-tosyl-2,3-dihydro-1H-isoindol-5(6H)-one (5a):



The general procedure was used with 49.1 mg (0.18 mmol, 0.1 M) of diyne **5**, 31.3 mg (0.22 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15-30% ethyl acetate in hexanes to afford the title compound **5a** as a white solid, 50% yield.

Melting Point: 155-158 °C (Decomp.). ^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.80 (d, $J = 8.1$ Hz, 2H), 7.39 (d, $J = 8.1$ Hz, 2H), 7.21 (m, 3H), 7.05 (m, 2H), 4.31 (s, 2H), 4.25 (d, $J = 10.8$ Hz, 2H), 2.61 (dq, $J_1 = 7.2$ Hz, $J_2 = 14.7$ Hz, 1H), 2.45 (s, 3H), 1.94 (dq, $J_1 = 7.2$ Hz, $J_2 = 14.7$ Hz, 1H), 1.72 (s, 3H), 1.57 (s, 3H), 0.58 (t, $J =$, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.7, 150.0, 144.4, 142.8, 140.8, 133.2, 130.2, 128.99, 128.95, 127.9, 127.4, 126.7, 123.8, 61.6, 52.0, 50.9, 30.0, 21.8, 16.5, 11.5, 8.9. IR (CH_2Cl_2 , cm^{-1}): 2926, 1651, 1619, 1493, 1448, 1346, 1272, 1164, 1096, 1061. HRMS (ESI) calcd for $\text{C}_{25}\text{H}_{27}\text{NO}_3\text{SK}$ $[\text{M}+\text{K}]^+$ 460.1349, found 460.1355.

4,7-dimethyl-6-phenyl-6-propyl-2-tosyl-2,3-dihydro-1H-isoindol-5(6H)-one (5d):

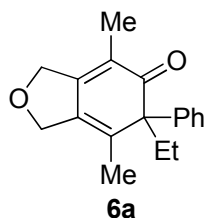


The general procedure was used with 50.6 mg (0.18 mmol, 0.1 M) of diyne **5**, 35.4 mg (0.22 mmol) of ketene **d**, and 5 mol % of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15-30% ethyl acetate in hexanes to afford the title

compound **5d** as white solid, 55% yield.

Melting Point: 157-160 °C (Decomp.). ¹H NMR (300 MHz, CDCl₃): δ (ppm) 7.81 (d, *J*= 8.1 Hz, 2H), 7.40 (d, *J*= 8.4 Hz, 2H), 7.22 (m, 3H), 7.07 (m, 2H), 4.24 (m, 4H), 2.55 (m, 1H), 2.48 (s, 3H), 1.88 (m, 1H), 1.73 (s, 3H), 1.58 (s, 3H), 0.87 (m, 5H). ¹³C NMR (75 MHz, CDCl₃): δ (ppm) 202.6, 149.9, 144.4, 143.2, 140.9, 135.4, 133.4, 130.2, 129.0, 128.7, 128.0, 127.5, 126.8, 123.6, 61.2, 52.0, 50.9, 39.5, 21.8, 17.9, 16.6, 14.7, 11.5. IR (CH₂Cl₂, cm⁻¹): 2981, 2936, 1734, 1644, 1511, 1447, 1369, 1269, 1206, 1094, 1048, 914. HRMS (ESI) calcd for C₂₆H₂₉O₃SNa [M+Na]⁺ 458.1766, found 458.1786.

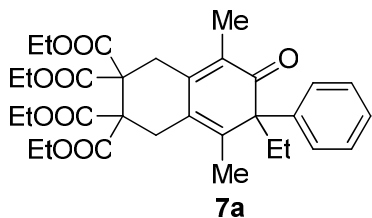
6-ethyl-4,7-dimethyl-6-phenyl-3,6-dihydroisobenzofuran-5(1H)-one (**6a**):



The general procedure was used with 74.2 mg (0.60 mmol, 0.1 M) of diyne **6**, 106.5 mg (0.72 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 5-30% ethyl acetate in hexanes to afford the title compound **6a** as yellow sticky oil, 33% yield.

¹H NMR (300 MHz, CDCl₃): δ (ppm) 7.22 (m, 5H), 4.78 (m, 4H), 2.67 (dq, *J*₁=4.5 Hz, 1H), 2.00 (dq, *J*₁=4.5 Hz, 1H), 1.76 (s, 3H), 1.60 (s, 3H), 0.70 (t, *J*=4.5 Hz, 3H). ¹³C NMR (75 MHz, CDCl₃): δ (ppm) 203.4, 153.8, 141.3, 140.2, 132.0, 128.9, 127.3, 126.9, 121.7, 71.5, 71.0, 61.4, 29.9, 16.5, 11.6, 8.9. IR (CH₂Cl₂, cm⁻¹): 2919, 1653, 1623, 1446, 1054, 698. HRMS (ESI) calcd for C₁₈H₂₀O₂Na [M+Na]⁺ 291.1361, found 291.1374.

Tetraethyl 6-ethyl-5,8-dimethyl-7-oxo-6-phenyl-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (**7a**):

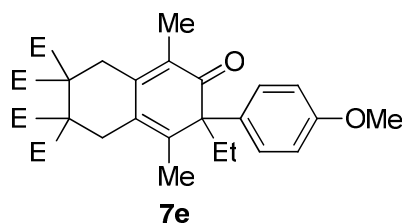


The general procedure was used with 55.3 mg (0.13 mmol, 0.1 M) of diyne **7**, 22.9 mg (0.16 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7a** as bright yellow sticky oil, 91% yield.

¹H NMR (300 MHz, CDCl₃): δ (ppm) 7.21-7.09 (m, 5H), 4.27-4.19 (m, 8H), 3.24 (s, 2H), 3.19 (d, *J*= 11.1 Hz, 2H), 2.65 (dq, *J*₁=7.2 Hz, *J*₂=14.5 Hz, 1H), 1.90 (dq, *J*₁=7.2 Hz, *J*₂= 14.5 Hz,

3H), 1.81 (s, 3H), 1.61 (s, 3H), 1.26 (m, $J=7.2$ Hz, 12H), 0.62 (t, $J=7.2$ Hz, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.0, 170.0, 169.9, 169.8, 169.7, 147.0, 145.6, 141.5, 128.8, 127.1, 127.0, 126.9, 125.3, 62.26, 62.23, 62.21, 61.6, 57.6, 57.2, 34.3, 32.3, 30.3, 16.6, 13.99, 13.98, 13.95, 10.7, 8.7. IR (CH_2Cl_2 , cm^{-1}): 2981, 1732, 1645, 1446, 1368, 1268, 1205, 1048. HRMS (ESI) calcd for $\text{C}_{32}\text{H}_{40}\text{O}_9\text{Na}$ $[\text{M}+\text{Na}]^+$ 591.2570, found 591.2586.

Tetraethyl 6-ethyl-6-(4-methoxyphenyl)-5,8-dimethyl-7-oxo-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (7e):

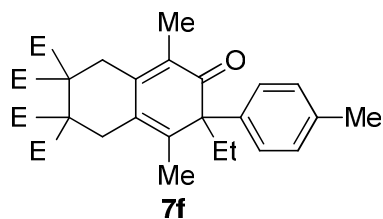


The general procedure was used with 54.1 mg (0.13 mmol, 0.1 M) of diyne **7**, 27.06 mg (0.15 mmol) of ketene **e**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7e** as bright yellow sticky

oil, 81% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.05 (d, $J=9$ Hz, 2H), 6.77 (d, $J=9$ Hz, 2H), 4.25 (m, 8H), 3.75 (s, 3H), 3.26 (s, 2H), 3.21 (d, $J=12$ Hz, 2H), 2.63 (dq, $J_1=7.2$ Hz, $J_2=15$ Hz, 1H), 1.94 (dq, $J_1=7.2$ Hz, $J_2=14.5$ Hz, 1H), 1.83 (s, 3H), 1.64 (s, 3H), 1.28 (m, 12H), 0.63 (t, $J=7.2$ Hz, 3H); ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.4, 170.0, 169.89, 169.84, 169.8, 158.6, 146.8, 145.8, 133.5, 127.9, 127.0, 125.1, 114.2, 62.29, 62.26, 60.9, 57.6, 57.2, 55.4, 34.3, 32.2, 30.4, 16.6, 14.0, 10.7, 8.8. IR (CH_2Cl_2 , cm^{-1}): 2981, 1732, 1644, 1447, 1369, 1460, 1368, 1250, 1205, 1093, 1036. HRMS (ESI) calcd for $\text{C}_{33}\text{H}_{42}\text{O}_{10}\text{Na}$ $[\text{M}+\text{Na}]^+$ 621.2676, found 621.2689.

Tetraethyl 6-ethyl-5,8-dimethyl-7-oxo-6-(p-tolyl)-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (7f):

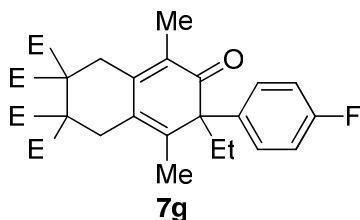


The general procedure was used with 46.4 mg (0.11 mmol, 0.1 M) of diyne **7**, 20.8 mg (0.13 mmol) of ketene **f**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7f** as bright yellow sticky oil, 80%

yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.03 (d, $J=3\text{ Hz}$, 4H), 4.26 (m, 8H), 3.26 (s, 4H), 2.66 (dq, $J_1=7.2\text{ Hz}$, $J_2=14.7\text{ Hz}$, 1H), 2.42 (s, 3H), 1.96 (dq, $J_1=7.5\text{ Hz}$, $J_2=14.8\text{ Hz}$, 1H), 1.83 (s, 3H), 1.65 (s, 3H), 1.29 (m, 12H), 0.64 (t, $J=7.2\text{ Hz}$, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.3, 170.0, 169.9, 169.89, 169.8, 146.9, 145.8, 138.5, 136.8, 129.6, 127.0, 126.8, 125.2, 62.3, 62.28, 61.2, 57.6, 57.2, 34.3, 30.3, 21.2, 16.6, 14.05, 14.05, 14.03, 14.01, 10.8, 8.8. IR (CH_2Cl_2 , cm^{-1}): 2982, 2935, 1733, 1644, 1269, 1206, 1049, 1048. HRMS (ESI) calcd for $\text{C}_{33}\text{H}_{42}\text{O}_9\text{Na}$ $[\text{M}+\text{Na}]^+$ 605.2727, found 605.2733.

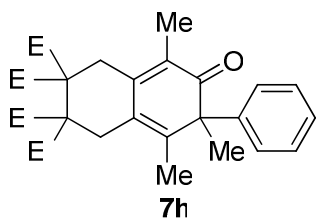
Preparation of tetraethyl 6-ethyl-6-(4-fluorophenyl)-5,8-dimethyl-7-oxo-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (7g):



The general procedure was used with 50 mg (0.118 mmol, 0.1 M) of diyne **7**, 23.4 mg (0.14 mmol) of ketene **g**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7g** as bright yellow sticky oil, >99% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.11 (m, 2H), 6.93 (m, 2H), 4.28 (m, 8H), 3.23 (m, 4H), 2.63 (dq, $J_1=7.2\text{ Hz}$, 1H), 1.95 (dq, $J_1=7.5\text{ Hz}$, 1H), 1.84 (s, 3H), 1.63 (s, 3H), 1.29 (m, 12H), 0.64 (t, $J=7.5\text{ Hz}$, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 201.9, 170.0, 169.9, 169.76, 169.7, 163.5, 160.3, 147.1, 145.2, 137.2, 128.6, 128.5, 127.1, 125.5, 115.8, 115.5, 62.3, 60.9, 57.6, 57.1, 34.3, 32.2, 30.6, 16.5, 14.0, 10.7, 8.7. IR (CH_2Cl_2 , cm^{-1}): 2983, 2938, 1748, 1644, 1269, 1206, 1055, 1048. HRMS (ESI) calcd for $\text{C}_{32}\text{H}_{39}\text{O}_9\text{FNa}$ $[\text{M}+\text{Na}]^+$ 609.2476, found 609.2477.

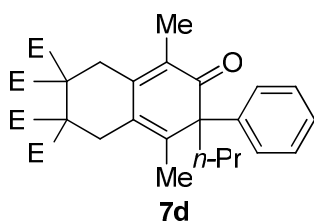
Preparation of tetraethyl 5,6,8-trimethyl-7-oxo-6-phenyl-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (7h):



The general procedure was used with 100 mg (0.24 mmol, 0.1 M) of diyne **7**, 37.4 mg (0.28 mmol) of ketene **h**, and 5 mol% of catalyst, in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7h** as bright yellow sticky oil, 65%.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.23 (m, 3H), 7.12 (m, 2H), 4.26 (m, 8H), 3.27 (s, 2H), 3.18 (m, 2H), 1.84 (s, 3H), 1.65 (s, 3H), 1.60 (s, 3H), 1.27 (m, 12H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.0, 169.9, 169.8, 169.78, 146.8, 146.5, 141.4, 128.7, 127.0, 126.8, 125.9, 122.9, 62.2, 62.1, 57.6, 57.2, 56.9, 34.3, 32.2, 30.4, 29.8, 22.8, 17.0, 13.9, 10.9. IR (CH_2Cl_2 , cm^{-1}): 2983, 1732, 1647, 1445, 1367, 1269, 1205, 1094, 1038. HRMS (ESI) calcd for $\text{C}_{31}\text{H}_{38}\text{O}_9\text{Na}$ $[\text{M}+\text{Na}]^+$ 577.2414, found 577.2419.

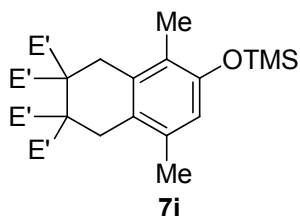
Tetraethyl 5,8-dimethyl-7-oxo-6-phenyl-6-propyl-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (7d):



The general procedure was used with 209.1 mg (0.49 mmol, 0.1 M) of diyne **7**, 95.0 mg (0.59 mmol) of ketene **d**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7d** as bright yellow sticky oil, 76% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.19 (m, 5H), 4.25 (m, 8H), 3.18 (m, 4H), 2.59 (m, 1H), 1.91 (m, 1H), 1.82 (s, 3H), 1.62 (s, 3H), 1.27 (m, 12H), 0.97 (m, 2H), 0.85 (m, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.0, 170.0, 169.86, 169.82, 169.8, 146.8, 146.0, 141.5, 128.8, 128.7, 127.1, 126.8, 124.8, 62.3, 62.2, 61.2, 57.6, 57.3, 39.7, 34.3, 32.1, 17.6, 16.7, 14.7, 14.0, 10.7. IR (CH_2Cl_2 , cm^{-1}): 2982, 1735, 1646, 1446, 1368, 1267, 1208, 1096, 1033. HRMS (ESI) calcd for $\text{C}_{33}\text{H}_{42}\text{O}_9\text{Na}$ $[\text{M}+\text{Na}]^+$ 605.2727, found 605.2726.

Tetraethyl 5,8-dimethyl-6-((trimethylsilyl)oxy)naphthalene-2,2,3,3(1H,4H)-tetracarboxylate (7i):

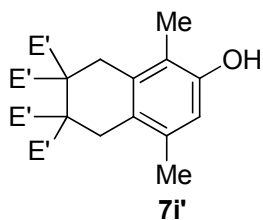


The general procedure was used with 104.5 mg (0.25 mmol, 0.1 M) of diyne **7**, 41.7 mg (0.30 mmol) of ketene **i**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 10-15% ethyl acetate in hexanes to afford the title compound **7i** as colorless oil, 63% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 6.45 (s, 1H), 4.15 (m, 8H), 3.33 (s, 2H), 3.25 (s, 2H), 2.15 (s, 3H), 2.05 (s, 3H), 1.16 (t, $J=7.2$ Hz, 12H), 0.19 (s, 9H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 193.7, 170.4, 170.36, 151.0, 133.5, 132.7, 124.3, 123.5, 119.1, 76.1, 61.84, 61.8, 57.612, 57.3, 33.3, 32.4, 19.8, 13.9, 12.0, 7.9, 0.6. IR (CH_2Cl_2 , cm^{-1}): 2982, 1736, 1579, 1475, 1367,

1257, 1203, 1096, 1052, 913, 843. HRMS (ESI) calcd for C₂₇H₄₀O₉SiNa [M+Na]⁺ 559.2339, found 559.2340.

Tetraethyl 6-hydroxy-5,8-dimethylnaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (**7i'**):³

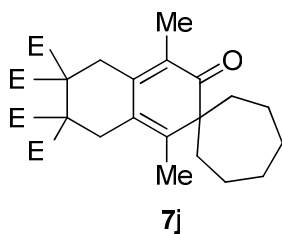


On eluting the column with 15-20% ethyl acetate in hexanes the title compound **7i'** was obtained as a white solid, 19% yield.

Melting Point: 120-123 °C (Lit:³ 121.5-122 °C). ¹H NMR (300 MHz, CDCl₃): δ (ppm) 6.41 (s, 1H), 5.29 (s, 1H), 4.19 (m, 8H), 3.36 (s, 2H), 3.29 (s, 2H), 2.12 (s, 3H), 2.07 (s, 3H), 1.21 (t, *J*_H = 7.2 Hz, 12H). ¹³C

NMR (75 MHz, CDCl₃): δ (ppm) 170.5, 170.4, 151.6, 133.7, 132.4, 123.2, 118.8, 115.2, 61.99, 61.95, 57.5, 57.3, 33.2, 32.4, 19.6, 13.9, 11.0. IR (CH₂Cl₂, cm⁻¹): 3452, 2983, 1733, 1463, 1270, 1205, 1085, 1052. HRMS (ESI) calcd for C₂₄H₃₂O₉Na [M+Na]⁺ 487.1944, found 487.1953.

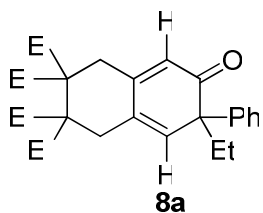
Preparation of tetraethyl 1',4'-dimethyl-3'-oxo-3'H-spiro[cycloheptane-1,2'-naphthalene]-6',6',7',7'(5'H,8'H)-tetracarboxylate (**7j**):



The general procedure was used with 40.2 mg (0.095 mmol, 0.1 M) of diyne **7**, 14.1 mg (0.11 mmol) of ketene **j**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7j** as pale oil, 76% yield.

¹H NMR (300 MHz, CDCl₃): δ (ppm) 4.20 (m, 8H), 3.15 (s, 2H), 3.04 (s, 2H), 1.91 (s, 3H), 1.86 (s, 3H), 1.59 (m, 12H), 1.25 (m, 12H). ¹³C NMR (75 MHz, CDCl₃): δ (ppm) 204.2, 169.96, 169.93, 149.0, 143.6, 125.8, 120.6, 62.2, 62.1, 57.7, 57.6, 57.2, 35.2, 33.9, 32.2, 31.9, 29.8, 24.9, 15.8, 14.02, 14.00, 11.4. IR (CH₂Cl₂, cm⁻¹): 2956, 1736, 1648, 1437, 1263, 1204, 1076. HRMS (ESI) calcd for C₃₁H₃₈O₉Na [M+Na]⁺ 569.2727, found 569.2737.

Tetraethyl 6-ethyl-7-oxo-6-phenyl-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (**8a**):

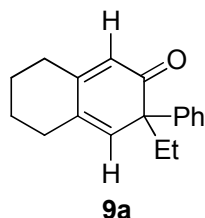


The general procedure was used with 48.2 mg (0.12 mmol, 0.1 M) of diyne **8**, 21.4 mg (0.14 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column

chromatography using 15% ethyl acetate in hexanes to afford the title compound **8a** as yellow sticky oil, 78% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.24 (m, 5H), 6.21 (s, 1H), 5.85 (s, 1H), 4.23 (m, 8H), 3.30 (m, 4H), 2.48 (dq, $J = 7.2$ Hz, 1H), 1.90 (dq, $J_1 = 7.2$ Hz, 1H), 1.27 (m, 12H), 0.78 (t, $J = 7.5$ Hz, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.3, 169.56, 169.5, 169.4, 169.3, 151.7, 141.6, 140.5, 128.8, 128.2, 127.4, 126.9, 123.0, 62.4, 62.34, 62.31, 58.9, 58.2, 57.3, 35.4, 34.2, 31.8, 14.0, 9.4. IR (CH_2Cl_2 , cm^{-1}): 2981, 1733, 1662, 1445, 1367, 1268, 1093, 1044, 863, 689. HRMS (ESI) calcd for $\text{C}_{30}\text{H}_{36}\text{O}_9\text{Na}$ $[\text{M}+\text{Na}]^+$ 563.2257, found 563.2253.

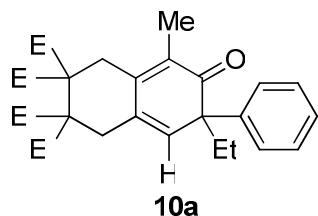
3-ethyl-3-phenyl-5,6,7,8-tetrahydronaphthalen-2(3H)-one (**9a**):



The general procedure was used with 42.9 mg (0.40 mmol, 0.1 M) of diyne **9**, 70.8 mg (0.48 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 5-10% ethyl acetate in hexanes to afford the title compound **9a** as bright yellow oil, 33% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.26 (m, 5H), 6.14 (s, 1H), 5.80 (s, 1H), 2.58 (m, 4H), 2.45 (dq, $J_1 = 7.5$ Hz, 1H), 1.93 (dq, $J_1 = 7.5$ Hz, 1H), 1.75 (m, 4H), 0.81 (t, $J = 7.2$ Hz, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 203.2, 157.0, 141.2, 140.37, 132.4, 128.7, 127.2, 127.1, 122.9, 58.5, 32.1, 30.8, 29.1, 23.1, 22.1, 9.5. IR (CH_2Cl_2 , cm^{-1}): 2934, 1658, 1492, 1448, 1382, 1231, 853, 764, 697. HRMS (ESI) calcd for $\text{C}_{18}\text{H}_{20}\text{ONa}$ $[\text{M}+\text{Na}]^+$ 275.1412, found 275.1419.

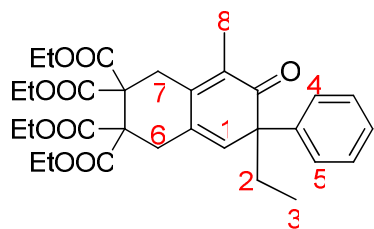
Tetraethyl 7-ethyl-5-methyl-6-oxo-7-phenyl-6,7-dihydronaphthalene-2,2,3,3(1H,4H)-tetracarboxylate (**10a**):



The general procedure was used with 50.7 mg (0.12 mmol, 0.1 M) of diyne **10**, 21.7 mg (0.14 mmol) of ketene **a**, and 5 mol% of catalyst in toluene. The reaction mixture was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **10a** as yellow sticky oil, 66% yield.

^1H NMR (300 MHz, CDCl_3): δ (ppm) 7.25 (m, 5H), 6.12 (s, 1H), 4.25 (m, 8H), 3.30 (m, 4H), 2.48 (dq, $J = 7.2$ Hz, 1H), 1.90 (dq, $J_1 = 7.2$ Hz, 1H), 1.83 (s, 3H), 1.28 (m, 12H), 0.75 (t, $J = 7.5$ Hz, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ (ppm) 202.0, 169.9, 169.6, 169.5, 145.2, 140.9, 138.5,

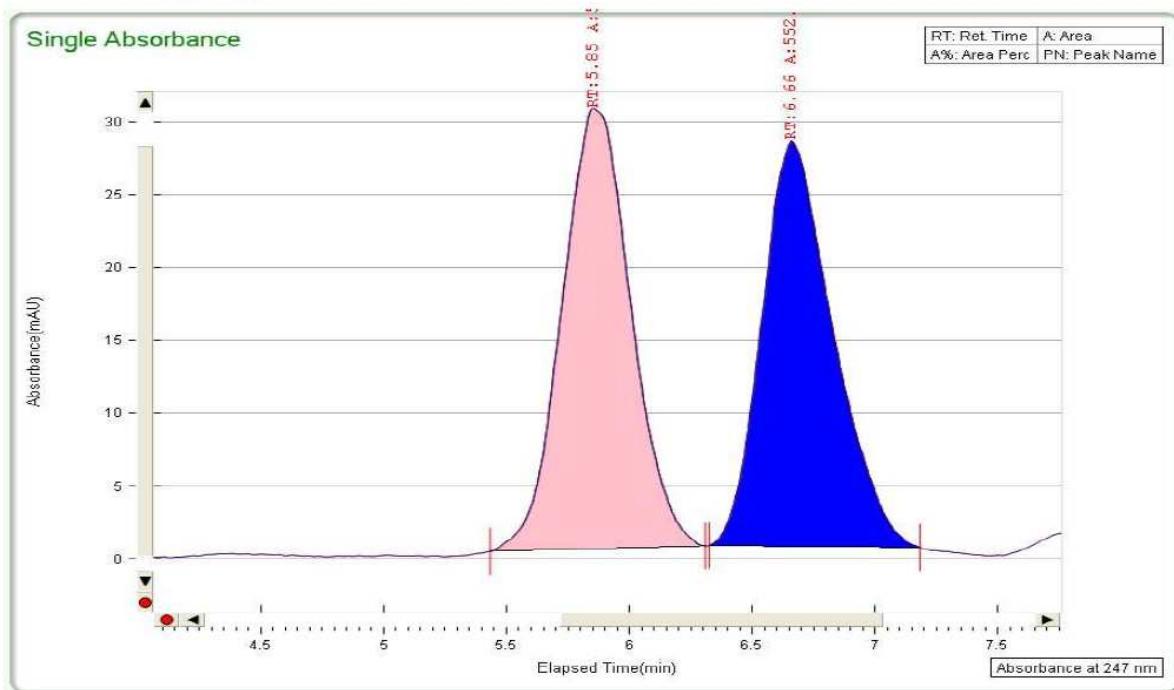
128.9, 128.3, 128.1, 127.3, 127.0, 62.3, 62.2, 57.68, 57.62, 57.5, 34.8, 34.0, 32.1, 14.0, 10.9, 9.4.
IR (CH₂Cl₂, cm⁻¹): 2981, 1733, 1645, 1445, 1368, 1269, 1092, 1044, 914, 863, 689. HRMS (ESI)
calcd for C₃₁H₃₈O₉Na [M+Na]⁺ 577.2414, found 577.2411.



Regioselectivity was assigned on the basis of (a). nOe of proton on C-1 with protons on C-2 (only one H), C-3, C-4, C-5, and C6; (b). nOe of proton on C-8 with protons on C-7.

General Procedure for the Asymmetric Cycloaddition:

In a nitrogen-filled glove box, a 5 mol% catalyst solution (prepared from Ni(cod)₂ and (*R*)-BINAP in 1:1 ratio in toluene) was added to the solution of 55.3 mg (0.13 mmol) of diyne **7**, 22.9 mg (0.16 mmol) of ketene **a**. The resulting reaction mixture was then stirred at 80 °C for 5h or 100 °C for 12h, opened to air and concentrated *in vacuo*. The remaining residue was purified via flash column chromatography using 15% ethyl acetate in hexanes to afford the title compound **7a*** as bright yellow sticky oil, 38% (99% ee @ 80°C) and 58% (95% ee @ 100°C) yields. SFC (supercritical fluid chromatography) analysis was performed at 40 °C, using a Thar instrument fitted with a chiral stationary phase (Cellucoat).



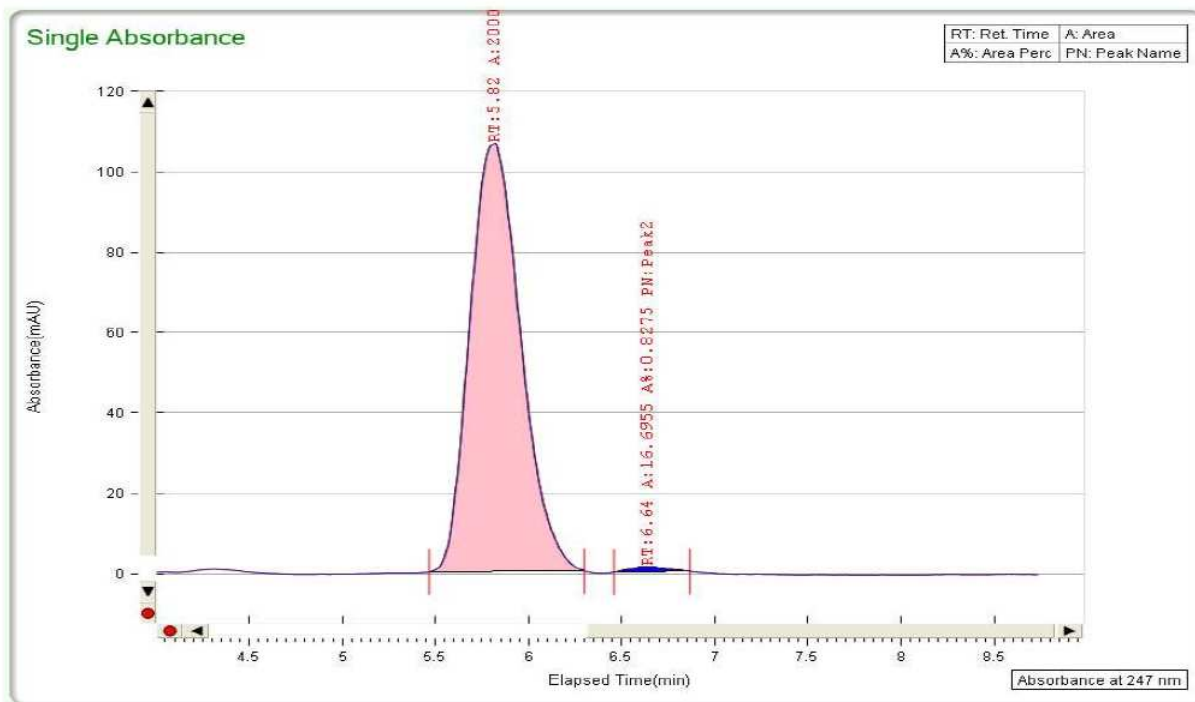
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Log Date	9/24/2008 9:38:09 AM	Notes	
Report By	current_User		

Injection Info		Temp	-40
Inj Vol	5	Flow	3
Solvent	Methanol	% Modifier	3
Column	Cellucoat	Pressure	201
Sample	Rac-128		
Well location	Pl: 1F		

Peak Info					
Peak No	% Area	Area	RT (min)	Height (mV)	K'
1	51.3617	583.7361	5.85	30.2302	0.0101
2	48.6383	552.7839	6.66	27.8523	0.0115
Total:	100	1136.52			

TharSFC

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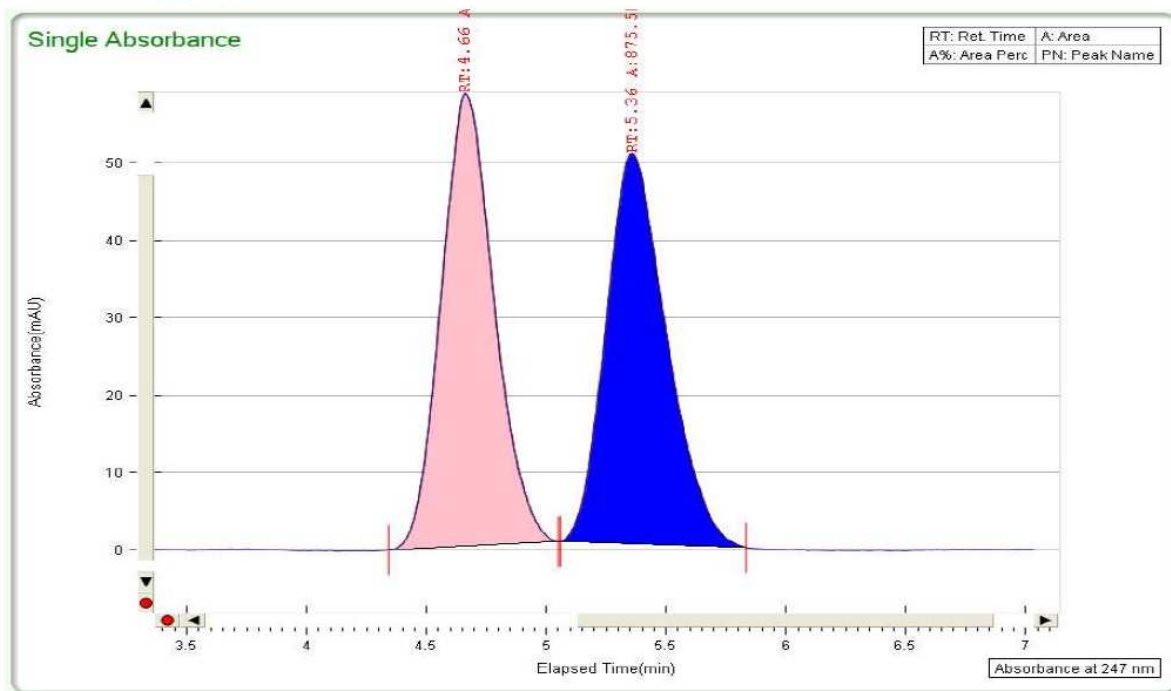
General Info	Report Date 1/14/2010
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Log Date 9/24/2008 9:48:33 AM	Notes
Report By current_User	

Injection Info	Temp -40.2
Inj Vol 5	Flow 3
Solvent Methanol	% Modifier 3
Column Cellucoat	Pressure 200
Sample Binap-74	
Well location P1: 2F	

Peak No	% Area	Area	RT (min)	Height (mV)	K'
1	99.1725	2000.9569	5.82	106.5301	0.0099
2	0.8275	16.6955	6.64	1.2383	0.0113
Total:	100	2017.6524			

TharSFC

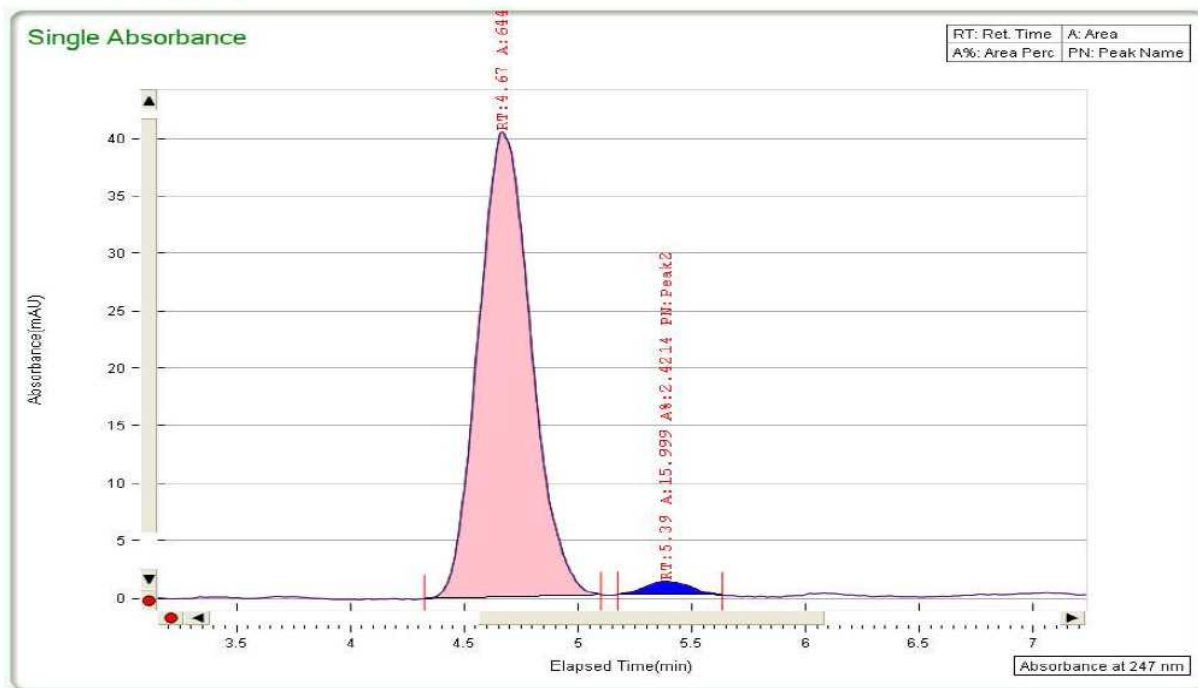
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		Notes			
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Inj Vol	5	29.4			
Solvent	Methanol	Flow			
Column	Column 7	3			
Sample	RC-86-Rac	% Modifier			
Well location	Pl: 1F	3			
		Pressure			
		199			
Peak Info					
Peak No	% Area	Area	RT (min)	Height (mV)	K'
1	50.3668	888.5299	4.66	58.5394	0.0042
2	49.6332	875.5878	5.36	50.4699	0.0048
Total:	100	1764.1177			

TharSFC

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General Info	Report Date 1/14/2010
Log Author	Method Name ISO_3_3mLmin.met
Log Date 10/3/2008 6:13:40 PM	Notes
Report By current_User	

Injection Info	Temp 29.7
Inj Vol 5	Flow 3
Solvent Methanol	% Modifier 3
Column Column 7	Pressure 200
Sample RC-86-Toluene	
Well location P1: 3F	

Peak No	% Area	Area	RT (min)	Height (mV)	K'
1	97.5786	644.7462	4.67	40.4509	0.0043
2	2.4214	15.999	5.39	1.1757	0.0049
Total:	100	660.7452			

TharSFC

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References:

- (1) (a) Hodous, B. L.; Ruble, J. C.; Fu, G. C. *J. Am. Chem. Soc.* **1999**, *121*, 2637. (b) Goll, J. M.; Fillion, E. *Organometallics*, **2008**, *27*, 3622. (c) Dai, X.; T., T.; Romero, J. A. C.; Fu, G. C. *Angew. Chem. Int. Ed.* **2007**, *46*, 4367. (d) Huang, Xue-Liang; He, Lin; Shao, Pan-Lin; Ye, Song *Angew. Chem. Int. Ed.* **2009**, *48*, 192. (e) Duguet, N.; Slawin, A.; Smith, A. *Org. Lett.*, **2009**, *11*, 3858. (f) Evans, D. A.; Janey, J. M. *Org. Lett.* **2001**, *3*, 2125. (g) Hodous, B. L.; Fu, G. C. *J. Am. Chem. Soc.* **2002**, *124*, 1578.
- (2) (a) Louie, J.; Gibby, J.E.; Farnworth, M. V.; Tekavec, T. N. *J. Am. Chem. Soc.* **2002**, *124*, 15188. (b) Lian, J.; Chen, P.; Lin, Y.; Ting, H.; Liu, R. *J. Am. Chem. Soc.* **2006**, *128*, 11372. (c) Wang, X.; Chakrapani, H.; Madine, J. W.; Keyerleber, M. A.; Widenhoefer, R. A. *J. Org. Chem.* **2002**, *67*, 2778. (d) Duong, H. A.; Cross, M. J.; Louie, J. *J. Am. Chem. Soc.* **2004**, *126*, 11438. (e) McCormick, M. M.; Duong, H. A.; Louie, J. *J. Am. Chem. Soc.* **2005**, *127*, 5030.
- (3) Hara, H.; Hirano, M.; Tanaka, K. *Org. Lett.* **2009**, *11*, 1337.