Discovery and Canine Preclinical Assessment of a Non-Toxic Procaspase-3-Activating Compound

Supplementary Data

Quinn P. Peterson¹, Danny C. Hsu², Chris J. Novotny¹, Diana C. West², Dewey Kim², Joanna M. Schmit⁴, Levent Dirikolu³, Paul J. Hergenrother^{1,2*}, and Timothy M. Fan^{4*}

> *Department of Biochemistry Department of Chemistry Department of Veterinary Biosciences Department of Veterinary Clinical Medicine University of Illinois Urbana, IL 61801*

Table of Contents

Materials and Methods

Formulation of PAC-1 and S-PAC-1. To expedite the translational investigation of procaspase-3 activators for treating human cancer patients, the tolerability of **PAC-1** when administered intravenously (the most common drug delivery route for conventional anticancer agents) was assessed. To this end, a formulation procedure that reliably maintained high concentrations of **PAC-1** (a lipophilic drug) in aqueous solution was first optimized. The solubilizing agent allowing for the dissolution and maintenance of **PAC-1** in aqueous solution was 2-hydroxypropyl-β-cyclodextrin (HPβCD) (3). **PAC-1** is soluble at 20 mg/mL in an aqueous 200 mg/mL solution of HP β CD and is stable for at least 5 days when stored at 4 °C.

For all *in vivo* experiments, **PAC-1** and **S-PAC-1** were freshly reconstituted to a stock concentration of 15 mg/mL. Briefly, 200 mg/mL of solublizing agent (2-hydroxypropyl-β-cyclodextrin) was dissolved in 70% of the final volume of sterile water for injection. An appropriate mass of **PAC-1** or **S-PAC-1** was added to this solution and the pH adjusted to 1.5-2 at which **PAC-1** is fully soluble. After complete dissolution of **PAC-1** in the 2-hydroxypropyl-β-cyclodextrin solution, the pH is restored to 5-6. This solution is then sterile filtered and stored at 4 °C until use. The stability of this stock solution was followed by LC-MS over 5 days and exhibited no degradation products during this time.

For i.p. and IV injections of these drugs in mice, the drug was delivered directly from this stock concentration or was diluted in sterile water for injection to achieve lower doses. For administration to dogs in a continuous infusion, the drug stock was diluted 1:1 in a saline solution.

In Silico LogBB Predictions. LogBB predictions were carried out according to previously published methods (4). Briefly, equation S1 was used to determine the LogBB. ClogP was determined using ChemDraw Ultra 12. Polar surface area (PSA) was determined using the Daylight web-based software available at www.daylight.com/meetings/emug00/Ertl/tpsa.html.

$$
LogBB = -0.0148 (PSA) + 0.152 (ClogP) + 0.139
$$
 (S1)

Inclusion criteria for client owned dogs enrolled in clinical trial. The inclusion criteria for eligible patients were the following: histologically or cytologically confirmed multicentric lymphoma, measurable tumor burden, favorable performance status, a life expectancy of >4 weeks, no previous chemotherapy within 3 weeks of study entry, and no significant co-morbid illness including renal or hepatic failure, history of congestive heart failure, or clinical coagulopathy. In addition, pet owners signed a written informed consent form prior to study entry according to university guidelines.

Pharmacokinetics of PAC-1 and S-PAC-1 in mice. C57/BL6 mice were treated with doses of **PAC-1** and **S-PAC-1** via tail vein injection or by i.p. injection. At specified time points, mice were sacrificed and blood was collected, centrifuged, and the serum was frozen at -80°C until analysis.

Assessment of Serum concentrations of PAC-1 and S-PAC-1. Serum concentrations of **PAC-1** and **S-PAC-1** were determined by HPLC. The proteins in a 50 µL aliquot of serum are precipitated by the addition of 100 µL of acetonitrile and the sample is mixed by vortex and centrifuged to remove the proteins. The resulting supernatant is evaporated to dryness with a SpeedVac. The solid is then reconstituted in a mobile phase of 55% methanol with 1% acetic acid. The sample was then run on an Agilent 1100 HPLC with DAD and a Phenomenex Synergi 4u Polar-RP reverse phase column. Separation was achieved with a 15 minute isocratic separation at a flow rate of 0.8 ml/min and analytes were detected by absorbance at 285 nm. The resulting peaks from **PAC-1** or **S-PAC-1** were analyzed and quantitated by comparison to a calibration curve.

Evaluation of basal procaspase-3 levels in dogs with lymphoma. For *ex vivo* procaspase-3 measurement in spontaneously arising lymphoma cells, peripheral lymph nodes of canine lymphoma patients were aspirated using a 20 gauge needle. The cells were immediately flushed into a microcentrifuge tube containing 1 mL cold PBS and equally separated into 2 microcentrifuge tubes. One sample aliquot was incubated with rabbit IgG antibody to serve as an isotype control, and the remaining sample aliquot was used for measurement of procaspase-3 concentration within the cells. All cells were then washed in 2 mL cold PBS. After centrifugation and aspiration, a cell pellet could be detected at the base of each microcentrifuge tube, and 2.5 mL lysis buffer was added to lyse existing red blood cells. Following centrifugation and removal of supernatant, cells were resuspended in 1 mL of fixation/permeabilization working solution (eBioscience, San Diego, CA) and allowed to incubate for 2 hours at 4°C. After permeabilization was complete, the cells were washed twice with permeabilization buffer. The cells were lightly vortexed and incubated with either 1:25 concentration of rabbit anti-mouse procaspase-3 antibody (Epitomics, Burlingame, CA) or rabbit IgG antibody (Santa Cruz Biotechnology, Santa Cruz, CA) as an isotype control. Cells were incubated at 4° C in the dark for 30 minutes, and then washed again in 2 mL of permeabilization buffer. After centrifugation and aspiration, the cells were exposed to 1:10 dilution of secondary sheep anti-rabbit IgG:RPE antibody (AbD Serotec, Raleigh, NC) for 30 minutes at 4° C, then analyzed by flow cytometry.

Quantification of cleaved caspase-3 in response to S-PAC-1 treatment. For assessing the mechanistic activity of **S-PAC-1**, peripheral lymph nodes of canine lymphoma patients were aspirated using a 20 gauge needle before and after **S-PAC-1** constant rate infusion. Upon collection, malignant lymphoma cells were immediately flushed into a microcentrifuge tube containing 1 mL cold PBS. After centrifugation and aspiration, excess red blood cells were removed by the addition of red blood cell lysis buffer. Following centrifugation and removal of supernatant, cells were resuspended in 1 mL of fixation/permeabilization working solution (eBioscience, San Diego, CA) and allowed to incubate for 30 minutes at 4°C. After permeabilization was complete, the cells were washed twice with permeabilization buffer. The cells were lightly vortexed and incubated with rabbit anti-human cleaved caspase-3, Alexa Fluor 488 conjugated antibody (Cell Signaling Technology, Danvers, MA) for 30 minutes in the dark, then analyzed by flow cytometry.

Supporting Figures

Figure S1

Figure S1. Target validation and modulation in dogs 1 and 2. A, The presence of procaspase-3 in lymph node aspirates from dogs 1 and 2 prior to drug administration was validated by staining with an anti-procaspase-3 antibody. Samples were analyzed by flow cytometry and procaspase-3 levels (gray shaded area) were compared to control samples that were stained with an isotype control (open area). B, Before treatment (open

area) and seven days after administration of **S-PAC-1** (shaded area), lymph node aspirates were collected and analyzed by flow cytometry using an antibody for cleaved caspase-3.

Supporting Tables

(+++) severe neurotoxicity

ND, Not Determined

n=3 for each condition

Table S1. Observed neurotoxicity of **PAC-1** and **S-PAC-1** in C57/BL6 mice.

Table S2

A)

B)

 \sim

WBC-white blood cell, ALT- alanine transferase, BUN- blood urea nitrogen, ALP- alkaline phosphatase

Table S2. Select hematologic and non-hematologic parameters of A, healthy research dogs treated with single dose (25 mg/kg) of **S-PAC-1** (n=4); B, Healthy research dogs treated with a continuous rate infusion (see manuscript text) of **S-PAC-1** for 24 hours (n=3); C, Dogs with lymphoma treated with **S-PAC-1** in a 24 hour continuous rate infusion every week for 4 consecutive treatments (n=3); and D, Dogs with lymphoma treated with **S-PAC-1** in a 72 hour constant rate infusion every other week for 2 consecutive treatments (n=3). Day zero represents the pre-treatment values. Treatments began on day zero after blood collection and proceeded as described above. For all values the error represents the SEM.

Chemical Information

Materials and Methods

*General—*All reactions requiring anhydrous conditions were conducted under a positive atmosphere of nitrogen or argon in oven-dried glassware. Standard syringe techniques were used for anhydrous addition of liquids. Dry tetrahydrofuran was obtained by passing over activated alumina columns or molecular sieves in a commercial solvent purification system (Innovative Technologies). Unless otherwise noted, all starting materials, solvents, and reagents were acquired from commercial suppliers and used without further purification. Flash chromatography was performed using 230-400 mesh silica gel. Compound **1**, (9) **2,** (1) and **5** (10) were prepared according to the literature methods, in which **5** was synthesized with slight modification as shown below. **PAC-1** was synthesized as previously reported (1).

Compound Analysis—All NMR experiments were recorded either in D₂O (Sigma), CD₃OD (sigma) or Acetone-*d6* (Sigma) on a Varian Unity 400 MHz or 500 MHz spectrometer with residual undeuterated solvent as the internal reference. Chemical shift, δ (1, 11); coupling constants, *J* (Hz); multiplicity (s = singlet, d = doublet, $t =$ triplet, $q =$ quartet, $m =$ multiplet); and integration are reported. High-resolution mass spectral data was recorded on a Micromass Q-Tof Ultima hybrid quadrupole/time-of-flight ESI mass spectrometer at the University of Illinois Mass Spectrometry Laboratory. All melting points are uncorrected. LC-MS performed on a C18 column, 2.1x5 mm, mobile phase A is 0.1% TFA in H₂O, B is acetonitrile using a gradient system with constant 0% B over 0-2 min, then 0-50% B from 2-5 min, then 50-100% B over 5-7 min, constant 100% over 7-8 min, and from100-0% over 8-10.

Synthesis of S-PAC-1. The synthetic route to **S-PAC-1** is shown in scheme S1 and S2; this route is a modification of the synthetic route to **PAC-1** previously reported (1). Briefly, 4- (bromomethyl)benzenesulfonamide (**1**) is coupled with piperazino-ester **2** to provide intermediate **3** in good

yield. Compound **3** is then reacted with hydrazine to produce hydrazide **4,** which is condensed with aldehyde **5** to furnish **S-PAC-1**. Notably, the reaction sequence is highly scalable; indeed, the yields listed in Scheme S2 are for production of 40 grams of **S-PAC-1**. Critical to this scale-up was the development of facile purification protocols for each intermediate. Thus, compound **3** is purified via recrystallization from ethanol, hydrazide **4** is purified through recrystallization from methanol, and the final product is purified through silica gel chromatography and recrystallization from methanol. Over 160 g of **S-PAC-1** were successfully produced after conducting this synthesis four times on a 40 gram scale. Details of a milligram scale synthesis of **S-PAC-1** may also be found in the Scheme S1.

Scheme S1. Milligram-scale synthesis of **S-PAC-1.**

Scheme S2. Gram-scale synthesis of **S-PAC-1.**

Ethyl 2-(4-(4-sulfamoylbenzyl)piperazin-1-yl)acetate (3)

To a stirred mixture of $1(108 \text{ g}, 440.7 \text{ mmol}, 1.4 \text{ equiv.})$ and $K_2CO_3(132.4 \text{ g}, 958.2 \text{ mmol}, 3 \text{ equiv.})$ in 3:2 THF/acetone (2192 mL in total) was added ethyl 2-(piperazin-1-yl)acetate, **2** (79.9 g, 319.4 mmol, 1 equiv.). The reaction was refluxed for 24 h monitoring by TLC. The solution was filtered and the solid was washed with acetone (80 mL). The filtrate was concentrated *in vacuo*, and then purified by recrystallization in ethanol to afforded **3** (57.4 g, 60%) as light yellow solid. On the milligram-scale, the crude product was purified by flash column chromatography on silica gel (1:4 MeOH/EtOAc). ¹H-NMR (500 MHz, CD₃OD): δ 7.86 (d, *J* = 8.0 Hz, 2H), 7.52 (d, *J* = 8.0Hz, 2H), 4.17 (q, *J* = 7.1Hz, 2H), 3.61 (s, 2H), 3.24 (s, 2H), 2.58 (broad d, $J = 50.4$ Hz, 8H), 1.26 (t, J = 7.1Hz, 3H). ¹³C NMR (126 MHz, CD₃OD): δ 170.4, 142.8, 142.4, 129.7, 126.0, 61.9, 60.6, 58.5, 52.5, 52.4, 13.3. HRMS (ESI): found: 342.1487 (M+1); calcd for $C_{15}H_{24}N_3O_4S$: 342.1488. m.p.: 153.0 – 154.5. IR (neat): 3319, 1739, 1160 cm⁻¹.

4-((4-(2-hydrazinyl-2-oxoethyl)piperazin-1-yl)methyl)benzenesulfonamide (4)

 To a stirred solution of **3** (110.9 g, 324.8 mmol, 1 equiv.) in 2:1 ethanol/methanol (650 mL in total, 0.5 M) was added anhydrous hydrazine (30.6 mL, 974.5 mmol, 3 equiv.). The reaction was refluxed for 16 h monitoring by TLC (1:1 MeOH/EtOAc). The reaction mixture was concentrated *in vacuo*. The residue was dissolved in CH_2Cl_2 and washed with water (100 mL) and brine (100 mL). The aqueous layer was extracted with CH_2Cl_2 (3 x 80 mL) and EtOAc (80 mL). The combined organic layer was dried over Na₂SO₄, filtered and concentrated *in vacuo*. Purification with recrystallization in methanol gave **4** (96.3 g, 91%) as white solid. On the milligram-scale, the reaction was run in ethanol (0.06 M). ¹H NMR (500 MHz, CD₃OD): δ 7.85 (d, *J* = 8.2Hz, 2H), 7.51 (d, $J = 8.2$ Hz, 2H), 3.61 (s, 2H), 3.04 (s, 2H), 2.54 (broad s, 8H).¹³C NMR (126 MHz, CD3OD): δ 170.2, 142.8, 142.4, 129.7, 126.0, 61.9, 59.8, 53.0, 52.6. HRMS (ESI): found: 328.1436 (M+1); calcd for C₁₃H₂₂N₅O₃S: 328.1443. m.p.: 194.5 – 196.0. IR (neat): 3320, 1670, 1158 cm⁻¹.

3-Allyl-2-hydroxybenzaldehyde (5)

The synthesis of 5 was performed according to literature⁵ with modification. To a stirred solution of salicylaldehyde (87.3 mL, 818.9 mmol, 1 equiv.) in DMF (150 mL), allyl bromide (85.1 mL, 982.6 mmol, 1.2 equiv.) and potassium carbonate (147.1 g, 1065 mmol, 1.3 equiv.) were added. The reaction mixture was stirred at room temperature for 3 hours. Water (700 mL) was added to the reaction mixture, and extracted with ethyl acetate (300 mL x 2). The organic fraction was washed with water (200 mL x 2), 0.1 M potassium hydroxide (200 mL x 2) and then brine (200 mL x 2), dried over MgSO4 and filtered. Crude **7** was obtained after further drying under high vaccum, which was then microwaved at constant 100W for 16 min (max. temp. $= 200$ °C, CEM DISCOVERTM system). Purification with flash column chromatography on silica gel (1:9) EtOAc/Hexanes) gave **5** (93.0 g, 70% over two steps) as light yellow oil.

(E)-4-((4-(2-(2-(3-allyl-2-hydroxybenzylidene)hydrazinyl)-2-oxoethyl)piperazin-1 yl)methyl)benzenesulfonamide (S-PAC-1)

 To a stirred solution of **5** (28.8 g, 177.3 mmol, 1 equiv.) in 1:2 acetonitrile/methanol (1180 mL in total, 0.15 M), **4** (98.7 g. 301.4 mmol, 1.7 equiv.) and HCl (7 mol%) were added. The reaction was refluxed for 48 h monitoring by TLC. The solution was concentrated *in vacuo*. The crude product was purified by flash column chromatography on silica gel (1:4 MeOH/EtOAc), and followed by recrystallization in methanol to afford S-PAC-1 (45.9 g, 55%) as off-white solid. On the milligram-scale, the reaction was run in ethanol (0.02 M). ¹H NMR (500 MHz, (CD3)2CO): δ 11.86 (s, 1H), 10.79 (s, 1H), 8.51 (s, 1H), 7.85 (d, *J* = 8.2Hz, 2H), 7.53 (d, *J* = 8.2Hz, 2H), 7.19 (d, *J* = 7.6Hz, 2H), 6.86 (t, *J* = 7.6Hz, 1H), 6.56 (s, 2H), 6.02 (tdd, 1H, J=6.7Hz, J=10.1Hz, J=16.9Hz), 5.04 (m, 2H), 3.60 (s, 2H), 3.42 (d, *J* = 6.7Hz, 2H), 3.18 (s, 2H) 2.57 (broad d, *J* = 46.5Hz, 8H). ¹³C NMR (126 MHz, (CD3)2CO): δ 165.7, 156.4, 150.0, 143.4, 143.2, 136.9, 131.8, 129.32, 129.30, 127.9, 126.2, 119.1, 117.8, 115.1, 62.0, 61.1, 53.7, 52.9, 33.8. HRMS (ESI): found: 472.2014 (M+1); calcd for C₂₃H₃₀N₅O₄S: 472.2019. m.p.: 108.5 – 111.0. IR (neat): 3227, 1684, 1606, 1157 cm⁻¹. Purity: >99.5% (LC-MS).

4-(piperazin-1-ylmethyl)benzenesulfonamide (**6**)

 Anhydrous piperazine (860 mg, 10.0 mmol, 5 equiv.) was added to THF (10 mL), and the mixture was heated to reflux until the piperazine was fully dissolved. To the solution **1** (500 mg, 2.0 mmol, 1 equiv.) was added. The reaction mixture was refluxed for 2.5 h monitoring by TLC. The reaction mixture was neutralized with 1M KOH solution, and then concentrated *in vacuo*. Purification with flash column chromatography on silica gel (1:4 MeOH/EtOAc) afforded **6** (250 mg, 49%) as light yellow semi-solid. ¹H NMR (400 MHz, D₂O/(CD₃)₂CO): δ 7.84 (d, *J* = 8.4Hz, 2H), 7.51 (d, *J* = 8.4Hz, 2H), 3.69 (s, 2H), 3.42 (s, 2H), 3.22 (m, 4H), 2.74 (m, 4H), 1.87 (apparent s, 1H). ¹³C NMR (126 MHz, CDCl3): δ 140.93, 140.87, 131.0, 126.3, 61.1, 49.0, 43.13. HRMS (ESI): found: 256.1114 (M+1); calcd for $C_{11}H_{18}N_3O_2S$: 256.1120. IR (neat): $3142, 1158$ cm⁻¹.

References

- 1. Putt KS, Chen GW, Pearson JM*, et al.* Small molecule activation of procaspase-3 to caspase-3 as a personalized anticancer strategy. Nature Chem Biol 2006;2:543-50.
- 2. Peterson QP, Goode DR, West DC, Botham RC, Hergenrother PJ. Preparation of the caspase-3/7 substrate Ac-DEVD-pNA by solution-phase peptide synthesis. Nat Protoc 2010;5(2):294-302.
- 3. Brewster ME, Simpkins JW, Hora MS, Stern WC, Bodor N. The potential use of cyclodextrins in parenteral formulations. J Parenter Sci Technol 1989;43(5):231-40.
- 4. Clark DE. In silico prediction of blood-brain barrier permeation. Drug Discov Today 2003;8(20):927- 33.
- 5. Huang S, Clark RJ, Zhu L. Highly sensitive fluorescent probes for zinc ion based on triazolylcontaining tetradentate coordination motifs. Org Lett 2007;9(24):4999-5002.
- 6. Patton C, Thompson S, Epel D. Some precautions in using chelators to buffer metals in biological solutions. Cell Calcium 2004;35(5):427-31.
- 7. Peterson QP, Hsu DC, Goode DR, Novotny CJ, Totten RK, Hergenrother PJ. Procaspase-3 activation as an anti-cancer strategy: structure-activity relationship of procaspase-activating compound 1 (PAC-1) and its cellular co-localization with caspase-3. J Med Chem 2009;52(18):5721-31.
- 8. Peterson QP, Goode DR, West DC, Ramsey KN, Lee J, Hergenrother PJ. PAC-1 Activates Procaspase-3 in Vitro through Relief of Zinc-Mediated Inhibition. J Mol Biol 2009;388:144-58.
- 9. Naganawa A, Matsui T, Ima M*, et al.* Further optimization of sulfonamide analogs as EP1 receptor antagonists: synthesis and evaluation of bioisosteres for the carboxylic acid group. Bioorg Med Chem 2006;14(21):7121-37.
- 10. Dauzonne D, Folléas B, Martinez L, Chabot GG. Synthesis and in vitro cytotoxicity of a series of 3 aminoflavones. Eur J Med Chem 1997;32(1):71-82.
- 11. Neve RM, Chin K, Fridlyand J*, et al.* A collection of breast cancer cell lines for the study of functionally distinct cancer subtypes. Cancer Cell 2006;10(6):515-27.

S21 21

13C NMR, $CD₃OD$

1H NMR, $CD₃OD$

S23 23

13C NMR, $CD₃OD$

S24 24

 \mathcal{S} <u>Տ</u>

ppm (f1)

13C NMR, $(CD₃)₂CO$

1H NMR, $D_2O/(CD_3)_2CO$

S27 27

13C NMR, $D_2O/(CD_3)_2CO$

S28 28