Direct Mannich Reaction with Malonates and Simple Imines by Bifunctional Cinchona Alkaloid Catalysts: Enantioselective Synthesis of β-Amino Acids

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**General Information:**  $^{1}$ H and  $^{13}$ C NMR spectra were recorded on a Varian instrument (400 MHz and 100 MHz, respectively) and internally referenced to tetramethylsilane signal or residual protio solvent signals. Data for  $^{1}$ H NMR are reported as follows: chemical shift ( $\delta$ , ppm), multiplicity (s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet), coupling constant (Hz) and integration. Data for  $^{13}$ C NMR are reported in terms of chemical shift ( $\delta$ , ppm). Infrared spectra were recorded on a Perkin Elmer FT-IR Spectrometer and are reported in frequency of absorption (cm $^{-1}$ ). Low resolution mass spectra for all the new compounds were performed by 70SE CI+, and exact mass spectra were recorded on a 70-VSE-B high resolution mass spectrometer. Specific rotations were measured on a Jasco Digital Polarimeter.

High performance liquid chromatography (HPLC) analysis was performed on a Hewlett-Packard 1100 Series instrument equipped with a quaternary pump, using a Daicel Chiralcel OJ, OD Column (250 x 4.6 mm) or Chiralpak AD, AS Column (250 x 4.6 mm) or Regis Pirckle covalent (*R*, *R*) Whelk-O 1 Column (250 x 4.6 mm). UV absorption was monitored at 220 nm.

#### **Materials:**

Catalysts Q-1a, Q-1b, Q-1d and QD-1d were prepared according to literature procedures. Catalysts 2 and Q-1c were prepared according to literature procedures.

Aryl *N*-Boc-Imines **4A-4L** were prepared according to literature procedures.<sup>3</sup>

Malonates 5a, 5b and  $\beta$ -ketoester 8a were purchased from Aldirch Inc. Malonate 5c was purchased from Pfaltz & Bauer, Inc.;  $\beta$ -ketoesters 8b and 8c were prepared according to literature procedures.<sup>4</sup>

ACS grade Acetone was used without further purification. Methylene chloride was freshly distilled from calcium hydride under nitrogen atmosphere.

All the commercial reagents were used as received.

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<sup>&</sup>lt;sup>1</sup> Vakulya, B.; Varga, S.; Csámpai, A.; Soós, T. Org Lett. 2005, 7, 1967

<sup>&</sup>lt;sup>2</sup> Li, B.; Jiang, L.; Liu, M.; Chen, Y.; Ding, L.; Wu, Y. Synlett **2005**, 4, 603

<sup>&</sup>lt;sup>3</sup> Wenzel, A. G.; Jacobsen, E. N. J. Am. Chem. Soc. **2002**, 124, 12964

<sup>&</sup>lt;sup>4</sup> Dezile, R.; Endo, M. US Patent (**1989**), NO. 4831043

#### 1. Preparation of Catalyst 3

a) PhNTf2, NEt3, CH2Cl2; b) Pd(OAc)2, BINAP, Cs2CO3, THF, Ph2C=NH; c) NH2OH HCl, THF, d) 3,5-(CF3)2PhNCS, THF

The synthesis of **3** was accomplished by using the procedure described by Corey and Zhang.<sup>5</sup> The starting material β-ICD was prepared according to reported procedure.<sup>6</sup>

**¦ÂICD-1** 

To a solution of β-ICD (1.24 g, 4.0 mmol) and *N*-phenylbis(trifluoromethanesulfonimide) (1.71g, 4.8 mmol) in anhydrous methylene chloride (40 mL), was added triethylamine (1.01g, 10 mmol) at room temperature. After stirred at room temperature for 15 h, the reaction mixture was transferred to a separation funnel, washed with saturated sodium carbonate aqueous solution (50 mL) and brine (50 mL). The organic layer was collected, dried over anhydrous sodium sulfate and concentrated under reduced pressure. Silica gel flash chromatography of the residue (3% MeOH and 1%

NH<sub>3</sub>·H<sub>2</sub>O in EtOAc) gave crude  $\beta$ -ICD-1 as yellow sticky oil (1.25 g). The crude product was used directly for the next step without further purification.

**|ÂICD-2** 

The crude β-ICD-1 (1.25 g), palladium acetate (128 mg, 0.57 mmol), BINAP (175 mg, 0.275 mmol), cesium carbonate (1.38 g, 4.23 mmol), and benzophenone imine (1.03 g, 5.7 mmol) were suspended in THF (35 mL). The reaction mixture was refluxed with stirring for 24 h. Then the reaction mixture was cooled to room temperature, diluted with EtOAc (20 mL), washed with 5% sodium carbonate aqueous solution (30 mL) and brine (30 mL). The organic layer was collected, dried over anhydrous sodium sulfate and concentrated under reduced

<sup>&</sup>lt;sup>5</sup> Corey, E. J.; Zhang, J. Org. Lett. **2001**, *3*, 3211

<sup>&</sup>lt;sup>6</sup> Iwabuchi, Y.; Nakatani, M.; Yokoyama, N.; Hatakeyama, S. J. Am. Chem. Soc. 1999, 121, 10219

pressure to yield crude imine that was hydrolyzed without further purification. To the solution of the residue (1.05 g) in methanol (25 mL), was added NH<sub>2</sub>OH·HCl (306 mg, 4.4 mmol) and NaOAc (541 mg, 6.6 mmol). The reaction mixture was stirred for 3 h at room temperature. Then the reaction mixture was concentrated and extracted with saturated Na<sub>2</sub>CO<sub>3</sub> (aq.) and CH<sub>2</sub>Cl<sub>2</sub> (v : v = 1:1, 20 mL, 8 times). All extractions were combined. Organic layers were collected, washed with brine (60 mL), dried over anhydrous sodium sulfate and concentrated. Pure β-ICD-2 was obtained as a red foam by flash chromatography (silica gel: EtOAc: MeOH:  $NH_3$ :  $H_2O = 90$ : 6:1) (524 mg, 1.7) mmol, 43% yield from  $\beta$ -ICD).  $[\alpha]_D^{25} = 141.2$  (c = 0.72, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.03 (t, J = 8.0 Hz, 3H), 1.26 (q, J = 6.0 Hz, 1H), 1.46-1.84 (m, 4H), 2.14-2.16 (m, 1H), 2.70 (d, J = 13.6 Hz, 1H), 3.02-3.06 (m, 2H), 3.36 (brs, 1H), 3.50-3.62 (m, 2H), 4.12 (s, 2H), 5.88 (s, 1H), 7.06-7.14 (m, 2H) 7.62 (d, J = 4.4 Hz, 1H), 7.90 (d, J = 8.4 Hz, 1H), 8.66 (d, J = 4.4 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  7.31, 23.39, 24.03, 27.34, 32.76, 46.62, 54.63, 56.29, 73.00, 77.07, 102.34, 119.04, 120.83, 126.77, 131.45, 141.44, 142.87, 144.97, 146.38; **IR** (neat) v 3325, 2962, 1621, 1514; **HRMS**: calc'd for (M+H)<sup>+</sup>  $C_{19}H_{24}N_3O_6$ : 310.1919; found 310.1916.

To a solution of β-ICD-2 (260 mg, 0.85 mmol) in THF (10 mL) was added 3,5-bis-trifluoromethylphenyl isothiocyanate (255 mg, 0.94 mmol) and the reaction mixture was stirred for 16 h at room temperature. The reaction mixture was concentrated and the crude product was purified by flash chromatograph (silica gel: EtOAc: MeOH: NH<sub>3</sub>·H<sub>2</sub>O = 100: 2: 1). This yielded 3 as white foam (410 mg, 0.71 mmol, 84% yield).  $[\alpha]_p^{25}$  =75.7 (c =

0.63, CHCl<sub>3</sub>); <sup>1</sup>**H NMR** (400 MHz, CD<sub>3</sub>OD)  $\delta$  1.04 (t, J = 7.2 Hz, 3H), 1.32-1.42 (m, 1H), 1.50-1.62 (m, 1H), 1.62-1.82 (m, 4H), 2.12-2.18 (m, 1H), 2.80-2.86 (m, 1H), 3.00-3.10 (m, 2H), 3.30 (brs, 1H), 3.45-3.60 (m, 2H), 4.91 (s, 2H), 5.94 (s, 1H), 7.69 (s, 1H), 7.80-7.84 (m, 1H), 7.90-8.08 (m, 3H), 8.25 (s, 1H), 8.83 (d, J = 4.4 Hz, 1H); <sup>13</sup>**C NMR** (100 MHz, CD<sub>3</sub>OD)  $\delta$  6.39, 23.86, 23.33, 27.11, 32.96, 46.10, 53.82, 56.75, 72.82, 77.41, 117.21, 119.63, 122.18, 123.55, 124.88, 125.84, 127.98, 129.36, 131.48 (q, J = 34 Hz), 137.78, 141.92, 145.35, 149.36, 181.53; **IR** (neat) v 2918, 1614, 1277, 1120, 1174; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>28</sub>H<sub>27</sub>N<sub>4</sub>OSF<sub>6</sub>: 581.1810; found 581.1812.

## 2. Catalyst Screening

**1a** Ar = 4-*t*-Bu-Ph-; **1b** Ar = 2-*i*-Pr-Ph-; **1c** Ar = Ph-; **1d** Ar = 3,5-*bis*CF<sub>3</sub>Ph-

**2,3**: Ar = 3,5-bisCF<sub>3</sub>Ph-

Figure 1. C6' or C9 thiourea cinchona alkaloid derivatives.

Boc 
$$H$$
 +  $CH_2(COOMe)_2$   $to COOMe$   $to COOMe$   $to COOMe$   $to COOMe$   $to COOMe$   $to COOMe$   $to COOMe$ 

entry cat.		temp (°C)	solvent	conv./%b	ee/% <sup>c</sup>	
1	Q- <b>1a</b>	RT	CH <sub>2</sub> Cl <sub>2</sub>	>98	57	
2	Q- <b>1b</b>	RT		>98	43	
3	Q-1c	RT		>98	62	
4	Q-1 <b>d</b>	RT		>98	77	
5	QD- <b>1d</b>	RT		>98	-74	
6	2	RT	$CH_2CI_2$	>98	65	
7	3	RT	$CH_2CI_2$	>98	72	
8	Q- <b>1d</b>	RT	CH <sub>3</sub> CN	>98	83	
9	Q- <b>1d</b>	RT	Acetone	>98	77	
10	Q-1 <b>d</b>	-20		>98	80	
11	Q-1 <b>d</b>	-20	CH₃CÑ	>98	74	
12	Q-1 <b>d</b>	-20	Acetone	>98	85	
13 <sup>d</sup>	Q- <b>1d</b>	-60	Acetone	>98	93	
14 <sup>d</sup>	Quinidine	-60	Acetone	>98	25	
15 <sup>d</sup>	3	-60	Acetone	>98	0	

<sup>&</sup>lt;sup>a</sup> Unless noted, reactions were run with 0.05 mmol of **4D**, 0.15 mmol of **5a** in 0.10 mL of solvent with 10 mol% catalyst at room temperature for 16 h. <sup>b</sup> Determined by <sup>1</sup>H NMR analysis. <sup>c</sup> Determined by HPLC analysis. <sup>d</sup> Reaction was run with catalyst (20 mol%) at -60 °C for 24 h.

#### 3. General procedure for the preparation of N-Boc imine 4M, 4N, 4O

NHBoc 
$$Cs_2CO_3$$
,  $CH_2CI_2$  NBoc  $R$  room temperature  $R$ 

To a round bottom flask (50 mL) was added cesium carbonate (1.64 g, 10.0 mmol). The solids were placed under vacuum and flame-dried. Once cool, anhydrous methylene chloride (10 mL) was added under a positive stream of nitrogen, followed by the addition of *N*-(*tert*-butoxycarbonyl)–α-(phenylsulfonyl)alkylamine (1.0 mmol)<sup>3</sup>. The reaction mixture was stirred at room temperature for 4 to 10 h. Then reaction mixture was cooled to 0 °C by ice-water bath, diluted with hexanes (10 mL, pre-cooled with ice-water bath). The organic layer was washed with water (10 mL, twice, precooled with ice-water bath), brine (10 mL) and dried over anhydrous sodium sulfate. Solvent was removed by rotavap under reduced pressure (water bath temperature was kept below 15 °C) to give pure *N*-Boc imine. The *N*-Boc imine was used for Mannich reaction immediately.

Boc (4M) Reaction was performed in 1.0-mmol scale using the general procedure. After 4 h, 4M was isolated as a colorless liquid (124 mg, 79%). H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.16 (t, J = 7.2 Hz, 3H), 1.53 (s, 9H), 2.43 (brs, 2H), 8.32 (brs, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  8.67, 27.73, 29.42, 81.96, 161.98, 175.75; IR (neat) v 2928, 1721, 1667, 1160;

Boc (4N) Reaction was performed in 2.0-mmol scale using the general procedure. After 7 h, 4N was isolated as a colorless liquid (327 mg, 89%).  $^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.93 (t, J = 7.6 Hz, 3H), 1.39 (q, J = 7.2 Hz, 2H), 1.53 (s, 9H), 1.60 (m, 2H), 2.40 (brs, 2H), 8.31 (brs, 1H);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  13.62, 22.15, 26.72, 27.67, 35.78, 81.80, 161.98, 175.48; IR (neat) v 2962, 2928, 1723, 1666, 1456, 1364, 1253, 1160;

Boc (40) Reaction was performed in 5.0-mmol scale using the general procedure. After 10 h, 40 was obtained as a colorless oil (1.01 g, 96%). The spectrum data was identical to the reported value<sup>7</sup>.

<sup>7</sup> After the submission of this paper, a similar procedure to make **40** was reported online. Trost, B. M.; Jaratjaroonphong, J.; Reutrakul, V. *J. Am. Chem. Soc.* **2006**, *128*, 2778

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4. General Procedure for Enantionselective Mannich Reaction of Malonate 5 to Aryl Imine 4:

To a solution of imine 4 (0.20 mmol) and chiral catalyst (20 mol%) in acetone (0.40 mL) at -60 °C, was added malonate 5 (0.30 mmol) in one portion. The resulting mixture was kept at -60 °C for 36 h. The reaction mixture was diluted with ethyl ether (5 ml) and allowed to pass through a short silica gel column. Then the filtrate was concentrated and purified by silica gel flash chromatography using the solvent specified below.

HN Boc (+)-6Aa This product was obtained as a white solid (61 mg) in 90% yield after flash chromatography (silica gel: Ethyl ether / Hexane = 
$$1/5$$
) and in 97% ee as determined by HPLC [Daicel Chiralpak AD, Hexane / IPA = 90 / 10, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 16.15 min,  $t_r$  (minor) = 21.51 min] from a reaction catalyzed by QD-1d (20 mol%) at -60 °C for 36 h. [α]<sub>D</sub><sup>25</sup> = 16.8 ( $c$  = 0.90, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.42 (s, 9H), 3.63 (s, 3H), 3.73 (s, 3H), 3.94 (s, 1H), 5.49 (brs, 1H), 6.17 (brs, 1H), 7.20-7.40 (m, 5H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 28.14, 52.41, 52.74, 53.27, 56.55, 79.62, 126.08, 127.53, 128.49, 139.27, 154.98, 167.44, 168.27; IR (neat) v 3387, 1719, 1497, 1246, 1164 ; HRMS: calc'd for (M+Na)<sup>+</sup>  $C_{17}H_{23}NO_6Na$ : 360.1423; found 360.1420.

(-)-6Aa This product was obtained as a white solid in 91% yield and 93% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

COOBn (+)-6Ab This product was obtained as a colorless oil (98 mg) in 99% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1/15) and in 96% ee as determined by HPLC [Regis Pirckle Covalent (
$$R$$
,  $R$ ) Whelk-O 1, Hexane / IPA = 99 / 1, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm, t<sub>r</sub> (major) = 14.89 min, t<sub>r</sub> (minor) = 12.49 min] from a reaction catalyzed by QD-1d (20 mol%) at -60 °C for 36 h. [α]<sub>D</sub><sup>25</sup> = 14.0 ( $c$  = 0.98, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.41 (s, 9H), 4.01 (s, 1H), 5.04 (s, 2H), 5.13 (dd,  $J$  = 8.0 Hz, 12.0 Hz, 2H), 5.56 (brs, 1H), 6.20 (brs, 1H), 7.06-7.12 (m, 2H), 7.20-7.36 (m, 13H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 28.22, 53.41, 56.81, 67.21, 67.61, 79.65, 126.15, 127.55, 127.98, 128.21, 128.25, 128.35, 128.43, 128.52, 128.53, 134,78, 134.90, 139.21, 154.98, 166.78, 167.76; IR (neat)  $\nu$  3423, 1720, 1497, 1254, 1162; HRMS: calc'd for (M+H)<sup>+</sup> C<sub>29</sub>H<sub>32</sub>NO<sub>6</sub>: 490.2230; found 490.2227.

**(-)-6Ab** This product was obtained as a colorless oil in 99% yield and 94% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)-6Ac This product was obtained as a colorless oil (71 mg) in 91% yield after flash chromatography (silica get: Ethyl acetate / Hexane = 1 / 6) and in 98% ee as determined by HPLC [Daicel Chiralpak AS, Hexane / IPA = 98 / 2,  $1.0 \text{ ml} \cdot \text{min}^{-1}$ ,  $\lambda = 220 \text{ nm}$ ,  $t_r$  $(major) = 12.63 \text{ min}, t_r (minor) = 11.00 \text{ min} ] \text{ from a reaction}$ catalyzed by OD-1d (20 mol%) at -60 °C for 36 h.  $|\alpha|_{D}^{25} = 12.3$ 

 $(c = 1.22, \text{CHCl}_3)$ ; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.41 (s, 9H), 3.98 (s, 1H), 4.42-4.72(m, 4H), 5.08-5.18 (m, 2H), 5.20-5.38 (m, 2H), 5.53 (brs, 1H), 5.66-5.80 (m, 1H), 5.82-5.94(m, 1H), 6.20 (brs, 1H), 7.20-7.40 (m, 5H);  $^{13}$ C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  28.23, 53.36, 56.82, 66.07, 66.45, 79.64, 116.68, 118.95, 126.20, 127.58, 128.55, 131,05, 131.21, 139.33, 154.96, 166.68, 167.59; **IR** (neat) v 3431, 1722, 1497, 1250, 1166; **HRMS**: calc'd for  $(M+Na)^+$   $C_{21}H_{27}NO_6Na$ : 412.1736; found 412.1727.

(-)-6Ac This product was obtained as a colorless oil in 86% yield and 92% ee from a reaction catalyzed by O-1d (20 mol%) at -60 °C for 36 h.

HN<sup>-</sup>Boc COOBn ĊOOBn 6Bb

(+)-6Bb This product was obtained as a colorless oil (99 mg) in 97% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 15) and in 99% ee as determined by HPLC [Daicel chiralpak AS, Hexane / IPA = 95 / 5, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220nm, t<sub>r</sub>  $(major) = 11.70 \text{ min}, t_r (minor) = 7.50 \text{ min} \text{ from a reaction}$ catalyzed by QD-1d at -60 °C for 36 h.  $[\alpha]_D^{25} = 0.20$  (c = 2.00, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.38 (s, 9H), 2.40 (s, 3H), 3.86 (s, 1H), 5.00-5.22 (m, 4H), 5.72 (brs, 1H), 6.23 (brs, 1H), 7.06-7.20 (m, 6H), 7.20-7.40 (m, 8H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 19.02, 28.22, 50.41, 55.41, 67.27, 67.51, 79.61, 125.48, 126.23, 127.60, 128.03, 128.29, 128.46, 128.52, 130.73, 134.79, 135.02, 137.58, 154.83, 166.76, 167.75; **IR** (neat) v 3422, 1718, 1498, 1164; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>30</sub>H<sub>34</sub>NO<sub>6</sub>: 504.2386; found 504.2382.

(-)-6Bb This product was obtained as a colorless oil in 96% yield and 95% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

HN Boc COOBn COOBn

6Cb

(+)- 6Cb This product was obtained as a colorless oil (103 mg) in 99% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1/12) and in 98% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 90 : 10, 0.5 ml·min<sup>-1</sup>,  $\lambda$ = 220 nm,  $t_r$  (major) = 16.61 min,  $t_r$  (minor) = 14.19 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.  $[\alpha]_{D}^{25} = 15.4$  (c = 2.00,

CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.41 (s, 9H), 2.23 (s, 3H), 4.01 (s, 1H), 5.06 (s, 2H), 5.14 (dd, J = 8.0 Hz, 12.0 Hz, 2H), 5.53 (brs, 1H), 6.18 (brs, 1H), 7.02-7.12 (m, 5H), 7.13-7.20 (m. 2H), 7.23-7.36 (m. 7H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 21.40, 28.23. 53.41, 56.85, 67.16, 67.57, 79.59, 123.12, 126.92, 127.92, 128.20, 128.23, 128.33, 128.42, 128.51, 134,84, 134.94, 138.15, 139.15, 148.81, 149.96, 154.99, 166.83, 167.77; **IR** (neat) v 3431, 1718, 1497, 1165; **HRMS**: calc'd for  $(M+H)^+$   $C_{30}H_{34}NO_6$ : 504.2386; found 504.2383.

(-)-6Cb This product was obtained as a colorless oil in 99% yield and 97% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)-6Db This product was obtained as a colorless oil (92 mg) in 92% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 12) and in 97% ee as determined by HPLC [Regis Pirckle Covalent (R, R) Whelk-O 1, Hexane / IPA = 99 / 1, 0.5 ml·min<sup>-1</sup>,  $\lambda$  = 220nm,  $t_r$  (major) = 15.74 min,  $t_r$  (minor) = 18.03 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.

[ $\alpha$ ]<sub>D</sub><sup>25</sup> = 14.0 (c = 0.98, CHCl<sub>3</sub>); <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.40 (s, 9H), 2.31 (s, 3H), 3.98 (s, 1H), 5.05 (s, 2H), 5.14 (dd, J = 8.0, 12.0 Hz, 2H), 5.51 (brs, 1H), 6.13 (brs, 1H), 7.02-7.17 (m, 7H), 7.22-7.36 (m, 7H), <sup>13</sup>**C NMR** (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.02, 28.26, 53.24, 56.93, 67.20, 67.59, 79.61, 126.06, 128.02, 128.25, 128.35, 128.42, 128.53, 129.24, 134,87, 134.97, 136.23, 137.17, 154.99, 166.86, 167.81; **IR** (neat) v 3430, 2977, 1719, 1498, 1161; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>30</sub>H<sub>34</sub>NO<sub>6</sub>: 504.2386; found 504.2379.

(-)-6**Db** This product was obtained as a colorless oil in 96% yield and 92% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)-6Eb This product was obtained as a white solid (102 mg) in 99% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 12) and in 98% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 90 : 10, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 10.97 min,  $t_r$  (minor) = 8.09 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.

[ $\alpha$ ]<sub>D</sub><sup>25</sup> = 20.3 (c = 1.15, CHCl<sub>3</sub>); <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.40 (s, 9H), 3.96 (s, 1H), 5.00-5.20 (m, 4H), 5.51 (brs, 1H), 6.18 (brs, 1H), 6.88-6.96 (m, 2H), 7.06-7.14 (m, 2H), 7.24-7.36 (m, 10H); <sup>13</sup>**C NMR** (100 MHz, CDCl<sub>3</sub>)  $\delta$  28.18, 52.87, 56.80, 67.29, 67.64, 79.80, 115.36 (d, J = 10.6 Hz), 127.87, 127.96, 128.04, 128.22, 128.34, 128.40, 128.44, 128.52, 134.70, 134.75 (d, J = 5.3 Hz), 154.87, 162.01 (d, J = 122.2Hz), 166.55, 167.62; **IR** (neat) v 3428, 1720, 1226, 1159; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>29</sub>H<sub>31</sub>NFO<sub>6</sub>: 508.2135; found 508.2120.

**(-)-6Eb** This product was obtained as a white solid in 98% yield and 94% ee from a reaction catalyzed by Q-1d (20mol%) at -60 °C for 36 h.

(+)-6Fb This product was obtained as a white solid (103 mg) in 98% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1/15) and in 99% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 90 / 10, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 11.34 min,  $t_r$  (minor) = 8.08 min] from a reaction catalyzed by OD-1d at -60 °C for

36 h.  $[\alpha]_D^{25} = 21.0 \ (c = 0.715, \text{CHCl}_3); \ ^1\text{H NMR} \ (400 \text{ MHz}, \text{CDCl}_3) \ \delta \ 1.40 \ (s, 9\text{H}), 3.95 \ (s, 1\text{H}), 5.05 \ (dd, \textit{J} = 6.4, 12.0 \text{ Hz}, 2\text{H}), 5.14 \ (dd, \textit{J} = 6.0, 12.4 \text{ Hz}, 2\text{H}), 5.50 \ (brs, 1\text{H}), 6.18 \ (brs, 1\text{H}), 7.05-7.12 \ (m, 2\text{H}), 7.15-7.24 \ (m, 2\text{H}), 7.24-7.35 \ (m, 8\text{H}); \ ^{13}\text{C NMR} \ (100 \text{ MHz}, \text{CDCl}_3) \ \delta \ 28.22, 52.95, 56.64, 67.37, 67.74, 79.92, 127.64, 128.08, 128.27, 128.40, 128.46, 128.49, 128.57, 128.69, 133.40, 134.68, 134.79, 137.78, 154.90, 166.54, 167.61;$ **IR**(neat) v 3369, 1721, 1493, 1367, 1251, 1161;**HRMS** $: calc'd for (M+H) <math>^+$  C<sub>29</sub>H<sub>31</sub>NClO<sub>6</sub>: 524.1840; found 524.1832.

(-)-6Fb This product was obtained as a white solid in 97% yield and 91% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

HN Boc (+)-6Gb This product was obtained as a white solid (91 mg) in 81% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 12) and in 97% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 90 / 10, 1.0 ml·min<sup>-1</sup>, 
$$\lambda$$
 = 220 nm, t<sub>r</sub> (major) = 11.13 min, t<sub>r</sub> (minor) = 8.13 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h. [α]<sub>D</sub><sup>25</sup> = 24.5 ( $c$  = 1.05, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.41 (s, 9H), 3.99 (s, 1H), 5.05 (dd,  $J$  = 7.2, 12 Hz, 2H), 5.16 (dd,  $J$  = 7.2, 11.6 Hz, 2H), 5.57 (brs, 1H), 6.27 (brs, 1H), 7.09 (d,  $J$  = 6.0 Hz, 2H), 7.23-7.40 (m, 10H), 7.50(d,  $J$  = 7.2 Hz, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 28.21, 53.18, 56.42, 67.49, 67.86, 80.07, 125.51, 125.55, 126.65, 128.14, 128.31, 128.49, 128.52, 128.60, 129.80 (q,  $J$  = 32 Hz), 134.59, 134.74, 139.91, 143.30, 154.93, 166.45, 167.57; IR (neat) v 3383, 1754, 1736, 1687, 1517, 1327, 1296, 1162, 1124; HRMS: calc'd for (M+H)<sup>+</sup> C<sub>30</sub>H<sub>31</sub>NF<sub>3</sub>O<sub>6</sub>: 558.2103; found 558.2096.

**(-)-6Gb** This product was obtained as a white solid in 82% yield and 93% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)- 6Hb This product was obtained as a colorless oil (96 mg) in 99% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 12) and in 97% ee as determined by HPLC [Daicel Chiralpak AD, Hexane / IPA = 90 / 10, 1.0 ml·min<sup>-1</sup>, 
$$\lambda$$
= 220 nm, t<sub>r</sub> (major) = 16.36 min, t<sub>r</sub> (minor) = 20.40 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h. [α]<sub>D</sub><sup>25</sup> = 5.9 ( $c$  = 0.68, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.41 (s, 9H), 4.18 (s, 1H), 5.04-5.20 (m, 4H), 5.60 (brs, 1H), 5.96 (d,  $J$  = 8.8 Hz, 1H), 6.16-6.18 (m, 1H), 6.22-6.26 (m, 1H), 7.19-7.24 (m, 3H), 7.26-7.38(m, 8H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 28.19, 48.30, 54.24, 67.33, 67.63, 79.87, 106.77, 110.40, 128.13, 128.21, 128.30, 128.34, 128.46, 128.50, 134.88, 141.94, 152.00, 154.91, 166.53, 167.55; IR (neat) v 3421, 1732, 1498, 1163; HRMS: calc'd for (M+H)<sup>+</sup> C<sub>27</sub>H<sub>30</sub>NO<sub>7</sub>: 480.2022; found 480.2019.

**(-)-6Hb** This product was obtained as a colorless oil in 99% yield and 96% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)-6Ib This product was obtained as a colorless oil (94 mg) in 95% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 20) and in 97% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 98 / 2, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 35.13 min,  $t_r$  (minor) = 31.08 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.  $[\alpha]_D^{25}$  = 4.1 (c = 2.07,

CHCl<sub>3</sub>); <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.42 (s, 9H), 4.08 (d, J = 4.0 Hz, 1H), 5.11 (s, 2H), 5.14 (dd, J = 6.8, 12 Hz, 2H), 5.76 (d, J = 4.4 Hz, 1H), 6.16 (d, J = 4.8 Hz, 1H), 6.80-6.88 (m, 2H), 7.18-7.22 (m, 3H), 7.25-7.40 (m, 8H); <sup>13</sup>**C NMR** (100 MHz, CDCl<sub>3</sub>)  $\delta$  28.51, 50.29, 57.03, 67.74, 68.05, 80.21, 124.71, 125.01, 127.16, 128.43, 128.56, 128.64, 128.70, 128.78, 128.84, 135.08, 135.12, 143.69, 155.14, 166.73, 167.92; **IR** (neat)  $\nu$  3424, 1719, 1496, 1163; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>27</sub>H<sub>30</sub>NO<sub>6</sub>S: 496.1794; found 496.1785.

(-)-6**Ib** This product was obtained as a colorless oil in 96% yield and 88% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)-6Jb This product was obtained as a white solid (102 mg) in 98% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 6) and in 97% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 90 / 10, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 13.37 min,  $t_r$  (minor) = 10.33 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.  $[\alpha]_D^{25}$  = 12.1 (c = 1.02, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz,

CDCl<sub>3</sub>)  $\delta$  1.40 (s, 9H), 3.77 (s, 3H), 3.96 (s, 1H), 5.06 (dd, J = 2.8, 12.0 Hz, 2H), 5.13 (dd, J = 5.6, 12.6 Hz, 2H), 5.48 (brs, 1H), 6.11 (brs, 1H), 6.78 (d, J = 8.8 Hz, 2H), 7.08-7.14 (m, 2H), 7.17 (d, J= 8.8 Hz, 2H), 7.24-7.36 (m, 8H); <sup>13</sup>C **NMR** (100 MHz, CDCl<sub>3</sub>)  $\delta$  28.25, 53.00, 55.17, 57.03, 67.21, 67.58, 79.63, 113.89, 127.38, 128.03, 128.24, 128.28, 128.36, 128.44, 128.53, 131.30, 134.87, 134.96, 154.96, 158.89, 166.81, 167.82; **IR** (neat) v 3426, 1720, 1513, 1498, 1249, 1161; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>30</sub>H<sub>34</sub>NO<sub>7</sub>: 520.2335; found 520.2338.

**(-)-6Jb** This product was obtained as a white soild in 97% yield and 93% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.

(+)-6Kb This product was obtained as a white solid (106 mg) in 99% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 7) and in 98% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 90 / 10, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm, t<sub>r</sub> (major) = 12.59 min, t<sub>r</sub> (minor) = 10.79 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.  $[\alpha]_D^{25}$  = 13.9 (c = 1.00, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz,

CDCl<sub>3</sub>)  $\delta$  1.40 (s, 9H), 3.94 (s, 1H), 5.02-5.22 (m, 4H), 5.44 (brs, 1H), 5.89 (s, 2H), 6.14 (brs, 1H), 6.62-6.72 (m, 4H), 6.75 (s, 1H), 7.10-7.16 (m, 2H), 7.22-7.36 (m, 7H);  $^{13}$ C

**NMR** (100 MHz, CDCl<sub>3</sub>)  $\delta$  28.26, 53.22, 56.95, 67.20, 67.55, 79.66, 101.00, 106.83, 108.14, 119.48, 127.99, 128.17, 128.25, 128.32, 128.39, 128.48, 133.17, 134.78, 134.86, 146.88, 147.74, 154.85, 166.62, 167.69; **IR** (neat)  $\nu$  3423, 1720, 1490, 1240, 1164; **HRMS**: calc'd for (M+Na)<sup>+</sup> C<sub>30</sub>H<sub>31</sub>NO<sub>8</sub>Na: 556.1947; found 556.1927.

**(-)-6Kb** This product was obtained as a white soild in 99% yield and 94% ee from a reaction catalyzed by **Q-1d** (20 mol%) at -60 °C for 36 h.

(+)-6Lc This product was obtained as a colorless oil (80 mg) in HN<sup>Boc</sup> 96% yield after flash chromatography (silica gel: Ethyl acetate / COOAllyl Hexane = 1 / 7) and in 96% ee as determined by HPLC [Daicel Chiralpak AS, Hexane / IPA = 98 / 2,  $1.0 \text{ ml} \cdot \text{min}^{-1}$ ,  $\lambda = 220 \text{ nm}$ , COOAllyl  $t_r$  (major) = 13.54 min,  $t_r$  (minor) = 11.52 min] from a reaction catalyzed by QD-1d at -60 °C for 36 h.  $[\alpha]_D^{25} = 10.1$  (c = 0.85, 6Lc CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.40 (s, 9H), 3.96 (s, 1H), 4.44-4.72 (m, 4H), 5.10-5.36 (m, 4H), 5.51 (brs, 1H), 5.66-5.80 (m, 2H), 5.82-5.94 (m, 1H), 6.20 (brs, 1H), 6.68 (dd, J = 6.8, 11.2 Hz, 1H), 7.19 (d, J = 6.8 Hz, 1H), 7.24-7.34 (m, 4H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 28.25, 53.36, 56.79, 66.14, 66.49, 79.72, 114.29, 118.80, 119.00, 124.27, 125.41, 125.66, 128.76, 131.05, 131.21, 136.52, 137.84, 139.67, 154.97, 166.66, 167.61; **IR** (neat) v 3430, 1721, 1497, 1165; **HRMS**: calc'd for  $(M+H)^{+}$  C<sub>23</sub>H<sub>29</sub>NO<sub>6</sub>Na: 438.1893; found 438.1885.

- (-)-6Lc This product was obtained as a white soild in 99% yield and 95% ee from a reaction catalyzed by Q-1d (20 mol%) at -60 °C for 36 h.
- 5. General Procedure for Enantionselective Mannich Reaction of Malonate 5 to Alkyl Imine 4

To a solution of malonate **5b** (0.10 mmol) and QD-**1d** (100 mol%) in dichloromethane (0.30 mL) at 0 °C, was added imine **4** (0.15 mmol) in one portion. The resulting mixture was kept at 0 °C for 16-24 h. Reaction mixture was purified by silica gel flash chromatography using the solvent specified below.

NHBoc (+)-6Mb This product was obtained as a colorless oil (27 mg) in 63% yield after flash chromatography (silica gel: Ethyl ether / methlene chloride = 1 / 150) and in 89% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 98 / 2, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm, t<sub>r</sub> (major) = 18.70 min, t<sub>r</sub> (minor) = 16.15 min] from a reaction catalyzed by QD-1d (100 mol%) at 0 °C for 20 h. Catalyst QD-1d (57 mg, 95%) was recycled. [ $\alpha$ ]<sub>D</sub><sup>25</sup> = 29.2 (c = 1.01, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.89 (t, J = 7.2 Hz, 1H), 1.39 (s, 9H), 1.44-

1.58 (m, 2H), 3.70 (d, J = 4.0 Hz, 1H), 4.15-4.22 (m, 1H), 5.04-5.16 (m, 4H), 5.33 (d, J = 9.6 Hz, 1H), 7.24-7.34 (m, 10H); <sup>13</sup>C **NMR** (100 MHz, CDCl<sub>3</sub>)  $\delta$  10.80, 26.64, 28.29, 51.81, 54.78, 67.21, 67.45, 79.25, 128.22, 128.29, 128.34, 128.54, 128.57, 135.07, 155.45, 167.70, 168.11; **IR** (neat)  $\nu$  3434, 2963, 1733, 1711, 1500, 1162; **HRMS**: calc'd for (M+Na)<sup>+</sup> C<sub>25</sub>H<sub>31</sub>NO<sub>6</sub>Na: 464.2049; found 464.2049.

COOBn (+)-6Nb This product was obtained as a colorless oil (30 mg) in 64% yield after flash chromatography (silica gel: Hexanes / methlene chloride = 1 / 2) and in 92% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA = 98 / 2, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm, t<sub>r</sub> (major) = 16.23 min, t<sub>r</sub> (minor) = 14.31 min] from a reaction with catalyzed by QD-1d (100 mol%) at 0 °C for 24 h. Catalyst QD-1d (59 mg, 98%). [α]<sub>0</sub><sup>25</sup> = 42.5 (c = 1.00, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.84 (t, J = 6.8 Hz, 3H), 1.20-1.38 (m, 4H), 1.41 (s, 9H), 1.45-1.58 (m, 2H), 3.71 (d, J = 3.6 Hz, 1H), 4.22-4.30 (m, 1H), 5.06-5.20 (m, 4H), 5.33 (d, J = 9.6 Hz, 1H), 7.33 (s, 10H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 13.91, 22.30, 28.30, 28.42, 33.20, 50.39, 55.05, 67.20, 67.43, 79.26, 128.29, 128.35, 128.44, 128.55, 128.57, 135.15, 155.36, 167.71, 168.12; IR (neat) v 3424, 1733, 1716, 1496, 1162; HRMS: calc'd for (M+Na)<sup>+</sup> C<sub>27</sub>H<sub>35</sub>NO<sub>6</sub>Na: 492.2362; found 492.2359.

(+)-60b This product was obtained as a colorless oil (55 mg) in NHBoc 55% yield after flash chromatography (silica gel: Ethyl acetate / COOBn methlene chloride = 1 / 300) and in 88% ee as determined by HPLC **COOBn** [Daicel Chiralcel OD, Hexane / IPA = 97 / 3, 1.0 ml·min<sup>-1</sup>,  $\lambda = 220$ nm,  $t_r$  (major) = 10.28 min,  $t_r$  (minor) = 8.25 min] from a reaction with **4O** (0.30 mmol) and **5b** (0.20 mmol) in dichloromethane (600 µl) catalyzed by QD-**1d** (100 mol%) at 0 °C for 16 h. Catalyst QD-1d (117 mg, 98%) was recycled.  $[\alpha]_{D}^{25} = 41.3$  (c = 1.01, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.84-1.14 (m, 4H), 1.41 (s, 9H), 1.48-1.86 (m, 6H), 3.81 (d, J = 4.0 Hz, 1H), 4.07 (dt, J = 3.6, 14.4 Hz, 1H), 5.13 (dd, J = 12.0, 80.0 Hz, 2H), 5.16 $(dd, J = 6.0, 12.0 \text{ Hz}, 2H), 5.55 (d, J = 11.2 \text{ Hz}, 1H), 7.28-7.40 (m, 10H); {}^{13}C NMR (100)$ MHz, CDCl<sub>3</sub>) δ 25.82, 25.86, 25.99, 28.32, 29.52, 30.13, 41.16, 52.81, 55.22, 67.19, 67.61, 79.06, 128.30, 128.36, 128.46, 128.55, 128.60, 135.12, 155.61, 168.21, 168.55; **IR** (neat) v 3434, 2923, 1728, 1713, 1496, 1160; **HRMS**: calc'd for  $(M+Na)^+$  C<sub>29</sub>H<sub>37</sub>NO<sub>6</sub>Na: 518.2519; found 518.2514.

#### **6.** Conversion of *N*-Boc amine **6Ab** to *N*-Boc-protected β-amino acid **7Ab**

N-Boc amine **6Ab** (48.9 mg, 0.10 mmol) was hydrogenated with 10% palladium on carbon (21 mg) in methanol (3.0 mL) at room temperature under an atmosphere of hydrogen for 10 h. The mixture was filtered with celite to remove insoluble material afterwhich the filtrate was concentrated to give a foam. This was dissolved in toluene (4.0 mL) and the solution was heated at reflux for 2 h. The solvent was removed and the product was purified by flash chromatography.

S-7Ab This product was obtained as white solid (20 mg) in 76% yield after flash chromatography (silica gel: Hexanes : Ethyl Acetate : Acetic Acid = 80 : 20 : 1) and in 94% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA / TFA = 98 / 2 /0.5, 1.0ml·min<sup>-1</sup>, 
$$\lambda$$
 = 220 nm, 0 °C,  $t_r$  (major) = 14.00 min,  $t_r$  (minor) = 18.17 min]. [ $\alpha$ ]<sub>D</sub><sup>25</sup> = -50.1 ( $c$  = 0.71, MeOH); Spectrum data was same as reported and the absolute configure of 7ab was determined to be  $S$  by comparing the optical rotation with literature value [ $\alpha$ ]<sub>D</sub><sup>22</sup> = -45.6 ( $c$  = 1.00, MeOH)<sup>8</sup>.

#### 7. Conversion of N-Boc amine 6Lc to N-Boc-protected β-amino acid 7Lc

To a suspension of **6Lc** (56.0 mg, 0.135 mmol) and terakis(triphenylphosphine)-palladium (15.6 mg 0.0135mmol) in THF (1.5 mL) was added morpholine (36 μl, 0.41 mmol), the resulting reaction mixture was stirred at room temperature for 3 h. Then solvent was removed under reduced pressure, and the residue was dissolved in dioxane (3.0 mL) and refluxed for 3 h. Solvent was removed under reduced pressure and the residue was purified by flash chromatography.

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<sup>&</sup>lt;sup>8</sup> Laschat, S.; Kunz, H. J. Org. Chem., 1991, 56, 5883

(-)-7Lc This product was obtained as white foam (28 mg) in 72% yield after flash chromatography (silica gel: Hexanes : Ethyl Acetate : Acetic Acid = 88 : 11 : 1) and in 96% ee as determined by HPLC [Daicel Chiralcel OD, Hexane / IPA / TFA = 98 / 2 /0.5, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm, t<sub>r</sub> (major) = 32.76 min, t<sub>r</sub> (minor) = 38.20 min]. [ $\alpha$ ]<sub>0</sub><sup>25</sup> = -23.0 (c = 1.10, CH<sub>3</sub>Cl); <sup>1</sup>H NMR (400 MHz, 2.05 (4.10)) = 1.10 (1.10) = 5.46

CDCl<sub>3</sub>)  $\delta$  1.41 (s, 9H), 2.85 (brs, 2H), 5.11 (br, 1H), 5.25 (dd, J = 2.4, 10.8 Hz, 1H), 5.46 (br, 1H), 5.74 (dd, J = 2.4, 17.6 Hz, 1H), 6.70 (ddd, J = 2.4, 11.2, 17.2 Hz, 1H), 7.16-7.22 (m, 1H), 7.26-7.36 (m, 3H), 10.6 (br, 1H); <sup>13</sup>C **NMR** (100 MHz, CD<sub>3</sub>OD)  $\delta$  28.83, 42.34, 52.94, 80.40, 114.36, 125.37, 126.27, 126.97, 129.84, 138.20, 139.32, 144.22, 157.64, 174.42; **IR** (neat) v 2979, 1714, 1395, 1164; **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>16</sub>H<sub>22</sub>NO<sub>4</sub>: 292.1549; found 292.1555.

**8.** General Procedure for Enantionselective Mannich Reaction of Ally  $\beta$ -ketoester **8** to imine **4A**, and transformation of Mannich adduct **9** to amino ketone **10**.

To a solution of imine 4A (0.20 mmol) and chiral catalyst (10 mol%) in acetone (0.40 ml) at -60 °C, was added  $\beta$ -ketoester 8 (0.30 mmol) in one portion. The resulting mixture was kept at -60 °C for 12 h. The reaction mixture was diluted with ethyl ether (5 ml) and allowed to pass through a short silica gel column. Then the filtrate was concentrated and purified by silica gel flash chromatography using the eluent specified below.

Mannich adduct **9** was converted to **10** by Pd-promoted decarboxylation<sup>9</sup>.

To an oven dried round botton flask (10 mL), was added allyl palladium chloride dimmer (1.8mg, 0.005 mmol) and ( $\pm$ ) 2,3-O-Isopropyllidene-2,3-dihydroxy-1,4-bis(diphenylphosphino) butane (( $\pm$ ) DIOP) (5.0 mg, 0.010 mmol). The Pd catalyst and ligand were dissolved in methylene chloride (250  $\mu$ l) and stirred at room temperature for 10 minutes. Methyl acetoacetate (0.023 mL, 0.20 mmol) and isolated product (9Aa - 9Ac, 0.10 mmol) were added successively. The reaction solution was stirred at room temperature overnight and then subjected directly to flash chromatography over silica gel using the eluent specified below.

**9Aa** This product was obtained as a white solid (61 mg) in 90% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1/8) from a reaction catalyzed by QD-1d (10 mol%) at -60 °C for 12 h. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, major diastereomer was reported) δ 1.40 (s,

9Aa \_\_\_\_\_

<sup>&</sup>lt;sup>9</sup> Lou S.; Taoka, B. M.; Ting, A.; Schaus, S. E. J. Am. Chem. Soc. **2005**, 127, 11256

9H), 2.17 (s, 3H), 4.04 (d, J = 6.0 Hz, 1H), 4.56 (d, J = 5.2 Hz, 2H), 5.18-5.28 (m, 2H), 5.45 (brs, 1H), 5.70-5.90 (m, 2H), 7.20-7.40 (m, 5H); **HRMS**: calc'd for (M+H)<sup>+</sup> C<sub>19</sub>H<sub>26</sub>NO<sub>5</sub>: 348.1811; found 348.1810.

C-)-10Aa This product was obtained as a white solid (22 mg) in 83% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 3) and in 92% ee as determined by HPLC [Regis Pirckle Covalent (R, R) Whelk-O 1, Hexane / IPA = 85 / 15, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm, t<sub>r</sub> (major) = 5.98 min, t<sub>r</sub> (minor) = 7.80 min]. [α]<sub>D</sub><sup>25</sup> = -24.7 (c = 0.55, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.41 (s, 9H), 2.09 (s, 3H), 2.91 (dd, J = 5.6, 16.0 Hz, 1H), 2.9-3.1 (m, 1H), 5.08 (brs, 1H), 5.41 (brs, 1H), 7.20-7.40 (m, 5H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 28.31, 30.59, 49.30, 51.02, 79.68, 126.19, 127.42, 128.63, 141.50, 155.10, 206.98; IR (neat)  $\nu$  3384, 1714, 1685, 1516, 1168; HRMS: calc'd for (M+H)<sup>+</sup> C<sub>15</sub>H<sub>22</sub>NO<sub>3</sub>: 264.1600; found 264.1604.

**9Ab** This product was obtained as a white solid (57 mg) in 79% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 10) from a reaction catalyzed by QD-1d (10 mol %) at –60 °C for 12 h.  $^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>, both diastereomers were reported) δ 0.93 (t, J = 6.8 Hz, 3H), 1.08 (t, J = 6.8 Hz, 3H), 1.40 (s, 9H), 1.41 (s, 9H), 1.56 (s, 2H), 2.12-2.22 (m, 1H), 2.55-2.63 (m, 2H), 2.75 (m, 1H), 4.06 (m, 2H), 4.52-4.58 (m, 4H), 5.12-5.28 (m, 2H), 5.44 (brs, 1H), 5.53 (brs, 1H), 5.71-5.83 (m, 2H), 5.99 (brs, 1H), 6.19 (brs, 1H), 7.24-7.35 (m, 10H); **HRMS**: calc'd for (M+Na)<sup>+</sup> C<sub>20</sub>H<sub>27</sub>NO<sub>5</sub>Na: 384.1787; found 384.1776.

(-)-10Ab This product was obtained as a white solid in 81% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 15-1 / 5) and in 91% ee as determined by HPLC [Regis Pirckle Covalent (R, R) Whelk-O 1, Hexane / IPA = 85 / 15, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 5.41 min,  $t_r$  (minor) = 7.53 min]. [ $\alpha$ ] $_0^{25}$  = -19.5 (c = 0.57, CHCl $_3$ );  $^1$ H NMR (400 MHz, CDCl $_3$ )  $\delta$  0.96 (t, t = 0.8 Hz, 3H), 1.41 (s, 9H), 2.25-2.43 (m, 2H), 2.88 (dd, t = 6.0, 15.6 Hz, 1H), 2.99-3.02 (m, 1H), 5.08 (s, 1H), 5.52 (s, 1H), 7.22-7.34 (m, 5H);  $^{13}$ C NMR (100 MHz, CDCl $_3$ )  $\delta$  7.35, 28.29, 36.63, 48.04, 51.14, 79.59, 126.12, 127.30, 128.58, 141.62, 155.09, 209.73; IR (neat) v 3380, 1715, 1684, 1519; HRMS: calc'd for (M+H) $^+$  C $_{16}$ H $_{24}$ NO $_3$ : 278.1756; found 278.1745.

OD-9Ac This product was obtained as a white solid (58.6 mg) in 78% yield after flash chromatography (silica gel: Ethyl acetate / Hexane = 1 / 10) from a reaction catalyzed by QD-1d (10 mol %) at -60 °C for 12 h. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, both diastereomers were reported) δ 0.67 (d, J = 6.8 Hz, 3H), 0.97 (d, J = 6.4 Hz, 3H), 1.08 (m, 3H), 1.19 (d, J = 6.4 Hz, 3H), 1.41 (s, 18 H), 1.58 (s, 2H), 2.40-2.47 (m, 1H), 2.96 (brs, 1H), 4.20-4.23 (m, 2H), 4.52-4.53 (m,

2H), 4.58-4.67 (m, 2H), 5.12-5.17 (m, 2H), 5.24-5.34 (m, 2H), 5.48 (brs, 2H), 5.71-5.78 (m, 1H), 5.84-5.91 (m, 1H), 6.16 (brs, 1H), 6.40 (brs, 1H), 7.22-7.34 (m, 10H); **HRMS**: calc'd for  $(M+Na)^+$   $C_{21}H_{29}NO_5Na$ : 398.1943; found 398.1932.

Q-9Ac This product was obtained as a white soild in 85% yield from a reaction catalyzed by Q-1d (10 mol%) at -60 °C for 12 h.

10Ac

(-)-10Ac This product was obtained as a white solid in 66% yield from QD-9Ac, after flash chromatography (silica gel: Ethyl acetate / Hexane = 1/15) and in 96% ee as determined by HPLC [Daicel Chiralpak AS, Hexane / IPA = 98 : 2, 1.0 ml·min<sup>-1</sup>,  $\lambda$  = 220 nm,  $t_r$  (major) = 11.76 min,  $t_r$  (minor) = 10.54 min]. [ $\alpha$ ]<sub>D</sub><sup>25</sup> = -15.0 (c = 0.92, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.95 (d, J = 7.2 Hz, 3H), 0.99 (d, J = 6.4 Hz, 3H), 1.41 (s, 9H), 2.44-2.51 (m, 1H), 2.91 (dd, J = 2.06 2.10 (m. 1H), 5.00 (h. 1H), 5.00

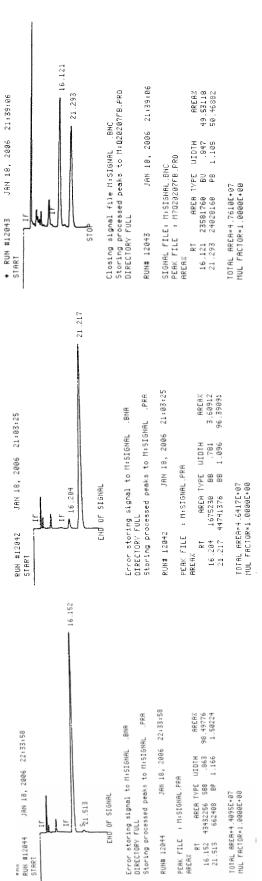
0.99 (d, 3 = 0.4 Hz, 3H), 1.41 (s, 9H), 2.44-2.31 (III, 1H), 2.91 (dd, 3 = 6.0, 16.4 Hz, 1H), 3.06-3.10 (m, 1H), 5.08 (brs, 1H), 5.63 (brs, 1H), 7.21-7.33 (m, 5H); 13C NMR (100 MHz, CD<sub>4</sub>OD)  $\delta$  18.14, 28.74, 42.15, 48.09, 52.09, 80.20,127.41, 128.15, 129.45, 144.19, 157.51, 213.91; IR (neat) v 3375, 1711, 1686, 1520; HRMS: calc'd for (M+Na)<sup>+</sup> C<sub>17</sub>H<sub>25</sub>NO<sub>3</sub>Na: 314.1732; found 314.1727.

(+)-10Ac This product was obtained as a white soild in 99% yield and 92% ee from Q-9Ac.

racemic 6Aa

(-)-**6Aa**, 93% ee Product of Q-**1d** catalyzed reaction

(+)-**6Aa**, 97% ee Product of QD-**1d** catalyzed reaction

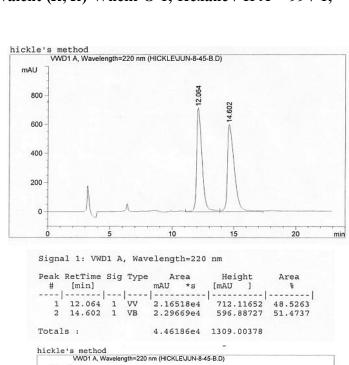


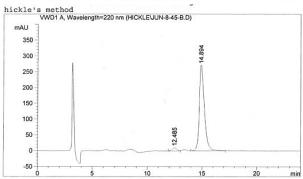
# HPLC Condition: Regis Pirckle Covalent (R, R) Whelk-O 1, Hexane / IPA = 99 / 1, 1.0 ml·min<sup>-1</sup>, 220 nm

racemic 6Ab

(-)-6**Ab**, 94% ee Product of Q-**1d** catalyzed reaction

(+)-6**Ab**, 96% ee Product of QD**-1d** catalyzed reaction

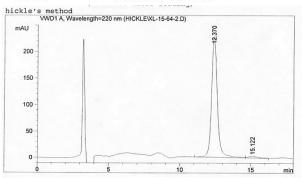




Signal 1: VWD1 A, Wavelength=220 nm

Peak	RetTime	Sig	Туре	Area		Height		Area
#	[min]			mAU	*s	[mAU	]	*
1	12.485	1	BV	278	17789	9.9	92384	3.0802
2	14.894	1	VB	8752	93164	271.0	7489	96.9198

Totals : 9031.10953 280.99873



 Signal 1: VWD1 A, Wavelength=220 nm

 Peak RetTime Sig Type
 Area
 Height
 Area

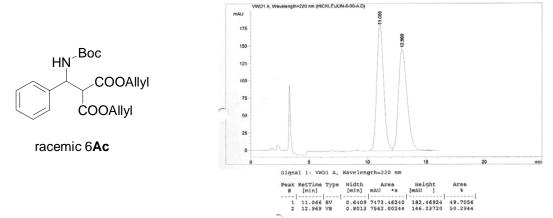
 # [min]
 mAU
 \*s
 [mAU]
 \*

 1 12.370 1
 VB
 6460.62549
 227.44182
 97.9679

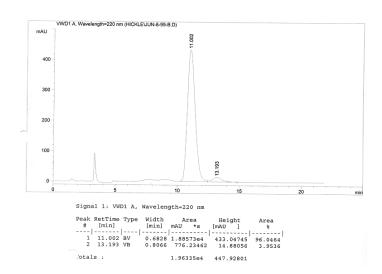
 2 15.122 1
 BB
 134.01273
 3.34240
 2.0321

 Totals:

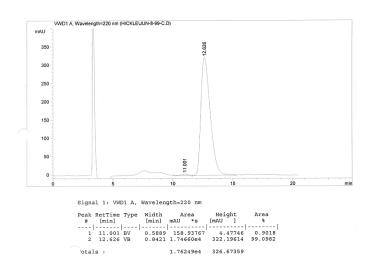
 6594.63821
 230.78422

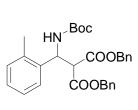


(-)-**6Ac**, 92% ee Product of Q-**1d** catalyzed reaction



(+)-**6Ac**, 98% ee Product of QD-**1d** catalyzed reaction

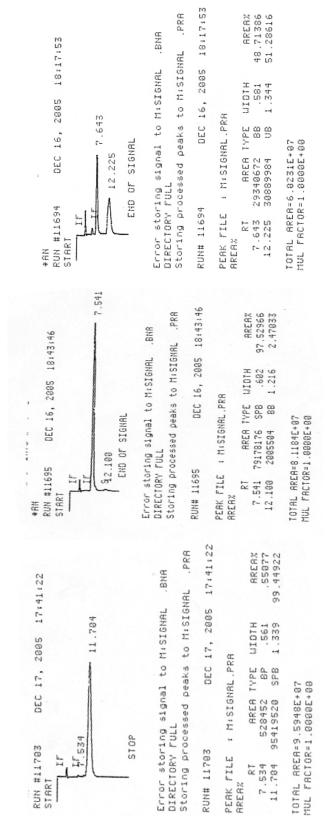




racemic 6Bb

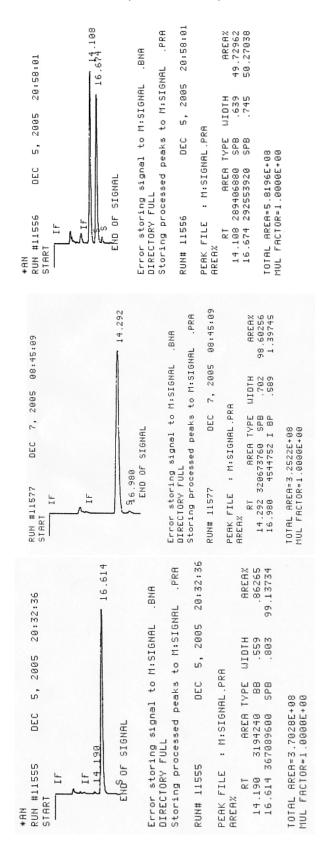
(-)-**6Bb**, 95% ee Product of Q-**1d** catalyzed reaction

(+)-**6Bb**, 99% ee Product of QD-**1d** catalyzed reaction



(-)-**6**C**b**, 97% ee Product of Q-**1d** catalyzed reaction

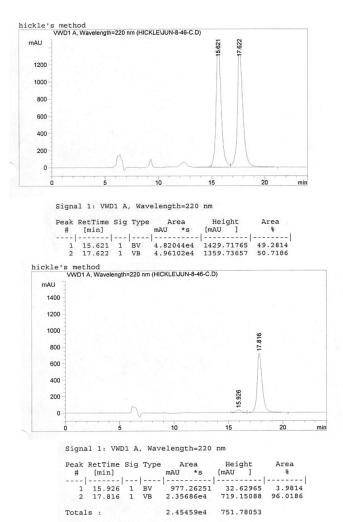
(+)-6Cb, 98% ee Product of QD-1d catalyzed reaction

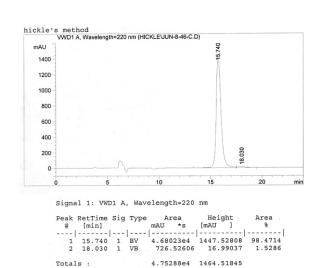


# HPLC Condition: Regis Pirckle Covalent (R, R) Whelk-O 1, Hexane / IPA = 99 / 1, 0.5 ml·min<sup>-1</sup>, 220 nm

(-)-**6Db**, 92% ee Product of Q-**1d** catalyzed reaction

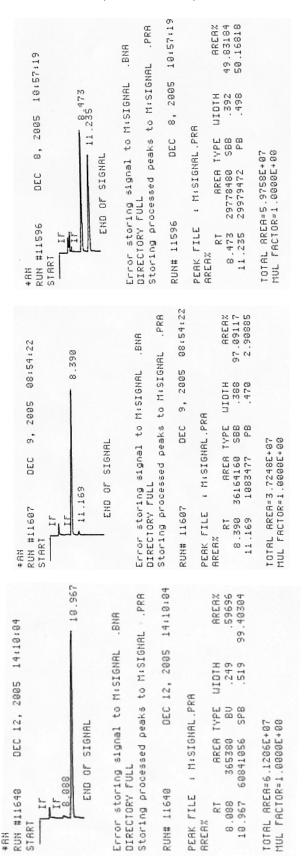
(+)-**6Db**, 97% ee Product of QD-**1d** catalyzed reaction





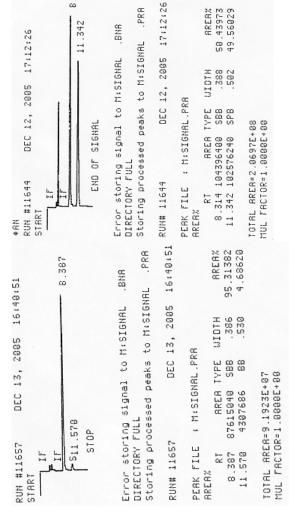
(-)-**6Eb**, 94% ee Product of Q-**1d** catalyzed reaction

(+)-**6Eb**, 99% ee Product of QD-**1d** catalyzed reaction

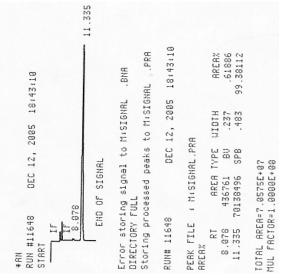


8.314

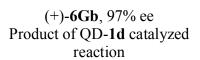
(-)-**6Fb**, 91% ee Product of Q-**1d** catalyzed reaction

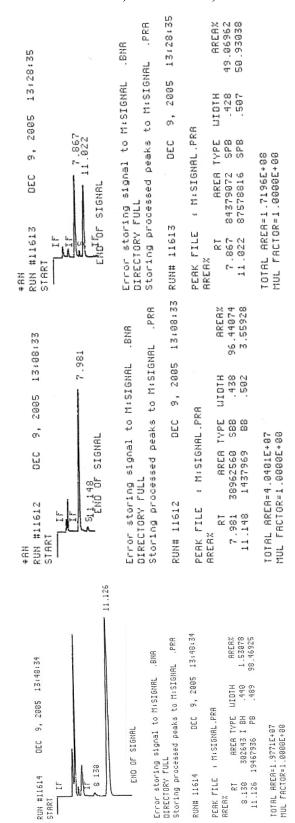


(+)-**6Fb**, 99% ee Product of QD-**1d** catalyzed reaction



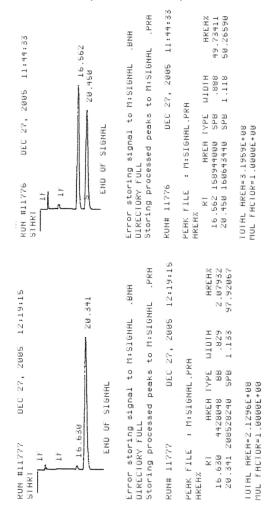
(-)-**6Gb**, 93% ee Product of Q-**1d** catalyzed reaction

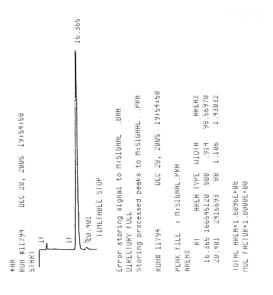


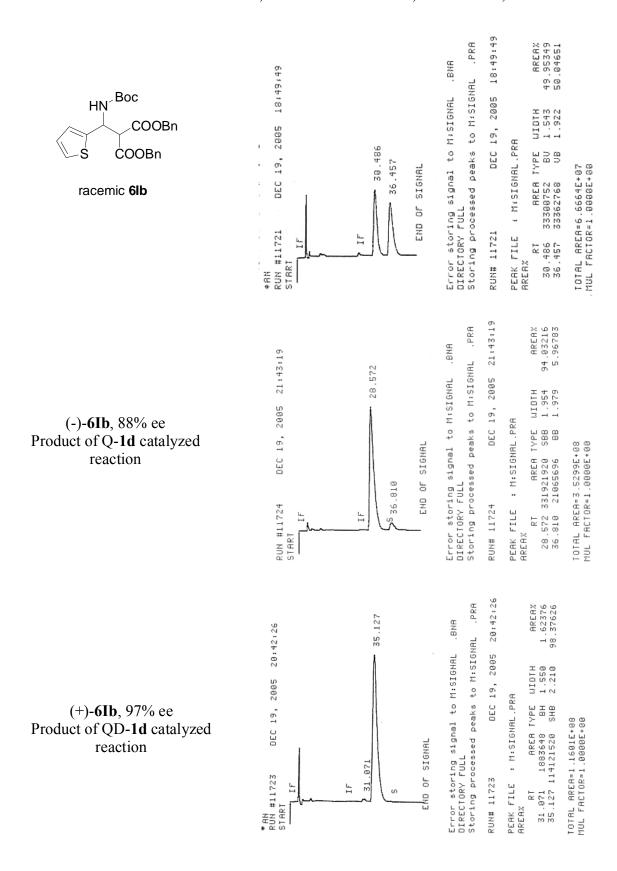


(-)-**6Hb**, 96% ee Product of Q-**1d** catalyzed reaction

(+)-**6Hb**, 97% ee Product of QD-**1d** catalyzed reaction

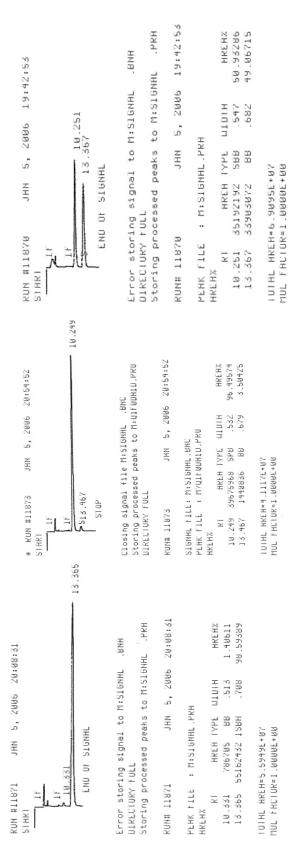






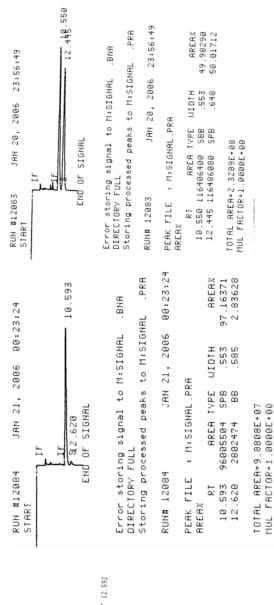
(-)-6Jb, 93% ee Product of Q-1d catalyzed reaction

(+)-**6Jb**, 97% ee Product of QD-**1d** catalyzed reaction

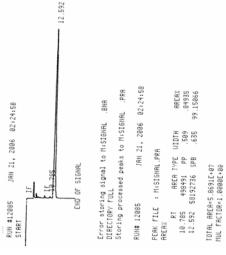


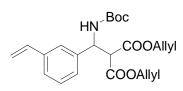
racemic 6Kb

(-)-**6Kb**, 94% ee Product of Q-**1d** catalyzed reaction



(+)-**6Kb**, 98% ee Product of Q-**1d** catalyzed reaction

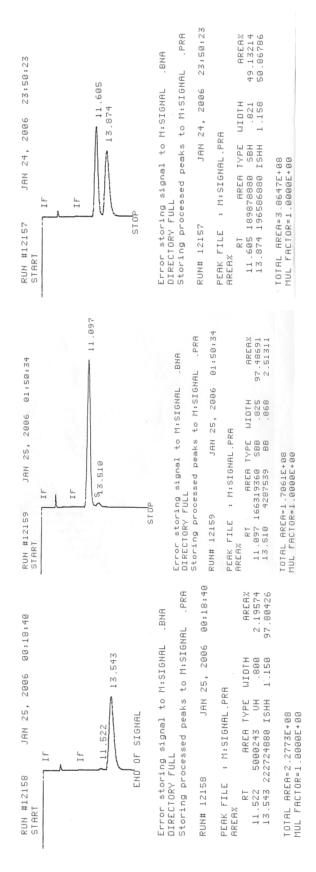




racemic 6Lc

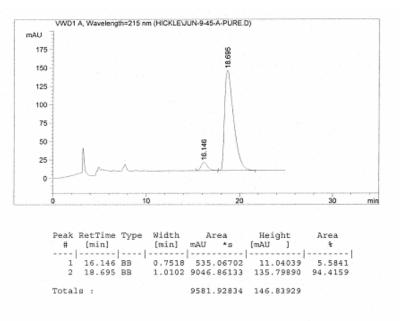
(-)-**6Lc**, 95% ee Product of Q-**1d** catalyzed reaction

(+)-**6Lc**, 96% ee Product of QD-**1d** catalyzed reaction



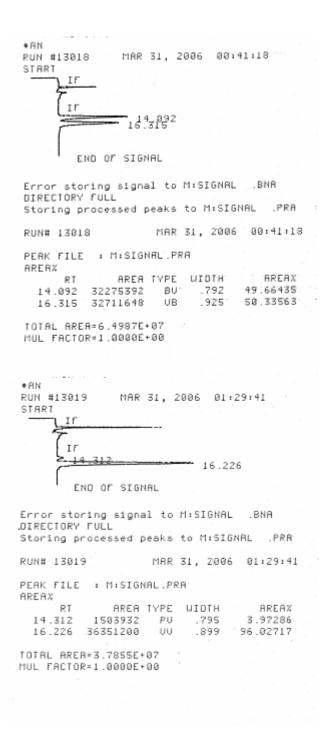
racemic 6Mb

(+)-6Mb, 89% ee Product of QD-1d catalyzed reaction

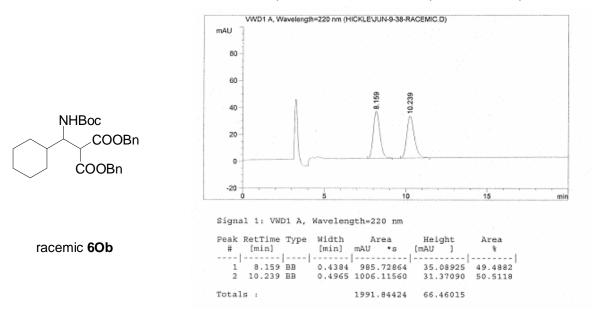


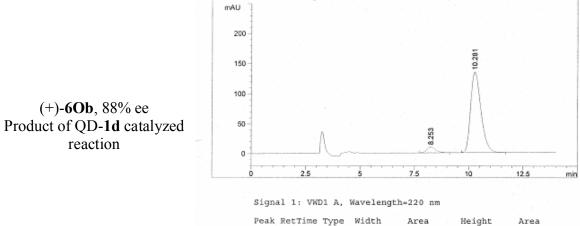
racemic 6Nb

(+)-**6Nb**, 92% ee Product of QD-**1d** catalyzed reaction



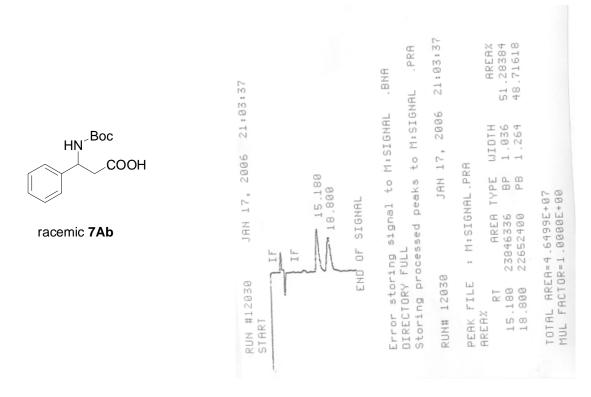
## HPLC Condition: Daicel Chiralcel OD, Hexane / IPA = 97 / 3, 1.0 $ml \cdot min^{-1}$ , 220 nm



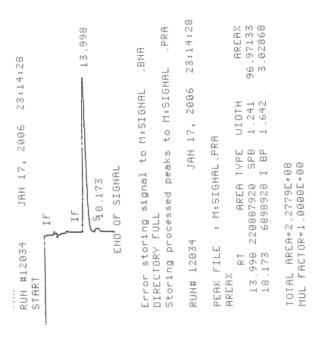


VWD1 A, Wavelength=220 nm (HICKLEUUN-9-41-A-PURE.D)

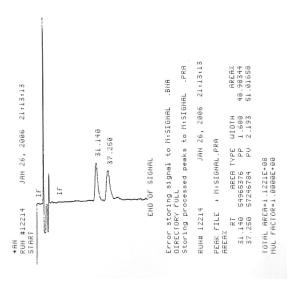
# HPLC Condition: Daicel Chiralcel OD, Hexane / IPA / TFA = 95 / 5 / 0.1, 1.0 ml·min<sup>-1</sup>, 220 nm, 0°C



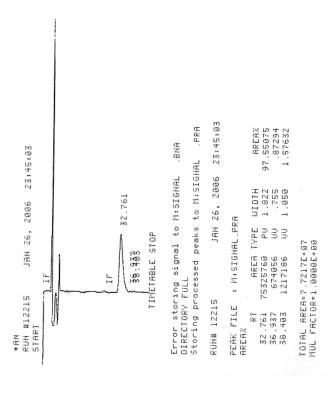
(S)(-)-7**Ab**, 94% ee derived from (+)-6**Ab** 



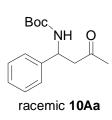
racemic 7Lc

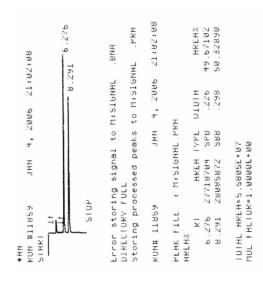


(-)-**7Lc** 97% ee derived from (+)-**6Lc** 

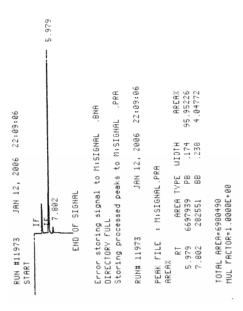


# HPLC Condition: Regis Pirckle Covalent (R, R) Whelk-O 1, Hexane / IPA = 85 / 15, 1.0 ml·min<sup>-1</sup>, 220 nm



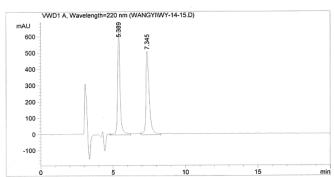


(-)-10Aa, 92% ee derived from 9Aa (Product of QD-1d catalyzed reaction)



# HPLC Condition: Regis Pirckle Covalent (*R*, *R*) Whelk-O 1 Column, Hexane / IPA = 85 / 15, 1.0 ml·min<sup>-1</sup>,

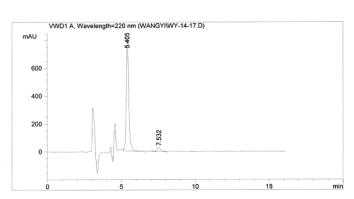
racemic 10Ab



Signal 1: VWD1 A, Wavelength=220 nm

	[min]	- BV	[min]   0.1766	7760.27588	Height [mAU ]   652.31592 511.93216	48.3264
Totale				1 60580e4	1164.24808	

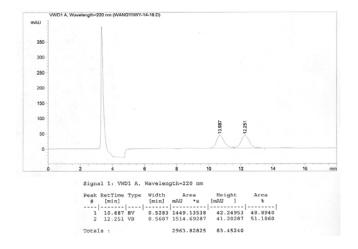
(-)-10Ab, 91% ee derived from 9Ab (Product of QD-1d catalyzed reaction)



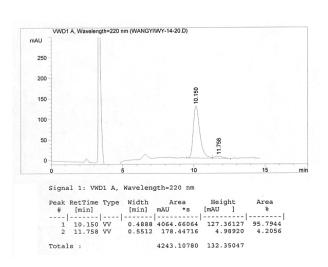
Signal 1: VWD1 A, Wavelength=220 nm

Peak	RetTime	Type	Width	Area		Height		Area	
#	[min]		[min]	mAU	*s	[mAU	]	ક	
1	5.405	vv	0.1756	9224	.90430	798.4	0521	95.2762	
2	7.532	VV	0.2238	457	.36768	29.6	3161	4.7238	
Tota]	ls :			9682	.27197	828.0	3683		

racemic 10Ac



(+)-10Ac, 92% ee derived from 9Ac (Product of Q-1d catalyzed reaction)



(-)-10Ac, 96% ee derived from 9Ac (Product of QD-1d catalyzed reaction)

