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**Chloroplast promoters from higher plants**

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**ABSTRACT**

This survey compiles 60 chloroplast promoter sequences from higher plants published to date and compares them with these sequences from procaryotic systems. The current evidence demonstrates that structurally defined chloroplast promoters are, in most cases, functionally active in initiating gene expression in chloroplasts.

**INTRODUCTION**

The transcriptional cycle consists of three main steps: initiation, elongation and termination. The initiation of transcription is a crucial stage at which gene expression can be regulated by the interaction of RNA polymerase with the promoters. The promoter is defined as a region of DNA involved in the binding of RNA polymerase to initiate transcription. In procaryotic systems, with the notable exception of the *nif* genes in *Klebsiella* (1), promoters consist of two regions of conserved sequences, located about 10 and 35 bp upstream of the transcription startpoint and separated by an optimal distance of 17 bp (2,3). These characteristics, together with some secondary sequence conservation in the region upstream of the primary promoter (4), are major determinants of promoter activity.

After analyzing the DNA sequence of 112 well-defined promoters, a consensus sequence of procaryotic promoters was established (2,3,4). The AT-rich sequence around the -35 region has often been referred to as the recognition site and the sequence around the -10 region as the Pribnow box (5). Within the -35 region (TTGACA), the trimer TTG is strongly conserved, appearing at a frequency of 82, 84, and 79% for each base respectively (3). At the -10 region (TATAAT), the first TA pair and the last T are also highly preserved.

Generally, there is one promoter per gene. Sharing of promoter by more than one gene also occurs. On the other hand, there are genes which have more than one promoter (6-10). A working model for the function and regulation of multiple promoters of stable RNA has been proposed (11,12).

TABLE I: COMPILATION OF CHLOROPLAST PROMOTERS FROM HIGHER PLANTS

ORGANISMS AND GENES		PROMOTER SEQUENCES			
		-35	-10	REF.	
E. Coli Consensus Sequence		TTGACA	TATAAT	2	
<b>(A) Structurally and functionally defined:</b>					
<i>N. tabacum</i>	<i>rbcL</i>	AAGTAAAAAGAAAAATTGGG	TTGCCCTATATATGAAAGAGTA	TACAATAATGATGATTTGGCAAATC	21
<i>N. otophora</i>	<i>rbcL</i>	AAGTAAAAAGAAAAATTGGG	TTGCCCTATATATGAAAGAGTA	TACAATAATGATCTATTGGCAAATC	56
<i>N. tabacum</i>	<i>psbA</i>	ATAGACTACATACACCTTGG	TTGACACGAGTATATAAGTCATGT	TACTACTGTTGAATAAAAAAGCCTTCCA	42
<i>N. debneyi</i>	<i>psbA</i>	ATAGACTACATACACCTTGG	TTGACACGAGTATATAAGTCATGT	TACTACTGTTGAATAAAAAAGCCTTCCA	31
<i>N. tabacum</i>	<i>atpB</i>	TCAGGTTGGAATTCATAGAA	TAGATAATATGATGGGATTGTC	TATAATGATAGACAAATGAAAGACTT	33
<i>N. otophora</i>	<i>atpB</i>	TCAGGTTGGAATTCATAGAA	TAGATAATATGATGGGATTGTC	TATAATGATAGACAAATGAAAGACTT	47
<i>N. tabacum</i>	5S rRNA	GGTGTCCCTCCAGTCAAGAA	TTGGGCCCTCACAATCACTAGCCAA	TATGCTTTTCTCTCATGCCTTTCTTC	22, 38
	16S rRNA	AGTGTTCGAAGAATAGTGGCG	TTGAGTTTCTCGACCCCTTGACT	TAGGATTAGTCAGTCTATTTCGGA	23
	tRNA <sup>Gly</sup>	TGATTACCACAATCCCCCTGT	TCGACAAAAGTTGCATTGTGA	TACAATAATCGGATTGTA	30
	?	GCTGTGTTCGGGGGGAGTGA	TTGCTATCGTTGGCCCTATGG	TAGAATCAGTCGGGGACCTGAGAGG	36, 38
	?	CGCACCATCGAAAACCGAATT	TTGCTGGTGGCTAACGTATACCCCTG	TAGCGTAACTGACGGACGTAAACCAC	38
Maize	<i>rbcL</i>	AAATAAAGATTAGGGTTTGGG	TTGCCCTATATCTATCAAAGAGTA	TACAATAATGATGGATTGGTGAATC	20
	<i>atpB</i>	AAATACTAAGAAAATTCCTCTG	TTGACACGAATCTATGCTTCACAG	TAGTATATATTGTATATCGAAGTC	39, 58
	16S rRNA	ATGGATAGGAGGCTTGTGGGA	TTGACGTGATAGGGTAGGGTGGC	TATACTGCTGGTGGGAACTCCAGGC	26
	tRNA <sup>Val</sup> (1)	TCCTATTTTCGATAGGACCGG	TTGACAATTGAAATCCAAATTTTCCCAT	TATTTGACTGTCCATAATAGTGGGA	26
	tRNA <sup>Val</sup> (2)	AAGCCCGGAGGAAGAGTGGCC	TTGGGTTTCTCGCCCTTTGCTCT	TAGGATTGCTAAATTTCTTTTCGGA	26
	tRNA <sup>His</sup>	TCAGAATAAATAGAAATAATA	TGAATGGAAAAAAGAGAAAATCCT	TTAGCTGGATAAAGG	40
Spinach	<i>rbcL</i>	AAACCAACGGTTACGGTTGGG	TTGCCCATATATATGAAAGAGTA	TACAATAATGATGATTTGGGGAATC	19
	<i>atpB</i>	TAAATAATTCGAAAATTTACTC	TTGACAGTGGTATATGTTGTATATG	TATATCTAGATGTGAAAATATGC	58
Wheat	<i>rbcL</i>	AGGATTAGGAATTAATTGGG	TTGCCCTATATCTATCAAAGAGTA	TACAATAATATGGATTGGTAAATC	47
	<i>atpB</i>	AAATACTAATAAAAATCTTTTG	TTGACACGAATCTATGCTTCACAG	TAGTATATTTGTATATATCGAAGTT	47
Mustard	<i>psbA</i>	ATCTTATCCATTTTACATTTGG	TTGACATGGCTATATAAGTCATGT	TACTACTGTTCAATAAACAGCTCTCAA	45
Pea	<i>rbcL</i>	CTCAAAAAAAGAAACGGTTGGG	TTGCCCATACATATGAAAGAGTA	TAGAATAATGATGATTTTCCAAA	14, 58
	<i>atpB</i>	AAAAGATATTCCTTGACC	TTGACAGTGATCTATGTTGTATATG	TAAATCTAGATGTAAAAATCGGCAG	58
<b>(B) Structurally defined:</b>					
<i>N. tabacum</i>	tRNA <sup>Asn</sup>	AAGCGTATTAATGAAATGGAA	TTGGGATATAGGATGGAA	TATAATGAAATAGAGCCACTTTGAGG	27
	tRNA <sup>His</sup>	AAAGAAGACCTATATTCGAAAC	TTGAAATCTTTTGTCTTAATTTA	AATAATGTAAAAACCGAATGTAAGTA	42
	tRNA <sup>Met</sup>	TGTATAAATGGGCTATTCTAT	TTGTACAGATAGGGTGGAGGGCGCA	TTTAATCCTTGTTTATCTATTAGTTT	46
	tRNA <sup>Pro</sup>	CGGGTCTGTATTTATATATT	TTGTATATAATTGTATATAAGTATTTTCTA	TATAATCTATAAGAGAAGCTTTTTC	46
	tRNA <sup>Trp</sup>	ATCAAATTGAGATCGCCCTAAA	TTGGACATAATCTTTGATTTT	TATCATGCTATTCTAGTATATGCATA	46
	tRNA <sup>Arg</sup> (1)			TACAATTCAAAAAATTTTTCACATC	30
	tRNA <sup>Arg</sup> (2)			TAAAATACGAAAAAATCAGAATG	30
	tRNA <sup>Val</sup> (1)	GCTCAAAGAGATCAAAGATTG	TTGATGTTGGATCATGGAATATT	TATCTTGACAAGAATTTATCTACATG	24
	tRNA <sup>Val</sup> (2)	TTGGATCTGGAATATTTATC	TTGACAAGAATTTATCTACATGA	TAAAATATGATTCACAAGCACTA	24
	CS19	CCCTTGGGGTTATCCTGCAC	TTGGAAGAAGAAGTAGAAAAAGGAATAAA	TATAGTGATAAATTGATTCCTGCTG	28
	tRNA <sup>Gly</sup> (1)	TGATTACCACAATCCCCCTGT	TCGACAAAAGTTGCATTGTGA	TACAATAATCGGATTGTA	30

	tRNA <sup>Gly</sup> (2)	AGAGAATATGTGCCGGCAC	TGCACAAAAAGATCCGGTTATA	TATCATATATGTGGTACATATTGTG	46
	CF. III	CCCTCTAGATGTTTCAGCCG	TTGATTCTCGAATAGGATTGAATC	TAAGATGAATGCTTGGTTTACGTTAT	53
Maize	tRNA <sup>Leu</sup> (1)	TAATGAATTCAAATGA	TTCAAAAAAACTAAGAGATGGA	TTAAATATACAAGGAATCCTGGTTT	34
	tRNA <sup>Ser</sup> (1)	GAGTTAGTAGATCATTTCATA	TAGCTATGTTCTATTTGTAGGAA	TAAAAATAGGGATTGGGGTGT	29
	tRNA <sup>Ser</sup> (2)	CAGGAATACGAAAACCTGCTA	TTCACTCAGTTTATTTCCATAA	TAAGATTATGTA	25
	tRNA <sup>Val</sup>	TGGCATTAGAGAAATATTCATC	TTGACAAGAAATATCTATATGT	TAAGATACTCTGCAC	29
	tRNA <sup>Leu</sup> (1)	AAGACTCCACCT	TTGCATATATTTCCATATATCACA	TTCCATAGATATCATATTCATGGAAT	25
	tRNA <sup>Leu</sup> (2)	AGACTCCACCTTTGTCATATA	TTCCATATATCACACTTCGATAGA	TATCATATTCATGGAATACGATTCAC	25
	tRNA <sup>Met</sup>	CATACCAATAACGGACCGTA	TTGCCITATAAAAAAGGATTCAAATC	TATAATCGATCGAAGTAATGGGGCIT	25
	tRNA <sup>Phe</sup>	TTGATTTTTAGTCCCTTTAA	TTGACATAGATGCCAAATCTTTAC	TAAGATGATCGACAAGAAAGG	25
	tRNA <sup>Thr</sup> (1)	CTATCTAAGTGGAACTTCCAA	TTTAGAAGTGTATAATAAC	TAAGATTAATAATTAAGATCTGACAT	25
	tRNA <sup>Thr</sup> (2)	GAACTTCCAAATTTAGAAGTAG	TTAATAACTAAGATTAATAAT	TAAGATCTGACATTTTACAGATTCOC	25
	D2(1)	TAATATAGAAAACGATTTTTT	TTGATTTACGAAACAGATTCAGAA	TAATCTTATTTGATAAAAAGCAGAGTA	55
	D2(2)	GTTAATGGATTTGACCTAGAT	TAGATATCAATCGACAAAAAAA	TAATTTTCTATTGAAACCCAGTCG	55
Spinach	P680	AGACGATGCTCAACTCCGA	TTGGTATGCTACTTATCGAGTA	TAGAATAGATTTGTTTCTCTTTGTC	35
	psbA	ATAGATCTCAGATATTTGG	TTGACACGGGCATATAAGGCATGT	TATACTGTTGAATAACAACCTTTTAA	31
<i>S. nigrum</i>	psbA	ATAGATCCAGATACAGCTTGG	TTGACACGAGTATATAAGTCATGT	TATACTGTTGAATAACAAGCCCTTCCA	49
Soybean	psbA	TACTATGGATTTGGTATTGG	TTGACACTGGTATATAAGTCATGT	TATACTGTTGAATAACAAGCTCCTCAA	44
Duckweed	16S rRNA	ATGAATAAGAGGCTCGTGGGA	TTGACGTGATAGGGTAGGGATGGC	TATATTGCTGGGAGCCGAACCTCCAG	41
	5s rRNA	GGTGTCCCTCCAGTCAAGAA	TTGGGGCCCTCACAACTACTAGCCAA	TATGAATATGCTTTTTCTCTCATGACT	43
Broadbean	rbcL	GACTCAAAAAAACGGTTGGG	TTGCCCATACATATGAAACAGTA	TAGAATAATGATGATTTGCCAAATC	50
	atpB	AAAHTTCAGTTCGAATTACA	TAGATAATATAGATAGTATTGTC	TATAATCTAGAATGATAAACAATGA	50
	tRNA <sup>Glu</sup>	GAATCATATCATTTCCATTATA	TTGACAATTTCAAAAACTGTTCA	TACTATGAACATAGTAGAATGGAAT	54
	rRNA <sup>Thr</sup> (1)	TGTACTAAACTCATCTTCATA	TTGGCTGATTCGGTATTGGGGAA	TTTACTCAAAACGCC	54
	tRNA <sup>Thr</sup> (2)	ATATATATCTATTTGTCGAGA	TTGATATACCAATTTTGTATATATC	TATTTTGTATATCTATCTATAATAAT	54

### CHLOROPLAST PROMOTERS

The chloroplast is probably procaryotic in origin and therefore possesses the procaryotic type machinery for protein synthesis (13-18). Many chloroplast genes and their 5'-flanking regions have been sequenced and studied (19-60). There are conserved promoter sequences in front of many chloroplast genes analyzed to date. Table I lists two groups of chloroplast promoters. The inclusion of promoters in the first group (A) was based on both structural and functional considerations. Chloroplast promoters must resemble those of procaryotic promoter in structure and satisfy one of the functional criteria. (a) These structures are protected by *E. coli* RNA polymerase against DNase digestion. (b) These structures are determined by S1 mapping to be located in the 5' flanking region of a gene. And (c) these structures are active in initiating gene expression in either heterologous or homologous system. All

the promoters included in the second group (B) are defined structurally only. For example, a promoter can be identified by locating DNA sequences matching the structure of procaryotic consensus sequence promoters proximal to a chloroplast gene. As can be seen from Table I, most chloroplast promoters identified thus far fall into the second group (B). The alignment of the promoters in Table 1 was based on the format and considerations given by Hawley & McClure (3) to maximize the homology of both the -35 and -10 regions. In order to align promoters with different spacing, two breaks were placed in the sequences; one immediately after the -35 and one immediately before the -10 regions. In addition to the -35, -10 regions and the spacer, 20 more bases were included at each end in most cases when they are available to have a total of about 70-80 bases for each promoter.

In both groups (A & B) these promoters contain two conserved hexamers separated by a short stretch of about 17 bp. The first hexamer is TTGACA resembling the -35 region of procaryotic promoter sequences, in which the trimer TTG is highly conserved. In the second hexamer, the conserved sequence of TATAAT is identical to the -10 region (5). Within this hexamer, three bases (TA---T) are highly conserved. The first TA pair was present in all but few cases, in which the pair was either AA or TT (Table I). Similar to the procaryotic promoters, the last "T" in this hexamer is also highly conserved, appearing in all but few of the 60 promoters compiled (Table I). The -35 and -10 regions are separated by 11-24 bases which is very close to the allowed procaryotic spacing of 15-21 bp (2,3). Overall, the distribution of bases in each position in the -35 and -10 regions of these chloroplast promoters is statistically similar to that of procaryotic promoters (Table II).

As in *E. coli*, secondary or tertiary promoters in the region upstream from the primary promoter sites for stable chloroplast RNA genes were also found (Table I). For example, there are two promoter sites reported for many tRNA genes (24,25). In most cases, the secondary promoters have -35 and -10 regions separated by 11-22 bp, and in a few cases only the individual isolated -10 regions were identified (30). The existence of multiple promoter sites was reported for maize and duckweed rRNA genes (26,41). Conversely, sharing of promoter by more than one gene also exists. The rRNAs (23), some tRNAs (51) and the  $\beta$  and  $\epsilon$  (32) genes are co-transcribed.

The functional assay of chloroplast promoters (38,47, 52) in the pK01 system established a certain structure-function relationship of the sequences tested. Using plasmids with sequential deletions in the 5'-flanking region of the psbA gene, and a homologous chloroplast extract, Link (57) demonstrated

TABLE II Distribution of Bases at each Position in Promoters of Chloroplast Genes

POSITION	DISTRIBUTION											
	T	T	G	A	C	A	T	A	T	A	A	T
A	0	5	2	35	7	30	1	55	14	36	40	1
T	58	49	1	4	13	12	59	5	27	7	7	56
G	0	2	51	6	14	7	9	12	2	1		
C	0	2	4	13	24	9	10	5	11	2		
Chloroplast (%)*	100	84	88	60	41	52	98	92	45	60	67	93
Procarvryotes (%)**	82	84	79	64	54	45	81	95	44	59	51	96

\* Calculated from Table I

\*\* Calculated from reference 3

that the upstream region containing the sequences of TTGACA and TATACT, which resemble the procaryotic -35 and -10 regions, is required for efficient *in vitro* transcription. Employing a similar technique Chua and his co-workers (48) have demonstrated that increasing the distance between the -35 and -10 regions of maize *rbcl* promoter from 18 pb to 20 pb with an AT base pair insertion reduced the level of transcription drastically. It is clear that the -35, the -10 regions and the distance between them are involved in the modulation of the efficiency of chloroplast promoters. The evidence obtained from the functional assays in the homologous system demonstrated that some structurally well-defined chloroplast promoters are indeed functionally active promoters (52, 60). Therefore, this survey can be considered as a preview of the nature and properties of chloroplast promoters from higher plants.

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