

## Supplementary Appendix

This appendix has been provided by the authors to give readers additional information about their work.

Supplement to: Slamon D, Eiermann W, Robert N, et al. Adjuvant trastuzumab in HER2-positive breast cancer. *N Engl J Med* 2011;365:1273-83.

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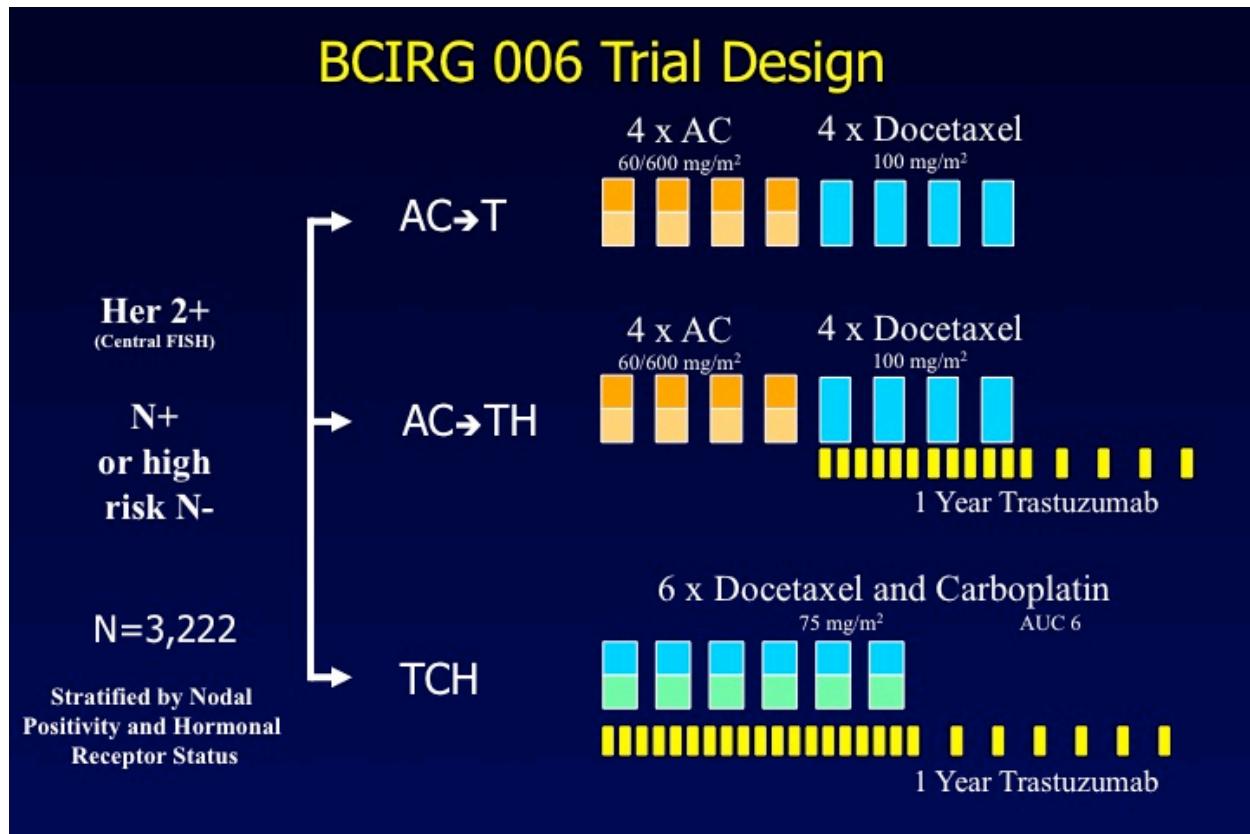
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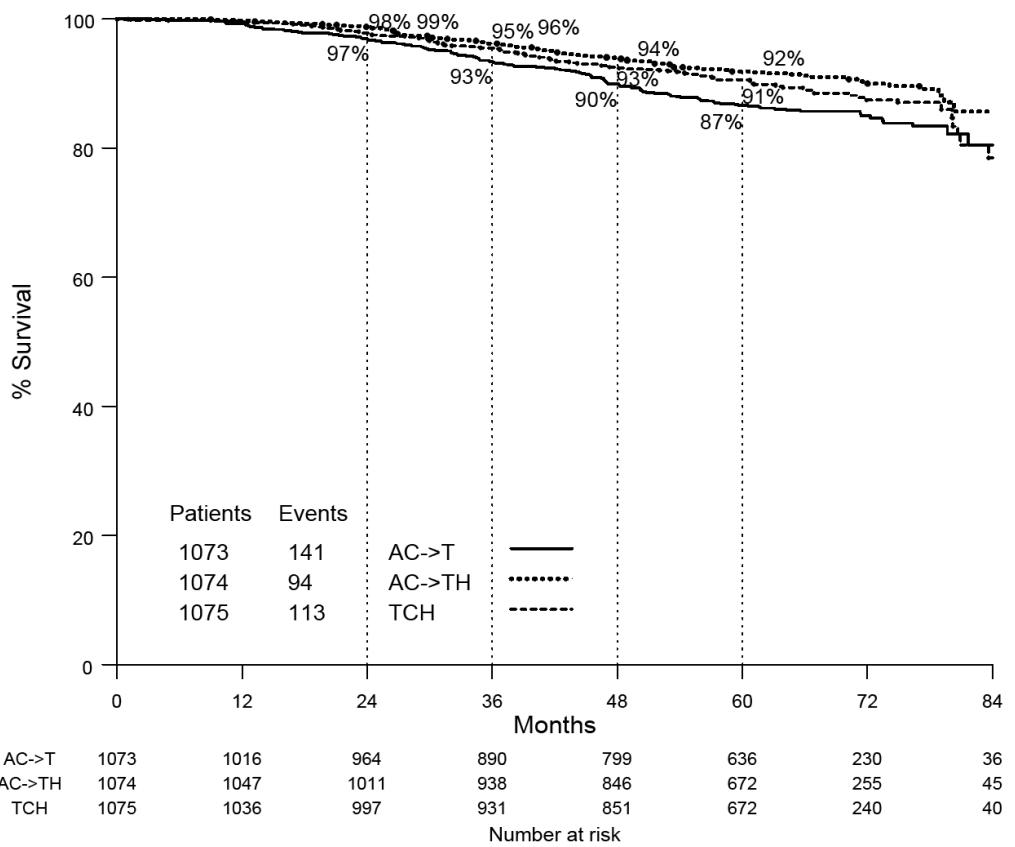
## **SUPPLEMENTAL TEXT**

For patients randomized to the AC→TH arm, guidelines for initiating trastuzumab therapy following the initial four cycles of AC and before trastuzumab were predefined in the protocol. These trastuzumab “pre-start” guidelines were based on a subject’s asymptomatic decline of LVEF (absolute decrease from baseline) and/or the degree of decrease below the calibrated lower limit of normal (LLN) for LVEF determined by the treating institution. Those patients who had either a decrease of  $\geq 15$  points from baseline and below the LLN or who experienced a decline that was  $\geq 16$  points from baseline regardless of the LLN, for two consecutive echo/MUGA determinations performed two weeks apart were not started on trastuzumab.

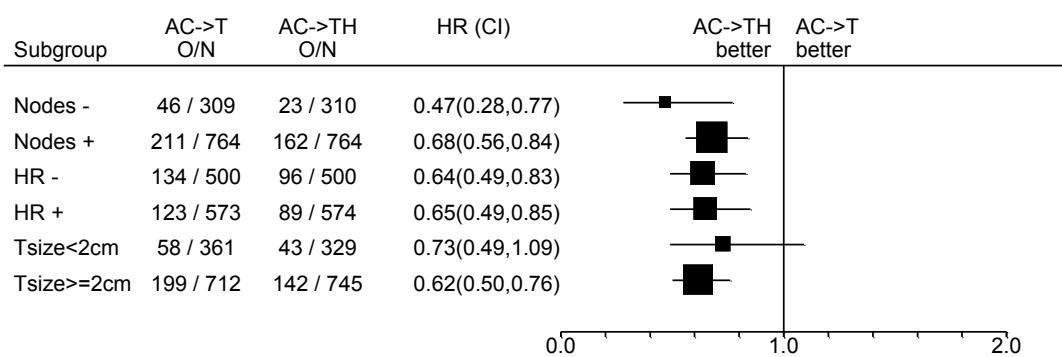
## SUPPLEMENTAL FIGURES



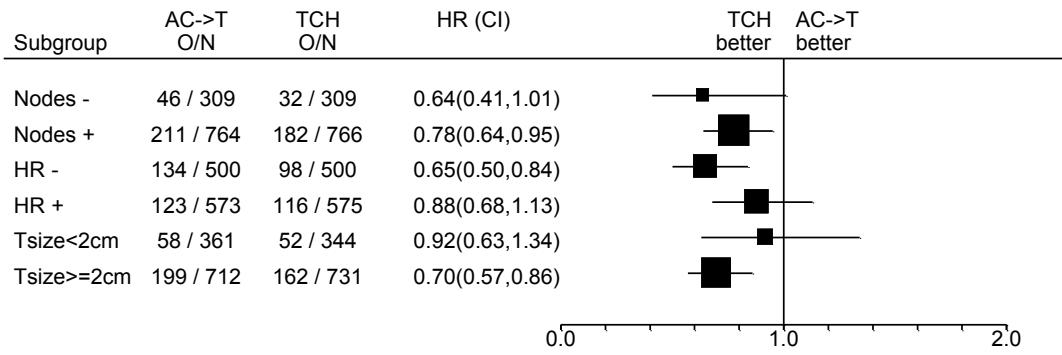
**Supplemental Figure 1** - Study design of the BCIRG-006 trial. A=anthracycline, T=docetaxel, C=cyclophosphamide in the AC→T and AC→TH arms. C=carboplatin in the TCH arm. H=trastuzumab in the two experimental arms.



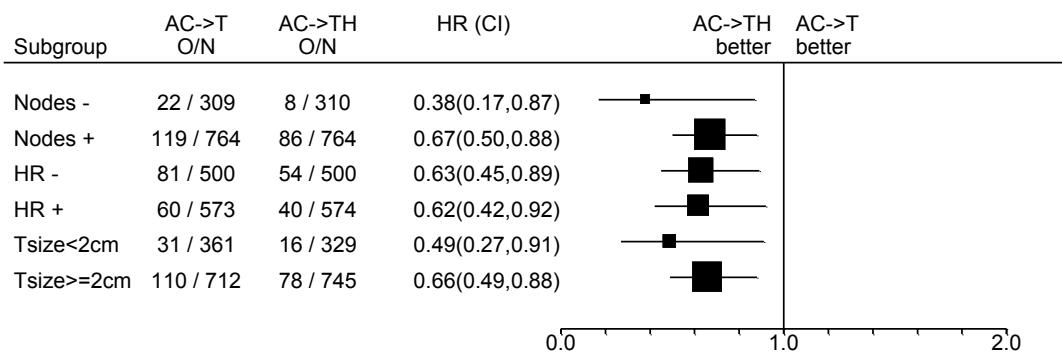
**Supplemental Figure 2** – Kaplan-Meyer analysis of the relative overall survival of the three treatment arms.



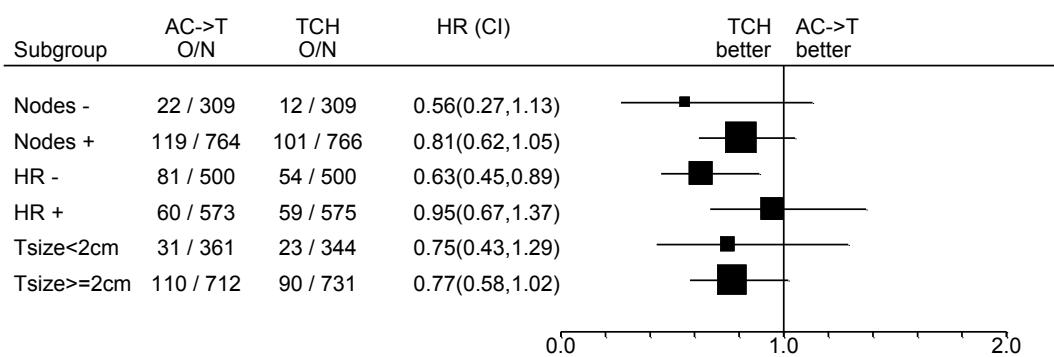
**Supplemental Figure 3a** – Forest plot of disease free survival for BCIRG-006 patients, AC-TH versus AC-T, stratified by nodal status (node negative or node positive), hormone receptor status (HR+ or HR-) and tumor size (<2cm or ≥2cm).



**Supplemental Figure 3b** – Forest plot of disease free survival for BCIRG-006 patients, TCH versus AC-T, stratified by nodal status (node negative or node positive), hormone receptor status (HR+ or HR-) and tumor size (<2cm or ≥2cm).



**Supplemental Figure 3c** - Forest plot of overall survival for BCIRG-006 patients, AC-TH versus AC-T, stratified by nodal status (node negative or node positive), hormone receptor status (HR+ or HR-) and tumor size (<2cm or ≥2cm).



**Supplemental Figure 3d** - Forest plot of overall survival for BCIRG-006 patients, TCH versus AC-T, stratified by nodal status (node negative or node positive), hormone receptor status (HR+ or HR-) and tumor size (<2cm or ≥2cm).

**SUPPLEMENT Table 1A**  
**Analysis of Disease-Free Survival (DFS) by Nodal Status at Randomization**

Node-Negative Disease					
	# patients	# DFS events	HR ( 95% C.I)	p value	5-year DFS (%)
AC → T	309	46	1 (ref)		85
AC → TH	310	23	0.47 (0.28-0.77)	0.0028	93
TCH	309	32	0.64 (0.41-1.01)	0.057	90
Node-Positive Disease					
	# patients	# DFS events	HR ( 95% C.I)	p value	5-year DFS (%)
AC → T	764	211	1 (ref)		71
AC → TH	764	162	0.68 (0.56-0.84)	0.0003	80
TCH	766	182	0.78 (0.64-0.95)	0.013	78
≥4 Positive Nodes					
	# patients	# DFS events	HR ( 95% C.I)	p value	5-year DFS (%)
AC → T	350	133	1 (ref)		61
AC → TH	350	99	0.66 (0.51-0.86)	0.0017	73
TCH	352	101	0.66 (0.51-0.86)	0.0016	72

**SUPPLEMENT Table 1B**  
**Analysis of Disease-Free Survival (DFS) by Tumor Size at Randomization**

Size < 1 cm					
	# patients	# DFS Events	HR ( 95% C.I.)	p value	5-year DFS (%)
<b>AC→T</b>	58	16	1 (ref)		72
<b>AC→TH</b>	46	6	0.36 (0.14-0.93)	0.034	86
<b>TCH</b>	44	6	0.45 (0.17-1.16)	0.096	86
Size ≥1 and <2 cm					
	# patients	# DFS events	HR ( 95% C.I.)	p value	5-year DFS (%)
<b>AC→T</b>	303	42	1 (ref)		86
<b>AC→TH</b>	283	37	0.88 (0.57-1.38)	0.59	87
<b>TCH</b>	300	46	1.11 (0.73-1.69)	0.64	86
Size ≥ 2 cm					
	# patients	# DFS events	HR ( 95% C.I.)	p value	5-year DFS (%)
<b>AC→T</b>	712	199	1 (ref)		71
<b>AC→TH</b>	745	142	0.62 (0.50-0.76)	<0.0001	82
<b>TCH</b>	731	162	0.70 (0.57-0.87)	0.0009	79