



The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation using a stepped wedge study design

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ORION Checklist of items to include when reporting an outbreak or intervention study of a nosocomial organism

	Item Number	Descriptor
Title & Abstract	1	Description of paper as outbreak report or intervention study. Design of intervention study (eg Randomised Controlled Trial , Cluster Randomised Controlled Trial, Interrupted Time Series, Cohort study etc). Brief description of intervention and main outcomes. - DONE
Introduction Background	2	Scientific and/or local clinical background and rationale. Description of organism as epidemic, endemic or epidemic becoming endemic. - DONE
Type of paper	3	Description of paper as Intervention study or an Outbreak Report. If an outbreak report, report the number of outbreaks. - DONE
Dates	4	Start and finish dates of the study or report. - DONE
Objectives	5	Objectives for outbreak reports. Hypotheses for intervention studies - DONE
Methods Design	6	Study design. Use of EPOC classification recommended (RCT or CRCT, CBA, or ITS) - STEP WEDGE DESIGN Whether study was retrospective, prospective or ambidirectional. Whether decision to report or intervene was prompted by any outcome data. Whether study was formally implemented with predefined protocol and endpoints.
Participants	7	Number of patients admitted in study or outbreak. Summaries of distributions of age and lengths of stays. If possible, proportion admitted from other wards, hospitals, nursing homes or from abroad. Where relevant, potential risk factors for acquiring the organism. Eligibility criteria for study. Case definitions for outbreak report. -DONE
Setting	8	Description of the unit, ward or hospital and, if a hospital, the units included. Number of beds, the presence and staffing levels of an infection control team. - DONE
Interventions	9	Definition of phases by major change in specific infection control practice (with start and stop dates). A summary table is strongly recommended with precise details of interventions, how and when administered in each phase. - DONE
Culturing & Typing	10	Details of culture media, use of selective antibiotics and local and /or reference typing. Where relevant, details of environmental sampling. - DONE
Infection-related outcomes	11	Clearly defined primary and secondary outcomes (eg incidence of infection, colonisation , bacteraemia) at regular time intervals (eg daily, weekly, monthly) rather than as totals for each phase, with at least three data points per phase and, for many two phase studies, 12 or more monthly data points per phase. Denominators (eg numbers admissions or discharges, patient bed days). If possible, prevalence of organism and incidence of colonisation on admission at same time intervals. Criteria for infection, colonisation on admission and directly attributable mortality. For short studies or outbreak reports, use of charts with duration patient stay & dates organism detected may be useful (see text) - DONE
Economic outcomes	12	If a formal economic study done, definition of outcomes to be reported, description of resources used in interventions, with costs broken down to basic units, stating important assumptions.- NOT APPLICABLE
Potential Threats to internal validity	13	Which potential confounders were considered, recorded or adjusted for (eg: changes in length of stay, case mix, bed occupancy, staffing levels, hand-hygiene compliance, antibiotic use, strain type, processing of isolates, seasonality). Description of measures to avoid bias including blinding & standardisation of outcome assessment & provision of care. - DONE
Sample size	14	Details of power calculations, where appropriate - ARE AVAILABLE IF REQUIRED
Statistical methods	15	Description of statistical methods to compare groups or phases. Methods for any subgroup or adjusted analyses, distinguishing between planned and unplanned (exploratory) analysis. Unless outcomes are independent, statistical approaches able to account for dependencies in the outcome data should be used, adjusting, where necessary, for potential confounders. - DONE For outbreak reports statistical analysis may be inappropriate.
Results Recruitment	16	For relevant designs the dates defining periods of recruitment and follow-up. A flow diagram is recommended to describe participant flow in each stage of study. - NOT APPLICABLE
Outcomes & estimation	17	For the main outcomes, the estimated effect size and its precision (usually using confidence intervals). A graphical summary of the outcome data is often appropriate for dependent data (such as most time series). - DONE
Ancillary analyses	18	Any subgroup analyses should be reported and it should be stated whether or not it was planned (specified in the protocol) and possible confounders adjusted for - DONE
Adverse events	19	Pre-specified categories of adverse events and occurrences of these in each intervention group . This might include drug side effects, crude or disease specific mortality in antibiotic policy studies or opportunity costs in isolation studies. - NOT APPLICABLE
Discussion Interpretation	20	For intervention studies an assessment of evidence for/against hypotheses, accounting for potential threats to validity of inference including regression to mean effects and reporting bias. For outbreak reports, consider clinical significance of observations and hypotheses generated to explain them. - NOT APPLICABLE
Generalisability	21	External validity of the findings of the intervention study i.e. to what degree can results be expected to generalise to different target populations or settings. - DONE
Overall evidence	22	General interpretation of results in context of current evidence. - DONE

Abbreviations: RCT: randomised controlled trial CRCT : Cluster Randomised Controlled Trial CBA: controlled before and after study ITS: interrupted time series

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5 1 **The longitudinal prevalence of MRSA in care home residents and the**
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7 2 **effectiveness of improving infection prevention knowledge and practice**
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9 3 **on colonisation using a stepped wedge study design**

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52
53 21 **Word count:** 5220. The manuscript contains four tables (Tables 1-4) and one figure
54
55 22 (Figure 1).
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21 assistance in writing this manuscript.

22 Contributors

23 MW was the lead investigator and obtained funding for the study. All authors were
24 involved in the study design and reviewed the draft of this report. CH coordinated the
25 data management and drafted this report. GH and DT were integral to the setting up
26 and management of the study. BB carried out the statistical analysis. PP was

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1 responsible for laboratory protocol development. DH carried out the data collection
2 and the sampling of residents with assistance from other Infection Control Nurses,
3 North East Leeds PCT. MW is the guarantor of the study.

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7 those of the Department.

8 **Ethics approval**

9 The study received approval from the East Leeds Research Ethics Committee: MREC
10 reference number 06/Q1206/162.

1 Article Summary

2 1) Article Focus

3 To assess the effectiveness of an educational intervention on the prevalence of MRSA
4 in care homes for the elderly.

5 2) Key messages

- 6 • There was a high rate of MRSA colonisation in elderly residents of care homes
7 during the study period.
- 8 • The intervention improved the infection prevention knowledge and practice of
9 staff working in care homes, but did not reduce the prevalence of MRSA
10 colonisation of residents.
- 11 • Additional measures are required to reduce endemic MRSA colonisation in care
12 homes.

13 3) Strengths and limitations of this study

- 14 • This is a large prospective study, including 65 homes and 2492 residents.
15 MRSA prevalence was monitored over a 28 month period.
- 16 • The intervention was plausible, unlikely to be harmful and the assessments of
17 the intervention were reasonable.
- 18 • A significant improvement was seen in scores for all three intervention
19 assessment methods; however, the intervention was associated with a small
20 but significant increase in MRSA prevalence.
- 21 • It was not possible to identify or control for the factors responsible for the
22 increase in MRSA prevalence following the intervention.

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4 **Abstract (249 words)**
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6
7 **Objectives:** To determine the prevalence and health outcomes of meticillin-resistant
8
9 *Staphylococcus aureus* (MRSA) colonisation in elderly care home residents. To
10
11 measure the effectiveness of improving infection prevention knowledge and practice on
12
13 MRSA prevalence.
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16 **Setting:** Care homes, with and without nursing capability, for elderly residents in
17
18 Leeds, UK.
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21 **Participants:** Residents able to give informed consent.
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24 **Design:** A controlled before and after intervention study, using a stepped-wedge
25
26 design, in three groups totalling 65 care homes. Baseline MRSA prevalence was
27
28 determined by screening the nares of residents (n = 2492). An intervention based
29
30 upon staff education and training on hand hygiene was delivered at three different
31
32 times according to group number. Scores for an audit of hand hygiene facilities, staff
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34 hand hygiene observations, and an educational questionnaire were collected before
35
36 and after the intervention. After each group of homes received the intervention, all
37
38 participants were screened for MRSA nasal colonisation.
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40

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42 **Results:** MRSA prevalence was 20%, 19%, 22% and 21% in each survey,
43
44 respectively. There was a significant improvement in scores for all three assessment
45
46 methods ($p \leq 0.001$) post-intervention. The intervention was associated with a small
47
48 but significant increase in MRSA prevalence ($p = 0.023$). MRSA colonisation was
49
50 associated with previous and subsequent MRSA infection, but was not significantly
51
52 associated with subsequent hospitalisation or mortality.
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56 **Conclusions:** An intervention based on staff education and training did not result in a
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58 decrease in the prevalence of MRSA colonisation in care home residents. Additional
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60 measures will be required by reduce endemic MRSA colonisation in care homes.

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1 **What is already known on this subject?**

2 Residents of care homes are at risk of MRSA colonisation. The assessment of health
3 outcomes of residents colonised with MRSA is not commonly reported. Robust data
4 referring to strategies for preventing MRSA transmission in care homes are lacking and
5 studies are needed to test infection prevention interventions that are deliverable in the
6 care home setting.

7 **What this study adds**

8 There is a large reservoir of MRSA in care homes. MRSA colonisation is associated
9 with previous and subsequent MRSA infection in residents of care homes; however,
10 MRSA colonisation was not significantly associated with subsequent hospitalisation or
11 mortality. The intervention improved staff education and hand hygiene (compliance
12 and facility provision), but did not result in a decrease in prevalence of MRSA
13 colonisation. Staff education and training alone cannot be expected to reduce levels of
14 MRSA colonisation in the care home residents.

1 Introduction

2 Meticillin-resistant *Staphylococcus aureus* is a significant cause of mortality and
3 morbidity in both healthcare and community settings.^{1;2} Numerous surveillance
4 schemes,^{3;4} recommendations,^{5;6} and guidelines^{7;8} have been developed with the aim
5 of reducing levels of MRSA infection associated with healthcare. In the UK, mandatory
6 surveillance of cases of MRSA bacteraemia was introduced in all acute NHS Trusts in
7 England in 2001.³ Recently, levels of MRSA bacteraemia in hospitals have been
8 decreasing markedly.⁹

9 The elderly population living in care homes often require frequent contact with
10 healthcare. This situation, known as the 'revolving door' syndrome,¹⁰ when residents
11 are admitted to hospital and then discharged back into a care home, means that care
12 home residents are likely to be carriers of MRSA. Small studies in the UK during the
13 1990s identified levels of MRSA colonisation in care home residents between
14 0.8-17%.¹¹⁻¹³ More recently, our group¹⁴ and Baldwin *et al.* (2009) reported that MRSA
15 colonisation levels among residents in care homes in the UK were greater than 20%.¹⁵
16 MRSA prevalence rates of greater than 36% have been reported in long-term care
17 facilities in France and the USA.^{16;17} There is a paucity of large-scale, longitudinal
18 studies monitoring the occurrence of MRSA in the care home setting^{14;15} and the
19 assessment of health outcomes of residents colonised with MRSA are not commonly
20 reported.

21 Guidance for infection control in care homes was issued by the Department of
22 Health in 2006.⁸ These guidelines comprised recommendations rather than statutory
23 requirements, and were not specific for the control of MRSA. In a recent Care Quality
24 Commission survey, however, 25% of participating care homes were not using the
25 Department of Health guidance,⁸ including specific requirements that all staff should
26 receive training in infection prevention and control.¹⁰ Most evidence for the

1 effectiveness of infection control strategies has been generated in the acute healthcare
2 setting.^{7;18} Although some infection prevention recommendations designed for acute
3 healthcare may be applicable to other settings,⁷ successful translation to the care home
4 environment cannot be assumed.¹⁰ During compilation of a Cochrane review of
5 infection control strategies for preventing MRSA transmission in nursing homes, no
6 studies met the systematic selection criteria.¹⁸ Robust data referring to strategies for
7 preventing MRSA transmission in care homes are lacking, and studies are needed to
8 test infection prevention interventions that are deliverable in the care home setting.¹⁸

9 The objectives of this study were to determine prospectively the prevalence and
10 risk factors for MRSA colonisation in a large sample of elderly residents of care homes
11 in Leeds Primary Care Trust (PCT), and to determine whether training and education of
12 care home staff in the area of infection prevention, in particular hand hygiene, can
13 minimise the risk of MRSA transmission. Health outcomes (rates of subsequent
14 hospitalisation, infection and mortality) of residents according to MRSA colonisation
15 were also examined.

1 **Methods**

2 **Setting**

3 According to the Care Standards Act (2000), a care home is defined as 'any
4 home that provides accommodation, together with nursing or personal care, for any
5 person who is, or has been ill, or is disabled or infirm'.¹⁹ In the UK, all homes that meet
6 the definition of a care home are registered with the Care Quality Commission, formerly
7 known as the Commission for Social Care Inspection.²⁰ Care homes may be owned by
8 the local authority or by independent providers. All care homes, with 20 or more beds,
9 registered in Leeds, UK were eligible to take part in the study, excluding those that
10 provided care for people with mental, physical or learning disabilities. Ninety of the 186
11 registered care homes met the study criteria and were invited to participate. Leeds
12 Teaching Hospitals Trust (LTHT) was the main acute care provider for all the care
13 homes included in the study.

14 **Data collection**

15 Each participating care home was given a unique identifying number and was
16 anonymised to laboratory staff. Details such as home owner, number of beds, and
17 whether or not a home had nursing capability were recorded for each home. Each
18 resident who was considered to be eligible to participate by the care home staff was
19 verbally given information about the nature of the study. In the first instance, written
20 consent was obtained, followed by verbal consent if the resident agreed to participate
21 in subsequent surveys. The sampling process was anonymised, with no specific
22 infection prevention interventions being initiated on the identification of a resident who
23 was colonised. At each survey the total number of residents present in the home and
24 the number of residents able to consent was collected by age and sex category. Data
25 pertaining to the age, sex and presence of an invasive device were collected per
26 participant, per survey.

1 Once the collection of swabs had been completed, further data were collected.
2 The Microbiology Laboratory Information Management System (LIMS) was used to
3 determine whether each resident had a record of clinical samples being sent for
4 microbiological investigation and whether or not MRSA had been isolated before or
5 after each survey. For the purposes of this study, MRSA infection was defined as a
6 record of MRSA isolated from any invasive sample type (*i.e.* blood culture, tissue,
7 bone, bronchoalveolar lavage) or MRSA isolated as pure culture from a non-invasive
8 sample type (*i.e.* swab, sputum, urine). MRSA colonisation was defined as a record of
9 MRSA isolated from a urine sample collected via a catheter, or MRSA isolated from a
10 non-invasive sample type in the presence of other bacteria. Data regarding contact
11 with healthcare facilities were collected using the Patient Administration System (PAS)
12 for LTHT. This included the total number of hospital days spent in LTHT during the 12
13 months before a screening swab was collected, and the number of hospital admissions
14 prior to this period. Any attendance at out-patient clinics was also recorded. All-cause
15 mortality data were collected both from PAS and from a database held by Leeds
16 Primary Care Trust.

17 **Study design**

18 This study was a controlled before and after intervention study and followed a
19 stepped-wedge design (Table 1).²¹ After an initial MRSA prevalence survey, care
20 homes were randomly allocated into three groups. Random allocation was stratified by
21 number of beds and baseline MRSA prevalence. Implementation of staff training and
22 education intervention was dependent on the group to which the home had been
23 allocated. Homes in Group One received the intervention between January-October
24 2007; homes in Group Two between November 2007-February 2008; and homes in
25 Group Three between July-September 2008. Scores for audits of hand hygiene

1 facilities, staff hand hygiene observations, and an educational questionnaire were
 2 collected before and after the intervention.

3 **Table 1. Intervention schedules for stepped wedge design; “0” represents a**
 4 **pre-intervention survey, “1” represents surveys occurring post-intervention.**

Group	Survey/Period of Collection			
	1	2	3	4
	Nov-Dec 2006	Oct-Nov 2007	May-Jun 2008	Jan-Feb 2009
1	0	1	1	1
2	0	0	1	1
3	0	0	0	1

5 **Intervention**

6 An intervention based on staff training and education on the topic of infection
 7 prevention and effective hand hygiene was used to assess the effect on MRSA
 8 prevalence. The intervention consisted of a structured session of education, combined
 9 with two audits that assessed hand hygiene practice and facilities in the care home.
 10 Scores for the educational questionnaire and for audit of hand hygiene facilities and
 11 staff hand hygiene observations were collected before and after the training session.
 12 Written feedback concerning the results of the audits that took place before the training
 13 session was returned to each home. Specific suggestions for improvement were
 14 included when necessary.

15 The education session, lead by an Infection Control Nurse employed by Leeds
 16 PCT, lasted approximately 45 minutes, and was delivered using a Microsoft Office

1 PowerPoint presentation with strictly controlled content. Topics included how and
2 when to wash hands and barriers to effective hand washing. The use of alcohol gel
3 and personal protective equipment were also included. A DVD outlining correct hand
4 hygiene procedures was shown during the training. Attendees participated in a
5 practical demonstration of good hand hygiene technique by using hand cream
6 containing ultra-violet responsive particles and a UV light box. A questionnaire
7 comprising 12 short answer questions was completed, directly before (pre-) and after
8 (post-) the educational session, by personnel who attended the training. Approximately
9 four weeks after the training was completed, three members of staff were chosen at
10 random to complete the same questionnaire; this is referred to as the extended-time
11 questionnaire. The same materials and session format were used for all intervention
12 groups. The study aimed to deliver the educational input to at least 80% of the
13 whole-time equivalent (WTE) staff.

14 An audit of the hand hygiene practice and facilities was carried out for each
15 home at the beginning of the relevant intervention period, using an audit tool from the
16 Infection Control Nurses Association.²² Issues such as staff education, compliance
17 with requirements relating to uniform policy, and provision of liquid soap and paper
18 towels were assessed. The same audit was carried out after written feedback had
19 been given to the home. The Lewisham hand hygiene assessment tool²³ was used to
20 perform observational audits of hand hygiene practice before and, a minimum of four
21 weeks, after the educational input for each intervention group. During each of these
22 audits, three care home staff members, selected at random, were shadowed for a
23 period of 20 minutes each. A comparison between the number of times hand
24 decontamination occurred *versus* the number of hand washing opportunities arising
25 was determined to give a percentage figure for compliance.

26 **Statistical analysis**

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5 1 Statistical analysis was carried out using Stata data analysis and statistical
6
7 2 software (StataCorp, Texas, USA). Chi-squared tests were used to compare resident
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9 3 and care home characteristics. Descriptive statistics were used to compare home
10
11 4 characteristics between the three groups into which homes were allocated and to
12
13 5 compare those homes participating in the study to those not consenting to take part.
14
15 6 Chi-squared tests were used to compare proportions, t-tests for comparing continuous
16
17 7 variables between two groups and ANOVA for comparing continuous data between
18
19 8 more than two groups. Analytical approaches used in stepped-wedge designs are
20
21 9 susceptible to separate time trends within subgroups;²¹ therefore, the presence of a
22
23 10 significant time trend within subgroups of care homes and residents was investigated.
24
25 11 The impact of the intervention was then investigated using a random effects logistic
26
27 12 regression model controlling for resident characteristics and subgroup by time trend
28
29 13 interactions. A χ^2 test was used to compared hand hygiene proportions and a t-test to
30
31 14 compare educational scores. Scores from the audit of hand hygiene facilities were not
32
33 15 normally distributed and a Wilcoxon signed rank test was used for comparison. To
34
35 16 investigate whether being identified with an infection was associated with prior MRSA
36
37 17 carriage, survival analysis was performed using a Cox proportional hazards model.
38
39 18 Residents that had a record of an MRSA infection prior to entering the study were
40
41 19 excluded from this analysis. The analysis investigated the time from the resident
42
43 20 entering the survey to the time of identification of an MRSA infection or until the
44
45 21 09/08/2009. A random effects logistic regression model was used to assess whether
46
47 22 mortality was associated with prior MRSA carriage. For all analyses, statistical
48
49 23 significance was defined as $p < 0.05$.

24 **Microbiological methods**

25 Amies' Transport swabs (Barloworld Scientific, Stone, Staffordshire, UK) were
26 used to sample the anterior nares of consenting residents during four periods:

1 16th November 2006-13th December 2006 (Survey One); 1st October
2 2007-12th November 2007 (Survey Two); 1st May 2008-26th June 2008 (Survey Three)
3 and 5th January-12th February 2009 (Survey Four). Each swab was used to inoculate a
4 single MRSA Select agar plate (Bio-Rad, Marnes la Coquette, France), which was
5 incubated for 18-24 hours at 37°C. Bright, fuchsia–pink colonies were considered
6 presumptive MRSA. Presumptive MRSA colonies were confirmed to be *S. aureus* by
7 DNase agar testing and positive agglutination reaction using the Pastorex™ Staph plus
8 kit (Bio-Rad, Marnes la Coquette, France). Meticillin resistance was confirmed by
9 breakpoint susceptibility testing using Iso-Sensitest agar (Oxoid, Basingstoke,
10 Hampshire, UK) supplemented with 4 mg/L, 8 mg/L and 12 mg/L methicillin,
11 respectively (Medical Wire and Equipment Co. Ltd., Corsham, Wiltshire, UK) or 4 mg/L
12 cefoxitin (Mast Diagnostics, Bootle, Merseyside, UK). Isolates that had an equivocal
13 meticillin susceptibility result by breakpoint method were analysed further using the
14 Mastalex™ MRSA kit (MAST Diagnostics, Bootle, Merseyside, UK).
15 Meticillin-susceptible *S. aureus* strain NCTC 6571 and MRSA strain NCTC 10442 were
16 used as control organisms.

1 Results

2 Participating Care Homes

3 Of the 90 homes that were invited, 68 homes participated in the first part of the
 4 study. There was no significant difference in the homes taking part and those that
 5 refused in terms of the number of residents ($p = 0.15$, t-test), the proportion with
 6 nursing capability ($p = 0.62$, χ^2) or the proportion that were owned by the local authority
 7 ($p = 0.18$, χ^2). After the initial survey, the 68 homes that participated were randomly
 8 allocated into three groups. The number of homes that were in each group and their
 9 characteristics are shown in Table 2.

10 **Table 2. Home characteristics according to Intervention Group.**

	Group		
	1	2	3
Total Homes (n)	28	18	22
Mean number of places per home (n)	44	39	42
Homes with nursing capability (n)	14	8	10
Local authority homes (n)	8	1	6

11 There was no significant difference between homes allocated to different
 12 intervention groups with respect to the number of homes that provided nursing care
 13 ($p = 0.9$, χ^2), the mean number of beds per home ($p = 0.6$, ANOVA), and the owner of
 14 the home ($p = 0.12$, χ^2). There were no significant differences in mean age ($p = 0.9$,
 15 ANOVA), sex distribution ($p = 0.4$, χ^2) or overall number of residents ($p = 0.43$, t-test)
 16 between the three intervention groups; however, there were fewer residents in homes

1 owned by the local authority in Group Two. Following the first survey, two homes
2 withdrew from the study leaving 66 homes in the second survey. A further home
3 withdrew following Survey Two leaving 65 homes in Surveys Three and Four. The
4 following analyses report data from those homes that participated in all four surveys.

5 The 65 homes that participated in all four surveys had 2772 beds. Fourteen
6 homes were operated by the local authority, none of which had nursing capability
7 (n = 463 beds; range 20-40; mean 33). Fifty one homes were owned by independent
8 providers (n = 2309 beds; range 20-180; mean 44); 31 homes (n = 1648 beds) had
9 nursing capability. Homes with nursing capability comprised 48% (n = 30) of the
10 homes in this study and housed 59% (n = 1621) of the beds.

11 **Participating residents and swabs collected**

12 In total, 4327 swabs were collected; 1210 from Survey One, 1067 from Survey
13 Two, 1023 from Survey Three and 1027 from Survey Four. Two swabs were removed
14 from Survey Four due to participant duplication (n = 1) and incomplete data, leaving
15 4325 swabs suitable for analysis. The number of swabs collected from individual care
16 homes during any survey ranged from 5-93. On average, 46% of residents that were
17 present in homes at the time of a survey were swabbed (*i.e.* able to provide consent
18 and available for swabbing).

19 The study included 2492 residents. The majority (n = 1405, 56%) of residents
20 participated in a single survey, 550 (22%) participated in two surveys, 328 (13%) in
21 three surveys and 209 (8%) participated in all four surveys. The majority (n = 1404) of
22 residents had been admitted to hospital within the 12 months before being included in
23 the study. Of those that did not have a record of hospital admission within 12 months
24 of being sampled, 664 had a record of previous hospital admission according to LTHT
25 PAS. There were 424 (17%) residents that had no record of hospital admission to
26 LTHT; however 154 of these had a record of contact with out-patient clinics. There

1 were 270 residents that did not have any record of contact with healthcare; of these,
2 18% were found to be MRSA positive in at least one survey. The corresponding
3 proportion for those who had had healthcare contact was 28% ($p < 0.001$).

4 **Staff knowledge and behaviour**

5 There were significant improvements in the mean scores for staff knowledge
6 following the intervention; 71%, scores after education vs. 43% before education
7 ($p < 0.001$, t-test). The mean knowledge score achieved at the extended-time
8 questionnaire was 57% (vs. baseline $p < 0.001$, t-test). There were significant
9 improvements in the mean scores following the intervention for the audit of hand
10 hygiene facilities (85% post-intervention vs. 69% pre-intervention; $p < 0.001$, Wilcoxon
11 signed rank test) and observations of hand hygiene (82% of 455 opportunities after the
12 intervention vs. 58% of 568 opportunities before; $p < 0.001$, χ^2 test).

13 **MRSA colonisation**

14 A total of 888 swabs (21%) of anterior nares were MRSA positive; this
15 comprised 238 participants in Survey One (20%); 204 in Survey Two (19%); 228 in
16 Survey Three (22%), and 218 in Survey Four (21%). The prevalence of MRSA
17 colonisation in residents within individual homes ranged from 0-60%. One home, a
18 privately owned care home without nursing capability ($n = 24$ beds), with 21
19 participants, did not have any residents with nasal colonisation with MRSA identified in
20 any of the four surveys. There was no significant difference in prevalence of MRSA
21 between surveys ($p = 0.28$, χ^2) and there was no significant trend in MRSA prevalence
22 overall ($p = 0.15$, ANOVA) across the four surveys. When other factors were controlled
23 for (age, sex, hospital admissions, invasive devices), however, a significant increase in
24 MRSA colonisation across the four surveys was identified (OR = 1.08, $p = 0.031$,
25 logistic regression). In order to identify factors associated with the increasing trend,
26 subgroup analyses (homes with nursing capability, privately owned homes or large

1 homes (>35 beds) were performed. The increase in MRSA prevalence remained
2 significant in homes with nursing capability (OR = 1.61, 95% CI 1.15-2.26, $p = 0.006$,
3 logistic regression) and for residents in the >90 years age group (OR = 1.14, $p = 0.044$,
4 logistic regression). Both trends were taken into account during multivariate analysis.

5 Multivariate analysis of risk factors for MRSA colonisation in residents showed
6 that the intervention was associated with a small but significant increase in prevalence
7 of MRSA ($p = 0.02$, logistic regression) (Table 3). Overall, MRSA prevalence prior to
8 the intervention was 18.6%, which increased to 22.4% after the intervention. When
9 analysed according to Group, there was a significant difference between MRSA
10 prevalence before and after the intervention in Groups Two ($p = 0.04$, χ^2) and Three
11 ($p = 0.02$, χ^2) but not in Group One ($p = 0.44$, χ^2) (Figure 1). The significant increase in
12 prevalence occurred in the survey directly after the intervention but was not sustained
13 in the group that had follow-up (Figure 1). The following factors were also significantly
14 associated with MRSA colonisation: the number of hospital admissions in the last
15 12 months, the total number of days a participant spent in hospital in the 12 months
16 before sampling, male sex, and having a record of an MRSA infection prior to entering
17 the study (Table 3).

18 To investigate the increase in MRSA prevalence occurring after the intervention, care
19 homes with and without nursing capability were analysed separately with controls
20 (Table 3). This analysis showed that the intervention was no longer associated with an
21 increase in MRSA prevalence in homes with nursing capability ($p = 0.159$, logistic
22 regression); however, in care homes without nursing capability the intervention
23 remained significantly associated with an increase in MRSA prevalence ($p = 0.034$,
24 logistic regression). When the same analysis was performed only including
25 participants who were present in at least two surveys ($n = 1087$), the intervention
26 remained associated with an increase in MRSA prevalence in both care homes with

- 1 nursing capability (OR = 2.07, 95% CI 1.22-3.52, $p = 0.007$, logistic regression) and
- 2 those without (OR = 2.55, 95% CI 1.3-4.97, $p = 0.006$, logistic regression).

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1 **Table 3. Logistic regression of risk factors for colonisation with meticillin-resistant *Staphylococcus aureus* (MRSA) among 2492**
 2 **residents of care homes in Leeds, United Kingdom, according to care home capability**

Risk factor	Comparison group	Overall			Care home					
		OR	CI	<i>p</i>	Without nursing capability			With nursing capability		
		OR	CI	<i>p</i>	OR	CI	<i>p</i>	OR	CI	<i>p</i>
After intervention	No intervention	1.36	1.04-1.79	0.02	1.61	1.03-2.52	0.034	1.26	0.91-1.75	0.159
No. of hospital admissions in the last 12 months	-	1.18	1.11-1.26	<0.001	1.23	1.11-1.36	<0.001	1.14	1.05-1.24	0.001
No. of hospital admission days in the last 12 months	-	1.00	1.00-1.00	0.001	1.00	1.00-1.01	0.046	1.00	1.00-1.00	0.006
Presence of an invasive device	Absence of invasive device	2.36	1.70-3.29	<0.001	1.81	0.86-3.82	0.116	2.46	1.70-3.56	<0.001
Record of MRSA infection prior to study	No previous record	2.12	1.49-3.02	<0.001	3.73	1.78-7.82	<0.001	1.78	1.19-2.65	0.005
Age 80-89 years	<80 years	1.13	0.92-1.39	0.24	1.14	0.80-1.64	0.454	1.15	0.90-1.48	0.246
Age 90+ years	<80 years	1.29	0.94-1.78	0.11	1.54	0.91-2.6	0.101	1.13	0.75-1.7	0.537
Male	Female	1.48	1.24-1.78	<0.001	1.37	1.0-1.87	0.042	1.55	1.25-1.93	<0.001

3 Key: OR, odds ratio; CI, 95% confidence interval.

2 Residents were followed for a median 21 months to determine MRSA infection
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3 and survival outcomes. The length of follow-up varied significantly according to the
4 survey in which the resident participated; residents in the first survey had a possible
5 follow-up of 33 months compared with those in the last survey, who had possible
6 follow-up of six months. Hospital admission data in the period 12 months after the date
7 of colonisation were collected for residents that participated in Survey One (n = 1210).
8 The relative risk for hospitalisation within 12 months of the date of colonisation was
9 1.27 ($p > 0.05$). Subsequent infection with MRSA was significantly associated with prior
10 MRSA colonisation when other factors were controlled for (OR = 2.5, 95%
11 CI = 1.2-5.24, $p = 0.014$, Cox proportional hazards model) (Table 4). Of the 2492
12 residents included in the study, 90 residents were recorded as having an MRSA
13 infection prior to entering the study, leaving 2442 suitable for further analysis. The
14 majority (n = 1800) of residents were not colonised with MRSA and had no record of an
15 MRSA infection. There were 612 residents who were colonised with MRSA but had no
16 record of MRSA infection, 16 residents had no MRSA colonisation and had a
17 subsequent record of an MRSA infection, and 14 residents were identified with
18 colonisation and had subsequently developed an MRSA infection. Eight residents had
19 a record of MRSA bacteraemia. Two percent of residents colonised with MRSA had a
20 record of MRSA infection subsequent to a survey, compared with 0.9% for those
21 residents without MRSA colonisation ($p = 0.008$, χ^2). Death was recorded for 897 of
22 the 2492 residents that participated. Colonisation with MRSA was not significantly
23 associated with mortality (OR = 1.16, 95% CI 0.95-1.41, $p = 1.32$, logistic regression);
24 however, mortality was significantly associated with advanced age, male sex, the
25 presence of an invasive device, and the number of hospital admissions within 12

2 entering the study to either MRSA infection or 09/08/2009, whichever occurred
 3 **BMJ Open**
 3 first and b) logistic regression model of mortality associated with prior MRSA
 4 carriage

Risk factor	MRSA infection ^a			Mortality ^b		
	Hazard Ratio	CI	<i>p</i>	OR	CI	<i>p</i>
MRSA colonisation during study	2.51	1.2-5.24	0.014	1.16	0.95-1.41	0.132
Age	1.00	0.96-1.05	0.728	1.04	1.03-1.05	<0.001
Male	1.41	0.65-3.08	0.377	1.39	1.14-1.69	0.001
Presence of an invasive device	0.67	0.09-5.02	0.701	5.45	3.32-8.95	<0.001
No. of hospital admissions in the previous 12 months	1.11	0.92-1.34	0.244	1.06	1.00-1.12	0.038

5 Key: OR, Odds ratio; CI, 95% confidence interval.

1 Discussion

2 To our knowledge, this is the largest prospective study that has monitored the
3 level of nasal colonisation of MRSA in elderly residents of care homes in the UK. Sixty
4 five homes and 2492 residents participated in the study which took place over a 28
5 month period (November 2006-February 2009). The study included a large proportion
6 of care homes in the area served by Leeds Primary Care Trust, including homes of
7 different sizes (n = 20-180 beds), homes owned by the local authority and by
8 independent providers, and homes with and without nursing capability. In total, 888
9 MRSA isolates were identified from 4325 nasal swabs during the periods of screening
10 stated. The mean level of MRSA colonisation was 20% (95% CI = 18-23%), which was
11 higher than levels recorded during the 1990s but comparable to those reported recently
12 (22-23%).^{14;17} Interestingly, a recent survey of 748 residents in 51 care homes in
13 Gloucestershire and Bristol found that only 7.9% residents were positive for MRSA by
14 nasal screening, indicating marked geographical variation in MRSA prevalence in care
15 homes.²⁴

16 The health outcomes of residents are not commonly included in studies of
17 MRSA prevalence in the care home.^{17;25;26} The findings of the present study support
18 the hypothesis that although MRSA infections in the care home setting are infrequent,
19 colonised residents have an increased risk of developing an infection.^{15;27} MRSA
20 colonisation was associated with previous and subsequent MRSA infection; residents
21 colonised with MRSA were two and a half times more likely to develop a MRSA
22 infection than non-carriers. Notably, however, MRSA colonisation was not significantly
23 associated with mortality in a logistic regression model, a finding which has been
24 reported by others, albeit in a lower prevalence setting.²⁸

25 The intervention applied in the present study was intended to improve
26 awareness of good practice and knowledge of infection control in care homes, with an

1 emphasis on hand hygiene. The present study assessed the infection prevention
2 knowledge of over 1000 members of staff and the infection prevention practice of more
3 than 300 individuals. The stepped-wedge design allowed measurement of MRSA
4 prevalence before the intervention, directly after the intervention, and further follow-up
5 in two out of three study groups. Participating residents and staff in each group of
6 homes acted as controls for each other. Three established methods were used to
7 measure staff knowledge and behaviour following the intervention and scores improved
8 after the intervention for all three assessments. Overall, no significant difference in
9 MRSA prevalence was identified during the survey periods. Directly following the
10 intervention, however, there was a significant increase in MRSA prevalence, although
11 this returned to baseline levels in one group that had follow-up. Stepped-wedge
12 designs are particularly susceptible to trends within subgroups, but when the
13 subgroups were adjusted for linear trends, the increase in MRSA prevalence after the
14 intervention remained significant. It is possible that other confounding factors resulted
15 in a non-linear trend in MRSA prevalence in certain homes. It has not been possible to
16 identify or control for these factors. MRSA infections are unlikely to be independent
17 events and a cluster of MRSA cases may explain temporary increases in prevalence
18 following the intervention in some homes.

19 Other studies have used a similar intervention strategy in care homes.²⁹⁻³¹ A
20 study based in Taiwan introduced a programme of hand hygiene training into three
21 care homes and identified significant improvements in scores for staff knowledge and
22 behaviour after the training; difference between hand hygiene knowledge pre- and
23 post-intervention, $p < 0.001$; difference between hand hygiene observations pre- and
24 post-intervention, $p = 0.001$.³⁰ Although no direct measure of microbiological outcome
25 was included, rates of infection based on the total number of urinary tract infections,
26 lower respiratory infections and rates of influenza recorded by each facility, were

1 significantly lower following the intervention (1.52%) compared with rates recorded for
2 two periods before the intervention; December 2004-February 2005 (1.74%) and
3 June-August 2005 (2.04%) ($p < 0.001$).

4 Around the same time as the present study, Baldwin *et al.* (2010) implemented
5 an infection control education and training programme in nursing homes in the Belfast
6 area of Northern Ireland.²⁹ The study screened 793 residents and 338 members of
7 staff for MRSA colonisation. The education programme, occurring at baseline and at
8 three and six months, consisted of multiple training sessions for staff. An existing
9 member of staff in each intervention home was assigned the role of infection control
10 link worker, the role of which was to reinforce good infection control practice in the
11 home. Practice was observed and recorded, with feedback, for an audit of ten
12 specified infection control standards involving the following subject areas: cleanliness,
13 decontamination (hand and environment), waste management, personal protective
14 equipment and the management of wounds, urinary catheters and enteral feeding.
15 Using a cluster randomised controlled study design, audit scores and MRSA
16 colonisation of residents and staff were compared for homes in the intervention group
17 ($n = 16$) with those homes in the control group ($n = 16$); homes in the control group did
18 not receive training or feedback. While scores for the infection control audits
19 significantly improved in eight of the ten standards (82% vs. 64% in intervention and
20 control homes, respectively, $p < 0.0001$), levels of MRSA colonisation did not change
21 over the 12 month study period in either residents or staff.

22 In contrast, Gopal *et al.* (2009) evaluated whether enhanced infection control
23 support in nursing homes had an impact on improving infection control practice.³¹ The
24 intervention included extensive support from a dedicated infection control team,
25 including an infection control nurse, infection control nurse specialist and an infection
26 control doctor. Twelve homes were included in the study and were divided into two

1 groups of six, an intervention group and a control group, based on the number of
2 residents. The study found no statistical difference between the control group and the
3 group of homes that received the intervention at baseline and final assessment for
4 hand hygiene facilities ($p = 0.69$), environmental cleanliness ($p = 0.43$) and disposal of
5 clinical waste ($p = 0.96$). There was no microbiological investigation included in this
6 evaluation.

7 In principle, the intervention applied in the present study was plausible and
8 unlikely to be harmful. The assessments were reasonable, albeit focussed on
9 short-term effects; however, the following limitations of the study must be
10 acknowledged. It is likely that the prevalence reported here is an underestimation of
11 the true level of MRSA colonisation because of the use of nasal screening alone. To
12 achieve a high-level of sensitivity of detection (>90%) of MRSA carriers, multiple sites
13 (e.g. axilla, groin, nose and throat) need to be screened.^{32,33} Screening urethral
14 catheters, legs ulcers and pressure sores would have increased the sensitivity of
15 MRSA detection and may have provided further information regarding the infection
16 status of the resident. Although pooling swabs from multiple sites could have been
17 done at the same cost, screening the anterior nares as a single site using chromagar
18 as a growth medium was a compromise, taking into account the difficulties of obtaining
19 consent and practical issues associated with more extensive sampling of a
20 predominantly frail, elderly population and the need for a cost-effective approach.

21 The study aimed to deliver educational input to at least 80% whole-time
22 equivalent staff. As only one individual is required to break the chain of infection
23 control; the study ought to have included all full- and part-time employees, or as a
24 minimum included all key personnel, in terms of influencing practice, in each setting.
25 Although observational methods of assessing hand hygiene compliance are considered
26 the gold standard,³⁴ increased productivity due to observation, known as the

1 Hawthorne effect, must be considered.^{35;36} Despite long-term microbiological follow-up
2 (8-25 months), the duration of follow-up with regards to staff knowledge and behaviour
3 remained short (approximately four weeks). While the anonymous design of the
4 present study kept assessment of the intervention informal, it did not enable the
5 long-term follow-up of knowledge and practice in individual staff.

6 The intervention applied in the present study focused on a particular area of
7 infection prevention, that of hand hygiene, skin care and personal protective
8 equipment. Hand hygiene is considered to be an educational priority; however, there is
9 little evidence to suggest that improvements in hand hygiene alone result in a
10 significant reduction in MRSA infection or colonisation.³⁷ Additional educational topics
11 may include risk factors for infection and how to identify residents at risk, care of
12 wounds and invasive devices, and education about the judicious use of antibiotics.³⁸
13 Implementation of an intervention in a setting such as that of the care home, which
14 experiences a high level of change, in terms of employee and resident throughput,
15 cannot be expected to last long-term without regular input. A single session of
16 education per staff member is unlikely to make a large difference to long-term practice.
17 Alternative training and education strategies may include more frequent educational
18 sessions, with additional learning resources, such as e-learning. Others have reported,
19 however, that the introduction of multiple training sessions did not result in a decrease
20 in MRSA prevalence,²⁹ and care homes that had access to extensive infection control
21 support failed to show improvements in audit scores.³¹

22 The use of interventions that focus on screening and decolonisation of residents
23 and/or staff may reduce MRSA prevalence in care homes. Given the difficulty of
24 achieving MRSA decolonisation in individuals with multiple risk factors for persistence,
25 this would be a considerable undertaking, and may risk resistance selection. Control of
26 risk factors for MRSA colonisation, such as improved management of wounds and

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4 1 invasive devices may be beneficial.³⁸ Evaluation would be required to assess the cost
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6 2 *versus* benefit of interventions involving screening and decolonisation in the care home
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8 3 setting, along with consideration about the source of funding if such approaches were
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10 4 to be recommended,^{39;40} Given the large recent and continuing decreases in incidence
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12 5 of invasive MRSA infection in England,⁹ it remains possible that control measures in
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14 6 the secondary care setting will lead to reduced MRSA carriage in care home residents.
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18 **Conclusion**

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20 8 These results reinforce previous reports of high MRSA colonisation rates in
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22 9 elderly residents of care homes. The intervention applied in the present study
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24 10 improved staff practice and knowledge but did not reduce MRSA prevalence in
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26 11 residents. These data provide an important baseline for future surveillance of MRSA in
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28 12 the care home setting. Further work is needed regarding screening, decolonisation
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30 13 and re-entry to the care home and continued surveillance is needed to understand the
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32 14 interaction between MRSA in care homes and hospitals.
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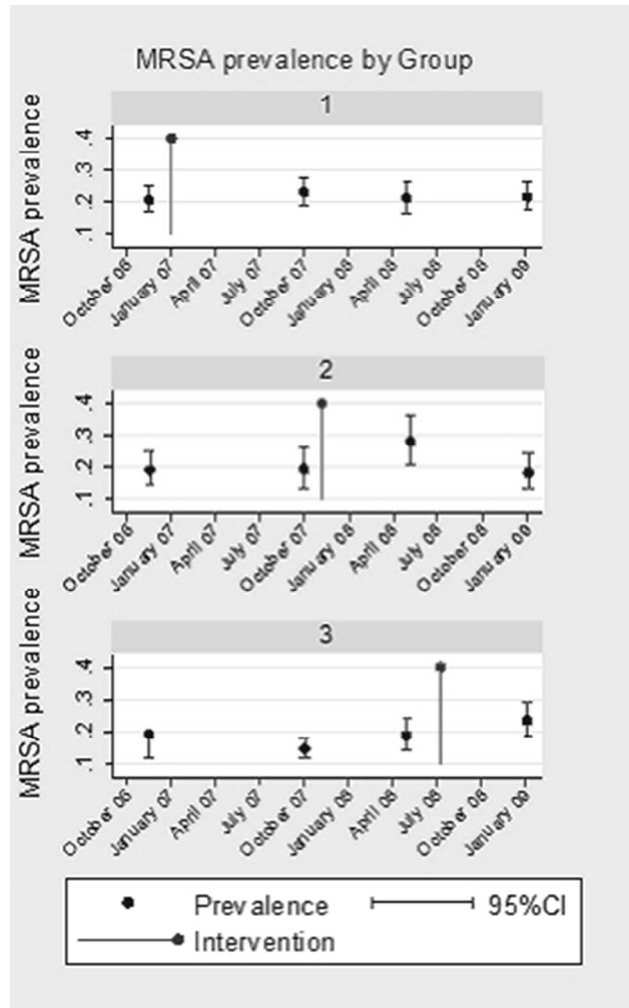
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21 8 **Legend for Figure 1.**

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24 9 **Changes in MRSA prevalence by Intervention Group per survey, before and after**
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26 10 **the intervention.**
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Changes in MRSA prevalence by Intervention Group per survey, before and after the intervention.
83x135mm (96 x 96 DPI)



The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation using a stepped wedge study design

Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2011-000423.R1
Article Type:	Research
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Primary Subject Heading:	Health services research
Secondary Subject Heading:	Public health, Infectious diseases
Keywords:	carriage, transmission, intervention

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ORION Checklist of items to include when reporting an outbreak or intervention study of a nosocomial organism

	Item Number	Descriptor
Title & Abstract	1	Description of paper as outbreak report or intervention study. Design of intervention study (eg Randomised Controlled Trial , Cluster Randomised Controlled Trial, Interrupted Time Series, Cohort study etc). Brief description of intervention and main outcomes. - DONE
Introduction Background	2	Scientific and/or local clinical background and rationale. Description of organism as epidemic, endemic or epidemic becoming endemic. - DONE
Type of paper	3	Description of paper as Intervention study or an Outbreak Report. If an outbreak report, report the number of outbreaks. - DONE
Dates	4	Start and finish dates of the study or report. - DONE
Objectives	5	Objectives for outbreak reports. Hypotheses for intervention studies - DONE
Methods Design	6	Study design. Use of EPOC classification recommended (RCT or CRCT, CBA, or ITS) - STEP WEDGE DESIGN Whether study was retrospective, prospective or ambidirectional. Whether decision to report or intervene was prompted by any outcome data. Whether study was formally implemented with predefined protocol and endpoints.
Participants	7	Number of patients admitted in study or outbreak. Summaries of distributions of age and lengths of stays. If possible, proportion admitted from other wards, hospitals, nursing homes or from abroad. Where relevant, potential risk factors for acquiring the organism. Eligibility criteria for study. Case definitions for outbreak report. -DONE
Setting	8	Description of the unit, ward or hospital and, if a hospital, the units included. Number of beds, the presence and staffing levels of an infection control team. - DONE
Interventions	9	Definition of phases by major change in specific infection control practice (with start and stop dates). A summary table is strongly recommended with precise details of interventions, how and when administered in each phase. - DONE
Culturing & Typing	10	Details of culture media, use of selective antibiotics and local and /or reference typing. Where relevant, details of environmental sampling. - DONE
Infection-related outcomes	11	Clearly defined primary and secondary outcomes (eg incidence of infection, colonisation , bacteraemia) at regular time intervals (eg daily, weekly, monthly) rather than as totals for each phase, with at least three data points per phase and, for many two phase studies, 12 or more monthly data points per phase. Denominators (eg numbers admissions or discharges, patient bed days). If possible, prevalence of organism and incidence of colonisation on admission at same time intervals. Criteria for infection, colonisation on admission and directly attributable mortality. For short studies or outbreak reports, use of charts with duration patient stay & dates organism detected may be useful (see text) - DONE
Economic outcomes	12	If a formal economic study done, definition of outcomes to be reported, description of resources used in interventions, with costs broken down to basic units, stating important assumptions.- NOT APPLICABLE
Potential Threats to internal validity	13	Which potential confounders were considered, recorded or adjusted for (eg: changes in length of stay, case mix, bed occupancy, staffing levels, hand-hygiene compliance, antibiotic use, strain type, processing of isolates, seasonality). Description of measures to avoid bias including blinding & standardisation of outcome assessment & provision of care. - DONE
Sample size	14	Details of power calculations, where appropriate - ARE AVAILABLE IF REQUIRED
Statistical methods	15	Description of statistical methods to compare groups or phases. Methods for any subgroup or adjusted analyses, distinguishing between planned and unplanned (exploratory) analysis. Unless outcomes are independent, statistical approaches able to account for dependencies in the outcome data should be used, adjusting, where necessary, for potential confounders. - DONE For outbreak reports statistical analysis may be inappropriate.
Results Recruitment	16	For relevant designs the dates defining periods of recruitment and follow-up. A flow diagram is recommended to describe participant flow in each stage of study. - NOT APPLICABLE
Outcomes & estimation	17	For the main outcomes, the estimated effect size and its precision (usually using confidence intervals). A graphical summary of the outcome data is often appropriate for dependent data (such as most time series). - DONE
Ancillary analyses	18	Any subgroup analyses should be reported and it should be stated whether or not it was planned (specified in the protocol) and possible confounders adjusted for - DONE
Adverse events	19	Pre-specified categories of adverse events and occurrences of these in each intervention group . This might include drug side effects, crude or disease specific mortality in antibiotic policy studies or opportunity costs in isolation studies. - NOT APPLICABLE
Discussion Interpretation	20	For intervention studies an assessment of evidence for/against hypotheses, accounting for potential threats to validity of inference including regression to mean effects and reporting bias. For outbreak reports, consider clinical significance of observations and hypotheses generated to explain them. - NOT APPLICABLE
Generalisability	21	External validity of the findings of the intervention study i.e. to what degree can results be expected to generalise to different target populations or settings. - DONE
Overall evidence	22	General interpretation of results in context of current evidence. - DONE

Abbreviations: RCT: randomised controlled trial CRCT : Cluster Randomised Controlled Trial CBA: controlled before and after study ITS: interrupted time series

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1 **The longitudinal prevalence of MRSA in care home residents and the**
2 **effectiveness of improving infection prevention knowledge and practice**
3 **on colonisation using a stepped wedge study design**

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21 **Word count:** 5788. The manuscript contains four tables (Tables 1-4) and one figure
22 (Figure 1).

23

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11 and declare: no support from any organisation for the submitted work; no financial
12 relationships with any organisations that might have an interest in the submitted work in
13 the previous three years; no other financial or non-financial relationships or interests
14 that that may be relevant to the submitted work.

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22 Contributors

23 MW was the lead investigator and obtained funding for the study. All authors were
24 involved in the study design and reviewed the draft of this report. CH coordinated the
25 data management and drafted this report. GH and DT were integral to the setting up
26 and management of the study. BB carried out the statistical analysis. PP was

1 responsible for laboratory protocol development. DH carried out the data collection
2 and the sampling of residents with assistance from other Infection Control Nurses,
3 North East Leeds PCT. MW is the guarantor of the study. **All authors have approved**
4 **the final submitted version of the manuscript.**

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9 **Ethics approval**

10 The study received approval from the East Leeds Research Ethics Committee: MREC
11 reference number 06/Q1206/162.

12

1 Article Summary

2 1) Article Focus

3 To assess the effectiveness of an educational intervention on the prevalence of MRSA
4 in care homes for the elderly.

5 2) Key messages

- 6 • There was a high rate of MRSA colonisation in elderly residents of care homes
7 during the study period.
- 8 • The intervention improved the infection prevention knowledge and practice of
9 staff working in care homes, but did not reduce the prevalence of MRSA
10 colonisation of residents.
- 11 • **MRSA colonisation was associated with previous and subsequent MRSA**
12 **infection, but was not significantly associated with subsequent**
13 **hospitalisation or mortality.**
- 14 • Additional measures are required to reduce endemic MRSA colonisation in care
15 homes.

16 3) Strengths and limitations of this study

- 17 • This is a large prospective study, including 65 homes and 2492 residents.
18 MRSA prevalence was monitored over a 28 month period.
- 19 • The intervention was plausible, unlikely to be harmful and the assessments of
20 the intervention were reasonable.
- 21 • A significant improvement was seen in scores for all three intervention
22 assessment methods; however, the intervention was associated with a small
23 but significant increase in MRSA prevalence.

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- 1 • It was not possible to identify or control for the factors responsible for the
- 2 increase in MRSA prevalence following the intervention.
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4 **Abstract (250 words)**

5
6 **Objectives:** To determine the prevalence and health outcomes of meticillin-resistant
7 *Staphylococcus aureus* (MRSA) colonisation in elderly care home residents. To
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measure the effectiveness of improving infection prevention knowledge and practice on
MRSA prevalence.

6 **Setting:** Care homes for elderly residents in Leeds, UK.

7 **Participants:** Residents able to give informed consent.

8 **Design:** A controlled intervention study, using a stepped-wedge design, comprising 65
9 homes divided into three groups. Baseline MRSA prevalence was determined by
10 screening the nares of residents (n = 2492). An intervention based upon staff
11 education and training on hand hygiene was delivered at three different times
12 according to group number. **Scores for three assessment methods, an audit of
13 hand hygiene facilities, staff hand hygiene observations, and an educational
14 questionnaire, were collected before and after the intervention.** After each group
15 of homes received the intervention, all participants were screened for MRSA nasal
16 colonisation. **In total, four surveys took place between November 2006 and
17 February 2009.**

18 **Results:** MRSA prevalence was 20%, 19%, 22% and 21% in each survey,
19 respectively. There was a significant improvement in scores for all three assessment
20 methods post-intervention ($p \leq 0.001$). The intervention was associated with a small
21 but significant increase in MRSA prevalence ($p = 0.023$). MRSA colonisation was
22 associated with previous and subsequent MRSA infection, but was not significantly
23 associated with subsequent hospitalisation or mortality.

24 **Conclusions:** The intervention did not result in a decrease in the prevalence of MRSA
25 colonisation in care home residents. Additional measures will be required to reduce

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1 endemic MRSA colonisation in care homes.

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1 Introduction

2 Meticillin-resistant *Staphylococcus aureus* is a significant cause of mortality and
3 morbidity in both healthcare and community settings.^{1,2} Numerous surveillance
4 schemes,^{3,4} recommendations,^{5,6} and guidelines^{7,8} have been developed with the aim
5 of reducing levels of MRSA infection associated with healthcare. In the UK, mandatory
6 surveillance of cases of MRSA bacteraemia was introduced in all acute NHS Trusts in
7 England in 2001.³ Recently, levels of MRSA bacteraemia in hospitals have been
8 decreasing markedly.⁹

9 The elderly population living in care homes often require frequent contact with
10 healthcare. This situation, known as the 'revolving door' syndrome,¹⁰ when residents
11 are admitted to hospital and then discharged back into a care home, means that care
12 home residents **are more likely to be** carriers of MRSA. Small studies in the UK
13 during the 1990s identified levels of MRSA colonisation in care home residents
14 between 0.8-17%.¹¹⁻¹³ More recently, our group¹⁴ and Baldwin *et al.* (2009) reported
15 that MRSA colonisation levels among residents in care homes in the UK were greater
16 than 20%.¹⁵ MRSA prevalence rates of greater than 36% have been reported in
17 long-term care facilities in France and the USA.^{16,17} There is a paucity of large-scale,
18 longitudinal studies monitoring the occurrence of MRSA in the care home setting^{14,15}
19 and the assessment of health outcomes of residents colonised with MRSA are not
20 commonly reported.

21 Guidance for infection control in care homes was issued by the Department of
22 Health in 2006.⁸ These guidelines comprised recommendations rather than statutory
23 requirements, and were not specific for the control of MRSA. In a recent Care Quality
24 Commission survey, however, 25% of participating care homes were not using the
25 Department of Health guidance,⁸ including specific requirements that all staff should
26 receive training in infection prevention and control.¹⁰ Most evidence for the

1 effectiveness of infection control strategies has been generated in the acute healthcare
2 setting.^{7,18} Although some infection prevention recommendations designed for acute
3 healthcare may be applicable to other settings,⁷ successful translation to the care home
4 environment cannot be assumed.¹⁰ During compilation of a Cochrane review of
5 infection control strategies for preventing MRSA transmission in nursing homes, no
6 studies met the systematic selection criteria.¹⁸ Robust data referring to strategies for
7 preventing MRSA transmission in care homes are lacking, and studies are needed to
8 test infection prevention interventions that are deliverable in the care home setting.¹⁸

9 The objectives of this study were to determine prospectively the prevalence and
10 risk factors for MRSA colonisation in a large sample of elderly residents of care homes
11 in Leeds Primary Care Trust (PCT), and to determine whether training and education of
12 care home staff in the area of infection prevention, in particular hand hygiene, can
13 minimise the risk of MRSA transmission. Health outcomes (rates of subsequent
14 hospitalisation, infection and mortality) of residents according to MRSA colonisation
15 were also examined.

16

1 **Methods**

2 **Setting**

3 According to the Care Standards Act (2000), a care home is defined as 'any
4 home that provides accommodation, together with nursing or personal care, for any
5 person who is, or has been ill, or is disabled or infirm'.¹⁹ In the UK, all homes that meet
6 the definition of a care home are registered with the Care Quality Commission (**CQC**),
7 formerly known as the Commission for Social Care Inspection.²⁰ Care homes may be
8 owned by the local authority or by independent providers. **A care home without
9 nursing capability was defined as a home that provided residents with
10 accommodation, social and personal care. A home with nursing capability was
11 defined as a home that employed registered nurses and provided nursing care in
12 addition to accommodation, social and personal care to residents. Care homes
13 with nursing capability were listed on the CQC register as a nursing home. All
14 care homes, with 20 or more beds, registered in Leeds, UK were eligible to take part in
15 the study, excluding those that provided care for people with mental, physical or
16 learning disabilities. Ninety of the 186 registered care homes met the study criteria and
17 were invited to participate. Leeds Teaching Hospitals Trust (LTHT) was the main acute
18 care provider for all the care homes included in the study.**

19 **Data collection**

20 Each participating care home was given a unique identifying number and was
21 anonymised to laboratory staff. Details such as home owner, number of beds, and
22 whether or not a home had nursing capability were recorded for each home. Each
23 resident who was considered to be eligible to participate by the care home staff was
24 verbally given information about the nature of the study. In the first instance, written
25 consent was obtained, followed by verbal consent if the resident agreed to participate
26 in subsequent surveys. The sampling process was anonymised, with no specific

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4 1 infection prevention interventions being initiated on the identification of a resident who
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6 2 was colonised. At each survey the total number of residents present in the home and
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8 3 the number of residents able to consent was collected by age and sex category. Data
9
10 4 pertaining to the age, sex and presence of an invasive device were collected per
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12 5 participant, per survey.

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15 6 Once the collection of swabs had been completed, further data were collected.
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17 7 The Microbiology Laboratory Information Management System (LIMS) was used to
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19 8 determine whether each resident had a record of clinical samples being sent for
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21 9 microbiological investigation and whether or not MRSA had been isolated before or
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23 10 after each survey. For the purposes of this study, MRSA infection was defined as a
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25 11 record of MRSA isolated from any invasive sample type (*i.e.* blood culture, tissue,
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27 12 bone, bronchoalveolar lavage) or MRSA isolated as pure culture from a non-invasive
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29 13 sample type (*i.e.* swab, sputum, urine). MRSA colonisation was defined as a record of
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31 14 MRSA isolated from a urine sample collected via a catheter, or MRSA isolated from a
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33 15 non-invasive sample type in the presence of other bacteria. Data regarding contact
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35 16 with healthcare facilities were collected using the Patient Administration System (PAS)
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37 17 for LTHT. This included the total number of hospital days spent in LTHT during the 12
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39 18 months before a screening swab was collected, and the number of hospital admissions
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41 19 prior to this period. Any attendance at out-patient clinics was also recorded. All-cause
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43 20 mortality data were collected both from PAS and from a database held by Leeds
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45 21 Primary Care Trust.

46 47 48 22 **Study design**

49
50 23 This study was a controlled before and after intervention study and followed a
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52 24 stepped-wedge design (Table 1).²¹ After an initial MRSA prevalence survey, care
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54 25 homes were randomly allocated into three groups. Random allocation was stratified by
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56 26 number of beds and baseline MRSA prevalence. Implementation of staff training and
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1 education intervention was dependent on the group to which the home had been
 2 allocated. Homes in Group One received the intervention between January-October
 3 2007; homes in Group Two between November 2007-February 2008; and homes in
 4 Group Three between July-September 2008. Scores for audits of hand hygiene
 5 facilities, staff hand hygiene observations, and an educational questionnaire were
 6 collected before and after the intervention.

7 **Table 1. Intervention schedules for stepped wedge design; “Pre” represents a**
 8 **pre-intervention survey, “Post” represents surveys occurring post-intervention.**

	Survey/Period of Collection			
	1	2	3	4
Group	Nov-Dec 2006	Oct-Nov 2007	May-Jun 2008	Jan-Feb 2009
1	Pre	Post	Post	Post
2	Pre	Pre	Post	Post
3	Pre	Pre	Pre	Post

9 **Intervention**

10 An intervention based on staff training and education on the topic of infection
 11 prevention and effective hand hygiene was used to assess the effect on MRSA
 12 prevalence. The intervention consisted of a structured session of education, combined
 13 with two audits that assessed hand hygiene practice and facilities in the care home.
 14 Scores for the educational questionnaire and for audit of hand hygiene facilities and
 15 staff hand hygiene observations were collected before and after the training session.
 16 Written feedback concerning the results of the audits that took place before the training

1 session was returned to each home. Specific suggestions for improvement were
2 included when necessary.

3 The education session, lead by an Infection Control Nurse employed by Leeds
4 PCT, lasted approximately 45 minutes, and was delivered using a Microsoft Office
5 PowerPoint presentation with strictly controlled content. Topics included how and
6 when to wash hands and barriers to effective hand washing. The use of alcohol gel
7 and personal protective equipment were also included. A DVD outlining correct hand
8 hygiene procedures was shown during the training. Attendees participated in a
9 practical demonstration of good hand hygiene technique by using hand cream
10 containing ultra-violet responsive particles and a UV light box. A questionnaire
11 comprising 12 short answer questions was completed, directly before (pre-) and after
12 (post-) the educational session, by personnel who attended the training. Approximately
13 four weeks after the training was completed, three members of staff were chosen at
14 random to complete the same questionnaire; this is referred to as the extended-time
15 questionnaire. The same materials and session format were used for all intervention
16 groups. The study aimed to deliver the educational input to at least 80% of the
17 whole-time equivalent (WTE) staff.

18 An audit of the hand hygiene practice and facilities was carried out for each
19 home at the beginning of the relevant intervention period, using an audit tool from the
20 Infection Control Nurses Association.²² Issues such as staff education, compliance
21 with requirements relating to uniform policy, and provision of liquid soap and paper
22 towels were assessed. The same audit was carried out after written feedback had
23 been given to the home. The Lewisham hand hygiene assessment tool²³ was used to
24 perform observational audits of hand hygiene practice before and, a minimum of four
25 weeks, after the educational input for each intervention group. During each of these
26 audits, three care home staff members, selected at random, were shadowed for a

1 period of 20 minutes each. A comparison between the number of times hand
2 decontamination occurred *versus* the number of hand washing opportunities arising
3 was determined to give a percentage figure for compliance.

4 **Statistical analysis**

5 Statistical analysis was carried out using Stata data analysis and statistical
6 software (StataCorp, Texas, USA). Chi-squared tests were used to compare resident
7 and care home characteristics. Descriptive statistics were used to compare home
8 characteristics between the three groups into which homes were allocated and to
9 compare those homes participating in the study to those not consenting to take part.
10 Chi-squared tests were used to compare proportions, t-tests for comparing continuous
11 variables between two groups and ANOVA for comparing continuous data between
12 more than two groups. Analytical approaches used in stepped-wedge designs are
13 susceptible to separate time trends within subgroups;²¹ therefore, the presence of a
14 significant time trend within subgroups of care homes and residents was investigated.
15 The impact of the intervention was then investigated using a random effects logistic
16 regression model controlling for resident characteristics and subgroup by time trend
17 interactions. A χ^2 test was used to compared hand hygiene proportions and a t-test to
18 compare educational scores. Scores from the audit of hand hygiene facilities were not
19 normally distributed and a Wilcoxon signed rank test was used for comparison. To
20 investigate whether being identified with an infection was associated with prior MRSA
21 carriage, survival analysis was performed using a Cox proportional hazards model.
22 Residents that had a record of an MRSA infection prior to entering the study were
23 excluded from this analysis. The analysis investigated the time from the resident
24 entering the survey to the time of identification of an MRSA infection or until the
25 09/08/2009. A random effects logistic regression model was used to assess whether

1 mortality was associated with prior MRSA carriage. For all analyses, statistical
2 significance was defined as $p < 0.05$.

3 **Microbiological methods**

4 Amies' Transport swabs (Barloworld Scientific, Stone, Staffordshire, UK) were
5 used to sample the anterior nares of consenting residents during four periods:
6 16th November 2006-13th December 2006 (Survey One); 1st October
7 2007-12th November 2007 (Survey Two); 1st May 2008-26th June 2008 (Survey Three)
8 and 5th January-12th February 2009 (Survey Four). Each swab was used to inoculate a
9 single MRSA Select agar plate (Bio-Rad, Marnes la Coquette, France), which was
10 incubated for 18-24 hours at 37°C. Bright, fuchsia-pink colonies were considered
11 presumptive MRSA. Presumptive MRSA colonies were confirmed to be *S. aureus* by
12 DNase agar testing and positive agglutination reaction using the Pastorex™ Staph plus
13 kit (Bio-Rad, Marnes la Coquette, France). Meticillin resistance was confirmed by
14 breakpoint susceptibility testing using Iso-Sensitest agar (Oxoid, Basingstoke,
15 Hampshire, UK) supplemented with 4 mg/L, 8 mg/L and 12 mg/L methicillin,
16 respectively (Medical Wire and Equipment Co. Ltd., Corsham, Wiltshire, UK) or 4 mg/L
17 cefoxitin (Mast Diagnostics, Bootle, Merseyside, UK). Isolates that had an equivocal
18 methicillin susceptibility result by breakpoint method were analysed further using the
19 Mastalex™ MRSA kit (MAST Diagnostics, Bootle, Merseyside, UK).
20 Meticillin-susceptible *S. aureus* strain NCTC 6571 and MRSA strain NCTC 10442 were
21 used as control organisms.

22

1 Results

2 Participating Care Homes

3 Of the 90 homes that were invited, 68 homes participated in the first part of the
 4 study. There was no significant difference in the homes taking part and those that
 5 refused in terms of the number of residents ($p = 0.15$, t-test), the proportion with
 6 nursing capability ($p = 0.62$, χ^2) or the proportion that were owned by the local authority
 7 ($p = 0.18$, χ^2). After the initial survey, the 68 homes that participated were randomly
 8 allocated into three groups. The number of homes that were in each group and their
 9 characteristics are shown in Table 2.

10 **Table 2. Home characteristics according to Intervention Group.**

	Group		
	1	2	3
Total Homes (n)	28	18	22
Mean number of places per home (n)	44	39	42
Homes with nursing capability (n)	14	8	10
Local authority homes (n)	8	1	6

11 There was no significant difference between homes allocated to different
 12 intervention groups with respect to the number of homes that provided nursing care
 13 ($p = 0.9$, χ^2), the mean number of beds per home ($p = 0.6$, ANOVA), and the owner of
 14 the home ($p = 0.12$, χ^2). There were no significant differences in mean age ($p = 0.9$,
 15 ANOVA), sex distribution ($p = 0.4$, χ^2) or overall number of residents ($p = 0.43$, t-test)
 16 between the three intervention groups; however, there were fewer residents in homes

1 owned by the local authority in Group Two. Following the first survey, two homes
2 withdrew from the study leaving 66 homes in the second survey. A further home
3 withdrew following Survey Two leaving 65 homes in Surveys Three and Four. The
4 following analyses report data from those homes that participated in all four surveys.

5 The 65 homes that participated in all four surveys had 2772 beds. Fourteen
6 homes were operated by the local authority, none of which had nursing capability
7 (n = 463 beds; range 20-40; mean 33). Fifty one homes were owned by independent
8 providers (n = 2309 beds; range 20-180; mean 44); 31 homes (n = 1648 beds) had
9 nursing capability. Homes with nursing capability comprised 48% (n = 30) of the
10 homes in this study and housed 59% (n = 1621) of the beds.

11 **Participating residents and swabs collected**

12 In total, 4327 swabs were collected; 1210 from Survey One, 1067 from Survey
13 Two, 1023 from Survey Three and 1027 from Survey Four. Two swabs were removed
14 from Survey Four due to participant duplication (n = 1) and incomplete data, leaving
15 4325 swabs suitable for analysis. The number of swabs collected from individual care
16 homes during any survey ranged from 5-93. On average, 46% of residents that were
17 present in homes at the time of a survey were swabbed (*i.e.* able to provide consent
18 and available for swabbing).

19 The study included 2492 residents. The majority (n = 1405, 56%) of residents
20 participated in a single survey, 550 (22%) participated in two surveys, 328 (13%) in
21 three surveys and 209 (8%) participated in all four surveys. The majority (n = 1404) of
22 residents had been admitted to hospital within the 12 months before being included in
23 the study. Of those that did not have a record of hospital admission within 12 months
24 of being sampled, 664 had a record of previous hospital admission according to LTHT
25 PAS. There were 424 (17%) residents that had no record of hospital admission to
26 LTHT; however 154 of these had a record of contact with out-patient clinics. There

1 were 270 residents that did not have any record of contact with healthcare; of these,
2 18% were found to be MRSA positive in at least one survey. The corresponding
3 proportion for those who had had healthcare contact was 28% ($p < 0.001$).

4 **Staff knowledge and behaviour**

5 There were significant improvements in the mean scores for staff knowledge
6 following the intervention; 71%, scores after education vs. 43% before education
7 ($p < 0.001$, t-test). The mean knowledge score achieved at the extended-time
8 questionnaire was 57% (vs. baseline $p < 0.001$, t-test). There were significant
9 improvements in the mean scores following the intervention for the audit of hand
10 hygiene facilities (85% post-intervention vs. 69% pre-intervention; $p < 0.001$, Wilcoxon
11 signed rank test) and observations of hand hygiene (82% of 455 opportunities after the
12 intervention vs. 58% of 568 opportunities before; $p < 0.001$, χ^2 test).

13 **MRSA colonisation**

14 A total of 888 swabs (21%) of anterior nares were MRSA positive; this
15 comprised 238 participants in Survey One (20%); 204 in Survey Two (19%); 228 in
16 Survey Three (22%), and 218 in Survey Four (21%). The prevalence of MRSA
17 colonisation in residents within individual homes ranged from 0-60%. One home, a
18 privately owned care home without nursing capability ($n = 24$ beds), with 21
19 participants, did not have any residents with nasal colonisation with MRSA identified in
20 any of the four surveys. There was no significant difference in prevalence of MRSA
21 between surveys ($p = 0.28$, χ^2) and there was no significant trend in MRSA prevalence
22 overall ($p = 0.15$, ANOVA) across the four surveys. When other factors were controlled
23 for (age, sex, hospital admissions, invasive devices), however, a significant increase in
24 MRSA colonisation across the four surveys was identified (OR = 1.08, $p = 0.031$,
25 logistic regression). In order to identify factors associated with the increasing trend,
26 subgroup analyses (homes with nursing capability, privately owned homes or large

1 homes (>35 beds) were performed. The increase in MRSA prevalence remained
2 significant in homes with nursing capability (OR = 1.61, 95% CI 1.15-2.26, $p = 0.006$,
3 logistic regression) and for residents in the >90 years age group (OR = 1.14, $p = 0.044$,
4 logistic regression). Both trends were taken into account during multivariate analysis.

5 Multivariate analysis of risk factors for MRSA colonisation in residents showed
6 that the intervention was associated with a small but significant increase in prevalence
7 of MRSA ($p = 0.02$, logistic regression) (Table 3). Overall, MRSA prevalence prior to
8 the intervention was 18.6%, which increased to 22.4% after the intervention. When
9 analysed according to Group, there was a significant difference between MRSA
10 prevalence before and after the intervention in Groups Two ($p = 0.04$, χ^2) and Three
11 ($p = 0.02$, χ^2) but not in Group One ($p = 0.44$, χ^2) (Figure 1). The significant increase in
12 prevalence occurred in the survey directly after the intervention but was not sustained
13 in the group that had follow-up (Figure 1). The following factors were also significantly
14 associated with MRSA colonisation: the number of hospital admissions in the last
15 12 months, the total number of days a participant spent in hospital in the 12 months
16 before sampling, male sex, and having a record of an MRSA infection prior to entering
17 the study (Table 3).

18 To investigate the increase in MRSA prevalence occurring after the
19 intervention, care homes with and without nursing capability were analysed separately
20 with controls (Table 3). This analysis showed that the intervention was no longer
21 associated with an increase in MRSA prevalence in homes with nursing capability
22 ($p = 0.159$, logistic regression); however, in care homes without nursing capability the
23 intervention remained significantly associated with an increase in MRSA prevalence
24 ($p = 0.034$, logistic regression). When the same analysis was performed only including
25 participants who were present in at least two surveys ($n = 1087$), the intervention
26 remained associated with an increase in MRSA prevalence in both care homes with

- 1 nursing capability (OR = 2.07, 95% CI 1.22-3.52, $p = 0.007$, logistic regression) and
- 2 those without (OR = 2.55, 95% CI 1.3-4.97, $p = 0.006$, logistic regression).

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1 **Table 3. Logistic regression of risk factors for colonisation with meticillin-resistant *Staphylococcus aureus* (MRSA) among 2492**
 2 **residents of care homes in Leeds, United Kingdom, according to care home capability**

Risk factor	Comparison group	Overall			Care home					
		OR	CI	<i>p</i>	Without nursing capability			With nursing capability		
		OR	CI	<i>p</i>	OR	CI	<i>p</i>	OR	CI	<i>p</i>
After intervention	No intervention	1.36	1.04-1.79	0.02	1.61	1.03-2.52	0.034	1.26	0.91-1.75	0.159
No. of hospital admissions in the last 12 months	-	1.18	1.11-1.26	<0.001	1.23	1.11-1.36	<0.001	1.14	1.05-1.24	0.001
No. of hospital admission days in the last 12 months	-	1.00	1.00-1.00	0.001	1.00	1.00-1.01	0.046	1.00	1.00-1.00	0.006
Presence of an invasive device	Absence of invasive device	2.36	1.70-3.29	<0.001	1.81	0.86-3.82	0.116	2.46	1.70-3.56	<0.001
Record of MRSA infection prior to study	No previous record	2.12	1.49-3.02	<0.001	3.73	1.78-7.82	<0.001	1.78	1.19-2.65	0.005
Age 80-89 years	<80 years	1.13	0.92-1.39	0.24	1.14	0.80-1.64	0.454	1.15	0.90-1.48	0.246
Age 90+ years	<80 years	1.29	0.94-1.78	0.11	1.54	0.91-2.6	0.101	1.13	0.75-1.7	0.537
Male	Female	1.48	1.24-1.78	<0.001	1.37	1.0-1.87	0.042	1.55	1.25-1.93	<0.001

3 Key: OR, odds ratio; CI, 95% confidence interval.

2 Residents were followed for a median 21 months to determine MRSA infection
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3 and survival outcomes. The length of follow-up varied significantly according to the
4 survey in which the resident participated; residents in the first survey had a possible
5 follow-up of 33 months compared with those in the last survey, who had possible
6 follow-up of six months. Hospital admission data in the period 12 months after the date
7 of colonisation were collected for residents that participated in Survey One (n = 1210).
8 The relative risk for hospitalisation within 12 months of the date of colonisation was
9 1.27 ($p > 0.05$). Subsequent infection with MRSA was significantly associated with prior
10 MRSA colonisation when other factors were controlled for (OR = 2.5, 95%
11 CI = 1.2-5.24, $p = 0.014$, Cox proportional hazards model) (Table 4). Of the 2492
12 residents included in the study, 90 residents were recorded as having an MRSA
13 infection prior to entering the study, leaving 2442 suitable for further analysis. The
14 majority (n = 1800) of residents were not colonised with MRSA and had no record of an
15 MRSA infection. There were 612 residents who were colonised with MRSA but had no
16 record of MRSA infection, 16 residents had no MRSA colonisation and had a
17 subsequent record of an MRSA infection, and 14 residents were identified with
18 colonisation and had subsequently developed an MRSA infection. Eight residents had
19 a record of MRSA bacteraemia. Two percent of residents colonised with MRSA had a
20 record of MRSA infection subsequent to a survey, compared with 0.9% for those
21 residents without MRSA colonisation ($p = 0.008$, χ^2). Death was recorded for 897 of
22 the 2492 residents that participated. Colonisation with MRSA was not significantly
23 associated with mortality (OR = 1.16, 95% CI 0.95-1.41, $p = 1.32$, logistic regression);
24 however, mortality was significantly associated with advanced age, male sex, the
25 presence of an invasive device, and the number of hospital admissions within 12

2 entering the study to either MRSA infection or 09/08/2009, whichever occurred
 3 **BMJ Open**
 3 **first and b) logistic regression model of mortality associated with prior MRSA**
 4 **carriage**

Risk factor	MRSA infection ^a			Mortality ^b		
	Hazard Ratio	CI	<i>p</i>	OR	CI	<i>p</i>
MRSA colonisation during study	2.51	1.2-5.24	0.014	1.16	0.95-1.41	0.132
Age	1.00	0.96-1.05	0.728	1.04	1.03-1.05	<0.001
Male	1.41	0.65-3.08	0.377	1.39	1.14-1.69	0.001
Presence of an invasive device	0.67	0.09-5.02	0.701	5.45	3.32-8.95	<0.001
No. of hospital admissions in the previous 12 months	1.11	0.92-1.34	0.244	1.06	1.00-1.12	0.038

5 Key: OR, Odds ratio; CI, 95% confidence interval.

1 Discussion

2 To our knowledge, this is the largest prospective study that has monitored the
3 level of nasal colonisation of MRSA in elderly residents of care homes in the UK. Sixty
4 five homes and 2492 residents participated in the study which took place over a 28
5 month period (November 2006-February 2009). The study included a large proportion
6 of care homes in the area served by Leeds Primary Care Trust, including homes of
7 different sizes (n = 20-180 beds), homes owned by the local authority and by
8 independent providers, and homes with and without nursing capability. In total, 888
9 MRSA isolates were identified from 4325 nasal swabs during the periods of screening
10 stated. The mean level of MRSA colonisation was 20% (95% CI = 18-23%), which was
11 higher than levels recorded during the 1990s but comparable to those reported recently
12 (22-23%).^{14;17} Interestingly, a recent survey of 748 residents in 51 care homes in
13 Gloucestershire and Bristol found that only 7.9% residents were positive for MRSA by
14 nasal screening, indicating marked geographical variation in MRSA prevalence in care
15 homes.²⁴

16 The health outcomes of residents are not commonly included in studies of
17 MRSA prevalence in the care home.^{17;25;26} The findings of the present study support
18 the hypothesis that although MRSA infections in the care home setting are infrequent,
19 colonised residents have an increased risk of developing an infection.^{15;27} MRSA
20 colonisation was associated with previous and subsequent MRSA infection; residents
21 colonised with MRSA were two and a half times more likely to develop a MRSA
22 infection than non-carriers. Notably, however, MRSA colonisation was not significantly
23 associated with mortality in a logistic regression model, a finding which has been
24 reported by others, albeit in a lower prevalence setting.²⁸

25 The intervention applied in the present study was intended to improve
26 awareness of good practice and knowledge of infection control in care homes, with an

1 emphasis on hand hygiene. The present study assessed the infection prevention
2 knowledge of over 1000 members of staff and the infection prevention practice of more
3 than 300 individuals. The stepped-wedge design allowed measurement of MRSA
4 prevalence before the intervention, directly after the intervention, and further follow-up
5 in two out of three study groups. Participating residents and staff in each group of
6 homes acted as controls for each other. Three established methods were used to
7 measure staff knowledge and behaviour following the intervention and scores improved
8 after the intervention for all three assessments.

9 Overall, no significant difference in MRSA prevalence was identified during the
10 survey periods. Directly following the intervention, however, there was a significant
11 increase in MRSA prevalence, although this returned to baseline levels in one group
12 that had follow-up. Stepped-wedge designs are particularly susceptible to trends within
13 subgroups, but when the subgroups were adjusted for linear trends, the increase in
14 MRSA prevalence after the intervention remained significant. It is possible that other
15 confounding factors resulted in a non-linear trend in MRSA prevalence in certain
16 homes. It has not been possible to identify or control for these factors. MRSA
17 infections are unlikely to be independent events and a cluster of MRSA cases may
18 explain temporary increases in prevalence following the intervention in some homes.

19 **Leeds Teaching Hospitals Trust (LTHT) was the main acute care provider for all**
20 **the homes in the study. The small increase in MRSA prevalence following the**
21 **intervention is unlikely to relate to the extent of MRSA infection in LTHT as**
22 **during the period of the study, there was a decreasing trend in the MRSA**
23 **bacteraemia rates reported by LTHT.³**

24 Other studies have used a similar intervention strategy in care homes.²⁹⁻³¹ A
25 study based in Taiwan introduced a programme of hand hygiene training into three
26 care homes and identified significant improvements in scores for staff knowledge and

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4 1 behaviour after the training; difference between hand hygiene knowledge pre- and
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6 2 post-intervention, $p < 0.001$; difference between hand hygiene observations pre-and
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8 3 post-intervention, $p = 0.001$.³⁰ Although no direct measure of microbiological outcome
9
10 4 was included, rates of infection based on the total number of urinary tract infections,
11
12 5 lower respiratory infections and rates of influenza recorded by each facility, were
13
14 6 significantly lower following the intervention (1.52%) compared with rates recorded for
15
16 7 two periods before the intervention; December 2004-February 2005 (1.74%) and
17
18 8 June-August 2005 (2.04%) ($p < 0.001$).

19
20 9 Around the same time as the present study, Baldwin *et al.* (2010) implemented
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22 10 an infection control education and training programme in nursing homes in the Belfast
23
24 11 area of Northern Ireland.²⁹ The study screened 793 residents and 338 members of
25
26 12 staff for MRSA colonisation. The education programme, occurring at baseline and at
27
28 13 three and six months, consisted of multiple training sessions for staff. An existing
29
30 14 member of staff in each intervention home was assigned the role of infection control
31
32 15 link worker, the role of which was to reinforce good infection control practice in the
33
34 16 home. Practice was observed and recorded, with feedback, for an audit of ten
35
36 17 specified infection control standards involving the following subject areas: cleanliness,
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38 18 decontamination (hand and environment), waste management, personal protective
39
40 19 equipment and the management of wounds, urinary catheters and enteral feeding.
41
42 20 Using a cluster randomised controlled study design, audit scores and MRSA
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44 21 colonisation of residents and staff were compared for homes in the intervention group
45
46 22 ($n = 16$) with those homes in the control group ($n = 16$); homes in the control group did
47
48 23 not receive training or feedback. While scores for the infection control audits
49
50 24 significantly improved in eight of the ten standards (82% vs. 64% in intervention and
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52 25 control homes, respectively, $p < 0.0001$), levels of MRSA colonisation did not change
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54 26 over the 12 month study period in either residents or staff.
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4 1 In contrast, Gopal *et al.* (2009) evaluated whether enhanced infection control
5
6 2 support in nursing homes had an impact on improving infection control practice.³¹ The
7
8 3 intervention included extensive support from a dedicated infection control team,
9
10 4 including an infection control nurse, infection control nurse specialist and an infection
11
12 5 control doctor. Twelve homes were included in the study and were divided into two
13
14 6 groups of six, an intervention group and a control group, based on the number of
15
16 7 residents. The study found no statistical difference between the control group and the
17
18 8 group of homes that received the intervention at baseline and final assessment for
19
20 9 hand hygiene facilities ($p = 0.69$), environmental cleanliness ($p = 0.43$) and disposal of
21
22 10 clinical waste ($p = 0.96$). There was no microbiological investigation included in this
23
24 11 evaluation.

25
26
27 12 In principle, the intervention applied in the present study was plausible and
28
29 13 unlikely to be harmful. The assessments were reasonable, albeit focussed on
30
31 14 short-term effects; however, the following limitations of the study must be
32
33 15 acknowledged. It is likely that the prevalence reported here is an underestimation of
34
35 16 the true level of MRSA colonisation because of the use of nasal screening alone. To
36
37 17 achieve a high-level of sensitivity of detection (>90%) of MRSA carriers, multiple sites
38
39 18 (e.g. axilla, groin, nose and throat) need to be screened.^{32,33} Screening urethral
40
41 19 catheters, legs ulcers and pressure sores would have increased the sensitivity of
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43 20 MRSA detection and may have provided further information regarding the infection
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45 21 status of the resident. Although pooling swabs from multiple sites could have been
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47 22 done at the same cost, screening the anterior nares as a single site using chromagar
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49 23 as a growth medium was a compromise, taking into account the difficulties of obtaining
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51 24 consent and practical issues associated with more extensive sampling of a
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53 25 predominantly frail, elderly population and the need for a cost-effective approach.

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55 26 **Participation of residents was voluntary and on average 46% of the residents**
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1 were tested for MRSA colonisation. Reasons for non-participation of residents
2 were not collected; care homes for people with dementia were not specifically
3 excluded from the study, but residents with dementia were excluded. It is
4 acknowledged that residents who were not considered eligible to participate due
5 to their level of dependency may be at a greater risk of MRSA colonisation.

6 Other potentially informative data were not collected. For example, the
7 type of room available per resident (*i.e.* single, shared, en-suite), local cleaning
8 policies (routine and incident-related), laundry provision, and the uniform policy
9 of the home would have provided a fuller description of the care home setting.
10 Staff turnover in each care home was assessed at baseline, but more frequent
11 data collection may have enabled a better assessment of the effect of the
12 intervention. We did not collect information about length of stay of each
13 resident, movement of individuals between homes, which we understand is
14 uncommon, the number of admissions per home, and sources of admission (*i.e.*
15 own home, hospital, other care home).

16 The study aimed to deliver educational input to at least 80% whole-time
17 equivalent staff, which was achieved in 32% of the homes. Resources were
18 available to provide each home with a maximum of three educational sessions,
19 although exceptions were made for those homes with >100 beds. Availability of
20 care home staff due to work demands or sickness, and closure of homes due to
21 outbreaks of norovirus were reasons for not achieving the educational target in
22 some homes. Such issues highlight the operational barriers to infection
23 prevention measures, especially those that require behavioural change.

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4 1 **Other challenges to a study of this design include the requirement for**
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6 2 **ethical approval, which may result in the inability to screen residents who cannot**
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8 3 **give consent, and the need to maintain the anonymity of participating residents**
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10 4 **and staff. Limited resources, home ownership, lack of isolation facilities, the**
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12 5 **high throughput of employees and a high resident-to-carer ratio may influence**
13
14 6 **the effectiveness of infection control strategies in care homes.^{18, 34} In the**
15
16 7 **absence of mandatory requirements relating to infection control in care homes, it**
17
18 8 **may be difficult to implement infection prevention strategies in the primary care**
19
20 9 **setting.**

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23 10 Although observational methods of assessing hand hygiene compliance are
24
25 11 considered the gold standard,³⁵ increased productivity due to observation, known as
26
27 12 the Hawthorne effect, must be considered.^{36,37} Despite long-term microbiological
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29 13 follow-up (8-25 months), the duration of follow-up with regards to staff knowledge and
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31 14 behaviour remained short (approximately four weeks). While the anonymous design of
32
33 15 the present study kept assessment of the intervention informal, it did not enable the
34
35 16 long-term follow-up of knowledge and practice in individual staff.

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38 17 The intervention applied in the present study focused on a particular area of
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40 18 infection prevention, that of hand hygiene, skin care and personal protective
41
42 19 equipment. Hand hygiene is considered to be an educational priority; however, there is
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44 20 little evidence to suggest that improvements in hand hygiene alone result in a
45
46 21 significant reduction in MRSA infection or colonisation.³⁸ **Clearly, hand hygiene may**
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48 22 **still be beneficial, and without emphasis on such practice it is plausible that**
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50 23 **transmission of MRSA and other pathogens would increase. Reinforcement of**
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52 24 **message and/or use cognitive behavioural theory could be explored to optimise**
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54 25 **hand hygiene and thus its effectiveness.** Additional educational topics may include
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56 26 risk factors for infection and how to identify residents at risk, care of wounds and
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4 1 invasive devices, and education about the judicious use of antibiotics.³⁹
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6 2 Implementation of an intervention in a setting such as that of the care home, which
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8 3 experiences a high level of change, in terms of employee and resident throughput,
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10 4 cannot be expected to last long-term without regular input. A single session of
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12 5 education per staff member is unlikely to make a large difference to long-term practice.
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14 6 Alternative training and education strategies may include more frequent educational
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16 7 sessions, with additional learning resources, such as e-learning. Others have reported,
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18 8 however, that the introduction of multiple training sessions did not result in a decrease
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20 9 in MRSA prevalence,²⁹ and care homes that had access to extensive infection control
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22 10 support failed to show improvements in audit scores.³¹
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25 11 The use of interventions that focus on screening and decolonisation of residents
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27 12 and/or staff may reduce MRSA prevalence in care homes. Given the difficulty of
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29 13 achieving MRSA decolonisation in individuals with multiple risk factors for persistence,
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31 14 this would be a considerable undertaking, and may risk resistance selection. Control of
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33 15 risk factors for MRSA colonisation, such as improved management of wounds and
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35 16 invasive devices may be beneficial.³⁹ Evaluation would be required to assess the cost
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37 17 *versus* benefit of interventions involving screening and decolonisation in the care home
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39 18 setting, along with consideration about the source of funding if such approaches were
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41 19 to be recommended,^{40,41} Given the large recent and continuing decreases in incidence
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43 20 of invasive MRSA infection in England,⁹ it remains possible that control measures in
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45 21 the secondary care setting will lead to reduced MRSA carriage in care home residents.
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48 **Conclusion**

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50 23 These results reinforce previous reports of high MRSA colonisation rates in
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52 24 elderly residents of care homes. The intervention applied in the present study
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54 25 improved staff practice and knowledge but did not reduce MRSA prevalence in
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56 26 residents. These data provide an important baseline for future surveillance of MRSA in
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4 1 the care home setting. Further work is needed regarding screening, decolonisation
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6 2 and re-entry to the care home and continued surveillance is needed to understand the
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8 3 interaction between MRSA in care homes and hospitals. **Clear policy decisions need**
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10 4 **to be made about how to manage with the burden of MRSA colonisation in care**
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12 5 **home residents. The high burden of MRSA in residents has implications for**
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14 6 **other healthcare institutions who manage these individuals. Admission**
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16 7 **arrangements (isolation/screening, etc) of care home residents may need to be**
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18 8 **adjusted to take account the risk of MRSA colonisation for individuals.**
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20 9 **Reducing MRSA infection and possibly colonisation in hospital patients may in**
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22 10 **turn affect the prevalence of MRSA in care home residents.**
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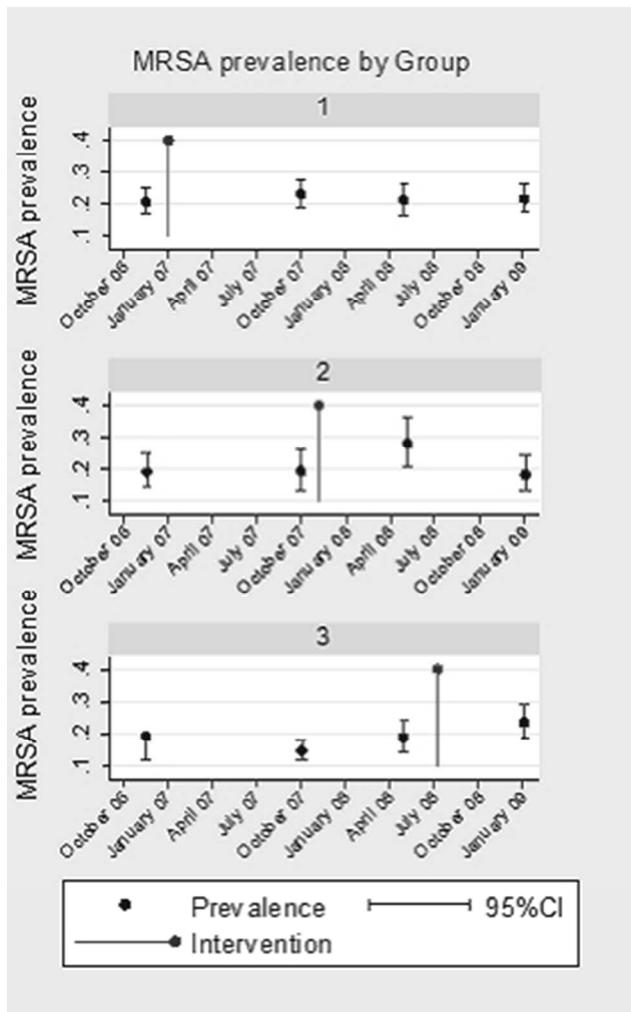
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30 12 **Changes in MRSA prevalence by Intervention Group per survey, before and after**
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Changes in MRSA prevalence by Intervention Group per survey, before and after the intervention. 83x135mm (96 x 96 DPI)

Dear Dina Arbridge

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ICMJE Form for Disclosure of Potential Conflicts of Interest

The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation.
C. Horner et al.

Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	

None →

D.M. Han
22/8/11



ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) CAROLYNE	2. Surname (Last Name) HORNER	3. Effective Date (07-August-2008) 31-August-2011
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name PROFESSOR MARK WILCOX
5. Manuscript Title The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation		
6. Manuscript Identifying Number (if you know it) BMJ.2011.000490		

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. **If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.**

The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Department of Health		X
						ADD
2. Consulting fee or honorarium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
3. Support for travel to meetings for the study or other purposes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Payment for writing or reviewing the manuscript	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
6. Provision of writing assistance, medicines, equipment, or administrative support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X

HORNER

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ICMJE Form for Disclosure of Potential Conflicts of Interest

The Work Under Consideration for Publication						
Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
7. Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			ADD
						X
						ADD

* This means money that your institution received for your efforts on this study.

** Use this section to provide any needed explanation.

Section 3.

Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

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Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
2. Consultancy	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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3. Employment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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4. Expert testimony	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Grants/grants pending	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
6. Payment for lectures including service on speakers bureaus	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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7. Payment for manuscript preparation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X



ICMJE Form for Disclosure of Potential Conflicts of Interest

Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
8. Patents (planned, pending or issued)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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9. Royalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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10. Payment for development of educational presentations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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11. Stock/stock options	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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12. Travel/accommodations/meeting expenses unrelated to activities listed**	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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13. Other (err on the side of full disclosure)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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* This means money that your institution received for your efforts.

** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- No other relationships/conditions/circumstances that present a potential conflict of interest
- Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

SAVE



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Evaluation and Feedback

Please visit <http://www.icmje.org/cgi-bin/feedback> to provide feedback on your experience with completing this form.

For peer review only



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Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) 2. Surname (Last Name) 3. Effective Date (07-August-2008)
 David Tompkins 31-August-2011

4. Are you the corresponding author? Yes No Corresponding Author's Name
PROFESSOR MARK WILCOX

5. Manuscript Title
 The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation

6. Manuscript Identifying Number (if you know it)
 BMJ.2011.000490

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Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. **If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.**

The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Department of Health		X
						ADD
2. Consulting fee or honorarium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
3. Support for travel to meetings for the study or other purposes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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5. Payment for writing or reviewing the manuscript	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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6. Provision of writing assistance, medicines, equipment, or administrative support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X

Tompkins

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ICMJE Form for Disclosure of Potential Conflicts of Interest

The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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* This means money that your institution received for your efforts on this study.

** Use this section to provide any needed explanation.

Section 3.

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Relevant financial activities outside the submitted work

Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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2. Consultancy	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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3. Employment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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4. Expert testimony	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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5. Grants/grants pending	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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6. Payment for lectures including service on speakers bureaus	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
7. Payment for manuscript preparation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X



ICMJE Form for Disclosure of Potential Conflicts of Interest

Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
8. Patents (planned, pending or issued)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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9. Royalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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10. Payment for development of educational presentations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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11. Stock/stock options	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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12. Travel/accommodations/meeting expenses unrelated to activities listed**	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
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						ADD

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Gillian	2. Surname (Last Name) Hodgson	3. Effective Date (07-August-2008) 31-August-2011
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name PROFESSOR MARK WILCOX
5. Manuscript Title The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation		
6. Manuscript Identifying Number (if you know it) BMJ.2011.000490		

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. **If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.**

The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Department of Health		X ADD
2. Consulting fee or honorarium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
3. Support for travel to meetings for the study or other purposes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
5. Payment for writing or reviewing the manuscript	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
6. Provision of writing assistance, medicines, equipment, or administrative support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X

Hodgson

2



ICMJE Form for Disclosure of Potential Conflicts of Interest

The Work Under Consideration for Publication						
Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD

* This means money that your institution received for your efforts on this study.

** Use this section to provide any needed explanation.

Section 3.

Relevant financial activities outside the submitted work.

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Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
2. Consultancy	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
3. Employment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
4. Expert testimony	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Grants/grants pending	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
6. Payment for lectures including service on speakers bureaus	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
7. Payment for manuscript preparation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X



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Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
8. Patents (planned, pending or issued)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
9. Royalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
10. Payment for development of educational presentations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
11. Stock/stock options	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
12. Travel/accommodations/meeting expenses unrelated to activities listed**	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
13. Other (err on the side of full disclosure)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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Section 1. Identifying Information

1. Given Name (First Name)
Mark

2. Surname (Last Name)
Wilcox

3. Effective Date (07-August-2008)
31-August-2011

4. Are you the corresponding author? Yes No

5. Manuscript Title
The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation

6. Manuscript Identifying Number (if you know it)
BMJ.2011.000490

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The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Department of Health		X
						ADD
2. Consulting fee or honorarium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
3. Support for travel to meetings for the study or other purposes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Payment for writing or reviewing the manuscript	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
6. Provision of writing assistance, medicines, equipment, or administrative support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X

Wilcox

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The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD

* This means money that your institution received for your efforts on this study.

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						ADD
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						ADD
3. Employment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
4. Expert testimony	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Grants/grants pending	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
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						ADD
8. Patents (planned, pending or issued)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
9. Royalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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						ADD
12. Travel/accommodations/meeting expenses unrelated to activities listed**	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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1. Given Name (First Name) Benjamin	2. Surname (Last Name) Barr	3. Effective Date (07-August-2008) 31-August-2011
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name PROFESSOR MARK WILCOX
5. Manuscript Title The longitudinal prevalence of MRSA in care home residents and the effectiveness of improving infection prevention knowledge and practice on colonisation		
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1. Grant	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Department of Health		X ADD
2. Consulting fee or honorarium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
3. Support for travel to meetings for the study or other purposes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
5. Payment for writing or reviewing the manuscript	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X ADD
6. Provision of writing assistance, medicines, equipment, or administrative support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X

Barr

2



ICMJE Form for Disclosure of Potential Conflicts of Interest

The Work Under Consideration for Publication						
Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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8. Patents (planned, pending or issued)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
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Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) 2. Surname (Last Name) 3. Effective Date (07-August-2008)

4. Are you the corresponding author? Yes No Corresponding Author's Name

5. Manuscript Title

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. **If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.**

The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Department of Health		X
						ADD
2. Consulting fee or honorarium	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
3. Support for travel to meetings for the study or other purposes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Payment for writing or reviewing the manuscript	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
6. Provision of writing assistance, medicines, equipment, or administrative support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X

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ICMJE Form for Disclosure of Potential Conflicts of Interest

The Work Under Consideration for Publication

Type	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD

* This means money that your institution received for your efforts on this study.

** Use this section to provide any needed explanation.

Section 3.

Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. **If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.**

Relevant financial activities outside the submitted work

Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
2. Consultancy	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
3. Employment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
4. Expert testimony	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
5. Grants/grants pending	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
6. Payment for lectures including service on speakers bureaus	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
7. Payment for manuscript preparation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X



ICMJE Form for Disclosure of Potential Conflicts of Interest

Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
8. Patents (planned, pending or issued)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
9. Royalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
10. Payment for development of educational presentations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
11. Stock/stock options	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
12. Travel/accommodations/meeting expenses unrelated to activities listed**	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD
13. Other (err on the side of full disclosure)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			X
						ADD

* This means money that your institution received for your efforts.

** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- No other relationships/conditions/circumstances that present a potential conflict of interest
- Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

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Evaluation and Feedback

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For peer review only