



The association between childhood cognitive ability and adult long term sickness absence in 3 British birth cohorts

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3 The association between childhood cognitive ability and adult long term sickness absence in 3 British
4 birth cohorts
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1
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3 ABSTRACT
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5 Objectives
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8 We aimed to test the relationship between childhood cognitive function and long term sick leave in
9
10 adult life, and to examine whether any relationship was mediated by educational attainment, adult
11
12 social class or adult mental ill health.
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14 Design, setting, and participants
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17 We used data from the 1946, 1958 and 1970 British birth cohorts. We examined the association
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19 between cognitive function assessed at age 10/11 with long term sick leave at age 53, 42 and 34
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21 respectively.
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23 Results
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26 After adjusting for sex and parental social class lower cognitive function was associated with greater
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28 odds of being long term sick in all three cohorts with evidence of a dose-response effect. Educational
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30 attainment appeared to partly mediate the associations in all cohorts; adult social class appeared to
31
32 have a mediating role in the 1946 cohort. Adjusting for depression had little effect.
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34 Conclusions
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37 Long term sick leave is a complex outcome with many risk factors beyond health. Cognitive abilities
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39 might impact on the way individuals are able to develop strategies to maintain their employment or
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41 rapidly find new employment when faced with a range of difficulties. Education should form part of
42
43 the policy response to long term sick leave such that young people are better equipped with skills
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45 needed in a flexible labour market.
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48 (word count = 211)
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3 SUMMARY
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8 ARTICLE FOCUS
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10 [1] The association between disease severity and long term sick leave is weak; individual risk factors
11 including those identifiable before starting work are under-researched
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14 [2] Lower IQ may be one such risk factor and we used data from the 3 British birth cohorts now if
15 working age to look at the association between cognitive ability in childhood and long term sick leave
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17 in adult life
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20 [3] We also looked at the extent to which any such association could be mediated by educational
21 attainment, adult social class, or adult mental ill health
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28 KEY MESSAGES
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30 [1] After adjusting for sex and parental social class lower cognitive function was associated with
31 greater odds of being long term sick in all three cohorts (OR= approx 2 in all 3 cohorts, with clear
32 dose:response effect)
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37 [2] Educational attainment appeared to partly mediate the associations in all cohorts
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40 [3] The effect of lower cognitive ability is independent of mental ill health and adult social class
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45 STRENGTHS & LIMITATIONS
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47 Strengths
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49 [1] We have used data from 3 well established birth cohorts and used imputation to deal with missing
50 data
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3 [2] our data includes those born in the 40s, 50s and 70s who were in their 50s, 40s and 30s at the point
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5 the outcome was measured - our results transcend age and period effects
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7 Weaknesses
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10 [1] There is likely to be some effect from residual confounding from unmeasured variables acting in
11
12 early life which might influence both childhood cognitive ability and adult ill-health.
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14 [2] the outcome was assessed by receipt of incapacity benefit in the '58 and '70 cohorts but a different
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16 measure in the '46. different measures of depression are available in each cohort
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BACKGROUND

In the UK over 2.5 million people are in receipt of Incapacity Benefit (IB), most often paid to those off work for more than 6 months due to ill health¹. The cost to the economy from reduced tax revenues and payment of benefits is in excess of £50 billion per year². Reducing long term sick leave is thus high on the agenda for policy makers^{3,4}. Long term sick leave increases poverty in the sick, and is associated with premature mortality⁵⁻⁷. At the individual level long term sick leave means a loss of income and dignity, and with this a reduced opportunity for social participation². 50% of those in receipt of IB have been claiming for more than 5 years, and those claiming for 2 years are more likely to die or retire than get another job⁸. Long term sick leave increases and sustains poverty and social disadvantage.

Mental and musculoskeletal disorders are the most common reasons to be awarded IB^{2,9,10}. Much of the policy response to sickness absence has focussed on reducing occupational risk factors for these disorders. However there is a disconnect between the increase in incapacity benefit certifications and the distribution of risk factors in the workplace. Musculoskeletal disorders rose at a time when the physical demands of work decreased¹¹, and workplaces became increasingly safe¹². Similarly the increase in IB awards due to psychiatric disorders was not associated with a concomitant rise in the prevalence of these disorders within the working age population. Further, the relationship between health and occupational function is unclear - whilst there are 2.5 million people in the UK on IB, over 3 million people with a range of disabilities manage to remain in paid work¹³.

Relatively few studies have examined individual, as opposed to occupational, risk factors for long term sick leave¹⁴. Some difficulties apparent in childhood are associated: data from the Aberdeen Children of the Nineteen Fifties Cohort¹⁵ indicated that emotional or behavioural difficulties were associated with being permanently sick or disabled nearly 40 years later. Similar findings have been shown for adolescent mental disorders in a Swedish cohort¹⁶. Another early risk factor might be cognitive ability: the Aberdeen study indicated that low cognitive ability independently predicted being permanently sick or disabled in adult life. Work by Gravseth found that low birth weight and a

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3 failure to complete secondary education predicted the award of a disability pension in a cohort of
4 Norwegians born between 1967 and 1976¹⁷. The same author has shown that lower intellectual
5 performance at age 18 or 19, and educational attainment at age 23 were each independently associated
6 with the award of a disability pension to Norwegian men between the ages of 24 and 36¹⁸.
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11 Low IQ might explain the association of both childhood behavioural problems and poor educational
12 attainment with long term sickness absence in adult life. If this were the case, a response at policy
13 level which emphasised the attributed health reasons for long term sick leave and responded by trying
14 to improve the health “offer” to this group may be less than successful. By contrast one that looked
15 beyond a diagnostic label and emphasised skills and training, especially tailored to the needs of the
16 least cognitively able, might produce better results.
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20 We tested the hypothesis that lower cognitive ability is a risk factor for long term sickness absence in
21 three British birth cohorts. We further aimed to determine whether such an association is mediated by
22 educational attainment, adult social class, or adult mental health.
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25 METHODS

26 We used data from the 3 British birth cohorts whose participants are now working age¹⁹.
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29 *The National Survey of Health and Development*

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32 The 1946 National Survey of Health and Development (NSHD) obtained information on all singleton
33 births to married women in England Scotland and Wales in a single week in March 1946²⁰. An initial
34 sample of 5362 were then followed up, comprising all those with fathers in non-manual and
35 agricultural employment and a 1:4 sample of those with fathers in manual employment. The cohort is
36 described in detail elsewhere²⁰. In 1999, 3760 of the 5362 were alive, living in the UK and were not
37 permanent refusals. Of these 3035 (81%) provided data to the study. This group (weighted to adjust
38 for the sampling procedure) are broadly representative of the population born in 1946 in the UK,
39 although there was over-representation among non-responders of the never married and the least
40 advantaged in terms of cognitive ability, educational attainment, and social class²¹.
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The National Child Development Study

The 1958 National Childhood Development Study (NCDS) included all surviving children born in England Scotland and Wales in a single week in March 1958. During follow-up ‘sweeps’ immigrant children who would have been part of the study had they been born in the UK were added. The cohort is described in detail elsewhere²². In 2000, 16147 were still eligible to take part and 11419 (71%) contributed data.

The British Cohort Study

The 1970 British Cohort Study (BCS) included all live births in one week in April 1970 in the whole United Kingdom. Children born in Northern Ireland were subsequently dropped from follow-up. The cohort is described in detail elsewhere²³. In 2004, excluding those who had died, emigrated, been born in Northern Ireland or were permanent refusals 16875 were eligible to take part of whom 9656 (59%) contributed data.

Outcome

In all cohorts data on the outcome, long term sick leave, were extracted from the most recent dataset at the time the present project began: for the 1946 cohort this was in 1999 when participants were aged 53 years; for the 1958 cohort in 2000, when participants were aged 42, and in the 1970 cohort in 2004, when participants were aged 34.

In both the 1958 and 1970 cohort, participants were asked if they were in receipt of any benefit payments. Individuals reporting receipt of Incapacity Benefit (IB) or Severe Disablement Allowance were identified as being on long term sick leave. Typically these participants were off work for health reasons for more than 6 months. Data on benefit receipt were not available for the 1946 birth cohort in 1999. Instead participants were asked (yes/no) if they were in a job. Those who responded ‘No’ were asked (yes/no) if they were looking for work. Those who also replied ‘No’ to this question were asked why and asked to select a response from 6 options, one of which was “Permanently sick or disabled”. Individuals reporting this option as the reason they were not looking for work were identified as being

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3 on long term sick leave. We have previously described research using this category in the Aberdeen
4 Children of the Nineteen Fifties cohort ¹⁵.

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7 *Exposures of interest*

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10 The 1946 and 1958 cohorts contain data on participants' cognitive ability at age 11; the 1970 cohort
11 has a measure at age 10. 1946 cohort members were tested at age 11 on verbal and non-verbal
12 intelligence, arithmetic, word pronunciation, and vocabulary. Scores were summed to represent
13 overall cognitive ability. The cognitive ability of the 1958 cohort members was assessed using the
14 General Ability Test ²⁴. 1970 cohort members completed a modified version of the British Ability
15 Scales (BAS) ²⁵. A principal components analysis was performed on the four subscales of the BAS
16 and the first factor was taken as a general measure of cognitive ability ²⁶. For all cohorts cognitive
17 ability scores were divided into quartiles and the first (lowest cognitive ability) used as the reference
18 group.
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29 In all three cohorts occupation of the father at the time of the participants' birth was coded according
30 to the Registrar General's classification. For these analyses social class was categorised as Class I/II,
31 Class III (non-manual and manual) and Class IV/V. Participants were asked about their current or
32 most recent job, and these were similarly coded. Common mental disorders are the most common
33 reason for sick leave⁹. All cohorts contained a measure of depression or psychological distress. 1946
34 cohort members were administered the Present state Examination ²⁷ at age 36 years, and depression in
35 the preceding year was derived from the CATEGO algorithm ²⁷. 1958 cohort members were
36 administered the Malaise Inventory ²⁸ in 1991. Those scoring 8/24 or more were identified as 'cases'
37 of depression ²⁹. 1970 cohort members were administered the 12-item General Health Questionnaire ³⁰
38 in 2000. Participants scoring 4 or more were identified as cases of psychological distress/depression
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52 The highest level of educational qualification was available in the 1946, 1958 and 1970 cohorts at
53 ages 26, 33 and 26 years, respectively. All 3 cohorts categorised this information differently and thus
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3 all data were re-coded into degree; A level or equivalent; O level or equivalent; CSE grade 2-5; no
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5 qualifications.

6 7 *Risk set*

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10 At any time-point the workless population is a heterogeneous one, comprising individuals on short-
11
12 term sick leave, individuals on long-term sick leave and some individuals who, for whatever reason,
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14 have never worked. Many of this latter group will have substantial health difficulties such as severe
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16 physical disabilities or learning disabilities. Our outcome was long term sick leave, and therefore in
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18 all 3 cohorts analyses were restricted to those participants who described themselves as either in
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20 employment or full-time education, or caring for a family in the sweep immediately prior to that from
21
22 which the outcome was derived.

23 24 *Statistical Analyses*

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27 All data were analysed using STATA version 9.2³². As with all longitudinal studies, partial data
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29 collection and loss to follow-up meant that there were incomplete data on participants in all 3 cohorts.
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31 To minimise the impact of missing data multiple imputation using chained equations (ICE)³³ was
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33 carried out³². All variables were included in the imputation model³⁴. 10 iterations were completed.
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35 The MICOMBINE function was used to calculate average regression estimates across the 10 imputed
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37 datasets.

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40 For each cohort the prevalence of each of the exposures of interest was calculated. The unadjusted
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42 association between childhood cognitive ability and long term sickness absence was estimated and
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44 shown as odds ratios (OR), with 95% confidence intervals, for each of the four quartiles of childhood
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46 cognition compared to the reference, and for the overall trend. These were then adjusted for the
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48 potential confounders of sex and social class at birth. Finally, to examine the potential mediating
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50 effects of covariables measured in adult life, adult social class, educational attainment and history of
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52 recent depression or psychological distress were each added to the model.

53 54 55 RESULTS

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3 In 1999 2894 members of the 1946 cohort were eligible for this study, of whom 159 (5.5%) reported
4 themselves as permanently sick or disabled. In 2000 there were 15 053 eligible members of the 1958
5 cohort, of whom 431(2.9%) were in receipt of long term sickness benefits. In 2004 there were 14 713
6 eligible members of the 1970 cohort, of whom 153 (1.04%) were in receipt of long term sickness
7 benefits. The distribution of the covariables in each of the birth cohorts is shown in table 1.
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11 [Table 1 about here].
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15 The associations between childhood cognitive ability and long term sickness absence, adjusted for the
16 covariables are shown in Table 2. This shows that for each cohort there is a strong impact of cognitive
17 ability measured in childhood on the outcome several decades later. The top quartile showed between
18 one quart and one half the odds of long term sickness absence depending upon the cohort studied. The
19 effect was present after adjusting for sex and paternal social class (model 2). When potential
20 mediating variables were added, effects were reduced. Adding social class in adulthood diminished
21 effect sizes, particularly in the 1946 birth cohort. The overall impact of cognition on the outcome was
22 statistically significant in two of the three cohorts. Adjusting for educational attainment also led to a
23 reduction in effect sizes, with one cohort (the 1958) showing a significant trend of cognition on the
24 outcome, whereas the others showed a marginally statistically significant effect, although the ORs for
25 the lowest quartile were still of the order of two. Adjusting for prior mental disorder had little impact,
26 with all three of the cohorts showing an independent effect of cognition on long term sickness
27 absence. Finally, a full model controlling for all covariates simultaneously, showed a reduction in the
28 effect of cognition on long term sickness, with one of the three cohorts (1958) remaining significant.
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45 [Table 2 about here]
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47 DISCUSSION

48 *Summary of findings*

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50 We examined associations between cognitive ability measured in childhood and long term sickness
51 absence in adult life across three British birth cohorts. In all three cohorts the effects after adjustment
52 for sex and social class at birth were similar, and all three demonstrated a clear dose-response effect
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3 whereby lower childhood cognitive ability was more strongly associated with long-term sick leave. In
4 each cohort there was little attenuation when previous history of depression was included. There was
5 some attenuation of the effect when adult social class and, particularly, educational attainment was
6 included, and this attenuation was greater for those of lower cognitive ability. This suggests that some
7 of the effect of lower cognitive ability is mediated by educational attainment. For example low
8 educational attainment might lead to more insecure jobs or more manual jobs that could be more
9 difficult to sustain in the context of disability. However educational attainment does not fully explain
10 the association.
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13 *Strengths and weaknesses*

14
15 Strengths of this study include the use of data from three British cohorts across half a century, with
16 outcome data from both early (age 34) and late career (age 53), thus these results seem to transcend
17 period effects. These cohorts are broadly representative of the population born in UK in the years of
18 their inception. Those relatively disadvantaged were more likely to be under-represented, but we
19 have no reason to believe that this would have altered the pattern of results reported here. Exposures
20 were measured long before the outcomes occurred, and cognitive ability was assessed using well
21 recognised tools. Exposures in adult life were assessed independently of the research question,
22 limiting the impact of reporting bias.
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26 Weaknesses include the different assessment tools used to measure childhood cognitive ability in each
27 cohort which raises questions of comparability of results between cohorts. Paternal social class at
28 birth was assessed by asking the participant's mother. There is likely to be a degree of
29 misclassification here, most notable in the 1946 cohort as many fathers were just returning from the
30 war. We believe any such misclassification is likely to be random. Although the associations between
31 lower cognitive ability and long term sick leave remain after adjustment for parental social class we
32 are mindful of the possibility of residual confounding from unmeasured variables acting in early life
33 which might influence both childhood cognitive ability and adult ill-health. Depression or
34 psychological distress was measured using different self-report tools in all three cohorts. Only recent
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3 difficulties were asked for and as with all cohort studies the data are silent as to what happens
4 between 'sweeps'. A more robust measure of depression which identified episodes of illness between
5 sweeps would have been preferable.
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9 There is no accepted definition of long term sick leave. Although available in the 1958 and 1970
10 cohorts, receipt of Incapacity Benefit was not available in the 1946 cohort and this is a limitation.
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12 Nonetheless we believe the population captured under the heading "permanently sick or disabled" at
13 age 53 to be very similar to those indentified as being in receipt of IB. Most IB recipients have been
14 away from work for over 6 months. There are other routes in to incapacity benefit but the median time
15 spent on IB is 5 years and the advantages of using this outweigh any limitations. The population we
16 have studied represents the persistent and severe long term absentees. IB receipt is a binary question,
17 asked relatively context free and this will minimise any recall, reporting or observer bias, and any
18 misclassification is likely to be random. Furthermore we believe our findings have greater salience for
19 policy makers as notwithstanding the introduction of Employment and Support Allowance they can be
20 mapped straight onto the existing UK benefits framework.
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24 The effect sizes we have demonstrated are noticeably similar between the cohorts despite the
25 differences in the cohorts and the methods used to assess cognitive ability. The impact of cognitive
26 ability on later long term sickness absence attained conventional statistical significance in all three
27 cohorts though most strongly for the 1958 cohort. The higher P value in the 1946 cohorts reflects the
28 smaller size of the cohort (less than half that of the other two). In the 1970 cohort the outcome was
29 rare (1%) and hence statistical power was greatest in the 1958 cohort. Our results cannot be accounted
30 for by the people with very low cognitive ability never entering the labour force as we restricted our
31 analyses to only those were either working or fulfilling other social roles (caring for a family or
32 studying) at the previous sweep.
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36 There are no data on the cognitive abilities of people claiming Incapacity Benefit. Our study shows
37 that the bottom two quartiles of cognitive ability are responsible for a considerable proportion of the
38 IB recipient population. We present regression models showing the impact of controlling for a
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3 number of variables on these associations. It is worth noting that we do not consider these factors
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5 predominantly as confounders – in other words, although the association between cognition is
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7 attenuated and becomes non-significant in two of the three cohorts when educational attainment, adult
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9 social class, and depression are controlled, this does not indicate that the univariate association
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11 between cognition and long term sickness absence is merely a result of confounding. Rather, we
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13 consider it probable that these variables are mediators of the association. Thus the association
14
15 between lower cognitive status and long term sickness absence is in part explained by a pathway via
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17 educational attainment and adult social class.

18
19 There is an extensive literature on the health implications of low cognitive ability³⁵⁻³⁷. However we
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21 think it unlikely that the association we gave described between low cognitive ability in childhood and
22
23 adult occupational outcomes is simply because these individuals are more likely to become unwell.

24
25 First, we have deliberately not attempted to ascertain the clinical labels as to why an individual is in
26
27 receipt of IB – the effect of cognitive ability is substantial enough to be observed at cohort level.

28
29 Second, the very limited attenuating effect of depression in all three cohorts suggests that the
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31 mechanism behind this association is largely independent of mental health, the most common reason
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33 for long term sick leave. This is also suggested by the consistency of results across the three cohorts
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35 as the health difficulties suffered by those in their thirties are likely to be different to those suffered by
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37 people in their fifties. Last, whilst previous work on the 1946 cohort³⁸, replicating work on the 1958
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39 and 1970 cohorts^{26 39-41}, has shown an association between cognitive ability and adult chronic physical
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41 diseases, these associations were mediated by education and to a lesser degree socioeconomic status,
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43 both of which are included in our analyses.

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46 In trying to understand how childhood cognitive function affects adult occupational function it is
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48 important to recognise that long term sick leave is the result of a process rather than an event¹⁰. These
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50 data are unable to tell us if lower cognitive ability makes it more likely that an individual with a
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52 particular disorder or set of symptoms is more likely to go off work sick, or less able to get
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54 appropriate support when they are ill, or find it more difficult to negotiate a successful return to work
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56 after a period of ill health. Cognitive ability might impact on any or all of these, possibly in “soft”
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3 ways such as by directing responses to illness or by facilitating the recruitment of support from health
4 professionals, line managers, colleagues and friends. Such a model has previously been proposed for
5 the association between IQ and mortality⁴². The important role of education, identified in all three
6 cohorts, is consistent with this idea. Low cognitive ability and/or low educational attainment are likely
7 to be associated with a limited ability to transfer skills. So, for example, if an individual with few
8 skills goes off sick from a labouring job, the options with regard to alternative employment are few.
9 The change in last 40 years from a manufacturing economy to a service-based economy makes such a
10 lack of flexibility all the more problematic.

11
12 Our findings suggest that health is only one factor in understanding long term sickness absence. We
13 suggest that education should form part of the policy response to long term sickness absence: for
14 future generations equipping children with skills necessary for labour market flexibility may inoculate
15 them from the risk of long term sickness absence. For the present cohorts of individuals on incapacity
16 benefits it is important to recognise that their cognitive abilities may be below average and that the
17 most fruitful approach to rehabilitation may be to improve skills. More broadly the devastating
18 outcome of long term worklessness for those with health problems needs to be seen as having its roots
19 as much in a combination of individual risk factors as in the health and workplace factors which have
20 been the basis for much of the policy response to date.

Table 1 Distribution of covariables in 3 British birth cohorts

		1946 cohort		1958 cohort		1970 cohort	
		Whole cohort	Long term sick leave	Whole cohort	Long term sick leave	Whole cohort	Long term sick leave
Sex	Male	1561 (54%)	72 (45%)	7483 (50%)	190 (44%)	7373 (50%)	62 (41%)
	Female	1333 (46%)	89 (55%)	7570 (50%)	241 (55%)	7340 (50%)	91 (59%)
Social class at birth	I/II	656 (23%)	18 (11%)	2611 (17%)	37 (8%)	2431 (17%)	20 (13%)
	III	1505 (52%)	76 (47%)	8849 (59%)	274 (64%)	10359 (70%)	80 (52%)
	IV/V	733 (25%)	67 (42%)	3593 (24%)	120 (28%)	1923 (13%)	53 (35%)
Educational attainment	Degree	30 (1%)	1 (0.5%)	1867 (12%)	21 (5%)	2708 (18%)	16 (10%)
	A levels	270 (9%)	3 (2%)	1962 (13%)	86 (20%)	2054 (14%)	14 (9%)
	O Levels	740 (26%)	17 (10.5%)	5200 (35%)	143 (33%)	6178 (42%)	77 (50%)
	CSEs	575 (20%)	25 (16%)	4204 (28%)	79 (18%)	2904 (20%)	34 (22%)
	No qualifications	1279 (44%)	115 (71%)	1820 (12%)	103 (24%)	869 (6%)	12 (8%)
Social class at last sweep	I/II	1284 (45%)	40 (25%)	2675 (18%)	55 (13%)	6037 (41%)	47 (31%)
	III	1138 (39%)	60 (37%)	7779 (52%)	220 (51%)	6159 (42%)	64 (42%)
	IV/V	472 (16%)	61 (38%)	4599 (30%)	156 (36%)	2517 (17%)	42 (27%)
Previous depression	Yes	502 (17%)	37 (23%)	946 (6%)	73 (17%)	3387 (23%)	36 (24%)
	No	2392 (83%)	124 (77%)	14107 (94%)	358 (83%)	11326 (77%)	117 (76%)
Cognitive ability in childhood	Quartile 1 (least able)	657 (23%)	76 (47%)	3768 (25%)	175 (41%)	3663 (25%)	49 (32%)
	Quartile 2	707 (24%)	37 (23%)	3776 (25%)	125 (29%)	3758 (26%)	47 (31%)
	Quartile 3	757 (26%)	28 (17%)	3907 (26%)	77 (18%)	3722 (25%)	35 (23%)
	Quartile 4 (most able)	773 (27%)	20 (13%)	3602 (24%)	54 (12%)	3570 (24%)	22 (14%)

Table 2. The association between childhood cognitive ability and long term sickness absence in 3 British birth cohorts

		Model 1: Unadjusted		Model 2: Adjusted for sex, paternal social class		Model 2 + adult social class		Model 2 + educational attainment		Model 2 + depression		Adjusted for all covariables	
		OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	P	OR (95% CI)	p	OR (95% CI)	P	OR (95% CI)	p
1946 cohort	Cognition	0.66 (0.53,0.82)	P<0.001	0.70 (0.56,0.86)	P=0.001	0.78 (0.63,0.98)	P=0.04	0.80 (0.63,1.02)	P=0.07	0.69 (0.56,0.86)	P=0.001	0.84	P=0.15
	Quartile 1 (least able)	1		1		1		1		1		1	
	Quartile 2	0.77 (0.46,1.29)	P=0.32	0.84 (0.50,1.42)	P=0.52	0.98 (0.57,1.67)	P=0.93	0.95 (0.56,1.61)	P=0.84	0.84 (0.50,1.41)	P=0.51	1.02 (0.60,1.74)	P=0.94
	Quartile 3	0.49 (0.27,0.88)	P=0.02	0.54 (0.30,0.96)	P=0.04	0.65 (0.36,1.19)	P=0.17	0.67 (0.36,1.24)	P=0.21	0.52 (0.29,0.95)	P=0.03	0.71 (0.38,1.33)	P=0.29
	Quartile 4 (most able)	0.26 (0.11,0.58)	P=0.001	0.31 (0.13,0.70)	P=0.005	0.44 (0.18,1.05)	P=0.06	0.45 (0.18,1.11)	P=0.09	0.30 (0.13,0.69)	P=0.005	0.53 (0.21,1.33)	P=0.17
1958 cohort	Cognition	0.68 (0.60,0.76)	P<0.001	0.69 (0.61,0.77)	P<0.001	0.69 (0.61,0.78)	P<0.001	0.77 (0.67,0.90)	P=0.001	0.72 (0.64,0.81)	P<0.001	0.79 (0.68,0.92)	P=0.002
	Quartile 1 (least able)	1		1		1		1		1		1	
	Quartile 2	0.67 (0.51,0.88)	P=0.004	0.68 (0.52,0.89)	P=0.005	0.68 (0.52,0.90)	P=0.006	0.78 (0.59,1.03)	P=0.09	0.72 (0.54,0.94)	P=0.02	0.81 (0.61,1.07)	P=0.13
	Quartile 3	0.43 (0.31,0.59)	P<0.001	0.44 (0.32, 0.61)	P<0.001	0.45 (0.33,0.62)	P<0.001	0.56 (0.40,0.79)	P=0.001	0.49 (0.35,0.68)	P<0.001	0.60 (0.42,0.84)	P=0.003
	Quartile 4 (most able)	0.32 (0.22,0.48)	P<0.001	0.35 (0.24,0.52)	P<0.001	0.36 (0.24,0.54)	P<0.001	0.49 (0.30,0.81)	P=0.005	0.39 (0.26,0.59)	P<0.001	0.53 (0.32,0.86)	P=0.01
1970 cohort	Cognition	0.78 (0.64,0.94)	P=0.01	0.80 (0.66,0.97)	P=0.03	0.84 (0.69,1.03)	P=0.1	0.85 (0.70,1.02)	P=0.08	0.80 (0.66,0.98)	P=0.03	0.87 (0.72,1.05)	P=0.15
	Quartile 1 (least able)	1		1		1		1		1		1	
	Quartile 2	0.94 (0.48,1.82)	P=0.85	0.97 (0.50,1.88)	P=0.93	1.03 (0.52,2.03)	P=0.93	1.0 (0.51,1.94)	P=0.99	0.97 (0.50,1.87)	P=0.92	1.03 (0.52,2.02)	P=0.93
	Quartile 3	0.71 (0.44,1.15)	P=0.17	0.74 (0.46,1.21)	P=0.24	0.82 (0.50,1.34)	P=0.43	0.80 (0.50,1.29)	P=0.37	0.75 (0.46,1.22)	P=0.24	0.82 (0.50,1.34)	P=0.43
	Quartile 4 (most able)	0.44 (0.21,0.90)	P=0.03	0.48 (0.24,0.98)	P=0.04	0.56 (0.26,1.19)	0.13	0.57 (0.28,1.12)	P=0.10	0.48 (0.24,0.98)	P=0.04	0.56 (0.26,1.19)	P=0.13

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WHAT IS ALREADY KNOWN ON THIS SUBJECT

- Mental ill health and musculoskeletal disorders are the most common diagnoses in people on long term sick leave.
- However, the associations between objective measures of health and long term sick leave are weak
- Most research has focussed on occupational risk factors for sickness absence, such as the psychosocial work environment.
- Relatively little research has examined the role of individual risk factors

WHAT THIS STUDY ADDS

- There is a clear dose-response relationship between lower cognitive function in childhood and increased odds of being on long term sick leave in adulthood.
- This association applies to younger as well as older workers, and holds true irrespective of the decade of birth.
- This association is mediated in part by education attainment suggesting improved education, especially for those with lower cognitive abilities, may help inoculate them from the risk of long term sickness absence.

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COMPETING INTERESTS

"All authors have completed the Unified Competing Interest form at www.icmje.org/coi_disclosure.pdf (available on request from the corresponding author) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous 3 years; no other relationships or activities that could appear to have influenced the submitted work."

CONTRIBUTORS

Henderson, Hotopf and Stansfeld conceived the study. Henderson Hotopf and Richards analysed the data. Henderson Hotopf Stansfeld and Richards interpreted the results. Henderson drafted the manuscript. Hotopf Stansfeld and Richards critically revised the manuscript for important intellectual content.

All authors approved the final version.

Henderson is the guarantor.

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3 ETHICAL APPROVAL
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RESEARCH CHECKLIST: The association between childhood cognitive ability and long term sickness absence in 3 British birth cohorts

	Item No	Recommendation	Page no. in paper
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-7
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Author note: Our study used data from 3 British birth cohorts, all of which have been extensively investigated and reported on</i>	4-5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5-7
Bias	9	Describe any efforts to address potential sources of bias	9-10
Study size	10	Explain how the study size was arrived at <i>Author note: we used data from 3 well established birth cohorts and have cited detailed descriptions of each</i>	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7
		(b) Describe any methods used to examine subgroups and interactions	n/a
		(c) Explain how missing data were addressed	7
		(d) If applicable, explain how loss to follow-up was addressed	7
		(e) Describe any sensitivity analyses	None

Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram <i>Author note: we cite “About the cohort” papers for all 3 cohorts in addition to Professor Wadsworth’s book which provides further information. We would happily include further information in the paper if required but with 3 birth cohorts we felt this was too much</i>	8
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) Summarise follow-up time (eg, average and total amount)	13 n/a
Outcome data	15*	Report numbers of outcome events or summary measures over time	8
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	13 NA NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	None
Discussion			
Key results	18	Summarise key results with reference to study objectives	8-9
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	9-10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	11-12
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	19

*Give information separately for exposed and unexposed groups.

1 **Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and
2 published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely
3 available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at
4 <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is
5 available at <http://www.strobe-statement.org>.
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1 RESEARCH CHECKLIST: The association between childhood cognitive ability and long term sickness absence in 3
 2 British birth cohorts
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	Item No	Recommendation	Page no. in paper
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The association between childhood cognitive ability and adult long term sickness absence in 3 British birth cohorts: a cohort study

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Keywords:	OCCUPATIONAL & INDUSTRIAL MEDICINE, EPIDEMIOLOGY, PUBLIC HEALTH

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 2 British birth cohorts
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		(e) Describe any sensitivity analyses	None
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram <i>Author note: we cite "About the cohort" papers for all 3 cohorts in addition to Professor Wadsworth's book which provides further information. We would happily include further information in the paper if required but with 3</i>	8

birth cohorts we felt this was too much

Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	13
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) Summarise follow-up time (eg, average and total amount)	n/a
Outcome data	15*	Report numbers of outcome events or summary measures over time	8
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	13
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	None
Discussion			
Key results	18	Summarise key results with reference to study objectives	8-9
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	9-10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	11-12
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	19

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

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3 The association between childhood cognitive ability and adult long term sickness absence in 3 British
4 birth cohorts: a cohort study
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ABSTRACT

Objectives: We aimed to test the relationship between childhood cognitive function and long term sick leave in adult life, and whether any relationship was mediated by educational attainment, adult social class or adult mental ill health

Design: Cohort study

Setting: We used data from the 1946, 1958 and 1970 British birth cohorts. Initial study populations included all live births in one week in that year. Follow-up arrangements have differed between the cohorts.

Participants: We included only those alive, living in the UK, and not permanent refusals at the time of the outcome. We further restricted analyses to those in employment, full-time education, or caring for a family in the sweep immediately prior to the outcome. 2894 (1946), 15 053 (1958) and 14 713 (1970) cohort members were included.

Primary and secondary outcome measures: Receipt of health-related benefits (e.g. incapacity benefit) in 2000 and 2004 for the 1958 and 1970 cohorts respectively; individuals identified as "permanently sick or disabled" in 1999 for 1946 cohort.

Results: After adjusting for sex and parental social class better cognitive function at age 10/11 was associated with reduced odds of being long term sick (1946: 0.70(0.56,0.86) $p=0.001$; 1958 (0.69 (0.61,0.77) $p<0.001$; 1970 0.80 (0.66,0.97) $p=0.003$). Educational attainment appeared to partly mediate the associations in all cohorts; adult social class appeared to have a mediating role in the 1946 cohort.

Conclusions: Long term sick leave is a complex outcome with many risk factors beyond health. Cognitive abilities might impact on the way individuals are able to develop strategies to maintain their employment or rapidly find new employment when faced with a range of difficulties. Education should form part of the policy response to long term sick leave such that young people are better equipped with skills needed in a flexible labour market.

BACKGROUND

In the UK over 2.5 million people are in receipt of health-related benefits (HRBs) including Incapacity Benefit and Employment and Support allowance, most often paid to those off work for more than 6 months due to ill health¹. The cost to the economy from reduced tax revenues and payment of benefits is in excess of £50 billion per year². Reducing long term sick leave is thus high

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3 on the agenda for policy makers^{3 4}. Long term sick leave increases poverty in the sick, and is
4 associated with premature mortality⁵⁻⁷. At the individual level long term sick leave means a loss of
5 income and dignity, and with this a reduced opportunity for social participation². 50% of those in
6 receipt of an HRB have been claiming for more than 5 years, and those claiming for 2 years are more
7 likely to die or retire than get another job⁸. Long term sick leave increases and sustains poverty and
8 social disadvantage.

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15 Mental and musculoskeletal disorders are the most common reasons to be awarded an HRB^{2 9 10}.

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Much of the policy response to sickness absence has focussed on reducing occupational risk factors
for these disorders. However there is a disconnect between the increase in incapacity benefit
certifications and the distribution of risk factors in the workplace. Musculoskeletal disorders rose at a
time when the physical demands of work decreased¹¹, and workplaces became increasingly safe¹².

Similarly the increase in awards of HRBs due to psychiatric disorders was not associated with a
concomitant rise in the prevalence of these disorders within the working age population. Further, the
relationship between health and occupational function is unclear - whilst there are 2.5 million people
in the UK on IB, over 3 million people with a range of disabilities manage to remain in paid work¹³.

Relatively few studies have examined individual, as opposed to occupational, risk factors for long
term sick leave¹⁴. Some difficulties apparent in childhood are associated: data from the Aberdeen
Children of the Nineteen Fifties Cohort¹⁵ indicated that emotional or behavioural difficulties were
associated with being permanently sick or disabled nearly 40 years later. Similar findings have been
shown for adolescent mental disorders in a Swedish cohort¹⁶. Another early risk factor might be
cognitive ability: the Aberdeen study indicated that low cognitive ability independently predicted
being permanently sick or disabled in adult life. Work by Gravseth found that low birth weight and a
failure to complete secondary education predicted the award of a disability pension in a cohort of
Norwegians born between 1967 and 1976¹⁷. The same author has shown that lower intellectual
performance at age 18 or 19, and educational attainment at age 23 were each independently associated
with the award of a disability pension to Norwegian men between the ages of 24 and 36¹⁸.

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3 Low IQ might explain the association of both childhood behavioural problems and poor educational
4 attainment with long term sickness absence in adult life. If this were the case, a response at policy
5 level which emphasised the attributed health reasons for long term sick leave and responded by trying
6 to improve the health “offer” to this group may be less than successful. By contrast one that looked
7 beyond a diagnostic label and emphasised skills and training, especially tailored to the needs of the
8 least cognitively able, might produce better results.
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15 We tested the hypothesis that lower cognitive ability is a risk factor for long term sickness absence in
16 three British birth cohorts. We further aimed to determine whether such an association is mediated by
17 educational attainment, adult social class, or adult mental health.
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21 METHODS

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23 We used data from the 3 British birth cohorts whose participants are now working age¹⁹.

24 *The National Survey of Health and Development*

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30 The 1946 National Survey of Health and Development (NSHD) obtained information on all singleton
31 births to married women in England Scotland and Wales in a single week in March 1946²⁰. An initial
32 sample of 5362 were then followed up, comprising all those with fathers in non-manual and
33 agricultural employment and a 1:4 sample of those with fathers in manual employment. The cohort is
34 described in detail elsewhere²⁰. In 1999, 3760 of the 5362 were alive, living in the UK and were not
35 permanent refusals. Of these 3035 (81%) provided data to the study. This group (weighted to adjust
36 for the sampling procedure) are broadly representative of the population born in 1946 in the UK,
37 although there was over-representation among non-responders of the never married and the least
38 advantaged in terms of cognitive ability, educational attainment, and social class²¹.
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48 *The National Child Development Study*

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51 The 1958 National Childhood Development Study (NCDS) included all surviving children born in
52 England Scotland and Wales in a single week in March 1958. During follow-up ‘sweeps’ immigrant
53 children who would have been part of the study had they been born in the UK were added. The cohort
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3 is described in detail elsewhere²². In 2000, 16147 were still eligible to take part and 11419 (71%)
4
5 contributed data.

6 7 *The British Cohort Study*

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10 The 1970 British Cohort Study (BCS) included all live births in one week in April 1970 in the whole
11
12 United Kingdom. Children born in Northern Ireland were subsequently dropped from follow-up. The
13
14 cohort is described in detail elsewhere²³. In 2004, excluding those who had died, emigrated, been
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16 born in Northern Ireland or were permanent refusals 16875 were eligible to take part of whom 9656
17
18 (59%) contributed data.

19 20 21 *Outcome*

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23 In all cohorts data on the outcome, long term sick leave, were extracted from the most recent dataset
24
25 at the time the present project began: for the 1946 cohort this was in 1999 when participants were
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27 aged 53 years; for the 1958 cohort in 2000, when participants were aged 42, and in the 1970 cohort in
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29 2004, when participants were aged 34.

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32 In both the 1958 and 1970 cohort, participants were asked if they were in receipt of any benefit
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34 payments. Individuals reporting receipt of Incapacity Benefit (IB) or Severe Disablement Allowance
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36 were identified as being on long term sick leave. Typically these participants were off work for health
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38 reasons for more than 6 months. Data on benefit receipt were not available for the 1946 birth cohort in
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40 1999. Instead participants were asked (yes/no) if they were in a job. Those who responded 'No' were
41
42 asked (yes/no) if they were looking for work. Those who also replied 'No' to this question were asked
43
44 why and asked to select a response from 6 options, one of which was "Permanently sick or disabled".
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46 Individuals reporting this option as the reason they were not looking for work were identified as being
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48 on long term sick leave. We have previously described research using this category in the Aberdeen
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50 Children of the Nineteen Fifties cohort¹⁵.

51 52 53 *Exposures of interest*

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3 The 1946 and 1958 cohorts contain data on participants' cognitive ability at age 11; the 1970 cohort
4 has a measure at age 10. 1946 cohort members were tested at age 11 on verbal and non-verbal
5 intelligence, arithmetic, word pronunciation, and vocabulary. Scores were summed to represent
6 overall cognitive ability. The cognitive ability of the 1958 cohort members was assessed using the
7 General Ability Test²⁴. 1970 cohort members completed a modified version of the British Ability
8 Scales (BAS)²⁵. A principal components analysis was performed on the four subscales of the BAS
9 and the first factor was taken as a general measure of cognitive ability²⁶. For all cohorts cognitive
10 ability scores were divided into quartiles and the first (lowest cognitive ability) used as the reference
11 group.
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22 In all three cohorts occupation of the father at the time of the participants' birth was coded according
23 to the Registrar General's classification. For these analyses social class was categorised as Class I/II,
24 Class III (non-manual and manual) and Class IV/V. Participants were asked about their current or
25 most recent job, and these were similarly coded. Common mental disorders are the most common
26 reason for sick leave⁹. All cohorts contained a measure of depression or psychological distress. 1946
27 cohort members were administered the Present state Examination²⁷ at age 36 years, and depression in
28 the preceding year was derived from the CATEGO algorithm²⁷. 1958 cohort members were
29 administered the Malaise Inventory²⁸ in 1991. Those scoring 8/24 or more were identified as 'cases'
30 of depression²⁹. 1970 cohort members were administered the 12-item General Health Questionnaire³⁰
31 in 2000. Participants scoring 4 or more were identified as cases of psychological distress/depression
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The highest level of educational qualification was available in the 1946, 1958 and 1970 cohorts at
ages 26, 33 and 26 years, respectively. All 3 cohorts categorised this information differently and thus
all data were re-coded into degree; A level or equivalent; O level or equivalent; CSE grade 2-5; no
qualifications.

Risk set

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3 At any time-point the workless population is a heterogeneous one, comprising individuals on short-
4 term sick leave, individuals on long-term sick leave and some individuals who, for whatever reason,
5 have never worked. Many of this latter group will have substantial health difficulties such as severe
6 physical disabilities or learning disabilities. Our outcome was long term sick leave, and therefore in
7 all 3 cohorts analyses were restricted to those participants who described themselves as either in
8 employment or full-time education, or caring for a family in the sweep immediately prior to that from
9 which the outcome was derived (1946 cohort - 1989; 1958 cohort - 1991; 1970 cohort - 2000). This
10 restriction removed 23%, 7% and 13% of the participants respectively. Post-hoc analysis showed
11 these participants had very high rates of HRB receipt.
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22 *Statistical Analyses*

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24 All data were analysed using STATA version 9.2³². As with all longitudinal studies, partial data
25 collection and loss to follow-up meant that there were incomplete data on participants in all 3 cohorts.
26 To minimise the impact of missing data multiple imputation using chained equations (ICE)³³ was
27 carried out³². All variables were included in the imputation model³⁴. 10 iterations were completed.
28 The MICOMBINE function was used to calculate average regression estimates across the 10 imputed
29 datasets.
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37 For each cohort the prevalence of each of the exposures of interest was calculated. The unadjusted
38 association between childhood cognitive ability and long term sickness absence was estimated and
39 shown as odds ratios (OR), with 95% confidence intervals, for each of the four quartiles of childhood
40 cognition compared to the reference, and for the overall trend. These were then adjusted for the
41 potential confounders of sex and social class at birth. Finally, to examine the potential mediating
42 effects of covariables measured in adult life, adult social class, educational attainment and history of
43 recent depression or psychological distress were each added to the model.
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51 RESULTS

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54 In 1999 2894 members of the 1946 cohort were eligible for this study, of whom 159 (5.5%) reported
55 themselves as permanently sick or disabled. In 2000 there were 15 053 eligible members of the 1958
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3 cohort, of whom 431(2.9%) were in receipt of long term sickness benefits. In 2004 there were 14 713
4 eligible members of the 1970 cohort, of whom 153 (1.04%) were in receipt of long term sickness
5 benefits. The distribution of the covariables in each of the birth cohorts is shown in table 1.
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10 [Table 1 about here].
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12 The associations between childhood cognitive ability and long term sickness absence, adjusted for the
13 covariables are shown in Table 2. This shows that for each cohort there is a strong impact of cognitive
14 ability measured in childhood on the outcome several decades later. The top quartile showed between
15 one quart and one half the odds of long term sickness absence depending upon the cohort studied. The
16 effect was present after adjusting for sex and paternal social class (model 2). When potential
17 mediating variables were added, effects were reduced. Adding social class in adulthood diminished
18 effect sizes, particularly in the 1946 birth cohort. The overall impact of cognition on the outcome was
19 statistically significant in two of the three cohorts. Adjusting for educational attainment also led to a
20 reduction in effect sizes, with one cohort (the 1958) showing a significant trend of cognition on the
21 outcome, whereas the others showed a marginally statistically significant effect, although the ORs for
22 the lowest quartile were still of the order of two. Adjusting for prior mental disorder had little impact,
23 with all three of the cohorts showing an independent effect of cognition on long term sickness
24 absence. Finally, a full model controlling for all covariates simultaneously, showed a reduction in the
25 effect of cognition on long term sickness, with one of the three cohorts (1958) remaining significant.
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40 [Table 2 about here]
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42 DISCUSSION

43 *Summary of findings*

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46 We examined associations between cognitive ability measured in childhood and long term sickness
47 absence in adult life across three British birth cohorts. In all three cohorts the effects after adjustment
48 for sex and social class at birth were similar, and all three demonstrated a clear dose-response effect
49 whereby lower childhood cognitive ability was more strongly associated with long-term sick leave. In
50 each cohort there was little attenuation when previous history of depression was included. There was
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3 some attenuation of the effect when adult social class and, particularly, educational attainment was
4 included, and this attenuation was greater for those of lower cognitive ability. This suggests that some
5 of the effect of lower cognitive ability is mediated by educational attainment. For example low
6 educational attainment might lead to more insecure jobs or more manual jobs that could be more
7 difficult to sustain in the context of disability. However educational attainment does not fully explain
8 the association.
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14 *Strengths and weaknesses*

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16 Strengths of this study include the use of data from three British cohorts across half a century, with
17 outcome data from both early (age 34) and late career (age 53), thus these results seem to transcend
18 period effects. These cohorts are broadly representative of the population born in UK in the years of
19 their inception. Those relatively disadvantaged were more likely to be under-represented, but we
20 have no reason to believe that this would have altered the pattern of results reported here. Exposures
21 were measured long before the outcomes occurred, and cognitive ability was assessed using well
22 recognised tools. Exposures in adult life were assessed independently of the research question,
23 limiting the impact of reporting bias.
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35 Weaknesses include the different assessment tools used to measure childhood cognitive ability in each
36 cohort which raises questions of comparability of results between cohorts. Paternal social class at
37 birth was assessed by asking the participant's mother. There is likely to be a degree of
38 misclassification here, most notable in the 1946 cohort as many fathers were just returning from the
39 war. We believe any such misclassification is likely to be random. Although the associations between
40 lower cognitive ability and long term sick leave remain after adjustment for parental social class we
41 are mindful of the possibility of residual confounding from unmeasured variables acting in early life
42 which might influence both childhood cognitive ability and adult ill-health. We used three birth
43 cohorts each of which has included a number of sweeps over at least 30 years. Non-participation in
44 the more recent sweeps of the 1946 cohort is associated with socio-economic disadvantage, and in the
45 1958 and 1970 cohorts with male sex and lower educational attainment. Although the remaining
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3 participants are still broadly representative of their generations and we have used multiple imputation
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5 to minimise the impact of loss to follow-up it is possible that our cohort data is to some degree biased.
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7 Any resulting error would, however, tend to underestimate the association between childhood
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9 cognitive function and later occupational function.

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11 Depression or psychological distress was measured using different self-report tools in all three
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13 cohorts. Only recent difficulties were asked for and as with all cohort studies the data are silent as to
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15 what happens between ‘sweeps’. A more robust measure of depression which identified episodes of
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17 illness between sweeps would have been preferable. We included depression in this analysis as it is
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19 the leading cause of long term sick leave. Given the two-way relationship between physical and
20
21 mental ill health our results would have been illuminated had we included measures of physical illness
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23 in childhood and in adult life.

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26 There is no accepted definition of long term sick leave. Although available in the 1958 and 1970
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28 cohorts, receipt of Incapacity Benefit was not available in the 1946 cohort and this is a limitation.
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30 Nonetheless we believe the population captured under the heading “permanently sick or disabled” at
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32 age 53 to be very similar to those indentified as being in receipt of IB. Most IB recipients have been
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34 away from work for over 6 months. There are other routes in to incapacity benefit but the median time
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36 spent on IB is 5 years and the advantages of using this outweigh any limitations. The population we
37
38 have studied represents the persistent and severe long term absentees. IB receipt is a binary question,
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40 asked relatively context free and this will minimise any recall, reporting or observer bias, and any
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42 misclassification is likely to be random. Furthermore we believe our findings have greater salience for
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44 policy makers as notwithstanding the introduction of Employment and Support Allowance they can be
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46 mapped straight onto the existing UK benefits framework.

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49 The effect sizes we have demonstrated are noticeably similar between the cohorts despite the
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51 differences in the cohorts and the methods used to assess cognitive ability. The impact of cognitive
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53 ability on later long term sickness absence attained conventional statistical significance in all three
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55 cohorts though most strongly for the 1958 cohort. The higher P value in the 1946 cohorts reflects the

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3 smaller size of the cohort (less than half that of the other two). In the 1970 cohort the outcome was
4 rare (1%) and hence statistical power was greatest in the 1958 cohort. Our results cannot be accounted
5 for by the people with very low cognitive ability never entering the labour force as we restricted our
6 analyses to only those were either working or fulfilling other social roles (caring for a family or
7 studying) at the previous sweep.
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13 There are no data on the cognitive abilities of people claiming Incapacity Benefit. Our study shows
14 that the bottom two quartiles of cognitive ability are responsible for a considerable proportion of the
15 IB recipient population. We present regression models showing the impact of controlling for a
16 number of variables on these associations. It is worth noting that we do not consider these factors
17 predominantly as confounders – in other words, although the association between cognition is
18 attenuated and becomes non-significant in two of the three cohorts when educational attainment, adult
19 social class, and depression are controlled, this does not indicate that the univariate association
20 between cognition and long term sickness absence is merely a result of confounding. Rather, we
21 consider it probable that these variables are mediators of the association. Thus the association
22 between lower cognitive status and long term sickness absence is in part explained by a pathway via
23 educational attainment and adult social class. It should be noted however that the relationships
24 between risk factors identified in early life, education, health and employment factors in leading to the
25 receipt of HRBs is not clear and is likely to be complex.
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40 There is an extensive literature on the health implications of low cognitive ability³⁵⁻³⁷. However we
41 think it unlikely that the association we gave described between low cognitive ability in childhood and
42 adult occupational outcomes is simply because these individuals are more likely to become unwell.
43 First, we have deliberately not attempted to ascertain the clinical labels as to why an individual is in
44 receipt of IB – the effect of cognitive ability is substantial enough to be observed at cohort level.
45 Second, the very limited attenuating effect of depression in all three cohorts suggests that the
46 mechanism behind this association is largely independent of mental health, the most common reason
47 for long term sick leave. This is also suggested by the consistency of results across the three cohorts
48 as the health difficulties suffered by those in their thirties are likely to be different to those suffered by
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3 people in their fifties. Last, whilst previous work on the 1946 cohort³⁸, replicating work on the 1958
4 and 1970 cohorts^{26 39-41}, has shown an association between cognitive ability and adult chronic physical
5 diseases, these associations were mediated by education and to a lesser degree socioeconomic status,
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7 both of which are included in our analyses.
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11 In trying to understand how childhood cognitive function affects adult occupational function it is
12 important to recognise that long term sick leave is the result of a process rather than an event¹⁰. These
13 data are unable to tell us if lower cognitive ability makes it more likely that an individual with a
14 particular disorder or set of symptoms is more likely to go off work sick, or less able to get
15 appropriate support when they are ill, or find it more difficult to negotiate a successful return to work
16 after a period of ill health. Cognitive ability might impact on any or all of these, possibly in “soft”
17 ways such as by directing responses to illness or by facilitating the recruitment of support from health
18 professionals, line managers, colleagues and friends. Such a model has previously been proposed for
19 the association between IQ and mortality⁴². The important role of education, identified in all three
20 cohorts, is consistent with this idea. Low cognitive ability and/or low educational attainment are likely
21 to be associated with a limited ability to transfer skills. So, for example, if an individual with few
22 skills goes off sick from a labouring job, the options with regard to alternative employment are few.
23
24 The change in last 40 years from a manufacturing economy to a service-based economy makes such a
25 lack of flexibility all the more problematic.
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29 Our findings suggest that health is only one factor in understanding long term sickness absence. We
30 suggest that education should form part of the policy response to long term sickness absence: for
31 future generations equipping children with skills necessary for labour market flexibility may inoculate
32 them from the risk of long term sickness absence. For the present cohorts of individuals on incapacity
33 benefits it is important to recognise that their cognitive abilities may be below average and that the
34 most fruitful approach to rehabilitation may be to improve skills. More broadly the devastating
35 outcome of long term worklessness for those with health problems needs to be seen as having its roots
36 as much in a combination of individual risk factors as in the health and workplace factors which have
37 been the basis for much of the policy response to date.
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For peer review only

Table 1 Distribution of covariables in 3 British birth cohorts

		1946 cohort		1958 cohort		1970 cohort	
		Whole cohort (n=2894)	Long term sick leave (n=159)	Whole cohort (n=15 053)	Long term sick leave (n=431)	Whole cohort (n=14 713)	Long term sick leave (n=153)
Sex	Male	1561 (54%)	72 (45%)	7483 (50%)	190 (44%)	7373 (50%)	62 (41%)
	Female	1333 (46%)	89 (55%)	7570 (50%)	241 (55%)	7340 (50%)	91 (59%)
Social class at birth	I/II	656 (23%)	18 (11%)	2611 (17%)	37 (8%)	2431 (17%)	20 (13%)
	III	1505 (52%)	76 (47%)	8849 (59%)	274 (64%)	10359 (70%)	80 (52%)
	IV/V	733 (25%)	67 (42%)	3593 (24%)	120 (28%)	1923 (13%)	53 (35%)
Educational attainment	Degree	30 (1%)	1 (0.5%)	1867 (12%)	21 (5%)	2708 (18%)	16 (10%)
	A levels	270 (9%)	3 (2%)	1962 (13%)	86 (20%)	2054 (14%)	14 (9%)
	O Levels	740 (26%)	17 (10.5%)	5200 (35%)	143 (33%)	6178 (42%)	77 (50%)
	CSEs	575 (20%)	25 (16%)	4204 (28%)	79 (18%)	2904 (20%)	34 (22%)
	No qualifications	1279 (44%)	115 (71%)	1820 (12%)	103 (24%)	869 (6%)	12 (8%)
Social class at last sweep	I/II	1284 (45%)	40 (25%)	2675 (18%)	55 (13%)	6037 (41%)	47 (31%)
	III	1138 (39%)	60 (37%)	7779 (52%)	220 (51%)	6159 (42%)	64 (42%)
	IV/V	472 (16%)	61 (38%)	4599 (30%)	156 (36%)	2517 (17%)	42 (27%)
Previous depression	Yes	502 (17%)	37 (23%)	946 (6%)	73 (17%)	3387 (23%)	36 (24%)
	No	2392 (83%)	124 (77%)	14107 (94%)	358 (83%)	11326 (77%)	117 (76%)
Cognitive ability in childhood	Quartile 1 (least able)	657 (23%)	76 (47%)	3768 (25%)	175 (41%)	3663 (25%)	49 (32%)
	Quartile 2	707 (24%)	37 (23%)	3776 (25%)	125 (29%)	3758 (26%)	47 (31%)
	Quartile 3	757 (26%)	28 (17%)	3907 (26%)	77 (18%)	3722 (25%)	35 (23%)
	Quartile 4 (most able)	773 (27%)	20 (13%)	3602 (24%)	54 (12%)	3570 (24%)	22 (14%)

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Table 2. The association between childhood cognitive ability and long term sickness absence in 3 British birth cohorts

		Model 1: Unadjusted		Model 2: Adjusted for sex, paternal social class		Model 2 + adult social class		Model 2 + educational attainment		Model 2 + depression		Adjusted for all covariables	
		OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	P	OR (95% CI)	P	OR (95% CI)	P	OR (95% CI)	p
1946 cohort	Cognition	0.66 (0.53,0.82)	P<0.001	0.70 (0.56,0.86)	P=0.001	0.78 (0.63,0.98)	P=0.04	0.80 (0.63,1.02)	P=0.07	0.69 (0.56,0.86)	P=0.001	0.84 (0.66, 1.07)	P=0.15
	Quartile 1 (least able)	1		1		1		1		1		1	
	Quartile 2	0.77 (0.46,1.29)	P=0.32	0.84 (0.50,1.42)	P=0.52	0.98 (0.57,1.67)	P=0.93	0.95 (0.56,1.61)	P=0.84	0.84 (0.50,1.41)	P=0.51	1.02 (0.60,1.74)	P=0.94
	Quartile 3	0.49 (0.27,0.88)	P=0.02	0.54 (0.30,0.96)	P=0.04	0.65 (0.36,1.19)	P=0.17	0.67 (0.36,1.24)	P=0.21	0.52 (0.29,0.95)	P=0.03	0.71 (0.38,1.33)	P=0.29
	Quartile 4 (most able)	0.26 (0.11,0.58)	P=0.001	0.31 (0.13,0.70)	P=0.005	0.44 (0.18,1.05)	P=0.06	0.45 (0.18,1.11)	P=0.09	0.30 (0.13,0.69)	P=0.005	0.53 (0.21,1.33)	P=0.17
1958 cohort	Cognition	0.68 (0.60,0.76)	P<0.001	0.69 (0.61,0.77)	P<0.001	0.69 (0.61,0.78)	P<0.001	0.77 (0.67,0.90)	P=0.001	0.72 (0.64,0.81)	P<0.001	0.79 (0.68,0.92)	P=0.002
	Quartile 1 (least able)	1		1		1		1		1		1	
	Quartile 2	0.67 (0.51,0.88)	P=0.004	0.68 (0.52,0.89)	P=0.005	0.68 (0.52,0.90)	P=0.006	0.78 (0.59,1.03)	P=0.09	0.72 (0.54,0.94)	P=0.02	0.81 (0.61,1.07)	P=0.13
	Quartile 3	0.43 (0.31,0.59)	P<0.001	0.44 (0.32, 0.61)	P<0.001	0.45 (0.33,0.62)	P<0.001	0.56 (0.40,0.79)	P=0.001	0.49 (0.35,0.68)	P<0.001	0.60 (0.42,0.84)	P=0.003
	Quartile 4 (most able)	0.32 (0.22,0.48)	P<0.001	0.35 (0.24,0.52)	P<0.001	0.36 (0.24,0.54)	P<0.001	0.49 (0.30,0.81)	P=0.005	0.39 (0.26,0.59)	P<0.001	0.53 (0.32,0.86)	P=0.01
1970 cohort	Cognition	0.78 (0.64,0.94)	P=0.01	0.80 (0.66,0.97)	P=0.03	0.84 (0.69,1.03)	P=0.1	0.85 (0.70,1.02)	P=0.08	0.80 (0.66,0.98)	P=0.03	0.87 (0.72,1.05)	P=0.15
	Quartile 1 (least able)	1		1		1		1		1		1	
	Quartile 2	0.94 (0.48,1.82)	P=0.85	0.97 (0.50,1.88)	P=0.93	1.03 (0.52,2.03)	P=0.93	1.0 (0.51,1.94)	P=0.99	0.97 (0.50,1.87)	P=0.92	1.03 (0.52,2.02)	P=0.93
	Quartile 3	0.71 (0.44,1.15)	P=0.17	0.74 (0.46,1.21)	P=0.24	0.82 (0.50,1.34)	P=0.43	0.80 (0.50,1.29)	P=0.37	0.75 (0.46,1.22)	P=0.24	0.82 (0.50,1.34)	P=0.43
	Quartile 4 (most able)	0.44 (0.21,0.90)	P=0.03	0.48 (0.24,0.98)	P=0.04	0.56 (0.26,1.19)	0.13	0.57 (0.28,1.12)	P=0.10	0.48 (0.24,0.98)	P=0.04	0.56 (0.26,1.19)	P=0.13

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WHAT IS ALREADY KNOWN ON THIS SUBJECT

- Mental ill health and musculoskeletal disorders are the most common diagnoses in people on long term sick leave.
- However, the associations between objective measures of health and long term sick leave are weak
- Most research has focussed on occupational risk factors for sickness absence, such as the psychosocial work environment.
- Relatively little research has examined the role of individual risk factors

WHAT THIS STUDY ADDS

- There is a clear dose-response relationship between lower cognitive function in childhood and increased odds of being on long term sick leave in adulthood.
- This association applies to younger as well as older workers, and holds true irrespective of the decade of birth.
- This association is mediated in part by education attainment suggesting improved education, especially for those with lower cognitive abilities, may help inoculate them from the risk of long term sickness absence.

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COMPETING INTERESTS

"All authors have completed the Unified Competing Interest form at www.icmje.org/coi_disclosure.pdf (available on request from the corresponding author) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous 3 years; no other relationships or activities that could appear to have influenced the submitted work."

CONTRIBUTORS

Henderson, Hotopf and Stansfeld conceived the study. Henderson Hotopf and Richards analysed the data. Henderson Hotopf Stansfeld and Richards interpreted the results. Henderson drafted the manuscript. Hotopf Stansfeld and Richards critically revised the manuscript for important intellectual content.

All authors approved the final version.

Henderson is the guarantor.

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RESEARCH CHECKLIST: The association between childhood cognitive ability and long term sickness absence in 3 British birth cohorts

	Item No	Recommendation	Page no. in paper
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-7
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Author note: Our study used data from 3 British birth cohorts, all of which have been extensively investigated and reported on</i>	4-5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5-7
Bias	9	Describe any efforts to address potential sources of bias	9-10
Study size	10	Explain how the study size was arrived at <i>Author note: we used data from 3 well established birth cohorts and have cited detailed descriptions of each</i>	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7
		(b) Describe any methods used to examine subgroups and interactions	n/a
		(c) Explain how missing data were addressed	7
		(d) If applicable, explain how loss to follow-up was addressed	7
		(e) Describe any sensitivity analyses	None

Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram <i>Author note: we cite “About the cohort” papers for all 3 cohorts in addition to Professor Wadsworth’s book which provides further information. We would happily include further information in the paper if required but with 3 birth cohorts we felt this was too much</i>	8
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) Summarise follow-up time (eg, average and total amount)	13 n/a
Outcome data	15*	Report numbers of outcome events or summary measures over time	8
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	13 NA NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	None
Discussion			
Key results	18	Summarise key results with reference to study objectives	8-9
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	9-10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	11-12
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	19

*Give information separately for exposed and unexposed groups.

1 **Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and
2 published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely
3 available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at
4 <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is
5 available at <http://www.strobe-statement.org>.
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