

# Better organization of self-management education and use of the clinical information system were associated with better primary care for coronary heart disease patients; an observational study

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SCHOLARONE™ Manuscripts Better organization of self-management education and use of the clinical information system were associated with better primary care for coronary heart disease patients; an observational study

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### **Subject headings**

Primary care, Coronary heart disease, Chronic Care Model, Self management Support, Quality of Care, Clinical information system, Observational study

#### **Abstract**

#### Objectives

Cardiovascular risk management (CVRM) received by patients shows large variation across countries. In this study we explored which aspects of primary care organization are associated with key components of CVRM in coronary heart disease (CHD) patients.

Design

Observational study.

Setting

273 primary care practices in Austria, Belgium, England, Finland, France, Germany, the Netherlands, Slovenia, Switzerland, and Spain.

**Participants** 

A random sample of 4563 CHD patients identified by coded diagnoses in eight countries, based on prescription lists and while visiting the practice in one country each.

Main outcome measure

we performed an audit in primary care practices in 10 European countries. We used six indicators to measure key components of CVRM: risk factor recording, anti-platelet therapy, influenza vaccination, blood pressure levels (systolic <140, diastolic <90 mmHg), and LDL cholesterol <2.5 mmol/l. Data from structured questionnaires were used to construct an overall measure and 6 domain measures of practice organization based on 39 items

Using multilevel regression analyses we explored the effects of practice organization on CVRM, controlling for patient characteristics.

Results

Better overall organization of a primary care practice was associated with higher scores on three indicators: risk factor registration (B=0.0307, p<0.0001), anti-platelet therapy (OR 1,05, p=0.0245), and influenza vaccination (OR 1.12, p<0.0001). Overall practice organization was not found to be related with recorded blood pressure or cholesterol levels. Only the organisational domains 'self-

management support' and 'use of clinical information systems' were linked to three CVRM indicators.

Conclusions

A better organisation of a primary care practice was associated with better CVRM in CHD patients.

Direct support for patients and clinicians seemed most influential.



#### **Article Focus**

- This paper focuses on cardiovascular risk management (CVRM) in patients with coronary heart diseases (CHD) in primary care across Europe.
- A better health care organization is expected to be related to a higher quality of care.
- The aim of our study was to examine which factors of organization of a primary care practice
  are associated with quality of CVRM in CHD patients.

#### **Key Messages**

- A primary care practice with overall better practice organization had higher performance scores for risk factor registration, antiplatelet prescription and influenza vaccination in CHD patients.
- A better organization of 'self-management support' and 'clinical information systems' was associated with higher scores on three performance indicators.
- The difference between a rather poor score and a good score on an organizational domain may improve the outcome up to 30%.

#### **Strengths and Limitations**

- The international character of our study provided control for contextual confounders, such as specific reimbursement system or national policies.
- Sampling procedures had limitations with respect to representativeness.
- A limitation was that the measures of the organizational domains were post-hoc constructed.

#### Introduction

Providing high-quality healthcare for patients with chronic diseases poses major challenges for health care systems. In many countries policy makers aim to strengthen the ability of primary care to provide chronic illness care, so that large patient populations can be supported reliably over a long period of time. The Chronic Care Model (CCM) proposed that six organizational components are crucial to achieve this: 'health care organization', 'delivery system design', 'decision support', 'clinical information systems, 'self-management support', and 'community resources and policies'. 1,2 Box 1 shows a brief description of the six domains. Other organisational models, such as the Patient-Centered Medical Home<sup>3</sup>, specified similar components. Although these models are based on some research<sup>4–10</sup>, their positive impact on clinical and preventive performance needs further research as implementing best practices for chronic illness management shows little success. 11 While it has been claimed that all organizational components are important, it would be informative to get better insight into the relative value of different domains. For instance, a study on diabetes care in 17 centres found that 'delivery system design' was positively correlated to outcomes, whereas 'clinical information systems' and 'self-management support' were not significantly associated.<sup>6</sup> This paper focuses on cardiovascular risk management (CVRM) in patients with coronary heart diseases (CHD) in primary care across Europe. CHD is a condition with high morbidity and mortality worldwide. <sup>12</sup> Practice guidelines with recommendations for effective secondary preventive therapy are widely available. <sup>13,14</sup> Although the effects of anti platelet therapy and of control of blood pressure and serum cholesterol levels are beyond discussion, research showed that preventive treatment is suboptimal in Europe and the US. 15,16 Preventive treatment for patients with established CHD is mostly delivered in primary care, especially in countries with a strong primary care oriented health care system. Substantial variation is observed regarding CVRM received by patients. We expect better health care organization to be related to a higher quality of care. The aim of our study was to examine which factors of organization of a primary care practice are associated with quality of CVRM in CHD patients.

#### Methods

This study was part of the EPA Cardio project, an international observational study on cardiovascular risk management in 10 European countries. <sup>17</sup> The participating countries comprised a convenience sample: Austria, Belgium, England, Finland, France, Germany, the Netherlands, Slovenia, Switzerland, and Spain. In stratified samples of primary care practices in each of these countries randomly sampled medical records were reviewed to provide data on cardiovascular risk management and structured questionnaires among participating general practitioners were used to provide data on practice organization. Practices were stratified according to urbanization and size sampling based on regional or national lists of practices; in Austria and Switzerland a convenience sample was included. Patients were included based on coded diagnoses in most countries; in Austria prescription lists were used to include patients and in France patients visiting the practice were included when eligible. Data collection took place in 2008-2009.

#### Indicators for cardiovascular risk management

Data from medical records were linked to internationally validated indicators on cardiovascular risk management, which were developed in a structured Delphi procedure. Primary care physician panels from nine countries initially evaluated 650 indicators for cardiovascular risk management. This resulted in a core set of 44 indicators, which were then operationalized in specific measures and tested in a pilot study. This study is based on performance indicators related to preventive treatments in CHD patients. The first indicator was an aggregate score which indicated the number of risk factors recorded per patient. Risk factors considered were: smoking behaviour, body mass index, physical activity, blood pressure, and cholesterol levels (range 0-5). Five other indicators, all dichotomous, were: anti platelet therapy unless contraindicated, influenza vaccination offered, systolic blood pressure (SBP) <140 mmHg, diastolic blood pressure (DBP) <90 mmHg, and LDL cholesterol <2.5 mmol/l.

#### Organization of primary practice

A large set of questions on practice organization was included in structured questionnaires, which were partly administered in written form and partly in interviews with the general practitioner in the

participating practices who was the research participating contact person. These questions mainly comprised items from the European Practice Assessment (EPA) instrument. This EPA instrument was previously validated in an international project. We constructed post hoc measures by linking items to one of the six domains of the Chronic Care Model as published before. All items were formulated positively, with 'yes' indicating the presence of a characteristic. We dichotomised all answers as either 'yes' or 'no', the latter consisting of 'no', 'missing value', or 'not applicable'. The aggregated scores of the following five CCM domains were positively correlated: 'health care organization' (seven items), 'delivery system design' (15 items), 'decision support' (three items), 'clinical information systems' (six items), and 'self-management support' (four items). These correlations were highly significant with Spearman's rho values varying from 0.2 to over 0.6. In addition, an overall measure of structured chronic care was defined with a scale from 0 to 5, with equal weight for each CCM domain. Factor analysis showed a Cronbach's alpha of 0.74. One CCM domain, 'community resources and policies' (four items), was left out of the overall score due to difference in focus and lower correlation with other domains.

#### Data-Analysis

In order to examine the associations between practice organization and performance indicators we applied multilevel regression analyses, using indicators for cardiovascular risk management as outcomes. Age and gender were included as explanatory variables (covariates) at the patient level. The second level was the practice level at which the organizational measures were specified. On this level, we entered two factors: the domain 'community resources and policies' was a predictor in all analyses; furthermore we entered either one of the five other domains or the overall aggregated score. The third level was the country level (as a fixed factor). The analyses were performed for each of the six outcomes separately. The risk factor recording was analyzed in a linear regression model, while the dichotomous outcomes, antiplatelet therapy, influenza vaccination, blood pressure and cholesterol levels, were handled in binomial logistic regression models. We considered p-values of 0.05 or less to indicate statistical significance. Patients with a missing value were ignored for that outcome. For the descriptive data presentation we used SPSS 16; the regression analyses were conducted using SAS9.

#### **Results**

From the 284 practices in the EPA Cardio study 11 practices were excluded due to low numbers of patients. We included 273 primary care practices with data on 4563 patients (Table 1). The number of practices varied from 12 in Finland to 36 in England and Spain. Overall, one third of the patients was female; in Switzerland and Belgium less than 25%; in Finland and England about 38%. On average patients were over 69 years of age. Patients in Spain were on average the eldest: over 73 years of age. Table 2 presents figures on performance indicators. Overall performance varied from 46% of the maximum score for LDL treatment target and 60% for systolic treatment target up to 87% for antiplatelet therapy and diastolic treatment target.

Table 3 presents the results of the regression analyses. Overall better practice organization was associated with more reliable risk factor registration (B=0.0307, p<0.0001), antiplatelet prescribing (OR=1.0533, p=0.0245), and influenza vaccination (OR=1.1246, p<0.0001). The same associations were found for the component 'clinical information systems'. The component 'self-management support' was associated with better risk factor registration (B=0.1676, p<0.0001), influenza vaccination (OR 1.55, p=0.0004), and LDL treatment target (OR 1.15, p=0.0252). The component 'delivery system design' was associated with better risk factor registration (B=0.0352, p=0.0002) and vaccination (OR 1.13, p=0.0036). The domains 'health care organization' and 'decision support' were associated with influenza vaccination only. The domain 'community resources and policies' was found to be associated with DBP.

#### Discussion

Main results

A primary care practice with overall better practice organization had higher performance scores for risk factor registration, antiplatelet prescription and influenza vaccination in CHD patients. A better organization of 'self-management support' and 'clinical information systems' was also found to be associated with higher scores on three performance indicators. These findings support the belief that

practice organization has impact on quality of CVRM in CHD patients, although the observational design does not allow causal inferences.

The organizational domain 'self-management support' related to having information leaflets available in the practice concerning CVD (e.g. CHD, stroke, hypertension, stop smoking etc); presence of a directory of prevention activities/organizations locally available (e.g. gyms, walking group, weightwatchers); offering written information on life style regularly; and offering advice about websites for education on health risks or healthy life style regularly. The organizational domain 'clinical information system' referred to access to internet and email in the practice, virus protection, use of a pass word, use of a computer-supported patient file system, and computer-generated medication prescriptions.

Table 4 illustrates that the difference between a rather poor score and a good score on an organizational domain may improve the outcome by only 2-6% or as much as up to 30%. It presents the estimated outcome in a primary care practice with every predictor average except one, varying this predictor from a 10<sup>th</sup> percentile score to a 90<sup>th</sup> percentile score (to avoid focus on the extremes). For instance, an average patient in an otherwise average practice on the lower end (10<sup>th</sup> percentile) regarding self-management support has a 50% chance of receiving an influenza vaccination as opposed to a patient on the better end of the range (90<sup>th</sup> percentile) having a 79% chance of receiving an influenza vaccination.

#### Related research

While the impact of practice organization mainly concerned aspects of preventive performance related to the process (risk factor registration, drug prescription, and vaccination) rather than intermediate outcome indicators as risk factor scores (blood pressure and cholesterol levels), it should be noted that antiplatelet therapy has a well-assessed effect on cardiovascular events and mortality.<sup>22</sup> Likewise, the benefits of influenza vaccination in preventing complications are well-documented and guidelines recommend vaccination to patients with cardiovascular diseases.<sup>13,14,23</sup> This implies that better organization of primary care was associated with improved survival and fewer cardiovascular events in patient with CHD.

Previous research has shown that various domains of practice organization impacts on indicators of clinical performance, <sup>4–10,24,25</sup> A systematic review of trials implementing service organisation interventions in CHD patients in primary care found weak evidence for effectiveness related to blood pressure and cholesterol levels.<sup>26</sup>

When testing the various domains, we found that not all domains had equal effects on performance. The components 'self-management support' and 'clinical information system' proved to be most consistently related to cardiovascular risk management. We cannot rule out the possibility that our measures of these domains may have been more accurate than those of other domains or that the participating primary care practices had specific characteristics explaining the findings. On the other hand, both clinical information system and self-management are directly linked to decisions and behaviours of patients and clinicians, which have known impact on cardiovascular risk.

#### Strengths and weaknesses

The international character of our study provided control for contextual confounders, such as specific reimbursement system or national policies. The EPA Cardio study was based on random sampling of patients using well developed measures of cardiovascular risk management and practice organization. The sampling of countries and practices had limitations with respect to representativeness. In the sample female patients may be slightly underrepresented. In various national databases male CHD prevalence is 1.5 to 2 times the female prevalence. We had data on sex and age and entered these data as independent factors in our models. A further limitation was that the measures of the CCM were post-hoc constructed.

#### Conclusion

Our observational study provided data from a real life situation in contrast to academic settings or trial results with controlled interventions. High scores appear to be possible on all outcomes, yet improvements in primary care for CHD patients are possible throughout our country sample. Working according to CCM principles is positively related to better quality of care on most outcomes. This accounts especially for the domains 'clinical information systems' and 'self-management support'.

'Self-management support' is the mainstay of the CCM, making the patient a real partner in patient practice collaboration. As the use of computerization is involved in all other domains the domain 'clinical information systems' is essential as well. Its effects proved to be significant in this study, which involved ten countries with different health care organizations, adding to the importance of these domains and robustness of the findings. This study reinforces the importance of strengthening the organization of primary care practices for improving their clinical performance.

#### **Authors contributions:**

JvL had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. JvL led decisions about content and submission. All authors contributed to data analysis and interpretation, and the writing and editing of the report. All authors approved the final version of the report.

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Statistical analyses were performed by Jan Mulder and Jan Koetsenruijter.

#### **Competing interests:**

All authors have completed the Unified Competing Interest form at http://www.icmje.org/coi\_disclosure.pdf (available on request from the corresponding author) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

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#### **Ethical approval:**

Data sharing:

No additional data available. Ethical approval was waived in some countries and obtained in other countries where appropriate.

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Table 1
Countries, practices and patients included

Country	Number	Number	% female	Mean age
ı	of	of		
	practices	patients		
Austria	23	307	36.1	71.5
Belgium	23	269	23.6	66.8
England	36	540	38.0	67.9
Finland	12	245	38.4	72.1
France	25	346	27.9	68.5
Germany	26	463	36.9	69.0
Netherlands	35	507	29.1	69.4
Slovenia	35	822	35.8	
Spain	36	722	37.0	73.3
Switzerland	22	342	22.4	67.8
Total	273	4563	33.4	69.5
	<u> </u>	<u> </u>		<u> </u>
				73.3 67.8 69.5

Table 2
Indicators for cardiovascular risk management. Percentage of maximum score in risk factor registration (with standard deviation) and percentage of the patients with positive scores for the binary outcomes is shown (n= 4563 patients with CHD).

	T =		Ī				
	Risk factor						
	registration	Anti-					
	(std.	platelet	Influenza	SBP <140	DBP <90	LDL < 2.5	
	deviation)	therapy	vaccination	mmHg	mmHg	mmol/l	
Austria	80.6	86.4	52.8	61.4	85.9	56.1	
	(18.6)	80.4	52.8	01.4	65.9	50.1	
Belgium	80.8	90.7	89.2	55.9	85.2	44.8	
	(21.2)	90.7	09.2	55.9	65.2	44.0	
England	87.5	92.0	86.7	69.7	95.9	65.5	
	(16.6)	92.0	00.7	09.1	90.9	00.5	
Finland	70.1	93.2	72.5	50.2	84.4	65.8	
	(24.4)	30.2	72.5	50.2	04.4	05.8	
France	81.4	90.4	59.1	58.9	89.5	38.2	
	(16.5)	30.4	33.1	30.3	00.0	30.2	
Germany	80.4	67.5	71.5	58.0	81.3	30.4	
	(19.2)	07.0	71.0	00.0	01.0	00.4	
Netherlands	59.8	85.2	96.4	43.6	85.7	45.1	
	(31.7)	00.2	30.4	40.0	00.7	40.1	
Slovenia	77.4	93.9	31.8	56.8	79.8	38.2	
	(24.8)	30.3	01.0	30.0	75.5	30.2	
Spain	58.1	80.2	67.5	72.8	96.1	45.9	
	(32.9)	00.2	07.5	72.0	90.1	43.9	
Switzerland	76.8	95.3	55.2	65.4	87.2	46.3	
	(24.2)	95.3	55.2	65.4	01.2	40.3	
Total	74.0	87.0	66.1	60.1	87.1	46.3	
	(26.8)	67.0	00.1	00.1	07.1	40.5	

Table 3a Primary analyses of effects of practice organization characteristics on indicators of cardiovascular risk management

	Linear regression		Logistic regression									
	Risk factor registration		Antiplatelet therapy		Influenza vaccination		SBD<140 mmHg		DBD<90 mmHg		LDL<2.5 mmol/l	
	В	р	OR	p	OR	p	OR	p	OR	p	OR	p
Age	-0.0042	0.0207	1.0040	N.S.	1.0688	<.0001	1.0230	<.0001	0.9858	0.0036	0.9886	0.0035
Gender $1 = \text{female}$ $2 = \text{male}$	0.0200	N.S.	1.7695	<.0001	1.0619	N.S.	0,9601	N.S.	0.9954	N.S.	0.7192	0.0001
composite (score from 0 to 5)	0.0307	<.0001	1,0533	0.0245	1.1246	<.0001	1.0028	N.S.	1.0091	N.S.	1.0037	N.S.
Community resources and policies (n=4)	0.0084	N.S.	0,9049	N.S.	0.8513	N.S.	1,0078	N.S.	1.1556	0.0283	1.1035	N.S.

Table 3b Secondary analyses of effects of practice organization characteristics on indicators of cardiovascular risk management

	Linear regression		Logistic regression										
	Risk factor registration			Antiplatelet therapy		Influenza vaccination		SBD<140 mmHg		DBD<90 mmHg		LDL<2.5 mmol/l	
	В	p	OA	OR	p	OR	p	OR	p	OR	p	OR	p
Health care organization (n=7)	0.0280	N.S.	4	1.0371	N.S.	1.1928	0.0243	0.9938	N.S.	0.9807	N.S.	0.9802	N.S.
Clinical information systems (n=6)	0.0498	0.0236		1.3192	0.0016	1.4768	0.0002	1.0575	N.S.	1.0558	N.S.	0.9627	N.S.
Self- management support (n=4)	0.1676	<.0001		1.0539	N.S.	1.5477	0.0004	0.9685	N.S.	_1		1.1469	0.0252
Decision support (n=3)	0.0685	N.S.		1.1954	N.S.	1.4338	0.0411	1.0767	N.S.	1.0664	N.S.	1.1165	N.S.
Delivery system design (n=15)	0.0352	0.0002		1.0597	N.S.	1.1342	0.0036	1.0022	N.S.	0.9763	N.S.	1.0033	N.S.

Legend. Results of regression analyses (B values and OR) with p<0.05 as significance level, a three level model.

Three level model: outcomes on patient level with age and gender as covariates; practice characteristics was the next level and country the third level. Country proved to be a significant factor in all analyses (p<0.0001, data not shown).

3a practice level with two variables: 1. community resources and policies; and 2. the Chronic Care Model composite score of the other five domains, comprising health care organization, clinical information systems, self-management support, decision support, and delivery system design.

3b practice level with two variables: 1. community resources and policies; and 2. one of the other five domains: health care organization, clinical information systems, self-management support, decision support, or delivery system design. Only the estimates of these last domains are displayed in table 3b. /ety o<sub>y</sub> ...

<sup>&</sup>lt;sup>1</sup> Analysis found no estimate.

Table 4

Estimated cardiovascular performance for practices with low or high scores on measures of practice organization .

	Performance indicators	Practice with low	Practice with high
		scores	scores
CCM-composite	Risk factor registration	0.74	0.76
	Antiplatelet therapy	0.86	0.88
	Influenza vaccination	0.63	0.69
Clinical information systems	Risk factor registration	0.72	0.75
	Antiplatelet therapy	0.78	0.89
	Influenza vaccination	0.45	0.72
Self-management support	Risk factor registration	0.69	0.79
	Influenza vaccination	0.50	0.79
	LDL<2.5 mmol/l	0.44	0.48

Legend. Performance scores have a range from 0-1 (0=poor, 1= perfect). Low/high scores on practice organization variables were defined as P10 and P90 values on the variable.

Box 1. Features of the Chronic Care Model

Box 1. Features of the C	
Community Resources	Provider organizations are linked to community-based resources, eg,
and Policies	exercise programs, senior centres, and self help groups.
Health Care	Chronic care is seen as a priority with adequate reimbursement.
Organization	
Self-management	Patients themselves become the principal caregivers, taught to manage their
Support	illnesses, with lifestyle issues under the direct control of the patient.
	Self-management support involves collaboratively helping patients and their
	families acquire the skills and confidence to manage their chronic illness,
	providing self-management tools, and routinely assessing problems and
	accomplishments.
Delivery System	Planned management of chronic conditions is separated from acute care.
Design	Non physicians support patient self-management, arrange for routine
	periodic tasks and ensure appropriate follow up.
Decision Support	Evidence-based clinical practice guidelines provide standards for optimal
11	chronic care integrated into daily practice. Specialist expertise is available
	without full specialty referral. Guidelines are reinforced by educational
	sessions for practice teams.
Clinical Information	Registries, a central feature of the chronic care model, are lists of all patients
Systems	with a particular chronic condition in a health care organisation. Reminder
	systems help teams comply with practice guidelines. The system provides
	feedback showing how each professional is performing on chronic illness
	measures. Registries are used to plan both the individual patient care and the
	population-based care.

Supplemental file

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## What components of chronic care organization relate to better primary care for coronary heart disease patients? An observational study.

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SCHOLARONE™ Manuscripts Better organization of self-management education and use of the clinical information system were associated with What components of chronic care organization relate to better primary care for coronary heart disease patients; a? An observational study.

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#### **Subject headings**

Primary care, Coronary heart disease, Chronic Care Model, Self management Support, Quality of Care, Clinical information system, Observational study

#### **Abstract**

Objectives

Cardiovascular risk management (CVRM) received by patients shows large variation across countries. In this study we explored which aspects of primary care organization are associated with key components of CVRM in coronary heart disease (CHD) patients.

Design

Observational study.

Setting

273 primary care practices in Austria, Belgium, England, Finland, France, Germany, the Netherlands, Slovenia, Switzerland, and Spain.

**Participants** 

A random sample of 4563 CHD patients identified by coded diagnoses in eight countries, based on prescription lists and while visiting the practice in one country each.

Main outcome measure

Wwe performed an audit in primary care practices in 10 European countries. We used six indicators to measure key components of CVRM: risk factor recording, anti-platelet therapy, influenza vaccination, blood pressure levels (systolic <140, diastolic <90 mmHg), and LDL cholesterol <2.5 mmol/l. Data from structured questionnaires were used to construct an overall measure and 6 domain measures of practice organization based on 39 items

Using multilevel regression analyses we explored the effects of practice organization on CVRM, controlling for patient characteristics.

Results

Better overall organization of a primary care practice was associated with higher scores on three indicators: risk factor registration (B=0.0307, p<0.0001), anti-platelet therapy (OR 1,05, p=0.0245), and influenza vaccination (OR 1.12, p<0.0001). Overall practice organization was not found to be related with recorded blood pressure or cholesterol levels. Only the organisational domains 'self-

management support' and 'use of clinical information systems' were linked to three CVRM indicators.

#### Conclusions

A better organisation of a primary care practice was associated with better scores on process indicators of CVRM in CHD patients, but not on intermediate patient outcome measures. Direct support for patients and clinicians seemed most influential.

#### **Article Focus**

- This paper focuses on cardiovascular risk management (CVRM) in patients with coronary heart diseases (CHD) in primary care across Europe.
- A better health care organization is expected to be related to a higher quality of care.
- The aim of our study was to examine which factors of organization of a primary care practice
  are associated with quality of CVRM in CHD patients.

#### **Key Messages**

- A primary care practice with overall better practice organization had higher performance scores for risk factor registration, antiplatelet prescription and influenza vaccination in CHD patients.
- A better organization of 'self-management support' and 'clinical information systems' was associated with higher scores on three performance indicators.
- The difference between a rather poor score and a good score on an organizational domain may improve the outcome up to 30%.

#### **Strengths and Limitations**

- The international character of our study provided control for contextual confounders, such as specific reimbursement system or national policies.
- Sampling procedures had limitations with respect to representativeness.
- A limitation was that the measures of the organizational domains were post-hoc constructed.

#### Introduction

Providing high-quality healthcare for patients with chronic diseases poses major challenges for health care systems. In many countries policy makers aim to strengthen the ability of primary care to provide chronic illness care, so that large patient populations can be supported reliably over a long period of time. The Chronic Care Model (CCM) proposed that six organizational components are crucial to achieve this: 'health care organization', 'delivery system design', 'decision support', 'clinical information systems, 'self-management support', and 'community resources and policies'. 1,2 Box 1 shows a brief description of the six domains. Other organisational models, such as the Patient-Centered Medical Home<sup>3</sup>, specified similar components. Although these models are based on some research<sup>4–10</sup>, their positive impact on clinical and preventive performance needs further research as implementing best practices for chronic illness management shows little success. 11 While it has been claimed that all organizational components are important, it would be informative to get better insight into the relative value of different domains. For instance, a study on diabetes care in 17 centres found that 'delivery system design' was positively correlated to outcomes, whereas 'clinical information systems' and 'self-management support' were not significantly associated.<sup>6</sup> This paper focuses on cardiovascular risk management (CVRM) in patients with coronary heart diseases (CHD) in primary care across Europe. CHD is a condition with high morbidity and mortality worldwide. <sup>12</sup> Practice guidelines with recommendations for effective secondary preventive therapy are widely available.<sup>13,14</sup> Although the effects of anti platelet therapy and of control of blood pressure and serum cholesterol levels are beyond discussion, research showed that preventive treatment is suboptimal in Europe and the US. 15,16 Preventive treatment for patients with established CHD is mostly delivered in primary care, especially in countries with a strong primary care oriented health care system. Substantial variation is observed regarding CVRM received by patients. We expect better health care organization to be related to a higher quality of care. The aim of our study was to examine which factors of organization of a primary care practice are associated with quality of CVRM in CHD patients.

#### Methods

This study was part of the EPA Cardio project, an international observational study on cardiovascular risk management in 10 European countries. <sup>17</sup> The participating countries comprised a convenience sample: Austria, Belgium, England, Finland, France, Germany, the Netherlands, Slovenia, Switzerland, and Spain. In stratified samples of primary care practices in each of these countries randomly sampled medical records were reviewed to provide data on cardiovascular risk management and structured questionnaires among participating general practitioners were used to provide data on practice organization. Practices were stratified according to urbanization and size sampling based on regional or national lists of practices; in Austria and Switzerland a convenience sample was included. Patients were included based on coded diagnoses in most countries; in Austria prescription lists were used to include patients and in France patients visiting the practice were included when eligible. Data collection took place in 2008-2009.

#### Indicators for cardiovascular risk management

Data from medical records were linked to internationally validated indicators on cardiovascular risk management, which were developed in a structured Delphi procedure. Primary care physician panels from nine countries initially evaluated 650 indicators for cardiovascular risk management. This resulted in a core set of 44 indicators, which were then operationalized in specific measures and tested in a pilot study. His study is based on performance indicators related to preventive treatments in CHD patients. Data were obtained from patient medical records. The first indicator was an aggregate score which indicated the number of risk factors recorded per patient. Risk factors considered were: smoking behaviour, body mass index, physical activity, blood pressure, and cholesterol levels (range 0-5). Five other indicators, all dichotomous, were: a record of anti platelet therapy unless contraindicated, influenza vaccination offered, systolic blood pressure (SBP) <140 mmHg, diastolic blood pressure (DBP) <90 mmHg, and LDL cholesterol <2.5 mmol/l.

#### Organization of primary practice

A large set of questions on practice organization was included in structured questionnaires, which were partly administered in written form and partly in interviews with the general practitioner in the

participating practices who was the research participating contact person. These questions mainly comprised items from the European Practice Assessment (EPA) instrument. This EPA instrument was previously validated in an international project. We constructed post hoc measures by linking items to one of the six domains of the Chronic Care Model as published before. All items were formulated positively, with 'yes' indicating the presence of a characteristic. We dichotomised all answers as either 'yes' or 'no', the latter consisting of 'no', 'missing value', or 'not applicable'. The aggregated scores of the following five CCM domains were positively correlated: 'health care organization' (seven items), 'delivery system design' (15 items), 'decision support' (three items), 'clinical information systems' (six items), and 'self-management support' (four items). These correlations were highly significant with Spearman's rho values varying from 0.2 to over 0.6. In additionFor that reason, an overall measure of structured chronic care was defined with a scale from 0 to 5, with equal weight for each CCM domain. Factor analysis showed a Cronbach's alpha of 0.74. One CCM domain, 'community resources and policies' (four items), was left out of the overall score due to difference in focus and lower correlation with other domains.

# Data-Analysis

In order to examine the associations between practice organization and performance indicators we applied multilevel regression analyses, using indicators for cardiovascular risk management as outcomes. Age and gender were included as explanatory variables (covariates) at the patient level. The second level was the practice level at which the organizational measures were specified. On this level, we entered two factors: the domain 'community resources and policies' was a predictor in all analyses; furthermore we entered either one of the five other domains or the overall aggregated score. The third level was the country level (as a fixed factor). The analyses were performed for each of the six outcomes separately. The risk factor recording was analyzed in a linear regression model, while the dichotomous outcomes, antiplatelet therapy, influenza vaccination, blood pressure and cholesterol levels, were handled in binomial logistic regression models. We considered p-values of 0.05 or less to indicate statistical significance. Patients with a missing value were ignored for that outcome. For the descriptive data presentation we used SPSS 16; the regression analyses were conducted using SAS9.

#### **Results**

From the 284 practices in the EPA Cardio study 11 practices were excluded due to low numbers of patients. We included 273 primary care practices with data on 4563 patients (Table 1). The number of practices varied from 12 in Finland to 36 in England and Spain. Overall, one third of the patients was female; in Switzerland and Belgium less than 25%; in Finland and England about 38%. On average patients were over 69 years of age. Patients in Spain were on average the eldest: over 73 years of age. Table 2 presents figures on performance indicators. Overall performance varied from 46% of the maximum score for LDL treatment target and 60% for systolic treatment target up to 87% for antiplatelet therapy and diastolic treatment target.

Table 3 presents the results of the regression analyses. Overall better practice organization was associated with more reliable risk factor registration (B=0.0307, p<0.0001), antiplatelet prescribing (OR=1.0533, p=0.0245), and influenza vaccination (OR=1.1246, p<0.0001). The same associations were found for the component 'clinical information systems'. The component 'self-management support' was associated with better risk factor registration (B=0.1676, p<0.0001), influenza vaccination (OR 1.55, p=0.0004), and LDL treatment target (OR 1.15, p=0.0252). The component 'delivery system design' was associated with better risk factor registration (B=0.0352, p=0.0002) and vaccination (OR 1.13, p=0.0036). The domains 'health care organization' and 'decision support' were associated with influenza vaccination only. The domain 'community resources and policies' was found to be associated with DBP.

# Discussion

Main results

A primary care practice with overall-better practice organization showed better had higher performance scores\_for risk factor registration, anti\_platelet prescription and influenza vaccination in CHD patients. A better organization of 'self-management support' and 'clinical information systems' was also found to be associated with higher scores on three performance indicators. These findings

support the belief that practice organization has impact on quality of CVRM in CHD patients across different healthcare systems; although the observational design does not allow causal inferences.

In Table 4 we illustrate the potential impact of the associations found in our study. It illustrates that the difference between the difference between a poorly organized practice and a well organized practice was associated with smaller and larger impact on clinical performance. It presents the estimated outcome in a primary care practice with every predictor average except one, varying this predictor from a 10<sup>th</sup> percentile score to a 90<sup>th</sup> percentile score (to avoid focus on the extremes). With the largest difference as a clear example, an average patient in an otherwise average practice on the lower end (10<sup>th</sup> percentile) regarding self-management support has a 50% chance of receiving an influenza vaccination as opposed to a patient in a practice on the better end of the range (90<sup>th</sup> percentile) having a 79% chance of receiving an influenza vaccination.

The findings related to organizational domain 'self-management support' were of particular interest, given the current focus on self-management in the health policies of many countries. In our study the domain related to having information leaflets available in the practice concerning cardiovascular diseasesCVD (e.g. CHD, stroke, hypertension, stop smoking etc); presence of a directory of prevention activities/organizations locally available (e.g. gyms, walking group, weight-watchers); offering written information on life style regularly; and offering advice about websites for education on health risks or healthy life style regularly. It is encouraging that such practical items are indeed associated with better clinical processes, although the causality remains unknown.

The organizational domain 'clinical information system' referred to access to internet and email in the practice, virus protection, use of a pass word, use of a computer-supported patient file system, and computer-generated medication prescriptions. As data on clinical performance depended on medical records, we actually measured to some extent not only performance but also quality of recording.

Optimal use of computerized medical record systems leads to better scores for both practice organization and clinical performance. But as CVRM mainly is a collaborative task, health care professionals need to be able to rely on the data recorded.

Table 4 illustrates that the difference between a rather poor score and a good score on an organizational domain may improve the outcome by only 2-6% or as much as up to 30%. It presents

the estimated outcome in a primary care practice with every predictor average except one, varying this predictor from a 10<sup>th</sup> percentile score to a 90<sup>th</sup> percentile score (to avoid focus on the extremes). For instance, an average patient in an otherwise average practice on the lower end (10<sup>th</sup> percentile) regarding self-management support has a 50% chance of receiving an influenza vaccination as opposed to a patient on the better end of the range (90<sup>th</sup> percentile) having a 79% chance of receiving an influenza vaccination.

#### Related research

While tThe relevance impact of a well organized practice organization-mainly concerned aspects of preventive procedures performance related to the process (risk factor registration, drug prescription, and vaccination) rather than intermediate outcomes of healthcare indicators as risk factor scores (blood pressure and cholesterol levels). However, it should be noted that better prescribing of antiplatelet therapy has a well-assessed effect on cardiovascular events and mortality. Likewise, the benefits of influenza vaccination in preventing complications are well-documented and guidelines recommend vaccination to patients with cardiovascular diseases. This suggests implies that better organization of primary care was indeed associated with improved survival and fewer cardiovascular events in patient with CHD.

Previous research <u>found associations between has shown that various domains of practice organization and impacts on indicators of clinical performance, A-and a systematic review of trials of implementing service organisational interventions in CHD patients in primary care found <u>limited</u>

<u>evidence for weak evidence for effectsiveness related to on outcomes such as blood pressure and serum cholesterol levels. 26</u></u>

In our explorative analysis of the relevance of When testing the various organizational domains, we found differential that not all domains had equal effects on performance. The components 'self-management support' and 'clinical information system' were found proved to be most consistently related to cardiovascular risk management. We cannot rule out the possibility that our measures of these domains may have been more accurate than those of other domains or that the participating primary care practices had specific characteristics explaining the findings. On the other hand, both

clinical information system and self-management are directly linked to decisions and behaviours of patients clinicians and elinicians and elinicians and elinicians and elinicians and elinicians and behaviours of information systems may be crucial because its well known contribution to the other domains. Self-management support is the one domain targeting the patient, offering another aspect than the care and practice related domains.

The question is how the impact of organization of healthcare on (intermediate) patient outcomes can be optimized. Our study may have missed the power to detect small effects. Further down the line (system, process, patient outcomes) more factors become relevant and influential and to prove effect of care domains subsequently becomes more difficult.

## Strengths and weaknesses

The international character of our study provided control for contextual confounders, such as specific reimbursement system or national policies. The EPA Cardio study was based on random sampling of patients using well developed measures of cardiovascular risk management and practice organization, although the measure of CCM was post-hoc constructed. The international character of our study contributed to its generalizability and provided control for contextual confounders, such as specific reimbursement system or national policies. The sampling of countries and practices had limitations with respect to representativeness, but provided arguably more generalizable evidence than many trials of organizational changes in healthcare. In the patient samples women female patients seemed may be slightly underrepresented. In various national databases male CHD prevalence is 1.5 to 2 times the female prevalence. Particularly in Belgium and Switzerland low numbers of female s were included which cannot be accounted for. We suggest that the impact of this on our result was limited, because had data on-sex and age were controlled for in and entered these data as independent factors the analyses in our models. A further limitation was that the measures of the CCM were post-hoc constructed.

#### Conclusion

Our observational study provided data from a real life situation in contrast with many trials of organizational changes in primary care. We found that a better organized practice, measured in terms of implementation of the Chronic Care Model, had better clinical processes in the targeted cardiovascular domain. Most notably, we found that with many trials of organizational changes in primary careto academic settings or trial results with controlled interventions. We found that a better organized practice, measured in terms of implementation of the Chronic Care Model, had better clinical processes in the targeted cardiovascular domain. High scores appear to be possible on all outcomes, yet improvements in primary care for CHD patients are possible throughout our country sample. Working according to CCM principles is positively related to better quality of care, especially on process outcomes on most outcomes. Most notably, we found that This accounts especially for the domains 'clinical information systems' and 'self-management support' were relevant. The impact on cardiovascular outcomes was less obvious, which may be due to a range of factors. Nevertheless, t'Self-management support' is the mainstay of the CCM, making the patient a real partner in patient practice collaboration. As the use of computerization is involved in all other domains the domain 'clinical information systems' is essential as well. Its effects proved to be significant in this study, which involved ten countries with different health care organizations, adding to the importance of these domains and robustness of the findings. This study reinforces the importance of strengthening the organization of primary care practices for improving their clinical performance.

### **Authors contributions:**

JvL had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. JvL led decisions about content and submission. All authors contributed to data analysis and interpretation, and the writing and editing of the report. All authors approved the final version of the report.

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# **Competing interests:**

All authors have completed the Unified Competing Interest form at http://www.icmje.org/coi\_disclosure.pdf (available on request from the corresponding author) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

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# **Data sharing:**

No additional data available.

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Table 1

Countries, practices and patients included

Country	Number	Number	% female	Mean age
	of	of		
	practices	patients		
Austria	23	307	36.1	71.5
Belgium	23	269	23.6	66.8
England	36	540	38.0	67.9
Finland	12	245	38.4	72.1
France	25	346	27.9	68.5
Germany	26	463	36.9	69.0
Netherlands	35	507	29.1	69.4
Slovenia	35	822	35.8	68.2
Spain	36	722	37.0	73.3
Switzerland	22	342	22.4	67.8
Total	273	4563	33.4	69.5
	1			
				67.8 69.5

Table 2
Indicators for cardiovascular risk management. Percentage of maximum score in risk factor registration (with standard deviation) and percentage of the patients with positive scores for the binary outcomes is shown (n= 4563 patients with CHDcoronary heart disease).

				SBP	DBP	
				Systolic	<u>Diastolic</u>	
	Risk factor			blood	blood	LDL
	registration	Anti-		pressure	pressure	cholesterol
	(std.	platelet	Influenza	<140	<90	< 2.5
	deviation)	therapy	vaccination	mmHg	mmHg	mmol/l
Austria	80.6					
	(18.6)	86.4	52.8	61.4	85.9	56.1
Belgium	80.8	90.7	89.2	55.9	85.2	44.8
	(21.2)	90.7	69.2	55.9	05.2	44.0
England	87.5	00.0	96.7	60.7	05.0	65.5
	(16.6)	92.0	86.7	69.7	95.9	65.5
Finland	70.1	00.0	70.5	F0.0	04.4	CE 0
	(24.4)	93.2	72.5	50.2	84.4	65.8
France	81.4	90.4	59.1	58.9	89.5	38.2
	(16.5)	90.4	59.1	56.9	69.5	30.2
Germany	80.4	C7 F	71 5	E0.0	01.0	20.4
	(19.2)	67.5	71.5	58.0	81.3	30.4
Netherlands	59.8	25.0	00.4	40.0	05.7	45.4
	(31.7)	85.2	96.4	43.6	85.7	45.1
Slovenia	77.4	22.0		50.0	70.0	
	(24.8)	93.9	31.8	56.8	79.8	38.2
Spain	58.1	20.0	07.5	70.0	00.4	45.0
	(32.9)	80.2	67.5	72.8	96.1	45.9
Switzerland	76.8	05.0	FF 0	05.4	07.0	40.0
	(24.2)	95.3	55.2	65.4	87.2	46.3
Total	74.0	27.5	22 :	22.	07 :	40.0
	(26.8)	87.0	66.1	60.1	87.1	46.3

Table 3a Primary analyses of effects of practice organization characteristics on indicators of cardiovascular risk management

	Linear regression		Logistic regression										
	Risk factor registration			Antiplatelet therapy		Influenza vaccination		SBD<140 mmHg		DBD<90 mmHg		LDL<2.5 mmol/l	
	В	p	94	OR	p	OR	p	OR	р	OR	р	OR	p
Age	-0.0042	0.0207		1.0040	N.S.	1.0688	<.0001	1.0230	<.0001	0.9858	0.0036	0.9886	0.0035
Gender $1 = \text{female}$ $2 = \text{male}$	0.0200	N.S.		1.7695	<.0001	1.0619	N.S.	0,9601	N.S.	0.9954	N.S.	0.7192	0.0001
Chronic Care  Model- composite (score from 0 to 5)	0.0307	<.0001		1,0533	0.0245	1.1246	<.0001	1.0028	N.S.	1.0091	N.S.	1.0037	N.S.
Community resources and policies (n=4)	0.0084	N.S.		0,9049	N.S.	0.8513	N.S.	1,0078	N.S.	1.1556	0.0283	1.1035	N.S.

Table 3b Secondary analyses of effects of practice organization characteristics on indicators of cardiovascular risk management

Linear regression		Logistic regression										
Risk factor registration		Antiplatelet therapy		Influenza vaccination		SBD<140 mmHg		DBD<90 mmHg		LDL<2.5 mmol/l		
	В	p	OR	p	OR	p	OR	p	OR	p	OR	p
Health care organization (n=7)	0.0280	N.S.	1.0371	N.S.	1.1928	0.0243	0.9938	N.S.	0.9807	N.S.	0.9802	N.S.
Clinical information systems (n=6)	0.0498	0.0236	1.3192	0.0016	1.4768	0.0002	1.0575	N.S.	1.0558	N.S.	0.9627	N.S.
Self- management support (n=4)	0.1676	<.0001	1.0539	N.S.	1.5477	0.0004	0.9685	N.S.	_1		1.1469	0.0252
Decision support (n=3)	0.0685	N.S.	1.1954	N.S.	1.4338	0.0411	1.0767	N.S.	1.0664	N.S.	1.1165	N.S.
Delivery system design (n=15)	0.0352	0.0002	1.0597	N.S.	1.1342	0.0036	1.0022	N.S.	0.9763	N.S.	1.0033	N.S.

Legend. Results of regression analyses (B values and OR) with p<0.05 as significance level, a three level model.

Three level model: outcomes on patient level with age and gender as covariates; practice characteristics was the next level and country the third level. Country proved to be a significant factor in all analyses (p<0.0001, data not shown).

3a practice level with two variables: 1. community resources and policies; and 2. the Chronic Care Model composite score of the other five domains, comprising health care organization, clinical information systems, self-management support, decision support, and delivery system design.

3b practice level with two variables: 1. community resources and policies; and 2. one of the other five domains: health care organization, clinical information systems, self-management support, decision support, or delivery system design. Only the estimates of these last domains are displayed in table 3b. y system.

<sup>1</sup> Analysis found no estimate.

N.S. = not significant, significance level at p<.05

SBP = Systolic blood pressure

DBP = Diastolic blood pressure

LDL = LDL cholesterol level

B = Effect estimate in regression analysis

OR = Odds ratio

Table 4

Estimated cardiovascular performance for practices with low or high scores on measures of practice organization .

	Performance indicators	Practice	Practice
		with low	with high
		scores	scores
CCM-composite	Risk factor registration	0.74	0.76
	Antiplatelet therapy	0.86	0.88
	Influenza vaccination	0.63	0.69
Clinical information systems	Risk factor registration	0.72	0.75
	Antiplatelet therapy	0.78	0.89
	Influenza vaccination	0.45	0.72
Self-management support	Risk factor registration	0.69	0.79
	Influenza vaccination	0.50	0.79
	LDL<2.5 mmol/l	0.44	0.48

Legend. Performance scores have a range from 0-1 (0=poor, 1= perfect). Low and high scores on practice organization variables were defined as P10<sup>th</sup> and P90<sup>th</sup> percentile scores values on the variable.

Box 1. Features of the Chronic Care Model

Box 1. Features of the C	
Community Resources	Provider organizations are linked to community-based resources, eg,
and Policies	exercise programs, senior centres, and self help groups.
Health Care	Chronic care is seen as a priority with adequate reimbursement.
Organization	
Self-management	Patients themselves become the principal caregivers, taught to manage their
Support	illnesses, with lifestyle issues under the direct control of the patient.
	Self-management support involves collaboratively helping patients and their
	families acquire the skills and confidence to manage their chronic illness,
	providing self-management tools, and routinely assessing problems and
	accomplishments.
Delivery System	Planned management of chronic conditions is separated from acute care.
Design	Non physicians support patient self-management, arrange for routine
-	periodic tasks and ensure appropriate follow up.
Decision Support	Evidence-based clinical practice guidelines provide standards for optimal
	chronic care integrated into daily practice. Specialist expertise is available
	without full specialty referral. Guidelines are reinforced by educational
	sessions for practice teams.
Clinical Information	Registries, a central feature of the chronic care model, are lists of all patients
Systems	with a particular chronic condition in a health care organisation. Reminder
	systems help teams comply with practice guidelines. The system provides
	feedback showing how each professional is performing on chronic illness
	measures. Registries are used to plan both the individual patient care and the
	population-based care.