



Protease inhibitors are not associated with increased risk of dyslipidemia in HIV-1 infected patients initially treated with non-nucleoside reverse transcriptase inhibitors: a cross-sectional study

Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2012-001317
Article Type:	Research
Date Submitted by the Author:	14-Apr-2012
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Primary Subject Heading:	Infectious diseases
Secondary Subject Heading:	Pharmacology and therapeutics, Nutrition and metabolism
Keywords:	HIV & AIDS < INFECTIOUS DISEASES, Adverse events < THERAPEUTICS, Lipid disorders < DIABETES & ENDOCRINOLOGY

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Manuscripts

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3 **Protease inhibitors are not associated with increased risk of dyslipidemia in HIV-1**
4 **infected patients initially treated with non-nucleoside reverse transcriptase inhibitors: a**
5 **cross-sectional study**
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10 Short title: **Protease inhibitors and dyslipidaemia**

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48 **Tables: 2**

49 **Figures: 0**

50 **Online only material: 0**

51
52 **Word count:** abstract-287; Main text (excluding tables, figures, abstract & references)-1,774
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56 **References: 24**
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Abstract

Objectives: Lipid abnormalities associated with antiretroviral therapy in people with HIV infection are more frequent with protease inhibitors (PI) based regimens. Whether effects extend to patients receiving a PI subsequent to failure on non-nucleoside reverse-transcriptase inhibitors (NNRTI) based regimen, is still unknown. We investigated the effects of secondary treatment with a PI on the lipid profile in a group of patients with HIV infection in Cameroon.

Design: This was a cross-sectional study.

Setting: This study was carried out at the registered centre for HIV treatment of the Yaounde Jamot hospital in Cameroon.

Participants: Participants were consecutively recruited between November 2009 and January 2010. There were 138 HIV-1 patients on initial treatment with a NNRTI regimen and 66 HIV-1 patients on secondary treatment with a PI for at least 12 months. Lipid abnormalities were based on the National Cholesterol Education Program, Adult Treatment Panel III criteria.

Outcome measures: Levels of lipid parameters among patients on PI and NNRTI.

Results: Median (interquartile range) levels (mg/dl), NNRTI-treated vs. PI-treated patients were 185 (149-225) and 189 (147-244) for total cholesterol, 46 (27-66) and 42 (28-82) for HDL-cholesterol, 121 (90-169) and 126.9 (71-176) for LDL-cholesterol, 134 (98-174) and 138 (111-167) for triglycerides, and 4.3 (2.9-6.2) and 5.1 (2.6-7.9) for total/HDL-cholesterol ratio (all $p>0.32$). The most frequent lipid abnormality in the two groups was high LDL-cholesterol [46.4% (NNRTI) vs. 54.5% (PI)]. Occurrence of lipid abnormalities was similar in the two groups (all $p>0.29$).

Conclusions: The use of PI does not appear to deteriorate the lipid profile of HIV patients above and beyond abnormalities induced by an unsuccessful initial treatment with NNRTI. Monitoring of lipid profile during HIV treatment regardless of the regimens would improve timely detection and management of abnormalities, to mitigate related risks.

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3 *Word count* – 287

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5 *Key words* – HIV infection, antiretroviral therapy, protease inhibitors, non-nucleoside reverse-
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7 transcriptase inhibitors, lipid profile
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10 **Article summary**

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12 **Article focus:**

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15 - To investigate the effects of secondary treatment with a protease inhibitors on the lipid
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17 profile in a group of patients with HIV infection in Cameroon.
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19 **Key messages:**

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21 - The differential contribution of antiretroviral agents to lipid abnormalities suggests that
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23 hypothetically, switching patients from first-line to second-line treatment may have
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25 deleterious effects on their lipid profile.
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28 - Effects of protease inhibitors on lipid profile among patients receiving the protease
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30 inhibitors subsequent to failure on non-nucleoside reverse-transcriptase inhibitors based
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32 regimen are still unknown.
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35 - In this study, the use of protease inhibitors does not appear to deteriorate the lipid profile of
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37 HIV patients above and beyond abnormalities induced by an unsuccessful initial treatment
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39 with non-nucleoside reverse-transcriptase inhibitors.
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41 **Strengths and limitations:**

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43 - To our knowledge, such hypothesis has not yet been tested.
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46 - This is a cross-sectional study which lacked of previous level of lipid parameters of
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48 participants.
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INTRODUCTION

Antiretroviral treatments are associated with widely described abnormal changes in the lipid profile in people with HIV infection.[1,2] Although more frequent during treatment with protease inhibitors (PI),[1,3,4] these changes are also observed during treatment with stavudine and to a lesser extent with non-nucleoside reverse-transcriptase inhibitors (NNRTI).[1,5] Such changes include raised total and LDL cholesterol, raised triglycerides and variable effects on HDL cholesterol levels.[1,4]

The increasing rollout of antiretroviral treatment over the last ten years has significantly improved survival among people living with HIV.[6] This however has been achieved at the cost of increasing resistance to first-line antiretroviral therapies.[7,8] In sub-Saharan Africa (SSA), the epicentre of HIV pandemic, the widely used first-line antiretroviral regimen, inspired by the World Health Organisation (WHO), combines two nucleoside reverse-transcriptase inhibitors (NRTI) with a non-nucleoside reverse-transcriptase inhibitor (NNRTI).[9] Protease inhibitors (PI) are the compulsory component of the second line treatment subsequent to the failure of the first-line one in this setting.[9,10] The differential contribution of antiretroviral agents to lipid abnormalities suggests that hypothetically, switching patients from first-line to second-line treatment in SSA may have deleterious effects on their lipid profile. However, such hypothesis has not yet been tested.

We compared the lipid profile of HIV-1 patients receiving the WHO recommended 1st-line therapy with that of patients on 2nd-line therapy subsequent to first-line treatment failure.

PARTICIPANTS AND METHODS

Study setting and Participants

This cross-sectional study was conducted at the registered centre for HIV treatment of the Yaounde Jamot hospital in Cameroon. The study setting has been described in details elsewhere.[11] Participants were consecutively recruited between November 2009 and

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3 January 2010. Two groups of HIV-1 positive participants were selected. The first group
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5 included individuals who had received antiretroviral treatment for the 12 preceding months or
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7 more, based on the WHO first-line regimens or NNRTI-based regimen (NNRTI-based group).
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9 The second group included individuals who were receiving second-line antiretroviral
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11 treatment or PI based regimen for at least 12 months after failure of the first-line regimen (PI-
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13 based group). First-line ART regimens applied to these participants combined lamivudine
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15 (3TC) and stavudine (d4T) or zidovudine (AZT) with nevirapine (NVP) or efavirenz (EFV).
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17 The PI-based regimens included abacavir (ABC), didanosine (DDI) and lopinavir/ritonavir
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19 (LPV-r) or indinavir (IDV). The choice of regimens is unrelated to potential factors that could
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21 induce a dyslipidemia, since lipid profile assessment is a requirement in routine pre-ART
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23 treatment evaluation in this setting.[12] Failure on 1st line antiretroviral therapy in the study
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25 setting is based on persisting plasmatic HIV-1 viral load of 5000 copies/ml or greater, after a
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27 year on antiretroviral treatment. Diagnosis of therapeutic failure to the first-line ART is
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29 always confirmed after a reinforcement of the adherence for a period of 3 months.[9] Patients
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31 who had had their treatment regimens changed during follow-up were excluded. Participants
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33 had to be at least 18 years of age and to have a treatment adherence rate $\geq 95\%$. Level of
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35 adherence was assessed by verbal administration of a standard series of questions adapted
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37 from Adult AIDS clinical trials group (AACTG) adherence instruments. The 95% rate of
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39 adherence is preferable to 4-day recall data.[13] Participants were also required not to be on
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41 lipid modifying therapies at their enrolment. All participants gave their inform consent and
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43 the study was approved by the Cameroon National Ethic Committee (ref N°150/CNE/SE/09).
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49 **Procedures**

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51 For each participant, data were collected on the sociodemographic background, past medical
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53 history including the use of medications that could modify the lipid profile and active or
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55 history of tuberculosis. Lymphocytes count for all participants used flux cytometry methods
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3 implemented with BD FASCOUNT automate (BD Biosciences, Le pont de Claix, France).
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5 Lipid profile was assessed through enzymatic methods (Linear chemicals, Montgat, Spain)
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7 and included total cholesterol (TC), HDL-cholesterol (HDL-c), LDL-cholesterol (LDL-c) and
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9 triglycerides (TG). To this end, blood sample was collected after an overnight fast (12 hours)
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11 and centrifuged at 3000 cycles/minute, and the serum obtained was then used for lipids
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13 determination. The TC/HDL-c ratio was also calculated. Abnormal lipid profile was defined
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15 in accordance with the US National Cholesterol Education Program, Adult Treatment Panel
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17 III (NCEP-ATP III) guidelines, as TC \geq 200mg/dl, HDL-c $<$ 40mg/dl, LDL-c \geq 130mg/dl, TG
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19 \geq 150mg/dl and TC/HDL-c ratio \geq 5.[14]
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22 **Statistical analysis**

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24 Sample size was determined assuming a 35% prevalence of total cholesterol \geq 200 mg/dl in
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26 the NNTI based group, a minimum detectable unadjusted odds ratio (OR) of 2, a Type I error
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28 of 5% and a power of 80%.[15] Based on the above, the required sample size was 84
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30 participants (42 NNTI treated patients and 42 IP treated patients). Data analysis used the
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32 Statistical package for social sciences (SPSS) version 17 for Windows (SPSS, Chicago, IL).
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34 Differences in means and proportions for participants' characteristics were assessed using
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36 Student t-test, Mann –Whitney U test and χ^2 tests. A probability threshold of $p < 0.05$ was
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38 used to characterise statistical significant results.
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43 **RESULTS**

44 **Study population**

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46 The total number of participants included in each study group was 138 (NNRTI-based
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48 regimen) and 66 (PI-based regimen). NNRTI-based regimens were d4T/3TC/NVP (61
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50 patients), d4T/3TC/EFV (32 patients), AZT/3TC/NVP (31 patients) and AZT/3TC/EFV (14
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52 patients); while PI-based regimens were DDI/ABC/INV (35 patients) and DDI/ABC/LPV-r
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54 (31 patients). The characteristic of participants are summarised in Table 1. The median
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duration on ART was higher among participants in the PI-regimen group than among those on NNRTI-regimens (36 vs. 28 months, $p=0.001$). This included a 22-month period on NNRTI-regimens and 14-month duration on PI-based regimens. Sex distribution, mean age, body mass index and median CD4 count were similar between the two study groups (Table 1).

Table 1: Profile of 138 patients on NNRTI-based regimens and 66 patients on protease inhibitors based regimens

Characteristics	NNRTI-based regimen (n=138)	PI-based regimen (n=66)	p
Women, n (%)	90 (65.2)	39 (59.1)	0.396
Mean age, years (SD)	40.6 (8.7)	42.5 (8.7)	0.154
Mean body mass index, kg/m ² (SD)	24.6 (4.7)	23.8 (3.9)	0.208
Median CD4 count, per mm ³ (IQR)	333(206-459)	330 (172-451)	0.747
Median duration on ART, months (IQR)	28 (13-40)	36 (24-50)	0.001
Median duration on NNRTI-based ART, months (IQR)	28 (13-40)	22 (10-36)	0.001
Median duration on PI-based ART, months (IQR)	NA	14 (12-20)	NA

NNRTI, non-nucleoside reverse transcriptase inhibitors; PI, protease inhibitor; ART, antiretroviral therapy; SD, standard deviation; IQR, interquartile range; NA, not applicable

Lipid profile

Median levels of TC, HDL-c, LDL-c, triglycerides and lipid ratio were similar between participants on PI-based regimens and those on NNRTI-based regimens (Table 2). The prevalence of lipid abnormalities (NNRTI-based vs. PI-based regimens) was 38% vs. 44% for $TC \geq 200$ mg/dl ($p=0.39$), 46% vs. 56% for $LDL-c \geq 130$ mg/dl ($p=0.30$), 40% vs. 46% for $HDL-c < 40$ mg/dl ($p=0.54$), 44 vs. 36% for $triglycerides \geq 150$ mg/dl ($p=0.33$) and 36% vs. 29% for $TC/HDL-c \geq 5$ ($p=0.34$). The pattern was similar in men and women, participants above and below median age, and after adjustment for age, sex, and total duration on ART in linear and logistic regression analyses.

Table 2: Median levels of different lipid parameters in patients on NNRTI-based and PI-based regimens in Yaounde, Cameroon

Lipid variable	NNRTI-based regimen (n=138)	PI-based regimen (n=66)	p
Total cholesterol, mg/dl	184.7 (149.3-225.0)	189.5 (146.7-243.8)	0.688
HDL cholesterol, mg/dl	45.84(27.0-66.0)	42.16(27.6-82.3)	0.568
LDL cholesterol, mg/dl	121.4(89.7-168.7)	126.9(70.8-176.5)	0.767
Triglycerides, mg/dl	134.5 (98.3-174.0)	138.0 (111.1-166.6)	0.468
Total/HDL-cholesterol ratio	4.3 (2.9-6.2)	5.1 (2.6-7.9)	0.329

Data are median (interquartile range); NNRTI, non-nucleoside reverse transcriptase inhibitors; PI, protease inhibitor

DISCUSSION

There is an abundant literature on the improvement of lipid profile of people with HIV subsequent substitution of NNRTI or NRTI for PI in the treatment regimens.[16] We are not aware of a study that has examined the effects of PI on lipid profile of patients initially treated with NNRTI. The current study was conducted in a setting where virtually all treated HIV-1 patients are almost always started on a NNRTI-based regimen as first-line therapy, and shifted to PI-based regimens only after failure of the first-line therapy. Our findings suggest that this transition to PI-based regimens may not be associated with significant deterioration of the lipid profile.[1,5] Indeed, median serum lipid levels and prevalence of lipid abnormalities were similar in patients on initial treatment with a NNRTI-regimen and those on secondary treatment with a PI-regimen. These findings were consistent by gender, age and CD4 count, and robust to adjustment for several covariates.

In sub-Saharan and other developing countries, NNRTI-based regimens not containing a PI are associated with pro-atherogenic adverse lipid changes.[15,17-19] However, the World

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3 Health Organisation's recommendations,[9,10] enforced at the country level in Cameroon[12]
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5 and elsewhere, suggest that only those HIV patients on a PI should be screened for
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7 dyslipidemia. These recommendations are applied against a background of scarcity of
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9 comparative studies on lipid profile of patients and NNRTI with that of those on a PI. By
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11 demonstrating findings suggesting that continuation treatment with a PI may not necessarily
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13 induce lipid derangements above and beyond those induced by prior NNRTI, our study
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15 emphasizes the need to extend screening for dyslipidemia in this setting to any patient on
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17 antiretroviral therapy regardless of the regimens. Such an approach may help early detection
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19 of lipid abnormalities and mitigation of related risks.
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23 High LDL-cholesterol was the most common lipid abnormality found in our study. High
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25 LDL-cholesterol is a major lipid abnormality, and a treatment target for cardiovascular
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27 prevention.[20] That lipid abnormalities in the general population in Cameroon and other
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29 countries in the region are generally rare, suggests that lipid abnormalities in our patients were
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31 induced by antiretroviral therapy. It is also possible that high LDL-cholesterol was at least in
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33 part the result of the 'catch-up phenomenon'. Indeed, untreated HIV infection is associated
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35 with low LDL-c,[21-23] and levels generally increase subsequent to starting antiretroviral
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37 therapy¹⁷. Total cholesterol/HDL cholesterol ratio, a commonly used indicator of the
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39 atherogenic potential of the lipid profile [24] was similar between patient on a PI and those on
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41 NNRTI-regimens. This suggests that, at least in medium term, treatment with a PI does not
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43 deteriorate the atherogenic potential of the lipid profile of patients previously on NNRTI-
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45 based regimens.
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49 Our study has some limitations. The cross-sectional design precludes any inference about
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51 causality. It is unlikely that patients who failed on first-line antiretroviral therapy in this
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53 setting are those with favourable lipid profile, and that our findings may actually reflect
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55 subsequent deterioration, causing their profile to be similar to that of a broader population on
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3 NNRTI. Indeed, our previous investigations in this setting suggest that markers of disease
4 severity such as CD4 counts are not associated with lipid abnormalities.[17] It is also possible
5 that with a much large sample, some of the small differences could become significant. This
6 however, would not invalidate the conclusions from the study. Previous studies have
7 demonstrated lipid abnormalities to be more frequent in NNRTI than in ART-naïve patients in
8 this setting,[17] precluding the need for an ART-naïve control group in the present study. Our
9 study also has major advantages, for instance, by demonstrating perhaps for the first time that
10 transition from a NNRTI-based regimen to a PI-based regimen should not necessarily invite
11 changes in the monitoring protocol for lipid abnormalities.
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22 In conclusion, treatment of HIV-1 patients with protease-inhibitors based regimens
23 subsequent to failure on NNRTI-based regimens may not deteriorate the lipid profile above
24 and beyond derangements cause by prior NNRTI in our setting. Recommendations for lipid
25 abnormalities in HIV patients on treatment should apply to everyone on antiretroviral
26 treatment in this setting. Cohort data are needed to refine the findings from this study and
27 monitor the adverse consequence of lipid derangements.
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36 **Competing interests** – None for all authors

37 **Source of funding** – None

38 **Authors' contribution** – EWPY conceived the study, supervised data collection, co-analysed
39 the data and drafted of the manuscript; APK contributed to study designed, data analysis,
40 drafting and critical revision of the manuscript; GA critically revised the manuscript; AFB
41 contributed to data collection and co-analysed the data; JN supervised data collection and
42 critically revised the manuscript. All authors approved the final version of the manuscript.
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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pages
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4,5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4,5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	/
		<i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	/
		<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	4,5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed	/
Variables	7	<i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	/
		Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5,6
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5,6
Bias	9	Describe any efforts to address potential sources of bias	5
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	/
		(c) Explain how missing data were addressed	/
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed	
		<i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	6
		(e) Describe any sensitivity analyses	

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	6
		(b) Give reasons for non-participation at each stage	/
		(c) Consider use of a flow diagram	/
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	6,7
		(b) Indicate number of participants with missing data for each variable of interest	Not applicable
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	7
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Not applicable
		(b) Report category boundaries when continuous variables were categorized	/
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	/
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	/

Discussion

Key results	18	Summarise key results with reference to study objectives	8
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	9,10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	8,9,10
Generalisability	21	Discuss the generalisability (external validity) of the study results	10

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	10
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*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.



Dyslipidemia in HIV-1 infected patients receiving protease inhibitors after initial treatment with first-line based non-nucleoside reverse transcriptase inhibitors: a cross-sectional study

Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2012-001317.R1
Article Type:	Research
Date Submitted by the Author:	08-Jul-2012
Complete List of Authors:	Pefura Yone, Eric Walter; Faculty of Medicine and Biomedical Sciences, University of Yaounde 1, Department of Internal Medicine and Subspecialties; Yaounde Jamot Hospital, Service of Pneumology Kengne, Andre; South African Medical Research Council, Ashuntantang, Gloria; Faculty of Medicine and Biomedical Sciences, University of Yaounde 1, Department of Internal Medicine and Subspecialties; Yaounde General Hospital, Medicine Service Betyoumin, Awa; Yaounde University centre, Department of Biochemistry Ngogang, Jeanne; Faculty of Medicine and Biomedical Sciences, University of Yaounde 1, Department of Biochemistry; Yaounde University centre, Department of Biochemistry
Primary Subject Heading:	Infectious diseases
Secondary Subject Heading:	Pharmacology and therapeutics, Nutrition and metabolism, Sexual health
Keywords:	HIV & AIDS < INFECTIOUS DISEASES, Adverse events < THERAPEUTICS, Lipid disorders < DIABETES & ENDOCRINOLOGY

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3 **Dyslipidemia in HIV-1 infected patients receiving protease inhibitors after initial**
4 **treatment with first-line based non-nucleoside reverse transcriptase inhibitors: a cross-**
5 **sectional study**
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10 Short title: **Protease inhibitors and dyslipidaemia**

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47 **Tables: 2**

48 **Figures: 0**

49 **Online only material: 0**

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52 **Word count:** abstract-287; Main text (excluding tables, figures, abstract & references)-1,954
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55 **References: 27**
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Abstract

Objectives: Lipid abnormalities associated with antiretroviral therapy in people with HIV infection are more frequent with protease inhibitors (PI) based regimens. Whether effects extend to patients receiving a PI subsequent to failure on non-nucleoside reverse-transcriptase inhibitors (NNRTI) based regimen, is still unknown. We investigated the effects of secondary treatment with a PI on the lipid profile in a group of patients with HIV infection in Cameroon.

Design: This was a cross-sectional study.

Setting: This study was carried out at the registered centre for HIV treatment of the Yaounde Jamot hospital in Cameroon.

Participants: Participants were consecutively recruited between November 2009 and January 2010. There were 138 HIV-1 patients on initial treatment with a NNRTI regimen and 66 HIV-1 patients on secondary treatment with a PI for at least 12 months. Lipid abnormalities were based on the National Cholesterol Education Program, Adult Treatment Panel III criteria.

Outcome measures: Levels of lipid parameters among patients on PI and NNRTI.

Results: Median (interquartile range) levels (mg/dl), NNRTI-treated vs. PI-treated patients were 185 (149-225) and 189 (147-244) for total cholesterol, 46 (27-66) and 42 (28-82) for HDL-cholesterol, 121 (90-169) and 126.9 (71-176) for LDL-cholesterol, 134 (98-174) and 138 (111-167) for triglycerides, and 4.3 (2.9-6.2) and 5.1 (2.6-7.9) for total/HDL-cholesterol ratio (all $p>0.32$). The most frequent lipid abnormality in the two groups was high LDL-cholesterol [46.4% (NNRTI) vs. 54.5% (PI)]. Occurrence of lipid abnormalities was similar in the two groups (all $p>0.29$).

Conclusions: The use of PI does not appear to deteriorate the lipid profile of HIV patients above and beyond abnormalities induced by an unsuccessful initial treatment with NNRTI. Monitoring of lipid profile during HIV treatment regardless of the regimens would improve timely detection and management of abnormalities, to mitigate related risks.

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3 *Word count – 287*

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5 *Key words – HIV infection, antiretroviral therapy, protease inhibitors, non-nucleoside reverse-*
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7 *transcriptase inhibitors, lipid profile*

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10 **Article summary**

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12 **Article focus:**

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14
15 - To investigate the effects of secondary treatment with a protease inhibitors on the lipid
16
17 profile in a group of patients with HIV infection in Cameroon.

18
19 **Key messages:**

- 20
21 - The differential contribution of antiretroviral agents to lipid abnormalities suggests that
22
23 hypothetically, switching patients from first-line to second-line treatment may have
24
25 deleterious effects on their lipid profile.
26
27
28 - Effects of protease inhibitors on lipid profile among patients receiving the protease
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30 inhibitors subsequent to failure on non-nucleoside reverse-transcriptase inhibitors based
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32 regimen are still unknown.
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34
35 - In this study, the use of protease inhibitors does not appear to deteriorate the lipid profile of
36
37 HIV patients above and beyond abnormalities induced by an unsuccessful initial treatment
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39 with non-nucleoside reverse-transcriptase inhibitors.
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41
42 **Strengths and limitations:**

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44 - To our knowledge, such hypothesis has not yet been tested.
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46 - This is a cross-sectional study which lacked the previous level of lipid parameters of
47
48 participants.
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INTRODUCTION

Antiretroviral treatments are associated with widely described abnormal changes in the lipid profile in people with HIV infection.[1-4] Although more frequent during treatment with protease inhibitors (PI),[1,5,6] these changes are also observed during treatment with stavudine and to a lesser extent with non-nucleoside reverse-transcriptase inhibitors (NNRTI).[1,7] Such changes include raised total and LDL cholesterol, raised triglycerides and variable effects on HDL cholesterol levels.[1,6]

The increasing rollout of antiretroviral treatment over the last ten years has significantly improved survival among people living with HIV.[8] This however has been achieved at the cost of increasing resistance to first-line antiretroviral therapies.[9,10] In sub-Saharan Africa (SSA), the epicentre of HIV pandemic, the widely used first-line antiretroviral regimen, inspired by the World Health Organisation (WHO), combines two nucleoside reverse-transcriptase inhibitors (NRTI) with a non-nucleoside reverse-transcriptase inhibitor (NNRTI).[9] Protease inhibitors (PI) are the compulsory component of the second line treatment subsequent to the failure of the first-line one in this setting.[11,12] The differential contribution of antiretroviral agents to lipid abnormalities suggests that hypothetically, switching patients from first-line to second-line treatment in SSA may have deleterious effects on their lipid profile. However, such hypothesis has not yet been tested.

We compared the lipid profile of HIV-1 patients receiving the WHO recommended 1st-line therapy with that of patients on 2nd-line therapy subsequent to first-line treatment failure.

PARTICIPANTS AND METHODS

Study setting and Participants

This cross-sectional study was conducted at the registered centre for HIV treatment of the Yaounde Jamot hospital in Cameroon. The study setting has been described in details elsewhere.[13] Participants were consecutively recruited between November 2009 and

1
2
3 January 2010. Two groups of HIV-1 positive participants were selected. The first group
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5 included individuals who had received antiretroviral treatment for the 12 preceding months or
6
7 more, based on the WHO first-line regimens or NNRTI-based regimen (NNRTI-based group).
8
9 The second group included individuals who were receiving second-line antiretroviral
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11 treatment or PI based regimen for at least 12 months after failure of the first-line regimen (PI-
12
13 based group). First-line ART regimens applied to these participants combined lamivudine
14
15 (3TC) and stavudine (d4T) or zidovudine (AZT) with nevirapine (NVP) or efavirenz (EFV).
16
17 The PI-based regimens included abacavir (ABC), didanosine (DDI) and lopinavir/ritonavir
18
19 (LPV-r) or indinavir (IDV). The choice of regimens is unrelated to potential factors that could
20
21 induce a dyslipidemia, since lipid profile assessment is a requirement in routine pre-ART
22
23 treatment evaluation in this setting.[14] Failure on 1st line antiretroviral therapy in the study
24
25 setting is based on persisting plasmatic HIV-1 viral load of 5000 copies/ml or greater, after a
26
27 year on antiretroviral treatment. Diagnosis of therapeutic failure to the first-line ART is
28
29 always confirmed after a reinforcement of the adherence for a period of 3 months.[11]
30
31 Patients who had had their treatment regimens changed during follow-up were excluded.
32
33 Participants had to be at least 18 years of age and to have a treatment adherence rate $\geq 95\%$.
34
35 Level of adherence was assessed by verbal administration of a standard series of questions
36
37 adapted from Adult AIDS clinical trials group (AACTG) adherence instruments. The 95%
38
39 rate of adherence is preferable to 4-day recall data.[15] Participants were also required not to
40
41 be on lipid modifying therapies at their enrolment. All participants gave their inform consent
42
43 and the study was approved by the Cameroon National Ethic Committee (ref
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45 N°150/CNE/SE/09).

51 **Procedures**

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53 For each participant, data were collected on the sociodemographic background, past medical
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55 history including the use of medications that could modify the lipid profile and active or
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3 history of tuberculosis. Lymphocytes count for all participants used flux cytometry methods
4 implemented with BD FASCOUNT automate (BD Biosciences, Le pont de Claix, France).
5
6 Lipid profile was assessed through enzymatic methods (Linear chemicals, Montgat, Spain)
7 and included total cholesterol (TC), HDL-cholesterol (HDL-c), LDL-cholesterol (LDL-c) and
8 triglycerides (TG). To this end, blood sample was collected after an overnight fast (12 hours)
9 and centrifuged at 3000 cycles/minute, and the serum obtained was then used for lipids
10 determination. The TC/HDL-c ratio was also calculated. Abnormal lipid profile was defined
11 in accordance with the US National Cholesterol Education Program, Adult Treatment Panel
12 III (NCEP-ATP III) guidelines, as TC \geq 200mg/dl, HDL-c < 40mg/dl, LDL-c \geq 130mg/dl, TG
13 \geq 150mg/dl and TC/HDL-c ratio \geq 5.[16]

24 25 **Statistical analysis**

26
27 Sample size was determined assuming a 35% prevalence of total cholesterol \geq 200 mg/dl in
28 the NNTI based group, a minimum detectable unadjusted odds ratio (OR) of 2, a Type I error
29 of 5% and a power of 80%.[17] Based on the above, the required sample size was 84
30 participants (42 NNTI treated patients and 42 PI treated patients). Data analysis used the
31 Statistical package for social sciences (SPSS) version 17 for Windows (SPSS, Chicago, IL).
32 Differences in means and proportions for participants' characteristics were assessed using
33 Student t-test, Mann –Whitney U test and χ^2 tests. A probability threshold of $p < 0.05$ was
34 used to characterise statistical significant results.

35 36 **RESULTS**

37 38 **Study population**

39
40 The total number of participants included in each study group was 138 (NNRTI-based
41 regimen) and 66 (PI-based regimen). NNRTI-based regimens were d4T/3TC/NVP (61
42 patients), d4T/3TC/EFV (32 patients), AZT/3TC/NVP (31 patients) and AZT/3TC/EFV (14
43 patients); while PI-based regimens were DDI/ABC/INV (35 patients) and DDI/ABC/LPV-r
44

(31 patients). The characteristic of participants are summarised in Table 1. The median duration on ART was higher among participants in the PI-regimen group than among those on NNRTI-regimens (36 vs. 28 months, $p=0.001$). This included a 22-month period on NNRTI-regimens and 14-month duration on PI-based regimens. Sex distribution, mean age, body mass index and median CD4 count were similar between the two study groups (Table 1).

Table 1: Profile of 138 patients on NNRTI-based regimens and 66 patients on protease inhibitors based regimens

Characteristics	NNRTI-based regimen (n=138)	PI-based regimen (n=66)	p
Women, n (%)	90 (65.2)	39 (59.1)	0.396
Mean age, years (SD)	40.6 (8.7)	42.5 (8.7)	0.154
Mean body mass index, kg/m ² (SD)	24.6 (4.7)	23.8 (3.9)	0.208
Median CD4 count, per mm ³ (IQR)	333(206-459)	330 (172-451)	0.747
Median duration on ART, months (IQR)	28 (13-40)	36 (24-50)	0.001
Median duration on NNRTI-based ART, months (IQR)	28 (13-40)	22 (10-36)	0.001
Median duration on PI-based ART, months (IQR)	NA	14 (12-20)	NA

NNRTI, non-nucleoside reverse transcriptase inhibitors; PI, protease inhibitor; ART, antiretroviral therapy; SD, standard deviation; IQR, interquartile range; NA, not applicable

Lipid profile

Median levels of TC, HDL-c, LDL-c, triglycerides and lipid ratio were similar between participants on PI-based regimens and those on NNRTI-based regimens (Table 2). The prevalence of lipid abnormalities (NNRTI-based vs. PI-based regimens) was 38% vs. 44% for $TC \geq 200$ mg/dl ($p=0.39$), 46% vs. 56% for $LDL-c \geq 130$ mg/dl ($p=0.30$), 40% vs. 46% for $HDL-c < 40$ mg/dl ($p=0.54$), 44 vs. 36% for $triglycerides \geq 150$ mg/dl ($p=0.33$) and 36% vs. 29% for $TC/HDL-c \geq 5$ ($p=0.34$). The pattern was similar in men and women, participants

above and below median age, and after adjustment for age, sex, and total duration on ART in linear and logistic regression analyses.

Among patients on NNRTI, median (interquartile range) levels (mg/dl) of lipid variables, d4T-treated vs. AZT-treated patients were 180.3 (149.2-227.1) and 227.3 (187.7-270.7), $p=0.632$ for total cholesterol, 133.1 (96.4-167.7) and 136.0 (136.0-101.5-189.3), $p=0.389$ for triglycerides, 46.6 (27-67.2) and 45.2 (26.3-59.8), $p=0.624$ for HDL-cholesterol, 116.8 (87.7-163.7) and 134.9 (90.6-172.7), $p=0.280$ for LDL-cholesterol and, 4.1 (2.5-5.9) and 4.6(3.3-6.9), $p=0.341$ for total cholesterol/HDL-cholesterol ratio.

Among patients on protease inhibitors, median (interquartile range) levels (mg/dl) levels of lipid variables, IDV-treated vs. LPV/r-treated patients were 171.9 (146.8-253.0) vs 193.8 (146.0-239.8), $p=0.959$ for total cholesterol, [135.2(108.2-167.4) and 138.6 (112.4-162.2), $p=0.96$ for triglycerides, 45.1 (32.1-60.1) and 39.6 (24.0-53.8), $p=0.23$ for HDL-cholesterol, 114.7 (54.4-176.7) and 130.5 (85.6-171.5) , $p=0.64$ for LDL-cholesterol and, 4.8 (2.4-7.6) and 5.5 (2.9-8.0), $p=0.576$ for total cholesterol/HDL-cholesterol ratio.

Table 2: Median levels of different lipid parameters in patients on NNRTI-based and PI-based regimens in Yaounde, Cameroon

Lipid variable	NNRTI-based regimen (n=138)	PI-based regimen (n=66)	p
Total cholesterol, mg/dl	184.7 (149.3-225.0)	189.5 (146.7-243.8)	0.688
HDL cholesterol, mg/dl	45.84(27.0-66.0)	42.16(27.6-82.3)	0.568
LDL cholesterol, mg/dl	121.4(89.7-168.7)	126.9(70.8-176.5)	0.767
Triglycerides, mg/dl	134.5 (98.3-174.0)	138.0 (111.1-166.6)	0.468
Total/HDL-cholesterol ratio	4.3 (2.9-6.2)	5.1 (2.6-7.9)	0.329

Data are median (interquartile range); NNRTI, non-nucleoside reverse transcriptase inhibitors; PI, protease inhibitor

DISCUSSION

There is an abundant literature on the improvement of lipid profile of people with HIV subsequent substitution of NNRTI or NRTI for PI in the treatment regimens.[18] We are not aware of a study that has examined the effects of PI on lipid profile of patients initially treated with NNRTI. The current study was conducted in a setting where virtually all treated HIV-1 patients are almost always started on a NNRTI-based regimen as first-line therapy, and shifted to PI-based regimens only after failure of the first-line therapy. Our findings suggest that this transition to PI-based regimens may not be associated with significant deterioration of the lipid profile.[1,7] Indeed, median serum lipid levels and prevalence of lipid abnormalities were similar in patients on initial treatment with a NNRTI-regimen and those on secondary treatment with a PI-regimen. These findings were consistent by gender, age and CD4 count, and robust to adjustment for several covariates.

In sub-Saharan and other developing countries, NNRTI-based regimens not containing a PI are associated with pro-atherogenic adverse lipid changes.[17,19-21] However, the World Health Organisation's recommendations,[11,12] enforced at the country level in Cameroon[14] and elsewhere, suggest that only those HIV patients on a PI should be screened for dyslipidemia. These recommendations are applied against a background of scarcity of comparative studies on lipid profile of patients and NNRTI with that of those on a PI. By demonstrating findings suggesting that continuation treatment with a PI may not necessarily induce lipid derangements above and beyond those induced by prior NNRTI, our study emphasizes the need to extend screening for dyslipidemia in this setting to any patient on antiretroviral therapy regardless of the regimens. Such an approach may help early detection of lipid abnormalities and mitigation of related risks.

High LDL-cholesterol was the most common lipid abnormality found in our study. High LDL-cholesterol is a major lipid abnormality, and a treatment target for cardiovascular

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3 prevention.[22] That lipid abnormalities in the general population in Cameroon and other
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5 countries in the region are generally rare, suggests that lipid abnormalities in our patients were
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7 induced by antiretroviral therapy. It is also possible that high LDL-cholesterol was at least in
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9 part the result of the 'catch-up phenomenon'. Indeed, untreated HIV infection is associated
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11 with low LDL-c,[23-25] and levels generally increase subsequent to starting antiretroviral
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13 therapy. The derangements of the lipid profile were similar in our patients treated with the
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15 regimen containing IDV or LPV-r. Likewise, the levels of lipid fractions were similar
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17 between patients receiving d4T-based regimens and those receiving AZT-based regimens.
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19 Thus, d4T does not seem to induce more dyslipidaemia in our patients as described in other
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21 studies [1,5]. In this study, HDL-c level was similar between patients treated with NNRTI and
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23 those secondary treated with PI. Thus, switching of NNRTI regimens to PI regimens was not
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25 associated with increased of the HDL-c level. However, the results of the SMART study have
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27 shown that the use of PI is associated with a decrease of HDL-c level compared to the use of
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29 NNRTI, resulting in a higher atherogenic risk in patients treated with PI.[26]
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35 Total cholesterol/HDL cholesterol ratio, a commonly used indicator of the atherogenic
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37 potential of the lipid profile [27] was similar between patient on a PI and those on NNRTI-
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39 regimens. This suggests that, at least in medium term, treatment with a PI does not deteriorate
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41 the atherogenic potential of the lipid profile of patients previously on NNRTI-based regimens.
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43 Our study has some limitations. The cross-sectional design precludes any inference about
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45 causality. It is unlikely that patients who failed on first-line antiretroviral therapy in this
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47 setting are those with favourable lipid profile, and that our findings may actually reflect
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49 subsequent deterioration, causing their profile to be similar to that of a broader population on
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51 NNRTI. Indeed, our previous investigations in this setting suggest that markers of disease
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53 severity such as CD4 counts are not associated with lipid abnormalities.[19] It is also possible
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3 that with a much large sample, some of the small differences could become significant. This
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5 however, would not invalidate the conclusions from the study. Previous studies have
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7 demonstrated lipid abnormalities to be more frequent in NNRTI than in ART-naïve patients in
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9 this setting,[19] precluding the need for an ART-naïve control group in the present study. Our
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11 study also has major advantages, for instance, by demonstrating perhaps for the first time that
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13 transition from a NNRTI-based regimen to a PI-based regimen should not necessarily invite
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15 changes in the monitoring protocol for lipid abnormalities.
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18 In conclusion, treatment of HIV-1 patients with protease-inhibitors based regimens
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20 subsequent to failure on NNRTI-based regimens may not deteriorate the lipid profile above
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22 and beyond derangements cause by prior NNRTI in our setting. Recommendations for lipid
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24 abnormalities in HIV patients on treatment should apply to everyone on antiretroviral
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26 treatment in this setting. Cohort data are needed to refine the findings from this study and
27
28 monitor the adverse consequence of lipid derangements.
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32 **Competing interests** – None for all authors

33
34 **Source of funding** – None

35
36 **Authors' contribution** – EWPY conceived the study, supervised data collection, co-analysed
37
38 the data and drafted of the manuscript; APK contributed to study designed, data analysis,
39
40 drafting and critical revision of the manuscript; GA contributed to study design and critically
41
42 revised the manuscript; AFB contributed to data collection, co-analysed the data and drafting
43
44 of the manuscript; JN supervised data collection and critically revised the manuscript. All
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46 authors approved the final version of the manuscript.
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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pages
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4,5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4,5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	/
		<i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	/
		<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	4,5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed	/
Variables	7	<i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	/
		Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5,6
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5,6
Bias	9	Describe any efforts to address potential sources of bias	5
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	/
		(c) Explain how missing data were addressed	/
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed	
		<i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	6
		(e) Describe any sensitivity analyses	

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	6
		(b) Give reasons for non-participation at each stage	/
		(c) Consider use of a flow diagram	/
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	6,7
		(b) Indicate number of participants with missing data for each variable of interest	Not applicable
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	7
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Not applicable
		(b) Report category boundaries when continuous variables were categorized	/
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	/
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	8

Discussion

Key results	18	Summarise key results with reference to study objectives	9
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	9,10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	8,9,10,11
Generalisability	21	Discuss the generalisability (external validity) of the study results	11

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	11
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*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.