# Illegitimate recombination generates a class switch from $C_{\mu}$ to $C_{\delta}$ in an IgD-secreting plasmacytoma

(immunoglobulin genes/ $C_{\mu}$  deletion/DNA sequence)

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ABSTRACT We present here the sequence characterization of a  $C_{\mu} \rightarrow C_{\delta}$  immunoglobulin (Ig) heavy chain class switch. In the murine IgD-secreting plasmacytoma TEPC 1017, deletion of most of the  $\mu$  switch recombination region  $(S_{\mu})$  and the entire  $C_{\mu}$  gene occurred in the absence of switch region sequences 5' to  $C_{\delta}$ . This unique rearrangement resulted from an illegitimate recombination of sequences with only patchy homology to each other. The infrequent and variable nature of illegitimate recombination may explain the low frequency of IgD-secreting plasma cells in normal mouse tissues.

The chromosomal rearrangements that occur in the genomes of both prokaryotic and eukaryotic organisms can be grouped into two categories. General (or homologous) recombination takes place between homologous chromosomes during meiosis in eukaryotes, utilizing extensive base pairing to provide new combinations of genes without alteration of their order. Nonhomologous recombination, on the other hand, does not demand extensive sequence homology and can alter the order of genes present on the germline chromosome. This latter category includes recombinational events that occur between sites of short and often imperfect sequence homology, such as the site-specific rearrangements of variable (V)and constant (C) region genes that accompany the developmental expression of Ig heavy (H) and light (L) chains in B cells. During early B-cell differentiation, germline  $V_{\rm H}$ ,  $D_{\rm H}$ , and  $J_{\rm H}$  segments undergo recombination (reviewed in ref. 1) to form a functional  $V_{\rm H}$  region gene. Initially, this  $V_{\rm H}$  gene is expressed with the nearest  $C_{\rm H}$  gene,  $C_{\mu}$ , to form  $\mu$ -heavychain mRNA of membrane IgM (2-4). As the B cell matures,  $C_{\delta}$ , the adjacent  $C_{\rm H}$  gene downstream, is cotranscribed with  $C_{\mu}$  without further DNA recombination (5, 6). This results in joint expression of IgM and IgD on the membrane, apparently through alternative processing of the RNA (5-8).

Upon stimulation with antigen or mitogen, B cells lose IgD from the cell surface and differentiate into IgM-secreting plasma cells (9). Some of the cells further differentiate to secrete IgG, IgE, or IgA by expression of downstream  $C_{\rm H}$ genes  $C_{\gamma}$ ,  $C_{\varepsilon}$ , or  $C_{\alpha}$  (reviewed in refs. 10, 11). This phenomenon, referred to as the class switch, occurs by nonhomologous recombination between repetitive switch site (S) sequences located in front of  $C_{\mu}$  and in front of the downstream  $C_{\rm H}$  genes (reviewed in ref. 11). S eliminates  $C_{\mu}$  and any intervening  $C_{\rm H}$  genes so that the  $C_{\rm H}$  gene to be transcribed is positioned closest to the functional V region.

Although IgD is an abundant cell surface isotype on most mature B cells (12), it is secreted only in minute quantities during any stage of normal differentiation in mice (13). It is not known if the rare secretion of IgD in normal cells is mediated by nonhomologous recombination deleting  $C_{\mu}$  in a class switch from IgM-producing to IgD-producing cells or by RNA processing as in the case of membrane  $\delta$  expression. A typical class switch is unlikely since we (14) and others (15, 16) have recently shown that there is no S region between  $C_{\mu}$  and  $C_{\delta}$  in murine DNA. RNA processing is also unlikely since the few IgD-secreting tumor cells characterized (17–19) have deleted the  $C_{\mu}$  gene (5, 8, 20).

To determine the recombination mechanism utilized for secretion of IgD in one of these tumors, we have cloned the productively rearranged  $C_{\delta}$  allele and determined the nucleotide sequence spanning the recombination joint in the plasmacytoma TEPC 1017. Our results show that recombination of unique DNA sequences that lack special sites or obvious homology has produced a functional  $VDJ-C_{\delta}$  transcriptional unit and eliminated the  $C_{\mu}$  gene. The "illegitimate" nature of this unusual immunoglobulin class switching event may explain the low frequency of IgD-secreting cells in normal mice.

#### MATERIALS AND METHODS

TEPC 1017 tumors (17) were propagated in BALB/c mice. DNA was extracted, blotted, and hybridized as described (21-23). An Mbo I partial library of TEPC 1017 tumor DNA was constructed in Charon (Ch) 30 (24). Clones were screened with a  $\delta$  cDNA clone, p $\delta$ 54J (25), as described (26, 27). The sequence (28) spanning the deletion in TEPC 1017 was determined from a 3.2-kilobase-pair (kbp) HindIII subclone of genomic phage Ch30-573.1. The BALB/c germline sequences were obtained from published sequences (14) and from genomic clones and subclones of the regions involved in the TEPC 1017 deletion (7, 14, 29). A cDNA clone, VDJ3– $C_{\delta}$ , was obtained by rescreening the same TEPC 1017 library (25) from which  $p\delta 54J$  was derived. The Pst I fragments of this cDNA were subcloned into pUC8 (30) and sequenced by labeling at vector linker sites. Computer algorithms (31, 32) were utilized to search for sequence homologies.

## RESULTS

The 3'-Deletion End Point in TEPC 1017 Is Close to  $C_{\delta}$ . To map the 3' side of the  $C_{\mu}$  deletion in the IgD-secreting plasmacytoma TEPC 1017, Southern blots prepared from digested tumor and normal mouse liver DNA were hybridized with probe C, a  $\delta$  cDNA produced from  $\delta$  mRNA. The probe C structure is indicated by hatched boxes above or below the maps in Fig. 1. (Lines joining the hatched boxes represent sequences spliced out of precursor RNA in the

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Abbreviations: H, heavy; L, light; V, variable; C, constant; D, diversity; J, joining; USIR, unique-sequence inverted repeat; S, switch recombination; kbp, kilobase pair(s); bp, base pair(s). <sup>‡</sup>Present address: Department of Biology, Stanford University, Palo Alto, CA 94305.

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formation of mature  $\delta$  mRNA.) Bold numbers give the sizes in kbp of rearranged bands in the TEPC 1017 tumor DNA. As indicated by the interpretive maps in Fig. 1 A and B, no deletion or rearrangement occurred in the TEPC 1017  $C_{\delta}$  and  $\delta_s$  regions between the respective Bgl II sites, confirming previous findings (34). However the 3.9-kbp germline HindIII fragment that spans the  $C_{\delta}$  exons was rearranged to 3.2 kbp in TEPC 1017 DNA as shown in the Southern blot using probe C. Therefore, rearrangement of TEPC 1017 DNA occurred between the HindIII and Bgl II sites 5' to  $C_{\delta}$  (Fig. 1A). The 3.9-kbp HindIII band in the TEPC 1017 lane identifies an unrearranged germline chromosome in this near tetraploid tumor (unpublished data).

The presence in TEPC 1017 DNA of an 11.5-kbp rearranged *Eco*RI fragment (Fig. 1*B*) that hybridized to the  $\delta$ cDNA probe (data not shown) indicated that the *Eco*RI site 5' to the germline *Bgl* II site (above) was deleted and that the rearrangement had occurred within 670 bp of the  $C_{\delta l}$  exon.

The 5'-Deletion End Point in TEPC 1017 Eliminates  $C_{\mu}$  and Most of  $S_{\mu}$  from the Rearranged Allele. To identify the 5' boundary of the rearrangement, we probed EcoRI-Bgl IIdigested TEPC 1017 and mouse liver DNA with probe B (shown above the maps in Fig. 1 A and B). The 6.2-kbp EcoRI-Bgl II germline fragment, which includes  $S_{\mu}$  and the  $C_{\mu}I$  exon, was rearranged to 1.8 kbp in TEPC 1017 DNA, as shown in the Southern blot using probe B. Therefore, from these data and from the size of the rearranged *Eco*RI fragment spanning  $C_{\delta}$  (11.5 kbp in Fig. 1*B*), we concluded that the deletion in the rearranged chromosomes of TEPC 1017 eliminates most of the  $S_{\mu}$  and all of the  $C_{\mu}$  region.

The Expressed TEPC 1017  $V_{\rm H}$  Allele is Rearranged to  $J_{\rm H}3$ . To examine the V and the  $J_{\rm H}$  regions of TEPC 1017, a fragment containing  $J_{\rm H}4$  and its flanking region (probe A in Fig. 1 A and B) was employed as a probe of EcoRI-digested liver and TEPC 1017 tumor DNAs. In addition to the 6.4-kbp germline band (Fig. 1A), probe A hybridized to a single 4.3kbp EcoRI fragment in 1017 DNA (Fig. 1B). Additional mapping in the joining (J) region showed that the only rearrangement is to  $J_{\rm H}3$  (data not shown). To confirm that the observed genomic TEPC 1017 rearrangement represents the expressed gene, we isolated and sequenced an overlapping cDNA (Fig. 2) from the same TEPC 1017 library (25) from which we obtained the  $\delta$  cDNA used as probe C. The sequence of Fig. 2 predicts the existence of unique Nco I and *Pvu* II restriction sites in the leader and V region segments of the cDNA. This provided a strategy to determine whether sequences rearranged 5' to  $J_{\rm H}3$  in TEPC 1017 genomic DNA corresponded to the V region expressed in the mRNA (VDJ3-

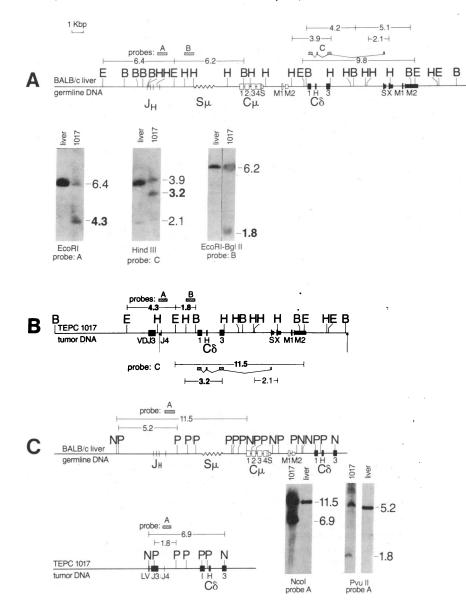


FIG. 1. Comparison of the DNA of TEPC 1017 IgD-secreting plasmacytoma with germline DNA in the  $_{L}-C_{\delta}$  region. High molecular weight DNAs ( $\approx 25 \ \mu g \text{ each}$ ) from BALB/c liver and TEPC 1017 tumors were digested with the restriction enzymes Bgl II (B), EcoRI (E), HindIII (H), Nco I (N), and Pvu II (P), electrophoresed in 1% agarose gels, and transferred to nitrocellulose paper (21). The blots were hybridized with labeled DNA fragment probe A, B, or C (structures indicated as hatched boxes above or below the interpretive maps): A, a 700-base-pair (bp) HindIII-Xba I fragment containing the BALB/c J4 exon and its flanking regions; B, an 800-bp HindIII-Bgl'II fragment from the TEPC 1017 clone Ch30-573.1 spanning the deletion site; and C, a 900-bp Pst I fragment prepared from po54J cDNA containing  $C_{\delta}I$ ,  $C_{\delta}H$ ,  $C_{\delta}3$ , and  $\delta_s$  (25). Selected autoradiographs are presented also. Sizes (kbp) of hybridizing bands are indicated above or below the maps and to the side of each autoradiograph.  $C_{\rm H}$  exons are denoted on the maps by solid  $(C_{\delta})$  or open  $(C_{\mu})$  boxes; secreted (S), membrane (M1, M2), V, diversity (D), and  $J_{\rm H}$ exons are shown similarly. The 3'untranslated regions are denoted by the narrow boxes. The  $\delta$  exon with unknown function (X) has been described (33). The  $\mu$  switch recombination region  $(S_{\mu})$  is shown as  $\infty$ .

 $C_{\delta}$ ). As shown in the germline map in Fig. 1C, probe A is included within an 11.5-kbp Nco I fragment and within a 5.2kbp Pvu II fragment. If the V region of VDJ3- $C_{\delta}$  were recombined with  $J_{\rm H}3$ , the predicted lengths of these fragments would be 6.9 and 1.8 kbp, respectively, in TEPC 1017 genomic DNA. The sizes of hybridizing bands on Southern blots (Fig. 1C) were in agreement with the predicted values. These results argue that all non-germline chromosomes in TEPC 1017 DNA are identically rearranged to produce the expressed H chain.

δ Expression in TEPC 1017 Is Mediated Via Illegitimate Recombination. To determine the mechanism utilized to delete  $C_{\mu}$ , we isolated a genomic clone from a TEPC 1017 library. As shown by arrows in the Fig. 1B map, Ch30–573.1 extends 14.6 kbp from the *Mbo* I site between  $J_{\rm H}3$  and  $J_{\rm H}4$ and includes all  $C_{\delta}$ ,  $\delta_s$ , and  $\delta_m$  gene segments. Extensive analysis (not shown) confirmed that the map of the clone agreed with that of the TEPC 1017 genomic DNA.

The molecular details of the TEPC 1017 recombination are summarized in Fig. 3. In addition to  $S_{\mu}$ , several regions of simple-sequence repetitive DNA occur in the  $\mu$ - $\delta$  locus (14) (denoted 1-6 in Fig. 3; see legend for details). Although repetitive regions are often the site of DNA deletions (39), repeats 1-3 are included well within the deletion and repeats 4-6 are 3' to it. The germline sequences spanning the recombination joint are indicated in Fig. 3 by underlining. The germline sequences that are deleted are not underlined. The joint is  $\approx$ 300 bp 5' of the  $S_{\mu}$  region, as defined by a high density of the consensus pentamer unit (G-A-G-C-T)<sub>3-17</sub> (G-G-G-G-T) (40). The 5'-deletion end points previously determined for other plasmacytomas (see legend to Fig. 3) also map 5' to the high concentration of consensus pentamers.

The 3' end point of the TEPC 1017 deletion is within a region of unique DNA sequence 255 bp 5' to the  $C_{\delta}l$  exon (Fig. 3). A computer search of the surrounding region for  $S_{\mu}$ 

pentamers, for variants that are repeated in other switch sites (e.g., G-A-G-Y-T, G-G-G-Y-T, where Y=pyrimidine), and for sequences (38) identified near the point of recombination in some tumors (e.g., T-G-G-G, T-G-A-G, and Y-A-G-G-T-G-G) revealed only a single occurrence of G-G-G-G-T within several hundred base pairs. Analysis (31, 32) of several kilobase pairs of flanking sequences revealed no long stretches of base homologies. The two 500-bp segments immediately surrounding the joint gave a patchy homology (39%), which is only slightly higher than for the comparison of two unrelated sequences within the  $J_{\rm H}$  region. Therefore, we concluded that an illegitimate recombination between unique sequences has led, by virtue of  $C_{\mu}$  elimination, to a DNA configuration that provides efficient expression of  $C_{\delta}$ .

### DISCUSSION

Unusual Rearrangement of the  $C_{\delta}$  Region in TEPC 1017. We have examined the molecular events that accompany the secretion of IgD in the mouse plasmacytoma TEPC 1017, one of four IgD-secreting tumors that have been reported (17–19). All carry deletions of  $C_{\mu}$ , but TEPC 1017 is the only one to be examined at the sequence level. The rearrangement in TEPC 1017 is unusual in that it occurred so close to the body of the  $C_{\delta}$  gene (255 bp upstream), in contrast to switch deletion sites in other plasmacytomas, which are commonly 1 or 2 kbp from the first  $C_{\rm H}$  exon (15). However, the most unusual feature of the TEPC 1017 rearrangement is that it occurred in the absence of a typical S region 5' to the  $C_{\delta}$ gene. All studies of other productive S in plasmacytomas and hybridoma cells have shown that deletion of DNA sequences 5' to the expressed  $C_{\rm H}$  gene (either  $\gamma$ ,  $\alpha$ , or  $\varepsilon$ ) involves repetitive S regions (reviewed in refs. 10, 15). The deletion end points in TEPC 1033 (unpublished results) and in the IgD-secreting hybridoma B1-8.1 (5) differ from TEPC 1017 but achieve the same result: elimination of  $C_{\mu}$ .

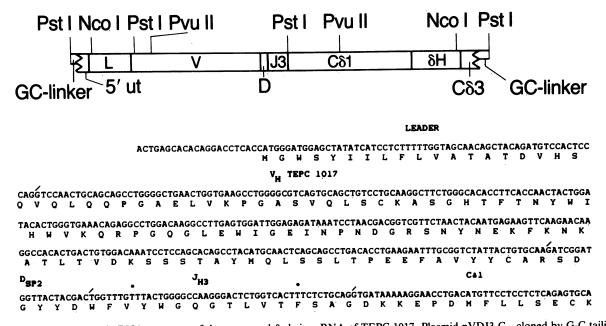


FIG. 2. Physical map and cDNA sequence of the expressed  $\delta$  chain mRNA of TEPC 1017. Plasmid pVDJ3-C<sub> $\delta$ </sub>, cloned by G-C tailing into the *Pst* I site of pBR322 (25), contains the complete leader (L), V, D, J<sub>H</sub>, C<sub> $\delta$ </sub>l, and <sub> $\delta$ </sub>H segments. It terminates (indicated by vertical jagged lines) at the 5' end at position -21 of the 5'-untranslated region (5' ut) and at the 3' end 20 bases into C<sub> $\delta$ </sub><sup>3</sup>. The 5'-most *Nco* I and *Pvu* II sites (indicated in the map) were critical for confirming the expressed genomic equivalent (see text for details). The coding strand of the cDNA sequence is displayed below the map. Predicted amino acids are indicated in a single-letter code directly below the first base of the corras ponding codon: A, Ala; C, Cys; D, Asp; E, Glu; F, Phe; G, Gly; H, His; I, Ile; K, Lys; L, Leu; M, Met; N, Asn; P, Pro; Q, Gln; R, Arg; S, Ser; T, Thr; V, Val; W, Trp; Y, Tyr. The TEPC 1017 V<sub>H</sub> belongs to the mouse V<sub>H</sub> subgroup II (35). The TEPC 1017 D segment (G-A-T-G-G-T-T-A-C) appears to be a somatic mutant of the Sp2 family (36). The TEPC 1017 J<sub>H</sub>3 sequence differs from the germline (29) at two positions (\*), which predict amino acid changes of Ala  $\rightarrow$  Val and Val  $\rightarrow$  Phe in 5'  $\rightarrow$  3' order. The nucleotide sequence of the remaining C<sub> $\delta$ </sub> region (not presented here) agrees with the published sequences for genomic (14) and  $\delta$  cDNA (37) and confirms the 5' RNA splice site for C<sub> $\delta$ </sub>I (37).

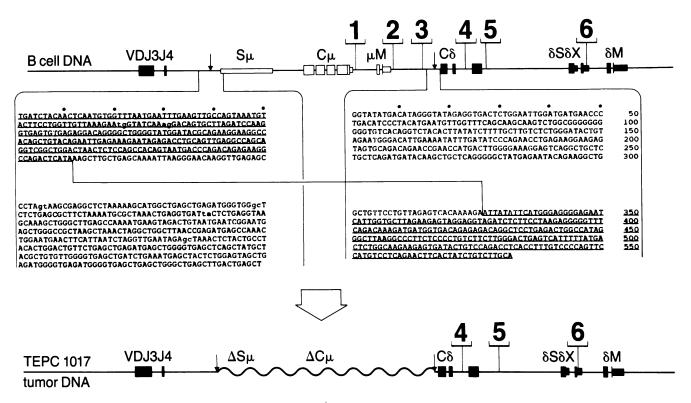


FIG. 3. Deletion of  $C_{\mu}$  and rearrangement of  $C_{\delta}$  accompanying a  $C_{\mu}$  to  $C_{\delta}$  switch in the IgD-secreting plasmacytoma TEPC 1017. The upper map shows the presumed DNA structure of an IgM-secreting B-cell precursor. The lower map shows the rearranged TEPC 1017 tumor DNA. The wavy line (~) indicates the area of the deletion  $(\Delta S_{\mu}, \Delta C_{\mu})$ . Both ends of the deletion are designated with vertical arrows above the maps. Exons are denoted as described in the legend to Fig. 1. The locations of repeated sequences (14) are shown above the maps as 1–6: repeat 1, (C-A)<sub>33</sub>; repeat 2, (G-G-G-A-G-A)<sub>12</sub>(G-A)<sub>28</sub>; repeat 3, the unique-sequence inverted repeat (USIR); repeat 4, (C-T)<sub>30</sub>(C-A)<sub>30</sub>; repeat 5, (G-A-A-A-A)<sub>11</sub>; and repeat 6, (G-A)<sub>16</sub>. Enclosed within the vertical lines between the maps are germline sequences spanning both sides of the TEPC 1017 deletion. The complete sequence analysis of the  $J_H - C_{\mu} - C_{\delta}$  26-kbp region will be published elsewhere. The sequence retained in TEPC 1017 is underlined. The 5' end of the TEPC 1017 deletion is at position 261 in the DNA sequences, but several possibilities exist for recombination in the stretch of As present in both regions. The 5'-deletion end points of other plasmacytomas are identified between the lowercase letters in the DNA sequence to the left: MOPC 141- $\gamma$ 2b (position 71); TEPC 15- $\alpha$  (position 627); and J558- $\alpha$  (position 634-637) (reviewed in ref. 38). The body of the  $S_{\mu}$  region is 3' to the sequences shown to the left here (see upper map). The  $C_{\delta}l$  exon (not shown) begins at position 582 in the sequences to the right.

Illegitimate Recombination in TEPC 1017. Most examples of illegitimate recombination in eukaryotic cells involve the insertion or deletion of mobile genetic elements, as in yeast (41) and Drosophila (42), or the stable integration of viral DNA (such as simian virus 40) into nonhomologous sites on the host chromosome (43, 44). Illegitimate recombination has been demonstrated also in the nonspecific end-to-end joining of simian virus 40 and pBR322 sequences by monkey kidney cells (45, 46). In all cases, there is little or no sequence homology involved in the recombination. The illegitimate recombination leading to IgD secretion in TEPC 1017 shares these features but, in contrast, may be generated by unequal exchange as seen in other  $C_{\rm H}$  genes. Conventional class switches, on the other hand, differ from the TEPC 1017 deletion in that heteroduplex formation results from alignment of homologous consensus pentamers on both the 5' and 3' sides of the breakpoint, even though the site of recombination per se may be upstream.

In considering a mechanism for the illegitimate recombination in TEPC 1017, we were struck by the proximity of the 3'-deletion end point to the large USIR (repeat 3 in Fig. 3). Formation of an almost perfectly paired 162-bp stem of the USIR appears to be energetically favored, judging from sequence calculations (14) and from direct denaturation electron microscopic analysis (7). A potential role for this structure in heteroduplex formation was suggested by computergenerated sequence alignments. Arbitrarily defined 500-bp sequence blocks that span the 5' and 3' sides of the USIR stem gave the highest percent homology (47% and 37%, respectively) when aligned with blocks that spanned the 5'and 3'-deletion end points (data not shown). The alignments required sizable gaps, but the resulting best-fit pairing would bring the deletion end sites to within 20 bp of each other. Others have postulated that inverted repeats constitute special sites of cleavage that lead to initiation of strand exchange in prokaryotes (47, 48).

Copious Secretion of  $C_{\rm H}$  Gene Products May Require Shortened Transcription Units. Each Ig class has specific membrane and secreted forms that differ only in the carboxylterminal portion of the H chains. A separate exon that encodes the secreted terminus is present as an extension of the last  $C_{\rm H}$  domain in all  $C_{\rm H}$  genes except  $\delta$ . The  $\delta$  gene is unique in that the  $\delta_s$  exon is separated from the body of the  $C_{\delta}$  gene by an intervening sequence of 4.5 kbp.

In all Ig-secreting cells (plasmacytomas and hybridomas) investigated, deletion of intervening  $C_{\rm H}$  regions occurs. Thus, the most strongly expressed mRNA (that for the secreted form) is defined by the first transcriptional stop sequence in the DNA following the promoter. Expression of secreted IgD from a DNA configuration in which  $C_{\mu}$  was present would require a minimal transcriptional unit of  $\approx 26$  kilobases, almost three times that calculated for the RNA precursor of other Ig classes in plasmacytomas (average,  $\approx 10$  kbp). In contrast, a deletion of  $C_{\mu}$  as observed in TEPC 1017 would result in a RNA precursor of only 11 kilobases. Transcriptional control of this pattern of expression may be exerted at the level of termination (49). We cannot rule out, however, that factors independent of the deletion of  $C_{\mu}$  (e.g., secondary structure, methylation, etc.) might up-regulate the expression of secreted  $\delta$  chains in TEPC 1017 nor can we exclude the nonspecific effects of the transformed state.

Secretion of IgD by Normal Murine B Cells. Our analysis of TEPC 1017 may provide an answer for the mode of IgD secretion by tumors but it raises a more fundamental question concerning the mechanism utilized in the normal immune response. Even though IgD-secreting plasma-cell subsets have been identified in the spleen (50), levels of  $\delta s$  chain mRNA (5, 34) or protein (34) in spleen are barely detectable. We previously hypothesized (33, 49), based on the presence of a s precursor mRNA in plasma cell-depleted splenic B cells (49), that the low levels of  $\delta s$  message are transcribed from the  $(VDJ-C_{\mu}-C_{\delta})$  unrearranged DNA template. However, lymph nodes, which contain significantly more secreted IgD (D. Yuan, personal communication), may be the primary source of IgD in the serum. This tissue would represent the most logical source to search for an analogue of the unique illegitimate recombination utilized by TEPC 1017.

Finally, the dramatic difference seen in the structures of IgD in mouse (37) and man (51) suggests a major evolutionary divergence of  $C_{\delta}$  in the two species. This divergence may also be reflected in the noncoding regions between  $C_{\mu}$  and  $C_{\delta}$ . Recent studies in our laboratories (unpublished results) indicate that the human  $C_{\delta}$  gene is preceded by a class switch-like repetitive sequence that may allow expression of  $\delta$  by means of nonhomologous recombination. This more efficient mechanism for  $C_{\mu}$  deletion may account for the significantly higher serum IgD levels and for the increased occurrence of IgD-secreting myelomas in humans.

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