

REVIEW

## The clinical impact of bacterial biofilms

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**Bacteria survive in nature by forming biofilms on surfaces and probably most, if not all, bacteria (and fungi) are capable of forming biofilms. A biofilm is a structured consortium of bacteria embedded in a self-produced polymer matrix consisting of polysaccharide, protein and extracellular DNA. Bacterial biofilms are resistant to antibiotics, disinfectant chemicals and to phagocytosis and other components of the innate and adaptive inflammatory defense system of the body. It is known, for example, that persistence of staphylococcal infections related to foreign bodies is due to biofilm formation. Likewise, chronic *Pseudomonas aeruginosa* lung infections in cystic fibrosis patients are caused by biofilm growing mucoid strains. Gradients of nutrients and oxygen exist from the top to the bottom of biofilms and the bacterial cells located in nutrient poor areas have decreased metabolic activity and increased doubling times. These more or less dormant cells are therefore responsible for some of the tolerance to antibiotics. Biofilm growth is associated with an increased level of mutations. Bacteria in biofilms communicate by means of molecules, which activates certain genes responsible for production of virulence factors and, to some extent, biofilm structure. This phenomenon is called quorum sensing and depends upon the concentration of the quorum sensing molecules in a certain niche, which depends on the number of the bacteria. Biofilms can be prevented by antibiotic prophylaxis or early aggressive antibiotic therapy and they can be treated by chronic suppressive antibiotic therapy. Promising strategies may include the use of compounds which can dissolve the biofilm matrix and quorum sensing inhibitors, which increases biofilm susceptibility to antibiotics and phagocytosis.**

**Keywords:** bacterial biofilm; biofilm infection; antibiotic resistance; quorum sensing

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### Introduction

Biofilm growing bacteria cause chronic infections [1] which are characterized by persisting inflammation and tissue damage [2]. Chronic infections, including foreign body infections, are infections that 1) persist in spite of antibiotic therapy, the innate and adaptive immune system and inflammatory response of the host, and 2) in

contrast to colonization, are characterized by immune response and persisting pathology [3] (Table 1).

### The occurrence and architecture of bacterial biofilms

Foreign body infections are characterized by biofilm growth of the responsible bacteria or fungi on the outer or inner surface of the foreign body. Biofilm growth occurs on natural surfaces such as teeth [4], heart valves (endocarditis) [5], in the lungs of cystic fibrosis (CF) patients causing chronic bronchopneumonia [2], in the middle ear in patients with chronic and secretory otitis media [6-7], in chronic rhinosinusitis [8], in chronic

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**Table 1** Some general features of biofilm infections in humans compared to acute planktonic infections and superficial colonization/normal flora on skin and mucosal membranes

Features of biofilm infections	Necessary condition for biofilm infection	Sufficient condition for biofilm infection	Also found in acute planktonic infections	Also found in colonization/normal flora on skin and mucosal membranes
<b>Aggregates of bacteria embedded in a self-produced polymer matrix</b>	Yes	Yes	No	No/Yes
<b>Tolerance to clinical relevant PK/PD dosing of antibiotics in spite of susceptibility of planktonic cells</b>	Yes	Yes	No	No/Yes
<b>Tolerant to both innate and adaptive immune responses</b>	Yes	Yes	No	No/Yes-unknown (s-IgA)
Inflammation	Yes	No	Yes	No
Biofilm-specific antigens	No and Yes - seldom - <i>e.g. Pseudomonas aeruginosa</i> alginate	No and Yes -seldom - <i>e.g. Pseudomonas aeruginosa</i> alginate	No	No
Antibody response	Yes - after some weeks	No	Yes - after some weeks	No
<b>Chronic infections</b>	Yes	Yes	No	No
Foreign body associated infections	No	Yes	No but yes the first day of infection	No
Located on surfaces	No	No	Yes	Yes
Localized infection	Yes	No	Yes	Yes
Focus for spreading or local exacerbation	Yes	No	Yes	Yes

The bold fonts indicate biofilm specific features [3].

osteomyelitis and prosthetic joint infections [9-11], in intravenous catheters and stents [12] and in chronic wounds [13-14] (Table 2). The microbes in such biofilms are characteristically kept together by a self-produced biopolymeric matrix. The matrix contains polysaccharides, proteins and extracellular DNA originating from the microbes. Compounds from the host, *e.g.* immunoglobulins, may also be present and the consortium can consist of one or more species living in symbiosis [1]. This condition is called sociomicrobiology [15]. The hydrated macromolecular matrix is important since it provides structural stability and protection to the biofilm. The development of bacterial biofilms over time has been intensively studied *in vitro* by confocal laser scanning microscopy employing *green fluorescent protein*-tagged bacteria. This technique has been combined with advanced *in silico* image-analysis to produce 3-dimensional (3-D) images of biofilms.

As an example, *Pseudomonas aeruginosa* (*P. aeruginosa*) produce a mature biofilm in 5-7 days (Figure 1). The initial step involves planktonic (individual freely moving)

**Table 2** Natural and pathogenic biofilms on human tissue and foreign bodies [3]

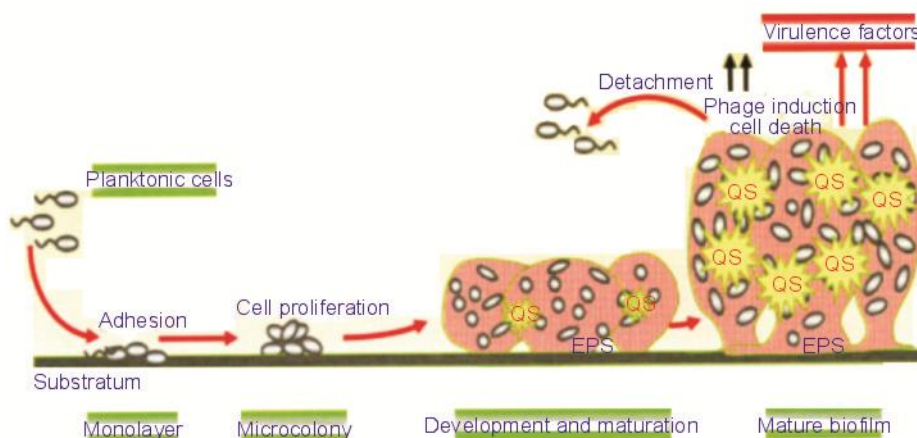
“Organ A” with normal flora	Connection via foreign bodies	“Organ B” without normal flora
Skin	→	blood, peritoneum, middle ear
Mouth	→	teeth
Pharynx	→	bronchi, lungs
Duodenum	→	bile tract, pancreas
Urethra	→	urine bladder
Vagina	→	uterus
“Air in operation room”	→	alloplastic, cerebrospinal shunt
No symptoms	→	pathology

\*most frequently coagulase negative staphylococci, which occur as biofilms on detached epidermal cells.

bacteria attaching reversibly to a surface, *e.g.* a tooth surface conditioned with a layer of proteins (a pellicle).

The bacteria are still susceptible to antibiotics at this stage which may explain the success of the antibiotic prophylaxis for alloplastic surgery. The next step is irreversible binding to the surface, multiplication of the bacteria and microcolony formation, and production of a polymer matrix around the microcolony. The biofilm becomes thick (up to 50  $\mu\text{m}$ ) and mushroom-like or tower-like structures are often observed as a characteristic feature of the mature *in vitro* biofilm. The subsequent biofilm stage involves focal dissolution, liberating

bacterial cells that can then spread to other locations where new biofilms can be formed. This liberation process may be caused by bacteriophage activity within the biofilm [16]. The mature biofilm matrix may contain water-filled channels and thereby resemble primitive, multicellular organisms. Motile bacteria can use type-IV pili to mount or climb a biofilm formed by other bacteria and colonize the top of the biofilm, resembling a hat [17].



**Figure 1** Time-course of formation of a *P. aeruginosa* biofilm based on *in vitro* experiments with *green fluorescent protein*-tagged *P. aeruginosa*, which grow in a flow-cell and are examined with a confocal scanning laser microscope. QS indicates cell-to-cell communication and EPS is hydrated extracellular biofilm matrix. The thickness of the biofilm varies between 25–100  $\mu\text{m}$  and is determined by the balance between growth and liberation of the bacteria. Reproduced from [57] with permission.

Important properties of bacteria in biofilms are different in planktonic bacteria and this has significant diagnostic and therapeutic consequences:

A) The bacteria appear different in biofilm infections since they are located close to each other and surrounded by self-produced matrix. A biofilm can often be recognized by light microscopy although precise identification of all the bacteria within a biofilm can only be done by DNA hybridization techniques. Identification of the components of the biofilm matrix requires specialized staining techniques. Some results, however, indicate that biofilm-specific antibodies develop and can be used as diagnostics [3, 18]. This is important, since ordinary sampling techniques may not be sufficient to detect biofilm growing bacteria unless the bacteria are released by ultrasonic treatment [19-20].

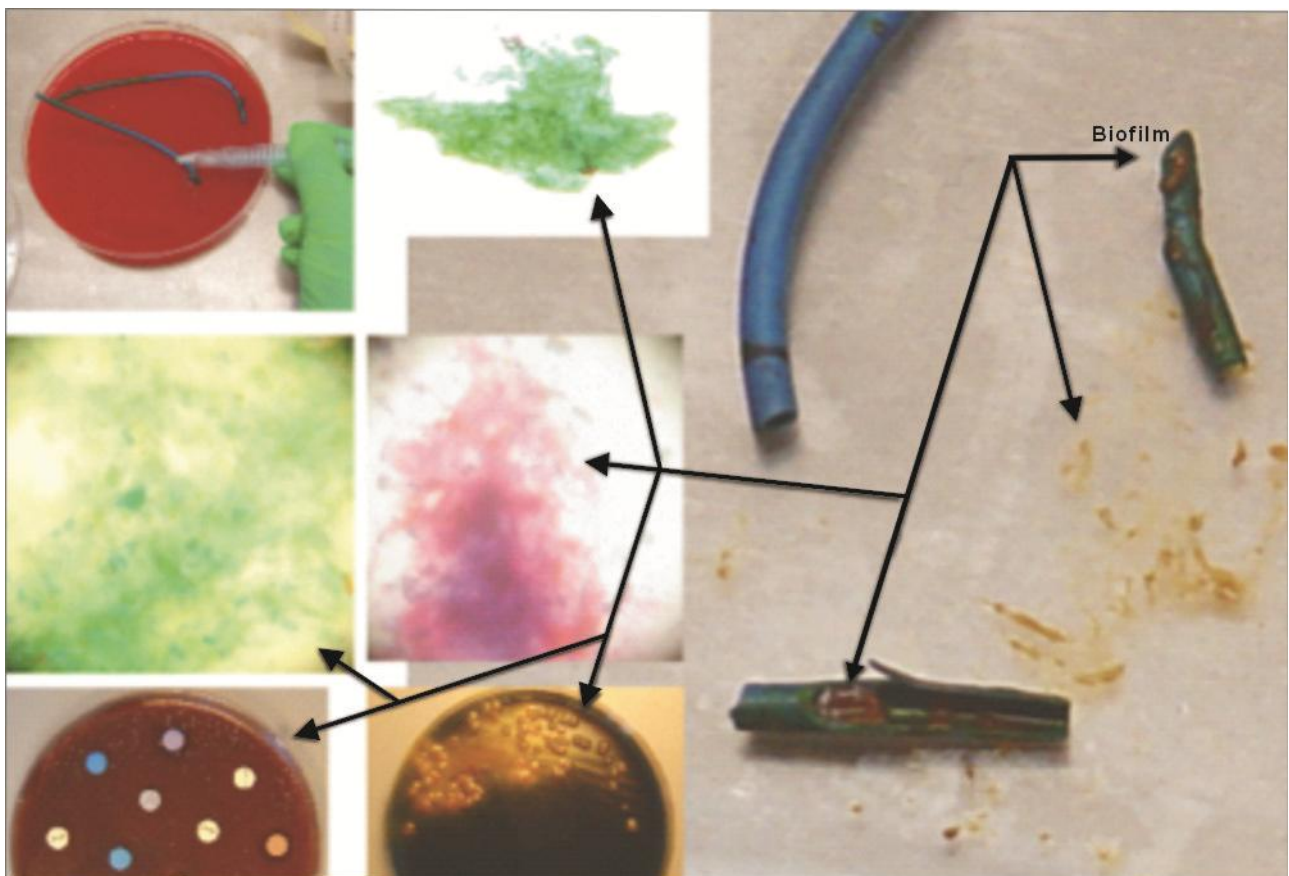
B) Transcriptomic studies of global gene-expression

in *P. aeruginosa* biofilms indicate adaptation of both physiology and biochemistry of biofilm growing bacteria compared to planktonic growing bacteria [21-22]. When the pattern of gene expression during biofilm growth is investigated, the results indicate that the bacterial cells mostly resemble planktonically growing bacteria in the stationary growth phase in which bacteria exhibit low metabolic activity. Furthermore, there is upregulation of genes which are necessary for anaerobic growth [21]. Probing with microelectrodes has shown that the bottom of a biofilm is anaerobic and that little bacterial growth and metabolic activity occurs there. In contrast, the conditions at the surface of the biofilm are aerobic and allow more growth and metabolic activity [23-24]. Gradients, therefore, characterize biofilms. These physiological conditions may partly explain the resistance of biofilm growing bacteria to antimicrobial therapy [3].

C) Biofilm growing bacteria exhibit increased tolerance against antibiotics, disinfectants, and innate and adaptive host immune mechanisms. The minimal inhibitory concentration (MIC) and minimal bactericidal concentration (MBC) of antibiotics to biofilm growing bacteria may be up to 100–1 000 fold higher than that of planktonic bacteria. Methods to test antibiotic susceptibility of biofilm growing bacteria have therefore been developed [3], but their clinical relevance with regard to prediction of successful clinical therapy has been disappointing [25]. The molecular mechanisms responsible for resistance are a mixture of conventional resistance mechanisms, which also protect planktonic growing bacteria and the specific properties of biofilms that increase the tolerance of the bacteria against antibiotics [3]. The conventional resistance mechanisms to beta-lactam antibiotics and colistin have been most thoroughly studied in *P. aeruginosa* biofilms where the

resistance mechanisms are induced when the biofilm is exposed to these two classes of antibiotics [3, 26]. The response of the biofilm is the production of increased amounts of chromosomal beta-lactamase which degrade beta-lactam antibiotics [3], or the production of a modified lipopolysaccharide, which makes the bacteria resistant to colistin [3, 27]. These conventional resistance mechanisms are coupled with stationary-phase physiology, slow growth in the center of the biofilm, and possible matrix mediated antibiotic binding and diffusion retardation. The overall result may at least partially explain the resistance of biofilms and, by extension, the characteristic persistence and recurrence of the clinical signs of inflammation in cases of antibiotic treated biofilm infections [3] (Figure 2).

D) Accordingly, biofilm-growing bacteria cause chronic infections and persisting inflammation and tissue damage *i.e.* they cause disease [3, 28].



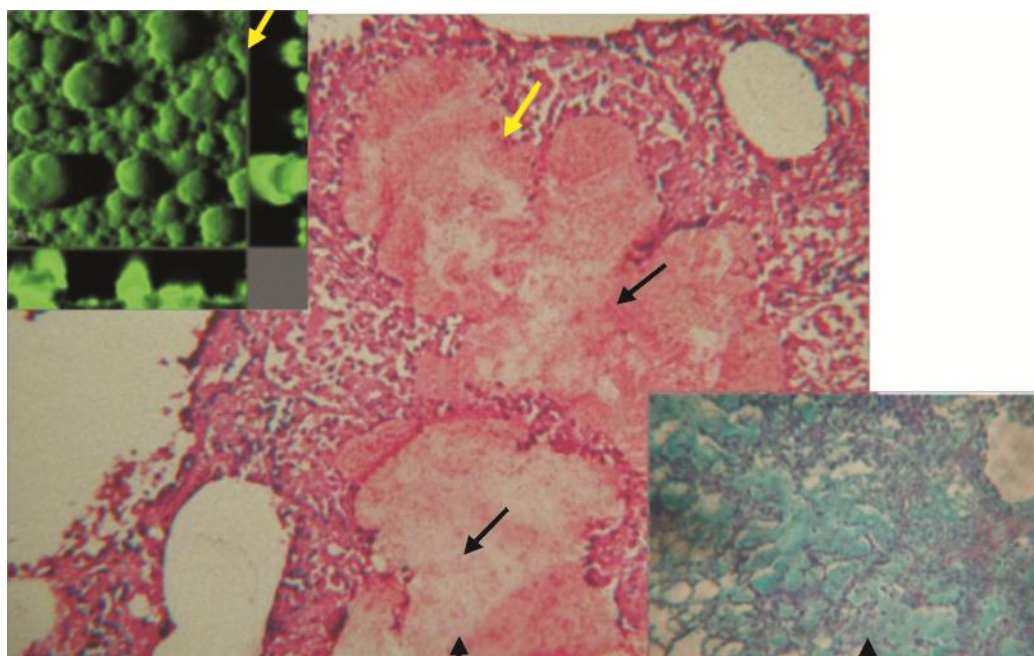
**Figure 2** Example of a biofilm on a biliary stent which became the focus of repeated incidents of ultimately fatal sepsis. DNA typing (pulsed field gel electrophoresis) showed that the same clonal type of *E. coli* was present in both the biofilm and the blood. In spite of relevant antibiotic therapy, it was not possible to eradicate the biliary focus on the stent and the second incident of sepsis was fatal. Microphotographs: Gram and methylene blue staining, magnification  $\times 100$  and  $\times 1\,000$  [3].

### Prophylaxis and treatment of *P. aeruginosa* biofilms in CF lungs – perspectives for other biofilm infections?

CF is a congenital, recessively inherited disorder which affects one out of 2 000 newborns in Caucasian populations. If not treated, most CF patients die at a young age. If intensively treated, the mean expected lifetime is >35 years and in some centres >50 years. The genetic etiology involves one of >1 500 potential mutations in the cystic fibrosis transmembrane conductance regulator gene (CFTR) on chromosome 7, resulting in malfunction of the chloride channel in CF patients. The malfunction of the chloride channel in CF patients leads to decreased volume of the paraciliary fluid in the lower respiratory tract, and that in turn leads to impaired mucociliary clearance of inhaled microbes [29]. This impairment of the non-inflammatory defense mechanism of the respiratory tract leads to early recruitment of the inflammatory defense mechanisms *e.g.* polymorphonuclear leukocytes (PMN) and antibodies [30-32]. Therefore, starting at early childhood, CF patients suffer from recurrent and chronic respiratory tract infections characterized by PMN mediated inflammation. In spite of the inflammatory response and intensive antibiotic therapy, however, infections caused by *e.g.* *P.*

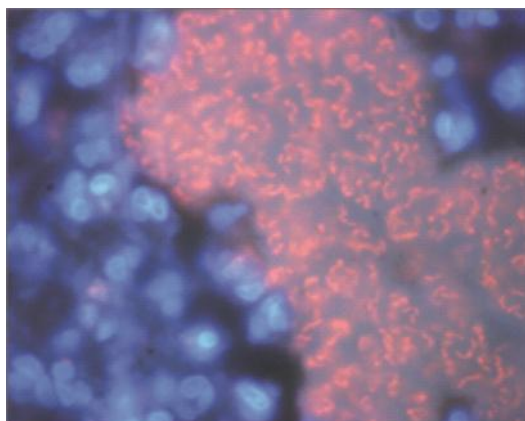
*aeruginosa* persist and lead to respiratory failure and lung transplantation or death of the patients [33]. Previously, 50% of CF patients would succumb within 5 years after onset of the chronic *P. aeruginosa* infection, but intensive early eradication therapy has completely changed the prognosis and most patients will not contract the chronic infection during childhood anymore [34].

Adaptive mechanisms of *P. aeruginosa* exist which explain why this pathogen is able to survive and persist for several decades in the respiratory tract of CF patients, in spite of the defense mechanisms of the host and intensive antibiotic therapy. *P. aeruginosa* is able to survive by switching to the biofilm mode of growth (Figures 3, 4), which provides tolerance to the inflammatory defense mechanism and antibiotic therapy. Biofilm adaptation also allows survival in the aerobic respiratory zone, the conductive zone of the lungs which contain anaerobic sputum, and the paranasal sinuses where the mucus also has a decreased oxygen concentration [2-3, 35-40]. During the adaptation, mucoid (biofilm mode of growth) and non-mucoid phenotypes are split off due to mutations (Figure 5, Table 3).

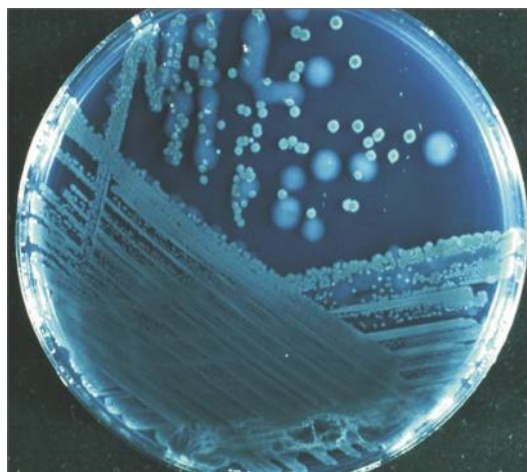


**Figure 3** Biofilms of *P. aeruginosa* grown *in vitro* in a flow cell (left upper panel, green fluorescent protein-tagged bacteria studied by confocal laser scanning microscopy, top view and 2 side views), *in vivo* in a CF mouse (right lower panel, alcian blue stained CF mouse lung [37]) and *in vivo* in the lungs of a CF patient who died of chronic lung infection (center panel, hematoxylen-eosin stained). The arrows indicate the biofilms in all three panels [39].





**Figure 4** FISH staining (fluorescent *in situ* hybridization: red colored bacteria due to fluorescein-labelled peptide nucleic acid specific for *P. aeruginosa*) of *P. aeruginosa* in a biofilm from an explanted lung of a CF patient with chronic lung infection. The surrounding PMNs are stained blue (the nuclei) by 4',6-diamidino-2-phenylindole (DAPI) [39].



**Figure 5** Growth of mucoid (large) and non-mucoid (small) colonies of *P. aeruginosa* from sputum of a CF patient with chronic biofilm lung infection [39].

**Table 3** Important properties of mucoid and non-mucoid phenotypes of *P. aeruginosa* in the respiratory tract of cystic fibrosis patients [2, 39]

Properties	Mucoid phenotype	Nonmucoid phenotype
Location in the lungs	Respiratory zone and conductive zone in sputum	Conductive zone in sputum
Biofilm formation <i>in vitro</i>	Yes	Yes
Biofilm formation <i>in vivo</i>	Yes	No
Multiplied antibiotic resistance due to conventional mechanisms	Seldom	Frequent
Resistance due to biofilm properties	Yes	No
Responsible for lung tissue damage	Yes	No
Induce pronounced antibody response	Yes	No

The currently used methods for preventing chronic *P. aeruginosa* biofilms in CF lungs are 1) prevention of cross-infection from other already chronically infected CF patients by isolation techniques and hygienic measures [41], 2) early aggressive eradication therapy of intermittent colonization by means of oral ciprofloxacin and nebulized colistin for 3 weeks (even 3 months) or by using nebulized tobramycin as monotherapy [42], or 3) daily nebulized DNase (Pulmozyme) [43]. These 3 methods, which are combined in most CF centers, are successful, cost-efficient and have completely changed the epidemiology of chronic *P. aeruginosa* lung infections in CF patients from being very common in CF children to being predominantly a problem for adult

patients. No problems of resistance to the antibiotics have been recorded in intermittently colonized CF patients [34, 44-45]. Early aggressive eradication therapy has also been shown to be efficient in a CF animal model of *P. aeruginosa* infection [46]. Furthermore, although vaccines against *P. aeruginosa* have been developed and undergone clinical trials, they have not been further developed due to the success of the early, aggressive eradication therapy [47].

The recommended method for treatment of chronic *P. aeruginosa* biofilm infection is chronic suppressive antibiotic therapy [2, 48] that is started when the chronic infection is diagnosed (continuous colonization at the monthly bacteriological examinations for 6 months and/

or increased level of antibodies against *P. aeruginosa* [49]. The chronic suppressive therapy consists of daily nebulized colistin or tobramycin for the rest of the patient's life combined with either regular 2-week courses every 3 months of intravenous anti-pseudomonas antibiotics (combination therapy of 2 antibiotics: tobramycin or colistin + ceftazidime, or piperacillin/tazobactam, or carbapenem, or aztreonam, or ciprofloxacin) or *ad hoc* intravenous therapy when clinical manifestations occur [48]. Additionally, DNase is inhaled every day to reduce the viscosity of the DNA-containing sputum [43] and daily oral azithromycin is given to the patients as mentioned below [50]. Chronic suppressive therapy (maintenance therapy) successfully maintains, or at least slows the decline of pulmonary function and prolongs the life of patients for many years [51]. The side-effects of the maintenance therapy can include high levels of conventional resistance in the persisting strains and high levels of allergies to the beta-lactam antibiotics [52-53]. Similar principles as used in CF patients are gradually being introduced in other areas of biofilm infection treatment [10-11, 54]: a) systemic or local prophylactic use of antibiotics to prevent biofilm formation, b) early aggressive eradication therapy to eradicate planktonic growth or early biofilm formation and c) chronic suppressive antibiotic therapy to maintain the function of inserted foreign bodies *etc.*

### Quorum sensing

Both the ability to grow as a biofilm and the ability to communicate with specific signal molecules are probably common among most bacterial species [55-56]. Bacteria communicate by means of synthesizing and reacting to signal molecules. Quorum sensing (QS) allows bacteria to sense the concentration of other bacteria present within a limited microenvironment and respond by activating certain genes which then produce *e.g.* virulence factors such as enzymes or toxins. These QS molecules can be peptides in many Gram-positive bacteria whereas the most well-described QS molecules in Gram-negative bacteria are N-acyl-L-homoserine lactones (AHL) [55, 57]. QS is also found in biofilm forming fungi such as *Aspergillus species* and *Candida albicans* where the alcohols farnesol and tyrosol are QS molecules that can influence morphology *etc.* [58-61]. The classical example of QS is activation of bioluminescence (light production) in *Vibrio fischeri*, and most of the AHL-producing bacteria are related to higher organisms such as plants and animals, where they act as symbionts or pathogens [55, 57]. Examples include the human pathogens *P. aeruginosa*, *Yersinia enterocolitica* and *Brucella melitensis*. AHL is

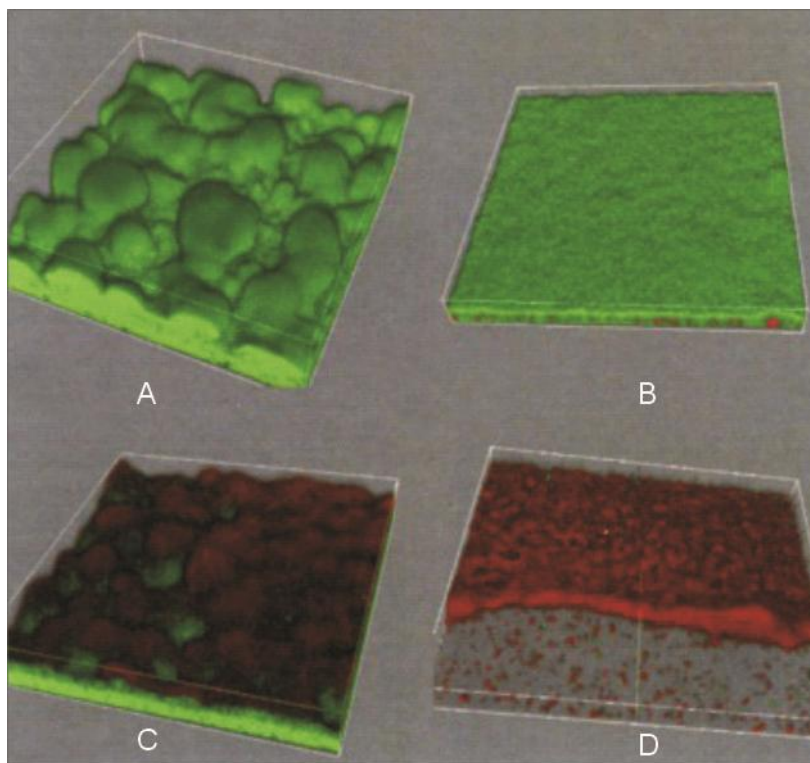
synthesized by synthase proteins (I) and cooperates with transcriptional regulator proteins (R) to regulate the expression of specific genes which *e.g.* in *P. aeruginosa* control the production of virulence factors such as extra-cellular enzymes and cellular lysins (*e.g.* rhamnolipid), which are important for the pathogenesis of infections [62]. Bacteria, therefore, are not fully armed pathogens until they reach the number/concentration where the QS system activates the production of the virulence factors. These bacteria are merely innocent colonizers until the QS is activated. QS may also have influence on the development of biofilms [63]. QS has been shown to determine the tolerance of *P. aeruginosa* biofilms to antibiotic therapy and innate inflammatory responses dominated by polymorphonuclear leucocytes [62, 64-65].

### Quorum sensing inhibitors (QSI)

Much of our knowledge about QS originates from experiments with QS knock-out mutants and from use of naturally occurring and artificially synthesized quorum sensing inhibitors (QSI) [57]. It was observed that certain sea-weed plants never became covered with bacteria or higher organisms (called biofouling, which also occurs on submerged surfaces of ships) because the plant produced halogenated furanones, which have QSI activity [66]. Large-scale screening for QSIs in nature was therefore performed. This process has been simplified with the use of gene-manipulated bacteria that can only grow if a QSI is present in the substrate [67]. These naturally occurring QSI compounds can be synthesized and their structures modified to inhibit QS *in vivo* in experimental animal infections [68]. Since it has been shown that bacteria causing experimental animal infections actually communicate *in vivo* [69], a phenomenon that is likely occurring in CF patients with chronic *P. aeruginosa* lung infections [70], some interesting clinical possibilities arise. If such QSIs are free of toxic side effects, they may be used to treat biofilm infections like chronic *P. aeruginosa* lung infections [71]. Interestingly, some antibiotics, like ceftazidime and ciprofloxacin and the macrolides azithromycin and clarithromycin [72], inhibit QS in *P. aeruginosa* at sub-MIC concentrations leading to inhibition of the virulence of these bacteria. However, azithromycin and clarithromycin, in contrast with ciprofloxacin and ceftazidime, cannot inhibit bacterial growth at obtainable concentrations *in vivo*. Controlled clinical trials using azithromycin to treat the chronic *P. aeruginosa* lung infection in both CF children and adults have shown significant improvement in lung function [73-74]. Most CF patients with chronic *P. aeruginosa* lung infections are therefore now treated

continuously with azithromycin [50]. An expected side effect has, however, been the development of resistance to macrolides in other pathogenic bacteria, like *S. aureus*, in CF patients [75]. It would therefore be desirable to use QSIs without conventional growth-inhibiting or bactericidal activity, and accordingly is now a focus of current research [56]. Examples of such naturally occurring QSI are found in ginseng [26] and garlic extracts, and can sensitize otherwise resistant *P. aeruginosa* biofilms to antibiotic therapy and to PMN activity. The consequence of these QSIs is, more or less, eradication of the biofilm *via* antibiotic therapy and PMN activity,

which dominates the inflammatory response in CF patients (Figure 6) [64-65]. Some clinical effects in CF patients have also been reported [76]. According to current knowledge, QSI resistance can only occur due to mutations that render the QS deficient bacteria unable to produce virulence factors [77], *i.e.* the bacteria become non-virulent. Such mutations have been found to occur during chronic *P. aeruginosa* lung infections in CF patients [78] when the bacteria change to the “chronic phenotype” and lose their ability to make virulence factors.



**Figure 6** A four-day-old biofilm formed by *green fluorescent protein*-tagged *P. aeruginosa* cultured in the absence (A and B) or presence (C and D) of 2% garlic extract. The biofilms B and D were treated on day 3 with 340 µg mL<sup>-1</sup> tobramycin for 24 h. The biofilms were then stained with LIVE/DEAD BacLight bacterial Viability Kit, where dead bacteria are red and living bacteria are green. It is seen that in the presence of garlic extract, tobramycin can kill the bacteria in the biofilm whereas they survive if tobramycin or garlic is used alone. Reproduced from [65] with permission.

### Conventional drugs and QSI for prevention and treatment of foreign body infections

Foreign body infections constitute a steadily increasing medical problem and can occur on intravenous catheters, intrauterine catheters, naso-laryngeal tubes, stents (Figure 2), alloplastic materials, hydrocephalus shunts and arti-

ficial hearts [79]. If such alloplastic materials become colonized with biofilm-forming bacteria, the result is most often chronic inflammation around the foreign body which either has to be replaced or treated with sometimes lifelong antibiotic suppressive therapy; although, careful choice of antibiotics and early therapy may sometimes lead to eradication of the condition. Antibiotic covered



foreign bodies, e.g. catheters, and vascular proteases have been used to prevent biofilm formation and have been shown to be quite efficient [54, 80]. It would, however, be better to develop other compounds due to the risk of development of bacterial resistance and allergic reactions to the antibiotics. Therefore, QSIs are good candidates. QSIs have been shown to synergize with the weak effects of antibiotics and PMNs on biofilm growing bacteria *in vitro* and *in vivo* in animal experiments, resulting in biofilm elimination (Figure 6) [62, 65]. These promising results have led to further development of QSIs as pharmaceutical compounds for patients who are subject to implantation of foreign bodies. Disruption of the biofilm matrix by e.g. alginate lyase, F-actin or DNase has also been used in experimental studies [81]. Such approaches, if successful, will have large, positive consequences for invasive medical treatment.

In conclusion, our increasing knowledge about the nature and properties of biofilm growing bacteria is the basis for efficient prophylaxis, diagnosis (Table 1) and treatment of chronic biofilm infections. The current methods that are used, however, are not yet sufficient. Further progress will undoubtedly rely on comprehensive efforts in basic, applied and clinical research.

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