# The *RAD*52 gene is required for homothallic interconversion of mating types and spontaneous mitotic recombination in yeast

(recombination deficiency/radiation sensitivity/mating type switching/Saccharomyces cerevisiae)

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Communicated by Franklin W. Stahl, August 13, 1979

ABSTRACT The rad52-1 mutation prevents homothallic mating type interconversion and reduces mitotic recombination in yeast. It has been previously reported that rad52-1 abolishes meiotic recombination. These data suggest either that a generalized recombination function(s) is required for mating type switching or that generalized recombination and specific homothallic functions are jointly controlled by the RAD52 gene. The rad52-1 mutation affects the interconversion of the two yeast mating types (a and  $\alpha$ ) differently, suggesting that the interconversion process is not equivalent for both mating types. This type of asymmetry is not predicted by current models of homothallic switching.

The yeast Saccharomyces cerevisiae exhibits both heterothallic and homothallic life cycles, which are controlled by a number of specific genes. In the heterothallic life cycle, the two haploid cell mating types (a and  $\alpha$ ) are stable and can efficiently mate with one another to form nonmating (N)  $a/\alpha$  diploids. Diploid  $a/\alpha$  cells can grow vegetatively or be induced by the appropriate conditions (e.g., nitrogen and glucose starvation) to undergo meiosis and sporulation giving rise to asci containing 2 a and 2  $\alpha$  haploid ascospores. Tetrad analysis indicates that mating phenotype is controlled by two alleles of the MAT locus, designated MATa and MAT  $\alpha$ , located 25 map units from the centromere of chromosome III.

In contrast to the stability of mating type in heterothallic strains, homothallic cells frequently switch from one mating type to the other (1, 2). The high frequency of mating type interconversion is promoted by a dominant allele of the homothallism locus, HO. In the presence of HO, interconversion of mating types occurs repeatedly until haploids of opposite mating type mate to form N  $a/\alpha$  diploids in which the HO allele no longer causes switching (1, 2). The changes in mating type result from heritable alterations at the MAT locus. The newly expressed mating type allele is indistinguishable from the normal MATa or MAT $\alpha$  alleles and is stable when HO is removed by outcrossing to heterothallic ho strains. Sporulation of HO/HO homothallic diploids gives rise to 2 a and 2  $\alpha$  haploid spores; within a few generations of germination, mating type interconversion and mating between cells of opposite type lead to spore clones that contain predominantly N  $a/\alpha$  diploids (3).

In addition to the HO locus, two loci located on chromosome III, HML  $\alpha$  and HMRa, are required for mating type switching (3, 4). A number of lines of evidence (1, 4–6) have led to the proposal that HML  $\alpha$  and HMRa are copies of the MAT  $\alpha$  and MATa alleles that are not expressed, but which act as repositories of information that can be inserted into the MAT locus in the presence of the HO allele. This evidence indicates that the original MAT information is lost and the HML $\alpha$  and HMRa loci remain unchanged. One specific model for the informational exchange, the "cassette" model (5), proposes that a copy of the HML  $\alpha$  or HMRa locus is made and subsequently transposed into the MAT locus by a mechanism analogous to that proposed for bacterial transposition (7, 8).

Genetic recombination appears to be involved in the control of gene expression in a number of other systems [e.g., immunoglobulin production in mammalian cells (9), phase variation of flagellar proteins in *Salmonella* (10), and controlling elements in maize (11)]. These observations support the notion that recombination may be an important process in cell differentiation. The purpose of the present study was to determine whether generalized recombination functions might be involved in the specific control of cell type during homothallic switching in yeast. To alter recombination we used *rad52-1*, originally isolated as a mutation conferring x-ray sensitivity and reduced sporulation (12), which has recently been reported to abolish meiotic recombination (13, 14). We therefore asked whether the *RAD52* gene product was required for mating type interconversion.

#### MATERIALS AND METHODS

Strains. The relevant genotypes of the strains used are shown in Table 1. The rad52-1 mutation was obtained from strain LP582-3D, kindly sent by L. Prakash. Segregation of rad52-1 was followed by inability to grow on plates containing methyl methanesulfonate (MMS). To develop relatively isogenic rad52-1 and RAD52 strains, we performed three rounds of backcrosses between our RAD52 laboratory stocks and rad52-1 segregants. Complementation tests indicated that the rad52-1 mutation used in our experiments was allelic to a rad52-1 mutation obtained from the Berkeley Yeast Genetic Stock Center (strain g160/2d). Most of the experiments described were performed in two different, though related, genetic backgrounds to minimize artifacts due to strain differences. The linkage relationships of the genetic loci used are shown below:

$$II - 0 \frac{lys2}{80} \frac{tyr1}{52} \frac{his7}{50} III \frac{HML\alpha}{65} \frac{MAT}{25} \frac{HMRa}{55}$$

$$V \frac{ura3}{6} - VII \frac{ade5}{87} \frac{met13}{23} \frac{cyh2}{43} \frac{trp5}{17} \frac{leu1}{3} - \frac{17}{3} + \frac{17}{20} - \frac{17}{20} + \frac{17}{20} - \frac{17}{67} + \frac{17}{67} + \frac{17}{20} - \frac{17}{20} - \frac{17}{20} - \frac{17}{20} + \frac{17}{20} - \frac{17}{20} - \frac{17}{67} + \frac{17}{20} - \frac{17}{20$$

Roman numerals refer to the chromosome number; numbers below the line refer to map distances between loci (15). Gene symbols are defined in Plischke et al. (16), except for MAT, HO,  $HML \alpha$ , and HMRa, which are defined in the text, according to conventions adopted by the Ninth International Conference

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Abbreviations: N, nonmating, sporulating; MMS, methyl methanesulfonate.

Table 1. Relevant genotypes of strains used

| Strain  | Relevant genotype  | Source       |
|---------|--|--------------|
| RM27    | $\frac{a}{\alpha} \frac{RAD52}{RAD52} \frac{HO}{HO} \frac{ade2-1}{ade2-1}$                     | This study   |
| RM37    | $\frac{a}{\alpha} \frac{RAD52}{rad52-1} \frac{HO}{ho} \frac{ade2-1}{ade2-1}$                   | This study   |
| RM37-1D | a rad52-1 HO   |              |
| RM38    | $\frac{a}{\alpha} \frac{RAD52}{rad52 \cdot 1} \frac{HO}{ho} \frac{ade2 \cdot 1}{ade2 \cdot 1}$ | This study   |
| RM39    | $\frac{a}{\alpha} \frac{rad52-1}{RAD52} \frac{HO}{HO} \frac{ade2-1}{ade2-1}$                   | This study   |
| RM40    | $\frac{a}{\alpha} \frac{rad52-1}{RAD52} \frac{HO}{HO} \frac{ade2-1}{ade2-1}$                   | This study   |
| RM41    | $\frac{a}{\alpha} \frac{rad52-1}{rad52-1} \frac{ho}{ho}$                                       | This study   |
| RM42    | $\frac{a}{\alpha} \frac{rad52-1}{rad52-1} \frac{ho}{ho}$                                       | This study   |
| TI      | a ade 1  | This study   |
| TII     | α ade 1  | This study   |
| W223-1D | a asp5   | R. Rothstein |
| W223-9A | a asp5   | R. Rothstein |
| W224-1C | a his6 leu2  | R. Rothstein |
| W224-1D | αhis6 leu2   | R. Rothstein |

The auxotrophic mutations shown were used to select for diploids in mating type tests; all strains contained various other auxotrophic mutations as well.

on Yeast Genetics and Molecular Biology, Rochester, NY, 1978; the position of the centromere is indicated by a circle.

Media and Techniques. The recipes for all media used have been described (17). MMS plates are YPD plates containing 0.008% MMS (Eastman Kodak). Standard techniques were used for sporulation, dissection, testing of auxotrophic requirements, and prototroph selection of diploids (18, 19). Strains of MATaor  $MAT\alpha$  genotype are defined as those that mate exclusively with haploid  $MAT\alpha$  or MATa mating type testers, respectively. The term *nonmating* (N) has been used to encompass a range of mating responses seen in  $a/\alpha$  vegetative diploid cells, ranging from complete nonmating to a variable degree of "bisexual" mating with both mating type testers.

Determination of Mitotic Recombination Frequencies. Single colonies from a recently constructed diploid were picked into 1 ml of sterile water and cell concentration was determined by hemocytometer count. Approximately 500 cells per ml were inoculated into 20 ml of YPD medium and the culture was grown at 25°C until a cell concentration of about  $2 \times 10^7$  cells per ml was obtained. Each culture was started from an inde-

Table 3. Mating phenotypes of rad 52-1 and RAD 52 spores

|          |    | Mat        | ing pheno      | otypes of s | pores               |                |  |
|----------|----|------------|----------------|-------------|---------------------|----------------|--|
| Spore    | Wł | nole tetra | ds*            | Total       | Total viable spores |                |  |
| genotype | a  | α          | N <sup>‡</sup> | a           | α                   | N <sup>‡</sup> |  |
| rad52-1  | 71 | 45         | 0              | 103         | 62                  | 0              |  |
| RAD52    | 14 | 25         | 69             | 48          | 49                  | 112            |  |
| Total    | 85 | 70         | 69             | 151         | 111                 | 112            |  |

\* Data from the same 60 tetrads described in Table 2 minus four tetrads that became contaminated prior to tests for MMS sensitivity.

<sup>†</sup> Data from all viable spores from 125 asci of RM37 and RM38, including those from whole tetrads.

<sup>‡</sup> All nonmaters were capable of sporulation.

pendent colony. Cells were washed twice in an equal volume of sterile water and plated at various dilutions on complete media, media lacking an auxotrophic requirement, and complete media containing cycloheximide. Plates were counted after 3 days of incubation at 30°C.

Isolation of MMS-Resistant Revertants of rad52-1. A single colony of RM37-1D was picked into 1 ml of sterile water and cell concentration was determined by hemocytometer count. Approximately 10<sup>5</sup> cells were plated on a YPD plate and allowed to grow into a lawn at 30°C. The lawn was replica plated to an MMS plate and 11 normal-sized colonies were picked from a background of many tiny colonies. These colonies were purified and analyzed further. From the original water suspension,  $10^4$  cells were also plated on each of 10 MMS plates and no MMS-resistant colonies were present in the  $10^5$  cells that formed the YPD lawn, and implies that most of the MMS-resistant revertants arose independently.

### RESULTS

Homothallic Switching Is Prevented by the rad52-1 Mutation. To determine the effect of rad52-1 on the HO allele, two diploids (RM37 and RM38) were constructed, each heterozygous for rad52-1 and HO. Analysis of the segregation of mating type in such diploids showed that the majority of tetrads (40/60) failed to segregate as expected for an ho/HO diploid (i.e., 2 maters: 2 nonmaters, see Table 2). Instead, an excess of maters was observed. Furthermore, analysis of the mating phenotypes of rad52-1 and RAD52 spore clones indicated that there were no rad52-1 nonmaters (Table 3). These data suggested that rad52-1 prevents the expression of the HO gene. We therefore predicted that in tetrads containing only one N spore (i.e., RAD52 HO), the other HO allele must be present in one of the two rad52-1 spores. To test this prediction, both rad52-1 segregants from tetrads containing one N spore clone were outcrossed to wild-type heterothallic (RAD52 ho) haploids of opposite mating type (Table 4). In every case, one of the

Table 2. Mating type segregation in  $a/\alpha HO/ho$  diploids

|                        |               | Mating phenotypes* of tetrads |         |             |                    |                    |         |  |  |
|------------------------|---------------|-------------------------------|---------|-------------|--------------------|--------------------|---------|--|--|
|                        |               | Variation -                   | 2 M:2 N |             | 3 M                | :1 N               | 4 M:0 N |  |  |
| Diploid                | Genotype      | 2 a:2 N                       | 2 α:2 N | 1 a:1 α:2 N | $2 a:1 \alpha:1 N$ | $1 a:2 \alpha:1 N$ | 2 a:2 α |  |  |
| RM37                   | RAD52/rad52-1 | 0                             | 2       | 5           | 6                  | 5                  | 0       |  |  |
| RM38                   | RAD52/rad52-1 | 4                             | 2       | 7           | 18                 | 11                 | 0       |  |  |
|                        | Total         | 4                             | 4       | 12          | 24                 | 16                 | 0       |  |  |
| Wild type <sup>†</sup> | RAD52/RAD52   | 7                             | 5       | 24          | 0                  | 0                  | 0       |  |  |

Data were obtained from asci with 4 viable spores. Mating phenotypes were determined by crosses with all three mating type tester pairs (TI, TII; W223-1D, W223-9A; W224-1C, W224-1D). All spore clones were examined for ability to sporulate; all N spore clones (and only N spore clones) were capable of sporulation.

\* M refers to maters with either a or  $\alpha$  phenotype.

<sup>†</sup> Wild-type data were provided by S. Klapholz from dissections of two diploids that are closely related to the *RAD*52 strains used to construct RM37 and RM38.

Table 4. Outcrosses of *rad*52-1 spores from tetrads containing only one N spore to test for the presence of a cryptic *HO* gene

|                |           | Outcrosses to $a$ and $\alpha$ mating testers |            |    |              |    |  |  |
|----------------|-----------|---|------------|----|--------------|----|--|--|
|                |           |   | Spore      | Sp | Spore mating |    |  |  |
| rad52-1        | Mating    | Tetrads                                       | viability, | р  | henoty       | ре |  |  |
| spores         | phenotype | dissected                                     | %          | а  | α            | N  |  |  |
| Strain RN      | 137       |   |            |    |              |    |  |  |
| 1A*            | α         | 5   | 60         | 6  | 6            | 0  |  |  |
| 1D*            | a         | 5   | 75         | 8  | 4            | 3  |  |  |
| 15A            | a         | 5   | 75         | 8  | 2            | 5  |  |  |
| 15C            | α         | 5   | 80         | 8  | 8            | 0  |  |  |
| 17B            | α         | 5   | 85         | 10 | 7            | 0  |  |  |
| 17C            | а         | 5   | 65         | 6  | 2            | 5  |  |  |
| Strain RM      | 138       |   |            |    |              |    |  |  |
| 5A†            | α         | 5   | 90         | 9  | 9            | 0  |  |  |
| $5B^{\dagger}$ | а         | 5   | 55         | 3  | 4            | 4  |  |  |
| 6A†            | а         | 5   | 85         | 7  | 5            | 3  |  |  |
| $6B^{\dagger}$ | а         | 5   | 90         | 9  | 9            | 0  |  |  |
| 17A            | α         | 5   | 90         | 10 | 8            | 0  |  |  |
| 17B            | а         | 5   | 65         | 7  | 3            | 3  |  |  |
| 18A            | a         | 5   | 66         | 6  | 3            | 3  |  |  |
| 18C            | α         | 5   | 79         | 7  | 6            | 0  |  |  |
| 20 <b>A</b>    | α         | 5   | 55         | 6  | 5            | 0  |  |  |
| 20C            | а         | 5   | 74         | 7  | 3            | 4  |  |  |
| 61C            | a         | 5   | 80         | 8  | 4            | 4  |  |  |
| 61D            | α         | 5   | 85         | 8  | 9            | 0  |  |  |
| 64A            | <u>a</u>  | 25  | 81         | 29 | 25           | 24 |  |  |
| 64C            | α         | 25  | 89         | 41 | 46           | 0  |  |  |

All outcrosses were made with RAD52 heterothallic haploid testers. Crosses were done with TI or TII unless otherwise noted. All spores produced in outcrosses were also tested for sporulation, and all N spore clones were capable of sporulation. The presence of N sporulating segregants in an outcross indicates a cryptic HO gene in the rad52-1 spore.

\* Outcrosses to W223-1D, W223-9A.

<sup>+</sup> Outcrosses to W224-1C, W224-1D.

rad52-1 segregants harbored a cryptic HO allele. It should be emphasized that in these outcrosses, as in RM37 and RM38, only RAD52 spore clones showed a N phenotype. Segregation of mating phenotypes in the outcrosses of rad52-1 HO × RAD52 ho was completely consistent with the segregation pattern in Table 2. All rad52-1 spores containing the cryptic HO gene were stable haploids. More than 800 colonies from 11 rad52-1 HO spore clones were tested for mating type and sporulation ability, and all retained their haploid mating phenotypes and did not sporulate. In a similar experiment with colonies obtained from RAD52 HO spore clones, all (100/100) had a N sporulating phenotype (data not shown).

Spores of Genotype rad52-1  $\alpha$  HO Are Inviable. The data in Table 3 indicate that rad52-1 a segregants exceed rad52-1  $\alpha$  segregants by a factor of about 1.6. Moreover, the data in

Table 5. Evidence that spores of genotype rad52-1 $\alpha HO$  are inviable

| A. Spore<br>ra | mating ph<br>d52-1 spor | enotype<br>es | s from as    | ci with<br>RA | three via<br>D52 spor | ble spores<br>es |
|----------------|-------------------------|---------------|--------------|---------------|-----------------------|------------------|
| a              | α                       | N             | -            | a             | α                     | N                |
| 31             | 17                      | 0             | 4            | 39            |                       |                  |
|                | B. Tetra                | d types       | containi     | ng one l      | N spore               |                  |
|                | rad                     | а             | rad a        | rae           | da                    | rad $\alpha$     |
|                | rad                     | а             | rad $\alpha$ | ra            | $d \alpha$            | rad $\alpha$     |
|                | RAI                     | D α           | RAD a        | RA            | D a                   | RAD a            |
|                | RAI                     | D N           | RAD N        | RA            | ID N                  | RAD N            |
| Number         | 1                       | 1             | 12           |               | 15                    | 0                |
| Expected       |                         | 8             | 11           |               | 11                    | 8                |

Data were obtained from dissection of RM37 and RM38 rad52-1/RAD52  $\alpha/a$  ho/HO. The expected values are calculated by using the known map distances of the RAD52 and MAT loci from their centromeres on chromosomes XIII and III, respectively. For the calculation it was assumed that second-division segregation frequency was twice the map distance and that rad52-1  $\alpha$  HO spores were viable. The expected ratio of parental:nonparental:tetratype asci for rad52 and MAT is 1:1:3. The probability that the differences between the observed and the expected are due to random chance is less than 0.025 ( $\chi^2 = 10.8$ ).

Table 4 show that in all tetrads containing one N spore clone, where one rad52-1 segregant was a and the other  $\alpha$ , the a spore always contained the cryptic HO allele. Because the MAT locus and rad52-1 are on different chromosomes, the probability that all nine rad52-1 HO spores would contain the a allele is  $(\frac{1}{2})^9$ or 0.002. Both these observations suggested that spores of genotype rad52-1  $\alpha$  HO are inviable. Two additional observations from the analysis of RM37 and RM38 supported this contention. First, among those tetrads with only three viable spores, rad52-1 a spores exceeded rad52-1  $\alpha$  spores by approximately 2 to 1 (Table 5A). Second, among the four expected segregation patterns for tetrads containing one N spore, in which one rad52-1 spore must harbor a cryptic HO allele, tetrads in which both rad52-1 spores were  $\alpha$  were not observed (Table 5B). To prove unequivocally that the genotype rad52  $\alpha$  HO was inviable, two diploids of genotype rad52-1/RAD52  $a/\alpha$  HO/HO were analyzed. The spore viability patterns obtained were exactly those predicted (see Table 6). Furthermore, RAD52 spores exceeded rad52-1 spores by a factor of 2, and all rad52-1 spores had the *a* mating phenotype. We conclude that cells of the genotype rad52-1  $\alpha$  HO are inviable.

Revertants of rad52-1. In order to rule out the possibility that homothallic switching was inhibited by a mutation tightly linked to rad52-1, rather than rad52-1 itself, we isolated 11 revertants of rad52-1 a HO that were resistant to MMS; the majority of these should have been independent. Each revertant exhibited a N phenotype, sporulated, and generated viable spores (Table 7). Each of the spore clones derived from the revertants had a N phenotype and sporulated. These results are

Table 6. Segregation data from  $rad52-1/RAD52 a/\alpha HO/HO$  diploids

|           | Tetrad survival patterns |        |               |      |     |          |     | Mating p  | henotype | s of spor | e clones |     |
|-----------|--------------------------|--------|---------------|------|-----|----------|-----|-----------|----------|-----------|----------|-----|
|           |                          | Viable | e:inviable sp | ores |     |          | rad | 52-1 spor | res      | RA        | D52 spo  | res |
| Diploid   | 4:0                      | 3:1    | 2:2           | 1:3  | 0:4 | % viable | a   | α         | N        | a         | α        | N   |
| RM39      | 3                        | 10     | 5             | 0    | 1   | 68       | 17  | 0         | 0        | 0         | 0        | 35  |
| RM40      | 2                        | 14     | 2             | 0    | 0   | 75       | 15  | 0         | 0        | 0         | 0        | 39  |
| Total     | 5                        | 24     | 7             | 0    | 1   | 72       | 32  | 0         | 0        | 0         | 0        | 74  |
| Expected* | 7.4                      | 22.2   | 7.4           | 0    | 0   | 75       | 35  | 0         | 0        | 0         | 0        | 70  |

RM39 and RM40 were made by crossing rad52-1 a HO  $\times$  RAD52/RAD52 a/ $\alpha$  HO/HO spores.

\* Expected tetrad survival and mating phenotypes are calculated as in Table 5 except that spores of genotype rad52-1  $\alpha$  HO are assumed to be inviable ( $\chi^2 = 0.71$ , P = 0.70).

Table 7. Properties of MMS-resistant revertants isolated from a rad52-1 HO a haploid

| Name       | Phenotype*              | Mating phenotype | Sporulation,<br>% | Spore<br>viability,<br>% |
|------------|-------------------------|------------------|-------------------|--------------------------|
| R-1        | MMS <sup>r</sup>        | N                | 15                | 100                      |
| R-2        | MMS <sup>r</sup>        | N                | 75                | 50                       |
| R-3        | MMS <sup>r</sup>        | Ν                | 88                | 100                      |
| R-4        | MMS <sup>r</sup>        | N                | 35                | 90                       |
| R-5        | MMS <sup>r</sup>        | Ν                | 68                | 95                       |
| R-6        | <b>MMS</b> <sup>r</sup> | Ν                | 61                | 85                       |
| <b>R-7</b> | MMS <sup>r</sup>        | Ν                | 78                | 90                       |
| R-8        | MMS <sup>r</sup>        | Ν                | 4                 | 88                       |
| R-10       | MMS <sup>r</sup>        | Ν                | 61                | 95                       |
| R-11       | MMS <sup>r</sup>        | Ν                | 59                | 95                       |
| R-12       | MMS <sup>r</sup>        | N                | 52                | 90                       |
| RM-37-1D   | MMS <sup>s</sup>        | a                | 0                 |                          |
| RM41       | MMS <sup>s</sup>        | N                | 11                | <1                       |

MMS-resistant revertants were isolated from RM37-1D as described in the text. RM41 (rad52-1/rad52-1  $a/\alpha ho/ho$ ) is shown for comparison.

\* MMS<sup>r</sup>, MMS-resistant; MMS<sup>s</sup>, MMS-sensitive.

expected if the revertant diploids were homozygous for the HO gene. Because reversion of the rad52-1 phenotype led in every case to successful mating type interconversion, we conclude that the rad52-1 mutation, and not a closely linked mutation, prevents homothallic switching.

Mitotic Recombination Is Reduced by rad52-1. The rad52-1 mutation has been reported to abolish meiotic recombination in several genetic intervals (13, 14) and to reduce induced mitotic recombination (20, 21). Its effect on spontaneous mitotic recombination has not been examined as extensively, although in one interval rad52-1 appeared to reduce exchange (13). Because our studies demonstrated that rad52-1 prevented homothallic switching during mitotic growth, we considered it important to determine whether the rad52-1 mutation generally reduced mitotic recombination. To answer this question, two diploids were constructed; each contained several heteroallelic loci and one heterozygous recessive drug resistance locus (cycloheximide, cyh) to monitor intragenic and intergenic recombination, respectively (Table 8). The data indicate that at every heteroallelic locus except one (met 13) prototroph production was substantially reduced; intergenic exchange in the cyh2-centromere region was similarly reduced.

Furthermore, virtually all of the MET13 prototrophs can be attributed to an increased reversion frequency of met 13-c in the presence of rad52-1 (data not shown).

#### DISCUSSION

The homothallic mating type interconversion system in yeast provides an example of how one cell type can specifically change to another. The cassette model for the swtiching process proposes that copies of the silent mating type information located at  $HML \alpha$  and HMRa are made and then transferred into the MAT locus, where they are expressed (22). The model suggests that this exchange may occur by a mechanism analogous to bacterial transposition (8). However, mating type interconversion differs in several respects. For example, the "transposition" event occurs at a specific locus, it involves replacement rather than insertion, and the silent loci do not appear to code for functions necessary for the transfer itself (22). We have demonstrated that there may be an additional difference between bacterial transposition and yeast mating type interconversion. Bacterial transposition does not require generalized recombination functions (7, 23, 24), whereas the data presented in this paper indicate that a mutation (rad52-1) that reduces meiotic and mitotic recombination also prevents homothallic switching. These observations are consistent with the hypothesis that a component of the generalized recombination system (either the RAD52 product or a function under its control) is used in mating type interconversion. An alternative hypothesis is that the RAD52 gene regulates both generalized recombination functions and a separate specific function required for mating type interconversion. A third view is that rad52-1 is a polar mutation that prevents expression of a gene downstream required for homothallic switching. This last hypothesis seems unlikely because as yet no polycistronic operons have been found in yeast; the "polar" effects that have been observed have been in genes (e.g., his 4ABC) that appear to code for polypeptides with several enzymatic activities (25). A final possibility is that the rad52-1 lesion leads to DNA damages competing for functions that normally would participate in homothallic switching. This hypothesis suggests that x-ray (or MMS) damage might prevent switching in wild-type strains. We favor the first hypothesis that a general recombination function is required for switching [perhaps via a gene conversion mechanism (29)], because we feel it is the simplest interpretation of the data.

Other mutations have been found that reduce the efficiency of homothallic switching (e.g., swil-1); it is not known whether

Mean frequency of recombinants\*  $\times 10^5$ Intragenic (prototrophs) Intergenic leu1-c lys2-1trp5-c met13-c ura3-1 tyr1-1 his7-1 cyh2r Diploid Genotype leu1-d trp5-d met13-d ura3-313 lys2-2 tyr1-2 his7-2  $CYH2^{s}$ **RM41** rad52-1/rad52-1 0.037 0.084 5.8\* 0.057 0.024 0.0095 0.058 5.4 **RM42** rad52-1/rad52-1 0.020 0.037 8.5† 0.082 0.079 0.0030<sup>‡</sup> 0.037 9.9 Combined mean<sup>§</sup> 0.028 0.056 7.1 0.069 0.044 0.0095 0.046 7.3**RM27** RAD52/RAD52 75 3.9 3.8 0.65 0.35 0.30 0.29 94 Relative decrease<sup>¶</sup> 9.4 268 88 0.54 9.8 31.6 6.3 13.4

Table 8. Mitotic recombination frequencies in rad52-1/rad52-1 diploids

\* Mean frequency of recombinants refers to the geometric mean of (prototrophs/ml ÷ total viable cells/ml) for three cultures of RM41 and RM42 and two cultures of RM27.

The frequencies for the met13 locus in rad52-1 diploids are inflated by a high reversion frequency (see text).

<sup>‡</sup> RM42 is homoallelic for tyr1-1, and this value represents a reversion frequency rather than a recombination frequency. The combined mean of tyr1 is taken as the value from RM41 alone.

The combined mean refers to the geometric mean of all six cultures of rad52-1 diploids.

<sup>1</sup> The relative decrease in recombination frequency is determined by dividing the combined mean for a locus into the mean frequency of RM27.

these affect generalized recombination (26). Preliminary results indicate that the *rad6-1* mutation, which confers UV and x-ray sensitivity and has been reported to reduce meiotic recombination (13, 27), does not substantially reduce levels of mitotic recombination and has no effect on homothallic switching. These results suggest that, if mating type interconversion requires a generalized recombination pathway, it is likely to be the mitotic rather than the meiotic system that is utilized.

The data in this paper further demonstrate that the interconversion process is not identical for the MATa and MAT  $\alpha$ loci. In the presence of the rad52-1 mutation a HO spores produce clones of stable haploids, whereas  $\alpha$  HO spores are inviable. This type of asymmetry contrasts with all previous data, which indicate that MATa and MAT  $\alpha$  behave similarly in the interconversion process. The nature of the lethal event in rad52-1  $\alpha$  HO spores is not yet defined, nor has it been determined whether the structure or information content of the MAT  $\alpha$  locus is responsible for the inviability. Lethal events may also occur in rad52-1 a HO cells, although if they occur, they clearly must take place at a lower rate than in rad52-1  $\alpha$  HO cells. Initial examination of cell death in mitotic pedigrees of several homo- and heterothallic rad52-1 a strains indicates a somewhat higher rate of lethal events in the homothallic lines. However, the pattern of cell death cannot be correlated with the known specific pattern of mating type interconversion (1, 2).

Although it is clear that the rad52-1 mutation reduces mitotic recombination frequencies, the actual reductions may be even larger than those shown in Table 8. Because mutation frequencies were not determined in homoallelic rad52-1/ rad52-1 diploids, it is conceivable that many, or all, of the prototrophs observed were the result of mutation. There are three observations that suggest that rad52-1 may increase mutation frequency: (i) The met 13-c mutation, which is leaky in wild type, reverts at high frequency in our rad52-1 strains. (ii) Weak suppressors of the ochre alleles lys2-1 and lys2-2 occur at a frequency 10-20 times that in RAD52 (data not shown). (iii) The frequency of tyr1-1 reversion in RM42 was  $3 \times 10^{-8}$ (see Table 8); this is approximately 10-fold higher than the frequency measured in a related RAD52 homoallelic diploid (28).

Genetic recombination is being increasingly implicated as a method of control of gene expression (29). In prokaryotes, transposition of insertion elements, phage P2 integration and excision, and phase variation in Salmonella are examples of recombination events that can modify gene expression, and that appear to be independent of generalized recombination functions. It is not known whether the recombination events involved in such processes as immunoglobulin production in mammalian cells or controlling element regulation in maize are catalyzed by general or specific recombination systems. The evidence presented here suggests that the events leading to specific mating type interconversion in the eukaryote S. cerevisiae depend upon a gene that is also required for generalized recombination. Although the multiple phenotypes of the rad52-1 mutation (deficiencies in the repair of x-ray induced double strand breaks, mitotic and meiotic recombination, and homothallic mating type switching) might suggest that it is a defect in a control function, the pleiotropic phenotype of recA<sup>-</sup> mutations in Escherichia coli (30) provides a precedent for the possibility that the RAD52 gene product directly participates in all of these processes. It remains to be determined whether mating type interconversion occurs exclusively via a generalized system of exchange and derives its specificity from the structural properties of the loci involved, whether only homothallism-specific recombination functions are required, or whether both types of functions are utilized in the switching event.

Note Added in Proof. After 10 days of incubation on YPD at 30°C, about 5% very small slow-growing clones appeared on the dissection plates of  $rad52/RAD52 \ a/\alpha \ HO/ho$ . Some of these clones have a  $rad52 \ \alpha$  phenotype.

We thank Sue Klapholz for many helpful experimental suggestions and for her comments on the manuscript. We also express our appreciation to Joe Wagstaff for his critical review of the paper and to Eric Holte for excellent technical assistance. R.E.M. was supported by National Institutes of Health Postdoctoral Fellowship PHS GM-05965-03. This research was supported by National Institutes of Health Grant PHS GM-23277-02 and University of Chicago Cancer Research Center Grant PHS CA 19265-03/Project 508.

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