## Steady-state properties of coupled systems in mitochondrial oxidative phosphorylation

(forces/fluxes/linear relations/Onsager relations/efficiency)

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ABSTRACT At steady state there is effective coupling among various otherwise independent membrane and internal mitochondrial systems that share the same substrates or ligands (e.g., H<sup>+</sup>, Ca<sup>2+</sup>, P<sub>i</sub>, ADP, ATP). The number of different systems, coupled through shared substrates or ligands, is no doubt very large. But, just as an infinite series can be approximated by a finite number of terms, here the number of systems included in the analysis can be limited, as an approximation. In two previous papers, the basic but oversimplified set of four tightly coupled systems was studied. These are: respiratory chain; reverse ATPase; proton-phosphate cotransport; and ADP-ATP exchange. Essentially as illustrations of the methodology required for a more realistic analysis, two much more complicated examples are formulated here: eight tightly coupled systems, and the original four systems but with the tight coupling relaxed in two of these four.

When several independent systems operate in the same closed vesicular membrane, there is an effective steady-state coupling among the different systems (1-3). This has been studied in some detail (2, 3) in the case of a four-system model (4) for oxidative phosphorylation. Essentially the same basic approach has been applied by van Dam and Westerhoff (5) to a different model of oxidative phosphorylation. Related thermodynamic analyses have been carried out by Caplan and Essig (6) and Stucki (7).

The four-system treatment (2, 3) given earlier is somewhat analogous to the ideal gas law: it contains the essence of the problem [if one accepts this stoichiometry (4)] but omits some real and significant complications, as already pointed out (3). The most important modification, to achieve greater reality in the model, is to increase the number of independent systems beyond four. In the first section below, the earlier treatment (3) is extended to *eight* systems. This is by no means exhaustive but it about reaches the limit of practicable algebra. Tight thermodynamic coupling between subforces and fluxes in each system is still (3) assumed here. Another possible refinement (3) is to relax the tight coupling just referred to. This could apply to any or all of those six (see below) of the eight systems that have two subforces. This possibility is illustrated in the second section below, using the original four systems (2, 3) and relaxing tight coupling in two of these four.

More complicated cases than those treated below, even with many more than eight systems, can easily be formulated. But in general, in such cases, one would have to forgo an explicit algebraic analysis in favor of numerical computer solution of linear algebraic equations in particular examples. The present paper will serve to illustrate the general method that can be applied.

## **Eight-system model**

We use the same notation and general approach as before; hence, a number of details are omitted. The eight systems we consider are (on a per site per  $2 e^{-}$  basis for system 1):

<sup>1</sup>/<sub>3</sub>[NADH(in)

+ H<sup>+</sup>(in) + <sup>1</sup>/<sub>2</sub>O<sub>2</sub>(in) 
$$\rightarrow$$
 NAD<sup>+</sup>(in) + H<sub>2</sub>O];  $\Delta G$   
4H<sup>+</sup>(in)  $\rightarrow$  4H<sup>+</sup>(out);  $-4\Delta\overline{\mu}_{\rm H}$   
H<sub>2</sub>PO<sub>4</sub><sup>-</sup>(out)  $\rightarrow$  H<sub>2</sub>PO<sub>4</sub><sup>-</sup>(in);  $\Delta\overline{\mu}_{\rm P}$   
U<sup>+</sup>(...)  $\rightarrow$  U<sup>+</sup>(...)  $\Delta\overline{\mu}_{\rm P}$   
J<sub>2</sub>,X<sub>2</sub>, [2]

$$H^{+}(\text{out}) \rightarrow H^{+}(\text{in}); \Delta \mu_{H} \qquad \int d^{(in)} d\mu_{H} + ADP^{3-}(\text{in}) \rightarrow ATP^{4-}(\text{in}) + H_{2}O; \Delta G_{T}^{(\text{in})} \\ 3H^{+}(\text{out}) \rightarrow 3H^{+}(\text{in}); 3\Delta \overline{\mu}_{H}$$

$$\begin{array}{l} \text{ADP}^{3-}(\text{out}) \to \text{ADP}^{3-}(\text{in}); \Delta \overline{\mu}_{D} \\ \text{ATP}^{4-}(\text{in}) \to \text{ATP}^{4-}(\text{out}); -\Delta \overline{\mu}_{T} \end{array} \right\} J_{4,X_{4}},$$

$$\begin{bmatrix} 4 \end{bmatrix}$$

$$H^+(out) \rightarrow H^+(in); \Delta \overline{\mu}_H \qquad J_5, X_5,$$
 [5]

$$\begin{array}{c} \text{ATP}^{4-}(\text{in}) + \text{H}_2\text{O} \rightarrow \text{H}_2\text{PO}_4^-(\text{in}) + \text{ADP}^{3-}(\text{in}); -\Delta G_T^{(\text{in})} \\ \text{A}(\text{in}) \rightarrow \text{B}(\text{in}); \Delta \end{array} \right\} J_{6*}X_{6*}$$

$$\operatorname{Ca}^{2+}(\operatorname{out}) \to \operatorname{Ca}^{2+}(\operatorname{in}); \Delta \overline{\mu}_{\mathrm{C}} \qquad J_{7}, X_{7},$$
 [7]

and

$$\begin{array}{l} \operatorname{Ca}^{2+}(\operatorname{in}) \to \operatorname{Ca}^{2+}(\operatorname{out}); -\Delta\overline{\mu}_{\mathrm{C}} \\ 2\mathrm{H}^{+}(\operatorname{out}) \to 2\mathrm{H}^{+}(\operatorname{in}); 2\Delta\overline{\mu}_{\mathrm{H}} \end{array} \right\} J_{8,X_{8}}.$$

$$[8]$$

The fluxes  $J_i$  represent the number of reaction units per second for the entire mitochondrion, with units as written in Eqs. 1–8. The  $X_i$  are the corresponding thermodynamic forces driving the reactions in the directions written; these are the sum of the two (or one, in Eqs. 5 and 7) subforces indicated. The subforces as written are defined, as to sign, by *initial* – *final*. We assume tight coupling (see above) between the two processes in each of Eqs. 1–4, 6, and 8: the flux  $J_i$  is the same for the two processes. In the absence of adequate knowledge about the various kinetic mechanisms, we have to fall back on the phenomenological linear relations  $J_i = L_i X_i$  for all eight systems, with the  $L_i$  assumed known (from kinetic studies on the separate systems). The electrochemical potential differences are (*out* – *in*)

$$\Delta \overline{\mu}_{\rm H} = RT \ln(c_{\rm HO}/c_{\rm H}) - \epsilon \psi$$
  
$$\Delta \overline{\mu}_{\rm D} = RT \ln(c_{\rm DO}/c_{\rm D}) + 3\epsilon \psi, \qquad [9]$$

etc., depending on the charge on the ion, where O means "out,"  $\psi = \psi_{in} - \psi_{out}$  is the (negative) membrane potential, and  $\epsilon$  is the charge on a proton.

The first four systems, above, have already been discussed

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(2, 3). System 5 is a proton leak (3). System 6 is the only nonmembrane system. It represents in a formal and composite way the use of ATP *inside* the mitochondrion to drive various ATP-dependent activities (schematically,  $A \rightarrow B$  in Eq. 6). Thus, if there are various ATP-driven processes j, with total ATP flux

$$J_6 = \sum_{i} L_{6j} (-\Delta G_{\rm T}^{\rm (in)} + \Delta_j)$$

and if we define

$$L_6 \equiv \sum_j L_{6j} \text{ and } \Delta \equiv \left(\sum_j L_{6j} \Delta_j\right) / \sum_j L_{6j},$$

then

$$J_6 = L_6(-\Delta G_T^{(in)} + \Delta) = L_6 X_6,$$

corresponding to Eq. 6.  $\Delta$  (negative), in Eq. 6, is smaller in magnitude than  $-\Delta G_{1}^{(in)}$  (positive), so that  $X_6 > 0$ . The formal nature of the two Ca<sup>2+</sup> transport systems is obvious from Eqs. 7 and 8.

The independent phenomenological forces we use are  $\Delta G$  ("ox"),  $\Delta G_T^{(out)}$  ("phos"), and  $\Delta$ , where (3)

$$\Delta G_{\rm T}^{\rm (out)} = \Delta G_{\rm T}^{\rm (in)} + \Delta \overline{\mu}_{\rm P} + \Delta \overline{\mu}_{\rm D} - \Delta \overline{\mu}_{\rm T}$$
[10]

refers to external ATP synthesis. Usually  $\Delta G$  is positive while  $\Delta G_T^{(out)}$  and  $\Delta$  are negative. The various  $\Delta \mu$ s are considered unknowns whose values depend on the unknown *inside* concentrations of the corresponding ions, as adjusted to achieve an overall steady state among the eight systems (1–3). The outside concentrations and  $\psi$  are assumed to be known.

At steady state, there will be zero net change in the interior concentrations:

H<sup>+</sup>: 
$$-4J_1 + J_2 + 3J_3 + J_5 + 2J_8 = 0$$
 [11]

$$H_2 PO_4^-: \quad J_2 - J_3 + J_6 = 0$$
 [12]

$$ADP^{3-}: \quad J_4 - J_3 + J_6 = 0$$
 [13]

$$ATP^{4-}: \quad J_3 - J_4 - J_6 = 0$$
 [14]

$$Ca^{2+}: J_7 - J_8 = 0.$$
 [15]

These relations follow from Eqs. 1-8 and "couple" the eight systems together at steady state. Eqs. 13 and 14 are equivalent; Eqs. 12 and 13 give  $J_2 = J_4$ . There are then four independent equations: Eqs. 11, 12, 15, and  $J_2 = J_4$ . These four equations are now rewritten by (i) substituting  $L_i X_i$  for each  $J_i$ ; (ii) replacing each  $X_i$  by the sum of subforces given in Eqs. 1–8; and (*iii*) replacing  $\Delta G_{T}^{(in)}$  by  $\Delta G_{T}^{(out)}$ , using Eq. 10. We then have four linear algebraic equations in the four unknowns  $\Delta \overline{\mu}_{\rm H}, \Delta \overline{\mu}_{\rm P}, \Delta \overline{\mu}_{\rm D}$  $-\Delta \overline{\mu}_{T}$ , and  $\Delta \overline{\mu}_{C}$ . On solving these equations, each unknown can be expressed as a linear form in the three phenomenological forces  $\Delta G$ ,  $\Delta G_{T}^{(out)}$ , and  $\Delta$ . On substituting these expressions for the  $X_i$  (subforce sum) in  $J_i = L_i X_i$ , the various fluxes can be written in the same way. In particular, those fluxes conjugate to the phenomenological forces—namely,  $J_1(\text{``ox''})$ ,  $J_4(\text{``phos''})$ (recall  $J_2 = J_4$ ), and  $J_6$ —are found to exhibit Onsager reciprocal relations, as expected.

The explicit expressions for the  $\Delta \overline{\mu} s$ , found in the above way, are:

$$D\Delta\overline{\mu}_{\rm H}/(L_7 + L_8) = 4L_1[L_2L_3L_4L_{\rm P}^{-1} + L_6(L_2 + L_4)]\Delta G - L_2L_4(4L_3 + L_6)\Delta G_{\rm T}^{(\rm out)} + L_6[L_2L_4 - 3L_3(L_2 + L_4)]\Delta, \qquad [16]$$

$$D\Delta\bar{\mu}_{\rm P} = 4L_1(L_7 + L_8)(4L_3L_4 - L_2L_3L_4L_{\rm P}^{-1} - L_2L_6)\Delta G + L_4\{(L_7 + L_8)[L_6(16L_1 + L_2 + 9L_3 + L_5) + L_3(16L_1 + 4L_2 + L_5)] + 4L_7L_8(L_3 + L_6)]\Delta G_{\rm T}^{(out)} - L_6\{(L_7 + L_8)[L_4(16L_1 + L_2 + 9L_3 + L_5) - 3L_2L_3] + 4L_4L_7L_8]\Delta,$$
[17]

and

$$D(\Delta \bar{\mu}_{\rm D} - \Delta \bar{\mu}_{\rm T}) = 4L_1 L_2 (L_7 + L_8) (4L_3 + L_6) \Delta G + L_2 \{ (L_7 + L_8) [L_3 (16L_1 + L_5 + 9L_6) + L_6 (16L_1 + L_5)] + 4L_7 L_8 (L_3 + L_6) \} \times \Delta G_T^{(out)} - L_2 L_6 [(L_7 + L_8) (16L_1 + 12L_3 + L_5) + 4L_7 L_8] \Delta$$
[18]  
$$\Delta \bar{\mu}_{\rm C} = 2L_8 \Delta \bar{\mu}_{\rm H} / (L_7 + L_8),$$
[19]

where

$$D = (L_7 + L_8)[16L_1L_2L_3L_4L^{-1} + L_2L_3L_4L_5L_P^{-1} + L_6(L_2 + L_4)(16L_1 + 9L_3 + L_5) + L_2L_4L_6] + 4L_7L_8[L_2L_3L_4L_P^{-1} + L_6(L_2 + L_4)]$$
[20]

and

$$L_{\rm P}^{-1} \equiv L_2^{-1} + L_3^{-1} + L_4^{-1}, L^{-1} \equiv L_1^{-1} + L_{\rm P}^{-1}.$$
 [21]

The flux expressions, referred to above, are

$$DJ_1 = L_{11}\Delta G + L_{14}\Delta G_{\rm T}^{\rm (out)} + L_{16}\Delta, \qquad [22]$$

$$DJ_4 = L_{14}\Delta G + L_{44}\Delta G_{\rm T}^{\rm (out)} + L_{46}\Delta,$$
 [23]

and

$$DJ_6 = L_{16}\Delta G + L_{46}\Delta G_T^{(out)} + L_{66}\Delta,$$
 [24]

with

$$L_{11} = L_1(L_7 + L_8) \{ 16L_2L_3L_4 + L_2L_3L_4L_5L_P^{-1} + L_6[(L_2 + L_4)(9L_3 + L_5) + L_2L_4] \} + 4L_1L_7L_8[L_2L_3L_4L_P^{-1} + L_6(L_2 + L_4)],$$
 [25]

$$L_{14} = 4L_1L_2L_4(L_7 + L_8)(4L_3 + L_6), \qquad [26]$$

$$L_{16} = 4L_1L_6(L_7 + L_8)(-L_2L_4 + 3L_3L_4 + 3L_2L_3), \quad [27]$$

$$L_{44} = L_2 L_4 \{ (L_7 + L_8) [L_3 (16L_1 + L_5) + L_6 (16L_1 + 9L_3 + L_5)] + 4L_7 L_8 (L_3 + L_6) \},$$
 [28]

$$L_{46} = -L_2 L_4 L_6 [(L_7 + L_8)(16L_1 + 12L_3 + L_5) + 4L_7 L_8],$$
[29]

and

$$L_{66} = L_2 L_3 L_4 L_6 [(L_7 + L_8)(16L_1L^{-1} + L_5L_P^{-1}) + 4L_7 L_8 L_P^{-1}].$$
 [30]

The rate of free energy dissipation is

$$\sum_{i=1}^{8} J_i X_i = J_1 \Delta G + J_4 \Delta G_T^{(\text{out})} + J_6 \Delta > 0.$$
 [31]

The efficiency of synthesis of external ATP from the free energy expended by the respiratory chain is

$$\eta = J_4(-\Delta G_{\rm T}^{\rm (out)})/J_1\Delta G.$$
 [32]

The conventional P/O ratio is  $3J_4/J_1$ . If we consider that (5, 7) state 4 corresponds to adjusting the value of  $\Delta G_T^{(out)}$  so that  $J_4 = 0$ , then Eq. 23 with  $J_4 = 0$  allows us to express the state 4  $\Delta G_T^{(out)}$  in terms of  $\Delta G$  and  $\Delta$ . If this expression is then substituted for  $\Delta G_T^{(out)}$  in Eqs. 22 and 24, we obtain the state 4  $J_1$  and

 $J_6$  in terms of  $\Delta G$  and  $\Delta$ . The above definition of state 4 ( $J_4 = 0$ ) could not be used in ref. 3 because there  $J_4 = 0$  only at equilibrium. All of the operations described in this paragraph can be carried out explicitly, but the algebra is tedious. A special case (systems 6, 7, and 8 absent) is discussed in *Appendix 1* of ref. 3.

It should be noted in Eq. 1 that  $\Delta G$  depends on  $c_{\rm H}(\text{in})$ . In numerical calculations (3), it is appropriate to start with  $\Delta G_{\rm out}$  rather than  $\Delta G$ , where  $\Delta G_{\rm out}$  is defined as  $\Delta G$  with  $c_{\rm H}$  replaced by  $c_{\rm HO}$ . Thus,

$$\Delta G = \Delta G_{\text{out}} - (\frac{1}{3})\Delta \overline{\mu}_{\text{H}} - (\frac{1}{3})\epsilon \psi.$$
 [33]

It we use Eq. 16 to eliminate  $\Delta \overline{\mu}_{\rm H}$  here, we have an explicit equation that gives  $\Delta G$  in terms of  $\Delta G_{\rm out}$ ,  $\Delta G_T^{\rm (out)}$ ,  $\Delta$ , and  $\epsilon \psi$ .

## **Relaxation of tight coupling: An example**

Six of the eight systems above have two subforces and fluxes, but tight coupling of each pair has been assumed. This assumption can be avoided without any difficulty, in principle, but the linear equations encountered would have to be handled numerically.

To illustrate what is involved here, we confine the following discussion to systems 1 through 4, above, with tight coupling relaxed in systems 1 (respiratory chain) and 3 (ATP synthesis). Thus the linear flux-force relations we start with are (with obvious new notation)

1: 
$$J_e = L_{ee}\Delta G + L_{eH}(-4\Delta \overline{\mu}_H)$$
 [34]

$$J_{\rm H}^{\rm (e)} = L_{\rm eH} \Delta G + L_{\rm HH}^{\rm (e)} (-4\Delta \overline{\mu}_{\rm H}), \qquad [35]$$

2: 
$$J_2 = L_2(\Delta \overline{\mu}_P + \Delta \overline{\mu}_H),$$
 [36]

3: 
$$J_{\rm S} = L_{\rm SS} \Delta G_{\rm T}^{(\rm in)} + L_{\rm SH} (3\Delta \overline{\mu}_{\rm H})$$
 [37]

$$J_{\rm H}^{\rm (S)} = L_{\rm SH} \Delta G_{\rm T}^{\rm (in)} + L_{\rm HH}^{\rm (S)} (3\Delta \overline{\mu}_{\rm H}),$$
 [38]

and

4: 
$$J_4 = L_4 (\Delta \overline{\mu}_D - \Delta \overline{\mu}_T),$$
 [39]

where e refers to "electrons" and S to "synthesis." Corresponding to Eqs. 11-15, we have here

H<sup>+</sup>: 
$$-4J_{\rm H}^{\rm (e)} + J_2 + 3J_{\rm H}^{\rm (S)} = 0,$$
 [40]

$$H_2PO_4^-: \quad J_2 - J_S = 0,$$
 [41]

$$ADP^{3-}: -J_S + J_4 = 0, \qquad [42]$$

and

ATP<sup>4-</sup>: 
$$J_S - J_4 = 0.$$
 [43]

These steady-state relations couple the four systems together. Note, however, that  $J_e$  does not appear in Eqs. 40-43. If we replace  $\Delta G_T^{(in)}$  with  $\Delta G_T^{(out)}$  in Eqs. 37 and 38, using Eq. 10, we then have four linear equations (Eq. 34, Eq. 40,  $J_2 = J_s$ ,  $J_2 = J_4$ ) in four unknowns ( $J_e$ ,  $\Delta \overline{\mu}_H$ ,  $\Delta \overline{\mu}_P$ ,  $\Delta \overline{\mu}_D - \Delta \overline{\mu}_T$ ). The phenomenological forces and fluxes are  $\Delta G$ ,  $\Delta G_T^{(out)}$ ,  $J_e$ , and  $J_S$  (=  $J_2 = J_4$ ). On solving these linear equations we find

$$D\Delta\bar{\mu}_{\rm H} = 4L_{\rm eH}(L_2L_4 + L_2L_{\rm SS} + L_4L_{\rm SS})\Delta G - L_2L_4(L_{\rm SS} + 3L_{\rm SH})\Delta G_{\rm T}^{\rm (out)}, \qquad [44]$$

$$D\Delta\bar{\mu}_{P} = 4L_{eH}(3L_{4}L_{SH} - L_{2}L_{4} - L_{2}L_{SS})\Delta G + L_{4}[-9L_{SH}^{2} + 3L_{2}L_{SH} + L_{SS}(L_{2} + 9L_{HH}^{(S)} + 16L_{HH}^{(e)})]\Delta G_{T}^{(out)},$$
[45]

$$D(\Delta \overline{\mu}_{\rm D} - \Delta \overline{\mu}_{\rm T}) = 4L_2 L_{\rm eH} (L_{\rm SS} + 3L_{\rm SH}) \Delta G$$
  
+  $L_2 [-9L_{\rm SH}^2 + L_{\rm SS} (9L_{\rm HH}^{\rm S})$   
+  $16L_{\rm HH}^{\rm (e)}) ] \Delta G_{\rm T}^{\rm (out)}$  [46]

$$DJ_{e} = [DL_{ee} - 16L_{eH}^{2}(L_{2}L_{4} + L_{2}L_{SS} + L_{4}L_{SS})]\Delta G + 4L_{2}L_{4}L_{eH}(L_{SS} + 3L_{SH})\Delta G_{T}^{(out)},$$
[47]

and

$$DJ_{\rm S} = 4L_2L_4L_{\rm eH}(L_{\rm SS} + 3L_{\rm SH})\Delta G + L_2L_4[L_{\rm SS}(9L_{\rm HH}^{\rm (S)} + 16L_{\rm HH}^{\rm (e)}) - 9L_{\rm SH}^2]\Delta G_{\rm T}^{\rm (out)}, \qquad [48]$$

where

$$D = 3L_{SH}(-3L_2L_{SH} + 2L_2L_4 - 3L_4L_{SH}) + L_2L_4L_{SS} + (9L_{HH}^{(S)} + 16L_{HH}^{(e)})(L_2L_4 + L_2L_{SS} + L_4L_{SS}).$$
 [49]

Note the expected Onsager reciprocal relation in Eqs. 47 and 48.

The rate of free energy dissipation is

$$J_{\rm e}\Delta G + J_{\rm S}\Delta G_{\rm T}^{\rm (out)}.$$
 [50]

The efficiency of ATP synthesis is

$$\eta = J_{\rm S}(-\Delta G_{\rm T}^{\rm (out)})/J_{\rm e}\Delta G, \qquad [51]$$

and the P/O ratio is  $3J_S/J_e$ . If  $\Delta G_T^{(out)}$  is considered adjusted to give  $J_S = 0$  in Eq. 48 (state 4), the resulting expression for  $\Delta G_T^{(out)}$ , in terms of  $\Delta G$ , may be substituted into Eq. 47 to give the state 4  $J_e$ , which is proportional to  $\Delta G$ .

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