

# Quality of descriptions of treatments: A review of published randomised controlled trials

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	Quality of descriptions of treatments:
	A review of published randomised controlled trials
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#### ABSTRACT

**Objectives:** To be useable in clinical practice, treatments studied in trials must provide sufficient information to enable clinicians and researchers to replicate. We sought to assess the completeness of treatment descriptions in published randomised controlled trials using a checklist and to determine the extent to which peer reviewers and editors comment on the quality of reporting of treatments.

Design: Cross-sectional study.

Setting: Trials published in the BMJ, a general medical journal.

**Participants:** 51 trials published in the BMJ were independently evaluated by two raters using the checklist. Reviewers' and editors' comments were also assessed for statements on treatment descriptions.

**Primary and secondary outcome measures:** Proportion of trials rated as replicable (primary outcome).

Results: For 57% of the papers, published treatment descriptions were not considered sufficient to allow replication. Most poorly described aspects were the actual procedures involved including the sequencing of the technique (what happened and when) and the physical or informational materials used (e.g. training materials): 53% and 43% not clear, respectively. For a third of treatments, the dose/duration of individual sessions was not clear and for a quarter the schedule (interval, frequency, duration, or timing) was not clear. Although the majority of problems were not picked up by reviewers and editors, when they were detected only about two-thirds were fixed before publication.

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Conclusions: Journals wanting to publish research of use to practicing health care professionals need to pay more attention to descriptions .kli. .pensure .pers are in t of treatments. Our checklist, may be useful for reviewers, and editors and could help ensure important details of treatments are provided before papers are in the public domain.

## ARTICLE SUMMARY

# Article focus

- For clinicians applying treatments, or researchers wishing to replicate or extend research findings, adequate treatment descriptions in publications are vital.
- We document the adequacy of reporting of different elements of descriptions of treatments in RCTs published in the BMJ; we determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

# Key messages

- The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, and for other researchers to replicate, or build on, the findings in future studies.
- Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.
  - The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients.

# Strengths and limitations of this study

• This study systematically assesses the quality of descriptions of interventions in a general medical journal and reports on whether reviewers and editors detect and fix problems with the descriptions of treatments in trials.

- We included only RCTs in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources.
- We used two raters who were both academic general practitioners to assess the manuscripts. However, none of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded.

#### INTRODUCTION

Before dissemination, innovations in treatment require two things: (i) valid research that demonstrates the treatment's effectiveness and (ii) a description of the treatment procedure sufficient to allow clinicians and others to apply the treatment in practice. Both elements require adequate reporting. The Consolidated Standards for Reporting Trials (CONSORT) statement on reporting randomised controlled trials (RCTs) [1] was developed to help authors and editors improve the reporting of RCTs and has been widely accepted. It has been influential in improving the quality of reporting trials' methods and results.[2] However, less attention has been given to the second element: the description of the treatment being tested. For clinicians applying treatments, or researchers who wish to replicate or extend the findings, adequate treatment descriptions are vital. Treatments vary considerably in their complexity. At one end of the extreme are simple drug trials with fixed dose drugs requiring only specification of the chemical entity, dose, frequency and duration of treatment. However, even drug treatments can require more detail if treatment requires titration, monitoring, complex delivery systems, or co-treatments. Non-drug treatments require these same elements, but a physical, educational, or psychological procedure - equivalent, of the chemical entity - is often far more complex. At the other extreme are multi-stage surgical procedures that may not be codifiable and require training in the institution that developed the procedure. Between these extremes are educational treatments, physical treatments such as physiotherapy, and psychological treatments.

Two of CONSORT's 22 items are directly relevant to clinicians wishing to apply treatments: the eligibility criteria for participants and the settings and locations where the data were collected (item 3), and precise details of the treatments intended for each group and how and when they were actually administered (item 4). Yet previous work has

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suggested this may be insufficient to guide an adequate treatment description.[3-5] Table 1 shows previous studies findings on inadequate reporting of specific aspects of trial interventions within a range of treatment areas; for example, an evaluation of trials complying with item 4 of the CONSORT statement.[3-10]. Some attempts have been made to develop detailed specifications in some treatment areas. For example, Davidson et al [11] have outlined the minimal treatment detail to be described in research reports in behavioural medicine. In a similar vein, specific reporting checklists are being developed for some types of treatments such as herbal treatments[12] and homeopathy [13], which often require additional treatment details. None of these studies have systematically assessed the quality of descriptions of a series of interventions in a general medical journal using a checklist.

The purpose of our study was (i) to document the adequacy of reporting of the different elements of descriptions of treatments in RCTs published over one year in a general medical journal (the BMJ); (ii) to determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and (iii) to develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

#### METHODS

#### Development, refining and piloting of the checklist

Based on the work of Davidson et al[11], the CONSORT statement[1] and our own analysis of poorly reported trials abstracted in the journal Evidence Based Medicine[10], we designed an initial checklist of the minimal details that should be included in a description of a treatment in an RCT. We piloted this on the first 10 papers and then, based on problems identified, revised the checklist. The first 10 papers were then reevaluated with the revised checklist. The revised checklist (Box 1) included the following seven aspects: a description of where the treatment was delivered (setting); who delivered the treatment (provider); who received the treatment (recipient); details of the procedure including the sequencing of the technique (procedure); a description of the physical or informational materials used (materials); the dose/duration of individual sessions of the treatment (intensity); and the scheduling i.e. the interval, frequency, duration, or timing of the treatment (schedule). Raters also completed an additional global item to indicate whether the treatment was sufficiently described for them to replicate it if there were no resource or training constraints (no constraints).

#### Evaluation of published descriptions

We reviewed the given study design of all research papers published in the BMJ in 2006 and selected all RCTs for possible inclusion. Papers presenting only follow-up data or longer term outcomes of a previously published trial were subsequently excluded as details of the intervention may previously have been reported. The full length version of the published papers was then independently evaluated by two raters (PG and CH) for the clarity of reporting of key features of the intervention using our checklist. Our use of the term intervention refers to "the process of intervening on people, groups, Page 9 of 28

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entities or objects in an experimental study"[14]. We did not evaluate the clarity of reporting of the treatment received by the control group. Both raters were blind to comments from editors and reviewers. Raters then discussed the results in person and disagreements were resolved through consensus discussion supervised by SS.

## Evaluation of the review process

All back history (reviewers' comments and editors' notes) for the papers were obtained by SS from the BMJ's electronic manuscript tracking system. SS collated all statements given on the clarity of the reporting of the treatment for each manuscript and anonymised the comments. SS then categorised the deficiencies using our checklist. PG then assessed whether the specified deficiencies had been addressed in the final published version.

#### RESULTS

We included 51 RCTs published in the BMJ in 2006. These papers described studies with a wide range of settings and treatments. 21 (41%) involved the administration of a drug either alone or in addition to another therapy.

## Replicability

Overall, assuming no resource or training constraints, both raters reported that 57% (29/51) of the treatments could not be replicated based on the description of the treatment as published. Studies of drug treatments were better described than non-drug treatments: 7/21 (33%) of drug treatments were considered non-replicable in comparison with 22/30 (73%) non-drug treatments.

# Type of problems identified in published versions

We identified 99 problems with the descriptions of the interventions in the published versions. For each checklist item the proportion of adequately described features ranged from 47% to 94% (Figure 1). The most poorly described aspects of the treatment were the actual procedures involved including the sequencing of the technique - what happened and when (53% not clear), and the physical or informational materials used e.g. training materials (43% not clear). Aspects of the treatment better described included a description of where the treatment was delivered (94% clear). For a third of the treatments described, the dose, duration, or both of individual sessions of the treatment were not clear and for around a quarter the schedule (interval, frequency, duration, or timing) of the treatment was not clear.

#### Problems identified prior to publication

During the pre-publication phase of the manuscripts, the reviewers, editors and editorial advisors reported 43 problems with the

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descriptions of the interventions. Most comments focused on the need for clarification of the sequencing of the technique described (procedure) and the patient group under study (recipient). Thirty three percent (14/43) of these problems were not fully fixed by the time the paper was published (as assessed by our raters) (Figure 2). Where reviewers and editors identified problems with descriptions of the setting, the provider, the materials, and the schedule, these were improved by the time of publication. Problems that were not corrected largely concerned the descriptions of the procedures of the treatments i.e. it was not clear what happened and when. Table 2 shows the 14 IC ion. problems identified at pre-publication which were not sufficiently remedied in the published version.

#### DISCUSSION

The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, or for other researchers to replicate, or build on, the findings in future studies. Many problems were easily rectifiable, such as clearer reporting on the sequencing of techniques, actual doses/durations of treatments and their scheduling. Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.

Our findings are consistent with our earlier analysis of 80 RCTs and systematic reviews published in the journal *Evidence Based Medicine* where approximately a half (51%) had an "inadequate" description of the treatment[10]. *Evidence Based Medicine* abstracts journals in a range of specialties and the similarity in results suggests that the results in this study are valid. Unlike, this study, our previous study did not quantitatively document the types of problems with the treatments described but focused on a global assessment of the replicability of the treatment and whether authors could provide the missing details when asked to do so. The current study went further than our earlier study in that it reports the frequency of poor reporting of specific aspects of trial interventions.[10]

Our study has several limitations. Firstly, we included only RCTs from a single year in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources. The BMJ strives to publish papers to "help doctors make better decisions" and is very aware of the importance of good scientific reporting of

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research. As such it may pay more attention to reporting issues than other journals. We found that the BMJ reported these aspects of interventions poorly and this suggests that the situation may well be worse for other journals. Secondly, we evaluated RCTs published in 2006 and it is possible that there have been improvements in reporting, given the wider use of the internet and web appendices in recent years. Further research would be needed to test this. Thirdly, we used only two raters who were both academic general practitioners to assess the manuscripts some of which could have described treatments they were not familiar with. However, all RCTs published in the BMJ describe treatments that should be familiar to general practitioners, as it targets a general medical readership. None of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded. Our raters were also experienced academics interested in improving the reporting quality of trials and as such the results may represent the best case scenario. Finally, we did not try to separately assess planned versus actual treatment, which may sometimes differ substantially and require specific description[21]. 

We identified a few other previous studies which have examined the adequacy of treatment descriptions (Table 1). Most of the studies listed in Table 1 are likely to have reported overestimates of replicability as only one asked whether there was sufficient information to allow replication[10]. In developing summaries for systematic reviews of back pain, Glenton and colleagues[6] found sufficient detail "about what the treatment involved" for patients in only 3 of 24 (13%) treatments, and used 32 other sources to obtain details for the other 21 treatments. Similarly a review[7] of 29 quideline implementation studies found that the majority lacked details of how the intervention was carried out, e.g., only 7 (24%) supplied details of timing. Three other studies simply checked the

fourth CONSORT item[3-5]. Similar problems have been identified in other areas. In a recent survey[15] of 93 publications with novel questionnaires in JAMA, NEJM, and BMJ, four printed the questionnaire in the article, three provided online access, but authors failed to provide questionnaires for 37 of 81 (46%) studies. For some clinical domains, improving the descriptions of treatments may require additional work to standardise and document the procedures prior to clinical trials[16].

Similar to many journals, BMJ authors are requested to complete the CONSORT statement when submitting a paper describing an RCT, but are not specifically asked to describe their interventions in detail. BMJ reviewers are not routinely instructed to comment on the replicability of treatments described in papers, but are instructed to check the CONSORT statement provided by the author. However, item 4 in the CONSORT statement appears insufficient to guide authors and reviewers in all the elements needed. Medical journals often send papers to reviewers who are practicing clinicians in the area of interest and some may choose to comment on the reporting details of the treatment. However, the limitations of peer review are well documented [17-20]. In our study, peer reviewers infrequently commented on inadequate reporting of trial details. Insufficient instructions and guidance to reviewers and lack of training may compound the problem. However, even when some limitations were identified by reviewers at the prepublication stage they were not always remedied in the published version.

The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients. Though not surprising, the lower rates of adequate description of non-drug alternatives is unfortunate given the rapid growth of the pharmaceuticals budget, and

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the potential for non-drug therapies for alternative treatments. Funders, authors, journals, and research users should all be concerned with this problem and work together to improve the situation. Journals that want to publish high quality research of use to practicing health care professionals need to pay attention to adequate descriptions of treatments. One element of any solution should be a simple checklist, such as the generic one we have developed, or specific checklists such as the CONSORT interventions extensions (http://www.consort-statement.org/extensions/). Such checklists may be useful for authors, peer reviewers, and editors to help ensure that important details of treatments are provided before the paper is published and in the public domain. However, the effectiveness of such checklists needs to be further evaluated. Finally, since describing study materials could add significantly to the length of papers we suggest that editors encourage the use of webextras and/or links to study materials on authors' institutional websites.

#### Acknowledgements

We thank the BMJ Group for SS's time on this project.

#### Contributorship

SS had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. No other staff at the BMJ were involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript. SS, PG and CH designed the study; PG and CH rated the manuscripts for replicability; SS analysed the manuscripts' backmatter; SS analysed the data; SS, PG and CH wrote the manuscript.

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#### Competing interests

SS is employed full time by BMJ Group.

#### Ethics

We did not seek ethics committee approval for this study as it mainly involved the evaluation of published manuscripts in the public domain. Only SS had access to named reviewers' and editors' comments but is a full time employee of the BMJ Publishing Group and regularly reads such material as part of her job. On submitting to the BMJ, prospective authors are informed that their paper may be enrolled in a

research study as part of improving the peer review process and are given the opportunity to opt out of this.

# Data sharing

The checklist responses for each trial alongside an indication of whether a problem with the description of the intervention was reported prior to publication are available as a dataset on Dryad: doi:10.5061/dryad.c1jv0.

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Clinical Issue	Number of Trials	Number (%) Replicable	Methods of deciding replicability
Weight loss interventions[3]	63	62 (98%)	Compliance with item 4 of CONSORT statement*
Treatments of brain tumours[4]	74	68 (92%)	Compliance with item 4 of CONSORT statement*
Treatments of Hodgkin's lymphoma[5]	241	231 (96%)	Compliance with item 4 of CONSORT statement*
Back pain[6]	24	3 (13%)	Sufficient information on what happens before, during, and after treatment
Implementation of Guidelines[7]	29	< 7 (16%)	Assessed 6 elements: flexibility, timin content, medium, deliverer, receiver. There is not an overall adequacy rating but none was 100% and only 7/29 gave timing
Insulin initiation in Type 2 diabetes[8]	14	3 (21%)	Providing both starting dose and titration regime.
Surgical Procedures intended[9]	158	138 (87%)	Only required that "some" detail was provided, not sufficient for replication 41% also provided some detail on actual surgery administered.
Range of topics published in Evidence Based Medicine Journal[10]	55	36 (65%)	Two general practitioners were independently asked whether they could use this treatment with a patient if th saw them tomorrow

Table 1: Previous studies of adequacy of descriptions of treatments in trials.

\* Item 4 is: "Precise details of the treatments intended for each group and how and when they were actually administered"

Figure 1: Elements of interventions - percentage clearly described.

Jus - percenta,

. reviewer. Jolication Figure 2: Papers where editors' or reviewers' identified a problem (pre-publication), and whether it remained at post-publication.

> For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

# Table 2: Examples of problems identified at pre-publication and not fixed by time of

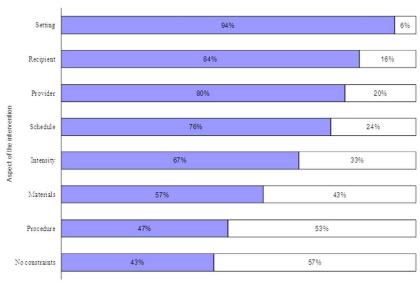
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	Paper title	Type of problem identified at pre- and post- publication	Nature of the problem
)	Partner notification of chlamydia infection in primary care: randomised controlled trial and analysis of resource use	Procedure	Not clear exactly what was done and when
2	Didgeridoo playing as alternative treatment for obstructive sleep apnoea syndrome: randomised controlled trial	Intensity	Description of didgeridoo practice times not clear
	Treatment of low back pain by acupressure and physical therapy: randomised controlled trial	Procedure	Can't tell how personalised the treatment was - who had what done and when
;	Effect of enhanced psychosocial care on antipsychotic use in nursing home residents with severe dementia: cluster randomised trial	Procedure	Complex intervention and what was received and when for both groups is unclear.
	Effect of patient completed agenda forms and doctors' education about the agenda on the outcome of consultations: randomised controlled trial	Recipient	Recipient of intervention unclear
	Effect of telephone contact on further suicide attempts in patients discharged from an emergency department: randomised controlled study Effective control of dengue vectors with curtains and water	Procedure	More detail needed on the content and duration of the phone calls ie effort involved to enhance compliance
	container covers treated with insecticide in Mexico and Venezuela: cluster randomised trials	Procedure	Not clear why all the houses did not get nets and what they actually received
	Randomised controlled trial of four commercial weight loss programmes in the UK: initial findings from the BBC "diet trials"	Procedure	Not enough detail of the content of the programmes or time involved
	A randomised controlled trial of management strategies for acute infective conjunctivitis in general practice	Recipient	Recipients poorly described re conjunctivitis inclusion/exclusion criteria
	Effectiveness of telephone counselling by a pharmacist in reducing mortality in patients receiving polypharmacy: randomised controlled trial	Procedure	Not clear exactly what the pharmacists said or did. It must have been more than just a reminder phonecall.
	Telephone administered cognitive behaviour therapy for treatment of obsessive compulsive disorder: randomised controlled non-inferiority trial	Procedure	The actual therapy provided is only very briefly described
	Mobilisation with movement and exercise, corticosteroid injection, or wait and see for tennis elbow: randomised trial	Procedure	Not clear what the physiotherapy actually involved
	Effectiveness of community physiotherapy and enhanced pharmacy review for knee pain in people aged over 55 presenting to primary care: pragmatic randomised trial	Procedure	Not clear what happened and when. Content of pharmacist sessions unclear. NB: Not fully described due to space

1 2 3			limitations. More complete description of the pharmacy intervention subsequently published [22]
4 5 6	Prevention of HIV and sexually transmitted diseases in high risk social networks of young Roma (Gypsy) men in Bulgaria: randomised controlled trial	Procedure	Intervention components versus what controls received not clear - need to know the details of the intervention
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46	25 For peer review only - http://bmjopen.b	omj.com/site/about	/guidelines.xhtml
47 48			
40 49			

# **Box 1: Interventions checklist**

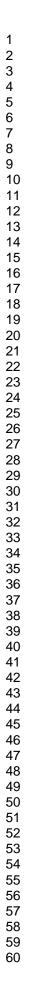
Setting	Is it clear where the intervention was delivered?	🗌 Yes 🔲 No
Recipient	Is it clear <b>who is receiving</b> the intervention?	🗌 Yes 🔲 No
Provider	Is it clear <b>who delivered</b> the intervention?	🗌 Yes 🔲 No
Procedure	Is the <b>procedure</b> (including the sequencing of the technique) of the intervention sufficiently clear to allow replication?	🗌 Yes 🔲 No
Materials	Are the <b>physical or informational materials</b> used adequately described?	🗌 Yes 🔲 No
Intensity	Is the <b>dose/duration of individual sessions</b> of the intervention clear?	🗌 Yes 🔲 No
Schedule	Is the <b>schedule (interval, frequency, duration, or timing)</b> of the intervention clear?	🗌 Yes 🗌 No
Missing	Is there anything else <b>missing</b> from the description of the intervention?	🗌 Yes 🗌 No
	If yes, what?	



■% clearly described □% not clearly described

Figure 1: Elements of interventions - percentage clearly described.





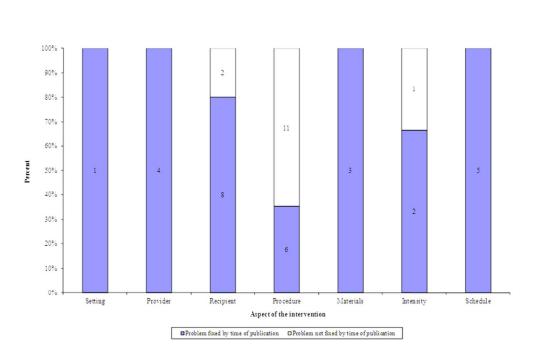


Figure 2: Papers where editors' or reviewers' identified a problem (pre-publication), and whether it remained at post-publication.





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Keywords:	GENERAL MEDICINE (see Internal Medicine), Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, JOURNALISM (see Medical Journalism)



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#### ABSTRACT

**Objectives:** To be useable in clinical practice, treatments studied in trials must provide sufficient information to enable clinicians and researchers to replicate. We sought to assess the completeness of treatment descriptions in published randomised controlled trials using a checklist and to determine the extent to which peer reviewers and editors comment on the quality of reporting of treatments.

Design: Cross-sectional study.

Setting: Trials published in the BMJ, a general medical journal.

**Participants:** 51 trials published in the BMJ were independently evaluated by two raters using the checklist. Reviewers' and editors' comments were also assessed for statements on treatment descriptions.

**Primary and secondary outcome measures:** Proportion of trials rated as replicable (primary outcome).

Results: For 57% (29/51) of the papers, published treatment descriptions were not considered sufficient to allow replication. Most poorly described aspects were the actual procedures involved including the sequencing of the technique (what happened and when) and the physical or informational materials used (e.g. training materials): 53% and 43% not clear, respectively. For a third of treatments, the dose/duration of individual sessions was not clear and for a quarter the schedule (interval, frequency, duration, or timing) was not clear. Although the majority of problems were not picked up by reviewers and editors, when they were detected only about twothirds were fixed before publication.

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Conclusions: Journals wanting to publish research of use to practicing health care professionals need to pay more attention to descriptions .kli. .pensure .pers are in t of treatments. Our checklist, may be useful for reviewers, and editors and could help ensure important details of treatments are provided before papers are in the public domain.

## ARTICLE SUMMARY

# Article focus

- For clinicians applying treatments, or researchers wishing to replicate or extend research findings, adequate treatment descriptions in publications are vital.
- We document the adequacy of reporting of different elements of descriptions of treatments in RCTs published in the BMJ; we determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

# Key messages

- The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, and for other researchers to replicate, or build on, the findings in future studies.
- Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.
  - The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients.

# Strengths and limitations of this study

• This study systematically assesses the quality of descriptions of interventions in a general medical journal and reports on whether reviewers and editors detect and fix problems with the descriptions of treatments in trials.

- We included only RCTs in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources.
- We used two raters who were both academic general practitioners to assess the manuscripts. However, none of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded.

#### INTRODUCTION

Before dissemination, innovations in treatment require two things: (i) valid research that demonstrates the treatment's effectiveness and (ii) a description of the treatment procedure sufficient to allow clinicians and others to apply the treatment in practice. Both elements require adequate reporting. The Consolidated Standards for Reporting Trials (CONSORT) statement on reporting randomised controlled trials (RCTs) [1] was developed to help authors and editors improve the reporting of RCTs and has been widely accepted. It has been influential in improving the quality of reporting trials' methods and results.[2] However, less attention has been given to the second element: the description of the treatment being tested. For clinicians applying treatments, or researchers who wish to replicate or extend the findings, adequate treatment descriptions are vital. Treatments vary considerably in their complexity. At one end of the extreme are simple drug trials with fixed dose drugs requiring only specification of the chemical entity, dose, frequency and duration of treatment. However, even drug treatments can require more detail if treatment requires titration, monitoring, complex delivery systems, or co-treatments. Non-drug treatments require these same elements, but a physical, educational, or psychological procedure - equivalent, of the chemical entity - is often far more complex. At the other extreme are multi-stage surgical procedures that may not be codifiable and require training in the institution that developed the procedure. Between these extremes are educational treatments, physical treatments such as physiotherapy, and psychological treatments.

Two of CONSORT's 22 items are directly relevant to clinicians wishing to apply treatments: the eligibility criteria for participants and the settings and locations where the data were collected (item 3), and precise details of the treatments intended for each group and how and when they were actually administered (item 4). Yet previous work has

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suggested this may be insufficient to guide an adequate treatment description.[3-5] Table 1 shows previous studies findings on inadequate reporting of specific aspects of trial interventions within a range of treatment areas; for example, an evaluation of trials complying with item 4 of the CONSORT statement.[3-10]. Some attempts have been made to develop detailed specifications in some treatment areas. For example, Davidson et al [11] have outlined the minimal treatment detail to be described in research reports in behavioural medicine. In a similar vein, specific reporting checklists are being developed for some types of treatments such as herbal treatments[12] and homeopathy [13], which often require additional treatment details. None of these studies have systematically assessed the quality of descriptions of a series of interventions in a general medical journal using a checklist.

The purpose of our study was (i) to document the adequacy of reporting of the different elements of descriptions of treatments in RCTs published over one year in a large general medical journal (the BMJ); (ii) to determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and (iii) to develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

### METHODS

## Setting

We conducted the research in 2007 at the BMJ, a general medical journal, where we had access to all backmatter associated with journal submissions. The BMJ publishes research on a wide range of clinical topics.

## Development, refining and piloting of the checklist

Based on the work of Davidson et al[11], the CONSORT statement[1] and our own analysis of poorly reported trials abstracted in the journal Evidence Based Medicine [10], we designed an initial checklist of the minimal details that should be included in a description of a treatment in an RCT. We piloted this on the first 10 papers and then, based on problems identified, revised the checklist. The first 10 papers were then reevaluated with the revised checklist. The revised checklist (Box 1) included the following seven aspects: a description of where the treatment was delivered (setting); who delivered the treatment (provider); who received the treatment (recipient); details of the procedure including the sequencing of the technique (procedure); a description of the physical or informational materials used (materials); the dose/duration of individual sessions of the treatment (intensity); and the scheduling i.e. the interval, frequency, duration, or timing of the treatment (schedule). Raters also completed an additional subjective global item to indicate whether the treatment was sufficiently described for them to replicate it if there were no resource or training constraints (no constraints).

## Evaluation of published descriptions

We reviewed the given study design of all research papers published in the BMJ in a single year, 2006, and selected all RCTs for possible inclusion. Papers presenting only follow-up data or longer term

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outcomes of a previously published trial were subsequently excluded as details of the intervention may previously have been reported. The full length version of the published papers was then independently evaluated by two raters (PG and CH) for the clarity of reporting of key features of the intervention using our checklist. Our use of the term intervention refers to "the process of intervening on people, groups, entities or objects in an experimental study"[14]. We did not evaluate the clarity of reporting of the treatment received by the control group. Both raters were blind to comments from editors and reviewers. Raters then discussed the results in person and disagreements were resolved through consensus discussion supervised by SS.

## Evaluation of the review process

All back history (reviewers' comments and editors' notes) for the papers were obtained by SS from the BMJ's electronic manuscript tracking system. SS collated all statements given on the clarity of the reporting of the treatment for each manuscript and anonymised the comments. SS then categorised the deficiencies using our checklist. PG then assessed whether the specified deficiencies had been addressed in the final published version.

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

## RESULTS

We included 51 RCTs published in the BMJ in 2006. These papers described studies with a wide range of settings and treatments. 21 (41%) involved the administration of a drug either alone or in addition to another therapy.

## Replicability

Overall, assuming no resource or training constraints, both raters reported that 57% (29/51) of the treatments could not be replicated based on the description of the treatment as published. Studies of drug treatments were better described than non-drug treatments: 7/21 (33%) of drug treatments were considered non-replicable in comparison with 22/30 (73%) non-drug treatments.

# Type of problems identified in published versions

We identified 99 problems with the descriptions of the interventions in the published versions. For each checklist item the proportion of trials with adequately described features ranged from 47% to 94% (Figure 1). The most poorly described aspects of the treatment were the actual procedures involved including the sequencing of the technique - what happened and when (53% not clear), and the physical or informational materials used e.g. training materials (43% not clear). Aspects of the treatment better described included a description of where the treatment was delivered (94% clear). For a third of the treatments described, the dose, duration, or both of individual sessions of the treatment were not clear and for around a quarter the schedule (interval, frequency, duration, or timing) of the treatment was not clear.

## Problems identified prior to publication

During the pre-publication phase of the manuscripts, the reviewers, editors and editorial advisors reported 43 problems with the

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descriptions of the interventions. Most comments focused on the need for clarification of the sequencing of the technique described (procedure) and the patient group under study (recipient). Thirty three percent (14/43) of these problems were not fully fixed by the time the paper was published (as assessed by our raters) (Figure 2). Where reviewers and editors identified problems with descriptions of the setting, the provider, the materials, and the schedule, these were improved by the time of publication. Problems that were not corrected largely concerned the descriptions of the procedures of the treatments i.e. it was not clear what happened and when. Table 2 shows the 14 IC. problems identified at pre-publication which were not sufficiently remedied in the published version.

## DISCUSSION

The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, or for other researchers to replicate, or build on, the findings in future studies. Many problems were easily rectifiable, such as clearer reporting on the sequencing of techniques, actual doses/durations of treatments and their scheduling. Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.

Our findings are consistent with our earlier analysis of 80 RCTs and systematic reviews published in the journal *Evidence Based Medicine* where approximately a half (51%) had an "inadequate" description of the treatment[10]. *Evidence Based Medicine* abstracts journals in a range of specialties and the similarity in results suggests that the results in this study are valid. Unlike, this study, our previous study did not quantitatively document the types of problems with the treatments described but focused on a global assessment of the replicability of the treatment and whether authors could provide the missing details when asked to do so. The current study went further than our earlier study in that it reports the frequency of poor reporting of specific aspects of trial interventions.[10]

Our study has several limitations. Firstly, we included only RCTs from a single year in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources. The BMJ strives to publish papers to "help doctors make better decisions" and is very aware of the importance of good scientific reporting of

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research. As such it may pay more attention to reporting issues than other journals. We found that the BMJ reported these aspects of interventions poorly and this suggests that the situation may well be worse for other journals. Secondly, we evaluated RCTs published in 2006 and it is possible that there have been improvements in reporting, given the wider use of the internet and web appendices in recent years. Further research would be needed to test this. Thirdly, we used only two raters who were both academic general practitioners to assess the manuscripts some of which could have described treatments they were not familiar with. However, all RCTs published in the BMJ describe treatments that should be familiar to general practitioners, as it targets a general medical readership. None of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded. Our raters were also experienced academics interested in improving the reporting quality of trials and as such the results may represent the best case scenario. Finally, we did not try to separately assess planned versus actual treatment, which may sometimes differ substantially and require specific description[21]. 

We identified a few other previous studies which have examined the adequacy of treatment descriptions (Table 1). Most of the studies listed in Table 1 are likely to have reported overestimates of replicability as only one asked whether there was sufficient information to allow replication[10]. In developing summaries for systematic reviews of back pain, Glenton and colleagues[6] found sufficient detail "about what the treatment involved" for patients in only 3 of 24 (13%) treatments, and used 32 other sources to obtain details for the other 21 treatments. Similarly a review[7] of 29 quideline implementation studies found that the majority lacked details of how the intervention was carried out, e.g., only 7 (24%) supplied details of timing. Three other studies simply checked the

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fourth CONSORT item[3-5]. Similar problems have been identified in other areas. In a recent survey[15] of 93 publications with novel questionnaires in JAMA, NEJM, and BMJ, four printed the questionnaire in the article, three provided online access, but authors failed to provide questionnaires for 37 of 81 (46%) studies. For some clinical domains, improving the descriptions of treatments may require additional work to standardise and document the procedures prior to clinical trials[16].

Similar to many journals, BMJ authors are requested to complete the CONSORT statement when submitting a paper describing an RCT, but are not specifically asked to describe their interventions in detail. BMJ reviewers are not routinely instructed to comment on the replicability of treatments described in papers, but are instructed to check the CONSORT statement provided by the author. However, item 4 in the CONSORT statement appears insufficient to guide authors and reviewers in all the elements needed, and CONSORT have, so far, added three intervention extensions (non-pharmacological, herbal, and acupuncture - www.consort-statement.org/extensions/), but these overlap, and a generic checklist with supplementary lists is needed.

Medical journals often send papers to reviewers who are practicing clinicians in the area of interest and some may choose to comment on the reporting details of the treatment. However, the limitations of peer review are well documented[17-20]. In our study, peer reviewers infrequently commented on inadequate reporting of trial details. Insufficient instructions and guidance to reviewers and lack of training may compound the problem. However, even when some limitations were identified by reviewers at the pre-publication stage they were not always remedied in the published version. Page 15 of 54

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The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients. Though not surprising, the lower rates of adequate description of non-drug alternatives is unfortunate given the rapid growth of the pharmaceuticals budget, and the potential for non-drug therapies for alternative treatments. Funders, authors, journals, and research users should all be concerned with this problem and work together to improve the situation[21]. Journals that want to publish high quality research of use to practicing health care professionals need to pay attention to adequate descriptions of treatments. One element of any solution should be a simple checklist, such as the generic one we have developed, or specific checklists such as the CONSORT interventions extensions (http://www.consort-statement.org/extensions/). Such checklists may be useful for authors, peer reviewers, and editors to help ensure that important details of treatments are provided before the paper is published and in the public domain. However, the effectiveness of such checklists needs to be further evaluated. Ideally the full intervention description should be published with the primary article, but this often is not feasible, e.g, with manual procedures or extensive training materials. Since describing such study materials could add significantly to the length of papers we suggest that editors encourage the use of webextras and/or links to study materials on authors' or funders' institutional websites; these should be checked for availability at the time of publication, since researchers may retire, move, or for other reasons not respond after publication.

## Acknowledgements

We thank the BMJ Group for SS's time on this project.

## Contributorship

SS had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. No other staff at the BMJ were involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript. SS, PG and CH designed the study; PG and CH rated the manuscripts for replicability; SS analysed the manuscripts' backmatter; SS analysed the data; SS, PG and CH wrote the manuscript.

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The University Department of Primary Health Care is part of the NIHR School of Primary Care Research which provides financial support for senior staff who contributed to this paper. The opinions are those of the authors and not of the Department of Health.

## Competing interests

SS is employed full time by BMJ Group.

## Ethics

We did not seek ethics committee approval for this study as it mainly involved the evaluation of published manuscripts in the public domain. Only SS had access to named reviewers' and editors' comments but is a full time employee of the BMJ Publishing Group and regularly reads such material as part of her job. On submitting to the BMJ, prospective authors are informed that their paper may be enrolled in a

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research study as part of improving the peer review process and are given the opportunity to opt out of this.

## Data sharing

The checklist responses for each trial alongside an indication of whether a problem with the description of the intervention was reported prior to publication are available as a dataset on Dryad: doi:10.5061/dryad.c1jv0.

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Clinical Issue	Number of Trials	<i>Number (%)</i> Replicable	Methods of deciding replicability
Weight loss interventions[3]	63	62 (98%)	Compliance with item 4 of CONSORT statement*
Treatments of brain tumours[4]	74	68 (92%)	Compliance with item 4 of CONSORT statement*
Treatments of Hodgkin's lymphoma[5]	241	231 (96%)	Compliance with item 4 of CONSORT statement*
Back pain[6]	24	3 (13%)	Sufficient information on what happens before, during, and after treatment
Implementation of Guidelines[7]	29	< 7 (16%)	Assessed 6 elements: flexibility, timin content, medium, deliverer, receiver. There is not an overall adequacy rating but none was 100% and only 7/29 gave timing
Insulin initiation in Type 2 diabetes[8]	14	3 (21%)	Providing both starting dose and titration regime.
Surgical Procedures intended[9]	158	138 (87%)	Only required that "some" detail was provided, not sufficient for replication 41% also provided some detail on actual surgery administered.
Range of topics published in Evidence Based Medicine Journal[10]	55	36 (65%)	Two general practitioners were independently asked whether they could use this treatment with a patient if th saw them tomorrow

Table 1: Previous studies of adequacy of descriptions of treatments in trials.

\* Item 4 is: "Precise details of the treatments intended for each group and how and when they were actually administered"

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 fully described in Box 1.

 Figure 1: Elements of interventions - percentage clearly described.

Note: Each element is fully described in Box 1.

Figure 2: Papers where editors' or reviewers' identified a problem (pre-publication), and whether it remained at post-publication.

Note: Each element is fully described in Box 1. 

# Table 2: Examples of problems identified at pre-publication and not fixed by time of

# publication.

	Paper title	Type of problem identified at pre- and post- publication	Nature of the problem
0	Partner notification of chlamydia infection in primary care: randomised controlled trial and analysis of resource use	Procedure	Not clear exactly what was done and when
1 2	Didgeridoo playing as alternative treatment for obstructive sleep apnoea syndrome: randomised controlled trial	Intensity	Description of didgeridoo practice times not clear
3 4	Treatment of low back pain by acupressure and physical therapy: randomised controlled trial	Procedure	Can't tell how personalised the treatment was - who had what done and when
5 6 7	Effect of enhanced psychosocial care on antipsychotic use in nursing home residents with severe dementia: cluster randomised trial	Procedure	Complex intervention and what was received and when for both groups is unclear.
3 9 0	Effect of patient completed agenda forms and doctors' education about the agenda on the outcome of consultations: randomised controlled trial	Recipient	Recipient of intervention unclear
1 2 3	Effect of telephone contact on further suicide attempts in patients discharged from an emergency department: randomised controlled study	Procedure	More detail needed on the content and duration of the phone calls ie effort involved to enhance compliance
4 5 6	Effective control of dengue vectors with curtains and water container covers treated with insecticide in Mexico and Venezuela: cluster randomised trials	Procedure	Not clear why all the houses did not get nets and what they actually received
7 3 9	Randomised controlled trial of four commercial weight loss programmes in the UK: initial findings from the BBC "diet trials"	Procedure	Not enough detail of the content of the programmes or time involved
	A randomised controlled trial of management strategies for acute infective conjunctivitis in general practice	Recipient	Recipients poorly described re conjunctivitis inclusion/exclusion criteria
3	Effectiveness of telephone counselling by a pharmacist in reducing mortality in patients receiving polypharmacy: randomised controlled trial	Procedure	Not clear exactly what the pharmacists said or did. It must have been more than just a reminder phonecall.
, ; ; ;	Telephone administered cognitive behaviour therapy for treatment of obsessive compulsive disorder: randomised controlled non-inferiority trial	Procedure	The actual therapy provided is only very briefly described
) )	Mobilisation with movement and exercise, corticosteroid injection, or wait and see for tennis elbow: randomised trial	Procedure	Not clear what the physiotherapy actually involved
1 2 3 4	Effectiveness of community physiotherapy and enhanced pharmacy review for knee pain in people aged over 55 presenting to primary care: pragmatic randomised trial	Procedure	Not clear what happened and when. Content of pharmacist sessions unclear. NB: Not fully described due to space

1 2 3			limitations. More complete description of the pharmacy intervention subsequently published [22]
4 5 6	Prevention of HIV and sexually transmitted diseases in high risk social networks of young Roma (Gypsy) men in Bulgaria: randomised controlled trial	Procedure	Intervention components versus what controls received not clear - need to know the details of the intervention
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40 49			

# **Box 1: Interventions checklist**

Setting	Is it clear where the intervention was delivered?	🗌 Yes 🔲 No
Recipient	Is it clear <b>who is receiving</b> the intervention?	🗌 Yes 🔲 No
Provider	Is it clear <b>who delivered</b> the intervention?	🗌 Yes 🔲 No
Procedure	Is the <b>procedure</b> (including the sequencing of the technique) of the intervention sufficiently clear to allow replication?	🗌 Yes 🔲 No
Materials	Are the <b>physical or informational materials</b> used adequately described?	🗌 Yes 🔲 No
Intensity	Is the <b>dose/duration of individual sessions</b> of the intervention clear?	🗌 Yes 🔲 No
Schedule	Is the <b>schedule (interval, frequency, duration, or timing)</b> of the intervention clear?	🗌 Yes 🔲 No
Missing	Is there anything else <b>missing</b> from the description of the intervention?	🗌 Yes 🔲 No
	If yes, what?	

	Quality of descriptions of treatments:
	A review of published randomised controlled trials
Sa	ara Schroter (PhD), <sup>1,2</sup> Paul Glasziou (PhD), <sup>2</sup> Carl Heneghan (MRCGP) <sup>2</sup>
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	Word count: 2, <u>462</u> 341 words

#### ABSTRACT

**Objectives:** To be useable in clinical practice, treatments studied in trials must provide sufficient information to enable clinicians and researchers to replicate. We sought to assess the completeness of treatment descriptions in published randomised controlled trials using a checklist and to determine the extent to which peer reviewers and editors comment on the quality of reporting of treatments.

Design: Cross-sectional study.

Setting: Trials published in the BMJ, a general medical journal.

**Participants:** 51 trials published in the BMJ were independently evaluated by two raters using the checklist. Reviewers' and editors' comments were also assessed for statements on treatment descriptions.

**Primary and secondary outcome measures:** Proportion of trials rated as replicable (primary outcome).

Results: For 57% (29/51) of the papers, published treatment descriptions were not considered sufficient to allow replication. Most poorly described aspects were the actual procedures involved including the sequencing of the technique (what happened and when) and the physical or informational materials used (e.g. training materials): 53% and 43% not clear, respectively. For a third of treatments, the dose/duration of individual sessions was not clear and for a quarter the schedule (interval, frequency, duration, or timing) was not clear. Although the majority of problems were not picked up by reviewers and editors, when they were detected only about twothirds were fixed before publication.

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upu Conclusions: Journals wanting to publish research of use to practicing health care professionals need to pay more attention to descriptions of treatments. Our checklist, may be useful for reviewers, and editors and could help ensure important details of treatments are provided before papers are in the public domain. 

## ARTICLE SUMMARY

## Article focus

- For clinicians applying treatments, or researchers wishing to replicate or extend research findings, adequate treatment descriptions in publications are vital. • We document the adequacy of reporting of different elements of
  - descriptions of treatments in RCTs published in the BMJ; we determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

### Key messages

- The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, and for other researchers to replicate, or build on, the findings in future studies.
- Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about twothirds were fixed before publication.
- The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients.

### Strengths and limitations of this study

• This study systematically assesses the quality of descriptions of interventions in a general medical journal and reports on whether reviewers and editors detect and fix problems with the descriptions of treatments in trials.

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13	publish high quality research so it is likely that the situation
14 15	is worse for less influential lower impact factor journals with
16	fewer resources.
17	We used two raters who were both academic general practitioners
18	to assess the manuscripts. However, none of the papers in this
19 20	study described treatments that our raters found too specialised
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#### INTRODUCTION

Before dissemination, innovations in treatment require two things: (i) valid research that demonstrates the treatment's effectiveness and (ii) a description of the treatment procedure sufficient to allow clinicians and others to apply the treatment in practice. Both elements require adequate reporting. The Consolidated Standards for Reporting Trials (CONSORT) statement on reporting randomised controlled trials (RCTs) [1] was developed to help authors and editors improve the reporting of RCTs and has been widely accepted. It has been influential in improving the quality of reporting trials' methods and results.[2] However, less attention has been given to the second element: the description of the treatment being tested. For clinicians applying treatments, or researchers who wish to replicate or extend the findings, adequate treatment descriptions are vital. Treatments vary considerably in their complexity. At one end of the extreme are simple drug trials with fixed dose drugs requiring only specification of the chemical entity, dose, frequency and duration of treatment. However, even drug treatments can require more detail if treatment requires titration, monitoring, complex delivery systems, or co-treatments. Non-drug treatments require these same elements, but a physical, educational, or psychological procedure - equivalent, of the chemical entity - is often far more complex. At the other extreme are multi-stage surgical procedures that may not be codifiable and require training in the institution that developed the procedure. Between these extremes are educational treatments, physical treatments such as physiotherapy, and psychological treatments. 

Two of CONSORT's 22 items are directly relevant to clinicians wishing to apply treatments: the eligibility criteria for participants and the settings and locations where the data were collected (item 3), and precise details of the treatments intended for each group and how and when they were actually administered (item 4). Yet previous work has

suggested this may be insufficient to guide an adequate treatment description.[3-5] Table 1 shows previous studies findings on inadequate reporting of specific aspects of trial interventions within a range of treatment areas; for example, an evaluation of trials complying with item 4 of the CONSORT statement.[3-10]. Some attempts have been made to develop detailed specifications in some treatment areas. For example, Davidson et al [11] have outlined the minimal treatment detail to be described in research reports in behavioural medicine. In a similar vein, specific reporting checklists are being developed for some types of treatments such as herbal treatments[12] and homeopathy [13], which often require additional treatment details. None of these studies have systematically assessed the quality of descriptions of a series of interventions in a general medical journal using a checklist. 

The purpose of our study was (i) to document the adequacy of reporting of the different elements of descriptions of treatments in RCTs published over one year in a <u>large</u> general medical journal (the BMJ); (ii) to determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and (iii) to develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

### METHODS

### Setting

We conducted the research in 2007 at the BMJ, a general medical journal, where we had access to all backmatter associated with journal submissions. The BMJ publishes research on a wide range of clinical topics.

## Development, refining and piloting of the checklist

Based on the work of Davidson et al[11], the CONSORT statement[1] and our own analysis of poorly reported trials abstracted in the journal Evidence Based Medicine[10], we designed an initial checklist of the minimal details that should be included in a description of a treatment in an RCT. We piloted this on the first 10 papers and then, based on problems identified, revised the checklist. The first 10 papers were then reevaluated with the revised checklist. The revised checklist (Box 1) included the following seven aspects: a description of where the treatment was delivered (setting); who delivered the treatment (provider); who received the treatment (recipient); details of the procedure including the sequencing of the technique (procedure); a description of the physical or informational materials used (materials); the dose/duration of individual sessions of the treatment (intensity); and the scheduling i.e. the interval, frequency, duration, or timing of the treatment (schedule). Raters also completed an additional subjective global item to indicate whether the treatment was sufficiently described for them to replicate it if there were no resource or training constraints (no constraints).

### Evaluation of published descriptions

We reviewed the given study design of all research papers published in the BMJ in <u>a single year</u>, 2006, and selected all RCTs for possible inclusion. Papers presenting only follow-up data or longer term

outcomes of a previously published trial were subsequently excluded as details of the intervention may previously have been reported. The full length version of the published papers was then independently evaluated by two raters (PG and CH) for the clarity of reporting of key features of the intervention using our checklist. Our use of the term intervention refers to "the process of intervening on people, groups, entities or objects in an experimental study"[14]. We did not evaluate the clarity of reporting of the treatment received by the control group. Both raters were blind to comments from editors and reviewers. Raters then discussed the results in person and disagreements were resolved through consensus discussion supervised by SS. 

## Evaluation of the review process

All back history (reviewers' comments and editors' notes) for the papers were obtained by SS from the BMJ's electronic manuscript tracking system. SS collated all statements given on the clarity of the reporting of the treatment for each manuscript and anonymised the comments. SS then categorised the deficiencies using our checklist. PG then assessed whether the specified deficiencies had been addressed in the final published version.

### RESULTS

We included 51 RCTs published in the BMJ in 2006. These papers described studies with a wide range of settings and treatments. 21 (41%) involved the administration of a drug either alone or in addition to another therapy.

### Replicability

Overall, assuming no resource or training constraints, both raters reported that 57% (29/51) of the treatments could not be replicated based on the description of the treatment as published. Studies of drug treatments were better described than non-drug treatments: 7/21 (33%) of drug treatments were considered non-replicable in comparison with 22/30 (73%) non-drug treatments.

### Type of problems identified in published versions

We identified 99 problems with the descriptions of the interventions in the published versions. For each checklist item the proportion of <u>trials with</u> adequately described features ranged from 47% to 94% (Figure 1). The most poorly described aspects of the treatment were the actual procedures involved including the sequencing of the technique - what happened and when (53% not clear), and the physical or informational materials used e.g. training materials (43% not clear). Aspects of the treatment better described included a description of where the treatment was delivered (94% clear). For a third of the treatments described, the dose, duration, or both of individual sessions of the treatment were not clear and for around a quarter the schedule (interval, frequency, duration, or timing) of the treatment was not clear.

#### Problems identified prior to publication

During the pre-publication phase of the manuscripts, the reviewers, editors and editorial advisors reported 43 problems with the

descriptions of the interventions. Most comments focused on the need for clarification of the sequencing of the technique described (procedure) and the patient group under study (recipient). Thirty three percent (14/43) of these problems were not fully fixed by the time the paper was published (as assessed by our raters) (Figure 2). Where reviewers and editors identified problems with descriptions of the setting, the provider, the materials, and the schedule, these were improved by the time of publication. Problems that were not corrected largely concerned the descriptions of the procedures of the treatments i.e. it was not clear what happened and when. Table 2 shows the 14 whic. problems identified at pre-publication which were not sufficiently remedied in the published version. 

### DISCUSSION

The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, or for other researchers to replicate, or build on, the findings in future studies. Many problems were easily rectifiable, such as clearer reporting on the sequencing of techniques, actual doses/durations of treatments and their scheduling. Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.

Our findings are consistent with our earlier analysis of 80 RCTs and systematic reviews published in the journal Evidence Based Medicine where approximately a half (51%) had an "inadequate" description of the treatment[10]. Evidence Based Medicine abstracts journals in a range of specialties and the similarity in results suggests that the results in this study are valid. Unlike, this study, our previous study did not quantitatively document the types of problems with the treatments described but focused on a global assessment of the replicability of the treatment and whether authors could provide the missing details when asked to do so. The current study went further than our earlier study in that it reports the frequency of poor reporting of specific aspects of trial interventions.[10]

Our study has several limitations. Firstly, we included only RCTs from a single year in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources. The BMJ strives to publish papers to "help doctors make better decisions" and is very aware of the importance of good scientific reporting of

research. As such it may pay more attention to reporting issues than other journals. We found that the BMJ reported these aspects of interventions poorly and this suggests that the situation may well be worse for other journals. Secondly, we evaluated RCTs published in 2006 and it is possible that there have been improvements in reporting, given the wider use of the internet and web appendices in recent years. Further research would be needed to test this. Thirdly, we used only two raters who were both academic general practitioners to assess the manuscripts some of which could have described treatments they were not familiar with. However, all RCTs published in the BMJ describe treatments that should be familiar to general practitioners, as it targets a general medical readership. None of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded. Our raters were also experienced academics interested in improving the reporting quality of trials and as such the results may represent the best case scenario. Finally, we did not try to separately assess planned versus actual treatment, which may sometimes differ substantially and require specific description[21]. 

We identified a few other previous studies which have examined the adequacy of treatment descriptions (Table 1). Most of the studies listed in Table 1 are likely to have reported overestimates of replicability as only one asked whether there was sufficient information to allow replication[10]. In developing summaries for systematic reviews of back pain, Glenton and colleagues[6] found sufficient detail "about what the treatment involved" for patients in only 3 of 24 (13%) treatments, and used 32 other sources to obtain details for the other 21 treatments. Similarly a review[7] of 29 guideline implementation studies found that the majority lacked details of how the intervention was carried out, e.g., only 7 (24%) supplied details of timing. Three other studies simply checked the

fourth CONSORT item[3-5]. Similar problems have been identified in other areas. In a recent survey[15] of 93 publications with novel questionnaires in JAMA, NEJM, and BMJ, four printed the questionnaire in the article, three provided online access, but authors failed to provide questionnaires for 37 of 81 (46%) studies. For some clinical domains, improving the descriptions of treatments may require additional work to standardise and document the procedures prior to clinical trials[16].

Similar to many journals, BMJ authors are requested to complete the CONSORT statement when submitting a paper describing an RCT, but are not specifically asked to describe their interventions in detail. BMJ reviewers are not routinely instructed to comment on the replicability of treatments described in papers, but are instructed to check the CONSORT statement provided by the author. However, item 4 in the CONSORT statement appears insufficient to guide authors and reviewers in all the elements needed, and CONSORT have, so far, added three intervention extensions (non-pharmacological, herbal, and acupuncture - www.consort-statement.org/extensions/), but these overlap, and a generic checklist with supplementary lists is needed.

Medical journals often send papers to reviewers who are practicing clinicians in the area of interest and some may choose to comment on the reporting details of the treatment. However, the limitations of peer review are well documented[17-20]. In our study, peer reviewers infrequently commented on inadequate reporting of trial details. Insufficient instructions and guidance to reviewers and lack of training may compound the problem. However, even when some limitations were identified by reviewers at the pre-publication stage they were not always remedied in the published version.

The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients. Though not surprising, the lower rates of adequate description of non-drug alternatives is unfortunate given the rapid growth of the pharmaceuticals budget, and the potential for non-drug therapies for alternative treatments. Funders, authors, journals, and research users should all be concerned with this problem and work together to improve the situation [21]. Journals that want to publish high quality research of use to practicing health care professionals need to pay attention to adequate descriptions of treatments. One element of any solution should be a simple checklist, such as the generic one we have developed, or specific checklists such as the CONSORT interventions extensions (http://www.consort-statement.org/extensions/). Such checklists may be useful for authors, peer reviewers, and editors to help ensure that important details of treatments are provided before the paper is published and in the public domain. However, the effectiveness of such checklists needs to be further evaluated. Ideally the full intervention description should be published with the primary article, but this often is not feasible, e.g, with manual procedures or extensive training materials. Finally, Since describing such study materials could add significantly to the length of papers we suggest that editors encourage the use of webextras and/or links to study materials on authors' or funders' institutional websites; these should be checked for availability at the time of publication, since researchers may retire, move, or for other reasons not respond after publication. 

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Acknowledgements

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We thank the BMJ Group for SS's time on this project.

### Contributorship

SS had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. No other staff at the BMJ were involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript. SS, PG and CH designed the study; PG and CH rated the manuscripts for replicability; SS analysed the manuscripts' backmatter; SS analysed the data; SS, PG and CH wrote the manuscript.

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### Competing interests

SS is employed full time by BMJ Group.

### Ethics

We did not seek ethics committee approval for this study as it mainly involved the evaluation of published manuscripts in the public domain. Only SS had access to named reviewers' and editors' comments but is a full time employee of the BMJ Publishing Group and regularly reads such material as part of her job. On submitting to the BMJ, prospective authors are informed that their paper may be enrolled in a

research study as part of improving the peer review process and are given the opportunity to opt out of this.

## Data sharing

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Table 1: Previous studies of adequacy of descriptions of treatments in trials.

7 8 9	Clinical Issue	Number of Trials	Number (%) Replicable	Methods of deciding replicability
10 11 12	Weight loss interventions[3]	63	62 (98%)	Compliance with item 4 of CONSORT statement*
13 14	Treatments of brain tumours[4]	74	68 (92%)	Compliance with item 4 of CONSORT statement*
15 16 17	Treatments of Hodgkin's lymphoma[5]	241	231 (96%)	Compliance with item 4 of CONSORT statement*
18	Back pain[6]	24	3 (13%)	Sufficient information on what happens before, during, and after treatment
19 20 21 22 23 24 25 26	Implementation of Guidelines[7]	29	< 7 (16%)	Assessed 6 elements: flexibility, timing, content, medium, deliverer, receiver. There is not an overall adequacy rating, but none was 100% and only 7/29 gave timing
	Insulin initiation in Type 2 diabetes[8]	14	3 (21%)	Providing both starting dose and titration regime.
27 28 29 30	Surgical Procedures intended[9]	158	138 (87%)	Only required that "some" detail was provided, not sufficient for replication; 41% also provided some detail on actual surgery administered.
31 32 33 34 35	Range of topics published in Evidence Based Medicine Journal[10]	55	36 (65%)	Two general practitioners were independently asked whether they could use this treatment with a patient if they saw them tomorrow

\* Item 4 is: "Precise details of the treatments intended for each group and how and when they were actually administered"

recented in Fox 1. Figure 1: Elements of interventions - percentage clearly described.

Note: Each element is fully described in Box 1.

  Figure 2: Papers where editors' or reviewers' identified a problem (pre-publication), and whether it remained at post-publication.

fully described .... Note: Each element is fully described in Box 1.

## Table 2: Examples of problems identified at pre-publication and not fixed by time of

publication.

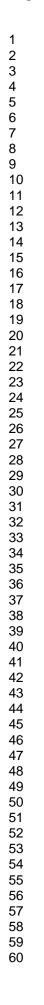
8 9 10	Paper title	Type of problem identified at pre- and post- publication	Nature of the problem
11 12	Partner notification of chlamydia infection in primary care: randomised controlled trial and analysis of resource use	Procedure	Not clear exactly what was done and when
13 14	Didgeridoo playing as alternative treatment for obstructive sleep apnoea syndrome: randomised controlled trial	Intensity	Description of didgeridoo practice times not clear
15	Treatment of low back pain by acupressure and physical therapy: randomised controlled trial	Procedure	Can't tell how personalised the treatment was - who had what done and when
16 17 18	Effect of enhanced psychosocial care on antipsychotic use in nursing home residents with severe dementia: cluster randomised trial	Procedure	Complex intervention and what was received and when for both groups is unclear.
19 20	Effect of patient completed agenda forms and doctors' education about the agenda on the outcome of consultations: randomised controlled trial	Recipient	Recipient of intervention unclear
21 22 23	Effect of telephone contact on further suicide attempts in patients discharged from an emergency department: randomised controlled study	Procedure	More detail needed on the content and duration of the phone calls ie effort involved to enhance compliance
24 25	Effective control of dengue vectors with curtains and water container covers treated with insecticide in Mexico and Venezuela: cluster randomised trials	Procedure	Not clear why all the houses did not get nets and what they actually received
26 27 28	Randomised controlled trial of four commercial weight loss programmes in the UK: initial findings from the BBC "diet trials"	Procedure	Not enough detail of the content of the programmes or time involved
20 29 30	A randomised controlled trial of management strategies for acute infective conjunctivitis in general practice	Recipient	Recipients poorly described re conjunctivitis inclusion/exclusion criteria
31 32 33	Effectiveness of telephone counselling by a pharmacist in reducing mortality in patients receiving polypharmacy: randomised controlled trial	Procedure	Not clear exactly what the pharmacists said or did. It must have been more than just a reminder phonecall.
33 34 35	Telephone administered cognitive behaviour therapy for treatment of obsessive compulsive disorder: randomised controlled non-inferiority trial	Procedure	The actual therapy provided is only very briefly described
36 37	Mobilisation with movement and exercise, corticosteroid injection, or wait and see for tennis elbow: randomised trial	Procedure	Not clear what the physiotherapy actually involved
38 39 40	Effectiveness of community physiotherapy and enhanced pharmacy review for knee pain in people aged over 55 presenting to primary care: pragmatic randomised trial	Procedure	Not clear what happened and when. Content of pharmacist sessions unclear.
41	24		NB: Not fully described due to space

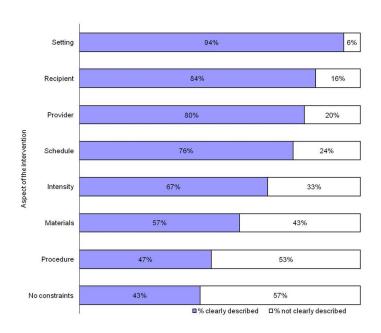
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8	risk social networks of young Roma (Gypsy) men in Bulgaria: randomised controlled trial		controls received not clear - need to know the details of the intervention
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# Box 1: Interventions checklist

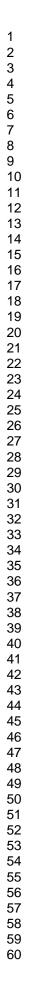
Is it clear who is receiving the intervention?	🗌 Yes 🔲 No
Is it clear who delivered the intervention?	🗌 Yes 🔲 No
Is the <b>procedure</b> (including the sequencing of the technique) of the intervention sufficiently clear to allow replication?	🗌 Yes 🔲 No
Are the <b>physical or informational materials</b> used adequately described?	🗌 Yes 🔲 No
Is the <b>dose/duration of individual sessions</b> of the intervention clear?	🗌 Yes 🔲 No
Is the <b>schedule (interval, frequency, duration, or timing)</b> of the intervention clear?	🗌 Yes 🔲 No
Is there anything else <b>missing</b> from the description of the intervention?	Yes 🗌 No
If yes, what? ———	
	Is the <b>procedure</b> (including the sequencing of the technique) of the intervention sufficiently clear to allow replication? Are the <b>physical or informational materials</b> used adequately described? Is the <b>dose/duration of individual sessions</b> of the intervention clear? Is the <b>schedule (interval, frequency, duration, or timing)</b> of the intervention clear? Is there anything else <b>missing</b> from the description of the intervention?

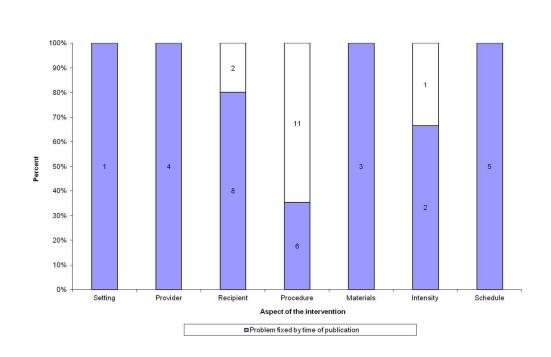
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# Quality of descriptions of treatments: A review of published randomised controlled trials

Journal:	BMJ Open
Manuscript ID:	bmjopen-2012-001978.R2
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Date Submitted by the Author:	23-Oct-2012
Complete List of Authors:	Schroter, Sara; BMJ Editorial, Glasziou, Paul; Oxford University, Department of Primary Care, Heneghan, Carl; Oxford University, Department of Primary Care,
<b>Primary Subject Heading</b> :	Medical publishing and peer review
Secondary Subject Heading:	Communication, Evidence based practice
Keywords:	GENERAL MEDICINE (see Internal Medicine), Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, JOURNALISM (see Medical Journalism)



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16	Sara Schroter (PhD), <sup>1,2</sup> Paul Glasziou (PhD), <sup>2</sup> Carl Heneghan (MRCGP) <sup>2</sup>
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20 21	1. BMJ Editorial, BMA House, Tavistock Square, London WC1H 9JR, UK
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26	Oxford University, Old Road Campus, Headington, Oxford, OX3 7LF,
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#### ABSTRACT

**Objectives:** To be useable in clinical practice, treatments studied in trials must provide sufficient information to enable clinicians and researchers to replicate. We sought to assess the completeness of treatment descriptions in published randomised controlled trials using a checklist and to determine the extent to which peer reviewers and editors comment on the quality of reporting of treatments.

Design: Cross-sectional study.

Setting: Trials published in the BMJ, a general medical journal.

**Participants:** 51 trials published in the BMJ were independently evaluated by two raters using the checklist. Reviewers' and editors' comments were also assessed for statements on treatment descriptions.

**Primary and secondary outcome measures:** Proportion of trials rated as replicable (primary outcome).

Results: For 57% (29/51) of the papers, published treatment descriptions were not considered sufficient to allow replication. Most poorly described aspects were the actual procedures involved including the sequencing of the technique (what happened and when) and the physical or informational materials used (e.g. training materials): 53% and 43% not clear, respectively. For a third of treatments, the dose/duration of individual sessions was not clear and for a quarter the schedule (interval, frequency, duration, or timing) was not clear. Although the majority of problems were not picked up by reviewers and editors, when they were detected only about twothirds were fixed before publication.

Conclusions: Journals wanting to publish research of use to practicing health care professionals need to pay more attention to descriptions of treatments. Our checklist, may be useful for reviewers, and editors and could help ensure important details of treatments are provided before papers are in the public domain. 

## ARTICLE SUMMARY

## Article focus

- For clinicians applying treatments, or researchers wishing to replicate or extend research findings, adequate treatment descriptions in publications are vital.
- We document the adequacy of reporting of different elements of descriptions of treatments in RCTs published in the BMJ; we determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

## Key messages

- The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, and for other researchers to replicate, or build on, the findings in future studies.
- Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.
- The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients.

## Strengths and limitations of this study

• This study systematically assesses the quality of descriptions of interventions in a general medical journal and reports on whether reviewers and editors detect and fix problems with the descriptions of treatments in trials.

We included only RCTs in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources.
We used two raters who were both academic general practitioners to assess the manuscripts. However, none of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded.

#### INTRODUCTION

Before dissemination, innovations in treatment require two things: (i) valid research that demonstrates the treatment's effectiveness and (ii) a description of the treatment procedure sufficient to allow clinicians and others to apply the treatment in practice. Both elements require adequate reporting. The Consolidated Standards for Reporting Trials (CONSORT) statement on reporting randomised controlled trials (RCTs) [1] was developed to help authors and editors improve the reporting of RCTs and has been widely accepted. It has been influential in improving the quality of reporting trials' methods and results.[2] However, less attention has been given to the second element: the description of the treatment being tested. For clinicians applying treatments, or researchers who wish to replicate or extend the findings, adequate treatment descriptions are vital. Treatments vary considerably in their complexity. At one end of the extreme are simple drug trials with fixed dose drugs requiring only specification of the chemical entity, dose, frequency and duration of treatment. However, even drug treatments can require more detail if treatment requires titration, monitoring, complex delivery systems, or co-treatments. Non-drug treatments require these same elements, but a physical, educational, or psychological procedure - equivalent, of the chemical entity - is often far more complex. At the other extreme are multi-stage surgical procedures that may not be codifiable and require training in the institution that developed the procedure. Between these extremes are educational treatments, physical treatments such as physiotherapy, and psychological treatments. 

Two of CONSORT's 22 items are directly relevant to clinicians wishing to apply treatments: the eligibility criteria for participants and the settings and locations where the data were collected (item 3), and precise details of the treatments intended for each group and how and when they were actually administered (item 4). Yet previous work has

suggested this may be insufficient to guide an adequate treatment description.[3-5] Table 1 shows previous studies findings on inadequate reporting of specific aspects of trial interventions within a range of treatment areas; for example, an evaluation of trials complying with item 4 of the CONSORT statement.[3-10]. Some attempts have been made to develop detailed specifications in some treatment areas. For example, Davidson et al [11] have outlined the minimal treatment detail to be described in research reports in behavioural medicine. In a similar vein, specific reporting checklists are being developed for some types of treatments such as herbal treatments[12] and homeopathy [13], which often require additional treatment details. None of these studies have systematically assessed the quality of descriptions of a series of interventions in a general medical journal using a checklist. 

The purpose of our study was (i) to document the adequacy of reporting of the different elements of descriptions of treatments in RCTs published over one year in a <u>large</u> general medical journal (the BMJ); (ii) to determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and (iii) to develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

#### METHODS

#### Setting

> We conducted the research in 2007 at the BMJ, a general medical journal, where we had access to all backmatter associated with journal submissions. The BMJ publishes research on a wide range of clinical topics.

## Development, refining and piloting of the checklist

Based on the work of Davidson et al[11], the CONSORT statement[1] and our own analysis of poorly reported trials abstracted in the journal Evidence Based Medicine [10], we designed an initial checklist of the minimal details that should be included in a description of a treatment in an RCT. We piloted this on the first 10 papers and then, based on problems identified, revised the checklist. The first 10 papers were then reevaluated with the revised checklist. The revised checklist (Box 1) included the following seven aspects: a description of where the treatment was delivered (setting); who delivered the treatment (provider); who received the treatment (recipient); details of the procedure including the sequencing of the technique (procedure); a description of the physical or informational materials used (materials); the dose/duration of individual sessions of the treatment (intensity); and the scheduling i.e. the interval, frequency, duration, or timing of the treatment (schedule). Raters also completed an additional subjective global item to indicate whether the treatment was sufficiently described for them to replicate it if there were no resource or training constraints (no constraints).

#### Evaluation of published descriptions

We reviewed the given study design of all research papers published in the BMJ in <u>a single year</u>, 2006, and selected all RCTs for possible inclusion. Papers presenting only follow-up data or longer term

outcomes of a previously published trial were subsequently excluded as details of the intervention may previously have been reported. The full length version of the published papers was then independently evaluated by two raters (PG and CH) for the clarity of reporting of key features of the intervention using our checklist. Our use of the term intervention refers to "the process of intervening on people, groups, entities or objects in an experimental study"[14]. We did not evaluate the clarity of reporting of the treatment received by the control group. Both raters were blind to comments from editors and reviewers. Raters then discussed the results in person and disagreements were resolved through consensus discussion supervised by SS. 

## Evaluation of the review process

All back history (reviewers' comments and editors' notes) for the papers were obtained by SS from the BMJ's electronic manuscript tracking system. SS collated all statements given on the clarity of the reporting of the treatment for each manuscript and anonymised the comments. SS then categorised the deficiencies using our checklist. PG then assessed whether the specified deficiencies had been addressed in the final published version.

#### RESULTS

We included 51 RCTs published in the BMJ in 2006. These papers described studies with a wide range of settings and treatments. 21 (41%) involved the administration of a drug either alone or in addition to another therapy.

#### Replicability

Overall, assuming no resource or training constraints, both raters reported that 57% (29/51) of the treatments could not be replicated based on the description of the treatment as published. Studies of drug treatments were better described than non-drug treatments: 7/21 (33%) of drug treatments were considered non-replicable in comparison with 22/30 (73%) non-drug treatments.

#### Type of problems identified in published versions

We identified 99 problems, ranging in seriousness, with the descriptions of the interventions in the published versions. For each checklist item the proportion of trials with adequately described features ranged from 47% to 94% (Figure 1). The most poorly described aspects of the treatment were the actual procedures involved including the sequencing of the technique - what happened and when (53% not clear), and the physical or informational materials used e.g. training materials (43% not clear). Aspects of the treatment better described included a description of where the treatment was delivered (94% clear). For a third of the treatments described, the dose, duration, or both of individual sessions of the treatment were not clear and for around a quarter the schedule (interval, frequency, duration, or timing) of the treatment was not clear.

#### Problems identified prior to publication

During the pre-publication phase of the manuscripts, the reviewers, editors and editorial advisors reported 43 problems with the

descriptions of the interventions. Most comments focused on the need for clarification of the sequencing of the technique described (procedure) and the patient group under study (recipient). Thirty three percent (14/43) of these problems were not fully fixed by the time the paper was published (as assessed by our raters) (Figure 2). Where reviewers and editors identified problems with descriptions of the setting, the provider, the materials, and the schedule, these were improved by the time of publication. Problems that were not corrected largely concerned the descriptions of the procedures of the treatments i.e. it was not clear what happened and when. Table 2 shows the 14 whic. problems identified at pre-publication which were not sufficiently remedied in the published version. 

#### DISCUSSION

The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, or for other researchers to replicate, or build on, the findings in future studies. Many problems were easily rectifiable, such as clearer reporting on the sequencing of techniques, actual doses/durations of treatments and their scheduling. Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.

Our findings are consistent with our earlier analysis of 80 RCTs and systematic reviews published in the journal Evidence Based Medicine where approximately a half (51%) had an "inadequate" description of the treatment[10]. Evidence Based Medicine abstracts journals in a range of specialties and the similarity in results suggests that the results in this study are valid. Unlike, this study, our previous study did not quantitatively document the types of problems with the treatments described but focused on a global assessment of the replicability of the treatment and whether authors could provide the missing details when asked to do so. The current study went further than our earlier study in that it reports the frequency of poor reporting of specific aspects of trial interventions.[10]

Our study has several limitations. Firstly, we included only RCTs from a single year in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources. The BMJ strives to publish papers to "help doctors make better decisions" and is very aware of the importance of good scientific reporting of

research. As such it may pay more attention to reporting issues than other journals. We found that the BMJ reported these aspects of interventions poorly and this suggests that the situation may well be worse for other journals. Secondly, we evaluated RCTs published in 2006 and it is possible that there have been improvements in reporting, given the wider use of the internet and web appendices in recent years. Further research would be needed to test this. Thirdly, we used only two raters who were both academic general practitioners to assess the manuscripts some of which could have described treatments they were not familiar with. However, all RCTs published in the BMJ describe treatments that should be familiar to general practitioners, as it targets a general medical readership. None of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded. Our raters were also experienced academics interested in improving the reporting quality of trials and as such the results may represent the best case scenario. Finally, we did not try to separately assess planned versus actual treatment, which may sometimes differ substantially and require specific description[21]. 

We identified a few other previous studies which have examined the adequacy of treatment descriptions (Table 1). Most of the studies listed in Table 1 are likely to have reported overestimates of replicability as only one asked whether there was sufficient information to allow replication[10]. In developing summaries for systematic reviews of back pain, Glenton and colleagues[6] found sufficient detail "about what the treatment involved" for patients in only 3 of 24 (13%) treatments, and used 32 other sources to obtain details for the other 21 treatments. Similarly a review[7] of 29 guideline implementation studies found that the majority lacked details of how the intervention was carried out, e.g., only 7 (24%) supplied details of timing. Three other studies simply checked the

fourth CONSORT item[3-5]. Similar problems have been identified in other areas. In a recent survey[15] of 93 publications with novel questionnaires in JAMA, NEJM, and BMJ, four printed the questionnaire in the article, three provided online access, but authors failed to provide questionnaires for 37 of 81 (46%) studies. For some clinical domains, improving the descriptions of treatments may require additional work to standardise and document the procedures prior to clinical trials[16].

Similar to many journals, BMJ authors are requested to complete the CONSORT statement when submitting a paper describing an RCT, but are not specifically asked to describe their interventions in detail. BMJ reviewers are not routinely instructed to comment on the replicability of treatments described in papers, but are instructed to check the CONSORT statement provided by the author. However, item 4 in the CONSORT statement appears insufficient to guide authors and reviewers in all the elements needed, and CONSORT have, so far, added three intervention extensions (non-pharmacological, herbal, and acupuncture - www.consort-statement.org/extensions/), but these overlap, and a generic checklist with supplementary lists is needed<del>.</del>

Medical journals often send papers to reviewers who are practicing clinicians in the area of interest and some may choose to comment on the reporting details of the treatment. However, the limitations of peer review are well documented[17-20]. In our study, peer reviewers infrequently commented on inadequate reporting of trial details. Insufficient instructions and guidance to reviewers and lack of training may compound the problem. However, even when some limitations were identified by reviewers at the pre-publication stage they were not always remedied in the published version.

The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients. Though not surprising, the lower rates of adequate description of non-drug alternatives is unfortunate given the rapid growth of the pharmaceuticals budget, and the potential for non-drug therapies for alternative treatments. Funders, authors, journals, and research users should all be concerned with this problem and work together to improve the situation [21]. Journals that want to publish high quality research of use to practicing health care professionals need to pay attention to adequate descriptions of treatments. One element of any solution should be a simple checklist, such as the generic one we have developed, or specific checklists such as the CONSORT interventions extensions (http://www.consort-statement.org/extensions/). Such checklists may be useful for authors, peer reviewers, and editors to help ensure that important details of treatments are provided before the paper is published and in the public domain. However, the effectiveness of such checklists needs to be further evaluated. Ideally the full intervention description should be published with the primary article, but this often is not feasible, e.g, with manual procedures or extensive training materials. Finally, Since describing such study materials could add significantly to the length of papers we suggest that editors encourage the use of webextras and/or links to study materials on authors' or funders' institutional websites; these should be checked for availability at the time of publication, since researchers may retire, move, or for other reasons not respond after publication. 

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We thank the BMJ Group for SS's time on this project.

## Contributorship

SS had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. No other staff at the BMJ were involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript. SS, PG and CH designed the study; PG and CH rated the manuscripts for replicability; SS analysed the manuscripts' backmatter; SS analysed the data; SS, PG and CH wrote the manuscript.

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#### Competing interests

SS is employed full time by BMJ Group.

### Ethics

We did not seek ethics committee approval for this study as it mainly involved the evaluation of published manuscripts in the public domain. Only SS had access to named reviewers' and editors' comments but is a full time employee of the BMJ Publishing Group and regularly reads such material as part of her job. On submitting to the BMJ, prospective authors are informed that their paper may be enrolled in a

research study as part of improving the peer review process and are given the opportunity to opt out of this.

## Data sharing

ial along. tion of the i. a vailable as a c. The checklist responses for each trial alongside an indication of whether a problem with the description of the intervention was reported prior to publication are available as a dataset on Dryad: doi:10.5061/dryad.cljv0.

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Table 1: Previous studies of adequacy of descriptions of treatments in trials.

7 8 9	Clinical Issue	Number of Trials	Number (%) Replicable	Methods of deciding replicability
10 11 12	Weight loss interventions[3]	63	62 (98%)	Compliance with item 4 of CONSORT statement*
13 14	Treatments of brain tumours[4]	74	68 (92%)	Compliance with item 4 of CONSORT statement*
15 16 17	Treatments of Hodgkin's lymphoma[5]	241	231 (96%)	Compliance with item 4 of CONSORT statement*
18	Back pain[6]	24	3 (13%)	Sufficient information on what happens before, during, and after treatment
19 20 21 22 23 24 25 26	Implementation of Guidelines[7]	29	< 7 (16%)	Assessed 6 elements: flexibility, timing, content, medium, deliverer, receiver. There is not an overall adequacy rating, but none was 100% and only 7/29 gave timing
	Insulin initiation in Type 2 diabetes[8]	14	3 (21%)	Providing both starting dose and titration regime.
27 28 29 30	Surgical Procedures intended[9]	158	138 (87%)	Only required that "some" detail was provided, not sufficient for replication; 41% also provided some detail on actual surgery administered.
31 32 33 34 35	Range of topics published in Evidence Based Medicine Journal[10]	55	36 (65%)	Two general practitioners were independently asked whether they could use this treatment with a patient if they saw them tomorrow

\* Item 4 is: "Precise details of the treatments intended for each group and how and when they were actually administered"

recented in Fox 1. Figure 1: Elements of interventions - percentage clearly described.

Note: Each element is fully described in Box 1.

  Figure 2: Papers where editors' or reviewers' identified a problem (pre-publication), and whether it remained at post-publication.

fully described .... Note: Each element is fully described in Box 1.

# Table 2: Examples of problems identified at pre-publication and not fixed by time of

publication.

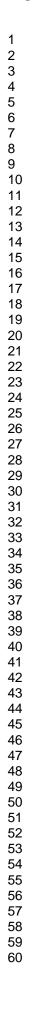
8 9 10	Paper title	Type of problem identified at pre- and post- publication	Nature of the problem
11 12	Partner notification of chlamydia infection in primary care: randomised controlled trial and analysis of resource use	Procedure	Not clear exactly what was done and when
13 14	Didgeridoo playing as alternative treatment for obstructive sleep apnoea syndrome: randomised controlled trial	Intensity	Description of didgeridoo practice times not clear
15	Treatment of low back pain by acupressure and physical therapy: randomised controlled trial	Procedure	Can't tell how personalised the treatment was - who had what done and when
16 17 18	Effect of enhanced psychosocial care on antipsychotic use in nursing home residents with severe dementia: cluster randomised trial	Procedure	Complex intervention and what was received and when for both groups is unclear.
19 20	Effect of patient completed agenda forms and doctors' education about the agenda on the outcome of consultations: randomised controlled trial	Recipient	Recipient of intervention unclear
21 22 23	Effect of telephone contact on further suicide attempts in patients discharged from an emergency department: randomised controlled study	Procedure	More detail needed on the content and duration of the phone calls ie effort involved to enhance compliance
24 25	Effective control of dengue vectors with curtains and water container covers treated with insecticide in Mexico and Venezuela: cluster randomised trials	Procedure	Not clear why all the houses did not get nets and what they actually received
26 27 28	Randomised controlled trial of four commercial weight loss programmes in the UK: initial findings from the BBC "diet trials"	Procedure	Not enough detail of the content of the programmes or time involved
20 29 30	A randomised controlled trial of management strategies for acute infective conjunctivitis in general practice	Recipient	Recipients poorly described re conjunctivitis inclusion/exclusion criteria
31 32 33	Effectiveness of telephone counselling by a pharmacist in reducing mortality in patients receiving polypharmacy: randomised controlled trial	Procedure	Not clear exactly what the pharmacists said or did. It must have been more than just a reminder phonecall.
33 34 35	Telephone administered cognitive behaviour therapy for treatment of obsessive compulsive disorder: randomised controlled non-inferiority trial	Procedure	The actual therapy provided is only very briefly described
36 37	Mobilisation with movement and exercise, corticosteroid injection, or wait and see for tennis elbow: randomised trial	Procedure	Not clear what the physiotherapy actually involved
38 39 40	Effectiveness of community physiotherapy and enhanced pharmacy review for knee pain in people aged over 55 presenting to primary care: pragmatic randomised trial	Procedure	Not clear what happened and when. Content of pharmacist sessions unclear.
41	24		NB: Not fully described due to space

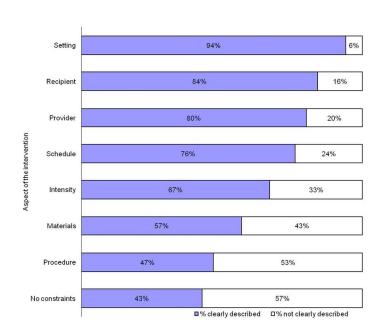
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7 8	Prevention of HIV and sexually transmitted diseases in high	Procedure	Intervention components versus what
9	risk social networks of young Roma (Gypsy) men in Bulgaria: randomised controlled trial		controls received not clear - need to know the details of the intervention
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# Box 1: Interventions checklist

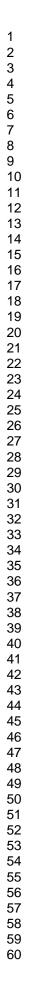
Provider       Is it clear who delivered the intervention?       Yes       No         Procedure       Is the procedure (including the sequencing of the technique) of the intervention sufficiently clear to allow replication?       Yes       No         Materials       Are the physical or informational materials used adequately described?       Yes       No         Intensity       Is the dose/duration of individual sessions of the intervention clear?       Yes       No	etting	Is it clear where the intervention was delivered?	🗌 Yes 🔲 No
Procedure       Is the procedure (including the sequencing of the technique) of the intervention sufficiently clear to allow replication?       Yes         Materials       Are the physical or informational materials used adequately described?       Yes       No         Intensity       Is the dose/duration of individual sessions of the intervention clear?       Yes       No         Schedule       Is the schedule (interval, frequency, duration, or timing) of the intervention clear?       Yes       No	ecipient	Is it clear who is receiving the intervention?	🗌 Yes 🔲 No
the intervention sufficiently clear to allow replication?         Materials       Are the physical or informational materials used adequately described?         Intensity       Is the dose/duration of individual sessions of the intervention clear?         Schedule       Is the schedule (interval, frequency, duration, or timing) of the intervention clear?	ovider	Is it clear who delivered the intervention?	🗌 Yes 🔲 No
described?         Intensity         Is the dose/duration of individual sessions of the intervention clear?         Schedule         Is the schedule (interval, frequency, duration, or timing) of the intervention clear?			🗌 Yes 🗌 No
Schedule       Is the schedule (interval, frequency, duration, or timing) of the intervention clear?			🗌 Yes 🔲 No
intervention clear?	loneng		🗌 Yes 🔲 No
Missing Is there anything else <b>missing</b> from the description of the			🗌 Yes 🔲 No
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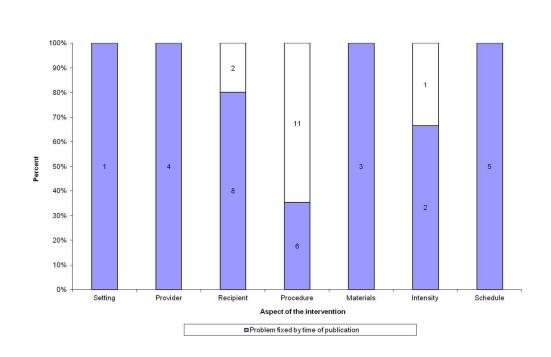
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	Quality of descript	cions of treatments:
A re	view of published rar	ndomised controlled trials
Sara Schi	oter (PhD), <sup>1,2</sup> Paul Glasz	ziou (PhD), <sup>2</sup> Carl Heneghan (MRCGP) <sup>2</sup>
1. BMJ Ed	itorial, BMA House, Tavi	stock Square, London WC1H 9JR, UK
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#### ABSTRACT

**Objectives:** To be useable in clinical practice, treatments studied in trials must provide sufficient information to enable clinicians and researchers to replicate. We sought to assess the completeness of treatment descriptions in published randomised controlled trials using a checklist and to determine the extent to which peer reviewers and editors comment on the quality of reporting of treatments.

Design: Cross-sectional study.

Setting: Trials published in the BMJ, a general medical journal.

**Participants:** 51 trials published in the BMJ were independently evaluated by two raters using the checklist. Reviewers' and editors' comments were also assessed for statements on treatment descriptions.

**Primary and secondary outcome measures:** Proportion of trials rated as replicable (primary outcome).

Results: For 57% (29/51) of the papers, published treatment descriptions were not considered sufficient to allow replication. Most poorly described aspects were the actual procedures involved including the sequencing of the technique (what happened and when) and the physical or informational materials used (e.g. training materials): 53% and 43% not clear, respectively. For a third of treatments, the dose/duration of individual sessions was not clear and for a quarter the schedule (interval, frequency, duration, or timing) was not clear. Although the majority of problems were not picked up by reviewers and editors, when they were detected only about twothirds were fixed before publication.

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a the public domain. Conclusions: Journals wanting to publish research of use to practicing health care professionals need to pay more attention to descriptions of treatments. Our checklist, may be useful for reviewers, and editors and could help ensure important details of treatments are provided before papers are in the public domain. 

## ARTICLE SUMMARY

## Article focus

- For clinicians applying treatments, or researchers wishing to replicate or extend research findings, adequate treatment descriptions in publications are vital.
  - We document the adequacy of reporting of different elements of descriptions of treatments in RCTs published in the BMJ; we determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

## Key messages

- The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, and for other researchers to replicate, or build on, the findings in future studies.
- Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.
- The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients.

## Strengths and limitations of this study

• This study systematically assesses the quality of descriptions of interventions in a general medical journal and reports on whether reviewers and editors detect and fix problems with the descriptions of treatments in trials.

- We included only RCTs in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources.
  We used two raters who were both academic general practitioners to assess the manuscripts. However, none of the papers in this
  - We used two raters who were both academic general practitioners to assess the manuscripts. However, none of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded.

#### INTRODUCTION

Before dissemination, innovations in treatment require two things: (i) valid research that demonstrates the treatment's effectiveness and (ii) a description of the treatment procedure sufficient to allow clinicians and others to apply the treatment in practice. Both elements require adequate reporting. The Consolidated Standards for Reporting Trials (CONSORT) statement on reporting randomised controlled trials (RCTs) [1] was developed to help authors and editors improve the reporting of RCTs and has been widely accepted. It has been influential in improving the quality of reporting trials' methods and results.[2] However, less attention has been given to the second element: the description of the treatment being tested. For clinicians applying treatments, or researchers who wish to replicate or extend the findings, adequate treatment descriptions are vital. Treatments vary considerably in their complexity. At one end of the extreme are simple drug trials with fixed dose drugs requiring only specification of the chemical entity, dose, frequency and duration of treatment. However, even drug treatments can require more detail if treatment requires titration, monitoring, complex delivery systems, or co-treatments. Non-drug treatments require these same elements, but a physical, educational, or psychological procedure - equivalent, of the chemical entity - is often far more complex. At the other extreme are multi-stage surgical procedures that may not be codifiable and require training in the institution that developed the procedure. Between these extremes are educational treatments, physical treatments such as physiotherapy, and psychological treatments.

Two of CONSORT's 22 items are directly relevant to clinicians wishing to apply treatments: the eligibility criteria for participants and the settings and locations where the data were collected (item 3), and precise details of the treatments intended for each group and how and when they were actually administered (item 4). Yet previous work has

suggested this may be insufficient to guide an adequate treatment description.[3-5] Table 1 shows previous studies findings on inadequate reporting of specific aspects of trial interventions within a range of treatment areas; for example, an evaluation of trials complying with item 4 of the CONSORT statement. [3-10]. Some attempts have been made to develop detailed specifications in some treatment areas. For example, Davidson et al [11] have outlined the minimal treatment detail to be described in research reports in behavioural medicine. In a similar vein, specific reporting checklists are being developed for some types of treatments such as herbal treatments[12] and homeopathy [13], which often require additional treatment details. None of these studies have systematically assessed the quality of descriptions of a series of interventions in a general medical journal using a checklist. 

The purpose of our study was (i) to document the adequacy of reporting of the different elements of descriptions of treatments in RCTs published over one year in a <u>large</u> general medical journal (the BMJ); (ii) to determine the extent to which peer reviewers and editors comment on the adequacy of reporting of treatments, and correct these during the review process; and (iii) to develop a simple checklist for use by editors and reviewers to enhance the reporting quality of published interventions.

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#### METHODS

#### Setting

We conducted the research in 2007 at the BMJ, a general medical journal, where we had access to all backmatter associated with journal submissions. The BMJ publishes research on a wide range of clinical topics.

## Development, refining and piloting of the checklist

Based on the work of Davidson et al[11], the CONSORT statement[1] and our own analysis of poorly reported trials abstracted in the journal Evidence Based Medicine [10], we designed an initial checklist of the minimal details that should be included in a description of a treatment in an RCT. We piloted this on the first 10 papers and then, based on problems identified, revised the checklist. The first 10 papers were then reevaluated with the revised checklist. The revised checklist (Box 1) included the following seven aspects: a description of where the treatment was delivered (setting); who delivered the treatment (provider); who received the treatment (recipient); details of the procedure including the sequencing of the technique (procedure); a description of the physical or informational materials used (materials); the dose/duration of individual sessions of the treatment (intensity); and the scheduling i.e. the interval, frequency, duration, or timing of the treatment (schedule). Raters also completed an additional subjective global item to indicate whether the treatment was sufficiently described for them to replicate it if there were no resource or training constraints (no constraints).

#### Evaluation of published descriptions

We reviewed the given study design of all research papers published in the BMJ in <u>a single year</u>, 2006, and selected all RCTs for possible inclusion. Papers presenting only follow-up data or longer term

outcomes of a previously published trial were subsequently excluded as details of the intervention may previously have been reported. The full length version of the published papers was then independently evaluated by two raters (PG and CH) for the clarity of reporting of key features of the intervention using our checklist. Our use of the term intervention refers to "the process of intervening on people, groups, entities or objects in an experimental study"[14]. We did not evaluate the clarity of reporting of the treatment received by the control group. Both raters were blind to comments from editors and reviewers. Raters then discussed the results in person and disagreements were resolved through consensus discussion supervised by SS. 

## Evaluation of the review process

All back history (reviewers' comments and editors' notes) for the papers were obtained by SS from the BMJ's electronic manuscript tracking system. SS collated all statements given on the clarity of the reporting of the treatment for each manuscript and anonymised the comments. SS then categorised the deficiencies using our checklist. PG then assessed whether the specified deficiencies had been addressed in the final published version.

#### RESULTS

We included 51 RCTs published in the BMJ in 2006. These papers described studies with a wide range of settings and treatments. 21 (41%) involved the administration of a drug either alone or in addition to another therapy.

#### Replicability

Overall, assuming no resource or training constraints, both raters reported that 57% (29/51) of the treatments could not be replicated based on the description of the treatment as published. Studies of drug treatments were better described than non-drug treatments: 7/21 (33%) of drug treatments were considered non-replicable in comparison with 22/30 (73%) non-drug treatments.

#### Type of problems identified in published versions

We identified 99 problems, ranging in seriousness, with the descriptions of the interventions in the published versions. For each checklist item the proportion of trials with adequately described features ranged from 47% to 94% (Figure 1). The most poorly described aspects of the treatment were the actual procedures involved including the sequencing of the technique - what happened and when (53% not clear), and the physical or informational materials used e.g. training materials (43% not clear). Aspects of the treatment better described included a description of where the treatment was delivered (94% clear). For a third of the treatments described, the dose, duration, or both of individual sessions of the treatment were not clear and for around a quarter the schedule (interval, frequency, duration, or timing) of the treatment was not clear.

#### Problems identified prior to publication

During the pre-publication phase of the manuscripts, the reviewers, editors and editorial advisors reported 43 problems with the

descriptions of the interventions. Most comments focused on the need for clarification of the sequencing of the technique described (procedure) and the patient group under study (recipient). Thirty three percent (14/43) of these problems were not fully fixed by the time the paper was published (as assessed by our raters) (Figure 2). Where reviewers and editors identified problems with descriptions of the setting, the provider, the materials, and the schedule, these were improved by the time of publication. Problems that were not corrected largely concerned the descriptions of the procedures of the treatments i.e. it was not clear what happened and when. Table 2 shows the 14 which problems identified at pre-publication which were not sufficiently remedied in the published version. 

#### DISCUSSION

The majority of published trials in our study lacked important details describing the treatment. These details would be required for health care professionals to undertake these treatments in practice, or for other researchers to replicate, or build on, the findings in future studies. Many problems were easily rectifiable, such as clearer reporting on the sequencing of techniques, actual doses/durations of treatments and their scheduling. Although the majority of problems were not picked up by peer reviewers and editors, when they were detected only about two-thirds were fixed before publication.

Our findings are consistent with our earlier analysis of 80 RCTs and systematic reviews published in the journal Evidence Based Medicine where approximately a half (51%) had an "inadequate" description of the treatment[10]. Evidence Based Medicine abstracts journals in a range of specialties and the similarity in results suggests that the results in this study are valid. Unlike, this study, our previous study did not quantitatively document the types of problems with the treatments described but focused on a global assessment of the replicability of the treatment and whether authors could provide the missing details when asked to do so. The current study went further than our earlier study in that it reports the frequency of poor reporting of specific aspects of trial interventions.[10]

Our study has several limitations. Firstly, we included only RCTs from a single year in one general medical journal and the results may not be generalisable to other journals. However, the BMJ has a lengthy review process and is generally considered to publish high quality research so it is likely that the situation is worse for less influential lower impact factor journals with fewer resources. The BMJ strives to publish papers to "help doctors make better decisions" and is very aware of the importance of good scientific reporting of

research. As such it may pay more attention to reporting issues than other journals. We found that the BMJ reported these aspects of interventions poorly and this suggests that the situation may well be worse for other journals. Secondly, we evaluated RCTs published in 2006 and it is possible that there have been improvements in reporting, given the wider use of the internet and web appendices in recent years. Further research would be needed to test this. Thirdly, we used only two raters who were both academic general practitioners to assess the manuscripts some of which could have described treatments they were not familiar with. However, all RCTs published in the BMJ describe treatments that should be familiar to general practitioners, as it targets a general medical readership. None of the papers in this study described treatments that our raters found too specialised to evaluate so none were excluded. Our raters were also experienced academics interested in improving the reporting quality of trials and as such the results may represent the best case scenario. Finally, we did not try to separately assess planned versus actual treatment, which may sometimes differ substantially and require specific description[21]. 

We identified a few other previous studies which have examined the adequacy of treatment descriptions (Table 1). Most of the studies listed in Table 1 are likely to have reported overestimates of replicability as only one asked whether there was sufficient information to allow replication[10]. In developing summaries for systematic reviews of back pain, Glenton and colleagues[6] found sufficient detail "about what the treatment involved" for patients in only 3 of 24 (13%) treatments, and used 32 other sources to obtain details for the other 21 treatments. Similarly a review[7] of 29 guideline implementation studies found that the majority lacked details of how the intervention was carried out, e.g., only 7 (24%) supplied details of timing. Three other studies simply checked the

fourth CONSORT item[3-5]. Similar problems have been identified in other areas. In a recent survey[15] of 93 publications with novel questionnaires in JAMA, NEJM, and BMJ, four printed the questionnaire in the article, three provided online access, but authors failed to provide questionnaires for 37 of 81 (46%) studies. For some clinical domains, improving the descriptions of treatments may require additional work to standardise and document the procedures prior to clinical trials[16].

Similar to many journals, BMJ authors are requested to complete the CONSORT statement when submitting a paper describing an RCT, but are not specifically asked to describe their interventions in detail. BMJ reviewers are not routinely instructed to comment on the replicability of treatments described in papers, but are instructed to check the CONSORT statement provided by the author. However, item 4 in the CONSORT statement appears insufficient to guide authors and reviewers in all the elements needed, and CONSORT have, so far, added three intervention extensions (non-pharmacological, herbal, and acupuncture - www.consort-statement.org/extensions/), but these overlap, and a generic checklist with supplementary lists is needed<del>.</del>

Medical journals often send papers to reviewers who are practicing clinicians in the area of interest and some may choose to comment on the reporting details of the treatment. However, the limitations of peer review are well documented[17-20]. In our study, peer reviewers infrequently commented on inadequate reporting of trial details. Insufficient instructions and guidance to reviewers and lack of training may compound the problem. However, even when some limitations were identified by reviewers at the pre-publication stage they were not always remedied in the published version.

The incomplete treatment descriptions we found represent a substantial waste of the research budget, trial participants' time, and an opportunity cost for clinicians and patients. Though not surprising, the lower rates of adequate description of non-drug alternatives is unfortunate given the rapid growth of the pharmaceuticals budget, and the potential for non-drug therapies for alternative treatments. Funders, authors, journals, and research users should all be concerned with this problem and work together to improve the situation [21]. Journals that want to publish high quality research of use to practicing health care professionals need to pay attention to adequate descriptions of treatments. One element of any solution should be a simple checklist, such as the generic one we have developed, or specific checklists such as the CONSORT interventions extensions (http://www.consort-statement.org/extensions/). Such checklists may be useful for authors, peer reviewers, and editors to help ensure that important details of treatments are provided before the paper is published and in the public domain. However, the effectiveness of such checklists needs to be further evaluated. Ideally the full intervention description should be published with the primary article, but this often is not feasible, e.g, with manual procedures or extensive training materials. Finally, Since describing such study materials could add significantly to the length of papers we suggest that editors encourage the use of webextras and/or links to study materials on authors' or funders' institutional websites; these should be checked for availability at the time of publication, since researchers may retire, move, or for other reasons not respond after publication. 

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# Acknowledgements

We thank the BMJ Group for SS's time on this project.

#### Contributorship

SS had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. No other staff at the BMJ were involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript. SS, PG and CH designed the study; PG and CH rated the manuscripts for replicability; SS analysed the manuscripts' backmatter; SS analysed the data; SS, PG and CH wrote the manuscript.

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#### Competing interests

SS is employed full time by BMJ Group.

#### Ethics

We did not seek ethics committee approval for this study as it mainly involved the evaluation of published manuscripts in the public domain. Only SS had access to named reviewers' and editors' comments but is a full time employee of the BMJ Publishing Group and regularly reads such material as part of her job. On submitting to the BMJ, prospective authors are informed that their paper may be enrolled in a

research study as part of improving the peer review process and are given the opportunity to opt out of this.

## Data sharing

ial along. double as a o The checklist responses for each trial alongside an indication of whether a problem with the description of the intervention was reported prior to publication are available as a dataset on Dryad: doi:10.5061/dryad.cljv0.

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Table 1: Previous studies of adequacy of descriptions of treatments in trials.

6 7 8 9	Clinical Issue	Number of Trials	Number (%) Replicable	Methods of deciding replicability
10 11 12	Weight loss interventions[3]	63	62 (98%)	Compliance with item 4 of CONSORT statement*
13 14	Treatments of brain tumours[4]	74	68 (92%)	Compliance with item 4 of CONSORT statement*
15 16 17	Treatments of Hodgkin's lymphoma[5]	241	231 (96%)	Compliance with item 4 of CONSORT statement*
18	Back pain[6]	24	3 (13%)	Sufficient information on what happens before, during, and after treatment
19 20 21 22 23 24	Implementation of Guidelines[7]	29	< 7 (16%)	Assessed 6 elements: flexibility, timing, content, medium, deliverer, receiver. There is not an overall adequacy rating, but none was 100% and only 7/29 gave timing
25 26	Insulin initiation in Type 2 diabetes[8]	14	3 (21%)	Providing both starting dose and titration regime.
27 28 29 30	Surgical Procedures intended[9]	158	138 (87%)	Only required that "some" detail was provided, not sufficient for replication; 41% also provided some detail on actual surgery administered.
31 32 33 34 35	Range of topics published in Evidence Based Medicine Journal[10]	55	36 (65%)	Two general practitioners were independently asked whether they could use this treatment with a patient if they saw them tomorrow

\* Item 4 is: "Precise details of the treatments intended for each group and how and when they were actually administered"

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Note: Each element is fully described in Box 1.

 Figure 2: Papers where editors' or reviewers' identified a problem (pre-publication), and whether it remained at post-publication.

fully described and Note: Each element is fully described in Box 1.

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# Table 2: Examples of problems identified at pre-publication and not fixed by time of

publication.

8 9 10	Paper title	Type of problem identified at pre- and post- publication	Nature of the problem
11 12	Partner notification of chlamydia infection in primary care: randomised controlled trial and analysis of resource use	Procedure	Not clear exactly what was done and when
13 14	Didgeridoo playing as alternative treatment for obstructive sleep apnoea syndrome: randomised controlled trial	Intensity	Description of didgeridoo practice times not clear
15	Treatment of low back pain by acupressure and physical therapy: randomised controlled trial	Procedure	Can't tell how personalised the treatment was - who had what done and when
16 17 18	Effect of enhanced psychosocial care on antipsychotic use in nursing home residents with severe dementia: cluster randomised trial	Procedure	Complex intervention and what was received and when for both groups is unclear.
19 20	Effect of patient completed agenda forms and doctors' education about the agenda on the outcome of consultations: randomised controlled trial	Recipient	Recipient of intervention unclear
21 22 23	Effect of telephone contact on further suicide attempts in patients discharged from an emergency department: randomised controlled study	Procedure	More detail needed on the content and duration of the phone calls ie effort involved to enhance compliance
24 25	Effective control of dengue vectors with curtains and water container covers treated with insecticide in Mexico and Venezuela: cluster randomised trials	Procedure	Not clear why all the houses did not get nets and what they actually received
26 27 28	Randomised controlled trial of four commercial weight loss programmes in the UK: initial findings from the BBC "diet trials"	Procedure	Not enough detail of the content of the programmes or time involved
28 29 30	A randomised controlled trial of management strategies for acute infective conjunctivitis in general practice	Recipient	Recipients poorly described re conjunctivitis inclusion/exclusion criteria
31 32 33	Effectiveness of telephone counselling by a pharmacist in reducing mortality in patients receiving polypharmacy: randomised controlled trial	Procedure	Not clear exactly what the pharmacists said or did. It must have been more than just a reminder phonecall.
33 34 35	Telephone administered cognitive behaviour therapy for treatment of obsessive compulsive disorder: randomised controlled non-inferiority trial	Procedure	The actual therapy provided is only very briefly described
36 37	Mobilisation with movement and exercise, corticosteroid injection, or wait and see for tennis elbow: randomised trial	Procedure	Not clear what the physiotherapy actually involved
38 39 40	Effectiveness of community physiotherapy and enhanced pharmacy review for knee pain in people aged over 55 presenting to primary care: pragmatic randomised trial	Procedure	Not clear what happened and when. Content of pharmacist sessions unclear.
40	24		NB: Not fully described due to space

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6			limitations. More complete description of the pharmacy intervention subsequently
7	Prevention of HIV and sexually transmitted diseases in high	Procedure	published [22] Intervention components versus what
8	risk social networks of young Roma (Gypsy) men in Bulgaria:		controls received not clear - need to
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# Box 1: Interventions checklist

Recipient I	Is it clear who is receiving the intervention?	□Yes □ No
Provider I	Is it clear who delivered the intervention?	🗌 Yes 🔲 No
	Is the <b>procedure</b> (including the sequencing of the technique) of the intervention sufficiently clear to allow replication?	🗌 Yes 🔲 No
	Are the <b>physical or informational materials</b> used adequately described?	Yes No
,	Is the <b>dose/duration of individual sessions</b> of the intervention clear?	Yes No
	Is the <b>schedule (interval, frequency, duration, or timing)</b> of the intervention clear?	Yes No
i	Is there anything else <b>missing</b> from the description of the intervention?	☐ Yes ☐ No
	If yes, what? ———	