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Title:

Does an intensive self-management structured education course improve outcomes for children and young people with type 1 diabetes? The Kids In Control OF Food (KICK-OFF) cluster randomised controlled trial protocol.

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Abstract

Introduction: KICK-OFF is a cluster-randomised controlled trial, which aims to determine the efficacy of a 5 day structured education course for 11-16 year olds with type 1 diabetes when compared with standard care, and its cost effectiveness.

Less than 15% of children and young people with type 1 diabetes in the UK meet the recommended glycaemic target. Self-management education programmes for adults with type 1 diabetes improve clinical and psychological outcomes but none have been evaluated in the paediatric population. KICK-OFF is a 5 day structured education course for 11-16 year olds with type 1 diabetes. It was developed with input from young people, parents, teachers and educationalists.

Methods and analysis: 36 paediatric diabetes centres across the UK, randomised into intervention and control arms. Up to 560 participants recruited prior to centre randomisation. KICK-OFF courses are delivered in the intervention centres, with standard care continued in the control arm. Primary outcomes are change in glycaemic control (HbA1c) and quality of life between baseline and 6 months post intervention, and the incidence of severe hypoglycaemia. Sustained change in self-management behaviour is assessed by follow-up at 12 and 24 months. Health economic analysis will be undertaken. Data will be reported according to the CONSORT statement for cluster randomised clinical trials. All analyses will be by intention-to treat with a two-sided P-value of < 0.05 being regarded as statistically significant. The study commenced in 2008. Data collection from participants is ongoing and the study will be completed in 2013.

Ethics: The study has been approved by the Sheffield Research Ethics Committee.

Dissemination: Results will be reported in peer reviewed journals and conferences.

Trial registration: Current Controlled Trials ISRCTN37042683

Background

Structured education for paediatric diabetes management in the UK:

The glycaemic control of children with type 1 diabetes (T1DM), the key determinant of long term complications and mortality, is less good in the UK than in many other European countries (1). Successive audits in Scotland, England and Wales have shown no improvement in recent years (2,3) and less than 15% achieve the recommended target of an HbA1c of less than 7.5%. Support, education and self-management skills are thought to be key influences on control. Of the educational and psychological interventions that have been reported in children and adolescents, there is considerable diversity both in the methods used and their theoretical underpinnings. These range from simple skills and knowledge acquisition to more complex interventions involving family and friends. In a systematic review commissioned by the National Institute for Health Research (NIHR) Health Technology Assessment programme, Hampson and colleagues highlight a lack of well-designed clinical trials of educational interventions in the UK. They emphasise the need for programme development in the UK to be guided by theory and involve consultation with the various groups of people involved, including patients and their families (4). A more recent update on this systematic review shows some progress in quality and quantity of research but no improved outcomes (5). The systematic review underpinning the National Institute for Health and Clinical Excellence (NICE) appraisal of diabetes education notes a shortage of high quality information regarding the efficacy of education and that most studies exclude children and adolescents. The Department of Health (DH) and Diabetes UK confirm this finding (6) and highlight key criteria for structured education: a structured, written curriculum meeting the learning needs of participants, delivered by trained educators; with quality assurance; and audit.

The DAFNE (**D**ose **A**djustment **F**or **N**ormal **E**ating) course is one current option for adult education. This 5-day outpatient course is adapted from a German adult education model (7). Patients are taught carbohydrate counting and insulin dose algorithms, enabling them to eat freely and administer a dose of insulin that matches the intended meal. Six months after completing the course there was a 0.9% improvement in glycated haemoglobin (HbA1c) levels in the DAFNE group compared with controls, sustained at 0.5% overall improvement by 1 year (8). Furthermore, a quarter of participants improved their HbA1c by more than 1.5% over 12 months without an increase in severe hypoglycaemia. Many described a greatly improved quality of life (QOL) and economic analysis suggests that such a course could pay for itself within 4 years as a result of reduced diabetes related complications (9). The DAFNE course has been identified as the only intervention meeting DH requirements for a structured educational programme in T1DM and has now been rolled out to over 60 centres in UK and Ireland.

Whilst structured education courses have been delivered to children in Germany for many years, there have been no randomised controlled trials (RCT) of a DAFNE-type intervention in children (10). Adolescence is often associated with relatively poor glycaemic control, but is potentially an ideal time to intervene as patients assume responsibility for their own control and disease management (11). The adolescents who participated in the Diabetes Control and Complications Trial (DCCT) intensive management group demonstrated significantly improved control during the 7.4 years of the trial compared with those in the control group (12). During the subsequent four years those in the intensive group have shown progression of retinopathy reduced by

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3 74% compared with controls, despite the fact that the HbA1c levels of both groups
4 converged (13). The benefits of improved glycaemic control clearly continued beyond
5 the duration of the trial, supporting the argument that educational interventions should
6 be offered soon after diagnosis of T1DM. However, we must acknowledge there are
7 potential challenges for young people in undertaking such a regimen. The need for
8 repeated blood tests, carbohydrate portion estimation and multiple insulin injections
9 may compromise quality of life and challenge the cognitive abilities of some young
10 people.
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12
13 The KICK-OFF course is based on DAFNE principles and aims to provide young
14 people with self-management skills and strategies to help overcome some of the
15 barriers to effective self-management associated with intensive insulin regimen. It
16 was developed and piloted using the five phase approach recommended by the
17 Medical Research Council (MRC) framework for the development of complex
18 interventions (14), to culminate in this randomised controlled trial. The theoretical
19 phase explored educational and motivational theory, the KICK-OFF package being
20 based on the information-motivation-behavioural model (15). During the development
21 phase of the project we worked with young people, parents, educationalists and school
22 teachers, using the constructivist educational theory, to develop a package which
23 would meet the very varied learning needs of adolescents (16).
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26 The pilot phase involved 11-16 year olds (n=48) from three centres and demonstrated
27 significant improvements in QOL and self-efficacy at 3 and 6 months post
28 intervention. Glycaemic control showed no significant change overall, though there
29 was a trend to improvement in those with the poorest control at baseline and also in
30 the younger age group (11-13 years) (17). Our pilot work indicated that key
31 ingredients in the KICK-OFF package include involvement of parents and parent-child
32 communication, support of friends without diabetes, creating a feeling of being like
33 everyone else and social support from other young people with diabetes.
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36 ***The KICK-OFF intervention:***

37 Each course takes place over five consecutive days and is delivered to groups of eight
38 young people in two age bands, 11-13 years or 14-16 years. The curriculum uses a
39 progressive modular structure to improve self-management in a variety of medical
40 and social situations. Knowledge and skills are built up throughout the week with
41 active participant involvement and problem solving as key methods of learning. The
42 key modules include: what is diabetes; food and diabetes; insulin management;
43 management of hypoglycaemia; sick day rules; diabetes in school and social
44 situations. Learning objectives for each day and each session are clearly identified and
45 educators have instructions on session preparation and teaching materials. Lesson
46 plans give guidance on timing and a student activity section serves to give an idea of
47 expected responses. Each meal and snack is used as an opportunity to practise
48 carbohydrate estimation and insulin dose adjustment. Additional support is provided
49 through dedicated parent sessions, involvement of friends and the provision of a
50 school resource pack. Following process evaluation during the pilot phase, the model
51 of parental education has been altered and parents are now invited to a specific parent
52 education session prior to their children attending the 5-day course. This will provide
53 them with a brief guide to the KICK-OFF principles and allow them to better support
54 their child during the early days of the course.
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3 A website developed to support the learning process allows those in the intervention
4 arm interactive practise at carbohydrate counting and access to educational material
5 and a message forum.
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9 ***Study objective:***

10 The aim of the study is to assess whether provision of the KICK-OFF structured
11 education course improves clinical and psychological outcomes in adolescents with
12 T1DM, when compared with usual care and education. It also aims to assess cost
13 effectiveness.
14

15 ***Methods/Design***

16 ***Design:***

17 The KICK-OFF study is a cluster randomised controlled trial. Blinding is not possible
18 as the intervention is evident both to those providing care and those receiving it. In
19 addition, as educational expertise increases within teams, the likelihood of
20 contamination of control groups is high and therefore a cluster randomised design is
21 indicated (18). Centres are therefore randomised to control or intervention arms.
22

23 To minimise differences in delivery of the course between centres, three teams of
24 educators travel to centres to teach the course alongside members of the local diabetes
25 team,
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27 ***Study duration:***

28 The total study duration is 60 months, with the intervention (KICK-OFF courses)
29 being delivered over a 15-month period. Follow-up is for 2 years post intervention.
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32 ***Setting:***

33 We aimed to recruit patients from up to 36 NHS paediatric diabetes centres in
34 England, Scotland and Wales, with each intervention centre running two age-banded
35 courses. There are eight children in each age-band (11-13 and 14-16 years).
36

37 ***Sample size calculations:***

38 Sample size is based upon the primary outcome measure - HbA1c - and is calculated
39 using data on average HbA1C values from the centres that have expressed an interest
40 in participating (by email communication) and the pilot study. Kinmonth et al,
41 examining patient-centred care of diabetes in general practice, estimated the intraclass
42 correlation coefficient as 0.047 for HbA1c (19). Assuming that each centre will run
43 two courses, each including 8 participants, the average cluster size will be 16. Data
44 from the pilot study indicated that the standard deviation of the minimal clinically
45 meaningful difference of 0.5% is between 1.3% and 1.4%. Taking the upper limit of
46 this standard deviation range as a conservative estimate for the standard deviation, the
47 study needs 448 patients in total (224 per group: 14 clusters per group with an average
48 cluster size of 16) in order to have 80% power to detect a difference of 0.5% in
49 HbA1c with a two-sided significance level of 5%. Assuming a 20% loss to follow-up
50 at 12 months, the study requires 560 patients to be recruited from 18 centres per
51 treatment group. The pilot study demonstrated an improvement in both the generic
52 and diabetes related QOL scores of at least 7 points (SD: 12). Assuming that there
53 will be no improvement in either score for the control participants, the sample size
54 outlined above will have at least 80% power at the two-sided 5% level to detect a
55 minimum difference of 4.5 points. In addition, this sample size will also have over
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80% power at the two-sided 5% level to detect a difference in HUI2 score of 0.03 (SD: 0.08).

Centre randomisation:

Centres are randomised to one of two groups: (1) usual care (control), (2) KICK-OFF course (intervention), in a 1:1 ratio, using a computer generated allocation schedule prepared in advance of the trial to conceal centre allocation. Randomisation takes account of centre stratification according to current educational provision. Three key educational factors have been identified and centres asked to self assess against these, with independent review by the paediatric clinicians.

Inclusion and exclusion criteria:

These are shown in table 1. Participants are not selected on the basis of their existing HbA1c level as it was felt that all children have potential to benefit from the KICK-Off intervention, including those with existing good control.

Table 1: Inclusion/exclusion criteria

<i>Inclusion criteria</i>
T1DM of at least 1 year's duration
Already on or willing to use an intensive insulin regimen (basal – bolus regimen)
Age 11-16 years (in Secondary School years 7-12)
<i>Exclusion criteria</i>
Factors which will impair participation in group education:
Non - English speaking child
Learning disability requiring additional help in school
Major behaviour problems
Evidence of an eating disorder
Associated illness that may influence control (treated coeliac disease with at least 6 months on a gluten free diet is not an exclusion)

Patient recruitment:

All eligible families receive written and verbal information regarding the KICK-OFF course from their local diabetes team. Centres are not, at this stage, aware of whether they are control or intervention centres. Recruitment ceases in the centre when a maximum of 16 participants have been recruited and centres is then notified if they are in the control or intervention arm of the study.

Involvement of friends: Each KICK-OFF participant is asked to invite a friend to a half-day session.

Subject withdrawal: Subjects are withdrawn from the study if their behaviour during the KICK-OFF course proves, in the view of the educators, to be detrimental to the continued learning of other participants. This is an unlikely occurrence and will only occur after discussion with the child and their parents. Analysis will be by intention to treat and subjects who are withdrawn will be included in final analysis.

Educator recruitment and training:

Each course is taught by two research educators (a paediatric diabetes specialist nurse and a paediatric diabetes dietitian) and one member of the local team. Research and

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3 local team educators attend a 5-day teaching skills course developed during the pilot
4 phase with the Department of Education, Sheffield Hallam University. A core training
5 team has been established, comprising the KICK-OFF lead educator, professional
6 educationalist and teachers. It includes a structured school placement, the purpose of
7 which is to familiarise the educators with aspects of the school curriculum, observe
8 experienced teachers in classroom settings and practice selected activities with pupil
9 groups under the guidance of a qualified teacher. The course includes instruction in:

- 10 • role of teachers – in comparison with health professionals
- 11 • training in the KICK-OFF curriculum and teaching materials
- 12 • use of IT, lap top computers, interactive boards etc in the classroom setting
- 13 • the pace/timing of sessions
- 14 • ability to be flexible within the curriculum
- 15 • behaviour management
- 16 • motivating, involving all group members
- 17 • the role of questioning

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22 *Ethical consideration, possible risks and benefits:*

23 The North Sheffield Local Research Ethics Committee approved the study (ref.
24 08/H1308/201).

25 During the course, participants are encouraged to discuss diabetes management and
26 how it affects their social, school and family life; future health with diabetes, and
27 other relevant topics such as alcohol, smoking, driving and contraception. All these
28 topics are routinely discussed with this age group in diabetes clinics, as well as in
29 school. Staff are alert to any concerns, and where appropriate may discuss with
30 parents or the child's paediatrician. Child protection or other disclosures would be
31 dealt with according to local Safeguarding Children Policies. The website forum is
32 mediated by a member of the research team.

33 Given that intensive insulin regimens are commonly used in this age group it is
34 difficult to envisage significant risks from participation in this study. Given
35 "permission" to eat a less restricted diet there is the possibility that participants may
36 make unhealthier food choices, with potential for weight gain. With improving
37 glycaemic control there is a potential risk of increasing severe hypoglycaemia.
38 Educated in avoidance, recognition and management of hypoglycaemia is an essential
39 part of the course. The course aims to provide children with the skills to match their
40 insulin dose to their food choice and regularly correct their blood sugar. The
41 anticipated benefits are therefore improved blood sugar control, quality of life and
42 self-efficacy. This in turn may lead to less family conflict and better social
43 integration. Study results will be disseminated via peer review journals and oral
44 presentation.

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48 *The control arm:*

49 Children in the control group are already established on, or changed to, a basal-bolus
50 regimen at the start of the study. They will receive the normal educational input
51 provided to children on basal bolus regimens in their clinic. The control centres will
52 be offered the teaching skills course for their team at the end of the 2 year follow-up
53 period.

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57 *Assessment:*

Assessments are undertaken by the research team and local diabetes team, at baseline, 6 months, 12 months and 24 months.

Outcome measures:

Primary outcomes are the change in biomedical and psychosocial measures at the end of 6 months, adjusted for baseline. Change between 6 months and 2 years will allow an assessment of sustainability of learning. The research team believe that improving quality of life is a very positive outcome in young people who carry a heavy psychological burden and therefore wish to ensure that this outcome carries equal weight to glycaemic outcomes.

Table 2: Primary/secondary outcomes

Primary outcomes	Secondary outcomes
HbA1c (mmol/mol)	Health economic analysis and modelling of long term cost/benefits
Psychological outcome in parents and children	Evaluation of the KICK-OFF course by educationalists
Number and severity of hypoglycaemic episodes.	Diabetic ketoacidosis
	Time off school
	Change in diet
	Changes in BMI
	Evaluation of website use

Biomedical outcomes:

HbA1c is measured by a central laboratory. Body mass index will be calculated from weight and height measurements and pubertal status (which has a potential influence on glycaemic control) will be assessed, using height velocity as a surrogate marker. It was felt that direct assessment of pubertal status through clinical examination would deter recruitment. Episodes of diabetic ketoacidosis and severe hypoglycaemia are assessed by patient recall and from medical records.

Psychological outcomes:

Psychosocial measures have been chosen to reflect the key components of the psychological model (adherence information, motivation, behavioural skills). All measures are completed by children and by one parent: Fear of hypoglycaemia (20); Expectations - a specially developed measure based on the results of our pilot study to determine the child and parents' commitment, enthusiasm and expectations about the course outcomes; Self efficacy for diabetes (21); Quality of life – generic (22) and diabetes specific (23);.

Health economic analysis:

The economic component of this study will be undertaken from the perspective of the UK NHS. The primary measure of outcome for the economic analysis will be the cost per quality adjusted life year (QALY) gained as measured by the HUI2 instrument. The items of resource use relating to educator time and educational and teaching materials will be measured within the trial by means of a semi-structured telephone

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3 interview with key educators. The items of resource use relating to primary and
4 secondary care utilisation will be measured by means of the patient report completed
5 throughout the course of the trial cross referenced with resource use information
6 obtained from patient records at participating centres. All resources will be costed
7 using national average unit costs where possible. In the absence of national average
8 unit costs local unit costs will be obtained from individual hospital finance
9 departments

10 From an economic perspective, the main measure of effectiveness is the number of
11 QALYs gained. For the estimation of QALYs, a generic health related quality of life
12 instrument is required which allows the estimation of health state utilities. The HUI2
13 is a well validated instrument which has been used successfully in previous studies
14 relating to diabetes and in adolescent children (24, 25, 26, 27). The HUI2 has been
15 designed for self-completion and will be administered to all trial participants and their
16 parents as proxies at the defined time intervals. Parental assessment will facilitate an
17 empirical investigation of the degree of convergence or otherwise between
18 adolescents' assessment of their own health related quality of life and parental
19 assessment of adolescent health related quality of life. The UK general population
20 tariff of utility values for HUI2 defined health states (28) will be used to calculate a
21 QALY gain for each patient using area under the curve methods. These data will then
22 be aggregated to estimate the total QALY gain for intervention and control groups
23 respectively.

24 The CHU 9D, a new preference based measure of health related quality of life, has
25 been developed in Sheffield, exclusively for and tested with children (29). It consists
26 of 9 questions, each with 5 response options. This will be used as a secondary
27 measure of calculating QALYs.

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32 Mean costs and effectiveness between the intervention and control groups will be
33 compared and incremental cost effectiveness ratios presented (ICERs) in terms of the
34 cost per unit reduction in HbA1c% and the cost per QALY gained. Confidence
35 intervals will be presented around the ICERs. Cost effectiveness acceptability curves
36 for varying threshold values of cost effectiveness will also be presented. Any costs
37 incurred beyond the base year of the evaluation will be discounted at the
38 recommended treasury rate for public sector projects. An assessment of the sensitivity
39 of the results obtained to variation in measured resource use, effectiveness and/or unit
40 costs will be undertaken using appropriate one-way and multi-way sensitivity
41 analysis.

42 43 44 *Long-term cost effectiveness modelling:*

45 Given that we anticipate a difference in risk factors, particularly HbA1c, between the
46 intervention and control arm, and that these risk factor differences can potentially be
47 maintained over the longer-term, there is a strong economic hypothesis that the
48 upfront investment in the education programme will pay off in terms of avoided
49 clinical events over the longer-term. Reductions in HbA1c will be used to predict
50 reduced long-term complications and improved mortality and QALYs. We will
51 extend this with an updated search. Cost effectiveness models will also account for
52 uncertainty in line with good practice guidance.

53 54 55 *Change in diet:*

56 The KICK-OFF course potentially provides participants with the freedom to widen
57 their dietary choices, although healthy eating is encouraged. The Food Intake
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3 Questionnaire is a validated recall questionnaire that has been used to assess dietary
4 intake in children (30).
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8 *Website evaluation:*

9 During development:

- 10 1. Views of young people sought on materials and graphics, to determine the style of
11 the website
12 2. Potential barriers to using the website explored with young people
13 3. All web pages will be assessed with a tool called DISCERN, a brief questionnaire
14 which provides users with a valid and reliable way of assessing the quality of written
15 information on treatment choices for a health problem (31)

16 At each follow-up time point (6, 12, 24 months):

- 17 4. From login information, we will identify a) place of use (i.e. during taught session
18 or through own choice at home); b) total number of logins and average duration of use
19 per individual.
20 5. All users are encouraged to complete an online user satisfaction scale to assess
21 acceptability and identify areas for improvement. Phone interviews with a random
22 selection of participants will also be used e.g. to identify barriers to using the website.
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25 *Educational evaluation:*

26 Developing and evaluating complex educational interventions, such as KICK-OFF, is
27 challenging. Many factors will influence outcomes and process evaluation i.e. trying
28 to identify the key active ingredients of such a package is important. Therefore in
29 addition to measuring effect in terms of participant outcomes, we are undertaking
30 independent educational evaluation of the package. Two academic educationalists
31 observe courses, hold focus groups with educators and have informal discussions with
32 participants. They will produce an independent report of the educational content of
33 the KICK-OFF package, identifying areas of effective education and also provide
34 suggestions for change to the curriculum and teaching material. They will also work
35 with the lead research educator to develop quality assurance checklists that can be
36 used to assess consistency of teaching between educator groups and adherence to the
37 learning aims and objectives of the curriculum.
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40 *Statistical analysis:*

41 Data will be reported according to the CONSORT statement for cluster randomised
42 clinical trials (32). All analyses will be by intention-to treat with a two-sided P-value
43 of < 0.05 being regarded as statistically significant. Baseline characteristics will be
44 compared across intervention groups to ensure the groups are balanced. Where
45 differences are found they will be adjusted for in the analysis. The paediatric diabetes
46 centre will be the unit of randomisation, cluster, intervention and analysis, because
47 that is where the intervention is aimed, though the effect will be evaluated at the
48 patient level.
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50 The primary outcome variable is HbA1c and differences in this between the two study
51 groups at 6 months will be compared using a marginal model, with coefficients and
52 their associated 95% confidence intervals estimated using generalised estimating
53 equations. This type of modelling allows for the clustered nature of the data, in which
54 the observations within clusters are not assumed to be independent. In addition the
55 model will include terms for the stratification factor and any potential confounders in
56 the baseline characteristics. For the other outcomes, including QOL and the
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3 anthropometrical measures, differences in the mean values at 6 months will be
4 analysed using a similar model, whilst differences in hypoglycaemia event rates and
5 school attendance will be analysed using a Poisson random effects model. The data
6 will be analysed using STATA v10® software and SAS v9.1 software.
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9 *Trial monitoring and management:*

10 The project manager and chief investigator meet weekly and the project management
11 group 3 monthly, with additional meetings as necessary. An independent steering
12 group includes a statistician and young person representative. Centres and participants
13 are communicated with by email and 6 monthly newsletters.
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17 **Discussion**

18 KICK-OFF is a highly complex educational intervention that has potential to improve
19 glycaemic control and/or psychological outcomes. Our hypothesis is that behaviour
20 change as a result of attending a KICK-OFF course is likely to take place within 6-12
21 months of the intervention. We felt that 2 year follow-up was necessary to assess
22 sustainability of learning but also accept that the adolescent years are a time of great
23 change and many other confounding factors such as puberty, school and peer pressure
24 will influence adherence to a diabetes regimen and long-term outcomes.

25 Sustainability of learning will also be influenced by ongoing support from local
26 diabetes team. They are asked to run follow-up sessions within 6 months of the
27 intervention and to encourage participants to continue to use their KICK-OFF self-
28 management skills in everyday life. Paediatric diabetes care across the UK is
29 changing rapidly, with many more children using an intensive insulin regimen from
30 diagnosis and also moving onto insulin infusion pumps. Many centres routinely teach
31 carbohydrate counting, though none with an intensive course such as KICK-OFF.
32 Whilst the KICK-OFF course is not specifically designed for those on pumps, many of
33 the skills required to successfully manage a pump are taught on the course. We
34 anticipate that a number of our original cohort will move onto pumps during the study
35 and will examine this group as a subgroup analysis. Change in educational practise by
36 local centres across the study period will also be examined by repeating the
37 stratification process at the end of the study.
38

39 We aim to reduce inter-educator variability by having just three teams of educators
40 who will all receive specialist teacher training prior to teaching KICK-OFF courses.
41 Practical factors such as weather and illness may impact on attendance at a KICK-OFF
42 course. We shall attempt to provide catch-up education for those who miss days but
43 any participant who is present for < 3 days will be deemed to be non compliant with
44 the intervention.
45

46 Unlike other interventions we decided not to use the existing HbA1c level as an
47 inclusion or exclusion criteria. We are therefore recruiting participants with a wide
48 range of glycaemic control. Some will have an HbA1c within the recommended target
49 of less than 58 mmol/mol (7.5%) at baseline and therefore may not change. Those
50 with very tight control at baseline may be suffering from frequent hypoglycaemia or
51 hypoglycaemia unawareness. Their glycaemic control could deteriorate somewhat but
52 we hypothesise that concurrent reduction in hypoglycaemia could result in improved
53 quality of life.
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55 Structured education, providing knowledge and skills training to young people with
56 diabetes, is an essential component of self-management. We hope that the KICK-OFF
57 study will add important information to the literature by assessing the impact of
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3 intensive group education. We acknowledge however that the acquisition of effective
4 self-management skills is highly complex and many other factors such as family
5 support and functioning, diabetes team interaction with families and other pressures
6 within the lives of young people also influence their development.
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CONSORT 2010 checklist of information to include when reporting a randomised trial*

Section/Topic	Item No	Checklist item	Reported on page No
Title and abstract - <i>Does an intensive self-management structured education course improve outcomes for children and young people with type 1 diabetes? The Kids In Control OF Food (KICK-OFF) cluster randomised controlled trial protocol</i>			
	1a	Identification as a randomised trial in the title	1
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	5
Introduction			
Background and objectives	2a	Scientific background and explanation of rationale	3
	2b	Specific objectives or hypotheses	5
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	5
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	5
Participants	4a	Eligibility criteria for participants	6
	4b	Settings and locations where the data were collected	5
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	4
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	8
	6b	Any changes to trial outcomes after the trial commenced, with reasons	
Sample size	7a	How sample size was determined	5
	7b	When applicable, explanation of any interim analyses and stopping guidelines	
Randomisation:			
Sequence generation	8a	Method used to generate the random allocation sequence	6
	8b	Type of randomisation; details of any restriction (such as blocking and block size)	6
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	6
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	6

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2	Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	6
3				
4		11b	If relevant, description of the similarity of interventions	
5	Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	10
6		12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	
7				
8				
9	Results - <i>this submission is a protocol paper for work in progress, data not yet available</i>			
10	Participant flow (a diagram is strongly recommended)	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome	n/a
11		13b	For each group, losses and exclusions after randomisation, together with reasons	n/a
12	Recruitment	14a	Dates defining the periods of recruitment and follow-up	n/a
13		14b	Why the trial ended or was stopped	n/a
14	Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	n/a
15	Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	n/a
16				
17	Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	n/a
18		17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	n/a
19	Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory	n/a
20	Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	n/a
21				
22	Discussion			
23	Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	11
24	Generalisability	21	Generalisability (external validity, applicability) of the trial findings	n/a
25	Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	n/a
26				
27	Other information			
28	Registration	23	Registration number and name of trial registry	Current Controlled Trials ISRCTN3704 2683
29				
30	Protocol	24	Where the full trial protocol can be accessed, if available	n/a
31	Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	Diabetes UK,
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Provision of
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*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see www.consort-statement.org.

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Does an intensive self-management structured education course improve outcomes for children and young people with type 1 diabetes? The Kids In Control OF Food (KICK-OFF) cluster randomised controlled trial protocol.

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Title:

Does an intensive self-management structured education course improve outcomes for children and young people with type 1 diabetes? The Kids In Control OF Food (KICK-OFF) cluster randomised controlled trial protocol.

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Key words: type 1 diabetes mellitus; adolescent; child; education, patient:

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Abstract

Introduction: KICK-OFF is a cluster-randomised controlled trial, which aims to determine the efficacy of a 5 day structured education course for 11-16 year olds with type 1 diabetes when compared with standard care, and its cost effectiveness.

Less than 15% of children and young people with type 1 diabetes in the UK meet the recommended glycaemic target. Self-management education programmes for adults with type 1 diabetes improve clinical and psychological outcomes but none have been evaluated in the paediatric population. KICK-OFF is a 5 day structured education course for 11-16 year olds with type 1 diabetes. It was developed with input from young people, parents, teachers and educationalists.

Methods and analysis: 36 paediatric diabetes centres across the UK, randomised into intervention and control arms. Up to 560 participants recruited prior to centre randomisation. KICK-OFF courses are delivered in the intervention centres, with standard care continued in the control arm. Primary outcomes are change in glycaemic control (HbA1c) and quality of life between baseline and 6 months post intervention, and the incidence of severe hypoglycaemia. Sustained change in self-management behaviour is assessed by follow-up at 12 and 24 months. Health economic analysis will be undertaken. Data will be reported according to the CONSORT statement for cluster randomised clinical trials. All analyses will be by intention-to treat with a two-sided P-value of < 0.05 being regarded as statistically significant. The study commenced in 2008. Data collection from participants is ongoing and the study will be completed in 2013.

Ethics: The study has been approved by the Sheffield Research Ethics Committee.

Dissemination: Results will be reported in peer reviewed journals and conferences.

Trial registration: Current Controlled Trials ISRCTN37042683

Background

Structured education for paediatric diabetes management in the UK:

The glycaemic control of children with type 1 diabetes (T1DM), the key determinant of long term complications and mortality, is less good in the UK than in many other European countries (1). Successive audits in Scotland, England and Wales have shown no improvement in recent years (2,3) and less than 15% achieve the recommended target of an HbA1c of less than 7.5%. Support, education and self-management skills are thought to be key influences on control. Of the educational and psychological interventions that have been reported in children and adolescents, there is considerable diversity both in the methods used and their theoretical underpinnings. These range from simple skills and knowledge acquisition to more complex interventions involving family and friends. In a systematic review commissioned by the National Institute for Health Research (NIHR) Health Technology Assessment programme, Hampson and colleagues highlight a lack of well-designed clinical trials of educational interventions in the UK. They emphasise the need for programme development in the UK to be guided by theory and involve consultation with the various groups of people involved, including patients and their families (4). A more recent update on this systematic review shows some progress in quality and quantity of research but no improved outcomes (5). The systematic review underpinning the National Institute for Health and Clinical Excellence (NICE) appraisal of diabetes education notes a shortage of high quality information regarding the efficacy of education and that most studies exclude children and adolescents. The Department of Health (DH) and Diabetes UK confirm this finding (6) and highlight key criteria for structured education: a structured, written curriculum meeting the learning needs of participants, delivered by trained educators; with quality assurance; and audit.

The DAFNE (**D**ose **A**djustment **F**or **N**ormal **E**ating) course is one current option for adult education. This 5-day outpatient course is adapted from a German adult education model (7). Patients are taught carbohydrate counting and insulin dose algorithms, enabling them to eat freely and administer a dose of insulin that matches the intended meal. Six months after completing the course there was a 0.9% improvement in glycated haemoglobin (HbA1c) levels in the DAFNE group compared with controls, sustained at 0.5% overall improvement by 1 year (8). Furthermore, a quarter of participants improved their HbA1c by more than 1.5% over 12 months without an increase in severe hypoglycaemia. Many described a greatly improved quality of life (QOL) and economic analysis suggests that such a course could pay for itself within 4 years as a result of reduced diabetes related complications (9). The DAFNE course has been identified as the only intervention meeting DH requirements for a structured educational programme in T1DM and has now been rolled out to over 60 centres in UK and Ireland.

Whilst structured education courses have been delivered to children in Germany for many years, there have been no randomised controlled trials (RCT) of a DAFNE-type intervention in children (10). Adolescence is often associated with relatively poor glycaemic control, but is potentially an ideal time to intervene as patients assume responsibility for their own control and disease management (11). The adolescents who participated in the Diabetes Control and Complications Trial (DCCT) intensive management group demonstrated significantly improved control during the 7.4 years of the trial compared with those in the control group (12). During the subsequent four years those in the intensive group have shown progression of retinopathy reduced by

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3 74% compared with controls, despite the fact that the HbA1c levels of both groups
4 converged (13). The benefits of improved glycaemic control clearly continued beyond
5 the duration of the trial, supporting the argument that educational interventions should
6 be offered soon after diagnosis of T1DM. However, we must acknowledge there are
7 potential challenges for young people in undertaking such a regimen. The need for
8 repeated blood tests, carbohydrate portion estimation and multiple insulin injections
9 may compromise quality of life and challenge the cognitive abilities of some young
10 people.
11

12
13 The KICK-OFF course is based on DAFNE principles and aims to provide young
14 people with self-management skills and strategies to help overcome some of the
15 barriers to effective self-management associated with intensive insulin regimen. It
16 was developed and piloted using the five phase approach recommended by the
17 Medical Research Council (MRC) framework for the development of complex
18 interventions (14), to culminate in this randomised controlled trial. The theoretical
19 phase explored educational and motivational theory, the KICK-OFF package being
20 based on the information-motivation-behavioural model (15). During the development
21 phase of the project we worked with young people, parents, educationalists and school
22 teachers, using the constructivist educational theory, to develop a package which
23 would meet the very varied learning needs of adolescents (16).
24
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26 The pilot phase involved 11-16 year olds (n=48) from three centres and demonstrated
27 significant improvements in QOL and self-efficacy at 3 and 6 months post
28 intervention. Glycaemic control showed no significant change overall, though there
29 was a trend to improvement in those with the poorest control at baseline and also in
30 the younger age group (11-13 years) (17). Our pilot work indicated that key
31 ingredients in the KICK-OFF package include involvement of parents and parent-child
32 communication, support of friends without diabetes, creating a feeling of being like
33 everyone else and social support from other young people with diabetes.
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36 ***The KICK-OFF intervention:***

37 Each course takes place over five consecutive days and is delivered to groups of eight
38 young people in two age bands, 11-13 years or 14-16 years. The curriculum uses a
39 progressive modular structure to improve self-management in a variety of medical
40 and social situations. Knowledge and skills are built up throughout the week with
41 active participant involvement and problem solving as key methods of learning. The
42 key modules include: what is diabetes; food and diabetes; insulin management;
43 management of hypoglycaemia; sick day rules; diabetes in school and social
44 situations. Learning objectives for each day and each session are clearly identified and
45 educators have instructions on session preparation and teaching materials. Lesson
46 plans give guidance on timing and a student activity section serves to give an idea of
47 expected responses. Each meal and snack is used as an opportunity to practise
48 carbohydrate estimation and insulin dose adjustment. Additional support is provided
49 through dedicated parent sessions, involvement of friends and the provision of a
50 school resource pack. Following process evaluation during the pilot phase, the model
51 of parental education has been altered and parents are now invited to a specific parent
52 education session prior to their children attending the 5-day course. This will provide
53 them with a brief guide to the KICK-OFF principles and allow them to better support
54 their child during the early days of the course.
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3 A website developed to support the learning process allows those in the intervention
4 arm interactive practise at carbohydrate counting and access to educational material
5 and a message forum.
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9 ***Study objective:***

10 The aim of the study is to assess whether provision of the KICK-OFF structured
11 education course improves clinical and psychological outcomes in adolescents with
12 T1DM, when compared with usual care and education. It also aims to assess cost
13 effectiveness.
14

15 ***Methods/Design***

16 ***Design:***

17 The KICK-OFF study is a cluster randomised controlled trial. Blinding is not possible
18 as the intervention is evident both to those providing care and those receiving it. In
19 addition, as educational expertise increases within teams, the likelihood of
20 contamination of control groups is high and therefore a cluster randomised design is
21 indicated (18). Centres are therefore randomised to control or intervention arms.
22

23 To minimise differences in delivery of the course between centres, three teams of
24 educators travel to centres to teach the course alongside members of the local diabetes
25 team,
26

27 ***Study duration:***

28 The total study duration is 60 months, with the intervention (KICK-OFF courses)
29 being delivered over a 15-month period. Follow-up is for 2 years post intervention.
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32 ***Setting:***

33 We aimed to recruit patients from up to 36 NHS paediatric diabetes centres in
34 England, Scotland and Wales, with each intervention centre running two age-banded
35 courses. There are eight children in each age-band (11-13 and 14-16 years). 36 centres
36 initially expressed interest in the study, 27 of which acquired research approval and
37 recruited patients. An additional 5 centres were therefore sought when recruitment
38 targets appeared to be compromised by centre withdrawal and lower than anticipated
39 recruitment rates in some centres. 31 centres are therefore participating in the study.
40
41

42 ***Sample size calculations:***

43 Sample size is based upon the primary outcome measure - HbA1c - and is calculated
44 using data on average HbA1C values from the centres that have expressed an interest
45 in participating (by email communication) and the pilot study. Kinmonth et al,
46 examining patient-centred care of diabetes in general practice, estimated the intraclass
47 correlation coefficient as 0.047 for HbA1c (19). Assuming that each centre will run
48 two courses, each including 8 participants, the average cluster size will be 16. Data
49 from the pilot study indicated that the standard deviation of the minimal clinically
50 meaningful difference of 0.5% is between 1.3% and 1.4%. Taking the upper limit of
51 this standard deviation range as a conservative estimate for the standard deviation, the
52 study needs 448 patients in total (224 per group: 14 clusters per group with an average
53 cluster size of 16) in order to have 80% power to detect a difference of 0.5% in
54 HbA1c with a two-sided significance level of 5%. Assuming a 20% loss to follow-up
55 at 12 months, the study requires 560 patients to be recruited from 18 centres per
56 treatment group. The pilot study demonstrated an improvement in both the generic
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and diabetes related QOL scores of at least 7 points (SD: 12). Assuming that there will be no improvement in either score for the control participants, the sample size outlined above will have at least 80% power at the two-sided 5% level to detect a minimum difference of 4.5 points. In addition, this sample size will also have over 80% power at the two-sided 5% level to detect a difference in HUI2 score of 0.03 (SD: 0.08).

Centre randomisation:

Centres are randomised to one of two groups: (1) usual care (control), (2) KICK-OFF course (intervention), in a 1:1 ratio, using a computer generated allocation schedule prepared in advance of the trial to conceal centre allocation. Randomisation takes account of centre stratification according to current educational provision. Three key educational factors have been identified and centres asked to self assess against these, with independent review by the paediatric clinicians.

Inclusion and exclusion criteria:

These are shown in table 1. Participants are not selected on the basis of their existing HbA1c level as it was felt that all children have potential to benefit from the KICK-Off intervention, including those with existing good control.

Table 1: Inclusion/exclusion criteria

<i>Inclusion criteria</i>
T1DM of at least 1 year's duration
Already on or willing to use an intensive insulin regimen (basal – bolus regimen)
Age 11-16 years (in Secondary School years 7-12)
<i>Exclusion criteria</i>
Factors which will impair participation in group education:
Non - English speaking child
Learning disability requiring additional help in school
Major behaviour problems ,identified by the clinical team, and requiring mental health team involvement
Evidence of an eating disorder
Associated illness that may influence control (treated coeliac disease with at least 6 months on a gluten free diet is not an exclusion)

Patient recruitment:

All eligible families receive written and verbal information regarding the KICK-OFF course from their local diabetes team, who also take assent/consent from both the child and a parent/ legal guardian. Centres are not, at this stage, aware of whether they are control or intervention centres. Recruitment ceases in the centre when a maximum of 16 participants have been recruited and centres is then notified if they are in the control or intervention arm of the study.

Involvement of friends: Each KICK-OFF participant is asked to invite a friend to a half-day session.

Subject withdrawal: Whilst clinical teams are aware of diagnosed behavioural problems and those children are excluded from recruitment, it is possible that challenging behaviour will emerge in some children during the week of the KICK-

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3 OFF course which has not been anticipated. Every effort is made to support them to
4 remain involved but subjects are withdrawn if their behaviour during the KICK-OFF
5 course proves, in the view of the educators, to be detrimental to the continued learning
6 of other participants. This is an unlikely occurrence and will only occur after
7 discussion with the child and their parents. Analysis will be by intention to treat and
8 subjects who are withdrawn will be included in final analysis.
9

10
11 *Educator recruitment and training:*

12 Each course is taught by two research educators (a paediatric diabetes specialist nurse
13 and a paediatric diabetes dietitian) and one member of the local team. Research and
14 local team educators attend a 5-day teaching skills course developed during the pilot
15 phase with the Department of Education, Sheffield Hallam University. A core training
16 team has been established, comprising the KICK-OFF lead educator, professional
17 educationalist and teachers. It includes a structured school placement, the purpose of
18 which is to familiarise the educators with aspects of the school curriculum, observe
19 experienced teachers in classroom settings and practice selected activities with pupil
20 groups under the guidance of a qualified teacher. The course includes instruction in:
21

- 22 • role of teachers – in comparison with health professionals
 - 23 • training in the KICK-OFF curriculum and teaching materials
 - 24 • use of IT, lap top computers, interactive boards etc in the classroom setting
 - 25 • the pace/timing of sessions
 - 26 • ability to be flexible within the curriculum
 - 27 • behaviour management
 - 28 • motivating, involving all group members
 - 29 • the role of questioning
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33 *Ethical consideration, possible risks and benefits:*

34 The North Sheffield Local Research Ethics Committee approved the study (ref.
35 08/H1308/201).
36

37 During the course, participants are encouraged to discuss diabetes management and
38 how it affects their social, school and family life; future health with diabetes, and
39 other relevant topics such as alcohol, smoking, driving and contraception. All these
40 topics are routinely discussed with this age group in diabetes clinics, as well as in
41 school. Staff are alert to any concerns, and where appropriate may discuss with
42 parents or the child's paediatrician. Child protection or other disclosures would be
43 dealt with according to local Safeguarding Children Policies. The website forum is
44 mediated by a member of the research team.
45

46 Given that intensive insulin regimens are commonly used in this age group it is
47 difficult to envisage significant risks from participation in this study. Given
48 "permission" to eat a less restricted diet there is the possibility that participants may
49 make unhealthier food choices, with potential for weight gain. With improving
50 glycaemic control there is a potential risk of increasing severe hypoglycaemia.
51 Educated in avoidance, recognition and management of hypoglycaemia is an essential
52 part of the course. The course aims to provide children with the skills to match their
53 insulin dose to their food choice and regularly correct their blood sugar. The
54 anticipated benefits are therefore improved blood sugar control, quality of life and
55 self-efficacy. This in turn may lead to less family conflict and better social
56 integration. Study results will be disseminated via peer review journals and oral
57 presentation.
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The control arm:

Children in the control group are already established on, or changed to, a basal-bolus regimen at the start of the study. They will receive the normal educational input provided to children on basal bolus regimens in their clinic. The control centres will be offered the teaching skills course for their team at the end of the 2 year follow-up period.

Assessment:

Assessments are undertaken by the research team and local diabetes team, at baseline, 6 months, 12 months and 24 months. All participants will be allocated a unique identifying number which is used on all data reporting forms and samples. Access to personal information is restricted to the project manager and chief investigator. All data returns are kept in locked files. No personal information will be shared during publication.

Outcome measures:

Primary outcomes are the change in biomedical and psychosocial measures at the end of 6 months, adjusted for baseline. Change between 6 months and 2 years will allow an assessment of sustainability of learning. The research team believe that improving quality of life is a very positive outcome in young people who carry a heavy psychological burden and therefore wish to ensure that this outcome carries equal weight to glycaemic outcomes.

Table 2: Primary/secondary outcomes

Primary outcomes	Secondary outcomes
HbA1c (mmol/mol)	Health economic analysis and modelling of long term cost/benefits
Psychological outcome in parents and children	Evaluation of the KICK-OFF course by educationalists
Number and severity of severe hypoglycaemic episodes. (Categorised as those requiring third party help and seizures).	Diabetic ketoacidosis
	Time off school
	Change in diet
	Changes in BMI
	Evaluation of website use

Biomedical outcomes:

HbA1c is measured by a central laboratory. Body mass index will be calculated from weight and height measurements and pubertal status (which has a potential influence on glycaemic control) will be assessed, using height velocity as a surrogate marker. It was felt that direct assessment of pubertal status through clinical examination would deter recruitment. Episodes of diabetic ketoacidosis and severe hypoglycaemia are assessed by patient recall and from medical records.

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Psychological outcomes:

4 Psychosocial measures have been chosen to reflect the key components of the
5 psychological model (adherence information, motivation, behavioural skills). All
6 measures are completed by children and by one parent: Fear of hypoglycaemia (20);
7 Expectations - a specially developed measure based on the results of our pilot study to
8 determine the child and parents' commitment, enthusiasm and expectations about the
9 course outcomes; Self efficacy for diabetes (21); Quality of life – generic (22) and
10 diabetes specific (23);.

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Health economic analysis:

14 The economic component of this study will be undertaken from the perspective of the
15 UK NHS. The primary measure of outcome for the economic analysis will be the cost
16 per quality adjusted life year (QALY) gained as measured by the HUI2 instrument.
17 The items of resource use relating to educator time and educational and teaching
18 materials will be measured within the trial by means of a semi-structured telephone
19 interview with key educators. The items of resource use relating to primary and
20 secondary care utilisation will be measured by means of the patient report completed
21 throughout the course of the trial cross referenced with resource use information
22 obtained from patient records at participating centres. All resources will be costed
23 using national average unit costs where possible. In the absence of national average
24 unit costs local unit costs will be obtained from individual hospital finance
25 departments

26
27 From an economic perspective, the main measure of effectiveness is the number of
28 QALYs gained. For the estimation of QALYs, a generic health related quality of life
29 instrument is required which allows the estimation of health state utilities. The HUI2
30 is a well validated instrument which has been used successfully in previous studies
31 relating to diabetes and in adolescent children (24, 25, 26, 27). The HUI2 has been
32 designed for self-completion and will be administered to all trial participants and their
33 parents as proxies at the defined time intervals. Parental assessment will facilitate an
34 empirical investigation of the degree of convergence or otherwise between
35 adolescents' assessment of their own health related quality of life and parental
36 assessment of adolescent health related quality of life. The UK general population
37 tariff of utility values for HUI2 defined health states (28) will be used to calculate a
38 QALY gain for each patient using area under the curve methods. These data will then
39 be aggregated to estimate the total QALY gain for intervention and control groups
40 respectively.

41
42
43 The CHU 9D, a new preference based measure of health related quality of life, has
44 been developed in Sheffield, exclusively for and tested with children (29). It consists
45 of 9 questions, each with 5 response options. This will be used as a secondary
46 measure of calculating QALYs.

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49 Mean costs and effectiveness between the intervention and control groups will be
50 compared and incremental cost effectiveness ratios presented (ICERs) in terms of the
51 cost per unit reduction in HbA1c% and the cost per QALY gained. Confidence
52 intervals will be presented around the ICERs. Cost effectiveness acceptability curves
53 for varying threshold values of cost effectiveness will also be presented. Any costs
54 incurred beyond the base year of the evaluation will be discounted at the
55 recommended treasury rate for public sector projects. An assessment of the sensitivity
56 of the results obtained to variation in measured resource use, effectiveness and/or unit
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3 costs will be undertaken using appropriate one-way and multi-way sensitivity
4 analysis.
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7 *Long-term cost effectiveness modelling:*

8 Given that we anticipate a difference in risk factors, particularly HbA1c, between the
9 intervention and control arm, and that these risk factor differences can potentially be
10 maintained over the longer-term, there is a strong economic hypothesis that the
11 upfront investment in the education programme will pay off in terms of avoided
12 clinical events over the longer-term. Reductions in HbA1c will be used to predict
13 reduced long-term complications and improved mortality and QALYs. We will
14 extend this with an updated search. Cost effectiveness models will also account for
15 uncertainty in line with good practice guidance.
16

17
18 *Change in diet:*

19 The KICK-OFF course potentially provides participants with the freedom to widen
20 their dietary choices, although healthy eating is encouraged. The Food Intake
21 Questionnaire is a validated recall questionnaire that has been used to assess dietary
22 intake in children (30).
23

24
25 *Website evaluation:*

26 During development:

- 27 1. Views of young people sought on materials and graphics, to determine the style of
28 the website
29 2. Potential barriers to using the website explored with young people
30 3. All web pages will be assessed with a tool called DISCERN, a brief questionnaire
31 which provides users with a valid and reliable way of assessing the quality of written
32 information on treatment choices for a health problem (31)
33

34 At each follow-up time point (6, 12, 24 months):

- 35 4. From login information, we will identify a) place of use (i.e. during taught session
36 or through own choice at home); b) total number of logins and average duration of use
37 per individual.
38 5. All users are encouraged to complete an online user satisfaction scale to assess
39 acceptability and identify areas for improvement. Phone interviews with a random
40 selection of participants will also be used e.g. to identify barriers to using the website.
41
42

43 *Educational evaluation:*

44 Developing and evaluating complex educational interventions, such as KICK-OFF, is
45 challenging. Many factors will influence outcomes and process evaluation i.e. trying
46 to identify the key active ingredients of such a package is important. Therefore in
47 addition to measuring effect in terms of participant outcomes, we are undertaking
48 independent educational evaluation of the package. Two academic educationalists
49 observe courses, hold focus groups with educators and have informal discussions with
50 participants. They will produce an independent report of the educational content of
51 the KICK-OFF package, identifying areas of effective education and also provide
52 suggestions for change to the curriculum and teaching material. They will also work
53 with the lead research educator to develop quality assurance checklists that can be
54 used to assess consistency of teaching between educator groups and adherence to the
55 learning aims and objectives of the curriculum.
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Participant retention/ missing data

Principal investigators in each centre are sent regular updates regarding completeness of data returns from their participants and encouraged to ensure as complete a data set as possible. Participants are sent a 6 monthly newsletter and all returned questionnaires are entered into a prize draw (a total of 8 throughout the study).

In the case of missing data: information about growth, DKA admissions and severe hypoglycaemia is sought from clinical records. Locally measured HbA1c results are also obtained. At each time point information is collected to identify those who have deviated from protocol by no longer using a basal-bolus insulin regimen or who have moved onto continuous subcutaneous insulin infusion.

Statistical analysis:

Data will be reported according to the CONSORT statement for cluster randomised clinical trials (32). All analyses will be by intention-to treat with a two-sided P-value of < 0.05 being regarded as statistically significant. Baseline characteristics will be compared across intervention groups to ensure the groups are balanced. Where differences are found they will be adjusted for in the analysis. The paediatric diabetes centre will be the unit of randomisation, cluster, intervention and analysis, because that is where the intervention is aimed, though the effect will be evaluated at the patient level.

The primary outcome variable is HbA1c and differences in this between the two study groups at 6 months will be compared using a marginal model, with coefficients and their associated 95% confidence intervals estimated using generalised estimating equations. This type of modelling allows for the clustered nature of the data, in which the observations within clusters are not assumed to be independent. In addition the model will include terms for the stratification factor and any potential confounders in the baseline characteristics. For the other outcomes, including QOL and the anthropometrical measures, differences in the mean values at 6 months will be analysed using a similar model, whilst differences in hypoglycaemia event rates and school attendance will be analysed using a Poisson random effects model. The data will be analysed using STATA v10® software and SAS v9.1 software.

Trial monitoring and management:

The project manager and chief investigator meet weekly and the project management group 3 monthly, with additional meetings as necessary. The project management group comprises the project manager, chief investigator, all co-applicants, study sponsor, and representatives of the Health Economic evaluation team who have been directly involved in study design, data collection and who will be undertaking the health economic analysis. The project management group are involved in all aspects of the study design and progress. Publications will be co-authored by this group.

Database management is undertaken by the Clinical Trials Unit, School of Health and Related Research, University of Sheffield

An independent steering group includes an independent chair (Prof. N Waugh), an independent statistician and paediatric diabetologist and a young person representative.

Centres and participants are communicated with by email and 6 monthly newsletters.

Discussion

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3 KICK-OFF is a highly complex educational intervention that has potential to improve
4 glycaemic control and/or psychological outcomes. Our hypothesis is that behaviour
5 change as a result of attending a KICK-OFF course is likely to take place within 6-12
6 months of the intervention. We felt that 2 year follow-up was necessary to assess
7 sustainability of learning but also accept that the adolescent years are a time of great
8 change and many other confounding factors such as puberty, school and peer pressure
9 will influence adherence to a diabetes regimen and long-term outcomes.

10 Sustainability of learning will also be influenced by ongoing support from local
11 diabetes team. They are asked to run follow-up sessions within 6 months of the
12 intervention and to encourage participants to continue to use their KICK-OFF self-
13 management skills in everyday life. Paediatric diabetes care across the UK is
14 changing rapidly, with many more children using an intensive insulin regimen from
15 diagnosis and also moving onto insulin infusion pumps. Many centres routinely teach
16 carbohydrate counting, though none with an intensive course such as KICK-OFF.
17 Whilst the KICK-OFF course is not specifically designed for those on pumps, many of
18 the skills required to successfully manage a pump are taught on the course. We
19 anticipate that a number of our original cohort will move onto pumps during the study
20 and will examine this group as a subgroup analysis. Change in educational practise by
21 local centres across the study period will also be examined by repeating the
22 stratification process at the end of the study.

23 We aim to reduce inter-educator variability by having just three teams of educators
24 who will all receive specialist teacher training prior to teaching KICK-OFF courses.
25 Practical factors such as weather and illness may impact on attendance at a KICK-OFF
26 course. We shall attempt to provide catch-up education for those who miss days but
27 any participant who is present for < 3 days will be deemed to be non compliant with
28 the intervention.

29 Unlike other interventions we decided not to use the existing HbA1c level as an
30 inclusion or exclusion criteria. We are therefore recruiting participants with a wide
31 range of glycaemic control. Some will have an HbA1c within the recommended target
32 of less than 58 mmol/mol (7.5%) at baseline and therefore may not change. Those
33 with very tight control at baseline may be suffering from frequent hypoglycaemia or
34 hypoglycaemia unawareness. Their glycaemic control could deteriorate somewhat but
35 we hypothesise that concurrent reduction in hypoglycaemia could result in improved
36 quality of life.

37 Structured education, providing knowledge and skills training to young people with
38 diabetes, is an essential component of self-management. We hope that the KICK-OFF
39 study will add important information to the literature by assessing the impact of
40 intensive group education. We acknowledge however that the acquisition of effective
41 self-management skills is highly complex and many other factors such as family
42 support and functioning, diabetes team interaction with families and other pressures
43 within the lives of young people also influence their development.

44 **Acknowledgements:**

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46 application was subject to peer review and minor revisions were made to the protocol
47 as a result of this process. Funders receive annual reports but have no direct influence
48 over study management, data collection or interpretation or publication.

49 The study is sponsored by Sheffield Children's NHS Foundation Trust. Sponsors
50 oversee research governance. They were involved in development of the grant

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application and are represented on the project management group. They have no direct involvement in data collection or interpretation. Overall responsibility for project management and publications rests with the chief investigator and co-applicants.

Competing interests: None of the authors has competing interests in this study.

For peer review only

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Title:

Does an intensive self-management structured education course improve outcomes for children and young people with type 1 diabetes? The Kids In Control OF Food (KICK-OFF) cluster randomised controlled trial protocol.

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Key words: type 1 diabetes mellitus; adolescent; child; education, patient:

Word count: [51794932](#)

Abstract

Introduction: KICK-OFF is a cluster-randomised controlled trial, which aims to determine the efficacy of a 5 day structured education course for 11-16 year olds with type 1 diabetes when compared with standard care, and its cost effectiveness.

Less than 15% of children and young people with type 1 diabetes in the UK meet the recommended glycaemic target. Self-management education programmes for adults with type 1 diabetes improve clinical and psychological outcomes but none have been evaluated in the paediatric population. KICK-OFF is a 5 day structured education course for 11-16 year olds with type 1 diabetes. It was developed with input from young people, parents, teachers and educationalists.

Methods and analysis: 36 paediatric diabetes centres across the UK, randomised into intervention and control arms. Up to 560 participants recruited prior to centre randomisation. KICK-OFF courses are delivered in the intervention centres, with standard care continued in the control arm. Primary outcomes are change in glycaemic control (HbA1c) and quality of life between baseline and 6 months post intervention, and the incidence of severe hypoglycaemia. Sustained change in self-management behaviour is assessed by follow-up at 12 and 24 months. Health economic analysis will be undertaken. Data will be reported according to the CONSORT statement for cluster randomised clinical trials. All analyses will be by intention-to-treat with a two-sided P-value of < 0.05 being regarded as statistically significant. The study commenced in 2008. Data collection from participants is ongoing and the study will be completed in 2013.

Ethics: The study has been approved by the Sheffield Research Ethics Committee.

Dissemination: Results will be reported in peer reviewed journals and conferences.

Trial registration: Current Controlled Trials ISRCTN37042683

Background

Structured education for paediatric diabetes management in the UK:

The glycaemic control of children with type 1 diabetes (T1DM), the key determinant of long term complications and mortality, is less good in the UK than in many other European countries (1). Successive audits in Scotland, England and Wales have shown no improvement in recent years (2,3) and less than 15% achieve the recommended target of an HbA1c of less than 7.5%. Support, education and self-management skills are thought to be key influences on control. Of the educational and psychological interventions that have been reported in children and adolescents, there is considerable diversity both in the methods used and their theoretical underpinnings. These range from simple skills and knowledge acquisition to more complex interventions involving family and friends. In a systematic review commissioned by the National Institute for Health Research (NIHR) Health Technology Assessment programme, Hampson and colleagues highlight a lack of well-designed clinical trials of educational interventions in the UK. They emphasise the need for programme development in the UK to be guided by theory and involve consultation with the various groups of people involved, including patients and their families (4). A more recent update on this systematic review shows some progress in quality and quantity of research but no improved outcomes (5). The systematic review underpinning the National Institute for Health and Clinical Excellence (NICE) appraisal of diabetes education notes a shortage of high quality information regarding the efficacy of education and that most studies exclude children and adolescents. The Department of Health (DH) and Diabetes UK confirm this finding (6) and highlight key criteria for structured education: a structured, written curriculum meeting the learning needs of participants, delivered by trained educators; with quality assurance; and audit.

The DAFNE (**D**ose **A**djustment **F**or **N**ormal **E**ating) course is one current option for adult education. This 5-day outpatient course is adapted from a German adult education model (7). Patients are taught carbohydrate counting and insulin dose algorithms, enabling them to eat freely and administer a dose of insulin that matches the intended meal. Six months after completing the course there was a 0.9% improvement in glycated haemoglobin (HbA1c) levels in the DAFNE group compared with controls, sustained at 0.5% overall improvement by 1 year (8). Furthermore, a quarter of participants improved their HbA1c by more than 1.5% over 12 months without an increase in severe hypoglycaemia. Many described a greatly improved quality of life (QOL) and economic analysis suggests that such a course could pay for itself within 4 years as a result of reduced diabetes related complications (9). The DAFNE course has been identified as the only intervention meeting DH requirements for a structured educational programme in T1DM and has now been rolled out to over 60 centres in UK and Ireland.

Whilst structured education courses have been delivered to children in Germany for many years, there have been no randomised controlled trials (RCT) of a DAFNE-type intervention in children (10). Adolescence is often associated with relatively poor glycaemic control, but is potentially an ideal time to intervene as patients assume responsibility for their own control and disease management (11). The adolescents who participated in the Diabetes Control and Complications Trial (DCCT) intensive management group demonstrated significantly improved control during the 7.4 years of the trial compared with those in the control group (12). During the subsequent four years those in the intensive group have shown progression of retinopathy reduced by

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6 74% compared with controls, despite the fact that the HbA1c levels of both groups
7 converged (13). The benefits of improved glycaemic control clearly continued beyond
8 the duration of the trial, supporting the argument that educational interventions should
9 be offered soon after diagnosis of T1DM. However, we must acknowledge there are
10 potential challenges for young people in undertaking such a regimen. The need for
11 repeated blood tests, carbohydrate portion estimation and multiple insulin injections
12 may compromise quality of life and challenge the cognitive abilities of some young
13 people.

14
15 The KICK-OFF course is based on DAFNE principles and aims to provide young
16 people with self-management skills and strategies to help overcome some of the
17 barriers to effective self-management associated with intensive insulin regimen. It
18 was developed and piloted using the five phase approach recommended by the
19 Medical Research Council (MRC) framework for the development of complex
20 interventions (14), to culminate in this randomised controlled trial. The theoretical
21 phase explored educational and motivational theory, the KICK-OFF package being
22 based on the information-motivation-behavioural model (15). During the development
23 phase of the project we worked with young people, parents, educationalists and school
24 teachers, using the constructivist educational theory, to develop a package which
25 would meet the very varied learning needs of adolescents (16).

26
27 The pilot phase involved 11-16 year olds (n=48) from three centres and demonstrated
28 significant improvements in QOL and self-efficacy at 3 and 6 months post
29 intervention. Glycaemic control showed no significant change overall, though there
30 was a trend to improvement in those with the poorest control at baseline and also in
31 the younger age group (11-13 years) (17). Our pilot work indicated that key
32 ingredients in the KICK-OFF package include involvement of parents and parent-child
33 communication, support of friends without diabetes, creating a feeling of being like
34 everyone else and social support from other young people with diabetes.

35
36 ***The KICK-OFF intervention:***

37 Each course takes place over five consecutive days and is delivered to groups of eight
38 young people in two age bands, 11-13 years or 14-16 years. The curriculum uses a
39 progressive modular structure to improve self-management in a variety of medical
40 and social situations. Knowledge and skills are built up throughout the week with
41 active participant involvement and problem solving as key methods of learning. The
42 key modules include: what is diabetes; food and diabetes; insulin management;
43 management of hypoglycaemia; sick day rules; diabetes in school and social
44 situations. Learning objectives for each day and each session are clearly identified and
45 educators have instructions on session preparation and teaching materials. Lesson
46 plans give guidance on timing and a student activity section serves to give an idea of
47 expected responses. Each meal and snack is used as an opportunity to practise
48 carbohydrate estimation and insulin dose adjustment. Additional support is provided
49 through dedicated parent sessions, involvement of friends and the provision of a
50 school resource pack. Following process evaluation during the pilot phase, the model
51 of parental education has been altered and parents are now invited to a specific parent
52 education session prior to their children attending the 5-day course. This will provide
53 them with a brief guide to the KICK-OFF principles and allow them to better support
54 their child during the early days of the course.

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6 A website developed to support the learning process allows those in the intervention
7 arm interactive practise at carbohydrate counting and access to educational material
8 and a message forum.
9

10 11 **Study objective:**

12 The aim of the study is to assess whether provision of the KICK-OFF structured
13 education course improves clinical and psychological outcomes in adolescents with
14 T1DM, when compared with usual care and education. It also aims to assess cost
15 effectiveness.
16

17 **Methods/Design**

18 *Design:*

19 The KICK-OFF study is a cluster randomised controlled trial. Blinding is not possible
20 as the intervention is evident both to those providing care and those receiving it. In
21 addition, as educational expertise increases within teams, the likelihood of
22 contamination of control groups is high and therefore a cluster randomised design is
23 indicated (18). Centres are therefore randomised to control or intervention arms.

24 To minimise differences in delivery of the course between centres, three teams of
25 educators travel to centres to teach the course alongside members of the local diabetes
26 team,
27

28 *Study duration:*

29 The total study duration is 60 months, with the intervention (KICK-OFF courses)
30 being delivered over a 15-month period. Follow-up is for 2 years post intervention.
31

32 *Setting:*

33 We aimed to recruit patients from up to 36 NHS paediatric diabetes centres in
34 England, Scotland and Wales, with each intervention centre running two age-banded
35 courses. There are eight children in each age-band (11-13 and 14-16 years). 36 centres
36 initially expressed interest in the study, 27 of which acquired research approval and
37 recruited patients. An additional 5 centres were therefore sought when recruitment
38 targets appeared to be compromised by centre withdrawal and lower than anticipated
39 recruitment rates in some centres. 31 centres are therefore participating in the study.
40

41 *Sample size calculations:*

42 Sample size is based upon the primary outcome measure - HbA1c - and is calculated
43 using data on average HbA1C values from the centres that have expressed an interest
44 in participating (by email communication) and the pilot study. Kinmonth et al,
45 examining patient-centred care of diabetes in general practice, estimated the intraclass
46 correlation coefficient as 0.047 for HbA1c (19). Assuming that each centre will run
47 two courses, each including 8 participants, the average cluster size will be 16. Data
48 from the pilot study indicated that the standard deviation of the minimal clinically
49 meaningful difference of 0.5% is between 1.3% and 1.4%. Taking the upper limit of
50 this standard deviation range as a conservative estimate for the standard deviation, the
51 study needs 448 patients in total (224 per group: 14 clusters per group with an average
52 cluster size of 16) in order to have 80% power to detect a difference of 0.5% in
53 HbA1c with a two-sided significance level of 5%. Assuming a 20% loss to follow-up
54 at 12 months, the study requires 560 patients to be recruited from 18 centres per
55 treatment group. The pilot study demonstrated an improvement in both the generic
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and diabetes related QOL scores of at least 7 points (SD: 12). Assuming that there will be no improvement in either score for the control participants, the sample size outlined above will have at least 80% power at the two-sided 5% level to detect a minimum difference of 4.5 points. In addition, this sample size will also have over 80% power at the two-sided 5% level to detect a difference in HUI2 score of 0.03 (SD: 0.08).

Centre randomisation:

Centres are randomised to one of two groups: (1) usual care (control), (2) KICK-OFF course (intervention), in a 1:1 ratio, using a computer generated allocation schedule prepared in advance of the trial to conceal centre allocation. Randomisation takes account of centre stratification according to current educational provision. Three key educational factors have been identified and centres asked to self assess against these, with independent review by the paediatric clinicians.

Inclusion and exclusion criteria:

These are shown in table 1. Participants are not selected on the basis of their existing HbA1c level as it was felt that all children have potential to benefit from the KICK-Off intervention, including those with existing good control.

Table 1: Inclusion/exclusion criteria

<i>Inclusion criteria</i>
T1DM of at least 1 year's duration
Already on or willing to use an intensive insulin regimen (basal – bolus regimen)
Age 11-16 years (in Secondary School years 7-12)
<i>Exclusion criteria</i>
Factors which will impair participation in group education:
Non - English speaking child
Learning disability requiring additional help in school
Major behaviour problems <u>identified by the clinical team, and requiring mental health team involvement</u>
Evidence of an eating disorder
Associated illness that may influence control (treated coeliac disease with at least 6 months on a gluten free diet is not an exclusion)

Patient recruitment:

All eligible families receive written and verbal information regarding the KICK-OFF course from their local diabetes team, who also take assent/consent from both the child and a parent/ legal guardian. Centres are not, at this stage, aware of whether they are control or intervention centres. Recruitment ceases in the centre when a maximum of 16 participants have been recruited and centres is then notified if they are in the control or intervention arm of the study.

Involvement of friends: Each KICK-OFF participant is asked to invite a friend to a half-day session.

Subject withdrawal: Whilst clinical teams are aware of diagnosed behavioural problems and those children are excluded from recruitment, it is possible that challenging behaviour will emerge in some children during the week of the KICK-

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6 OFF course which has not been anticipated. Every effort is made to support them to
7 remain involved but S subjects are ~~are~~ withdrawn ~~from the study~~ if their behaviour
8 during the KICK-OFF course proves, in the view of the educators, to be detrimental to
9 the continued learning of other participants. This is an unlikely occurrence and will
10 only occur after discussion with the child and their parents. Analysis will be by
11 intention to treat and subjects who are withdrawn will be included in final analysis.
12

13 *Educator recruitment and training:*

14 Each course is taught by two research educators (a paediatric diabetes specialist nurse
15 and a paediatric diabetes dietitian) and one member of the local team. Research and
16 local team educators attend a 5-day teaching skills course developed during the pilot
17 phase with the Department of Education, Sheffield Hallam University. A core training
18 team has been established, comprising the KICK-OFF lead educator, professional
19 educationalist and teachers. It includes a structured school placement, the purpose of
20 which is to familiarise the educators with aspects of the school curriculum, observe
21 experienced teachers in classroom settings and practice selected activities with pupil
22 groups under the guidance of a qualified teacher. The course includes instruction in:
23

- 24 • role of teachers – in comparison with health professionals
- 25 • training in the KICK-OFF curriculum and teaching materials
- 26 • use of IT, lap top computers, interactive boards etc in the classroom setting
- 27 • the pace/timing of sessions
- 28 • ability to be flexible within the curriculum
- 29 • behaviour management
- 30 • motivating, involving all group members
- 31 • the role of questioning
- 32

33 *Ethical consideration, possible risks and benefits:*

34 The North Sheffield Local Research Ethics Committee approved the study (ref.
35 08/H1308/201).

36 During the course, participants are encouraged to discuss diabetes management and
37 how it affects their social, school and family life; future health with diabetes, and
38 other relevant topics such as alcohol, smoking, driving and contraception. All these
39 topics are routinely discussed with this age group in diabetes clinics, as well as in
40 school. Staff are alert to any concerns, and where appropriate may discuss with
41 parents or the child's paediatrician. Child protection or other disclosures would be
42 dealt with according to local Safeguarding Children Policies. The website forum is
43 mediated by a member of the research team.

44 Given that intensive insulin regimens are commonly used in this age group it is
45 difficult to envisage significant risks from participation in this study. Given
46 “permission” to eat a less restricted diet there is the possibility that participants may
47 make unhealthier food choices, with potential for weight gain. With improving
48 glycaemic control there is a potential risk of increasing severe hypoglycaemia.
49 Educated in avoidance, recognition and management of hypoglycaemia is an essential
50 part of the course. The course aims to provide children with the skills to match their
51 insulin dose to their food choice and regularly correct their blood sugar. The
52 anticipated benefits are therefore improved blood sugar control, quality of life and
53 self-efficacy. This in turn may lead to less family conflict and better social
54 integration. Study results will be disseminated via peer review journals and oral
55 presentation.
56

The control arm:

Children in the control group are already established on, or changed to, a basal-bolus regimen at the start of the study. They will receive the normal educational input provided to children on basal bolus regimens in their clinic. The control centres will be offered the teaching skills course for their team at the end of the 2 year follow-up period.

Assessment:

Assessments are undertaken by the research team and local diabetes team, at baseline, 6 months, 12 months and 24 months. All participants will be allocated a unique identifying number which is used on all data reporting forms and samples. Access to personal information is restricted to the project manager and chief investigator. All data returns are kept in locked files. No personal information will be shared during publication.

Outcome measures:

Primary outcomes are the change in biomedical and psychosocial measures at the end of 6 months, adjusted for baseline. Change between 6 months and 2 years will allow an assessment of sustainability of learning. The research team believe that improving quality of life is a very positive outcome in young people who carry a heavy psychological burden and therefore wish to ensure that this outcome carries equal weight to glycaemic outcomes.

Table 2: Primary/secondary outcomes

<i>Primary outcomes</i>	<i>Secondary outcomes</i>
HbA1c (mmol/mol)	Health economic analysis and modelling of long term cost/benefits
Psychological outcome in parents and children	Evaluation of the KICK-OFF course by educationalists
Number and severity of <u>severe hypoglycaemic episodes. (Categorised as those requiring third party help and seizures).</u>	Diabetic ketoacidosis
	Time off school
	Change in diet
	Changes in BMI
	Evaluation of website use

Biomedical outcomes:

HbA1c is measured by a central laboratory. Body mass index will be calculated from weight and height measurements and pubertal status (which has a potential influence on glycaemic control) will be assessed, using height velocity as a surrogate marker. It was felt that direct assessment of pubertal status through clinical examination would deter recruitment. Episodes of diabetic ketoacidosis and severe hypoglycaemia are assessed by patient recall and from medical records.

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Psychological outcomes:

7 Psychosocial measures have been chosen to reflect the key components of the
8 psychological model (adherence information, motivation, behavioural skills). All
9 measures are completed by children and by one parent: Fear of hypoglycaemia (20);
10 Expectations - a specially developed measure based on the results of our pilot study to
11 determine the child and parents' commitment, enthusiasm and expectations about the
12 course outcomes; Self efficacy for diabetes (21); Quality of life – generic (22) and
13 diabetes specific (23);
14

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Health economic analysis:

17 The economic component of this study will be undertaken from the perspective of the
18 UK NHS. The primary measure of outcome for the economic analysis will be the cost
19 per quality adjusted life year (QALY) gained as measured by the HUI2 instrument.
20 The items of resource use relating to educator time and educational and teaching
21 materials will be measured within the trial by means of a semi-structured telephone
22 interview with key educators. The items of resource use relating to primary and
23 secondary care utilisation will be measured by means of the patient report completed
24 throughout the course of the trial cross referenced with resource use information
25 obtained from patient records at participating centres. All resources will be costed
26 using national average unit costs where possible. In the absence of national average
27 unit costs local unit costs will be obtained from individual hospital finance
28 departments

29 From an economic perspective, the main measure of effectiveness is the number of
30 QALYs gained. For the estimation of QALYs, a generic health related quality of life
31 instrument is required which allows the estimation of health state utilities. The HUI2
32 is a well validated instrument which has been used successfully in previous studies
33 relating to diabetes and in adolescent children (24, 25, 26, 27). The HUI2 has been
34 designed for self-completion and will be administered to all trial participants and their
35 parents as proxies at the defined time intervals. Parental assessment will facilitate an
36 empirical investigation of the degree of convergence or otherwise between
37 adolescents' assessment of their own health related quality of life and parental
38 assessment of adolescent health related quality of life. The UK general population
39 tariff of utility values for HUI2 defined health states (28) will be used to calculate a
40 QALY gain for each patient using area under the curve methods. These data will then
41 be aggregated to estimate the total QALY gain for intervention and control groups
42 respectively.

43 The CHU 9D, a new preference based measure of health related quality of life, has
44 been developed in Sheffield, exclusively for and tested with children (29). It consists
45 of 9 questions, each with 5 response options. This will be used as a secondary
46 measure of calculating QALYs.

47 Mean costs and effectiveness between the intervention and control groups will be
48 compared and incremental cost effectiveness ratios presented (ICERs) in terms of the
49 cost per unit reduction in HbA1c% and the cost per QALY gained. Confidence
50 intervals will be presented around the ICERs. Cost effectiveness acceptability curves
51 for varying threshold values of cost effectiveness will also be presented. Any costs
52 incurred beyond the base year of the evaluation will be discounted at the
53 recommended treasury rate for public sector projects. An assessment of the sensitivity
54 of the results obtained to variation in measured resource use, effectiveness and/or unit
55

costs will be undertaken using appropriate one-way and multi-way sensitivity analysis.

Long-term cost effectiveness modelling:

Given that we anticipate a difference in risk factors, particularly HbA1c, between the intervention and control arm, and that these risk factor differences can potentially be maintained over the longer-term, there is a strong economic hypothesis that the upfront investment in the education programme will pay off in terms of avoided clinical events over the longer-term. Reductions in HbA1c will be used to predict reduced long-term complications and improved mortality and QALYs. We will extend this with an updated search. Cost effectiveness models will also account for uncertainty in line with good practice guidance.

Change in diet:

The KICK-OFF course potentially provides participants with the freedom to widen their dietary choices, although healthy eating is encouraged. The Food Intake Questionnaire is a validated recall questionnaire that has been used to assess dietary intake in children (30).

Website evaluation:

During development:

1. Views of young people sought on materials and graphics, to determine the style of the website
 2. Potential barriers to using the website explored with young people
 3. All web pages will be assessed with a tool called DISCERN, a brief questionnaire which provides users with a valid and reliable way of assessing the quality of written information on treatment choices for a health problem (31)
- At each follow-up time point (6, 12, 24 months):
4. From login information, we will identify a) place of use (i.e. during taught session or through own choice at home); b) total number of logins and average duration of use per individual.
 5. All users are encouraged to complete an online user satisfaction scale to assess acceptability and identify areas for improvement. Phone interviews with a random selection of participants will also be used e.g. to identify barriers to using the website.

Educational evaluation:

Developing and evaluating complex educational interventions, such as KICK-OFF, is challenging. Many factors will influence outcomes and process evaluation i.e. trying to identify the key active ingredients of such a package is important. Therefore in addition to measuring effect in terms of participant outcomes, we are undertaking independent educational evaluation of the package. Two academic educationalists observe courses, hold focus groups with educators and have informal discussions with participants. They will produce an independent report of the educational content of the KICK-OFF package, identifying areas of effective education and also provide suggestions for change to the curriculum and teaching material. They will also work with the lead research educator to develop quality assurance checklists that can be used to assess consistency of teaching between educator groups and adherence to the learning aims and objectives of the curriculum.

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Participant retention/ missing data

Principal investigators in each centre are sent regular updates regarding completeness of data returns from their participants and encouraged to ensure as complete a data set as possible. Participants are sent a 6 monthly newsletter and all returned questionnaires are entered into a prize draw (a total of 8 throughout the study).

In the case of missing data: information about growth, DKA admissions and severe hypoglycaemia is sought from clinical records. Locally measured HbA1c results are also obtained. At each time point information is collected to identify those who have deviated from protocol by no longer using a basal-bolus insulin regimen or who have moved onto continuous subcutaneous insulin infusion.

Statistical analysis:

Data will be reported according to the CONSORT statement for cluster randomised clinical trials (32). All analyses will be by intention-to treat with a two-sided P-value of < 0.05 being regarded as statistically significant. Baseline characteristics will be compared across intervention groups to ensure the groups are balanced. Where differences are found they will be adjusted for in the analysis. The paediatric diabetes centre will be the unit of randomisation, cluster, intervention and analysis, because that is where the intervention is aimed, though the effect will be evaluated at the patient level.

The primary outcome variable is HbA1c and differences in this between the two study groups at 6 months will be compared using a marginal model, with coefficients and their associated 95% confidence intervals estimated using generalised estimating equations. This type of modelling allows for the clustered nature of the data, in which the observations within clusters are not assumed to be independent. In addition the model will include terms for the stratification factor and any potential confounders in the baseline characteristics. For the other outcomes, including QOL and the anthropometrical measures, differences in the mean values at 6 months will be analysed using a similar model, whilst differences in hypoglycaemia event rates and school attendance will be analysed using a Poisson random effects model. The data will be analysed using STATA v10® software and SAS v9.1 software.

Trial monitoring and management:

The project manager and chief investigator meet weekly and the project management group 3 monthly, with additional meetings as necessary. The project management group comprises the project manager, chief investigator, all co-applicants, study sponsor, and representatives of the Health Economic evaluation team who have been directly involved in study design, data collection and who will be undertaking the health economic analysis. The project management group are involved in all aspects of the study design and progress. Publications will be co-authored by this group. Database management is undertaken by the Clinical Trials Unit, School of Health and Related Research, University of Sheffield

An independent steering group includes an independent chair (Prof. N Waugh), an independent statistician and paediatric diabetologist and a young person representative.

Centres and participants are communicated with by email and 6 monthly newsletters.

Discussion

KICK-OFF is a highly complex educational intervention that has potential to improve glycaemic control and/or psychological outcomes. Our hypothesis is that behaviour change as a result of attending a KICK-OFF course is likely to take place within 6-12 months of the intervention. We felt that 2 year follow-up was necessary to assess sustainability of learning but also accept that the adolescent years are a time of great change and many other confounding factors such as puberty, school and peer pressure will influence adherence to a diabetes regimen and long-term outcomes.

Sustainability of learning will also be influenced by ongoing support from local diabetes team. They are asked to run follow-up sessions within 6 months of the intervention and to encourage participants to continue to use their KICK-OFF self-management skills in everyday life. Paediatric diabetes care across the UK is changing rapidly, with many more children using an intensive insulin regimen from diagnosis and also moving onto insulin infusion pumps. Many centres routinely teach carbohydrate counting, though none with an intensive course such as KICK-OFF. Whilst the KICK-OFF course is not specifically designed for those on pumps, many of the skills required to successfully manage a pump are taught on the course. We anticipate that a number of our original cohort will move onto pumps during the study and will examine this group as a subgroup analysis. Change in educational practise by local centres across the study period will also be examined by repeating the stratification process at the end of the study.

We aim to reduce inter-educator variability by having just three teams of educators who will all receive specialist teacher training prior to teaching KICK-OFF courses. Practical factors such as weather and illness may impact on attendance at a KICK-OFF course. We shall attempt to provide catch-up education for those who miss days but any participant who is present for < 3 days will be deemed to be non compliant with the intervention.

Unlike other interventions we decided not to use the existing HbA1c level as an inclusion or exclusion criteria. We are therefore recruiting participants with a wide range of glycaemic control. Some will have an HbA1c within the recommended target of less than 58 mmol/mol (7.5%) at baseline and therefore may not change. Those with very tight control at baseline may be suffering from frequent hypoglycaemia or hypoglycaemia unawareness. Their glycaemic control could deteriorate somewhat but we hypothesise that concurrent reduction in hypoglycaemia could result in improved quality of life.

Structured education, providing knowledge and skills training to young people with diabetes, is an essential component of self-management. We hope that the KICK-OFF study will add important information to the literature by assessing the impact of intensive group education. We acknowledge however that the acquisition of effective self-management skills is highly complex and many other factors such as family support and functioning, diabetes team interaction with families and other pressures within the lives of young people also influence their development.

Acknowledgements:

This work is funded by Diabetes UK, grant number 07/0003555. [The grant application was subject to peer review and minor revisions were made to the protocol as a result of this process. Funders receive annual reports but have no direct influence over study management, data collection or interpretation or publication.](#)

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6 The study is sponsored by Sheffield Children's NHS Foundation Trust. Sponsors
7 oversee research governance. They were involved in development of the grant
8 application and are represented on the project management group. They have no
9 direct involvement in data collection or interpretation. Overall responsibility for
10 project management and publications rests with the chief investigator and co-
11 applicants.

12
13 **Competing interests:** None of the authors has competing interests in this study.

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CONSORT 2010 checklist of information to include when reporting a randomised trial*

Section/Topic	Item No	Checklist item	Reported on page No
Title and abstract - <i>Does an intensive self-management structured education course improve outcomes for children and young people with type 1 diabetes? The Kids In Control OF Food (KICK-OFF) cluster randomised controlled trial protocol</i>			
	1a	Identification as a randomised trial in the title	1
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	5
Introduction			
Background and objectives	2a	Scientific background and explanation of rationale	3
	2b	Specific objectives or hypotheses	5
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	5
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	5
Participants	4a	Eligibility criteria for participants	6
	4b	Settings and locations where the data were collected	5
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	4
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	8
	6b	Any changes to trial outcomes after the trial commenced, with reasons	
Sample size	7a	How sample size was determined	5
	7b	When applicable, explanation of any interim analyses and stopping guidelines	
Randomisation:			
Sequence generation	8a	Method used to generate the random allocation sequence	6
	8b	Type of randomisation; details of any restriction (such as blocking and block size)	6
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	6
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	6

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2	Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	6
3				
4		11b	If relevant, description of the similarity of interventions	
5	Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	10
6		12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	
7				
8				
9	Results - this submission is a protocol paper for work in progress, data not yet available			
10	Participant flow (a diagram is strongly recommended)	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome	n/a
11		13b	For each group, losses and exclusions after randomisation, together with reasons	n/a
12	Recruitment	14a	Dates defining the periods of recruitment and follow-up	n/a
13		14b	Why the trial ended or was stopped	n/a
14	Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	n/a
15	Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	n/a
16				
17	Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	n/a
18		17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	n/a
19	Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory	n/a
20	Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	n/a
21				
22	Discussion			
23	Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	11
24	Generalisability	21	Generalisability (external validity, applicability) of the trial findings	n/a
25	Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	n/a
26				
27	Other information			
28	Registration	23	Registration number and name of trial registry	Current Controlled Trials ISRCTN3704 2683
29				
30	Protocol	24	Where the full trial protocol can be accessed, if available	n/a
31	Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	Diabetes UK,
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*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see www.consort-statement.org.

For peer review only