



**Surgery versus prolonged conservative treatment for
sciatica:
5-year results of a randomised controlled trial**

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Surgery versus prolonged conservative treatment for sciatica:
5-year results of a randomised controlled trial

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For peer review only

ARTICLE SUMMARY

Article Focus

- The sciatica trial, a randomised controlled trial, showed no significant differences after one and two years of follow-up in disability and pain between patients with severe sciatica for six to eight weeks, allocated for either early surgery or six months of prolonged conservative care
- 20% of all patients reported an unsatisfactory recovery after two years. In this study the five years' follow-up is described and predictors for unsatisfactory recovery are identified

Key Messages

- 8% of all patients never showed any recovery
- In 23% of all patients sciatica results in ongoing complaints, which fluctuate over time, irrespective of treatment
- A strategy of prolonged conservative care with eventually delayed surgery gives a high chance of pain and disability to resolve, although 46% of these patients needed surgery after a few more months of prolonged suffering
- Age over 40 years, severe leg pain at baseline and a higher affective McGill pain score were predictors for an unsatisfactory recovery

Strengths and Limitations

- Five years' follow-up results of a randomised controlled trial
- 18% of the patients were lost to follow-up at five years
- No difference in baseline characteristics between dropouts and patients providing the five years' data

Abstract:

Objective

This study describes the five years' results of the Sciatica trial focused on pain, disability, (un)satisfactory recovery, and predictors for unsatisfactory recovery.

Design

A randomised controlled trial.

Setting

Nine Dutch hospitals

Participants

Five years' follow-up data from 231 of 283 patients (82%) were collected.

Intervention

Early surgery or an intended six months of conservative treatment

Main Outcome measures

Scores from Roland disability questionnaire, visual analogue scale for leg and back pain and a Likert self rating scale of global perceived recovery were analysed.

Results

There were no significant differences between groups on the five years' primary outcome scores. Despite at least six months of conservative treatment 46% of the conservatively allocated patients were treated surgically because of severe leg pain and disability. Forty-nine (21%) patients had an unsatisfactory recovery at five years and the recovery pattern showed that there was a variable group of 66 patients (31%) with at least one unsatisfactory outcome at one, two or five years of follow-up. Multivariate logistic regression showed that age (>40; OR: 2.42 (95% CI: 1.16-5.02)), severity of leg pain (VAS>70; OR: 3.32 (95% CI: 1.69-6.54)), and the Mc Gill affective score (score>3; OR: 6.23 (95% CI: 2.23-17.38)) were the only significant predictors for an unsatisfactory outcome at five years.

Conclusions

In the long term, 8% of the patients with sciatica never showed any recovery and in at least 23%, sciatica appears to result in ongoing complaints, which fluctuate over time, irrespective of treatment. Prolonged conservative care might give patients a fair chance for pain and disability to resolve without surgery, but with the risk to receive delayed surgery after prolonged suffering of sciatica. Age above 40 years, severe leg pain at baseline and a higher affective Mc Gill pain score were predictors for unsatisfactory recovery. Trial Registry ISRCT No 26872154.

Introduction

The lumbosacral radicular syndrome (LSRS), caused by a herniated lumbar disc, is one of the most expensive disorders for society in terms of work absenteeism and disability¹. In 2007, the Sciatica Trial showed that the clinical outcome after one year was not different from prolonged conservative treatment, although recovery within the first year was better with early surgery². In the prolonged conservative treatment group however, 39% of patients crossed over to surgical treatment because of intractable pain within one year, and 44% within two years of follow-up³. Despite the fact that this study showed, along with other randomised controlled trials^{4, 5-7}, that a strategy of prolonged conservative care is safe and reduces the risk for patients of undergoing surgery, the optimal timing of surgery with regard to long-term outcome has still not been defined.

Although LSRS is described in the literature as having a quite favourable course, one might question this assumption as the two years' follow-up showed that about twenty percent of patients report an unsatisfactory outcome on all outcome scales and that the risk to suffer prolonged disability is higher than expected beforehand^{2;3}.

The primary aim of the present study is to compare the pain and disability scores at five years' follow-up between patients in the Sciatica Trial randomised for surgery or randomised for prolonged conservative treatment. The second aim is to evaluate the proportion of patients with an unsatisfactory recovery at five years' follow-up and to identify factors contributing to these unsatisfactory results.

Material and Methods

Design

The study is part of the Sciatica Trial, a multicentre, prospective randomised trial among patients with 6 to 12 weeks of sciatica to determine whether a strategy of early surgery leads to better outcomes during the first year than does a strategy of prolonged conservative treatment for an additional six months followed by surgery for those patients who do not improve.

In summary, patients, 18-65 years of age, with an LSRS with a concomitant disc herniation confirmed by MRI, were eligible for participation. A computer-generated permuted-block scheme was used for randomisation stratified by centre. In the

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3 surgical intervention group, a disc herniation removal through a unilateral transflaval
4 approach using optical magnification was performed. Prolonged conservative
5 treatment regimen was defined by general practitioners and treatment was mainly
6 aimed at resuming daily activities. Patients were notified beforehand that they were
7 participating in a study comparing two different strategies for the timing of
8 intervention rather than comparing surgery with non-surgical treatment.
9 The design and study protocol have been published previously^{2,8}. Baseline
10 characteristics from the Sciatica Trial have been published previously^{2,3} and were
11 combined with the findings obtained from the five years' follow-up of the participants.
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19 Procedures

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21 As a standard procedure, the participants received the same study questionnaires as
22 used for the one and two- year follow-up every year. At approximately five years after
23 study inclusion, the participants were contacted once again by mail but now with an
24 accompanied letter and asked to fill out the study questionnaires as used for the one
25 and two-years' follow-up with extra questions about re-operations. Patients who did
26 not respond initially, were contacted by telephone by a research nurse and asked
27 once again to participate in the study by filling out the questionnaires. The additional
28 5 years' assessment was approved by the local medical ethics committee.
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36 Primary and secondary outcomes

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38 Primary outcome measures consisted of the Roland disability questionnaire (RDQ)
39 for sciatica⁹, a 100 mm visual analogue scale (VAS) for leg pain¹⁰, and a seven-point
40 Likert score of global perceived recovery. Higher RDQ and VAS scores were
41 indicative of the experience of worse disability or greater intensity of pain,
42 respectively. Global perceived recovery was measured with a 7-point Likert self-
43 rating scale. Complete or almost complete disappearance of complaints (Likert
44 scores 1-2) was defined as "satisfactory recovery", whereas Likert scores 3-7 were
45 defined as "unsatisfactory recovery"^{2,8}.
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51 Secondary outcomes were a 100 mm VAS for back pain and the number of
52 (re)operations for severe sciatica in the interval between two and five years. The
53 number of (re)operations for severe sciatica was evaluated by asking patients
54 whether there had been any new operations for severe sciatica in the interval
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3 between two and five years. Additionally, in all participating centres it was checked
4 whether patients had had a treatment because of sciatica in the intervening period.
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7 8 Potential prognostic factors

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10 The prognostic value of demographic and clinical baseline variables for
11 unsatisfactory recovery at five years was evaluated. The initial list of prognostic
12 factors, chosen in advance by the investigators, was based on potential clinical
13 importance, as indicated by earlier clinical results¹¹⁻¹³ The following potential
14 prognostic demographic variables were included in the analysis: age (dichotomised
15 <40/≥ 40), gender, smoking status, BMI (dichotomised <25/≥ 25), physical job
16 (yes/no), or mentally demanding job (yes/no).
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19 The following clinical baseline variables were included in the analysis: level of
20 herniation at the MRI, the presence of a sequester on MRI (yes/no), sciatica
21 provoked by sitting (yes/no), sciatica provoked by coughing/sneezing (yes/no),
22 outcome of Bragard's test (positive/negative), sensory disturbance (yes/no).
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25 Furthermore, the following measurement instruments for general health, mental
26 health, affective score and pain were included: the VAS scores for leg pain, back
27 pain and general health, the Mental Health subscore of the Medical Outcomes Study
28 36-Item Short-Form General Health Survey (SF-36)¹⁴, and the McGill affective
29 score². The VAS score range from 0-100, with higher scores indicating more severe
30 symptoms. For this analysis, dichotomised VAS scores were used (<70/≥70), as
31 described in an earlier study². The SF-36 Mental Health score ranges from 0 to 100,
32 with higher scores indicating less severe symptoms. For this analysis, dichotomised
33 SF-36 Mental Health subscores were used (scores below one standard deviation of
34 the dutch reference population¹ were defined as impaired). The McGill affective score
35 measures the qualitative perception of pain by the patient and ranges from 0-5 where
36 a high score (3-5) is correlated with a more depressed and anxious mood. For this
37 analysis, dichotomised McGill affective scores were used (<3/≥3)².
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51 **Statistical analysis**

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53 The original sample size calculation was based on a difference in RDQ outcome
54 during and in a different speed to recovery during the first year. The main endpoint
55 "recovery" is in principle time-dependent in the sense that it reflects the situation of a
56 patient at a particular moment in time and the situation may also deteriorate
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3 afterwards, so it can change from recovered to non-recovered and back to recovered
4 again, therefore actually being a time-varying dichotomous outcome which should be
5 taken into account when interpreting the results of the analyses. Differences between
6 randomisation groups at baseline and after five years of follow-up were assessed by
7 comparing means, medians, or percentages, depending on the type of variable.
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9 Baseline values of variables were used as covariates in the main analyses whenever
10 appropriate to adjust for possible differences between the randomised groups and to
11 increase the power of the analyses. Outcomes of function and pain over the entire
12 follow-up period were analysed using a repeated measurements analysis of variance
13 with a first order autoregressive covariance matrix. Estimated consecutive scores
14 were expressed as means and 95% confidence intervals. Pointwise estimates were
15 obtained using models with time as a categorical covariate to allow assessment of
16 systematic patterns. Differences between groups in the dichotomised Likert score at
17 five years were evaluated with Fisher's exact test (randomisation group) or Mann-
18 Whitney U (disability and pain scores).

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20 The analyses were done according the intention-to-treat principle, except the
21 comparison of groups with a satisfactory or unsatisfactory recovery.

22
23 Univariate and multivariate analyses were performed to evaluate the prognostic value
24 of baseline variables for an unsatisfactory outcome at five years. The results are
25 presented as odds ratios (ORs) with 95% confidence intervals (CIs). Chi-square tests
26 were used to perform the univariate analyses. Potential predictors with a $p < 0.10$ in
27 the univariate analysis were included in the multivariate logistic regression. The
28 multivariate logistic regression model was performed in a backward approach and
29 included randomisation group irrespective of its significance in the univariate
30 analysis, to control for its influence on the dependent variable.

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32 For all other analyses, $p < 0.05$ was considered significant. Data collection and quality
33 checks were performed with the ProMISe web-based secure data management
34 system of the Department of Medical Statistics and Bioinformatics of Leiden
35 University Medical Centre. For all statistical analyses, SPSS version 18.0 was used.

52 53 Results

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55 Fifty-two of the 283 patients (18%) were lost to follow-up, among them one patient
56 who died after a cardiac bypass operation and 19 patients who refused to participate.
57 The baseline characteristics age, gender, BMI, randomization group, RDQ, and VAS-

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3 scores were not significantly different between the dropouts and those patients
4 providing the five years' follow-up data. Twenty-six of the dropouts were randomised
5 for early surgery. Among the 26 dropouts in the prolonged conservative group, 11
6 (42%) had surgery for sciatica during follow-up.
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10 At five years' follow-up, 66 of the 142 patients (46%) assigned to conservative
11 treatment had had surgery because of intractable sciatica (table 1). Within the first
12 year this was 55 (39%) and after two years 62 (44%). Of the 141 patients allocated
13 for early surgery, 16 (11%) recovered before surgery and were not operated on
14 during the five years of follow-up. Within this five years' period, nine patients (7%) in
15 the early surgery group and eight of the conservatively allocated patients who had
16 surgery (12%), needed recurrent disc surgery. Three patients in the conservative
17 group needed two re-operations or more (table 1).
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21 The primary and secondary outcome scores concerning disability, leg pain and back
22 pain at five years were slightly higher in the early surgery group compared to the
23 prolonged conservative group, however, there were no significant differences (table
24 2, fig 1a-c).
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28 In the total group, after five years, 49 (21%) patients still had an unsatisfactory
29 recovery, defined as not having a complete or almost complete recovery on the
30 dichotomised Likert scale, irrespective of their allocated treatment group (25 patients
31 in the prolonged conservative group, 24 patients in the early surgery group, $p=1.00$).
32 Patients with an unsatisfactory recovery had a significantly higher amount of leg pain,
33 back pain and disability (all p -values <0.01) compared to the group with a satisfactory
34 recovery (table 3). At five years' follow-up, 93 patients (62 men and 31 women, mean
35 age 43.7, SD 9.8) had not had surgery (76 from the conservative group and 16 from
36 the early surgery group) and the percentage recovered (77%) or not-recovered at five
37 years (23%) was not different from the total group.
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41 In the period of five years, 66 of the 213 patients (31%) with collected data at one,
42 two and five years of follow-up had at least one period of unsatisfactory recovery.
43 The pattern of recovery showed 16 patients who did not report any recovery at one,
44 two and five years of follow-up. Twenty-four patients switched from 'not recovered' in
45 the first or second year to 'recovered' at the five-years' analysis. Sixteen of these
46 patients were from the prolonged conservative group. Six of these patients needed
47 an operation of whom four needed a re-operation before they recovered, compared
48 to two of the eight patients in the early surgery group needing a re-operation. Twenty-
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3 six patients showed a good recovery at one and/or two years but not at five years of
4 follow-up (fig 2).

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6 Univariate logistic regression evaluating the relationship between possible prognostic
7 variables and unsatisfactory recovery at five years, irrespective of intermediate
8 recovery, showed that a high McGill affective score (score > 3; OR 6.23 (95% CI:
9 2.23-17.38)) was a significant predictor, as were severe leg pain at baseline (VAS >
10 70; OR 2.95 (95% CI: 1.54-5.64), an impaired score on the SF-36 Mental Health
11 subscale (OR 2.25 (95% CI: 1.16-4.35)), higher age (age > 40; OR 2.22 (95% CI:
12 1.11-4.47)), and a positive Bragard test (OR 1.91(95% CI: 0.97-3.74)) (table 4). The
13 multivariate analysis included all variables that were related to unsatisfactory
14 outcome in the univariate analysis ($p < 0.10$), as well as randomisation group. This
15 analysis showed that a high McGill affective score (score > 3; OR: 4.48 (95% CI:
16 1.43-14.08)), severity of leg pain (VAS > 70; OR: 2.80 (95% CI: 1.39-5.62)), and age
17 (age > 40 years; OR: 2.36 (95% CI: 1.12-5.00)) were the only significant predictors
18 for an unsatisfactory outcome at five years.
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29 Discussion

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31 This long-term follow-up study of the same patient cohort corroborates with earlier 1
32 and 2 year results as no significant differences between randomisation groups in
33 disability, leg pain or back pain are found after five years of follow-up^{2,3}. Eighteen
34 percent of the initial cohort of 283 patients was lost to follow-up after five years. This
35 reduced the power of our latest analysis to some extent. However, the baseline
36 characteristics showed no differences between the included group and the dropouts.
37 The allocated strategy of an extra six months of wait-and-see resulted in a large
38 proportion of delayed surgical treatment (46%) for persistent intense leg pain causing
39 severe disability, despite all kinds of conservative treatment. This means that patients
40 should be informed that prolonged conservative care gives them quite a high chance
41 for resolution of pain and disability without the need of a surgical intervention, but that
42 this strategy also carries a fair chance (46%) that this waiting for the pain to resolve
43 will still end with them needing disc surgery.
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53 The question however, is whether this conclusion is completely accurate. The design
54 of the original study was a comparison of early surgery versus prolonged
55 conservative care for six months, after which surgery was offered when there still
56 were severe complaints. This means that we do not know the precise percentage of
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3 patients who would have become pain free with even longer conservative care,
4 because the majority of these patients was operated on after six months. But on the
5 other hand one might question whether such a proposed long conservative regimen
6 is in proportion with the small risk of a surgical intervention, which provides a better
7 outcome in the first six months, rather than being disabled in daily life during this long
8 period of conservative care. Furthermore, the proportion of patients who were not
9 operated after five years with a satisfactory or unsatisfactory recovery was the same
10 as in the total population, showing that also in the non-operated patients there was a
11 rather high amount of unsatisfactory recovery.
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18 Twenty-one percent of patients experienced unsatisfactory recovery at five years,
19 while 31% of the patients with complete data at one, two and five years of follow-up
20 noted at least once an unsatisfactory recovery during this five years' follow-up period,
21 irrespective of their allocated group. So the optimal timing of surgery is still on
22 debate, as is the question which patients would benefit from surgery and which from
23 prolonged conservative care.
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28 The study design of this five year analysis did not permit us to look properly for the
29 causes of an unsatisfactory recovery. A shortcoming of this study is the fact that
30 there was no permission in the present study to retrieve new MR-images, but a
31 recent study with the same patient population did not show any correlation between
32 MR-images and satisfactory or unsatisfactory recovery at one year¹⁵.
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The patterns of recovery show that 8% of all patients with collected data, had never
had any recovery during the follow-up period, showing that there are not that many
non-responders of conservative or surgical treatment. The other 50 patients (23%)
with an unsatisfactory recovery showed a switch over time from recovered to not-
recovered, or vice versa. This is in line with the idea that sciatica is caused by
chronic disc disease with intermittent nerve compression or inflammation and that
pain can thus re-occur despite any earlier treatment^{16;17}. In the 24 patients who
switched from 'not-recovered' in the first or second year to 'recovered' at the five-
years' analysis, there was a higher amount of patients who needed a re-operation
before recovery occurred in the prolonged conservative group compared to the early
surgery group. This may be caused by less effectiveness of late surgery, although
this could not be proven. This less effectiveness of late surgery compared to early
surgery could be caused by more chronic changes around the disc protrusion or
sequester, causing more difficulty in freeing the nerve from compression.

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3 In our study we could not estimate the effect of early versus late surgery, or surgery
4 versus conservative treatment in an unbiased way since that would require a per
5 protocol analysis. This would ignore the randomised allocation and compare patients
6 who by definition would be incomparable since the design of our study did not
7 envisage a randomisation between early and late surgery but merely allowed for the
8 time of surgery being determined by the assessment of both patient and physician
9 after initial randomisation. The impossibility to capture completely the condition of the
10 patient and the selection mechanism which leads to the decision to operate, or not, at
11 any given point in (follow-up) time, renders any multivariate analysis that attempts to
12 make the groups of early and late operations comparable, biased. This bias has
13 occurred in other randomised studies where this “per protocol” analysis was used
14 and patients who were operated on after a prolonged duration of symptoms from a
15 herniated lumbar disk have been shown to have a worse outcome than patients who
16 were operated on relatively early¹⁸⁻²¹. As a consequence of this “per protocol analysis
17 both groups differed in baseline characteristics such as type of disc herniation,
18 neurological deficit and reported depression, rendering comparison of both groups
19 fallacious²¹.

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21 In this five-years’ analysis the independent prognostic factors for an unsatisfactory
22 outcome were a high McGill affective score, a high amount of leg pain, and age over
23 40 years at baseline. A high McGill affective score correlates with a more depressed
24 and anxious mood², and mental stress, depression or other psychological factors
25 have been widely described as risk factors for development of chronic pain²².

26
27 Although a high amount of pain at baseline can also be caused by psychological
28 factors, it is an independent prognostic factor for an unsatisfactory outcome in this
29 study. Perhaps the severity of nerve root compression at intake predicts the overall
30 outcome, but a correlation between amount of pain and amount of root compression
31 has not been proven²³. In our study, other factors concerning the severity of nerve
32 root damage, such as severe sensibility disturbance, showed no association. In a
33 systematic review of non-surgically treated sciatica none of the three studies that
34 investigated baseline leg pain severity showed a clear prognostic influence on
35 outcome. Age was also not found to be a prognostic factor in six out of seven
36 studies²⁴. The reason for age over 40 years being a prognostic indicator for a
37 unsatisfactory outcome could be because disc herniation is part of a degenerative
38 disease which seems to worsen in time. A study of prognostic factors for
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3 unsatisfactory recovery among operated and unoperated patients did not show leg
4 pain severity and age to be a prognostic factor, but found the variables severe back
5 pain and male gender as predictors for a bad outcome at the one year follow-up^{24;25}.
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7 This was in contrast with our one year study where being female was a prognostic
8 factor for a bad outcome, but in this five years' analysis this also disappeared. The
9 possible prognostic role of gender might be over-estimated in the past.
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13 14 15 16 **Conclusion**

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18 After five years of follow-up there were still no differences in pain and disability
19 between the patients randomised for early surgery or prolonged conservative care.
20 Signs of pain quality associated with depression and a more anxious mood, the age
21 of the patient and the severity of leg pain at baseline were predictive of an
22 unsatisfactory outcome.
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28 In general, patients must be informed that prolonged conservative care might give
29 them a fair chance for pain and disability to resolve without surgery, but with the risk
30 for delayed surgery in the end after a prolonged period of suffering from sciatica.
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35 Furthermore, although the total number of disabled patients without pain free periods
36 in this study seems to be low, in almost one fourth of the patients, sciatica appears to
37 be an ongoing disease with variable complaints in time, irrespective of treatment.
38 These patients need our full attention, and further investigation at 10 years' follow-up
39 is being planned, because this patient category has the highest burden on society
40 concerning work absenteeism and general health costs.
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47 Data sharing: No additional data available.

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49 **Contributors:** The participants in the Leiden-The Hague Spine Intervention Prognostic Study
50 Group were: protocol committee, WCP, BWK, and RTWMT; steering committee, BWK,
51 RTWMT, JAH Eekhof, JTJ Tans, WBvdH, WCP, RB, and HC van Houwelingen; statistical
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Table 1: Baseline and follow-up characteristics of patients with sciatica

Patient characteristics	Early surgery (n=141)	Conservative treatment (n=142)
Baseline characteristics		
Mean (SD) age (years)	41.6 (10.0)	43.3 (9.6)
Male sex	89 (63)	97 (68)
Mean (SD) BMI	25.9 (4.1)	25.5 (3.3)
Mean (SD) duration of sciatica (weeks)	9.43 (2.37)	9.48 (2.11)
Took sick leave from work	107 (76)	116 (82)
Mean (SD) duration of sick leave (weeks)	5.32 (2.78)	5.28 (2.62)
Left sided leg pain	67 (48)	73 (51)
Positive straight leg raising test (SLR)	100 (71)	104 (73)
Positive crossed SLR	71 (50)	70 (49)
Dermatomal sensory loss	123 (87)	128 (90)
Dermatomal anaesthesia	31 (22)	33 (23)
Dermatomal muscle weakness	93 (66)	99 (70)
Knee tendon reflex difference	54 (38)	51 (36)
Ankle tendon reflex difference	75 (53)	107 (75)
Clinically suspected level of herniated disc	-	-
L3-L4	6 (4)	4 (3)
L4-L5	65 (46)	52 (37)
L5-S1	70 (50)	86 (61)
Preference for conservative treatment	42 (30)	43 (30)
Mean (SD) RD score	16.5 (4.4)	16.3 (3.9)

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3	Mean (SD) VAS score	-	-
4			
5	Leg pain	67.3 (19.6)	64.3 (21.2)
6			
7	Back pain	34.0 (29.6)	30.8 (27.7)
8			
9	Mean (SD) SF-36 scores	-	-
10			
11	Bodily pain	21.9 (16.6)	23.9 (18.1)
12			
13	Physical functioning	33.9 (19.6)	34.6 (19.0)
14			
15			

16 Surgical treatment during follow-up

17			
18			
19	Surgery performed in first year	125 (89)	55 (39)
20			
21	Surgery performed during 2 years	125 (89)	62 (44)
22			
23	Surgery performed during 5 years	125 (89)	66 (46)
24			
25	Recurrent disc surgery after 5 years	9 (6)	8 (6)
26			
27	follow-up	9 of 125 (7)	8 of 66 (12)
28			
29	Patients requiring two re-operations or more	0	3
30			
31	Patients who dropped out during 5 year	26 (18)	26 (18)
32			
33			
34			

35 Values are numbers (percentages) unless stated otherwise.

Table 2: Primary and secondary outcomes from early surgery (ES) versus prolonged conservative treatment (CT) for patients with sciatica

Outcome	8 weeks			52 weeks			104 weeks			260 weeks		
	ES	PCT	Difference [95% CI]	ES	PCT	Difference [95% CI]	ES	PCT	Difference [95% CI]	ES	PCT	Difference [95% CI]
Disability*	6.1 (0.5)	9.2 (0.5)	3.2 [1.9-4.4]	3.3 (0.5)	3.7 (0.5)	0.4 [-0.8-1.7]	3.4 (0.5)	2.6 (0.5)	-0.8 [-2.1-0.5]	3.5 (0.5)	3.4 (0.5)	-0.1 [-1.4-1.3]
Leg pain**	10.2 (1.9)	27.9 (1.9)	17.7 [12.5-22.9]	11.0 (1.9)	10.9 (1.9)	-0.1 [-5.3-5.1]	10.9 (2.0)	8.8 (2.0)	-2.0 [-7.5-3.4]	15.6 (2.0)	12.8 (2.0)	-2.7 [-8.4-2.9]
Back pain**	14.4 (2.4)	25.7 (2.4)	11.3 [4.6-18.0]	14.6 (2.4)	16.0 (2.4)	1.4 [-5.3-8.2]	16.0 (2.6)	17.0 (2.5)	1.0 [-6.0-8.1]	20.0 (2.6)	17.0 (2.6)	-3.1 [-10.3-4.2]

* Roland disability questionnaire for sciatica. Score ranges from 0 to 23, with higher scores representing worse disability

** Measured on a 100 mm visual analogue scale, with 0 representing no pain and 100 the worst pain ever experienced

Values are means (SE) unless stated otherwise and are based on intention to treat, confidence interval [CI] assessed with repeated measurements analysis

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Table 3: Primary and secondary outcome scores among patients treated for sciatica according to perceived recovery at five years

Outcome	Disability*		Leg pain†		Back pain†	
	Mean (SD)	Median (IQR)	Mean (SD)	Median (IQR)	Mean (SD)	Median (IQR)
Unsatisfactory recovery (n=49) ‡	11.3 (5.6)	11.0 (6.5-15.5)	42.8 (27.9)	46.0 (17.5-66.0)	48.6 (49.5)	49.5 (32.0-66.75)
Satisfactory recovery (n=182) ‡	1.3 (2.3)	0.0 (0.0-2.0)	6.5 (13.7)	1.0 (0.0-6.0)	10.2 (14.6)	4.0 (0.0-15.0)

IQR=interquartile range

* Roland disability questionnaire for sciatica

† measured on a 100 mm analogue scale

‡The 7-point Likert scale of global perceived recovery was dichotomised to satisfactory outcome (“complete” and “nearly complete” recovery) and unsatisfactory outcome (the other 5 scores ranging from “some recovery” to “severe worsening of complaints”).

Table 4: Univariate and multivariate logistic analysis of predicting factors for unsatisfactory outcome of sciatica

Variable	n	Univariate analysis		p-value	Multivariate analysis		p-value
		OR	95% CI		OR	95% CI	
Randomization							
Surgery	115	0.96	0.51-1.80	0.899			
Conservative	116	1.00	-				
Gender							
Female	75	1.27	0.66-2.46	0.472			
Male	156	1.00	-				
Age							
>= 40	137	2.22	1.11-4.47	0.023	2.36	1.11-5.00	0.024
< 40	94	1.00	-				
Mentally demanding job							
Yes	139	1.17	0.57-2.37	0.669			
No	76	1.00	-				

Physical job

Yes	85	1.39	0.71-2.70	0.339
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No	132	1.00	-	
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Smoking

Yes	84	1.09	0.57-2.09	0.792
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No	142	1.00	-	
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BMI

≥ 25	125	1.19	0.62-2.29	0.605
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< 25	101	1.00	-	
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Sciatica provoked by

sitting

Yes	180	0.73	0.35-1.51	0.397
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No	51	1.00	-	
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Coughing, sneezing

Yes	163	1.06	0.53-2.12	0.881
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No	68	1.00	-	
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Bragard's test							
Positive	62	1.91	0.97-3.74	0.059			
Negative	154	1.00	-				
Sensory disturbance							
Yes	200	1.45	0.47-4.47	0.514			
No	24	1.00	-				
VAS leg pain							
>= 70	89	2.95	1.54-5.64	0.001	2.80	1.39-5.62	0.004
< 70	142	1.00	-				
VAS back pain							
>= 70	34	1.68	0.74-3.80	0.211			
< 70	196	1.00	-				
McGill affective score							
High (3-5)	17	6.227	2.23-17.38	<0.001	4.48	1.43-14.08	0.010
Low (0-2)	209	1.00	-				
MRI-level herniation							

L5S1	125	0.69	0.37-1.31	0.256
L3L4/L4L5	106	1.00	-	
MRI-sequester				
Yes	84	0.85	0.43-1.68	0.643
No	122	1.00	-	
SF-36 Mental Health*				
Impaired	66	2.25	1.16-4.35	0.014
Not impaired	163	1.00	-	
VAS General Health				
>= 70	60	1.36	0.68-2.74	0.382
< 70	168	1.00	-	

Only the numbers of the potential predictors with a $p < 0.10$ in the univariate analysis were shown in the multivariate logistic regression.

*The scores on the SF-36 Mental Health subscale were dichotomised using one standard deviation below the dutch reference population.

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Surgery versus prolonged conservative treatment for sciatica: 5-year results of a randomised controlled trial

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Article Focus

- The sciatica trial, a randomised controlled trial, showed no significant differences after one and two years of follow-up in disability and pain between patients with severe sciatica for six to eight weeks, allocated for either early surgery or six months of prolonged conservative care
- 20% of all patients reported an unsatisfactory recovery after two years. In this study the five years' follow-up is described and predictors for unsatisfactory recovery are identified

Key Messages

- 8% of all patients never showed any recovery
- In 23% of all patients sciatica results in ongoing complaints, which fluctuate over time, irrespective of treatment
- A strategy of prolonged conservative care with eventually delayed surgery gives a high chance of pain and disability to resolve, although 46% of these patients needed surgery after a few more months of prolonged suffering
- Age over 40 years, severe leg pain at baseline and a higher affective McGill pain score were predictors for an unsatisfactory recovery

Strengths and Limitations

- Five years' follow-up results of a randomised controlled trial
- 18% of the patients were lost to follow-up at five years
- No difference in baseline characteristics between dropouts and patients providing the five years' data

Introduction

The lumbosacral radicular syndrome (LSRS), caused by a herniated lumbar disc, is one of the most expensive disorders for society in terms of work absenteeism and disability²¹. In 2007, the Sciatica Trial showed that the clinical outcome after one year was not different from prolonged conservative treatment, although recovery within the first year was better with early surgery¹⁷. In the prolonged conservative treatment group however, 39% of patients crossed over to surgical treatment because of intractable pain within one year, and 44% within two years of follow-up¹⁶. Despite the fact that this study showed, along with other randomised controlled trials^{8, 23-25}, that a strategy of prolonged conservative care is safe and reduces the risk for patients of undergoing surgery, the optimal timing of surgery with regard to long-term outcome has still not been defined.

Although LSRS is described in the literature as having a quite favourable course, one might question this assumption as the two years' follow-up showed that about twenty percent of patients report an unsatisfactory outcome on all outcome scales and that the risk to suffer prolonged disability is higher than expected beforehand^{16, 17}.

The primary aim of the present study is to compare the pain and disability scores at five years' follow-up between patients in the Sciatica Trial randomised for surgery or randomised for prolonged conservative treatment. The second aim is to evaluate the proportion of patients with an unsatisfactory recovery at five years' follow-up and to identify factors contributing to these unsatisfactory results.

Material and Methods

Design

The study is part of the Sciatica Trial, a multicentre, prospective randomised trial among patients with 6 to 12 weeks of sciatica to determine whether a strategy of early surgery leads to better outcomes during the first year than does a strategy of

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3 prolonged conservative treatment for an additional six months followed by surgery for
4 those patients who do not improve.

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6 In summary, patients, 18-65 years of age, with an LSRS with a concomitant disc
7 herniation confirmed by MRI, were eligible for participation. A computer-generated
8 permuted-block scheme was used for randomisation stratified by centre. In the
9 surgical intervention group, a disc herniation removal through a unilateral transflaval
10 approach using optical magnification was performed. Prolonged conservative
11 treatment regimen was defined by general practitioners and treatment was mainly
12 aimed at resuming daily activities. Patients were notified beforehand that they were
13 participating in a study comparing two different strategies for the timing of
14 intervention rather than comparing surgery with non-surgical treatment.

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16 The design and study protocol have been published previously^{17, 18}. Baseline
17 characteristics from the Sciatica Trial have been published previously^{16, 17} and were
18 combined with the findings obtained from the five years' follow-up of the participants.
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27 28 Procedures

29 As a standard procedure, the participants received the same study questionnaires as
30 used for the one and two- year follow-up every year. At approximately five years after
31 study inclusion, the participants were contacted once again by mail but now with an
32 accompanied letter and asked to fill out the study questionnaires as used for the one
33 and two-years' follow-up with extra questions about re-operations. Patients who did
34 not respond initially, were contacted by telephone by a research nurse and asked
35 once again to participate in the study by filling out the questionnaires. The additional
36 5 years' assessment was approved by the local medical ethics committee.
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44 45 Primary and secondary outcomes

46 Primary outcome measures consisted of the Roland disability questionnaire (RDQ)
47 for sciatica¹⁴, a 100 mm visual analogue scale (VAS) for leg pain⁴, and a seven-point
48 Likert score of global perceived recovery. Higher RDQ and VAS scores were
49 indicative of the experience of worse disability or greater intensity of pain,
50 respectively. Global perceived recovery was measured with a 7-point Likert self-
51 rating scale. Complete or almost complete disappearance of complaints (Likert
52 scores 1-2) was defined as "satisfactory recovery", whereas Likert scores 3-7 were
53 defined as "unsatisfactory recovery"^{17, 18}.
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3 Secondary outcomes were a 100 mm VAS for back pain and the number of
4 (re)operations for severe sciatica in the interval between two and five years. The
5 number of (re)operations for severe sciatica was evaluated by asking patients
6 whether there had been any new operations for severe sciatica in the interval
7 between two and five years. Additionally, in all participating centres it was checked
8 whether patients had had a treatment because of sciatica in the intervening period.
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14 Potential prognostic factors

15 The prognostic value of demographic and clinical baseline variables for
16 unsatisfactory recovery at five years was evaluated. The initial list of prognostic
17 factors, chosen in advance by the investigators, was based on potential clinical
18 importance, as indicated by earlier clinical results^{7, 15, 22} The following potential
19 prognostic demographic variables were included in the analysis: age (dichotomised
20 <40/≥ 40), gender, smoking status, BMI (dichotomised <25/≥ 25), physical job
21 (yes/no), or mentally demanding job (yes/no).
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28 The following clinical baseline variables were included in the analysis: level of
29 herniation at the MRI, the presence of a sequester on MRI (yes/no), sciatica
30 provoked by sitting (yes/no), sciatica provoked by coughing/sneezing (yes/no),
31 outcome of Bragard's test (positive/negative), sensory disturbance (yes/no).
32 Furthermore, the following measurement instruments for general health, mental
33 health, affective score and pain were included: the VAS scores for leg pain, back
34 pain and general health, the Mental Health subscore of the Medical Outcomes Study
35 36-Item Short-Form General Health Survey (SF-36)³, and the McGill affective
36 score¹⁷. The VAS score range from 0-100, with higher scores indicating more severe
37 symptoms. For this analysis, dichotomised VAS scores were used (<70/≥70), as
38 described in an earlier study¹⁷. The SF-36 Mental Health score ranges from 0 to 100,
39 with higher scores indicating less severe symptoms. For this analysis, dichotomised
40 SF-36 Mental Health subscores were used (scores below one standard deviation of
41 the dutch reference population¹ were defined as impaired). The McGill affective score
42 measures the qualitative perception of pain by the patient and ranges from 0-5 where
43 a high score (3-5) is correlated with a more depressed and anxious mood. For this
44 analysis, dichotomised McGill affective scores were used (<3/≥3)¹⁷.
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58 **Statistical analysis**

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3 The original sample size calculation was based on a difference in RDQ outcome
4 during and in a different speed to recovery during the first year. The main endpoint
5 “recovery” is in principle time-dependent in the sense that it reflects the situation of a
6 patient at a particular moment in time and the situation may also deteriorate
7 afterwards, so it can change from recovered to non-recovered and back to recovered
8 again, therefore actually being a time-varying dichotomous outcome which should be
9 taken into account when interpreting the results of the analyses. Differences between
10 randomisation groups at baseline and after five years of follow-up were assessed by
11 comparing means, medians, or percentages, depending on the type of variable.
12 Baseline values of variables were used as covariates in the main analyses whenever
13 appropriate to adjust for possible differences between the randomised groups and to
14 increase the power of the analyses. Outcomes of function and pain over the entire
15 follow-up period were analysed using a repeated measurements analysis of variance
16 with a first order autoregressive covariance matrix. Estimated consecutive scores
17 were expressed as means and 95% confidence intervals. Pointwise estimates were
18 obtained using models with time as a categorical covariate to allow assessment of
19 systematic patterns. Differences between groups in the dichotomised Likert score at
20 five years were evaluated with Fisher’s exact test (randomisation group) or Mann-
21 Whitney U (disability and pain scores).
22 The analyses were done according the intention-to-treat principle, except the
23 comparison of groups with a satisfactory or unsatisfactory recovery.
24 Univariate and multivariate analyses were performed to evaluate the prognostic value
25 of baseline variables for an unsatisfactory outcome at five years. The results are
26 presented as odds ratios (ORs) with 95% confidence intervals (CIs). Chi-square tests
27 were used to perform the univariate analyses. Potential predictors with a $p < 0.10$ in
28 the univariate analysis were included in the multivariate logistic regression. The
29 multivariate logistic regression model was performed in a backward approach and
30 included randomisation group irrespective of its significance in the univariate
31 analysis, to control for its influence on the dependent variable.
32 For all other analyses, $p < 0.05$ was considered significant. Data collection and quality
33 checks were performed with the ProMISe web-based secure data management
34 system of the Department of Medical Statistics and Bioinformatics of Leiden
35 University Medical Centre. For all statistical analyses, SPSS version 18.0 was used.
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Results

Fifty-two of the 283 patients (18%) were lost to follow-up, among them one patient who died after a cardiac bypass operation and 19 patients who refused to participate. The baseline characteristics age, gender, BMI, randomization group, RDQ, and VAS-scores were not significantly different between the dropouts and those patients providing the five years' follow-up data. Twenty-six of the dropouts were randomised for early surgery. Among the 26 dropouts in the prolonged conservative group, 11 (42%) had surgery for sciatica during follow-up.

At five years' follow-up, 66 of the 142 patients (46%) assigned to conservative treatment had had surgery because of intractable sciatica (table 1). Within the first year this was 55 (39%) and after two years 62 (44%). Of the 141 patients allocated for early surgery, 16 (11%) recovered before surgery and were not operated on during the five years of follow-up. Within this five years' period, nine patients (7%) in the early surgery group and eight of the conservatively allocated patients who had surgery (12%), needed recurrent disc surgery. Three patients in the conservative group needed two re-operations or more (table 1).

The primary and secondary outcome scores concerning disability, leg pain and back pain at five years were slightly higher in the early surgery group compared to the prolonged conservative group, however, there were no significant differences (table 2, fig 1a-c).

In the total group, after five years, 49 (21%) patients still had an unsatisfactory recovery, defined as not having a complete or almost complete recovery on the dichotomised Likert scale, irrespective of their allocated treatment group (25 patients in the prolonged conservative group, 24 patients in the early surgery group, $p=1.00$). Patients with an unsatisfactory recovery had a significantly higher amount of leg pain, back pain and disability (all p -values <0.01) compared to the group with a satisfactory recovery (table 3). At five years' follow-up, 93 patients (62 men and 31 women, mean age 43.7, SD 9.8) had not had surgery (76 from the conservative group and 16 from the early surgery group) and the percentage recovered (77%) or not-recovered at five years (23%) was not different from the total group.

In the period of five years, 66 of the 213 patients (31%) with collected data at one, two and five years of follow-up had at least one period of unsatisfactory recovery. The pattern of recovery showed 16 patients who did not report any recovery at one, two and five years of follow-up. Twenty-four patients switched from 'not recovered' in

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3 the first or second year to 'recovered' at the five-years' analysis. Sixteen of these
4 patients were from the prolonged conservative group. Six of these patients needed
5 an operation of whom four needed a re-operation before they recovered, compared
6 to two of the eight patients in the early surgery group needing a re-operation. Twenty-
7 six patients showed a good recovery at one and/or two years but not at five years of
8 follow-up (fig 2).
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12 Univariate logistic regression evaluating the relationship between possible prognostic
13 variables and unsatisfactory recovery at five years, irrespective of intermediate
14 recovery, showed that a high McGill affective score (score > 3; OR 6.23 (95% CI:
15 2.23-17.38)) was a significant predictor, as were severe leg pain at baseline (VAS >
16 70; OR 2.95 (95% CI: 1.54-5.64), an impaired score on the SF-36 Mental Health
17 subscale (OR 2.25 (95% CI: 1.16-4.35)), higher age (age > 40; OR 2.22 (95% CI:
18 1.11-4.47)), and a positive Bragard test (OR 1.91(95% CI: 0.97-3.74)) (table 4). The
19 multivariate analysis included all variables that were related to unsatisfactory
20 outcome in the univariate analysis ($p < 0.10$), as well as randomisation group. This
21 analysis showed that a high McGill affective score (score > 3; OR: 4.48 (95% CI:
22 1.43-14.08)), severity of leg pain (VAS > 70; OR: 2.80 (95% CI: 1.39-5.62)), and age
23 (age > 40 years; OR: 2.36 (95% CI: 1.12-5.00)) were the only significant predictors
24 for an unsatisfactory outcome at five years.
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36 Discussion

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38 This long-term follow-up study of the same patient cohort corroborates with earlier 1
39 and 2 year results as no significant differences between randomisation groups in
40 disability, leg pain or back pain are found after five years of follow-up^{16, 17}. Eighteen
41 percent of the initial cohort of 283 patients was lost to follow-up after five years. This
42 reduced the power of our latest analysis to some extent. However, the baseline
43 characteristics showed no differences between the included group and the dropouts.
44
45 The allocated strategy of an extra six months of wait-and-see resulted in a large
46 proportion of delayed surgical treatment (46%) for persistent intense leg pain causing
47 severe disability, despite all kinds of conservative treatment. This means that patients
48 should be informed that prolonged conservative care gives them quite a high chance
49 for resolution of pain and disability without the need of a surgical intervention, but that
50 this strategy also carries a fair chance (46%) that this waiting for the pain to resolve
51 will still end with them needing disc surgery.
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3 The question however, is whether this conclusion is completely accurate. The design
4 of the original study was a comparison of early surgery versus prolonged
5 conservative care for six months, after which surgery was offered when there still
6 were severe complaints. This means that we do not know the precise percentage of
7 patients who would have become pain free with even longer conservative care,
8 because the majority of these patients was operated on after six months. But on the
9 other hand one might question whether such a proposed long conservative regimen
10 is in proportion with the small risk of a surgical intervention, which provides a better
11 outcome in the first six months, rather than being disabled in daily life during this long
12 period of conservative care. Furthermore, the proportion of patients who were not
13 operated after five years with a satisfactory or unsatisfactory recovery was the same
14 as in the total population, showing that also in the non-operated patients there was a
15 rather high amount of unsatisfactory recovery.

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Twenty-one percent of patients experienced unsatisfactory recovery at five years,
while 31% of the patients with complete data at one, two and five years of follow-up
noted at least once an unsatisfactory recovery during this five years' follow-up period,
irrespective of their allocated group. So the optimal timing of surgery is still on
debate, as is the question which patients would benefit from surgery and which from
prolonged conservative care.

The study design of this five year analysis did not permit us to look properly for the
causes of an unsatisfactory recovery. A shortcoming of this study is the fact that
there was no permission in the present study to retrieve new MR-images, but a
recent study with the same patient population did not show any correlation between
MR-images and satisfactory or unsatisfactory recovery at one year⁵.

The patterns of recovery show that 8% of all patients with collected data, had never
had any recovery during the follow-up period, showing that there are not that many
non-responders of conservative or surgical treatment. The other 50 patients (23%)
with an unsatisfactory recovery showed a switch over time from recovered to not-
recovered, or vice versa. This is in line with the idea that sciatica is caused by
chronic disc disease with intermittent nerve compression or inflammation and that
pain can thus re-occur despite any earlier treatment^{10, 13}. In the 24 patients who
switched from 'not-recovered' in the first or second year to 'recovered' at the five-
years' analysis, there was a higher amount of patients who needed a re-operation
before recovery occurred in the prolonged conservative group compared to the early

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3 surgery group. This may be caused by less effectiveness of late surgery, although
4 this could not be proven. This less effectiveness of late surgery compared to early
5 surgery could be caused by more chronic changes around the disc protrusion or
6 sequester, causing more difficulty in freeing the nerve from compression.
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10 In our study we could not estimate the effect of early versus late surgery, or surgery
11 versus conservative treatment in an unbiased way since that would require a per
12 protocol analysis. This would ignore the randomised allocation and compare patients
13 who by definition would be incomparable since the design of our study did not
14 envisage a randomisation between early and late surgery but merely allowed for the
15 time of surgery being determined by the assessment of both patient and physician
16 after initial randomisation. The impossibility to capture completely the condition of the
17 patient and the selection mechanism which leads to the decision to operate, or not, at
18 any given point in (follow-up) time, renders any multivariate analysis that attempts to
19 make the groups of early and late operations comparable, biased. This bias has
20 occurred in other randomised studies where this “per protocol” analysis was used
21 and patients who were operated on after a prolonged duration of symptoms from a
22 herniated lumbar disk have been shown to have a worse outcome than patients who
23 were operated on relatively early^{9, 11, 12, 20}. As a consequence of this “per protocol
24 analysis both groups differed in baseline characteristics such as type of disc
25 herniation, neurological deficit and reported depression, rendering comparison of
26 both groups fallacious²⁰.
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29 In this five-years’ analysis the independent prognostic factors for an unsatisfactory
30 outcome were a high McGill affective score, a high amount of leg pain, and age over
31 40 years at baseline. A high McGill affective score correlates with a more depressed
32 and anxious mood¹⁷, and mental stress, depression or other psychological factors
33 have been widely described as risk factors for development of chronic pain¹⁹.
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36 Although a high amount of pain at baseline can also be caused by psychological
37 factors, it is an independent prognostic factor for an unsatisfactory outcome in this
38 study. Perhaps the severity of nerve root compression at intake predicts the overall
39 outcome, but a correlation between amount of pain and amount of root compression
40 has not been proven¹. In our study, other factors concerning the severity of nerve
41 root damage, such as severe sensibility disturbance, showed no association. In a
42 systematic review of non-surgically treated sciatica none of the three studies that
43 investigated baseline leg pain severity showed a clear prognostic influence on
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3 outcome. Age was also not found to be a prognostic factor in six out of seven
4 studies². The reason for age over 40 years being a prognostic indicator for a
5 unsatisfactory outcome could be because disc herniation is part of a degenerative
6 disease which seems to worsen in time. A study of prognostic factors for
7 unsatisfactory recovery among operated and unoperated patients did not show leg
8 pain severity and age to be a prognostic factor, but found the variables severe back
9 pain and male gender as predictors for a bad outcome at the one year follow-up^{2, 6}.
10 This was in contrast with our one year study where being female was a prognostic
11 factor for a bad outcome, but in this five years' analysis this also disappeared. The
12 possible prognostic role of gender might be over-estimated in the past.
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23 Conclusion

24 After five years of follow-up there were still no differences in pain and disability
25 between the patients randomised for early surgery or prolonged conservative care.
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28 Signs of pain quality associated with depression and a more anxious mood, the age
29 of the patient and the severity of leg pain at baseline were predictive of an
30 unsatisfactory outcome.
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34 In general, patients must be informed that prolonged conservative care might give
35 them a fair chance for pain and disability to resolve without surgery, but with the risk
36 for delayed surgery in the end after a prolonged period of suffering from sciatica.
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40 Furthermore, although the total number of disabled patients without pain free periods
41 in this study seems to be low, in almost one fourth of the patients, sciatica appears to
42 be an ongoing disease with variable complaints in time, irrespective of treatment.
43 These patients need our full attention, and further investigation at 10 years' follow-up
44 is being planned, because this patient category has the highest burden on society
45 concerning work absenteeism and general health costs.
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52 Extra data is available by emailing the first author.
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9 • Contributors: The participants in the Leiden-The Hague Spine Intervention Prognostic
10 Study Group were: protocol committee, WCP, BWK, and RTWMT; steering
11 committee, BWK, RTWMT, JAH Eekhof, JTJ Tans, WBvdH, WCP, RB, and HC van
12 Houwelingen; statistical analysis, WBvdH; research nurses and data collection and
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14 Waanders, L Polak, A Nieborg; coordinating physicians of participating hospitals, JTJ
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24 Leiden). WCP is guarantor for the study.
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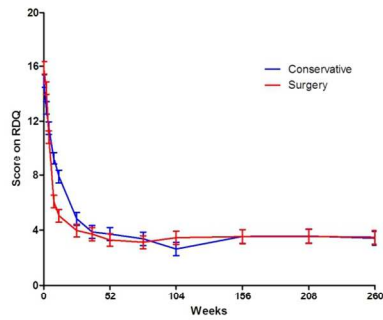
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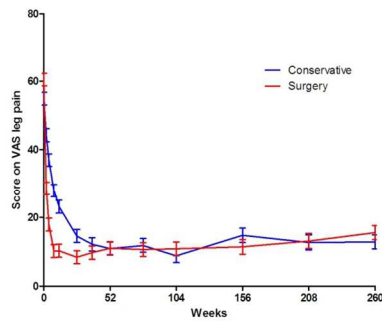
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Figure 1a.



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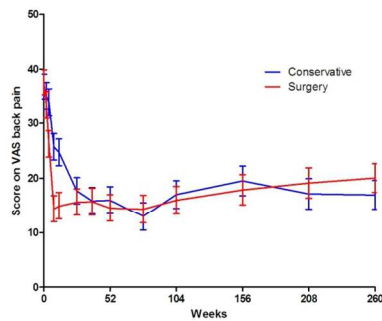


Fig 1a-c: Repeated measurement analysis curves of mean scores for Roland disability questionnaire (top panel) and visual analogue scales for leg pain and back pain (lower panels).
90x146mm (300 x 300 DPI)

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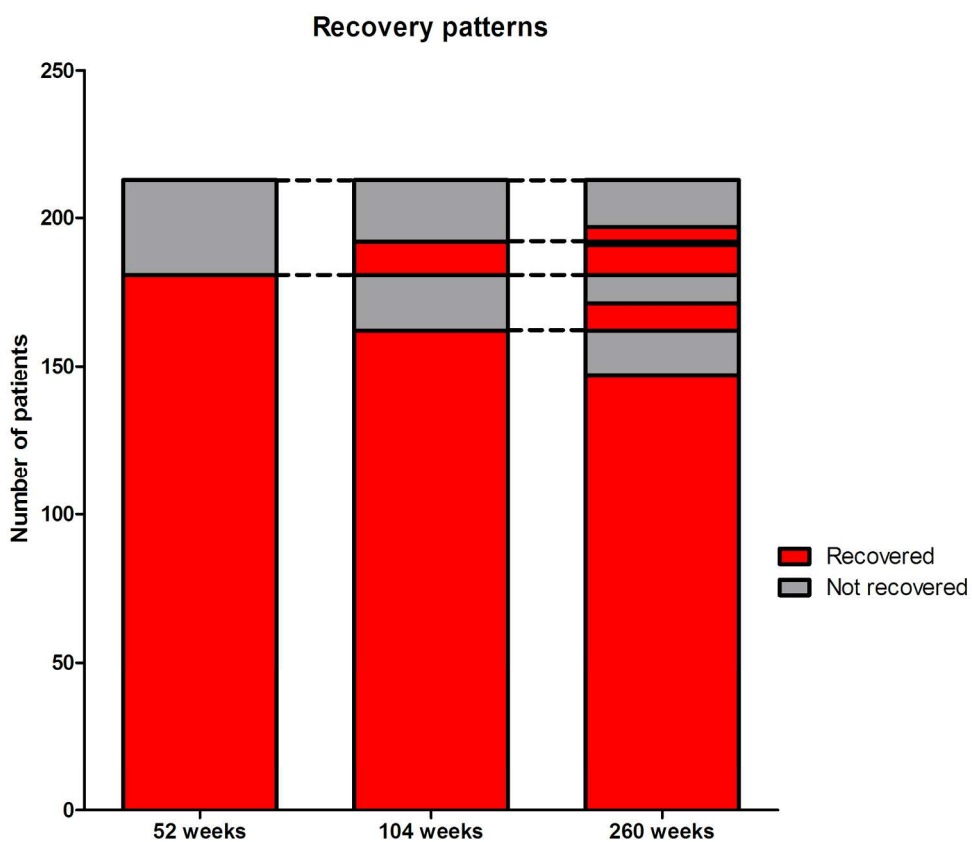
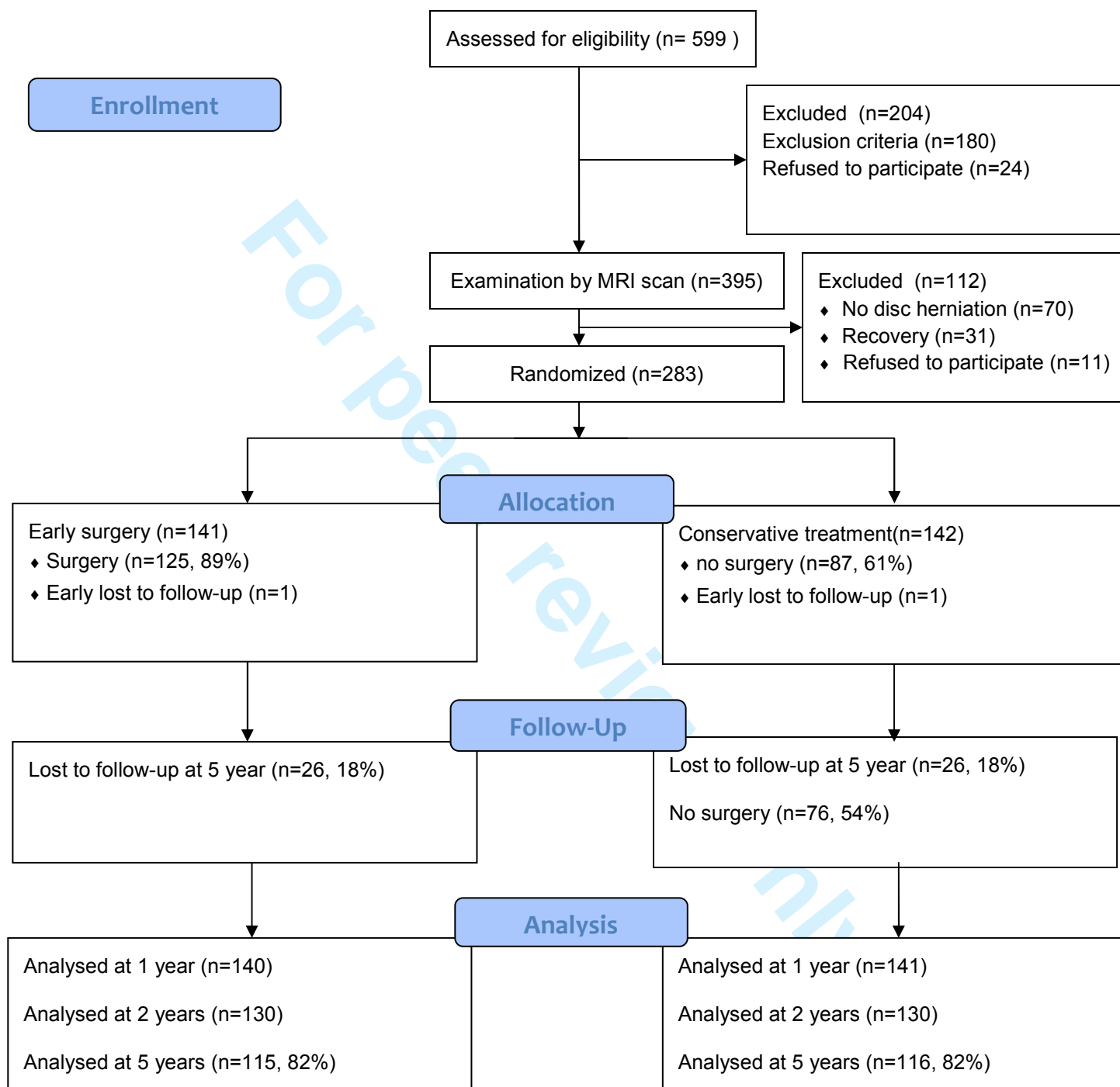


Fig 2 patterns of recovery between results of the one, two and five years' analysis with a dichotomized Likert score for perceived recovery.
182x158mm (300 x 300 DPI)

only

CONSORT 2010 Flow Diagram





CONSORT 2010 checklist of information to include when reporting a randomised trial*

Section/Topic	Item No	Checklist item	Reported on page No
Title and abstract			
	1a	Identification as a randomised trial in the title	1
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	1
Introduction			
Background and objectives	2a	Scientific background and explanation of rationale	2
	2b	Specific objectives or hypotheses	2
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	3
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	
Participants	4a	Eligibility criteria for participants	3
	4b	Settings and locations where the data were collected	3
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	3
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	4
	6b	Any changes to trial outcomes after the trial commenced, with reasons	
Sample size	7a	How sample size was determined	See previous article BMJ . 2008 Jun 14;336(7657): 1355-8. doi: 10.1136/bmj.a143. Epub 2008 May 23.
	7b	When applicable, explanation of any interim analyses and stopping guidelines	Non applicable

1			
2	Randomisation:		
3	Sequence	8a	Method used to generate the random allocation sequence
4	generation		See the remark above
5			
6		8b	Type of randomisation; details of any restriction (such as blocking and block size)
7	Allocation	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers),
8	concealment		describing any steps taken to conceal the sequence until interventions were assigned
9	mechanism		
10	Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions
11			
12	Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how
13			
14		11b	If relevant, description of the similarity of interventions
15			
16	Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes
17		12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses
18			5
19			5 plus 6
20	Results		
21	Participant flow (a	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and
22	diagram is strongly		were analysed for the primary outcome
23	recommended)	13b	For each group, losses and exclusions after randomisation, together with reasons
24	Recruitment	14a	Dates defining the periods of recruitment and follow-up
25		14b	Why the trial ended or was stopped
26	Baseline data	15	A table showing baseline demographic and clinical characteristics for each group
27			Included table1
28			
29	Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups
30			Table 1
31	Outcomes and	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)
32	estimation		Table 2
33		17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended
34	Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory
35			Page 6 and 7
36	Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)
37			none
38	Discussion		
39	Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses
40	Generalisability	21	Generalisability (external validity, applicability) of the trial findings
41			Page 7-10
42			7-10

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2	Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	yes
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4	Other information			
5	Registration	23	Registration number and name of trial registry	In the abstract
6	Protocol	24	Where the full trial protocol can be accessed, if available	BMC
7				Musculoskelet
8				Disord. 2005
9				Feb 11;6:8.
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12	Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	none
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15 *We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also
 16 recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials.
 17 Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see www.consort-statement.org.