

# Two Distinct Families of Human and Bovine Interferon- $\alpha$ Genes Are Coordinately Expressed and Encode Functional Polypeptides

DANIEL J. CAPON, H. MICHAEL SHEPARD, AND DAVID V. GOEDDEL\*

*Department of Molecular Biology, Genentech, Inc., South San Francisco, California 94080*

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The classical human interferon- $\alpha$  (HuIFN- $\alpha$ ) gene family is estimated to consist of 15 or more nonallelic members which encode proteins sharing greater than 77% amino acid sequence homology. Low-stringency hybridization with a HuIFN- $\alpha$  cDNA probe permitted the isolation of two distinct classes of bovine IFN- $\alpha$  genes. The first subfamily (class I) is more closely related to the known HuIFN- $\alpha$  genes than to the second subfamily (class II) of bovine IFN- $\alpha$  genes. Extensive analysis of the human genome has revealed a HuIFN- $\alpha$  gene subfamily corresponding to the class II bovine IFN- $\alpha$  genes. The class I human and bovine IFN- $\alpha$  genes encode mature IFN polypeptides of 165 to 166 amino acids, whereas the class II IFN- $\alpha$  genes encode 172 amino acid proteins. Expression in *Escherichia coli* of members of both gene subfamilies results in polypeptides having potent antiviral activity. In contrast to previous studies which found no evidence of class II IFN- $\alpha$  protein or mRNA expression, we demonstrate that the class I and class II IFN- $\alpha$  genes are coordinately induced in response to viral infection.

Interferons are secreted proteins produced by the cells of most vertebrates in response to viruses or other agents and are characterized by their ability to induce an antiviral state in a variety of target cells (reviewed in reference 53). Interferons have been shown to modulate the activity of T and B lymphocytes, natural killer cells, macrophages, and other cells involved in the immune response and to regulate the growth of tumor cells and other proliferating cell types. Several types of interferons have been differentiated on the basis of cellular origin and biochemical and antigenic properties. Interferon- $\alpha$  (IFN- $\alpha$ ) and interferon- $\beta$  (IFN- $\beta$ ) represent the major interferons synthesized by leukocytes and fibroblasts, respectively, after treatment with viruses, double-stranded RNA, or other inducers. IFN- $\gamma$  is produced by T lymphocytes that have been stimulated by mitogens or specific antigens. Despite many similarities in their biological functions, however, these three types of interferon are distinguished by profound differences in structure and gene organization.

Human IFN- $\alpha$  (HuIFN- $\alpha$ ) is encoded by a family of at least 15 related genes (15, 17, 23, 26, 35, 36, 55, 59, 65). The HuIFN- $\alpha$  genes which have been characterized lack introns, and most appear to be functional, although the extent of expression varies greatly (15, 21). The members of this family are related by greater than 85% nucleotide homology in their coding regions. HuIFN- $\beta$  and HuIFN- $\gamma$  are specified by unique genes (11, 16, 19, 56). The gene for HuIFN- $\beta$  lacks introns (9, 25, 38) and encodes a protein possessing 29% amino acid homology with HuIFN- $\alpha$ 1, suggesting that IFN- $\alpha$  and IFN- $\beta$  genes have evolved from a common ancestor (56). By contrast, the HuIFN- $\gamma$  coding region is divided by three introns (18) and exhibits extremely limited amino acid homology with HuIFN- $\alpha$  (13, 19). Interestingly, although only a single HuIFN- $\beta$  gene has been unequivocally identified, bovine IFN- $\beta$  (BoIFN- $\beta$ ) is encoded by a family of five or more homologous, yet distinct genes (25a). Moreover, the

size of the IFN- $\beta$  gene family varies considerably among different mammalian species (25a, 67).

In this paper we present evidence that the IFN- $\alpha$  gene family is more diverse than previously shown. DNA sequence analysis of IFN- $\alpha$  genes isolated from a bovine library has revealed one set of IFN- $\alpha$  genes similar to previously characterized HuIFN- $\alpha$  genes (class I) and a novel family of IFN- $\alpha$  genes with distinct structural characteristics (class II). A HuIFN- $\alpha$  gene representing a subfamily of homologous class II human genes also has been isolated. Members of both IFN- $\alpha$  gene classes are induced by viruses and encode polypeptides with antiviral activity.

## MATERIALS AND METHODS

**Hybridization conditions and probes.** Hybridizations were performed in  $5\times$  SSC ( $1\times$  SSC is 0.15 M NaCl plus 0.015 M sodium citrate)- $5\times$  Denhardt solution (10)-0.1% sodium dodecyl sulfate-0.1% sodium pyrophosphate-50  $\mu$ g of sonicated denatured salmon sperm DNA per ml-10% sodium dextran sulfate, containing either 20 or 50% formamide for nonstringent or stringent conditions, respectively. After incubation at 42°C, filters were washed at room temperature in  $2\times$  SSC-0.2% sodium dodecyl sulfate (nonstringent) or at 42°C in  $0.2\times$  SSC-0.1% sodium dodecyl sulfate (stringent).  $^{32}$ P-labeled probes were prepared as described by Taylor et al. (57). For the analysis of class I and II genes in bovine and human DNA at high stringency (see Fig. 4), the following fragments were used (each contained the mature coding region of the corresponding IFN- $\alpha$  gene): class I bovine, the 540-base-pair (bp) *Xba*I-*Ava*II fragment of pBoIFN- $\alpha$ 1; class II bovine, the 598-bp *Eco*RI-*Xmn*I fragment of pBoIFN- $\alpha$ II1; class I human, the 565-bp *Eco*RI fragment of pHuIFN- $\alpha$ 1/2/1 *Bgl*III hybrid (62); class II human, the 390-bp *Xba*I-*Acc*I fragment of pHuIFN- $\alpha$ II1 (see Fig. 1 for a description of the plasmids).

**Construction and screening of phage libraries.** For the isolation of BoIFN- $\alpha$  genes, high-molecular-weight bovine DNA was prepared from frozen pancreas by the method of

\* Corresponding author.

Blin and Stafford (3) and partially digested with *Sau3A*. DNA fragments of 15 to 20 kilobases (kb) were enriched by preparative sucrose gradient centrifugation (28), ligated with a twofold molar excess of isolated arms of *Bam*HI-digested bacteriophage  $\lambda$  Charon 30A vector (45), and packaged in vitro into phage particles (12). After amplification of the library (28), approximately 500,000 recombinant phage were screened by the method of Benton and Davis (2) under conditions of low stringency with a radioactive probe prepared from a 565-bp *Eco*RI fragment containing the mature coding region of the HuIFN- $\alpha$ 2/1 *Bgl*II hybrid interferon gene (62). The HuIFN- $\alpha$ 11 gene was similarly isolated from a human fetal liver-bacteriophage  $\lambda$  Charon 4A library constructed by Lawn et al. (24) (kindly supplied by Richard Lawn), with a probe prepared from the 501-bp *Xba*I-*Bgl*II fragment encoding the mature HuIFN- $\beta$  protein (16).

**DNA sequence analysis.** DNA sequences were determined as described by Maxam and Gilbert (29) or by subcloning DNA fragments into M13 mp8 and mp9 vectors (32) and using the dideoxy-chain termination method (47).

**Preparation and analysis of virally induced RNA.** Peripheral blood lymphocytes ( $2 \times 10^9$ ) were suspended at  $4 \times 10^6$  cells per ml in RPMI 1640 containing 5% heat-inactivated fetal calf serum. Cultures were incubated in T-175 flasks (Becton Dickinson Labware), and induced cultures were treated with 25 hemagglutinating units of Newcastle disease virus (NDV; gift of K. Zoon, National Institutes of Health) or Sendai virus (provided by A. Bollon, Wadley Institutes) per  $10^6$  cells. After 6 h of incubation with virus, the cultures were treated with 0.05% EDTA, cells were harvested by centrifugation and washed once with ice-cold medium, and polyadenylated [poly(A)<sup>+</sup>] RNA was prepared as described by Ullrich et al. (60). Formaldehyde gel electrophoresis of RNA and Northern blot analysis were carried out as described by Maniatis et al. (27). The peripheral blood lymphocyte cDNA library was constructed as described by Goeddel et al. (17), except that double-stranded cDNA was ligated into the  $\lambda$ gt10 vector (22).

**Construction of bacterial expression plasmids for IFN- $\alpha$  genes.** The construction of plasmids placing the expression of mature HuIFN- $\alpha$ 1 and HuIFN- $\alpha$ 2 under the control of the *Escherichia coli* *trp* promoter has been described previously (17, 62). Similar constructions were made which placed the N-terminal amino acid residue of mature BoIFN- $\alpha$ 11, BoIFN- $\alpha$ 111, and HuIFN- $\alpha$ 111 directly adjacent to an initiator methionine codon, ribosome-binding site, and *trp* promoter (Fig. 1) and are briefly described as follows. The BoIFN- $\alpha$ 1 coding region contains an *Fnu*4H site (GCTGC) within the codon for the first amino acid of the mature protein (cysteine). After *Fnu*4H digestion, filling in at the *Fnu*4H site with Klenow fragment of *E. coli* DNA polymerase I, and *Pst*I digestion, a 92-bp fragment extending from the restored cysteine residue to amino acid 31 was recovered. This fragment was joined to a 1,440-bp partial *Pst*I-*Bam*HI fragment containing amino acid residues 32 to 166 of BoIFN- $\alpha$ 1 and inserted into the *trp* expression vector, p $\Delta$ RIsrc (30), between a blunt terminus (containing a ribosome-binding site, followed by an ATG codon) and a *Bam*HI terminus ending within the tetracycline resistance gene of this pBR322 derivative. An ATG initiation codon was placed in front of the BoIFN- $\alpha$ 11 mature coding region by an alternative strategy, similar to that described for the expression of HuIFN- $\beta$  (16). The synthetic deoxyoligonucleotide, 5'-CATGTGTGACTTGCT-3', which encodes an ATG, followed by amino acids 1 to 4 of mature BoIFN- $\alpha$ 11, was prepared by the phosphotriester method (6). This fragment

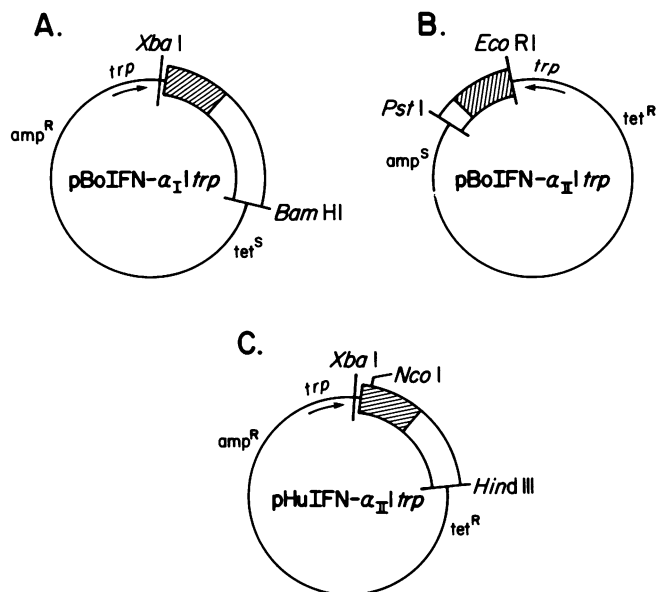


FIG. 1. Structure of plasmids directing the synthesis of mature IFN- $\alpha$  proteins in *E. coli*. The thick segment represents the IFN- $\alpha$  insert, with the mature coding region shaded. A, BoIFN- $\alpha$ 11*trp*; B, BoIFN- $\alpha$ 111*trp*; C, HuIFN- $\alpha$ 111*trp*. Details of the construction of these plasmids are given in the text.

was phosphorylated with ATP and T4 polynucleotide kinase, annealed to the noncoding strand of a 319-bp *Hinc*II fragment containing amino acid residues S20 to 102 of BoIFN- $\alpha$ 11, and enzymatically extended with Klenow fragment and dNTPs. After digestion with *Hgi*AI, the 181-bp blunt *Hgi*A fragment containing amino acids 1 to 60 was joined to a 508-bp *Hgi*A-*Pst*I fragment containing the carboxy-terminal portion of BoIFN- $\alpha$ 1 and inserted into a *trp* expression vector, pHuIFN- $\gamma$ trp69 (19), between a filled-in *Eco*RI site after the ribosome-binding site and a *Pst*I terminus ending within the ampicillin resistance gene of this plasmid. For the expression of HuIFN- $\alpha$ 111, a synthetic DNA duplex containing an *Xba*I cohesive end, an ATG codon, and the codons for amino acids 1 to 7 of the mature protein and ending with an *Nco*I cohesive terminus was joined to the 1,290-bp *Nco*I-*Hind*III fragment containing the remainder of the HuIFN- $\alpha$ 111 coding sequences and inserted into a *trp* expression vector, pGH207-1 (8), between the *Xba*I and *Hind*III sites of this plasmid.

**Detection of IFN- $\alpha$  antiviral activity in *E. coli*.** Overnight cultures of *E. coli* K-12 294 transformed with the appropriate expression plasmids were grown, harvested, and extracted for antiviral assay as described by Goeddel et al. (16). Interferon activity was determined by cytopathic effect inhibition assays with either bovine kidney cells (MDBK) or human lung carcinoma cells (A549) obtained from the American Type Culture Collection, Rockville, Md. Vesicular stomatitis and encephalomyocarditis viruses were grown and used in the cytopathic effect inhibition assays as described previously (62). Values determined by this method are expressed as units relative to the NIH leukocyte standard G-023-901-527.

The antigenic identity of HuIFN- $\alpha$ 111 was determined by previously published techniques (62). Antiserum prepared against HuIFN- $\beta$  or Sendai-induced human leukocyte interferon was generously provided by Jan Vilcek, New York University, New York.

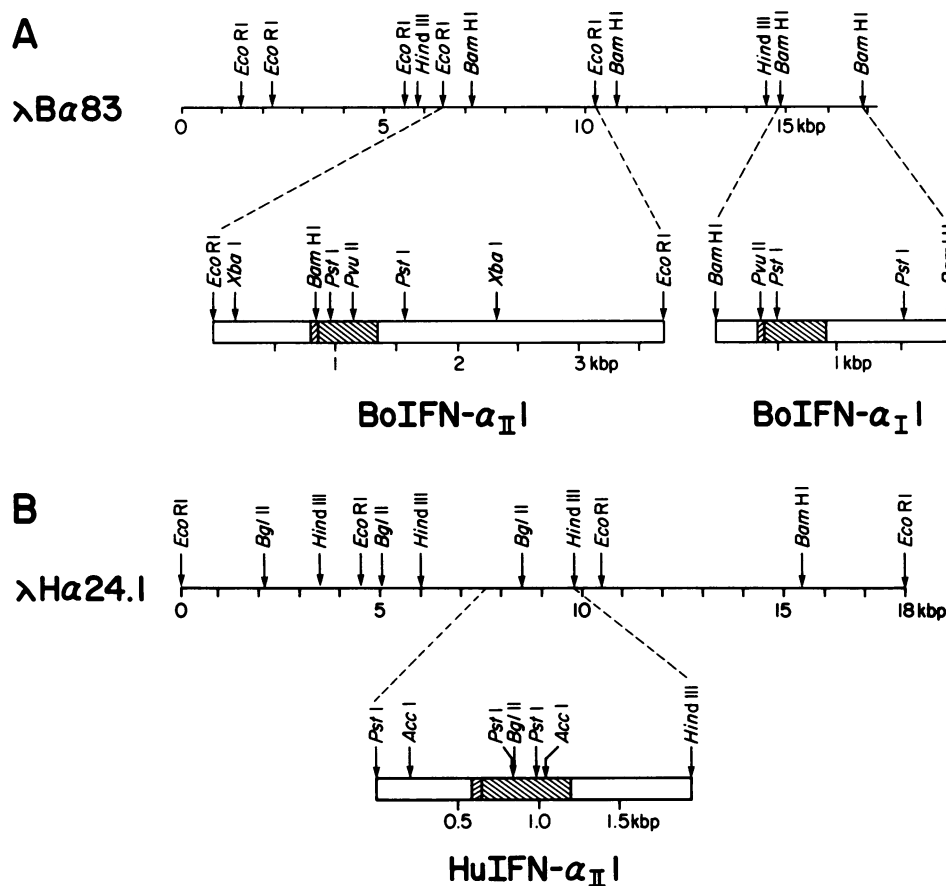


FIG. 2. Structures of phage recombinants containing the BoIFN- $\alpha_1$ , BoIFN- $\alpha_{11}$ , and HuIFN- $\alpha_{11}$  genes. (A) A restriction endonuclease map of the eucaryotic DNA insert of clone  $\alpha 83$  which contains the linked BoIFN- $\alpha$  genes is shown. In the expanded scale the structures of the BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  genes are shown. The coding region is shown cross-hatched and is divided into the presequence (hatched left) and the mature coding regions (hatched right). (B) A similar restriction map of the HuIFN- $\alpha_{11}$  gene and flanking regions from the recombinant phage  $\lambda 24.1$  is shown.

## RESULTS

**Molecular cloning of two linked BoIFN- $\alpha$  genes.** Southern blot analysis was used to establish hybridization conditions which would facilitate the detection of mammalian IFN- $\alpha$  genes by cross-species nucleotide homology with cloned HuIFN- $\alpha$  cDNA sequences. EcoRI-digested DNA from various mammalian species was electrophoresed through agarose gels, transferred to nitrocellulose paper, and hybridized with a radiolabeled HuIFN- $\alpha$  probe at several formamide concentrations to vary the stringency of annealing (see above). Under sufficiently nonstringent conditions, several hybridizing bands were observed in digests of bovine and other mammalian DNAs, suggesting that multigene IFN- $\alpha$  families similar to those found in the human genome are common to many animals and could be isolated by using this strategy.

Similar conditions were used to isolate the genes for BoIFN- $\alpha$  from a library of bovine genomic DNA constructed by inserting partial *Sau3A*-digested DNA fragments into a bacteriophage  $\lambda$  vector Charon 30A. In this manner 89 positive clones were recovered from a total of 500,000 clones screened, suggesting the presence of 15 to 20 IFN- $\alpha$  genes in the bovine genome. Six of the recombinant phage which were analyzed by restriction endonuclease mapping and blot hybridization appeared to contain nonoverlapping 15-

20-kb segments of bovine DNA. One of these clones,  $\lambda \alpha 83$ , consistently yielded two hybridizing fragments upon digestion with several restriction enzymes, suggesting either the presence of introns or the existence of two closely linked BoIFN- $\alpha$  genes. Restriction fragments containing sequences with homology to the HuIFN- $\alpha$  probe from both regions of clone  $\lambda 83$  as well as two other recombinant phage (clones  $\lambda \alpha 35$  and  $\lambda \alpha 37$ ) were subcloned into plasmid vectors for further characterization.

DNA sequence analysis revealed that the hybridizing regions found on these three phage each correspond to a complete BoIFN- $\alpha$  gene possessing significant homology with the class I HuIFN- $\alpha$  gene family. A restriction map of  $\lambda \alpha 83$  (Fig. 2A) shows the location and orientation of the two BoIFN- $\alpha$  genes within this phage recombinant. The nucleotide sequences of BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  (clone  $\lambda \alpha 83$ ) are compared in Fig. 3, whereas the sequences of BoIFN- $\alpha_2$  (clone  $\lambda \alpha 35$ ) and BoIFN- $\alpha_3$  (clone  $\lambda \alpha 67$ ) are very similar to BoIFN- $\alpha_1$  and will be presented elsewhere.

**Structure of the BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  proteins.** The predicted amino acid sequences of BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  are shown in Fig. 3. Each shares several important structural features with the class I HuIFN- $\alpha$  gene products. The four-amino acid sequence spanning the signal peptidase cleavage site of all class I HuIFN- $\alpha$  proteins, Ser-Leu-Gly/Cys, is also found in BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$ . The



TABLE 1. Pairwise comparison of homology in coding regions of BoIFN- $\alpha$  and HuIFN- $\alpha$  genes<sup>a</sup>

Interferon	BoIFN- $\alpha_1$	BoIFN- $\alpha_{11}$	HuIFN- $\alpha_1$	HuIFN- $\alpha_{11}$
BoIFN- $\alpha_1$		68.3	77.6	65.1
BoIFN- $\alpha_{11}$	53.4		69.8	77.4
HuIFN- $\alpha_1$	62.4	54.0		70.0
HuIFN- $\alpha_{11}$	50.3	63.1	57.7	

<sup>a</sup> Shown are the percent amino acid sequence homologies between pairs of interferon preproteins (lower left) and the percent nucleotide homology between the corresponding coding regions (upper right). The six additional C-terminal amino acid residues, or 18 added nucleotides, of the class II interferons (Fig. 3 and 5) are not included in comparisons with the class I interferons.

between amino acid residues 115 and 151, the class I HuIFN- $\alpha$  proteins are identical at 30 of 37 positions (15). BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  encode the same amino acids as do the class I HuIFN- $\alpha$  proteins at 28 and 23 of these positions, respectively. In this region, HuIFN- $\beta$  also exhibits the greatest similarity with the class I HuIFN- $\alpha$  proteins; 17 of 37 amino acids are conserved (46%), a level much higher than 29% overall human IFN- $\alpha$ /IFN- $\beta$  homology.

In other respects, BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  differ strikingly from one another. Although the functional class I HuIFN- $\alpha$  proteins are highly conserved (77 to 94% amino acid homology; 15), BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  are identical at only 53% of their amino acid residues (Table 1 and Fig. 3). BoIFN- $\alpha_2$  and BoIFN- $\alpha_3$ , by contrast, share >90% amino acid homology with BoIFN- $\alpha_1$  (unpublished data). Remarkably, the BoIFN- $\alpha_1$  coding region is more related to each member of the class I HuIFN- $\alpha$  subfamily than to BoIFN- $\alpha_{11}$ . BoIFN- $\alpha_1$  and HuIFN- $\alpha_1$ , for example, are 62% homologous (Table 1). The BoIFN- $\alpha_{11}$  gene is also distinct in that it encodes a mature polypeptide of 172 amino acids, whereas BoIFN- $\alpha_1$ , BoIFN- $\alpha_2$ , and BoIFN- $\alpha_3$ , like most class I HuIFN- $\alpha$  proteins, are 166 residues in length. The six

additional amino acids present in BoIFN- $\alpha_{11}$  are found at its carboxy terminus (Fig. 3).

**The BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$  genes define two distinct classes of IFN- $\alpha$  genes.** The above results demonstrate that two significantly different types of IFN- $\alpha$  genes are found in the bovine genome. To determine the approximate number of bovine genes related to BoIFN- $\alpha_1$  and BoIFN- $\alpha_{11}$ , Southern blot analysis was carried out on bovine DNA with probes derived from the coding regions of each gene. Conditions were selected to minimize cross-hybridization between the two types of BoIFN- $\alpha$  genes. To permit accurate alignment of the resulting hybridization patterns, a single DNA blot was first hybridized with the BoIFN- $\alpha_1$  probe, then washed extensively to remove all of the bound radioactivity, and rehybridized with the BoIFN- $\alpha_{11}$  probe. The results obtained with bovine DNA digested individually with several different restriction endonucleases are shown in Fig. 4A. Each probe detects a distinct set of bovine DNA fragments; few fragments, if any, appear to be common to both probes. Since the restriction endonucleases chosen for this analysis are not expected to cut often within the coding regions of these genes, and none of the BoIFN- $\alpha$  and HuIFN- $\alpha$  genes characterized contains introns, it is likely that most of the hybridizing bands observed represent a complete BoIFN- $\alpha$  gene. These results thus demonstrate the existence of two distinct classes of BoIFN- $\alpha$  genes. The BoIFN- $\alpha_1$  gene represents the class I BoIFN- $\alpha$  gene subfamily consisting of 10 to 12 members. The class I BoIFN- $\alpha$  subfamily, defined by the BoIFN- $\alpha_{11}$  gene, consists of 15 to 20 members.

**Identification of a human IFN- $\alpha$  gene (HuIFN- $\alpha_{11}$ ) and a corresponding HuIFN- $\alpha$  gene subfamily related to the class II BoIFN- $\alpha$  gene subfamily.** A human genomic recombinant containing a novel HuIFN- $\alpha$  gene displaying greater homology to BoIFN- $\alpha_{11}$  than to the class I HuIFN- $\alpha$  gene subfamily was isolated serendipitously in the course of searching for additional HuIFN- $\beta$  genes. Previous reports

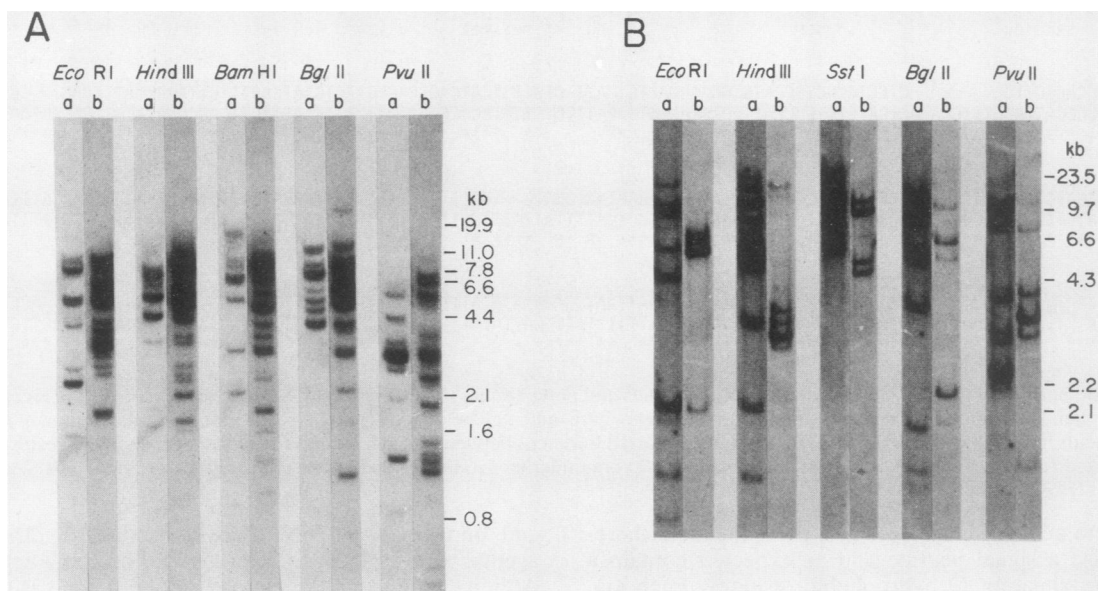


FIG. 4. Southern blot analysis of BoIFN- $\alpha$  and HuIFN- $\alpha$  gene families. High-molecular-weight bovine (A) or human (B) genomic DNAs (5  $\mu$ g) were digested with restriction endonucleases as shown, electrophoresed through a 0.8% agarose gel, and subsequently transferred to nitrocellulose filter paper (52). Hybridizations were performed under stringent conditions (see the text) with probes derived from the following IFN- $\alpha$  coding regions: A, BoIFN- $\alpha_1$  (lane a) and BoIFN- $\alpha_{11}$  (lane b); B, HuIFN- $\alpha_1$  (lane a) and HuIFN- $\alpha_{11}$  (lane b). Molecular size standards correspond to *Eco*RI-digested bacteriophage  $\lambda$  Charon 30A and *Pvu*II-digested pBR322 DNAs and *Rsa*I-digested pBR322 DNA.



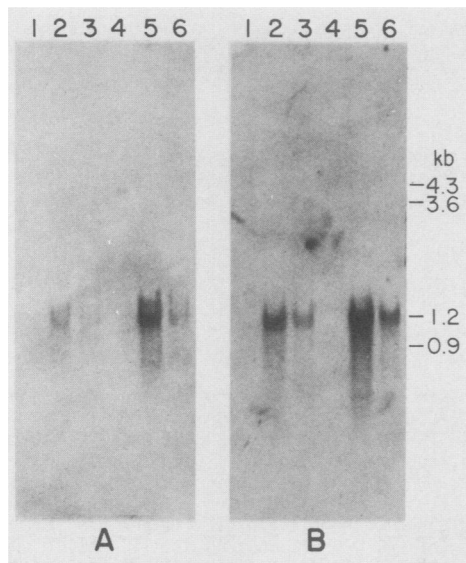


FIG. 6. Analysis of virally induced class I and class II IFN- $\alpha$  transcripts. Five-microgram samples of poly(A)<sup>+</sup> RNA were analyzed in each lane. Hybridizations with the class I (IFN- $\alpha_2$ ) probe (A) and the class II (IFN- $\alpha_{11}$ ) probe (B) are shown. Lanes 1, 2, and 3 are samples from donor 1, and lanes 4, 5, and 6 are samples from donor 2. Lanes: 1 and 4, uninduced controls; 2 and 5, induction by Sendai virus; 3 and 6, induction with NDV. The molecular size markers are derived from an *Eco*RI-plus-*Pst*I digestion of pLeIF A25 (3.6 and 0.9 kb; 15) and an *Eco*RI-plus-*Hind*III digestion of pHuIFN- $\alpha_{11}$ trp (4.3 and 1.2 kb; Fig. 1). The 0.9-kb pLeIF A25 fragment contains the IFN- $\alpha_2$  coding region, and the 1.2-kb pHuIFN- $\alpha_{11}$ trp1 fragment contains the IFN- $\alpha_{11}$  coding region.

coding region of the HuIFN- $\beta$  gene. Of seven positive clones recovered by this screening procedure, four proved to contain the HuIFN- $\beta$  gene by restriction mapping, Southern analysis, and hybridization at high stringency with the HuIFN- $\beta$  probe. The remaining three recombinants appeared to represent overlapping segments of the human genome containing a distantly related gene which only hybridized to the HuIFN- $\beta$  probe at low stringency. A 4.1-kb *Hind*III fragment containing the hybridizing region from one of these clones,  $\lambda$ 24.1, was subcloned into pBR322 for further characterization by restriction endonuclease mapping (Fig. 2B) and nucleotide sequencing.

DNA sequence analysis of this fragment (Fig. 5) reveals an interferon gene exhibiting substantially more nucleotide homology in its coding region with the HuIFN- $\alpha$  genes (for example, 70% with HuIFN- $\alpha_1$ ; Table 1) than with the HuIFN- $\beta$  genes (48%). Similarly, although the HuIFN- $\alpha_1$  protein and the gene product of  $\lambda$ 24.1 each share only 30% amino acid homology with HuIFN- $\beta$ , they are approximately 58% homologous with one another (Table 1). We conclude that the gene contained on  $\lambda$ 24.1 encodes an IFN- $\alpha$  rather than an IFN- $\beta$ . The sequence of this protein, however, is surprisingly dissimilar to the other HuIFN- $\alpha$  gene products.

Significantly, when the sequence of this unusual HuIFN- $\alpha$  is compared with the two types of BoIFN- $\alpha$  proteins (Table 1), it is found to be more homologous to BoIFN- $\alpha_{11}$  (63.1%) than to HuIFN- $\alpha_1$  (57.7%) and the other class I HuIFN- $\alpha$  proteins (data not shown). Furthermore, the novel HuIFN- $\alpha$ , like BoIFN- $\alpha_{11}$ , contains six additional amino acids at its carboxy terminus, three of which are identical in each protein (Fig. 3 and 5). These similarities are reflected at the

level of nucleotide homology as well (Table 1). Taken together, these observations strongly suggest that this novel HuIFN- $\alpha$  and BoIFN- $\alpha_{11}$  represent homologous gene products, distinct from the class I IFN- $\alpha$  subfamily which includes the previously sequenced functional HuIFN- $\alpha$  genes, as well as BoIFN- $\alpha_1$ , BoIFN- $\alpha_2$ , and BoIFN- $\alpha_3$ . Accordingly, we have named this gene HuIFN- $\alpha_{11}$  to distinguish it from the class I HuIFN- $\alpha$  genes.

The HuIFN- $\alpha_{11}$  sequence contains a potential glycosylation sequence, Asn-Met-Thr, at positions 78 to 80. Interestingly, a similar sequence is found at the same position in HuIFN- $\beta$  which is known to be modified *in vivo* by carbohydrate addition (20). Although glycosylation sites are absent from the other known HuIFN- $\alpha$  and BoIFN- $\alpha$  gene products, they have been recently found for a cloned mouse IFN- $\alpha$  gene (50).

The similarity between the HuIFN- $\alpha_{11}$  and BoIFN- $\alpha_{11}$  genes suggested that HuIFN- $\alpha_{11}$  might define a new subfamily of IFN- $\alpha$  genes in the human genome. To examine this possibility, blot hybridization analysis was performed with human genomic DNA with probes derived from the HuIFN- $\alpha_1$  and HuIFN- $\alpha_{11}$  coding region under stringent conditions which do not permit cross-hybridization of the two genes. The results of such an experiment (Fig. 4B) indicate that the HuIFN- $\alpha_1$  and HuIFN- $\alpha_{11}$  probes define distinct gene subfamilies. The HuIFN- $\alpha_{11}$  probe demonstrates the presence of six to seven class I genes in the human genome.

**Expression of class II IFN- $\alpha$  genes is inducible by virus.** The HuIFN- $\alpha_{11}$  protein or related class II IFN- $\alpha$  gene products have not been identified in interferon preparations from virally induced cell lines (1, 68), nor have the corresponding DNA sequences been found in cDNA libraries prepared from lymphoblastoid cell lines (15) or peripheral blood lymphocytes (36, 65) induced by viruses. To determine whether class II HuIFN- $\alpha$  genes are transcribed in response to virus infection, RNA from the peripheral blood lymphocytes of two donors induced with either Sendai or NDV was analyzed by blot hybridization. After a 6-h incubation with virus, poly(A)<sup>+</sup> RNA was isolated from the cultures, electrophoresed on formaldehyde gels, transferred to nitrocellulose filters, and hybridized with either a class I (HuIFN- $\alpha_2$ ) or class II (HuIFN- $\alpha_{11}$ ) probe. Transcription of both the class I (Fig. 6A) and class II (Fig. 6B) IFN- $\alpha$  genes is induced by both Sendai virus (lanes 2 and 5) and NDV (lanes 3 and 6) and is not detectable in uninduced cultures from either donor (lanes 1 and 4). To compare the levels of expression of the class I and class II genes, filters hybridized with each probe were exposed to film until DNA markers containing the coding regions of either HuIFN- $\alpha_2$  (Fig. 6A) or HuIFN- $\alpha_{11}$  (Fig. 6B) gave signals of equal intensity (data not shown). From this analysis it appears that the class II genes are transcribed at a level comparable to the class I genes (Fig. 6). In addition, it appears that Sendai virus induces severalfold more class I and II interferon message than does NDV, consistent with the recent report of Hiscott et al. (21). This suggests that the class I and class II genes are regulated similarly in response to viral infection.

To confirm the conclusion that the IFN- $\alpha_{11}$  gene is expressed, a complementary DNA library was constructed from poly(A)<sup>+</sup> RNA isolated from Sendai virus-induced peripheral blood lymphocytes (see above). A HuIFN- $\alpha_{11}$  coding region probe was used to screen 10,000 plaques under stringent hybridization conditions. Two HuIFN- $\alpha_{11}$  clones were recovered. DNA sequence showed that the longer of the two cDNA clones extended from the polyadenylic acid of the mRNA to within the sequence encoding the signal

peptide of HuIFN- $\alpha_{11}$ 1. The corresponding sequence within the HuIFN- $\alpha_{11}$ 1 gene is indicated in Fig. 5.

**Class II IFN  $\alpha$ -genes encode proteins with antiviral activity.** To determine whether class II IFN- $\alpha$  genes encode active proteins and to compare their host range with those of class IFN- $\alpha$  polypeptides, bacterial vectors were constructed for the expression of BoIFN- $\alpha_1$ 1, BoIFN- $\alpha_{11}$ 1, and HuIFN- $\alpha_{11}$ 1 genes. The resulting plasmids join a *trp* operon promoter, ribosome-binding site, and initiator methionine codon to the first amino acid residue to each mature IFN- $\alpha$  coding region (Fig. 1). Extracts prepared from *E. coli* strains transformed with each of the three plasmids and grown under conditions leading to depletion of tryptophan from the growth media contain significant amounts of antiviral activity as measured by cytopathic effect inhibition assays (Table 2). The relative activities of each IFN- $\alpha$  were compared with those of two class I HuIFN- $\alpha$  proteins, HuIFN- $\alpha_2$  (17, 55) and HuIFN- $\alpha_1$ 1 (36, 62), on a bovine (MDBK) cell line challenged with vesicular stomatitis virus and on a human lung carcinoma (A549) cell line challenged with encephalomyocarditis virus. Although BoIFN- $\alpha_1$ 1 antiviral activity is extremely specific for MDBK cells (Table 2), HuIFN- $\alpha_{11}$ 1 and BoIFN- $\alpha_{11}$ 1, like HuIFN- $\alpha_2$ , show approximately equal activity on each cell type. The class II IFN- $\alpha$  proteins therefore appear to have specificities which overlap those of several class I IFN- $\alpha$  gene products in these as well as other cell lines (54, 62).

To further characterize the antiviral activity associated with HuIFN- $\alpha_{11}$ 1, we examined the ability of antisera prepared against IFN- $\alpha$  and IFN- $\beta$  to neutralize HuIFN- $\alpha_{11}$ 1 activity. Anti-HuIFN- $\beta$  did not significantly affect the activity of HuIFN- $\alpha_{11}$ 1, whereas an antiserum prepared against Sendai virus-induced interferon from human leukocyte cultures did neutralize HuIFN- $\alpha_{11}$ 1 antiviral activity (Table 3). Since the latter induction protocol has been shown to result primarily in the production of HuIFN- $\alpha$  rather than HuIFN- $\beta$ , these results confirm the assignment of HuIFN- $\alpha_{11}$ 1 to the IFN- $\alpha$  family, made on the basis of protein homology.

**DISCUSSION**

**Two distinct classes of IFN- $\alpha$  genes.** Previous studies have described a family of approximately 15 nonallelic human

TABLE 2. IFN- $\alpha$  activity in extracts of *E. coli*<sup>a</sup>

<i>E. coli</i> 294 transformed by:	IFN- $\alpha$ activity (U/liter of culture)		
	A549-EMC	MDBK-VSV	A549/MDBK ratio
pBoIFN- $\alpha_1$ 1	$1.7 \times 10^4$	$5.7 \times 10^7$	0.0003
pBoIFN- $\alpha_{11}$ 1	$1.6 \times 10^6$	$1.8 \times 10^6$	0.89
pHuIFN- $\alpha_1$ 1	$2.5 \times 10^5$	$1.9 \times 10^6$	0.13
pHuIFN- $\alpha_2$	$1.4 \times 10^8$	$1.3 \times 10^8$	1.1
pHuIFN- $\alpha_{11}$ 1	$5.0 \times 10^5$	$4.7 \times 10^5$	1.1

<sup>a</sup> Interferon antiviral activities on human and bovine cells. *E. coli* K-12 (strain 294) cultures containing the appropriate expression plasmids were grown, and lysates were prepared for interferon bioassay as described by Goeddel et al. (16). Interferon antiviral activity in the lysates was determined in a cytopathic effect inhibition assay with MDBK (bovine kidney) cells and vesicular stomatitis virus (VSV) or A549 (human lung carcinoma) cells challenged with encephalomyocarditis virus (EMC). Results are expressed as the amount of antiviral activity per liter of bacterial culture grown to an optical density of 1.0 at 550 nm.

IFN- $\alpha$  genes, which are related by at least 85% nucleotide homology in their coding regions (15, 23, 26, 35, 59, 65). For clarity, these genes are referred to as the HuIFN- $\alpha_1$  subfamily. We have described here the isolation of a phage recombinant containing two closely linked BoIFN- $\alpha$  genes, BoIFN- $\alpha_1$ 1 and BoIFN- $\alpha_{11}$ 1, by low-stringency hybridization with a HuIFN- $\alpha$  cDNA probe. Each gene encodes a functional interferon polypeptide as determined by its ability to program the expression of antiviral activity in *E. coli*. Despite the close linkage of these two loci as well as evidence suggesting that each gene is functional *in vivo*, the nucleotide sequences of BoIFN- $\alpha_1$ 1 and BoIFN- $\alpha_{11}$ 1 are strikingly different. By using conditions which do not permit cross-hybridization of the BoIFN- $\alpha_1$ 1 and BoIFN- $\alpha_{11}$ 1 genes, Southern analysis of bovine genomic DNA with probes derived from each gene demonstrates that BoIFN- $\alpha_1$ 1 and BoIFN- $\alpha_{11}$ 1 define two distinct classes of IFN- $\alpha$  genes. The class represented by BoIFN- $\alpha_1$ 1 contains approximately 10 to 12 members, and DNA sequence analysis has shown that the products of two of these genes, BoIFN- $\alpha_2$  and BoIFN- $\alpha_1$ 3, are 95% homologous to BoIFN- $\alpha_1$ 1. Remarkably, BoIFN- $\alpha_1$ 1, BoIFN- $\alpha_2$ , and BoIFN- $\alpha_1$ 3 display greater homology in their coding and flanking regions to the members of the class I HuIFN- $\alpha_1$  gene subfamily than to the BoIFN- $\alpha_{11}$ 1 gene (Table 1).

In an effort to determine whether IFN- $\alpha$  genes similar to BoIFN- $\alpha_{11}$ 1 exist in the human genome, we identified such a gene, HuIFN- $\alpha_{11}$ 1, among a collection of clones initially isolated by screening a human genomic library with a

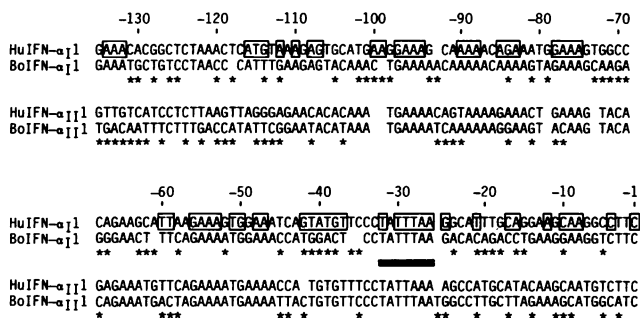


FIG. 7. Comparison of the 5'-flanking regions of the class I and class II IFN- $\alpha$  genes. A region corresponding to -1 and -135 bp upstream from the mRNA cap site (see arrows in Fig. 3 and 5) of HuIFN- $\alpha_1$ 1 (35) is compared for the class I and class II IFN- $\alpha$  genes. The sequences are aligned to maximize homology. Asterisks indicate nucleotide mismatches between each pair of class I or class II genes. Boxed sequences include the nucleotides conserved in other characterized class I HuIFN- $\alpha$  genes (43). The Goldberg-Hogness sequences (M. Goldberg, Ph.D. thesis, Stanford University, Stanford, Calif., 1979) observed in genes transcribed by RNA polymerase II are underlined.

TABLE 3. HuIFN- $\alpha_{11}$ 1 activity is neutralized by antibody to HuIFN- $\alpha$ <sup>a</sup>

Interferon	Interferon activity (U/ml)		
	Control	+ Anti-IFN- $\alpha$	+ Anti-IFN- $\beta$
HuIFN- $\alpha_2$	64	<4 (>16)	32 (2)
HuIFN- $\alpha_{11}$ 1	384	<4 (>96)	192 (2)
Natural HuIFN- $\alpha$	128	<4 (>32)	ND
Natural HuIFN- $\beta$	64	ND	<4 (>16)

<sup>a</sup> Interferon was prepared and assayed as described in the legend to Table 2. Anti-IFN- $\alpha$  was prepared by immunization of a rabbit with natural HuIFN- $\alpha$  purified as described by Cantell et al. (5). Anti-IFN- $\beta$  was prepared by immunization of a calf with natural HuIFN- $\beta$  purified by the method of Van Damme and Billiau (61). Numbers within parentheses give the fold reduction in antiviral activity after treatment with antisera. ND, Not determined.





particular interest since the genes appear to be activated coordinately but are only 57% homologous in this region (Fig. 7). Blocks of sequence which are highly conserved are candidates for essential regulatory elements. One prominent feature within this purine-rich 117-bp region is the repetition of the sequence GAAA at positions -97, -78, -56, and -49 among the expressed, highly homologous class I IFN- $\alpha$  genes (21, 43). This sequence is found at similar positions in each class I and II HuIFN- $\alpha$  and BoIFN- $\alpha$  gene (Fig. 7), except at -78 of BoIFN- $\alpha_{II}1$ , where ACAA appears instead. Further studies will be required to determine the role of such sequences in the viral induction of the class I and class II IFN- $\alpha$  genes.

**Evolution of IFN- $\alpha$  gene families.** Previous estimates of the divergence time of the class I HuIFN- $\alpha$  genes have been based upon calculations which assume that divergence time is proportional to the accumulation of nucleotide differences in the coding region (41). Estimates based on changes at silent sites (33) or replacement sites (55, 65) suggest that the earliest class I HuIFN- $\alpha$  gene duplication could have occurred as recently as 25 to 30 million years (MY) ago, well after the separation of present-day mammalian species (7). As a consequence of such recent duplication events, it has been proposed that the IFN- $\alpha$  multigene family of humans is distinct in organization from that of other mammals (33). This conclusion must be reconciled, however, with the observation that many mammalian species possess multigene IFN- $\alpha$  families (unpublished data; 67). Alternatively, it has been suggested that the existence of multiple IFN- $\alpha$  genes preceded the divergence of mammals and that the apparent divergence among IFN- $\alpha$  members within a species is less than that among species as a result of unequal crossing over or gene conversion which serves to homogenize the genes (14, 50, 65).

The available evidence suggests that such mechanisms have operated on the class I HuIFN- $\alpha$  genes. For example, in an unexpectedly high number of instances, two or more class I HuIFN- $\alpha$  genes differ from the remaining class I genes at a given amino acid or nucleotide position by an identical change (14, 65). In addition, two class I HuIFN- $\alpha$  genes have been characterized which do not differ in their coding regions while exhibiting completely different restriction maps in their 5' and 3' regions (unpublished data; 58). Similar segmental gene conversion events between nonallelic duplicated genes have been observed for  $\gamma$ -globin genes (51),  $\alpha$ -globin genes (48), mouse  $\gamma$ 2a immunoglobulin genes (39), and mouse H-2k<sup>b</sup> histocompatibility genes (31).

An important point suggested by comparisons of the class I and class II IFN- $\alpha$  genes is that despite close similarities in the sequences of these genes, their common and possibly interspersed chromosomal location, and the apparently frequent gene conversion events which have occurred among members of the class I HuIFN- $\alpha$  subfamily, the class I and class II IFN- $\alpha$  genes have retained distinct identities within the human, bovine, and perhaps many other mammalian genomes. Table 4 shows the percent nucleotide divergence at replacement and silent sites within the coding regions of the class I and II BoIFN- $\alpha$  and HuIFN- $\alpha$  genes calculated by the method of Perler et al. (41). The extent of divergence reported here between human and bovine class I genes (Table 4) is similar to that between murine IFN- $\alpha_1$  and HuIFN- $\alpha_1$  (22.5% at replacement sites and 48.9% at silent sites) reported by Shaw et al. (50). If the mammalian species diverged 85 MY ago (46), then a unit evolutionary period (the time required for 1% change in replacement sites) of 2.81 and 2.47 MY can be estimated for the class I and class

TABLE 4. Percentage of corrected nucleotide divergence of class I and II IFN- $\alpha$  coding regions<sup>a</sup>

Nucleotide sequence	% of corrected divergence	
	Replacement sites	Silent sites
HuIFN- $\alpha_1$ /BoIFN- $\alpha_1$	22.14	47.13
HuIFN- $\alpha_{II}1$ /BoIFN- $\alpha_{II}1$	22.62	38.13
HuIFN- $\alpha_1$ /HuIFN- $\alpha_{II}1$	30.27	69.19
BoIFN- $\alpha_1$ /BoIFN- $\alpha_{II}1$	34.47	60.01

<sup>a</sup> The percentage of corrected divergence for each pair of nucleotide sequences was calculated by the method of Perler et al. (41). Nucleotide sequences were aligned as shown in Fig. 2 and 4. The last 18 nucleotides of the class II IFN- $\alpha$  genes (encoding amino acids 167 to 172) were compared with one another but were excluded in class I versus class II comparisons.

II IFN- $\alpha$  genes of the human and bovine genomes, respectively. This rate of sequence change suggests that class I and class II IFN- $\alpha$  genes diverged 116 to 132 MY ago. Most mammals should therefore maintain the two distinct IFN- $\alpha$  gene families that we have observed in the bovine and human genomes. Avian species, however, which diverged from mammals about 250 to 300 MY ago (67) may be expected to have a single IFN- $\alpha$  family. It will be of considerable interest to confirm the divergence time of the two IFN- $\alpha$  families by examining IFN- $\alpha$  genes from more distantly related vertebrates and to compare both the regulation of these two classes of IFN- $\alpha$  genes and the biological and physical properties of the polypeptides encoded by them.

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