Homothallic Mating Type Switching Generates Lethal Chromosome Breaks in *rad52* Strains of *Saccharomyces cerevisiae*

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In homothallic cells of Saccharomyces cerevisiae, a or α mating type information at the mating type locus (MAT) is replaced by the transposition of the opposite mating type allele from $HML\alpha$ or HMRa. The rad52-1 mutation, which reduces mitotic and abolishes meiotic recombination, also affects homothallic switching (Malone and Esposito, Proc. Natl. Acad. Sci. U.S.A. 77:503-507, 1980). We have found that both HO rad52 MATa and HO rad52 MATa cells die. This lethality is suppressed by mutations that substantially reduce but do not eliminate homothallic conversions. These mutations map at or near the MAT locus (MATainc, MATa-inc, MATa stk1) or are unlinked to MAT (HO-1 and swi1). These results suggest that the switching event itself is involved in the lethality. With the exception of swi1, HO rad52 strains carrying one of the above mutations cannot convert mating type at all. $MAT\alpha$ rad52 HO swil strains apparently can switch $MAT\alpha$ to MATa. However, when we analyzed these a maters, we found that few, if any, of them were bona fide MATa cells. These a-like cells were instead either deleted for part of chromosome III distal to and including MAT or had lost the entire third chromosome. Approximately 30% of the time, an a-like cell could be repaired to a normal MATa genotype if the cell was mated to a RAD52 MAT α -inc strain. The effects of rad52 were also studied in mata*/MAT α inc rad52/rad52 ho/HO diploids. When this diploid attempted to switch mata* to MATa, an unstable broken chromosome was generated in nearly every cell. These studies suggest that homothallic switching involves the formation of a double-stranded deoxyribonucleic acid break or a structure which is labile in rad52 cells and results in a broken chromosome. We propose that the production of a double-stranded deoxyribonucleic acid break is the lethal event in rad52 HO cells.

In the yeast Saccharomyces cerevisiae, mating type is determined by the expression of one of two alternate alleles of the mating type locus, MATa or MATa, located on chromosome III. MATa cells conjugate readily with $MAT\alpha$ cells to form nonmating sporogenous $MATa/MAT\alpha$ diploids. Heterothallic strains have a stable mating type that changes from MATa to $MAT\alpha$ or $MAT\alpha$ to MATa only at a frequency of 10^{-6} (13). On the other hand, homothallic strains are able to convert mating type as frequently as every cell division (33). The difference between homothallic and heterothallic strains depends on a single gene, designated HO. The recessive ho allele is found in heterothallic strains. Haploid cells carrying the dominant HO allele will switch MAT alleles until a nonmating $MATa/MAT\alpha$ diploid cell results from the conjugation of cells of opposite mating type. There is no switching in $MATa/MAT\alpha$ HO/HO diploids.

Homothallic conversion of MAT alleles requires the HML and HMR genes on chromosome III (9, 24). HML and HMR each contain unexpressed α or a mating type information which can be copied and transposed to the MATlocus, where they replace sequences of the opposite mating type and are expressed (11) (Fig. 1). Thus, HMLa or HMRa is necessary for $MAT\alpha$ cells to switch to MATa, and either $HML\alpha$ or $HMR\alpha$ is necessary for MATa cells to be converted to $MAT\alpha$. Several types of genetic experiments have substantiated this model. Mutations that lie within MAT can be "healed" or lost upon mating type switching (13, 16). For example, a matal mutant can be converted to MATa, which in turn is converted to a normal

HMLa				<i>MAT</i> a		HMRa
or				or		or
<i>HML</i> a	HIS4	LEU2	CRY1	ΜΑΤα	THR4	HMRα

FIG. 1. Map of relevant genetic markers on chromosome III (not drawn to scale). Mating type conversions occur by the replacement of an \mathbf{a} or α allele at MAT by transposition of a copy of the opposite mating-type allele from the unexpressed HML or HMR genes (11).

 $MAT\alpha$ allele by transposition of new MAT alleles from $HML\alpha$. On the other hand, mutations at either HML or HMR can be repeatedly introduced into MAT by the transposition of defective alleles of $HML\alpha$ (15) or HMRa (6). These genetic studies have been corroborated by the isolation and characterization of recombinant deoxyribonucleic acid molecules containing MAT, HML, and HMR (12, 23, 29).

A number of components necessary for proper and efficient switching have been described. Sequences both within and immediately adjacent to MAT have been identified by cis-acting mutations that slow down homothallic switching. MAT α -inc (32, 31) and MAT \mathbf{a} -inc (21) lie within the MAT locus and are healed after infrequent conversions to the opposite mating type. There are also sequences adjacent to MAT that are important. The "stuck" mutations, stk1 and stk2, are very closely linked to MAT and reduce a to α switching (8). These two mutants are not healed and therefore lie outside of the transposable mating type sequences. A "switch" mutation (swi1) unlinked to MAT also decreases the efficiency of switching both MATa and MAT α (4).

Another function required for homothallic switching is the *RAD52* gene product. Strains carrying the *rad52* mutation are defective in the repair of γ -irradiation-induced deoxyribonucleic acid damage (2) and show altered frequencies of both mitotic and meiotic recombination (3, 20, 26). Recently, Malone and Esposito (20) showed that the *rad52-1* mutation prevents homothallic *MAT* conversions. *MATa HO rad52* strains did not appear to be able to switch mating type. In the case of *MATa HO* cells, the presence of *rad52* rendered the strain inviable. The *rad52* mutation had no apparent lethal effect on *ho* strains.

We were interested to see whether mutations that reduced homothallic switching altered the survival of HO rad52-1 cells. In the process of this investigation we found that, in contrast to the results reported by Malone and Esposito (20), MATa HO rad52 strains are inviable. In addition, all of the mutations that decrease the frequency of homothallic switching allowed survival of HO rad52 strains. Moreover, MATa HO swi1 rad52 cells can apparently switch to produce a mating cells; however, most of these contain a chromosome deletion including *MAT*. Evidence presented here suggests that the lethal event in *HO rad52* strains is the formation of a chromosome break during attempted homothallic switching.

MATERIALS AND METHODS

Strains. Strains used in this report are listed in Table 1. Strains carrying the *rad52-1* mutation were obtained from the Yeast Stock Center, Berkeley, Calif., or from R. E. Malone.

Genetic analysis. Cells were grown at 30°C on YEPD medium (1% yeast extract, 2% peptone [Difco Laboratories], 2% dextrose, and 2% agar for plates) or minimal medium (0.67% yeast nitrogen base, 2% dextrose, and 2% agar for plates). Diploids were sporulated

TABLE 1. List of strains

Strain	Genotype	Source*
J164	HO ade2-1 lys2-1 trp5-20 ura1	Esposito
ESC	HO cry1 his1 his4 ade2	
Y 55-4	HO lys5 trp3 can1	
A108	MATa ho rad52-1 ade1 ade5 arg4 his5 lys7 trp3	Yeast Stock Center
M297	MATa ho rad52-1 ade2-1 lys2-1 ura3 tyr1-2 his7-1	Malone
M298	MATa ho rad52-1 ade2-1	Malone
LR203-10A	MATa ho cry1 ade2 his4 leu2 lys2 thr4	
U60	mat a* ho HMLa HMRa cmt leu1 ura3 ade2	
U90	mat a* h o leu1 ura3 ade2	Simchen
BW277-15C	mat a* h o rad52 ura3 ade2	
U84	MATa-inc HO hist leu2 thrt	
BW193-43A	MATa-inc HO his4 thr4 lys2 wra3 rad52	
BW193-22A	MATa-inc ho his4 thr4 leu1 ura3 lys5 trpx rad52	
DW39-2A	HO-1 his1	
BW247-18B	MATa-inc HO cry1 arg4 lys2 trp3	
JPG159-9D	MÁTa HO swi1 ura3 lys5 his5 leu2	
BW330-15B	MATa HO swi1 rad52 lys5 his5 ade2 mal2	
BW330-24B	MATa HO swi1 rad52 lys5 met13 ade2 mal2	
WTS91-4B	MATa stk1 HO cry1 leu2 tyrX	

^a All strains are $HML\alpha$ and HMRa unless noted otherwise. Homothallic (HO) diploids are heterozygous for MATa/MATa.

^b Strains without a listed source were constructed in this lab.

by pregrowing on YEPD plates for 2 days and then replica plating onto KAc plates (2% potassium acetate, 0.05% dextrose, 0.22% yeast extract, 2% agar, and required amino acids). Asci were digested with 10% Glusulase, and tetrads were dissected. The presence of the *rad52* mutation was detected by the inability of cells to grow on YEPD plates after exposure to 50 krad of γ -irradiation using a ⁶⁰Co Atomic Energy of Canada Gamma Cell 200 irradiator.

Mating type tests were performed as follows. Strains carrying at least one auxotrophic marker were replica plated to YEPD plates and cross-stamped with *MATa* or *MATa* tester cells carrying complementary auxotrophic markers. This plate was incubated overnight at 30°C, and prototrophic diploids were selected by replica plating to minimal medium. By this method, homothallic cells were nonmating. Strains carrying the switching mutation *swil* showed unequal bisexual mating (4).

The presence of HO in Rad- segregants was assessed in the following manner. Haploid cells containing the mata* mutation are a maters, but mata*/ MAT α ho diploids are α mating and asporogenous (14). mata*/MAT α HO diploids are capable of sporulation because they are able to switch the defective mata^{*} allele to a normal MATa (5, 28). Thus, MATa rad52 colonies were tested for the presence of HO by mating to the mata* ho strain, U90, and then observed for the ability of this diploid to sporulate. MATa rad52 segregants were mated to a mata* HMLa HMRa cmt ho strain, U60. The recessive cmt mutation permits expression of mating type information at HML and HMR (5), making U60 an α mater. This α mating strain can mate with a MATa strain, forming a mata*/ MATa cmt/+ diploid which is a-mating. MATa/ mata* diploids are asporogenous if they contain ho. If HO is present, mata* can be switched to a normal $MAT\alpha$, allowing this diploid to sporulate. Thus, MATa/mata* diploids were tested for the presence of HO by their ability to sporulate.

The mata* rad52 ho strain, BW277-15C, was constructed by mating the mata* strain, U90, with a $MAT\alpha$ rad52 ho strain, M297. One zygotic diploid was sporulated by the method of Klar (17) and dissected to obtain an **a** mating rad52 segregant, BW277-15C.

RESULTS

MATa and MATa rad52 HO spores are inviable. In normal diploids constructed by crossing a heterothallaic MATa or MATa haploid with spores of a homothallic diploid, one expects to find an equal number of homothallic (HO) and heterothallic (ho) meiotic segregants. Because both HO MATa and HO MATa spores will grow into nonmating colonies, we would expect 50% of all segregants to be homothallic and therefore nonmating. The remaining segregants should be 25% heterothallic a maters and 25% heterothallic α maters. These expectations were borne out in data collected from tetrads dissected from several control crosses (Table 2A).

The effect of rad52 on the viability of HO

strains is evident among the meiotic segregants of several diploids constructed by mating ho rad52 strains with spores of several different homothallic strains (Table 2B). Among the Rad⁺ segregants, the ratio of a maters, α maters, and nonmaters was approximately 1:1:2, as expected. In contrast, there was only one nonmating Rad segregant. All of the Rad⁻ segregants were tested for the presence of HO, using tests described above. Of 90 rad52 segregants, only 5 carried HO, and further analysis of one such segregant chosen at random (data not shown) revealed that it carried a new HO mutation which lowered homothallic conversions. The low frequency of viable HO rad52 colonies indicated that MATa HO rad52 spores, as well as $MAT\alpha$ HO rad52 spores, were inviable.

There also seemed to be a general lethal effect of rad52 on both ho and HO segregants in some of these crosses, because the number of ho rad52 colonies was less than half that of ho RAD52 segregants. However, it is clear that the lethality is much more pronounced in HO segregants. To eliminate the lethality of rad52 in ho cells we back-crossed ho rad52 segregants of strains BW-209 with spores of the HO parent J164. (Because diploids homozygous for rad52 yield only inviable spores [2, 25] we could not construct homozygotes for this analysis.) When these diploids were sporulated and dissected, essentially the same results as before were obtained, except that the number of ho rad52 segregants more nearly approached the number of ho RAD52 segregants in two of the three cases (Table 2C). Here again, it was clear that virtually all HO rad52 segregants were dead. There were 2 HO rad52 nonmaters, as compared to 99 HO RAD52 nonmaters.

These results are clearly different from those of Malone and Esposito (20), who found that MATa HO rad52 strains survived as a maters. Since we were using the same rad52 allele, we thought that the difference between our results and those of Malone and Esposito might be due to a difference in strain background. Two rad52 strains sent by Malone, M297 (MATa ho rad52-1) and M298 (MATa ho rad52-1), were mated to spores of the homothallic strain J164 (diploids BW208 and BW221, respectively; Table 2D). Dissection of asci from BW208 gave no viable MATa HO rad52 segregants, in agreement with our previous results. In contrast, about half of the viable MATa rad52 segregants from BW221 carried HO.

Because strains M297 and M298 are closely related, it appeared likely that the viability of *MATa HO rad52* segregants from BW221 was due to a variant closely linked to *MATa* in the strain M298. This was confirmed by finding that

			rad52 segregants ^b					RAD	52 segr	egants	Biserual maters	
	Diploid ^a	Genotype	a Ma	ters	α	Mater	rs	p	henoty	pe		
_			HO ^d	ho	НО	ho	N	a	α	N	rad52	RAD52
A	BW279 BW187-28A J164	MATa ho MATa HO						50	43	90		
	$BW280 \frac{BW187-32B}{J164}$	MATa ho MATα HO						40	37	75		
B	$BW203 \frac{BW187-4B}{E8C}$	$\frac{MATa}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	1 ^e	9	0	11	0	24	25	45		
	$BW204 \frac{BW187-6C}{Y55-4}$	$\frac{MAT\alpha}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	1	15	0	17	0	16	16	27		
	$BW205 \frac{BW187\text{-}6C}{E8C}$	$\frac{MAT\alpha}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	2	10	0	12	1	16	10	20		1
	$BW209 \frac{BW187-4B}{J164}$	$\frac{MATa}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	0	6	0	5	0	15	17	15		2
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C	$BW215 \frac{BW209-26B}{J164}$	$\frac{MAT\alpha}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	0	27	0	22	2	20	33	53		
	$BW216\frac{BW209-15C}{J164}$	$\frac{MAT\alpha}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	0	2	0	3	0	13	11	27		1
	BW217 BW209-7A J164	$\frac{MATa}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	0	7	0	11	0	14	11	19		
г	`											
L	BW208 $\frac{M297}{J164}$	$\frac{MAT\alpha}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	0	9	0	9	0	15	9	25		1
	$BW221 \frac{M298}{J164}$	$\frac{MATa}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	20	16	0	17	0	20	19	45	1	2
	$BW218 \frac{BW208-20A}{J164}$	$\frac{MAT\alpha}{MATa} \frac{ho}{HO} \frac{rad52}{+}$	0	7	0	9	1	9	7	22		1

 TABLE 2. Mating phenotypes of rad52 and RAD52 spores

^a The diploid number as well as the parental strains are noted.

^b Nonmating segregants are designated by N. These presumably carry HO.

^c Homothallic colonies with reduced efficiency of switching are designated as bisexual maters.

^d The presence of HO was detected as described in the text.

This one MATa rad52 strain (BW203-43B) carries a mutation at HO.

four heterothallic or homothallic MATa rad52 segregants from diploid B221 yielded viable MATa HO rad52 segregants when back-crossed to J164, whereas three MATa ho rad52 segregants from the same cross did not yield viable HO rad52 segregants (Table 3).

Healing of the MATa allele from M298 which renders viable HO rad52 strains. To see whether the variant that protected MATa segregants lay within the MAT locus, we carried out a healing experiment to determine whether a new MATa allele, transposed from HMRa,

				rad52	segreg	ants		RA	D52 s	egre-			
Segregant	Diploid ^a	Genotype	a Ma	aters	α Μι	aters	N 10	ga mat	ints w ing pl type	ith 1eno-	Bi m	sexual aters ^c	
			но	ho	НО	ho	N	a	α	N	rad 52	RAD 52	_
A. MATa rad52	$BW228 \frac{BW221-3A}{J164}$	$\frac{MATa}{MATa} \frac{rad52}{+} \frac{ho}{HO}$	4	7	0	6	0	3	10	9		5	
	$BW232 \frac{BW221-9A}{J164}$	$\frac{MATa}{MATa} \frac{rad52}{+} \frac{ho}{HO}$	11	10	0	9	1	9	12	14		6	
	BW234 <u>BW221-5A</u> <u>J164</u>	$\frac{MATa}{MATa} \frac{rad52}{+} \frac{HO}{HO}$	14	0	0	0	0	1	0	22		- 2	
	$BW235 \frac{BW221-12A}{J164}$	$\frac{MATa}{MATa} \frac{rad52}{+} \frac{HO}{HO}$	16	0	0	0	0	0	0	25	1	3	
B. MATα rad52	BW233 BW221-6B J164	$\frac{MAT\alpha}{MATa} \frac{rad52}{+} \frac{ho}{HO}$	0	15	0	10	0	9	10	.29			
	BW237 <u> BW221-21B</u> <u> J164</u>	$\frac{MAT\alpha}{MATa} \frac{rad52}{+} \frac{ho}{HO}$	0	3	0	4	0	4	7	9			
	BW238 BW221-34A J164	$\frac{MAT\alpha}{MATa} \frac{rad52}{+} \frac{ho}{HO}$	0	13	0	3	0	6	6	9			

TABLE 3. Back-crosses of BW221 rad52 segregants

^a Diploid number and their parent haploids are noted.

^b Homothallic nonmaters are designated N.

^c Homothallic colonies with reduced efficiency of switching are designated as bisexual maters.

was still resistant to the lethal effect of HO and rad52 (Fig. 2). A nonmating colony derived from a MATa HO RAD52 spore consists of MATa/ $MAT\alpha$ diploids, where the $MAT\alpha$ is the result of switching MATa to MATa. These MATa spores were mated with LR203-10A (MATa cry1 ho). The cry1 mutation maps very close to MAT. and allows one to follow the segregation of the adjacent MAT locus. When the diploid was sporulated and dissected, approximately 25% of these segregants were nonmating and CRY1; these must have come from $MAT\alpha$ HO spores which had switched to MATa and conjugated. We then tested these newly converted MATa alleles for their viability in association with HO and rad52 by mating spores of the CRY1 nonmater to M297 (MAT α ho rad52).

The newly converted MATa allele is different from the MATa allele of M298. Only 6 of 103 a mating rad52 meiotic segregants contain HO in those diploids carrying the new healed MATaallele (Table 4). In contrast, we previously have shown that half of the MATa rad52 segregants from diploids in which one parent contained the MATa allele from M298 are homothallic (Table 2D and 3A). Clearly, this variant in M298 which renders MATa HO rad52 cells viable lies within the transposable mating type sequences, since it can be healed.

Mutations that lie within MAT suppress the lethality of HO rad52. We investigated known mutations that decrease the efficiency of homothallic mating type conversions to see whether they would make HO rad52 spores viable. One such mutation, $MAT\alpha$ -inc, is located within MAT and slows down switching about 1,000-fold, so that a MAT α -inc HO colony is α mating (31, 32). A diploid heterozygous for MAT α -inc, HO, and rad52 was therefore constructed for tetrad analysis (BW193, Table 5A). When the rad52 segregants were tested for the presence of HO (see above), 8 of the 23 MAT α inc rad52 segregants were HO, whereas none of the 16 MATa rad52 segregants were HO. Furthermore, when one $MAT\alpha$ -inc HO rad52 segregant (BW193-23C) was mated to spores of the homothallic strain J164 (diploid BW278, Table 5A), MAT α -inc HO rad52 segregants were again obtained.

MATa-inc, like MAT α -inc, lies within MAT and slows down homothallic switching but to a lesser degree than MAT α -inc. Thus, a MATainc HO colony has an $\mathbf{a} > \alpha$ phenotype (21). A diploid heterozygous for MATa-inc, HO, and rad52 was constructed (BW247). When BW247 was sporulated and dissected, spore viability was very low, even among Rad⁺ segregants. To improve general viability, an $\mathbf{a} > \alpha$ RAD52 segre-



FIG. 2. Healing the MATa allele of M298. (A) A CRY1 MATa HO rad52 segregant from an outcross of M298 (BW221-10A) was mated to a cry1 MATa HO RAD52 strain to obtain colonies that arose from a CRY1 MATa HO RAD52 meiotic spore. Such segregants are nonmating, having converted the MATa allele from M298 to MATa. This newly introduced MATa is designated MATa'. MATa' spores were mated to a MATa strain (LR203-10A) which contains the cry1 allele which is closely linked to the MAT locus and was used to follow MAT. (B) The cry1 MATa/CRY1 MATa' diploid was sporulated and dissected to obtain nonmating CRY1 segregants. These should have arisen from MATa' HO spores which converted MATa' to MATa followed by conjugation. The newly introduced MATa allele is designated MATa'. These nonmating diploid segregants were sporulated and MATa' spores were mated to a MATa followed by conjugation. The newly introduced MATa allele is designated for the presence of MATa' rad52 HO strain (M297). (C) MATa/MATa' rad52/rad52 diploids were sporulated, dissected and analyzed for the presence of MATa' rad52 HO segregants (Table 4).

TABLE 4. Healing the MATa-specific defect in M298 that renders viable MATa HO rad52 cells

			rad52 s	egregant	RAD52 segregants with mating phenotype					
Diploid ^a	a M	aters		a Maters		Bisexual	- (1.)			Bisexual
	НО	ho	HO	ho	N*	maters	a (<i>1</i> 10)	α (πο)	N° (HO)	maters
BW290	2	19		33			22	20	51	
BW291		12		13			22	15	32	
BW292		18		16		3	24	18	37	
BW293	1	10		27			25	24	54	4
BW294	1	14		20			27	23	38	4
BW295	2	24	1	32	2	3	26	24	48	5

" These diploids are described in Fig. 2C.

^b Homothallic nonmaters are designated N.

gant was back-crossed to M297. When tetrads from this diploid (BW250b) were analyzed (Table 5B), no $a>\alpha$ rad52 segregants were seen, yet about half of the *MATa* rad52 segregants were *HO* and a-mating. This indicates that *MATa*- inc allows HO rad52 spores to live, but they apparently cannot switch to $MAT\alpha$.

Switching mutations that lie close to MAT render HO rad52 cells viable. The stk1 mutation lies very close to MAT but outside the

TABLE	5.	Mutations tha	t decrease t	the o	efficiency of	f switching	suppress i	the l	ethality of	rad52	2 H	0

		Mating phenotype										
5111	C and a		r	ad52 se	gregar	nts		- PAD59 sogragents				
Diploid	Genotype	a Maters			α Maters			IADO2 Segregants				
		но	ho	a >α	но	ho	α> a	a	α	N ^b	a >α	α> a
$\overline{A. MAT_{\alpha} - inc} \\ BW193 \frac{BW187 - 4B}{U87}$	$\frac{MATa}{MAT\alpha \cdot inc} \frac{rad52}{+} \frac{ho}{HO}$		16		8	15		15	46	18	2	
$BW278 \frac{BW193-23C}{E8C}$	$\frac{MAT\alpha \cdot inc}{MATa} \frac{rad52}{+} \frac{HO}{HO}$	1			18				20	15		
B. <i>MATa-inc</i> BW250b <u>BW247-18B</u> M297	$\frac{MATa\cdot inc}{MATa} + \frac{HO}{rad52} \frac{HO}{ho}$	7	12		1	12		14	9	6	23	4
C. $stk1$ BW306 $\frac{WTS91-4B}{BW193-43A}$	$\frac{stk1}{+} \frac{MATa}{MAT\alpha \cdot inc} \frac{+}{rad52} \frac{HO}{HO}$	41			35			1	41	3	26	
$\frac{WTS91-4B}{BW250-4D}$	$\frac{stk1}{+} \frac{MAT\alpha}{MATa-inc} \frac{+}{rad52} \frac{HO}{HO}$	45		1	3		1	12		47	25	
D. HO-1 BW262 DW39-2a M297	$\frac{MATa}{MATa} + \frac{HO-1}{ho}$	5	10		6	5	1	6	8	0	7	11

" Diploid numbers as well as parental strains are noted.

^b Homothallic nonmaters are designated N.

mating type sequences excised upon switching. It reduces switching in MATa cells such that stk1 MATa HO cells are $a > \alpha$ (8). To determine what effect rad52 has on stk1 MATa HO cells, a diploid was constructed that was heterozygous for stk1 and rad52 and homozygous for HO(BW306, Table 5C). In the presence of stk1, MATa HO rad52 segregants were obtained and all were a mating. Thus, like MATa-inc, stk1allows MATa HO rad52 spores to live, but they do not switch to $MAT\alpha$.

Unlike MATa, stk1 has very little effect on the ability of $MAT\alpha$ strains to switch to MATa, so that stk1 MAT α HO colonies are weakly $\alpha > a$ or nonmating (8). The effect of rad52 on stk1 MAT α HO was determined by mating an $\mathbf{a} > \alpha$ stk1 HO strain with a MATa-inc HO rad52 strain (BW319, Table 5C). Sporulation and dissection of BW319 revealed that only 4 of 134 viable segregants were derived from stk1 MAT α HO rad52 spores. The differential survival of stk1 MATa HO rad52 and stk1 MATa HO rad52 strains correlates well with their switching efficiency. stk1 MATa HO strains, which switch slowly, are viable in the presence of rad52 and HO. In contrast, stk1 MAT α HO strains, which switch almost as efficiently as STK1 MAT α HO strains, die in the presence of rad52 and HO.

rad52 cells survive in the presence of a defective allele of HO. The HO mutation, HO-1, reduces the efficiency of homothallic conversions in MATa and MATa cells (21). Thus, MATa HO-1 cells are a>a mating, and MATa HO-1 cells are a>a mating. To determine whether HO-1 rad52 cells are viable, a diploid was constructed that was HO-1/ho and rad52/ RAD52 (BW262, Table 5D). Out of 27 rad52 segregants, 12 were homothallic, and 11 of the 12 homothallic segregants were a or a maters and thus appeared unable to convert mating type. HO-1 suppressed the lethality of rad52, but there was no switching when both mutations were present.

swil suppresses the lethality of HO rad52 spores. The swil mutation partially blocks the switching of both MATa and MAT α HO strains. When these cells grow into colonies, they have a distinctive unequal bisexual mating type, reflecting the fact that most cells in the colony are of one haploid mating type, but a few cells of opposite mating type are continually produced (4). Thus, a MATa HO swil colony has an $a>\alpha$ phenotype, and a MAT α HO swil strain is $\alpha>a$. To determine whether swil would alter the lethality of rad52 segregants, a diploid was constructed which was heterozygous for HO, swil, and rad52 (BW199, Table 6). Ten $\alpha > a$ HO rad52 segregants were obtained when spores from BW199 were dissected, suggesting that *swi1* prevented the lethality of rad52. There were no $a > \alpha rad52$ colonies.

To facilitate a more detailed analysis, a diploid homozygous for both HO and swi1 and heterozygous for rad52 was constructed (BW222). Among the segregants of this diploid, there were essentially equal numbers of four types of segregants: ($a>\alpha$) RAD52, ($\alpha>a$) RAD52, a rad52, and ($\alpha>a$) rad52 (Table 6). We concluded that swi1 did indeed prevent the lethality of MAT α HO rad52 strains, resulting in colonies that could apparently switch mating type as efficiently as a MAT α HO swi1 RAD52 strain. Furthermore, it seemed that MATa HO swi1 rad52 strains were all viable but did not switch at all to MAT α , as do RAD52 MAT α swi1 HO cells.

It seemed paradoxical that the *swi1* mutation that slows down *MAT* conversion should not only rescue *MAT* α *HO rad52* spores, but should allow them to switch mating type. It was possible that the **a** mating cells were not bona fide conversions of *MAT* α to *MAT***a**. Several recent studies have shown that haploids deleted or defective for *MAT* α become **a** maters even though they do not express the *MAT***a** functions necessary for sporulation (J. Strathern, Ph.D. thesis, University of Oregon, Eugene, 1977; J. H. McCusker and J. E. Haber, submitted for publication). Diploids resulting from conjugation of these **a**-like cells with *MAT* α are α mating because no actual *MAT***a** functions are expressed.

We therefore asked whether the a maters in an $\alpha > a$ HO swil rad52 colony were actually MATa or only a-like, by examining subclones. If MATa cells had been produced, we would expect to find both nonmaters (arising from conjugation of MATa and MATa cells within the colony) and a mating colonies (as we have shown above; MATa HO swil rad52 spores grow into a mating colonies).

We examined 1,470 subclones from 12 differ-

ent MATa HO swi1 rad52 colonies (Table 7). The results were significantly different from those found when HO swi1 RAD52 colonies are subcloned (4), where about 30% of the colonies are nonmaters. With these subclones from $\alpha > a$ HO swil rad52 colonies only 4 of the 12 segregants gave rise to any nonmaters. Because the relative strengths of α - and **a** mating in the $\alpha > \mathbf{a}$ HO swil rad52 colonies are identical by visual comparison to those in $\alpha > a$ HO swil RAD52 colonies, we would have expected about 30% nonmaters. The low proportion of nonmating subclones (1.3%) suggested that not all a maters were in fact MATa. Among the subclones summarized in Table 7, there were also only 3 a mating colonies, all coming from 1 of the 12 $\alpha > a$ original segregants. Again, we would have expected an average of 1 to 2% of the colonies to be a mating, based on subclonings of RAD52 $\alpha > a$ HO swil colonies (4).

The nonmating subclones we obtained could not be sporulated and subjected to tetrad analysis because diploids homozygous for *rad52* produce inviable spores (2, 25). Therefore, we could not show directly that the nonmating colonies

TABLE	7.	Subclones of α> a	swi1	HO	rad52
		segregants			

0	Mating phenotype							
Segregant	α> a	α	a	Nª				
2C	72	2		14				
5A	130	3		3				
7 A	136							
8 B	44	2						
10 A	185		3					
11C	123			1				
15 B	81	55						
17A	128							
18 A	123	4		1				
19C	120							
25B	48	68						
$27\mathbf{B}$	124							

^a Homothallic nonmaters are designated N.

		Mating phenotype									
Diploid ^a	Genotype		ad52 se	ts	RAD52 segregants						
		a	a >α	α	α> a	a	α	N [¢]	a >α	α> a	
BW199	$\frac{\text{BW197-6C}}{\text{JPG-159-9D}} \frac{MAT\alpha}{MATa} \frac{rad52}{rad52} \frac{ho}{ho} + \frac{HO}{swi1}$	18	0	20	10	21	23	23	4	7	
BW222	$\frac{BW199-8B}{BW199-17C} \frac{MATa}{MAT\alpha} + \frac{HO}{rad52} \frac{swi1}{HO} \frac{swi1}{swi1}$	45	0	0	48	0	0	1	42	39	

TABLE 6. swil suppresses the lethality of HO rad52

^a Diploid number as well as parental strains are noted.

^b Homothallic nonmaters are designated N.

were indeed $MAT\alpha/MATa$ diploids. From the data presented below and from subsequent experiments (Weiffenbach and Haber, manuscript in preparation), we have concluded that $\alpha > a$ HO swil rad52 colonies contain few, if any, bona fide MATa cells. Recently, we have found that at least some of the nonmaters were in fact haploids carrying a deletion of part of the $MAT\alpha l$ cistron (matal mutants have a "sterile" nonmating phenotype [19; Strathern, Ph.D. thesis]).

Recovery of a-like cells by mating with **MAT** α -inc strains. Apparently only a very small proportion of the a maters in $\alpha > a$ HO swi1 rad52 colonies could be actual conversions of $MAT\alpha$ to MATa. We have used a second approach to demonstrate that most of the a maters were only a-like, rather than MATa. We could "rescue" the a maters by mating cells of an $\alpha > a$ colony with an α mating MAT α -inc HO his4 leu2 thr4 strain, U84. Even if an a mater contained a large deletion of MAT and other portions of chromosome III, the resulting diploid would be at least hemizygous and therefore viable. The parental strains were allowed to mate on YEPD plates for 5 h, and diploids were then selected by spreading for single colonies on minimal media supplemented with threonine, histidine, and leucine. If the a mater was deleted for markers on chromosome III, some of the recessive markers on that chromosome (thr4, leu2, and/or his4) would become hemizygous, and thus the colony would require these amino acids for growth. For this analysis, we compared the a maters in $\alpha > a$ HO swi1 RAD52 colonies with those from $\alpha > a$ HO swi1 rad52 colonies.

When three $\alpha > a$ HO swil RAD52 segregants were mated to U84, 766 of 767 diploids analyzed were normal MATa/MAT α nonmating colonies. The one exception was an α mating Thr⁻ Leu⁺ His⁺ colony which, upon subcloning, yielded only α Thr⁻ Leu⁺ His⁺ colonies. This diploid could have arisen by either a mitotic crossover event between the centromere and MAT or loss of the entire right arm of chromosome III distal to MAT. The stability of this diploid suggests that it arose by a mitotic crossover event.

In contrast, the diploids formed by mating 12 $\alpha > a$ HO swil rad52 segregants with U84 were strikingly different from those generated by Rad⁺ strains (Table 8). Only 32% of the 811 diploids were nonmating and able to sporulate. Nearly all of the rest were α mating, asporogenous, and either hemizygous or homozygous for recessive markers on one or both arms of chromosome III.

The nonmating diploids we recovered appeared to be normal $MATa/MAT\alpha$ -inc diploids, just as we had found when $\alpha > a$ HO swi1 RAD52 cells were tested. When asci were dissected, we recovered some tetrads with four viable spores. There were also some tetrads with fewer viable spores, but these could be inferred to carry MATa HO swi1 rad52 and therefore to be invi-

DW222D	No. of diploids in class										
BW222R segre- gant	A (HIS4 N ^d THR4)	B ^a (HIS4 α thr4)	C ^b (his4 a thr4)	D (<i>HIS4</i> α <i>THR4</i>)	E ^c (his4 α THR4)	F (HIS4 N thr4)	G (<i>his4</i> N <i>THR4</i>)				
7A	15	8	17	2	1	1					
8A	15	15	46	3							
8 B	14	23	35	6	1						
10 A	118	2		1		1					
11C	12	14	25	3							
15 B	6	20	6	6	1						
18A	3	7	26	3		1					
18 B	15	6	20	4	2	1					
19C	8	18	36	2	1						
25B	15	18	30	2	1						
27 B	26	16	36	7	1		1				
27D	15	21	49	2		1					
Percent	32	21	40	5	1	0.6	0.1				

 TABLE 8. Classes of diploids obtained from mating α>a swi1 rad52 HO segregants of BW222R with strain

 U84 (HO MATα-inc his4 thr4 RAD52)

^a Segregants 8A, 8B, 15B, and 18B could also be tested for *leu2*, and all diploids were found to be *LEU2* except one diploid each from 8A and 8B.

^bSegregants 8A, 8B, 15B, and 18B could also be tested for *leu2*, and all diploids were *leu2* except three colonies each from 8A and 18B.

^c Segregants 8A, 8B, 15B, and 18B could also be tested for *leu2*, and one diploid each from 8B and 18B were *leu2*. All other diploids were *LEU2*.

^d Nonmaters are designated N.

able (data not shown). Thus, we could recover actual conversions of $MAT\alpha$ to MATa from an $\alpha > a$ HO swil rad52 colony.

However, most of the diploid colonies we recovered by mating an $\alpha > a$ HO swi1 rad52 colony with a MAT α -inc HO RAD52 strain were not $MATa/MAT\alpha$ -inc. Some diploids appeared to have lost all of chromosome III from the rad52 parent (Table 8, class C). Other diploid colonies were heterozygous for markers on the left arm of chromosome III but either homozygous or hemizygous for $MAT\alpha$ -inc and thr4 on the right arm (Table 8, class B). Similar types of diploids have been found among the products of rare matings between two ho $MAT\alpha$ strains (McCusker and Haber, submitted for publication). In that study, they found that diploids with the phenotype of class B were in fact unstable partial aneuploids for the right arm of chromosome III. These unstable diploids frequently lost the remaining portion of that chromosome to become 2n-1 monosomic diploids, similar to class C. We therefore wished to know if the class B diploids in this study were indeed unstable. Five α mating Thr⁻ colonies were subcloned (Table 9). Each was apparently unstable, as more than 10% of the subclones had become homozygous or hemizygous for markers on the left arm of chromosome III. Thus, a significant fraction of the **a** mating cells in an $\alpha > a MAT\alpha$ HO swi1 rad52 colony must not have been bona fide MATa haploids. Rather, they appear to have been partial aneuploids lacking some or all of chromosome III distal to and including MAT. These a-like cells can be rescued by mating with a $MAT\alpha$ haploid.

The same kind of partial aneuploids might also arise in MATa HO swi1 rad52 cells, except that the a-like cells would be masked by normal MATa cells. Several MATa HO swi1 rad52 colonies were mated with the MATa-inc HO thr4 his4 leu2 strain, U84, to see whether any of the diploids exposed any of the three nutritional markers on chromosome III. Of 323 zygotic diploids formed, 321 were normal MATa/MATa

TABLE 9. Subclones of HIS4 a thr4 diploids

	No. of diploids in class										
Sub- clone	A (HIS4 LEU2 α thr4)	B (his4 leu2 α thr4)	C (his4 leu2 Nª thr4)	D (HIS4 LEU2 N° thr4)	E (his4 LEU2 α thr4)						
2	74	10	1	1							
7	7	59			1						
16	53	10									
28	19	60	1								
43	1	91									

^a Homothallic nonmaters are designated N.

colonies. There were two exceptional colonies. One diploid was α mating Thr⁻ Leu⁺ His⁺ and could have arisen by a mitotic crossover event or loss of part of the right arm of chromosome III. When this colony was subcloned, it was unstable, generating α mating Thr⁻ Leu⁻ His⁻ colonies. This colony was most likely generated by the loss of part of the right arm of chromosome III. The other diploid was α mating Thr⁻ Leu⁻ His⁻, which was more likely to have been generated by a loss of an entire chromosome III than by mitotic crossovers involving both the right and left chromosome arms. In conclusion, it appears as if MATa HO swil rad52 strains, like MAT α HO swi1 rad52 strains, generate alike cells. The frequency of a-like cells generated by both strains is similar. In $MAT\alpha$ HO swil rad52 colonies, 1 to 10% of the cells were a maters, and two-thirds of the a maters were alike cells. Likewise, the occurrence of a-like cells in MATa HO swi1 rad52 colonies was approximately 1%.

Repair of a-like cells to MATa requires the RAD52 gene product. The two methods used to analyze the a maters in an $\alpha > a$ HO swil rad52 colony gave conflicting results. Subcloning showed that there are few if any viable MATa cells in an $\alpha > a$ colony. On the other hand, the percentage of nonmating MATa/ MAT α -inc diploids (32%) obtained when $\alpha > a$ segregants were mated to a MAT α -inc RAD52 strain suggested that there are a large number of *MAT***a** cells in the $\alpha > \mathbf{a}$ colonies. One possible explanation is that, in the mating experiment, the diploid was initially a-like/MAT α -inc rad52/+ swi1/+ HO/HO. Since the wild-type RAD52 gene product is present in the zygote, this diploid could be converted to MATa/ $MAT\alpha$ -inc. This healing, if it occurs, should not be seen if the $MAT\alpha$ -inc parent is rad52. Thus, we mated two $\alpha > a$ HO swil rad52 segregants (BW330-15B and BW330-24B) with a $MAT\alpha$ inc ho rad52 his4 thr4 strain (BW193-22A). The classes of zygotic colonies obtained are listed in Table 10. Unlike the previous experiment, there were no $MATa/MAT\alpha$ -inc diploids. All diploids were hemizygous or homozygous for that portion of the right arm of chromosome III including MAT and thr4. Some lost the entire chromosome. Others seemed to be unstable His⁺ Thr⁻ diploids that gave rise to many His⁻ Thr⁻ mitotic segregants. These unstable diploids also occur frequently with the mating of two $MAT\alpha$ cells (8a). Thus, all of the a maters in an $\alpha > a$ HO swi1 rad52 colony are a-like, but can be repaired to MATa if mated to a MATa-inc RAD52 parent (Table 8).

Chromosome III breaks and losses also

Diploid		No. of diploids in class			
	Genotype	A (his4 α thr4)	\mathbf{B}^{b} (His ⁺ α thr4)	C (His ⁺ α thr4)	D (His ⁺ α Thr ⁺)
A BW330-24B	$\alpha > \mathbf{a}$ rad52 swi1 HO	144	17	5	
BW193-22A BW330-15B	MATα-inc rad52 + ho α> a rad52 swi1 HO	150	10	E	
BW193-22A Percent	$\overline{MAT\alpha \text{-}inc \ rad52} + ho$	150	19	ა 2	
B. $\frac{BW227-15C}{BW193-43A}$	mata* rad52 ho MATα-inc rad52 HO	272		2	1

TABLE 10. Repair of a-like cells in rad52/rad52 diploids^a

^a The diploids in part A were constructed by mating the **a**-like cells from an $\alpha > \mathbf{a} + HO \ rad52 \ swi1$ colony with an α mating $MAT\alpha$ -inc HO rad52 strain. The diploids in part B were isolated as zygotes formed between a mata* ho rad52 strain and a $MAT\alpha$ -inc HO rad52 strain.

^b Class B diploids were not truly $\frac{His^+}{His^+}$ but contained many papillae.

occur in mata*/MATα-inc rad52/rad52 diploids. If chromosome III deletions and losses are the lethal events in rad52 HO strains, they should not only be seen in swi1 strains but also in SWI1 rad52 strains. To test this, we took advantage of the ability of a mata*/MAT α -inc ho/HO diploid to convert mata* to MATa at a high frequency (5). Thus, we mated a mata* HIS4 THR4 ho rad52 strain (BW277-15C) with a MATa-inc his4 thr4 HO rad52 strain (BW193-43A) on YEPD and selected zygotic clones on minimal media supplemented with threonine, histidine, and uracil. If the mata* was converted to MATa, then one would expect to find nonmating MATa/MATa-inc His⁺ Thr⁺ diploids. If chromosome III deletions or losses accompany an attempt to convert mata* to MATa, then diploids should be found which are either α mating His^+ Thr^- (if part of the right arm of chromosome III is lost) or α mating His⁻ Thr⁻ (if the entire homolog is lost). Of 274 independent zygotic colonies examined (Table 10B), 272 were α mating His⁻ Thr⁻, one was α mating His⁺ Thr⁻, and one was α mating His⁺ Thr⁺. The α mating His⁺ Thr⁻ colony was unstable; subcloning on nonselective media resulted in 17/81 Hissubclones. This colony appeared to have lost part of the right arm of chromosome III. Thus, no simple conversions of mata* to MATa were seen. When switching was attempted, it led to the deletion or loss of the mata* chromosome III

DISCUSSION

We have shown that both MATa and MATaHO rad52 spores are inviable due to a lethal event which probably occurs during the homothallic conversion process. This lethality can be suppressed by the presence of mutations that reduce the efficiency of MAT conversions. These include mutations within or near the MAT locus itself ($MAT\alpha$ -inc, MATa-inc, and stk1 MATa) as well as the unlinked HO-1 and swi1 mutations. These results suggest that the wild-type RAD52 gene product is necessary at the same time or later than the steps identified by these switching mutations.

Although all of the switching mutations allowed rad52 HO colonies to survive, the MAT α HO swi1 rad52 cells were unique in apparently allowing switching to occur. However, the data presented here demonstrate that most of the **a** mating cells in an α >**a** mating colony of these MAT α HO rad52 swi1 cells were not bona fide MAT**a** cells, but rather were **a**-like cells. These **a**-like cells appear to lack all of chromosome III or at least that part of the right arm extending from MAT to THR4. We have also shown that chromosome III losses occur in every mata*/ MAT α -inc ho/HO rad52/rad52 zygotic clone (where mata* switches readily to MAT**a**).

The formation of **a**-like cells from $MAT\alpha$ strains can occur in several possible ways. In addition to bona fide conversions of $MAT\alpha$ to MATa by transposition, an intrachromosomal recombination event between $MAT\alpha$ and the silent copy at HMRa will also create an a-mating cell (7, 10, 30). Such "Hawthorne deletions" are haploid lethal because of the deletion of all of the part of chromosome III between MAT and HMR; however, the a maters can be rescued by mating with a $MAT\alpha$ strain. These MAT/HMRa fusions express functional a information and are therefore different from the a-like cells generated by $MAT\alpha$ HO rad52 swi1 cells described in this paper. The generation of a-like strains that are both deleted for markers to the right of MAT and do not express normal a functions have previously been found in studies of rare matings between heterothallic $MAT\alpha$ strains (8a); McCusker and Haber, submitted for publication). In fact, more than 60% of the matings between two ho $MAT\alpha$ strains occurred after one parent had become a-like by a chromosome break that removed $MAT\alpha$ and the more distal part of chromosome III. In that study, we showed that such chromosome breaks occurred at or very close to the $MAT\alpha$ locus. A very similar picture has also emerged from the study of a-like cells that are produced by homothallic $HML\alpha MAT\alpha HMR\alpha$ strains that have no copies of a information but suffer chromosome breaks at $MAT\alpha$ to produce transiently viable a-like cells (Haber, unpublished data). Thus, it seems most likely that the a-like cells arising in HO rad52 swi1 MAT α strains are also produced by such chromosome breaks. As expected, when these cells are rescued by mating with a $MAT\alpha$ -inc strain, the resulting diploid contains an unstable, broken chromosome that is frequently lost.

We believe that the lethal event in HO rad52 cells is the formation of a double-stranded deoxyribonucleic acid break near MAT. This must occur in virtually every cell that attempts to switch MAT alleles. Thus, those cells that escape the inhibition of the swil mutation and attempt to switch from $MAT\alpha$ to MATa become instead transiently viable, a-like cells by virtue of a chromosome break in which the distal part of chromosome III, including the MAT locus, is lost. If the a-like cells are mated to a RAD52 MAT_{α} -inc strain they can be repaired (or switched) to MATa. No such repair was found when the a-like cells were crossed with a rad52 $MAT\alpha$ -inc strain. This suggests that the initial event in the formation of an a-like cell may be a single-stranded lesion or a double stranded break where the two broken ends are held in close proximity.

Role of rad52 in homothallic mating type conversions. The rad52 mutation reduces mi totic and abolishes meiotic recombination (3, 20, 26) as well as eliminating homothallic switching (20). This suggests that the mechanism by which the rad52 mutation affects recombination is similar to that operating in homothallic switching (20). A body of evidence has been accumulating which supports a gene conversion mechanism for homothallic conversion.

(i) Intrachromosomal rearrangements occur in approximately 1% of homothallic MAT conversions. These fusions of MAT with HML or HMR can be understood as a recombinational event with exchange of flanking markers that occurs during MAT switching (7). Such recombinations are frequently found accompanying both mitotic and meiotic gene conversion events (1).

(ii) Normal *MATa* recombinants can be obtained from homothallic strains carrying a defective a allele at MAT and a different defective a allele at HMR (18). A high proportion of these conversions are accompanied by a recombination even joining the left part of MAT with the right part of HMR (Haber, manuscript in preparation).

These data favor a model involving a pairing between homologous sequences at MAT and HML or HMR followed by an asymmetric gene conversion which results in the replacement of sequences at MAT with those at HML or HMR(7). A vareity of molecular models for the sequence of events in such gene conversions have been proposed by Meselson and Radding (22) and Stahl (27).

These studies demonstrate that the RAD52gene product is necessary for maintaining chromosome integrity in homothallic switching. The production of a chromosome with doublestranded breaks may be a part of the switching process. Alternatively, a structure might be generated during MAT conversions which is labile in rad52 strains and results in a double-stranded DNA lesion. We are investigating the possibility that the absence of meiotic recombination in strains containing the rad52 mutation is due to a lethal event similar to that in homothallic switching.

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534 WEIFFENBACH AND HABER

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