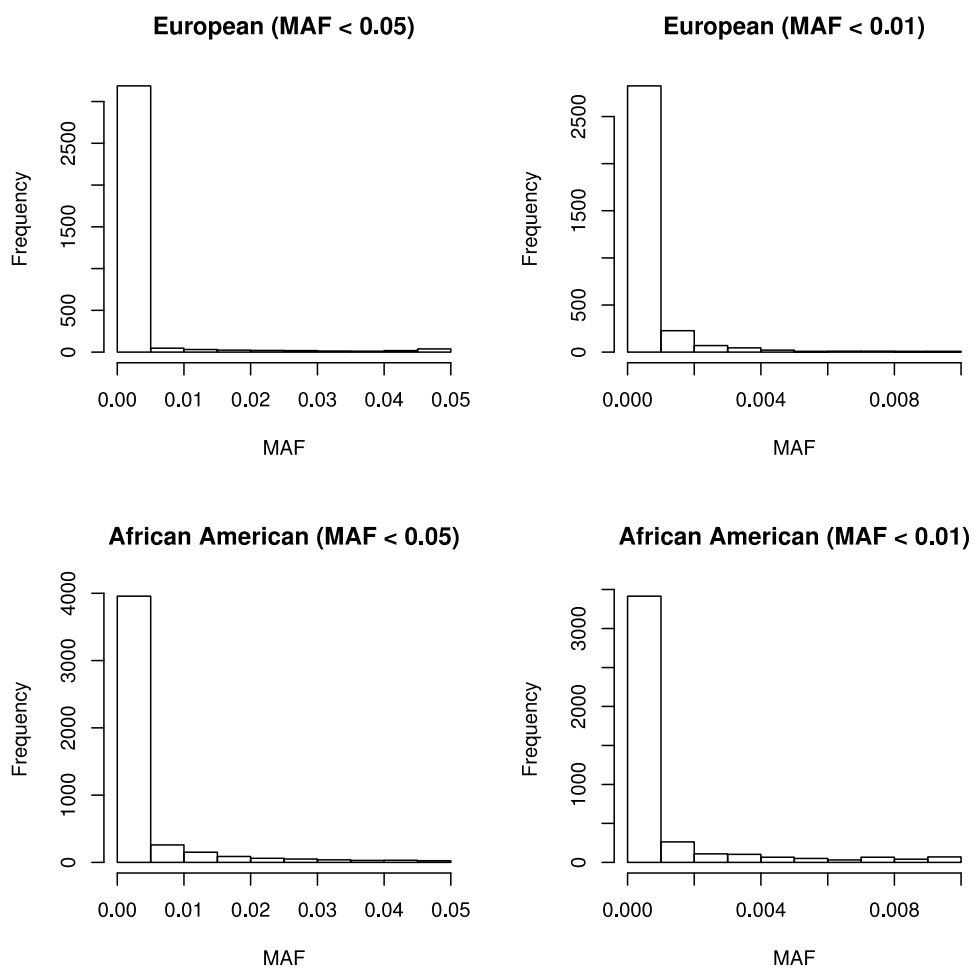


## Supplemental Data

### General Framework for Meta-analysis of Rare Variants

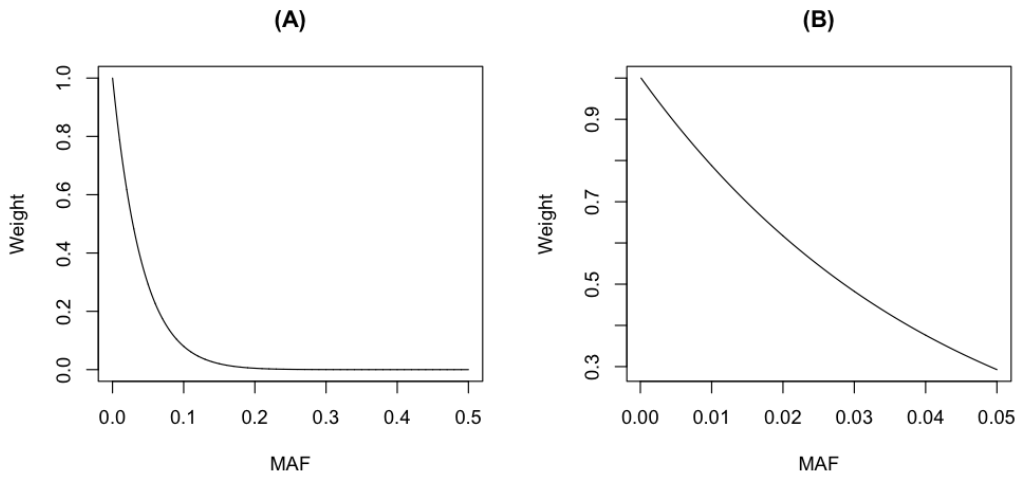
#### in Sequencing Association Studies

Seunggeun Lee, Tanya M. Teslovich, Michael Boehnke, and Xihong Lin

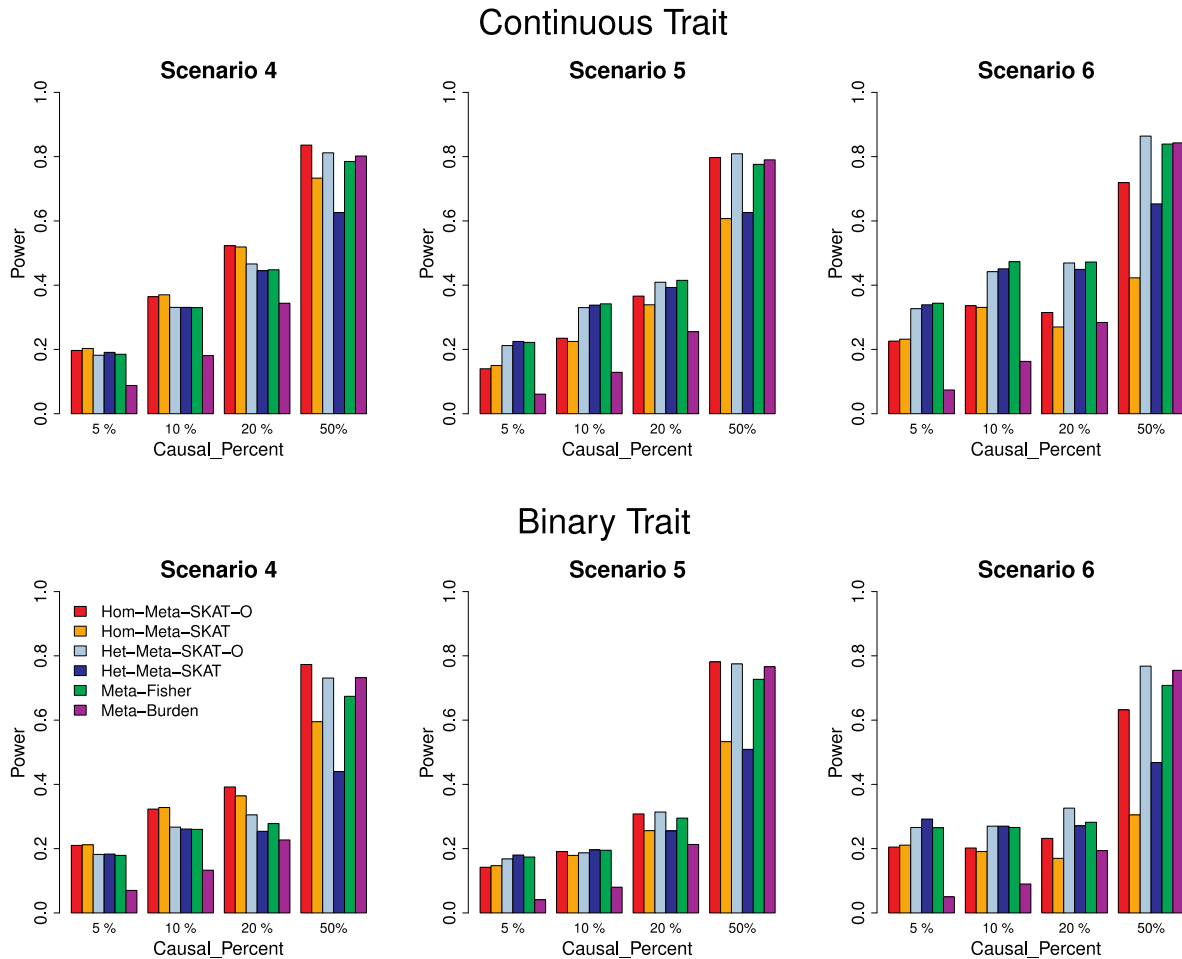


**Figure S1: Distribution of allele frequencies for simulated data**

MAFs for simulated Caucasian (top) and African American (bottom) data are based on the population allele frequencies under the coalescent model. The left panel considers  $MAF < 0.05$ , and the right panel zooms into a region  $MAF < 0.01$ .

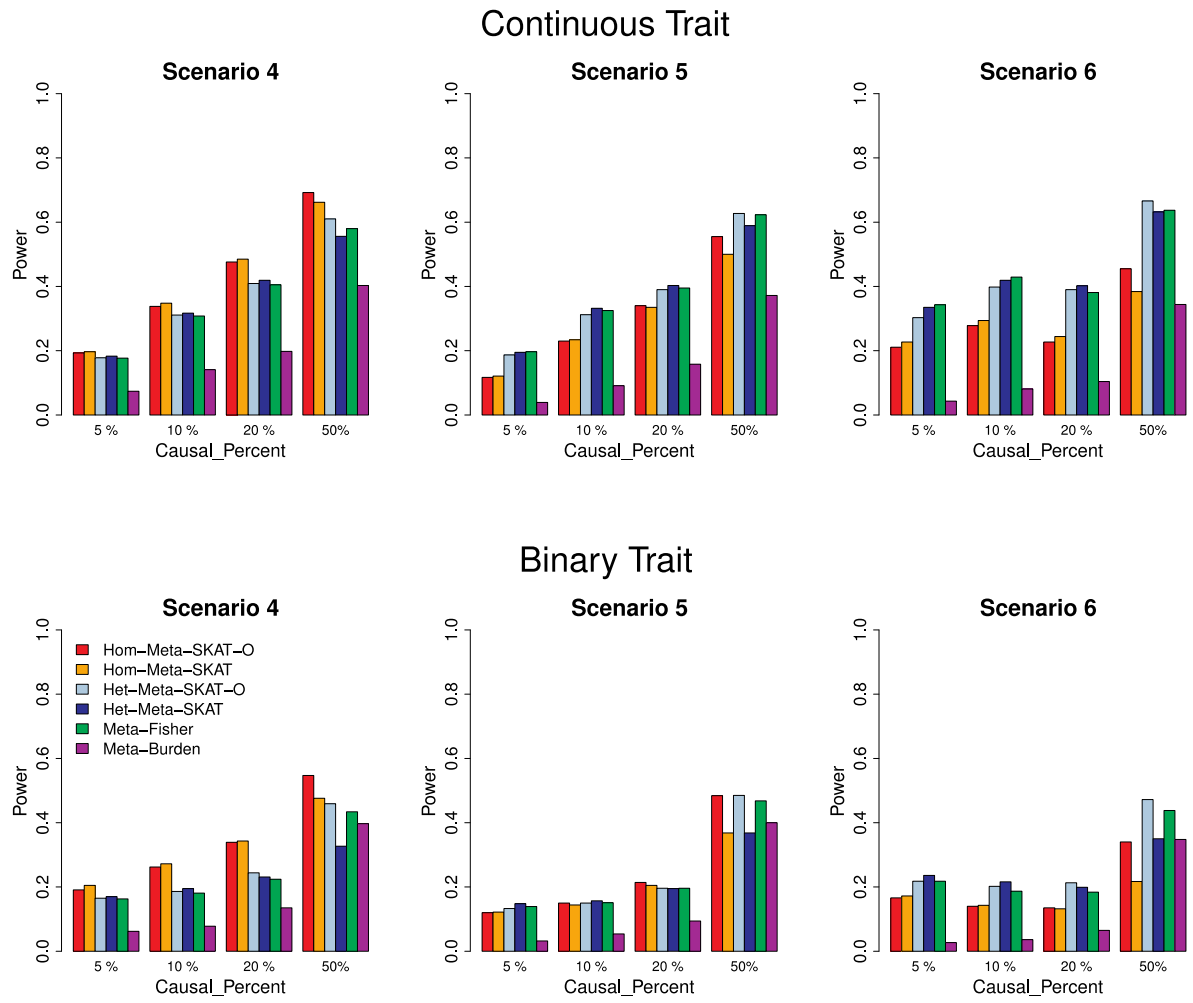


**Figure S2: Default Beta(1,25) weight function**  
 (A) and (B) represent the same functions, while (B) zooms into the region with MAF < 0.05.



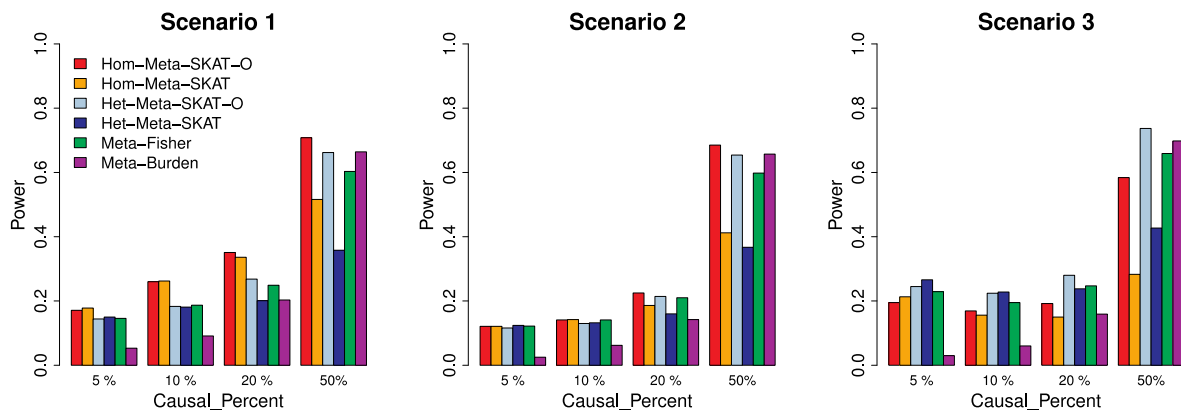
**Figure S3: Power Comparisons of the Six Competing Methods with Equal Study Cohorts' Sizes when All Causal Variants Were Risk-Increasing**

Empirical power at  $\alpha = 2.5 \times 10^{-6}$  with equal study cohort sizes (Table 1) when all causal variants in a region were risk-increasing. All the other settings are the same as those in Figure 2 and 3 (main text).



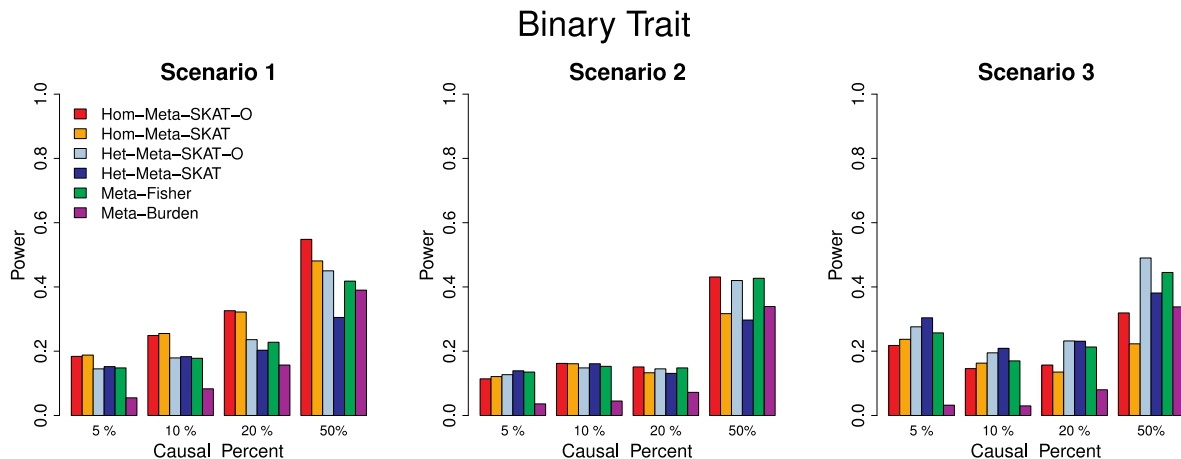
**Figure S4: Power Comparisons of the Six Competing Methods with Equal Study Cohorts' Sizes when 20%/80% of Causal Variants Were Risk-Decreasing/Risk-Increasing**

Empirical power at  $\alpha = 2.5 \times 10^{-6}$  with equal study cohort sizes (Table 1) assuming 20% of the causal variants were risk-decreasing and 80% of the causal variants were risk-increasing. All the other settings are the same as those in Figure 2 and 3 (main text).



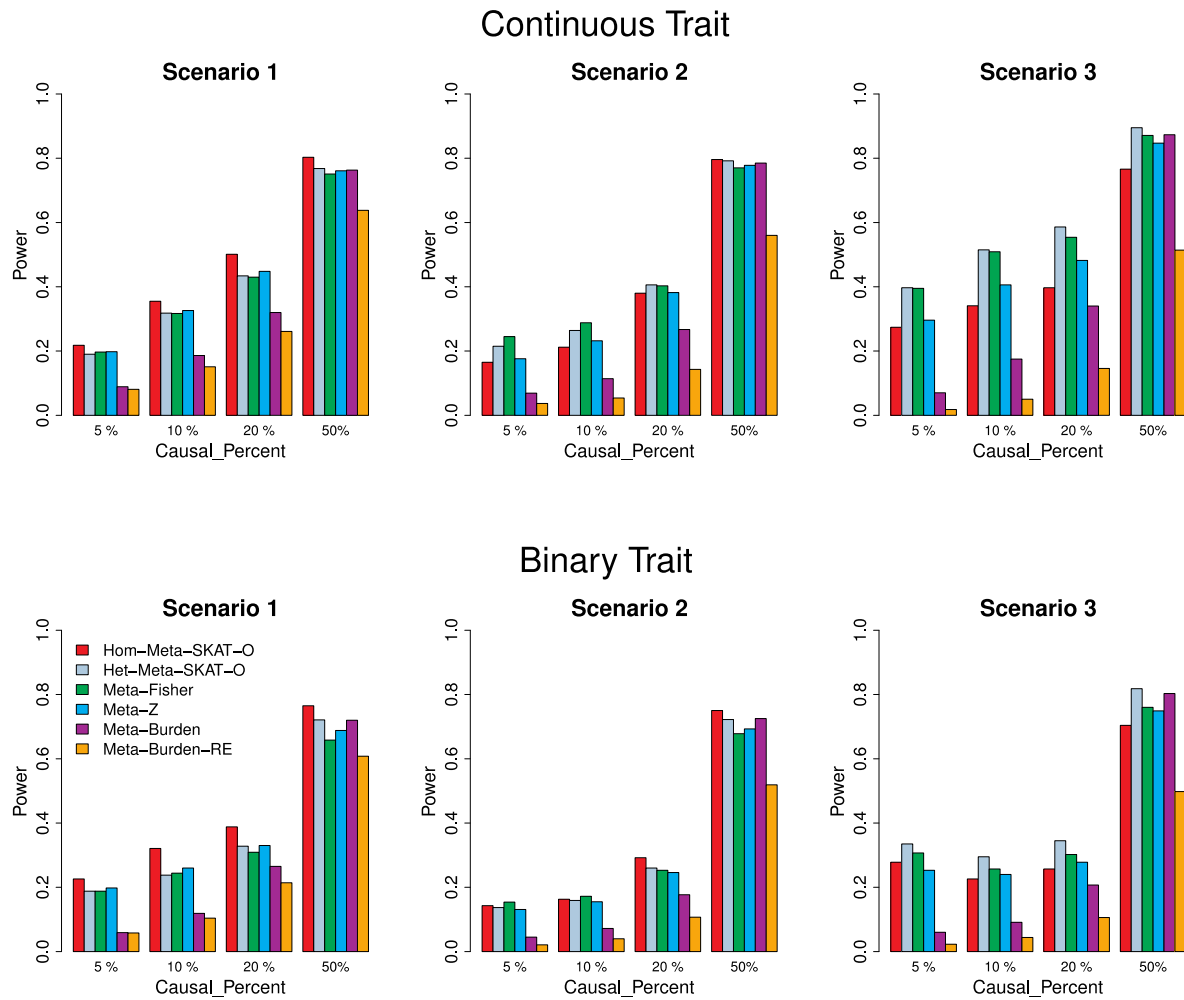
**Figure S5: Power Comparisons of the Six Competing Methods with High Disease Prevalence when All Causal Variants Were Risk-Increasing**

Empirical power from the binary trait simulations when the disease prevalence was 0.1, and all causal variants in a region were risk-increasing. The power was obtained at  $\alpha = 2.5 \times 10^{-6}$  with different study cohort sizes (Table 1). All the other settings are the same as those in Figure 2 and 3 (main text).



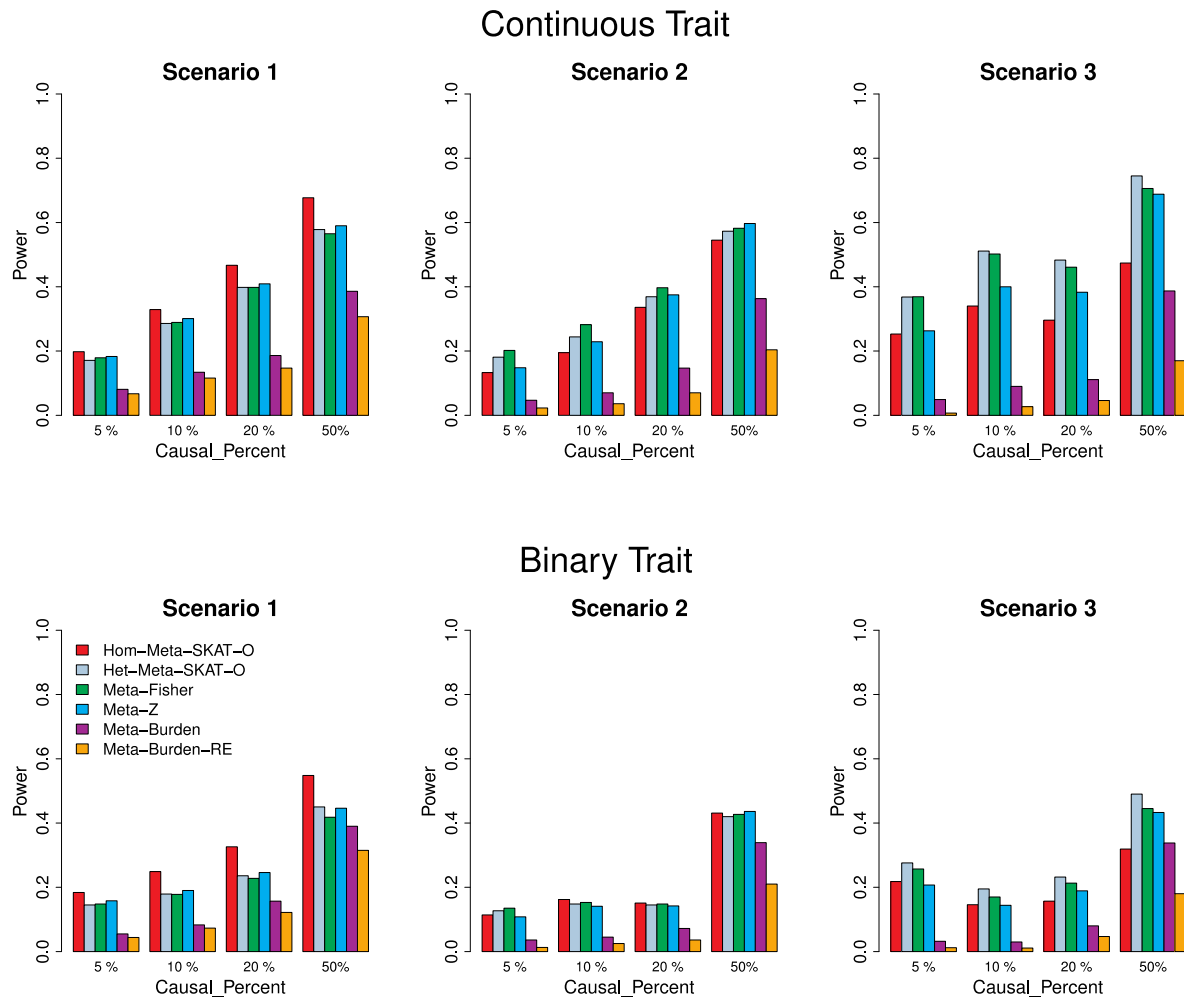
**Figure S6: Power Comparisons of the Six Competing Methods with High Disease Prevalence when 20%/80% of Causal Variants Were Risk-Decreasing/Risk-Increasing**

Empirical power from the binary trait simulations when the disease prevalence was 0.1. 20% of the causal variants were risk-decreasing, and 80% of the causal variants were risk-increasing. The power was obtained at  $\alpha = 2.5 \times 10^{-6}$  with different study cohort sizes (Table 1). All the other settings are the same as those in Figure 2 and 3 (main text).



**Figure S7: Power Comparisons of Meta-Z, Meta-Burden-RE and the Other Competing Methods with Equal Study Cohorts' Sizes when All Causal Variants Were Risk-Increasing**

Empirical power at  $\alpha = 2.5 \times 10^{-6}$  with equal study cohort sizes (Table 1) when all causal variants in a region were risk-increasing. All the other settings are the same as those in Figure 2 and 3 (main text). The power estimates of all methods except Meta-Z and Meta-Burden-RE are the exactly same as the estimates in Figure 2.



**Figure S8: Power Comparisons of Meta-Z, Meta-Burden-RE and the Other Competing Methods with Equal Study Cohorts' Sizes when 20%/80% of Causal Variants Were Risk-Reducing/Risk-Increasing**  
 Empirical power at  $\alpha = 2.5 \times 10^{-6}$  with equal study cohort sizes (Table 1) assuming 20% of the causal variants were risk-decreasing and 80% of the causal variants were risk-increasing. All the other settings are the same as those in Figure 2 and 3 (main text). The power estimates of all methods except Meta-Z and Meta-Burden-RE are the exactly same as the estimates in Figure 3.

**Table S1: Observed number of variants and sum of risk & protective allele frequencies in the power simulations.**

Each entry represents an average number of observed variants and allele frequencies over 1000 simulated datasets.

Scenario	% of Causal Variants	% of Protective Variants	Number of Observed Variants	Sum of Risk allele Freq.	Sum of Protective allele Freq.
<b>Continuous Traits</b>					
Scenario 1	5 %	0 %	42.49	0.0039	0
		20 %	42.2	0.0025	0.0008
	10 %	0 %	42.75	0.0079	0
		20 %	42.35	0.006	0.0014
	20 %	0 %	42	0.0177	0
20 %		42.27	0.0137	0.0035	
Scenario 2	5 %	0 %	42.34	0.0436	0
		20 %	42.58	0.0352	0.0089
	10 %	0 %	42.4	0.0036	0
		20 %	42.26	0.0027	0.0005
	20 %	0 %	42.53	0.0064	0
20 %		42.51	0.0051	0.0016	
Scenario 3	5 %	0 %	42.52	0.0162	0
		20 %	42.6	0.0131	0.0037
	10 %	0 %	42.26	0.0427	0
		20 %	42.61	0.0343	0.008
	20 %	0 %	44.58	0.0052	0
20 %		44.53	0.0041	0.001	
<b>Binary Traits</b>					
Scenario 1	5 %	0 %	44.41	0.0094	0
		20 %	44.29	0.0076	0.0018
	10 %	0 %	44.48	0.0186	0
		20 %	43.97	0.0143	0.0036
	20 %	0 %	44.46	0.0444	0
20 %		44.61	0.0343	0.008	
Scenario 2	5 %	0 %	44.58	0.0052	0
		20 %	44.53	0.0041	0.001
	10 %	0 %	44.41	0.0094	0
		20 %	44.29	0.0076	0.0018
	20 %	0 %	44.48	0.0186	0
20 %		43.97	0.0143	0.0036	
Scenario 3	5 %	0 %	44.46	0.0444	0
		20 %	44.61	0.0343	0.008
	10 %	0 %	43.06	0.0082	0
		20 %	42.83	0.0069	0.0005
	20 %	0 %	43.12	0.0151	0
20 %		43.09	0.0118	0.0009	
Scenario 2	5 %	0 %	43.63	0.0284	0
		20 %	43.65	0.0223	0.0024
	10 %	0 %	45.85	0.0696	0
		20 %	44.38	0.053	0.0071
	20 %	0 %	42.56	0.0076	0
20 %		42.8	0.0062	0.0004	
Scenario 3	5 %	0 %	43.24	0.0132	0
		20 %	42.63	0.0109	0.0009
	10 %	0 %	43.75	0.0262	0
		20 %	43.39	0.0198	0.0025
	20 %	0 %	45.63	0.0673	0
20 %		44.66	0.0509	0.0072	
Scenario 1	5 %	0 %	45.06	0.0127	0
		20 %	45.13	0.0101	0.0006
	10 %	0 %	45.74	0.0177	0
		20 %	45.06	0.0139	0.0012
	20 %	0 %	46.26	0.0297	0
20 %		45.61	0.0232	0.0027	
50 %	0 %	47.9	0.0642	0	
	20 %	46.84	0.0509	0.0072	

**Table S2. Annotation information and single variant meta-analysis results of the seven SNVs in *LPL***

Chr	Position	Function	MAF	HDL		LDL		TG	
				Fisher	IVW	Fisher	IVW	Fisher	IVW
8	19840949	5UTR	$7.3 \times 10^{-3}$	$5.6 \times 10^{-1}$	$5.8 \times 10^{-1}$	$5.1 \times 10^{-2}$	$7.5 \times 10^{-1}$	$3.2 \times 10^{-1}$	$5.3 \times 10^{-1}$
8	19840951	5UTR	$6.5 \times 10^{-3}$	$4.1 \times 10^{-2}$	$1.3 \times 10^{-2}$	$9.9 \times 10^{-1}$	$5.6 \times 10^{-1}$	$1.3 \times 10^{-4}$	$6.3 \times 10^{-3}$
8	19849988	Coding (non-synonymous)	$6.1 \times 10^{-3}$	$5.0 \times 10^{-2}$	$2.1 \times 10^{-2}$	$9.8 \times 10^{-1}$	$8.8 \times 10^{-1}$	$2.6 \times 10^{-4}$	$5.2 \times 10^{-3}$
8	19853715	Coding (synonymous)	$2.6 \times 10^{-2}$	$7.2 \times 10^{-1}$	$2.0 \times 10^{-1}$	$5.6 \times 10^{-1}$	$7.9 \times 10^{-1}$	$5.7 \times 10^{-1}$	$1.7 \times 10^{-1}$
8	19867130	3UTR	$3.9 \times 10^{-4}$	$3.2 \times 10^{-1}$	$1.7 \times 10^{-1}$	$4.1 \times 10^{-1}$	$1.6 \times 10^{-1}$	$1.5 \times 10^{-1}$	$7.6 \times 10^{-1}$
8	19867472	3UTR	$1.9 \times 10^{-2}$	$5.5 \times 10^{-3}$	$4.7 \times 10^{-2}$	$1.4 \times 10^{-1}$	$3.3 \times 10^{-1}$	$3.2 \times 10^{-3}$	$1.6 \times 10^{-2}$
8	19868517	3UTR	$1.8 \times 10^{-4}$	$3.9 \times 10^{-1}$	$4.1 \times 10^{-1}$	$6.1 \times 10^{-2}$	$1.3 \times 10^{-1}$	$7.6 \times 10^{-1}$	$6.8 \times 10^{-1}$
Min-P				$5.5 \times 10^{-3}$	$1.3 \times 10^{-2}$	$5.1 \times 10^{-2}$	$1.3 \times 10^{-1}$	$1.3 \times 10^{-4}$	$5.2 \times 10^{-3}$
Min-P*				$3.8 \times 10^{-2}$	$8.8 \times 10^{-2}$	$3.6 \times 10^{-1}$	> 0.5	$9.0 \times 10^{-3}$	$3.6 \times 10^{-2}$

SNV annotations were conducted using hg18 (NCBI build 36) assembly. “Fisher” represents p-values from the Fisher's inverse chi-square method and “IVW” represents p-values from the inverse variance weighting method. “Min-P” represents the minimum p-value over the seven SNV p-values, and “Min-P\*” represents the multiple test adjusted minimum p-value