



Counterfeit and substandard medicines in the UK: A retrospective review of drug alerts (2001–2011)

Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2013-002924
Article Type:	Research
Date Submitted by the Author:	27-Mar-2013
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Primary Subject Heading:	Health policy
Secondary Subject Heading:	Public health, Pharmacology and therapeutics
Keywords:	Counterfeit , Substandard, Defective, Medicines

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5 **Counterfeit and substandard medicines in the UK: A retrospective**
6 **review of drug alerts (2001–2011)**
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42 **Abstract:** 186 words

43 **Full text:** 2688 words

44 **Number of figures:** 2

45 **Number of box:** 1

46 **Number of tables:** 5
47
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54 **Keywords:** Counterfeit, substandard, defective, medicines
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ABSTRACT

Objective: To determine the extent of counterfeit and substandard medicines in the UK.

Design: A retrospective review of drug alerts and company-led recalls.

Setting: The Medicines and Healthcare Products Regulatory Agency (MHRA) website search for drug alerts issued between 2001 and 2011.

Eligibility criteria: Drug alerts related to quality defect in medicinal products.

Main outcome measure: Relevant data about defective medicines reported in drug alerts and company-led recalls, including description of the defect, type of formulation, year of the alert and category of the alert.

Results: There were 280 substandard medicines of which 222 were recalled. The two most frequent problems were contamination (74 incidents) and issues related to packaging (98 incidents). Formulations for parenteral administration (117 incidents) were the formulation most frequently affected. There were 11 counterfeit medicines reported over the 11 year period. The number of defective medicines reported by the MHRA increased tenfold from five in 2001 to 50 in 2011.

Conclusions: Substandard medicines are a significant problem in the UK. It is uncertain whether the increasing number of reports relates to improved detection or an increase in the number of substandard medicines.

Article summary

Article focus

- To describe the pattern of drug alerts issued by the MHRA in the UK from 2001 to 2011.
- To determine the extent of substandard and counterfeit medicines in the UK.

Key messages

- Substandard medicines are a significant problem in the UK, with a tenfold increase in the incidents reported over the last 10 years.
- Formulations for parenteral administration were most likely to be substandard.
- Education of healthcare professionals in the initial assessment of quality of medicines, especially parenteral formulation, is needed.

Strengths and limitations of this study

Strengths

- This is the first review to assess the problem of counterfeit and substandard medicines in a high income country.
- Quantification of all drug alerts issued by the MHRA over an 11-year period.

Limitations

- Clinical significance of the problem is unknown due to the lack of available data on the adverse events related to defective medicines, as not reported by the MHRA or the manufacturers.
- We were not able to determine the reason(s) for the rise of drug alerts, owing to the limited data available.

INTRODUCTION

Counterfeit and substandard medicines are a significant problem throughout the world.¹⁻⁴ Most of the evidence for this is found in Africa and Asia in low and lower middle income countries.¹⁻³ Little evidence, however, is available for European and Northern American countries, as no individual studies about the problem have been published in high income countries. According to European commission data, the incidence of this problem in the European supply chain is growing by 10% to 20% annually.^{5, 6}

In the UK, the Medicines and Healthcare products Regulatory Agency (MHRA) has the responsibility of safeguarding the public from the risk of these drugs. The

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3 MHRA's Defective Medicines Report Centre (DMRC) is the department responsible
4 for receiving and assessing reports about suspected defective drugs. Drug alerts are
5 issued by the DMRC to the manufacturer, wholesalers and healthcare providers, in
6 cases where a defective medicine is shown to compromise patients' safety.⁷
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11 The aims of this study were to describe the number of drug alerts issued by the
12 MHRA and to determine the extent of substandard and counterfeit medicines in the
13 UK.
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17 18 **METHODS**

19 The DMRC defines a defective drug as a "drug which proves to be harmful under
20 normal conditions of use, lacking in therapeutic efficacy and/or the qualitative and
21 quantitative composition of a drug is not as declared. A drug can also be defective if
22 the controls on a drug and/or on the ingredients and the controls at an intermediate
23 stage of the manufacturing process have not been carried out or if some other
24 requirement or obligation relating to the grant of the manufacturing authorisation has
25 not been fulfilled."⁸ This combines two different types of defects (i.e., counterfeit and
26 substandard medicines) under one broad definition. The WHO definition of
27 counterfeit and substandard medicines was used in this case in order to differentiate
28 between both types of quality defects (Box 1).^{9,10} Therefore, whenever the term
29 'defective medicine' is used in this paper it means either counterfeit or substandard
30 medicines.
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41 A search for drug alerts related to defective medicinal products was carried out. This
42 was performed through the official MHRA website using the section allocated for
43 drug alerts. The first drug alert reported on the MHRA website was in the year 2001;
44 thus, all drug alerts issued between 2001 and 2011 were included. Manufacturers
45 can also voluntarily recall their defective products without the need for a drug alert to
46 be issued by the MHRA in cases where defective medicines have left manufacturing
47 sites but have had limited distribution in the supply chain. The MHRA started posting
48 these recalls under its company-led recall section in 2011; hence, all these recalls
49 were included. The resulting alerts and recalls were compiled and exclusion criteria
50 included the following: drug alert replaced by another updated one, drug alert
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3 duplication, alert about clarification of medicine information, medical device alert and
4 alert about medicines lacking efficacy or having significant toxicity.
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8 The following data was extracted from these alerts: name, strength and dosage form;
9 year of the alert; number of affected batches; nature of the defect; class of drug alert
10 and action to be taken about defective medicine. The types of defect were classified
11 as counterfeit or substandard defects. Substandard medicines were then subdivided
12 according to the type of the defect into the following:
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18 ➤ **Contamination:** Defect related to microbial contaminations and issues
19 related to sterility as well as chemical and particulate (i.e. impurities)
20 contamination.
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23 ➤ **Minor packaging defect:** Defects that occur in relation to the printing of
24 packaging materials, for instance, printed box errors that involve a missing
25 or incorrect expiry date or batch number of a medicine. This also includes
26 any errors in the patients' information leaflets (PIL) or summary of product
27 characteristic.
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30 ➤ **Major packaging defects:** A defect that involves packing a medicine in a
31 wrong box or carton or printing errors that involve missing or incorrect
32 name or strength of a medicine.
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35 ➤ **Delivery:** A technical or physical defect such as broken capsules or
36 leaking containers.
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39 ➤ **Stability failure:** Defect results from the failure of the medicine to remain
40 within the established standards throughout the expiration period, for
41 example, low assay of the active ingredient prior to a product expiry.
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44 ➤ **Potency:** Failure of the medicine to evoke the desired effect within the
45 stated strength.
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48 ➤ **Defect in active ingredient:** Defect results from the active ingredient
49 being inadequate or excessive in the formulation.
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52 ➤ **Other defects:** Other deviations concerning non-compliance with good
53 manufacturing practice at manufacturing site.
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56 The total number of alerts was obtained and each individual medicine reported in
57 these alerts was then extracted.
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Company-led recalls are initiated by manufacturers after consultation with the MHRA, without giving the recall any degree of urgency. Drug alerts, by contrast, are issued by the MHRA and have four possible classifications based on the level of the risk.⁸ These classes are as follows:

- **Class 1 drug alert:** The defect in a medicine is life-threatening and poses a serious risk to patient health
- **Class 2 drug alert:** The defect in a medicine is not life-threatening but can still be harmful to patients
- **Class 3 drug alert:** The defect in a medicine is unlikely to be hazardous to patients, but an alert is issued, due to problems related to non-compliance with good manufacturing practices or marketing authorisations
- **Class 4 drug alert:** The least critical alert; advises “caution in use”.

Class 1, 2 and 3 drug alerts require recalls of affected batches, whereas class 4 alerts do not require recalls but require caution in dealing with defective medicines.⁸

RESULTS

Incident trends

There were a total of 244 drug alerts issued by the MHRA. After applying the aforementioned exclusion criteria, 30 were excluded. In turn, 214 were deemed suitable for inclusion (Figure 1). Analysis showed that these alerts reported 269 medicines, each of which represented an individual incident. The majority of these alerts were issued for individual medicines. However, some were issued for up to 14 medicines.

Since MHRA started reporting drug alerts online in 2001, there has been a gradual increase in the rate of drug alerts related to defective medicinal products. The number of drug alerts increased from five in 2001 to 31 in 2011. The number of

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3 defective medicines reported by these alerts was five in 2001. This figure increased
4 tenfold by 2011, to a total of 50 (Figure 2).
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8 **Defective medicines recalled by the MHRA under class 1, 2, 3, 4 drug alerts**

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10 Twenty-one medicines were recalled under class 1 drug alerts. The highest number of
11 alerts was issued for contamination reasons. The most frequent formulations reported
12 were formulations for parenteral administration, which accounted for seven cases.
13 The median time, when stated, taken by the MHRA to identify these medicines as
14 defective from medicines being available on the market to issuing alerts was 56 days
15 (range 13-242 days). The length of this time period is critical, as the chance of
16 adverse events increases as the time of exposure increases. Details of these alerts
17 are shown in Table 1.
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20 Under a class 2 alert, 161 medicines were recalled. The main issues were related to
21 contamination and minor packaging defects. There were also 87 medicines recalled
22 under class 3 or 4 alerts (Table 2).
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29 **Defective medicines recalled by Manufacturers (Company-led recalls)**

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31 It was observed that 19 company-led recalls were issued by the manufacturers in
32 2011. One recall was excluded after applying the exclusion criteria. Thus, 18
33 company-led recalls were included and these were issued for a total of 22 defective
34 medicines (Figure 1).
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40 **Defective medicines, classified by type of defect**

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42 The incidents of defective medicines reported by the MHRA (269) were compiled
43 with those reported by the manufacturers (22), which resulted in 291 incidents to be
44 considered for further analysis.
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46 Defects in substandard medicines accounted for the bulk of these incidents. Two
47 hundred and eighty medicines (96%) were reported to be substandard (Figure 1).
48 The two most frequent problems, with the substandard medicines, were packaging
49 and contamination (Table 3). A total of 222 medicines were recalled. The
50 formulation most frequently affected by quality issues was formulations for parenteral
51 administration. These accounted for 117 incidents (42%) (Table 4).
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55 Since 2004, 11 incidents of counterfeit drugs have been reported by the MHRA (Table
56 5). The last of these was reported in 2009. Information regarding the actual problems
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3 with the counterfeit medicines, however, is available for only two of the 11 incidents
4 reported.
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7 8 **DISCUSSION**

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10 This review has shown that the problem of defective medicines reported in the UK
11 has increased tenfold over the eleven years studied. It is likely that the incidence of
12 defective medicines has actually increased in the UK, but there is insufficient data to
13 prove this. The most frequent formulations reported to be defective were the
14 formulations for parenteral administration, which accounted for 42% of cases.
15 Substandard medicines represented the bulk of defective medicine incidents,
16 accounting for over 95% of the cases. Contamination issues were the most
17 frequently reported clinically relevant problems reported; 80% of these contaminated
18 formulations were formulations for parenteral administration. There is a greater
19 likelihood of harm to the patient in association with contaminated parenteral
20 formulations as highlighted by the recent outbreak of fungal sepsis in the USA.¹¹
21 However, these adverse health consequences have not been documented by the
22 MHRA or the manufacturers.
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33 The majority of counterfeit medicine incidents were reported by the MHRA in the
34 period between 2004 and 2007. Information regarding the actual quality-defect type
35 beyond authenticity has been stated for only two cases. Information on the other
36 nine cases has been requested from the MHRA but has not been provided. Five
37 incidents of counterfeit drugs were reported in 2007 alone. This led the MHRA to
38 introduce its first anti-counterfeiting strategy in 2007.¹² The strategy outlined a 3-
39 year plan to combat counterfeit medicines through a visionary program of
40 communication, collaboration, and regulation. Since then, the MHRA has reported
41 only one incident in 2009. This may be attributed to the effectiveness of this strategy
42 in reducing the availability of these drugs in UK supply chains. However, according
43 to the latest European Union customs data, the number of counterfeit
44 pharmaceuticals seized increased from 148 cases in 2005 to 3242 cases in 2009,
45 before declining to 1812 cases in 2010.¹³⁻¹⁸ The question that should be raised is
46 how many counterfeit drugs successfully passed in to European markets? Individual
47 data about these seizures in the UK have been requested but was not provided
48 either by European or UK customs.
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5 It is not clear whether the increase in the trend of substandard medicines incidents
6 resulted from new legislation or strategies by the MHRA, that have made it easier to
7 detect and report these drugs, or whether the rate of manufacture of substandard
8 medicines in the UK is increasing. The overall performance of the MHRA has
9 improved since it was officially launched in 2003.^{19,20} The introduction and
10 development of the MHRA's website since 2003/04, as well as the addition of an
11 integrated site for medicines and medical devices, opened important communication
12 channels with healthcare providers and stakeholders.¹⁹ The MHRA has introduced
13 guidelines to healthcare professionals outlining procedures for reporting medicines
14 suspected to be defective.⁸ These guidelines outline the role that healthcare
15 professionals play in the initial assessment of suspected defected medicines. They
16 also illustrate subsequent investigations that can be carried out by the DMRC before
17 it issues a drug alert. The ease of access to these guidelines through the MHRA's
18 website may increase healthcare providers' awareness about how to deal with these
19 drugs. It is now possible to report these drugs online or over the phone if the
20 healthcare professional thinks that the defect in a drug may represent a serious risk
21 to public health.
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35 These developments may facilitate the reporting of defective drugs by health care
36 providers or by manufacturers. In 2003/04, the DMRC received 298 reports about
37 defective medicines.¹⁹ The number of these reports has increased, totaling 830 in
38 2010/11.²⁰ However the level of awareness of healthcare professionals about these
39 drugs and how to report them has not been tested. For this reason, the possibility
40 cannot be excluded that both the real incidence and the rate of manufacturing of
41 poor quality medicines are increasing.
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48 Events caused by defective medicines are sometimes relatively difficult to distinguish
49 from those considered to be the result of accidents, errors, or adverse drug reactions.
50 An example is the fentanyl transdermal patch. Fentanyl is a strong opioid analgesic
51 (about 80 times stronger than morphine) used to treat chronic pain.²¹ It has a narrow
52 therapeutic window, and an overdose can result in adverse effects, including
53 respiratory arrest and death. Several deaths due to fentanyl patch overdose have
54 been reported worldwide,²² including the UK.²³ Overdoses can be caused by
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3 excessive application of patches, incorrect product use (e.g., cutting the patch), and
4 exposing the patches or the skin to any kind of heat, which can dramatically increase
5 the drug's absorption through the skin.²² Certain fentanyl patches and transdermal
6 systems have been recalled in different countries,^{24, 25} including the UK (Table 1).
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8 The defects in all cases resulted from either a cut in one side of the fentanyl patch
9 reservoir or self-activation of the transdermal system; both have the potential to
10 cause overdose. In such cases, it can be difficult to distinguish between the events
11 related to adverse drug reaction, misuse, or accidents, and those that are caused by
12 defective products. There is a possibility, therefore, that some cases of adverse
13 events related to this defect have been attributed to the adverse-reaction nature of
14 the drug and have gone unreported.
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23 It was of concern that parenteral formulations for intravenous injection or infusion
24 were the formulation most likely to be substandard. The risk of drug toxicity is far
25 greater with drugs given intravenously than those given orally. The toxicity
26 associated with substandard medicines worldwide is unknown.²⁶ Healthcare
27 professionals should therefore be aware of the expected risk. Healthcare providers
28 should be educated in the initial assessment of medicines, especially parenteral
29 formulations, for any sign of impurity, particulate contamination, or improper sealing.
30 If anything appears suspicious, the healthcare providers should know how to report
31 this defect immediately to the DMRC before any further harm can occur.
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40 The main limitation of the review was the lack of available data on the adverse
41 events related to defective medicines. The data has been not provided by the MHRA
42 or the manufacturers. Thus, the clinical significance of the problem is unknown.
43 Company-led recalls have been posted on the MHRA website since 2011;
44 information on these recalls for previous years has not been provided. Due to the
45 lack of information made available, we were not able to determine the reason(s) for
46 the rise in defective medicines. The WHO has recently proposed a new single
47 definition for counterfeit and substandard medicines,²⁷ the definitions used in this
48 paper are the previous 1992 WHO definitions (box 1). This new definition however
49 has been questioned recently by various groups,⁴ who feel it does not distinguish
50 sufficiently between the different categories that require different monitoring and
51 solutions. We have therefore used the older more widely used definition.
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CONCLUSION

The reporting of substandard medicines in the UK is on the rise. There was an increase from five incidents in 2001 to 50 incidents in 2011. Parenteral formulations were most likely to be substandard. There is a greater likelihood of harm to the patient in association with contaminated parenteral formulations, but the clinical significance of the problem is unknown, due to the lack of information related to their toxicity. Healthcare providers should know how to assess the quality of medicines, particularly parenteral formulations for any sign that can be an indication of contamination and sterility failure.

Contributors:

TA performed the search, extracted the data and drafted the paper. HS double-checked the extracted data, conceived the idea and interpreted the results. IC had the original idea for the study. IC and TA designed the review and IC critically revised the manuscript and supervised the study. All authors approve of this final submitted version after their revision of the manuscript.

Competing interests: None.

Funding: This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors.

Ethical approval: Not required.

Data sharing: No additional data available.

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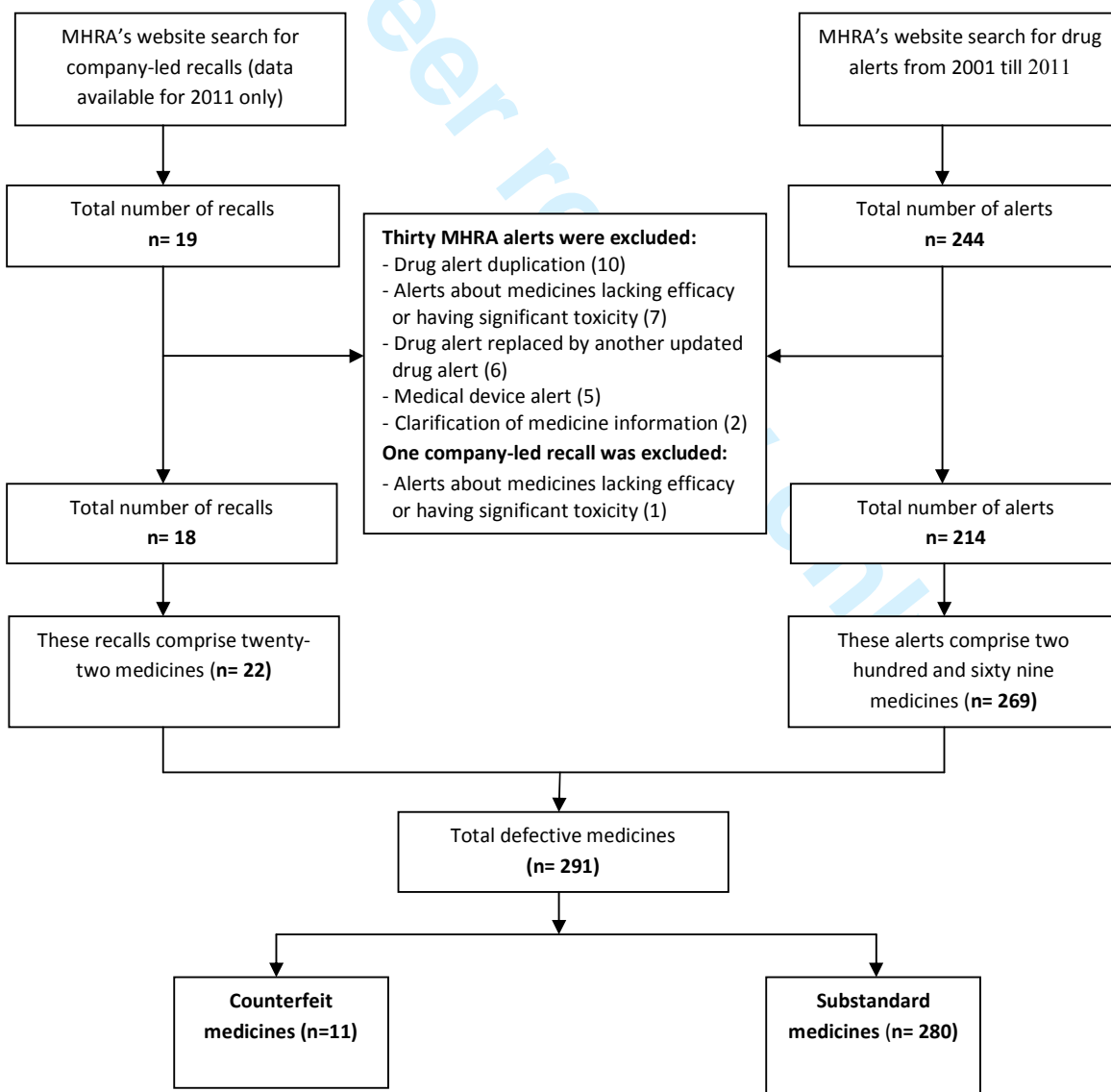
Tables and figures:

Box 1. WHO definition of counterfeit and substandard medicines

Counterfeit medicine (1992): A medicine which is deliberately and fraudulently mislabelled with respect to identity and/or source. Counterfeiting can apply to both branded and generic products. Counterfeit products may include any of the following: the correct ingredients, the wrong ingredients, no active ingredients, insufficient ingredients or fake packaging.⁹

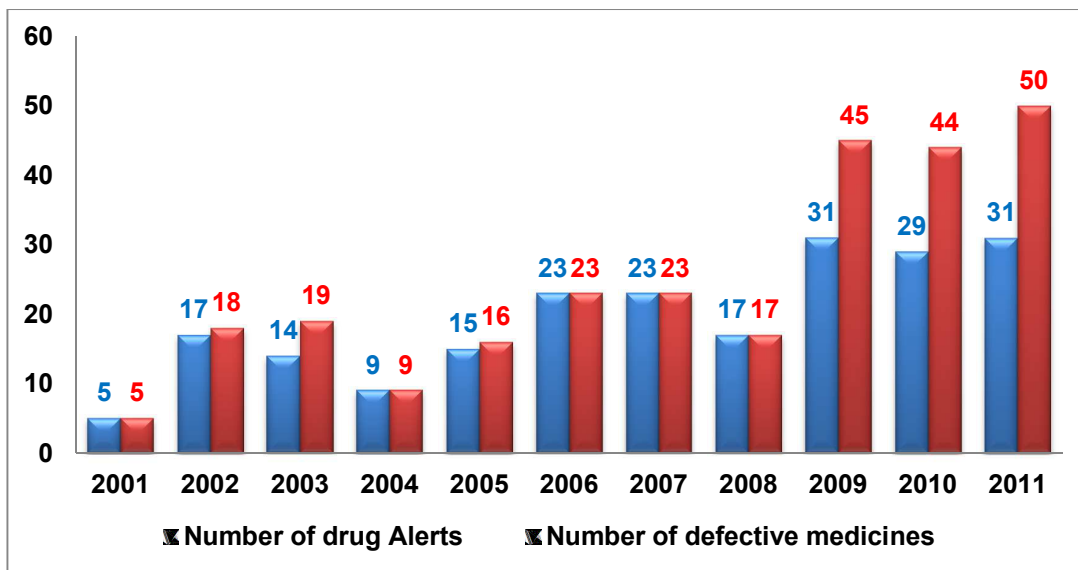
Substandard medicine: A genuine medicine which has failed to pass the quality measurements and standards set for it can be considered as a substandard medicine.¹⁰

Figure 1: Flow diagram of search and resulting alerts and recalls



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Figure 2: Number of drug alerts and defective medicines reported in the MHRA alerts from 2001 till 2011



*Some drug alerts reported more than one defective medicine.

For peer review only

Table 1: Medicines recalled by the MHRA under class 1 drug alert

	Type of defect	Medications	Formulation	Defect description	Time taken for the MHRA to issue drug alerts from the first distribution of defective batches (In days)
Substandard medicines	Contamination	Zinc Oxide BP 15% w/w	Local preparation	Lack of sterility assurance	19
		Etoposide 20 mg/ml	Injection	Lack of sterility assurance	Not stated
		Paclitaxel 6mg/ml	Injection	Lack of sterility assurance	Not stated
		Tetrofosmin 230 mcg	Injection	Gas filters used in the aseptic manufacturing process were not sterile	33
		Rabies Vaccine	Injection	low level of contamination with live attenuated rabies virus	64
		Nelfinavir mesilate	All presentations	Contaminated originator and parallel distributed product	Not stated
	Major packaging defects	Ibuprofen	Tablets	The product contained rogue quetiapine XL 50mg tablets and gabapentin 100mg capsules	117
		Ephedrine Hydrochloride 3mg/ml	Injection	Ephedrine Hydrochloride syringe in a plastic box erroneously identified as Atropine Sulphate Injection	44
		Bendroflumethiazide 2.5 mg	Tablets	This batch contains Warfarin 3 mg Tablets	210
	Delivery issues	Temozolomide	Capsules	Reports of broken capsules and leakage	38
		Temozolomide	Capsules	Reports of broken capsules and leakage	91
		Fentanyl (40 mcg per dose)	Transdermal system	One batch of the transdermal system have been found to self-activate which has the potential to cause overdose	Not stated
		Salbutamol 100mcg	Inhaler	Fault with the valve which may lead to higher doses	120
	Potency issues	Fentanyl Compressed Lozenge	Compressed Lozenge	The potency of the product is out of specification	242
	Issues relating to active pharmaceutical ingredient	Oxybutynin Hydrochloride 5mg	Tablets	Excessive amount of active ingredient	56
		Enoxaparin Sodium 20mg & 40mg	Injection	Excessive amount of active ingredient	207
Other issues	Protamine sulphate 10mg/ml	Injection	Failure of the finished product to meet normal assay criteria.	13	
Counterfeit medicines	Counterfeit medicines	Clopidogrel 75 mg (Plavix)	Tablets	Counterfeit parallel distributed product	35
		Bicalutamide 50mg (Casodex)	Tablets	Counterfeit parallel imported product	Not stated
		Clopidogrel 75 mg (Plavix)	Tablets	Counterfeit parallel imported product	Not stated
		Olanzapine 10mg (Zyprexa)	Tablets	Counterfeit parallel distributed product	Not stated

Table 2: Defective medicines under class 2, 3 and 4 drug alerts

	Type of defect	Number of medications recalled under class 2 alert	Number of medications recalled under class 3 alert	Number of medications under class 4 alert	Company-led recalls
Substandard medicines	Contamination	40	9	7	11
	Major packaging defects	7	5	14	0
	Minor packaging defects	40	9	22	1
	Delivery issues	17	3	4	5
	Stability failure	11	12	0	0
	Potency issues	5	0	0	2
	Issues relating to active pharmaceutical ingredient	4	1	0	1
	Other issues	33	0	0	2
Counterfeit medicines	Counterfeit medicines	4	0	1	0
	Total	161	39	48	22*

* All company-led recalls were issued by manufacturers in 2011

Table 3: Substandard medicines

Defect Type	Number of medicines	%	Defect details	Number of medicines
Contamination	74	27	Impurities	44
			Lack of sterility assurance	18
			Microbial contamination	12
Minor packaging defects	70	25	Failure to update PIL with administration or safety warning	40
			Incorrect information/ description of the dosage form, strength or dose of a medicine	12
			Missing or packing PIL with the wrong carton	6
			Others	12
Delivery defects	33	12	Fault with a device	19
			Leakage or loose seal	9
			Others	5
Major packaging defects	28	10	Missing or incorrect name ,strength, or active ingredient of a medicine on carton or box	22
			Packing a medicine in the wrong carton	6
Stability defects	23	8	Unspecified stability failure	15
			Stability failure of the active ingredient or dissolution failure prior to expiry	8
Defects in active ingredient	8	3	Active ingredient is out of specification (either more or less)	6
			Non homogeneity of the active ingredient in the formulation	2
Potency	7	2	Sub-potent medicine (underlying causes of sub-potency were not stated)	7
Other defects	37	13	GMP deficiencies at manufacturing site or improper storage of medicines during shipment	23
			Others	14
Total	280	100		280

PIL: Patient information leaflet; **GMP:** Good manufacturing practice

Table 4: Substandard parenteral formulations

Defect Type	Intravenous	Subcutaneous	Intramuscular	Intravitreal	Total
Contamination	48	6	6	0	60
Minor packaging defect	16	2	0	0	18
Delivery defect	9	4	0	1	14
Major packaging defects	9	0	0	0	9
Stability defect	2	1	1	0	4
Defect in active ingredient	0	1	0	0	1
Potency	2	0	3	0	5
Other defects	3	1	2	0	6
Total	89	15	12	1	117

Table 5: Drug alerts relating to counterfeit medicines

No.	Medicines	Year	Formulation	Stated problem	Class of drug alert (1-4)
1	Salmeterol 25mcg /fluticasone propionate 250 mcg , (Seretide 250)	2009	Evohaler	A reduced patient dose	2
2	Sensodyne Original and Sensodyne Mint in 50ml tubes	2007	Toothpaste	Counterfeit toothpaste contains Diethylene Glycol	4
3	Clopidogrel 75 mg (Plavix)	2007	Tablets	Not stated	1
4	Bicalutamide 50mg (Casodex)	2007	Tablets	Not stated	1
5	Clopidogrel 75 mg (Plavix)	2007	Tablets	Not stated	1
6	Olanzapine 10mg (Zyprexa)	2007	Tablets	Not stated	1
7	Atorvastatin 20mg (Lipitor)	2006	Tablets	Not stated	2
8	Atorvastatin 20mg (Lipitor)	2006	Tablets	Not stated	2
9	Atorvastatin 20mg (Lipitor)	2005	Tablets	Not stated	2
10	Sibutramine 15 mg (Reductil)	2004	Capsules	Not stated	2
11	Tadalafil 20mg (Cialis)	2004	Tablets	Not stated	2



Substandard and falsified medicines in the UK: A retrospective review of drug alerts (2001–2011)

Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2013-002924.R1
Article Type:	Research
Date Submitted by the Author:	17-May-2013
Complete List of Authors:	Almuzaini, Tariq; University of Nottingham, Academic Division of Child Health Sammons, Helen; University of Nottingham, Academic Division of Child Health Choonara, Imti; University of Nottingham, Academic Division of Child Health
Primary Subject Heading:	Health policy
Secondary Subject Heading:	Public health, Pharmacology and therapeutics
Keywords:	Falsified , Substandard, Defective, Medicines

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5 **Substandard and falsified medicines in the UK: A retrospective**
6 **review of drug alerts (2001–2011)**
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42 **Abstract:** 192 words

43
44 **Full text:** 3526 words

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46 **Number of figures:** 2

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48 **Number of tables:** 3
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51 **Keywords:** Falsified, substandard, defective, medicines
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ABSTRACT

Objective: To determine the extent of substandard and falsified medicines in the UK.

Design: A retrospective review of drug alerts and company-led recalls.

Setting: The Medicines and Healthcare Products Regulatory Agency (MHRA) website search for drug alerts issued between 2001 and 2011.

Eligibility criteria: Drug alerts related to quality defect in medicinal products.

Main outcome measure: Relevant data about defective medicines reported in drug alerts and company-led recalls, including description of the defect, type of formulation, year of the alert and category of the alert.

Results: There were 280 substandard medicines of which 222 were recalled. The two most frequent problems were contamination (74 incidents) and issues related to packaging (98 incidents). Formulations for parenteral administration (117 incidents) were the formulation most frequently affected. There were 11 falsified medicines, as defined by the MHRA, reported over the 11 year period. The number of defective medicines reported by the MHRA increased tenfold from five in 2001 to 50 in 2011.

Conclusions: Substandard medicines are a significant problem in the UK. It is uncertain whether the increasing number of reports relates to improved detection or an increase in the number of substandard medicines.

Article summary

Article focus

- To describe the pattern of drug alerts issued by the MHRA in the UK from 2001 to 2011.
- To determine the extent of substandard and falsified medicines in the UK.

Key messages

- Substandard medicines are a significant problem in the UK, with a tenfold increase in the incidents reported over the last 10 years.
- Formulations for parenteral administration were most likely to be substandard.
- Education of healthcare professionals in the initial assessment of quality of medicines, especially parenteral formulation, is needed.

Strengths and limitations of this study

Strengths

- This is the first review to assess the problem of substandard and falsified medicines in a high income country.
- Quantification of all drug alerts issued by the MHRA over an 11-year period.

Limitations

- Clinical significance of the problem is unknown due to the lack of available data from the MHRA or the manufacturers on the adverse events related to defective medicines.
- We were not able to determine the reason(s) for the rise of drug alerts, owing to the limited data available.

INTRODUCTION

Falsified and substandard medicines are a significant problem throughout the world.¹⁻⁴ Most of the evidence for this has been reported from Africa and Asia in low and lower middle income countries.¹⁻³ Little evidence, however, is available for European and Northern American countries, as no individual studies about the problem have been published in high income countries. According to European commission data, the incidence of this problem in the European supply chain is growing by 10% to 20% annually.^{5,6}

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3 In the UK, the Medicines and Healthcare products Regulatory Agency (MHRA) has
4 the responsibility of safeguarding the public from the risk of these drugs. The
5 MHRA's Defective Medicines Report Centre (DMRC) is the department responsible
6 for receiving and assessing reports about suspected defective drugs. Drug alerts are
7 issued by the DMRC to the manufacturer, wholesalers and healthcare providers, in
8 cases where a defective medicine is shown to compromise patients' safety.⁷
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15 The aims of this study were to describe the number of drug alerts issued by the
16 MHRA and to determine the extent of substandard and falsified medicines in the UK.
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19 **METHODS**

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21 The DMRC defines a defective drug as a "drug which proves to be harmful under
22 normal conditions of use, lacking in therapeutic efficacy and/or the qualitative and
23 quantitative composition of a drug is not as declared. A drug can also be defective if
24 the controls on a drug and/or on the ingredients and the controls at an intermediate
25 stage of the manufacturing process have not been carried out or if some other
26 requirement or obligation relating to the grant of the manufacturing authorisation has
27 not been fulfilled."⁸
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35 There is currently no agreed international definition of a falsified medical product.
36 The 2011 WHO definition of Substandard/spurious/false-labelled/falsified/
37 counterfeit (SSFFC) medical products does not differentiate between different types
38 of compromised medicines that need distinct regulatory actions.⁹ Attaran and
39 colleagues have proposed a clearer definition, differentiating between falsified and
40 substandard medicines in relation to if a medicine is a public health crime, and
41 therefore an intentional wrong doing, or if there has been a regulatory failure and no
42 intentional wrong has taken place.⁴ No specific definition exists within English law
43 and the MHRA adopts the definition contained within the European Falsified
44 Medicines Directive. They look for clear evidence that there was an intention to
45 deceive a consumer, patient, healthcare professional and/or operator within the
46 supply chain, into believing that the medical product being manufactured offered or
47 supplied was the genuine article when in fact it was not.¹⁰ In this paper we define
48 medicines that failed to pass the quality measurements and standards set for them
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3 as substandard medicines. On the other hand, falsified medicines are those which
4 don't meet the quality specifications of regulatory authority with deliberate intent.
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8 A search for drug alerts related to defective medicinal products was carried out. This
9 was performed through the official MHRA website using the section allocated for
10 drug alerts. The first drug alert reported on the MHRA website was in the year 2001;
11 thus, all drug alerts issued between 2001 and 2011 were included. Manufacturers
12 can also voluntarily recall their defective products without the need for a drug alert to
13 be issued by the MHRA in cases where defective medicines have left manufacturing
14 sites but have had limited distribution in the supply chain. The MHRA started posting
15 these recalls under its company-led recall section in 2011; hence, all these recalls
16 were included. The resulting alerts and recalls were compiled and exclusion criteria
17 included the following: drug alert replaced by another updated one, drug alert
18 duplication, alert about clarification of medicine information, medical device alert and
19 alert about medicines lacking efficacy or having significant toxicity.
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29 The following data was extracted from these alerts: name, strength and dosage form;
30 year of the alert; number of affected batches; nature of the defect; class of drug alert
31 and action to be taken about defective medicine. Substandard medicines were then
32 subdivided according to the type of the defect into the following:
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- 38 ➤ **Contamination:** Defect related to microbial contaminations and issues
39 related to sterility as well as chemical and particulate (i.e. impurities)
40 contamination.
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- 42 ➤ **Minor packaging defect:** Defects that occur in relation to the printing of
43 packaging materials, for instance, printed box errors that involve a missing
44 or incorrect expiry date or batch number of a medicine. This also includes
45 any errors in the patients' information leaflets (PIL) or summary of product
46 characteristic.
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- 48 ➤ **Major packaging defects:** A defect that involves packing a medicine in a
49 wrong box or carton or printing errors that involve missing or incorrect
50 name or strength of a medicine.
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- 52 ➤ **Delivery:** A technical or physical defect such as broken capsules or
53 leaking containers.
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- **Stability failure:** Defect results from the failure of the medicine to remain within the established standards throughout the expiration period, for example, low assay of the active ingredient prior to a product expiry.
- **Potency:** Failure of the medicine to evoke the desired effect within the stated strength.
- **Defect in active ingredient:** Defect results from the active ingredient being inadequate or excessive in the formulation.
- **Other defects:** Other deviations concerning non-compliance with good manufacturing practice at manufacturing site.

The total number of alerts was obtained and each individual medicine reported in these alerts was then extracted. Defective medicines were then classified according to the World Health Organization Anatomical Therapeutic Chemical (ATC) Classification System. This system uses five levels to classify medicines and the first two levels were used in this section. The first level classifies medicine according to the system or organ on which the medicine acts; whereas, the second level classifies medicine according to its main therapeutic group.¹¹

Company-led recalls are initiated by manufacturers after consultation with the MHRA, without giving the recall any degree of urgency. Drug alerts, by contrast, are issued by the MHRA and have four possible classifications based on the level of the risk.⁸ These classes are as follows:

- **Class 1 drug alert:** The defect in a medicine is life-threatening and poses a serious risk to patient health
- **Class 2 drug alert:** The defect in a medicine is not life-threatening but can still be harmful to patients
- **Class 3 drug alert:** The defect in a medicine is unlikely to be hazardous to patients, but an alert is issued, due to problems related to non-compliance with good manufacturing practices or marketing authorisations
- **Class 4 drug alert:** The least critical alert; advises “caution in use”.

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3 Class 1, 2 and 3 drug alerts require recalls of affected batches, whereas class 4 alerts
4 do not require recalls but require caution in dealing with defective medicines.⁸
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8 Three types of compromised medicine can be recognised in the alerts; namely:
9 falsified, substandard and medicines withdrawn because of safety or toxicity concerns.
10 The decision of which medicines were falsified was made from the MHRA
11 classification.¹² Only medicines where it was made clear in the recall that the batch
12 was regarded by the MHRA as counterfeit/falsified were given this label. No data was
13 available to the authors to further analyse if the error was intentional or non-intentional.
14 All alerts that were not included in the MHRAs specific falsified medical products list
15 were therefore classified as substandard medicines.
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22 **RESULTS**

23 **Incident trends**

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29 There were a total of 244 drug alerts issued by the MHRA. After applying the
30 aforementioned exclusion criteria, 30 were excluded. In turn, 214 were deemed
31 suitable for inclusion (Figure 1). Analysis showed that these alerts reported 269
32 medicines, each of which represented an individual incident. The majority of these
33 alerts were issued for individual medicines. However, some were issued for up to 14
34 medicines.
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41 Since MHRA started reporting drug alerts online in 2001, there has been a gradual
42 increase in the rate of drug alerts related to defective medicinal products. The
43 number of drug alerts increased from five in 2001 to 31 in 2011. The number of
44 defective medicines reported by these alerts was five in 2001. This figure increased
45 tenfold by 2011, to a total of 50 (Figure 2).
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50 **Defective medicines recalled by the MHRA under class 1, 2, 3, 4 drug alerts**

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52 Seventeen medicines were recalled under class 1 drug alerts. The highest number of
53 alerts was issued for contamination reasons. The most frequent formulations reported
54 were formulations for parenteral administration, which accounted for seven cases.
55 The median time, when stated, taken by the MHRA to identify these medicines as
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3 defective, from medicines being available on the market, to issuing alerts was 64 days
4 (range 13-242 days). The length of this time period is critical, as the chance of
5 adverse events increases as the time of exposure increases. Details of these alerts
6 are shown in Table 1.
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10 Under a class 2 alert, 161 medicines were recalled. The main issues were related to
11 contamination and minor packaging defects. There were also 87 medicines recalled
12 under class 3 or 4 alerts (online supplementary table 1).
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15 16 **Defective medicines recalled by Manufacturers (Company-led recalls)**

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18 It was observed that 19 company-led recalls were issued by the manufacturers in
19 2011. One recall was excluded after applying the exclusion criteria. Thus, 18
20 company-led recalls were included and these were issued for a total of 22 defective
21 medicines (Figure 1).
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25 26 **Defective medicines, classified by type of defect**

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28 The incidents of defective medicines reported by the MHRA (269) were compiled
29 with those reported by the manufacturers (22), which resulted in 291 incidents to be
30 considered for further analysis.
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35 Substandard medicines:

36 Defects in substandard medicines accounted for the bulk of these incidents. Two
37 hundred and eighty medicines (96%) were reported to be substandard (Figure 1). A
38 total of 222 medicines were recalled. The two most frequent problems, with the
39 substandard medicines, were packaging and contamination (Table 2).
40 Contamination was a significant issue with parenteral formulations where there were
41 a total of 60 incidents (online supplementary table 2). Formulations for parenteral
42 administration were most frequently affected by quality issues, with a total of 117
43 incidents (42%). Formulations for intravenous administration accounted for the
44 majority of the cases (89 incidents). Other formulations affected were subcutaneous
45 (15 incidents) and intramuscular formulations (12 incidents).
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55 Packaging defects were the other most frequent problem. In many cases these
56 involved significant clinical issues such as incorrect name, strength or active
57 ingredient of a medicine on the packaging or errors in the product information leaflet
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3 in relation to administration or toxicity. The number of defective medicines was
4 highest amongst drugs that act on the nervous system (45/280), cardiovascular
5 system (41) and infections (35). When these medicines were classified by
6 therapeutic class, those most frequently reported defective were antihypertensives
7 (27/280), antineoplastic agents (21/280) and antibacterials (19/280). Details are
8 given in online supplementary table 3.
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14 The UK Health and Social Care Information Centre published a list of the 20 drugs
15 that contained the greatest number of items dispensed in 2011 by volume.¹³ Ten of
16 these 20 medicines were associated with a total of 22 incidents of substandard
17 medicines.
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23 The study identified 89 manufacturers, holding the marketing authorisation for 280
24 medicines which were recalled by the MHRA. Manufacturers who held the marketing
25 authorisation of 5 or more recalled medicines are listed in supplementary online table
26 4. Fifteen manufacturers fit this criterion. Together, they hold the authorisation for
27 163 medicines, accounting for 58% of all recalled medicines. The majority of these
28 manufacturers have manufacturing facilities in the UK, four having headquarters in
29 the UK (Glaxosmithkline, AstraZeneca, Martindale Pharmaceuticals and Karib Kemi
30 Pharm). The supplementary online table 4 also describes individual manufacturing
31 defects for all listed manufacturers.
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39 Falsified medicines:

40 Since 2004, 11 incidents of falsified drugs have been reported by the MHRA that
41 breached the UK supply chain (Table 3). The last of these was reported in 2009. In
42 2007 alone, the MHRA stated in its falsified medical products strategy (2012-2015)
43 that more than 2 million doses of falsified medicines entered the supply chain.¹⁰ A total
44 of 700 000 doses were recalled from pharmacies or patients.¹⁰ In these alerts,
45 information regarding the actual problems with the falsified medicines is given for only
46 two of the 11 incidents reported. In its recent strategy on falsified medicines, the
47 MHRA stated that the previous falsified medicines seized had one or more quality
48 defect issues concerning active ingredients, disintegration, dissolution or presence of
49 unknown impurities.¹⁰
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DISCUSSION

This review has shown that the problem of defective medicines reported in the UK has increased tenfold over the eleven years studied. It is likely that the incidence of defective medicines has actually increased in the UK, but there is insufficient data to prove this. The most frequent formulations reported to be defective were the formulations for parenteral administration, which accounted for 42% of cases. Substandard medicines represented the bulk of defective medicine incidents, accounting for over 95% of the cases. Contamination issues were the most frequently reported clinically relevant problems reported; 80% of these contaminated formulations were formulations for parenteral administration. There is a greater likelihood of harm to the patient in association with contaminated parenteral formulations as highlighted by the recent outbreak of fungal sepsis in the USA.¹⁴ However, these adverse health consequences have not been documented by the MHRA or the manufacturers.

Substandard medicines

It is not clear whether the increase in the trend of substandard medicines incidents resulted from new legislation or strategies by the MHRA, that have made it easier to detect and report these drugs, or whether the rate of manufacture of substandard medicines in the UK is increasing. The overall performance of the MHRA has improved since it was officially launched in 2003.^{15, 16} The introduction and development of the MHRA's website since 2003/04, as well as the addition of an integrated site for medicines and medical devices, opened important communication channels with healthcare providers and stakeholders.¹⁵ The MHRA has introduced guidelines to healthcare professionals outlining procedures for reporting medicines suspected to be defective.⁸ These guidelines outline the role that healthcare professionals play in the initial assessment of suspected defected medicines. They also illustrate subsequent investigations that can be carried out by the DMRC before it issues a drug alert. The ease of access to these guidelines through the MHRA's website may increase healthcare providers' awareness about how to deal with these drugs. It is now possible to report these drugs online or over the phone if the healthcare professional thinks that the defect in a drug may represent a serious risk to public health.

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3 These developments may facilitate the reporting of defective drugs by health care
4 providers or by manufacturers. In 2003/04, the DMRC received 298 reports about
5 defective medicines.¹⁵ The number of these reports has increased, totaling 830 in
6 2010/11.¹⁶ However the level of awareness of healthcare professionals about these
7 drugs and how to report them has not been tested. For this reason, the possibility
8 cannot be excluded that both the real incidence and the rate of manufacturing of
9 poor quality medicines are increasing.
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16 It was of concern that parenteral formulations for intravenous injection or infusion
17 were the formulation most likely to be substandard. The risk of drug toxicity is far
18 greater with drugs given intravenously than those given orally. The toxicity
19 associated with substandard medicines worldwide is unknown.¹⁷ Healthcare
20 professionals should therefore be aware of the expected risk. Healthcare providers
21 should be educated in the initial assessment of medicines, especially parenteral
22 formulations, for any sign of impurity, particulate contamination, or improper sealing.
23 If anything appears suspicious, the healthcare providers should know how to report
24 this defect immediately to the DMRC before any further harm can occur.
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32 **Falsified medicines**

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34 The majority of falsified medicine incidents were reported by the MHRA in the period
35 between 2004 and 2007. Information regarding the actual quality-defect type beyond
36 authenticity has been stated for only two cases. Information on the other nine cases
37 has been requested from the MHRA but has not been provided. Five incidents of
38 falsified drugs were reported in 2007 alone. This led the MHRA to introduce its first
39 anti-counterfeiting strategy in 2007.¹⁸ The strategy outlined a 3-year plan to combat
40 falsified medicines through a visionary program of communication, collaboration, and
41 regulation. Since then, the MHRA has reported only one incident in 2009. This may
42 be attributed to the effectiveness of this strategy in reducing the availability of these
43 drugs in UK supply chains.
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53 The UK has a stringent regulatory oversight of the supply chain, which is
54 represented by the few cases of falsified medicines reported over an 11-year period.
55 Falsified medicines are frequently manufactured abroad and imported to the UK.¹⁰
56 The criminal may choose the internet for distribution (given that it is less regulated).
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3 Thus, the problem of drug counterfeiting is exacerbated by unregulated online
4 pharmacies. Patients may use the Internet because of the following: the anonymity
5 of the Internet, offering cheaper medications and receiving prescription-only drugs
6 without prescription.¹⁹ Falsified drugs do not comply with prerequisite quality and
7 safety standards and therefore are hazardous to patients' health, being at best
8 clinically ineffective and at worst can cause death. The public needs to be made
9 aware of this risk. Adverse events of these drugs have been reported in different
10 countries,^{20, 21} including the UK.²²

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13 Falsified medicines are criminal problem according the law enforcement agencies
14 and a menace to public health. Falsified medicines are more likely if there is a weak
15 regulatory system and supply chain. In order to tackle the issue of falsified
16 medicines, a multisectoral approach is needed. This needs to involve law
17 enforcement agencies alongside global public health stakeholders, from both public
18 and private sectors, and the media.

29 30 **Pharmacovigilance**

31 Events caused by defective medicines are sometimes relatively difficult to distinguish
32 from those considered to be the result of accidents, errors, or adverse drug
33 reactions. An example is the fentanyl transdermal patch. Fentanyl is a strong opioid
34 analgesic (about 80 times stronger than morphine) used to treat chronic pain.²³ It has
35 a narrow therapeutic window, and an overdose can result in adverse effects,
36 including respiratory arrest and death. Several deaths due to fentanyl patch
37 overdose have been reported worldwide,²⁴ including the UK.²⁵ Overdoses can be
38 caused by excessive application of patches, incorrect product use (e.g., cutting the
39 patch), and exposing the patches or the skin to any kind of heat, which can
40 dramatically increase the drug's absorption through the skin.²⁴ Certain fentanyl
41 patches and transdermal systems have been recalled in different countries,^{26, 27}
42 including the UK (Table 1). The defects in all cases resulted from either a cut in one
43 side of the fentanyl patch reservoir or self-activation of the transdermal system; both
44 have the potential to cause overdose. In such cases, it can be difficult to distinguish
45 between the events related to adverse drug reaction, misuse, or accidents, and
46 those that are caused by defective products. There is a possibility, therefore, that
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3 some cases of adverse events related to this defect have been attributed to the
4 adverse-reaction nature of the drug and have gone unreported.
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8 **Limitations**

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10 The main limitation of the review was the lack of available data on the adverse
11 events related to defective medicines. The data has been not provided to the authors
12 by the MHRA or the manufacturers. A comparison between the expected risk and
13 pharmacovigilance data could not be performed as pharmacovigilance reports in the
14 UK are held by the MHRA and are not in the public domain. Thus, the clinical
15 significance of the problem is unknown. Company-led recalls have been posted on
16 the MHRA website since 2011; information on these recalls for previous years has
17 not been provided. Due to the lack of information made available, we were not able
18 to determine the reason(s) for the rise in defective medicines.
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26 **CONCLUSION**

27
28 The reporting of substandard medicines in the UK is on the rise. There was an
29 increase from five incidents in 2001 to 50 incidents in 2011. Parenteral formulations
30 were most likely to be substandard. There is a greater likelihood of harm to the
31 patient in association with contaminated parenteral formulations, but the clinical
32 significance of the problem is unknown, due to the lack of information related to their
33 toxicity. Healthcare providers should know how to assess the quality of medicines,
34 particularly parenteral formulations for any sign that can be an indication of
35 contamination and sterility failure.
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44 **Contributors:**

45 TA performed the search, extracted the data and drafted the paper. HS double-
46 checked the extracted data, conceived the idea and interpreted the results. IC had
47 the original idea for the study. IC and TA designed the review and IC critically
48 revised the manuscript and supervised the study. All authors approve of this final
49 submitted version after their revision of the manuscript.
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55 **Competing interests:** None.
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3 **Funding:** This research received no specific grant from any funding agency in the
4 public, commercial or not-for-profit sectors.
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8 **Ethical approval:** Not required.
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11 **Data sharing:** No additional data available.
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3 **Figure legends:**
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6 **Figure 1: Flow diagram of search and resulting alerts and recalls**
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8 **Figure 2: Number of drug alerts and defective medicines reported in the MHRA**
9 **alerts from 2001 till 2011**
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Table 1: Substandard medicines recalled by the MHRA under class 1 drug alert

	Type of defect	Medications	Formulation	Defect description	Time taken for the MHRA to issue drug alerts from the first distribution of defective batches (In days)
Substandard medicines	Contamination	Zinc Oxide BP 15% w/w	Local preparation	Lack of sterility assurance	19
		Etoposide 20 mg/ml	Injection	Lack of sterility assurance	Not stated
		Paclitaxel 6mg/ml	Injection	Lack of sterility assurance	Not stated
		Tetrofosmin 230 mcg	Injection	Gas filters used in the aseptic manufacturing process were not sterile	33
		Rabies Vaccine	Injection	low level of contamination with live attenuated rabies virus	64
		Nelfinavir mesilate	All presentations	Contaminated originator and parallel distributed product	Not stated
	Major packaging defects	Ibuprofen	Tablets	The product contained rogue quetiapine XL 50mg tablets and gabapentin 100mg capsules	117
		Ephedrine Hydrochloride 3mg/ml	Injection	Ephedrine Hydrochloride syringe in a plastic box erroneously identified as Atropine Sulphate Injection	44
		Bendroflumethiazide 2.5 mg	Tablets	This batch contains Warfarin 3 mg Tablets	210
	Delivery issues	Temozolomide*	Capsules	Reports of broken capsules and leakage	38
		Temozolomide*	Capsules	Reports of broken capsules and leakage	91
		Fentanyl (40 mcg per dose)	Transdermal system	One batch of the transdermal system have been found to self-activate which has the potential to cause overdose	Not stated
		Salbutamol 100mcg	Inhaler	Fault with the valve which may lead to higher doses	120
	Potency issues	Fentanyl Compressed Lozenge	Compressed Lozenge	The potency of the product is out of specification	242
	Issues relating to active pharmaceutical ingredient	Oxybutynin Hydrochloride 5mg	Tablets	Excessive amount of active ingredient	56
		Enoxaparin Sodium 20mg & 40mg	Injection	Excessive amount of active ingredient	207
Other issues	Protamine sulphate 10mg/ml	Injection	Failure of the finished product to meet normal assay criteria.	13	

*Two separate incidents.

Table 2: Substandard medicines

Defect Type	Number of medicines	%	Defect details	Number of medicines
Contamination	74	27	Impurities	44
			Lack of sterility assurance	18
			Microbial contamination	12
Minor packaging defects	70	25	Failure to update PIL with administration or safety warning	40
			Incorrect information/ description of the dosage form, strength or dose of a medicine	12
			Missing or packing PIL with the wrong carton	6
			Others	12
Delivery defects	33	12	Fault with a device	19
			Leakage or loose seal	9
			Others	5
Major packaging defects	28	10	Missing or incorrect name ,strength, or active ingredient of a medicine on carton or box	22
			Packing a medicine in the wrong carton	6
Stability defects	23	8	Unspecified stability failure	15
			Stability failure of the active ingredient or dissolution failure prior to expiry	8
Defects in active ingredient	8	3	Active ingredient is out of specification (either more or less)	6
			Non homogeneity of the active ingredient in the formulation	2
Potency	7	2	Sub-potent medicine (underlying causes of sub-potency were not stated)	7
Other defects	37	13	GMP deficiencies at manufacturing site or improper storage of medicines during shipment	23
			Others	14
Total	280	100		280

PIL: Patient information leaflet; **GMP:** Good manufacturing practice

Table 3: Drug alerts relating to falsified medicines

No.	Medicines	Year	Formulation	Recall level	Stated problem/Defect description	Class of drug alert (1-4)
1	Salmeterol 25mcg /fluticasone propionate 250 mcg , (Seretide 250)	2009	Evohaler	Pharmacy and wholesaler	A reduced patient dose	2
2	Sensodyne Original and Sensodyne Mint in 50ml tubes	2007	Toothpaste	Caution	Counterfeit toothpaste contains Diethylene Glycol (nephrotoxic and neurotoxic poison)	4
3	Clopidogrel 75 mg (Plavix)*	2007	Tablets	Pharmacy	Not likely to pose an immediate risk to patients. (Parallel imported product)	1
4	Bicalutamide 50mg (Casodex)	2007	Tablets	Patient		1
5	Clopidogrel 75 mg (Plavix)*	2007	Tablets	Pharmacy		1
6	Olanzapine 10mg (Zyprexa)	2007	Tablets	Patient		1
7	Atorvastatin 20mg (Lipitor)*	2006	Tablets	Pharmacy		2
8	Atorvastatin 20mg (Lipitor)*	2006	Tablets	Pharmacy		2
9	Atorvastatin 20mg (Lipitor)	2005	Tablets	Pharmacy		2
10	Sibutramine 15 mg (Reductil)	2004	Capsules	Patient		2
11	Tadalafil 20mg (Cialis)	2004	Tablets	Patient I		2

* Separate incidents of the same drug in the same year

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5 **Substandard and falsified** medicines in the UK: A retrospective
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7 **review of drug alerts (2001–2011)**
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41
42 **Abstract:** 192 words

43
44 **Full text:** 3526 words

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46 **Number of figures:** 2

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48 **Number of tables:** 3
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51 **Keywords:** **Falsified**, substandard, defective, medicines
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ABSTRACT

Objective: To determine the extent of **substandard and falsified** medicines in the UK.

Design: A retrospective review of drug alerts and company-led recalls.

Setting: The Medicines and Healthcare Products Regulatory Agency (MHRA) website search for drug alerts issued between 2001 and 2011.

Eligibility criteria: Drug alerts related to quality defect in medicinal products.

Main outcome measure: Relevant data about defective medicines reported in drug alerts and company-led recalls, including description of the defect, type of formulation, year of the alert and category of the alert.

Results: There were 280 substandard medicines of which 222 were recalled. The two most frequent problems were contamination (74 incidents) and issues related to packaging (98 incidents). Formulations for parenteral administration (117 incidents) were the formulation most frequently affected. There were 11 **falsified** medicines, **as defined by the MHRA**, reported over the 11 year period. The number of defective medicines reported by the MHRA increased tenfold from five in 2001 to 50 in 2011.

Conclusions: Substandard medicines are a significant problem in the UK. It is uncertain whether the increasing number of reports relates to improved detection or an increase in the number of substandard medicines.

Article summary

Article focus

- To describe the pattern of drug alerts issued by the MHRA in the UK from 2001 to 2011.
- To determine the extent of substandard and **falsified** medicines in the UK.

Key messages

- Substandard medicines are a significant problem in the UK, with a tenfold increase in the incidents reported over the last 10 years.
- Formulations for parenteral administration were most likely to be substandard.
- Education of healthcare professionals in the initial assessment of quality of medicines, especially parenteral formulation, is needed.

Strengths and limitations of this study

Strengths

- This is the first review to assess the problem of substandard and falsified medicines in a high income country.
- Quantification of all drug alerts issued by the MHRA over an 11-year period.

Limitations

- Clinical significance of the problem is unknown due to the lack of available data from the MHRA or the manufacturers on the adverse events related to defective medicines.
- We were not able to determine the reason(s) for the rise of drug alerts, owing to the limited data available.

INTRODUCTION

Falsified and substandard medicines are a significant problem throughout the world.¹⁻⁴ Most of the evidence for this has been reported from Africa and Asia in low and lower middle income countries.¹⁻³ Little evidence, however, is available for European and Northern American countries, as no individual studies about the problem have been published in high income countries. According to European commission data, the incidence of this problem in the European supply chain is growing by 10% to 20% annually.^{5,6}

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3 In the UK, the Medicines and Healthcare products Regulatory Agency (MHRA) has
4 the responsibility of safeguarding the public from the risk of these drugs. The
5 MHRA's Defective Medicines Report Centre (DMRC) is the department responsible
6 for receiving and assessing reports about suspected defective drugs. Drug alerts are
7 issued by the DMRC to the manufacturer, wholesalers and healthcare providers, in
8 cases where a defective medicine is shown to compromise patients' safety.⁷
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15 The aims of this study were to describe the number of drug alerts issued by the
16 MHRA and to determine the extent of substandard and falsified medicines in the UK.
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19 **METHODS**

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21 The DMRC defines a defective drug as a "drug which proves to be harmful under
22 normal conditions of use, lacking in therapeutic efficacy and/or the qualitative and
23 quantitative composition of a drug is not as declared. A drug can also be defective if
24 the controls on a drug and/or on the ingredients and the controls at an intermediate
25 stage of the manufacturing process have not been carried out or if some other
26 requirement or obligation relating to the grant of the manufacturing authorisation has
27 not been fulfilled."⁸
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35 There is currently no agreed international definition of a falsified medical product.
36 The 2011 WHO definition of Substandard/spurious/false-labelled/falsified/
37 counterfeit (SSFFC) medical products does not differentiate between different types
38 of compromised medicines that need distinct regulatory actions.⁹ Attaran and
39 colleagues have proposed a clearer definition, differentiating between falsified and
40 substandard medicines in relation to if a medicine is a public health crime, and
41 therefore an intentional wrong doing, or if there has been a regulatory failure and no
42 intentional wrong has taken place.⁴ No specific definition exists within English law
43 and the MHRA adopts the definition contained within the European Falsified
44 Medicines Directive. They look for clear evidence that there was an intention to
45 deceive a consumer, patient, healthcare professional and/or operator within the
46 supply chain, into believing that the medical product being manufactured offered or
47 supplied was the genuine article when in fact it was not.¹⁰ In this paper we define
48 medicines that failed to pass the quality measurements and standards set for them
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3 as substandard medicines. On the other hand, falsified medicines are those which
4 don't meet the quality specifications of regulatory authority with deliberate intent.
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8 A search for drug alerts related to defective medicinal products was carried out. This
9 was performed through the official MHRA website using the section allocated for
10 drug alerts. The first drug alert reported on the MHRA website was in the year 2001;
11 thus, all drug alerts issued between 2001 and 2011 were included. Manufacturers
12 can also voluntarily recall their defective products without the need for a drug alert to
13 be issued by the MHRA in cases where defective medicines have left manufacturing
14 sites but have had limited distribution in the supply chain. The MHRA started posting
15 these recalls under its company-led recall section in 2011; hence, all these recalls
16 were included. The resulting alerts and recalls were compiled and exclusion criteria
17 included the following: drug alert replaced by another updated one, drug alert
18 duplication, alert about clarification of medicine information, medical device alert and
19 alert about medicines lacking efficacy or having significant toxicity.
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29 The following data was extracted from these alerts: name, strength and dosage form;
30 year of the alert; number of affected batches; nature of the defect; class of drug alert
31 and action to be taken about defective medicine. Substandard medicines were then
32 subdivided according to the type of the defect into the following:
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- 38 ➤ **Contamination:** Defect related to microbial contaminations and issues
39 related to sterility as well as chemical and particulate (i.e. impurities)
40 contamination.
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- 42 ➤ **Minor packaging defect:** Defects that occur in relation to the printing of
43 packaging materials, for instance, printed box errors that involve a missing
44 or incorrect expiry date or batch number of a medicine. This also includes
45 any errors in the patients' information leaflets (PIL) or summary of product
46 characteristic.
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- 48 ➤ **Major packaging defects:** A defect that involves packing a medicine in a
49 wrong box or carton or printing errors that involve missing or incorrect
50 name or strength of a medicine.
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- 52 ➤ **Delivery:** A technical or physical defect such as broken capsules or
53 leaking containers.
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- **Stability failure:** Defect results from the failure of the medicine to remain within the established standards throughout the expiration period, for example, low assay of the active ingredient prior to a product expiry.
- **Potency:** Failure of the medicine to evoke the desired effect within the stated strength.
- **Defect in active ingredient:** Defect results from the active ingredient being inadequate or excessive in the formulation.
- **Other defects:** Other deviations concerning non-compliance with good manufacturing practice at manufacturing site.

The total number of alerts was obtained and each individual medicine reported in these alerts was then extracted. Defective medicines were then classified according to the World Health Organization Anatomical Therapeutic Chemical (ATC) Classification System. This system uses five levels to classify medicines and the first two levels were used in this section. The first level classifies medicine according to the system or organ on which the medicine acts; whereas, the second level classifies medicine according to its main therapeutic group.¹¹

Company-led recalls are initiated by manufacturers after consultation with the MHRA, without giving the recall any degree of urgency. Drug alerts, by contrast, are issued by the MHRA and have four possible classifications based on the level of the risk.⁸ These classes are as follows:

- **Class 1 drug alert:** The defect in a medicine is life-threatening and poses a serious risk to patient health
- **Class 2 drug alert:** The defect in a medicine is not life-threatening but can still be harmful to patients
- **Class 3 drug alert:** The defect in a medicine is unlikely to be hazardous to patients, but an alert is issued, due to problems related to non-compliance with good manufacturing practices or marketing authorisations
- **Class 4 drug alert:** The least critical alert; advises “caution in use”.

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3 Class 1, 2 and 3 drug alerts require recalls of affected batches, whereas class 4 alerts
4 do not require recalls but require caution in dealing with defective medicines.⁸
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8 Three types of compromised medicine can be recognised in the alerts; namely:
9 falsified, substandard and medicines withdrawn because of safety or toxicity concerns.
10 The decision of which medicines were falsified was made from the MHRA
11 classification.¹² Only medicines where it was made clear in the recall that the batch
12 was regarded by the MHRA as counterfeit/falsified were given this label. No data was
13 available to the authors to further analyse if the error was intentional or non-intentional.
14 All alerts that were not included in the MHRAs specific falsified medical products list
15 were therefore classified as substandard medicines.
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22 RESULTS

23 Incident trends

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29 There were a total of 244 drug alerts issued by the MHRA. After applying the
30 aforementioned exclusion criteria, 30 were excluded. In turn, 214 were deemed
31 suitable for inclusion (Figure 1). Analysis showed that these alerts reported 269
32 medicines, each of which represented an individual incident. The majority of these
33 alerts were issued for individual medicines. However, some were issued for up to 14
34 medicines.
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41 Since MHRA started reporting drug alerts online in 2001, there has been a gradual
42 increase in the rate of drug alerts related to defective medicinal products. The
43 number of drug alerts increased from five in 2001 to 31 in 2011. The number of
44 defective medicines reported by these alerts was five in 2001. This figure increased
45 tenfold by 2011, to a total of 50 (Figure 2).
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50 Defective medicines recalled by the MHRA under class 1, 2, 3, 4 drug alerts

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52 Seventeen medicines were recalled under class 1 drug alerts. The highest number of
53 alerts was issued for contamination reasons. The most frequent formulations reported
54 were formulations for parenteral administration, which accounted for seven cases.
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56 The median time, when stated, taken by the MHRA to identify these medicines as
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3 defective, from medicines being available on the market, to issuing alerts was 64 days
4 (range 13-242 days). The length of this time period is critical, as the chance of
5 adverse events increases as the time of exposure increases. Details of these alerts
6 are shown in Table 1.
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10 Under a class 2 alert, 161 medicines were recalled. The main issues were related to
11 contamination and minor packaging defects. There were also 87 medicines recalled
12 under class 3 or 4 alerts ([online supplementary table 1](#)).
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15 16 **Defective medicines recalled by Manufacturers (Company-led recalls)**

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18 It was observed that 19 company-led recalls were issued by the manufacturers in
19 2011. One recall was excluded after applying the exclusion criteria. Thus, 18
20 company-led recalls were included and these were issued for a total of 22 defective
21 medicines (Figure 1).
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25 26 **Defective medicines, classified by type of defect**

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28 The incidents of defective medicines reported by the MHRA (269) were compiled
29 with those reported by the manufacturers (22), which resulted in 291 incidents to be
30 considered for further analysis.
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34 35 **Substandard medicines:**

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37 Defects in substandard medicines accounted for the bulk of these incidents. Two
38 hundred and eighty medicines (96%) were reported to be substandard (Figure 1). [A](#)
39 [total of 222 medicines were recalled](#). The two most frequent problems, with the
40 substandard medicines, were packaging and contamination (Table 2).
41 [Contamination was a significant issue with parenteral formulations where there were](#)
42 [a total of 60 incidents \(online supplementary table 2\)](#). Formulations for parenteral
43 administration were most frequently affected by quality issues, with a total of 117
44 incidents (42%). Formulations for intravenous administration accounted for the
45 majority of the cases (89 incidents). Other formulations affected were subcutaneous
46 (15 incidents) and intramuscular formulations (12 incidents).
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55 Packaging defects were the other most frequent problem. In many cases these
56 involved significant clinical issues such as incorrect name, strength or active
57 ingredient of a medicine on the packaging or errors in the product information leaflet
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3 in relation to administration or toxicity. The number of defective medicines was
4 highest amongst drugs that act on the nervous system (45/280), cardiovascular
5 system (41) and infections (35). When these medicines were classified by
6 therapeutic class, those most frequently reported defective were antihypertensives
7 (27/280), antineoplastic agents (21/280) and antibacterials (19/280). Details are
8 given in online supplementary table 3.
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15 The UK Health and Social Care Information Centre published a list of the 20 drugs
16 that contained the greatest number of items dispensed in 2011 by volume.¹³ Ten of
17 these 20 medicines were associated with a total of 22 incidents of substandard
18 medicines.
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23 The study identified 89 manufacturers, holding the marketing authorisation for 280
24 medicines which were recalled by the MHRA. Manufacturers who held the marketing
25 authorisation of 5 or more recalled medicines are listed in supplementary online table
26 4. Fifteen manufacturers fit this criterion. Together, they hold the authorisation for
27 163 medicines, accounting for 58% of all recalled medicines. The majority of these
28 manufacturers have manufacturing facilities in the UK, four having headquarters in
29 the UK (Glaxosmithkline, AstraZeneca, Martindale Pharmaceuticals and Karib Kemi
30 Pharm). The supplementary online table 4 also describes individual manufacturing
31 defects for all listed manufacturers.
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39 Falsified medicines:

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41 Since 2004, 11 incidents of falsified drugs have been reported by the MHRA that
42 breached the UK supply chain (Table 3). The last of these was reported in 2009. In
43 2007 alone, the MHRA stated in its falsified medical products strategy (2012-2015)
44 that more than 2 million doses of falsified medicines entered the supply chain.¹⁰ A total
45 of 700 000 doses were recalled from pharmacies or patients.¹⁰ In these alerts,
46 information regarding the actual problems with the falsified medicines is given for only
47 two of the 11 incidents reported. In its recent strategy on falsified medicines, the
48 MHRA stated that the previous falsified medicines seized had one or more quality
49 defect issues concerning active ingredients, disintegration, dissolution or presence of
50 unknown impurities.¹⁰
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DISCUSSION

This review has shown that the problem of defective medicines reported in the UK has increased tenfold over the eleven years studied. It is likely that the incidence of defective medicines has actually increased in the UK, but there is insufficient data to prove this. The most frequent formulations reported to be defective were the formulations for parenteral administration, which accounted for 42% of cases. Substandard medicines represented the bulk of defective medicine incidents, accounting for over 95% of the cases. Contamination issues were the most frequently reported clinically relevant problems reported; 80% of these contaminated formulations were formulations for parenteral administration. There is a greater likelihood of harm to the patient in association with contaminated parenteral formulations as highlighted by the recent outbreak of fungal sepsis in the USA.¹⁴ However, these adverse health consequences have not been documented by the MHRA or the manufacturers.

Substandard medicines

It is not clear whether the increase in the trend of substandard medicines incidents resulted from new legislation or strategies by the MHRA, that have made it easier to detect and report these drugs, or whether the rate of manufacture of substandard medicines in the UK is increasing. The overall performance of the MHRA has improved since it was officially launched in 2003.^{15, 16} The introduction and development of the MHRA's website since 2003/04, as well as the addition of an integrated site for medicines and medical devices, opened important communication channels with healthcare providers and stakeholders.¹⁵ The MHRA has introduced guidelines to healthcare professionals outlining procedures for reporting medicines suspected to be defective.⁸ These guidelines outline the role that healthcare professionals play in the initial assessment of suspected defected medicines. They also illustrate subsequent investigations that can be carried out by the DMRC before it issues a drug alert. The ease of access to these guidelines through the MHRA's website may increase healthcare providers' awareness about how to deal with these drugs. It is now possible to report these drugs online or over the phone if the healthcare professional thinks that the defect in a drug may represent a serious risk to public health.

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3 These developments may facilitate the reporting of defective drugs by health care
4 providers or by manufacturers. In 2003/04, the DMRC received 298 reports about
5 defective medicines.¹⁵ The number of these reports has increased, totaling 830 in
6 2010/11.¹⁶ However the level of awareness of healthcare professionals about these
7 drugs and how to report them has not been tested. For this reason, the possibility
8 cannot be excluded that both the real incidence and the rate of manufacturing of
9 poor quality medicines are increasing.
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16 It was of concern that parenteral formulations for intravenous injection or infusion
17 were the formulation most likely to be substandard. The risk of drug toxicity is far
18 greater with drugs given intravenously than those given orally. The toxicity
19 associated with substandard medicines worldwide is unknown.¹⁷ Healthcare
20 professionals should therefore be aware of the expected risk. Healthcare providers
21 should be educated in the initial assessment of medicines, especially parenteral
22 formulations, for any sign of impurity, particulate contamination, or improper sealing.
23 If anything appears suspicious, the healthcare providers should know how to report
24 this defect immediately to the DMRC before any further harm can occur.
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32 **Falsified medicines**

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34 The majority of **falsified** medicine incidents were reported by the MHRA in the period
35 between 2004 and 2007. Information regarding the actual quality-defect type beyond
36 authenticity has been stated for only two cases. Information on the other nine cases
37 has been requested from the MHRA but has not been provided. Five incidents of
38 **falsified** drugs were reported in 2007 alone. This led the MHRA to introduce its first
39 anti-counterfeiting strategy in 2007.¹⁸ The strategy outlined a 3-year plan to combat
40 falsified medicines through a visionary program of communication, collaboration, and
41 regulation. Since then, the MHRA has reported only one incident in 2009. This may
42 be attributed to the effectiveness of this strategy in reducing the availability of these
43 drugs in UK supply chains.
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53 The UK has a stringent regulatory oversight of the supply chain, which is
54 represented by the few cases of falsified medicines reported over an 11-year period.
55 Falsified medicines are frequently manufactured abroad and imported to the UK.¹⁰
56 The criminal may choose the internet for distribution (given that it is less regulated).
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3 Thus, the problem of drug counterfeiting is exacerbated by unregulated online
4 pharmacies. Patients may use the Internet because of the following: the anonymity
5 of the Internet, offering cheaper medications and receiving prescription-only drugs
6 without prescription.¹⁹ Falsified drugs do not comply with prerequisite quality and
7 safety standards and therefore are hazardous to patients' health, being at best
8 clinically ineffective and at worst can cause death. The public needs to be made
9 aware of this risk. Adverse events of these drugs have been reported in different
10 countries,^{20, 21} including the UK.²²

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18 Falsified medicines are criminal problem according the law enforcement agencies
19 and a menace to public health. Falsified medicines are more likely if there is a weak
20 regulatory system and supply chain. In order to tackle the issue of falsified
21 medicines, a multisectoral approach is needed. This needs to involve law
22 enforcement agencies alongside global public health stakeholders, from both public
23 and private sectors, and the media.

24 25 26 27 28 29 30 **Pharmacovigilance**

31 Events caused by defective medicines are sometimes relatively difficult to distinguish
32 from those considered to be the result of accidents, errors, or adverse drug
33 reactions. An example is the fentanyl transdermal patch. Fentanyl is a strong opioid
34 analgesic (about 80 times stronger than morphine) used to treat chronic pain.²³ It has
35 a narrow therapeutic window, and an overdose can result in adverse effects,
36 including respiratory arrest and death. Several deaths due to fentanyl patch
37 overdose have been reported worldwide,²⁴ including the UK.²⁵ Overdoses can be
38 caused by excessive application of patches, incorrect product use (e.g., cutting the
39 patch), and exposing the patches or the skin to any kind of heat, which can
40 dramatically increase the drug's absorption through the skin.²⁴ Certain fentanyl
41 patches and transdermal systems have been recalled in different countries,^{26, 27}
42 including the UK (Table 1). The defects in all cases resulted from either a cut in one
43 side of the fentanyl patch reservoir or self-activation of the transdermal system; both
44 have the potential to cause overdose. In such cases, it can be difficult to distinguish
45 between the events related to adverse drug reaction, misuse, or accidents, and
46 those that are caused by defective products. There is a possibility, therefore, that
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3 some cases of adverse events related to this defect have been attributed to the
4 adverse-reaction nature of the drug and have gone unreported.
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7 8 **Limitations**

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10 The main limitation of the review was the lack of available data on the adverse
11 events related to defective medicines. The data has been not provided to the authors
12 by the MHRA or the manufacturers. **A comparison between the expected risk and**
13 **pharmacovigilance data could not be performed as pharmacovigilance reports in the**
14 **UK are held by the MHRA and are not in the public domain.** Thus, the clinical
15 significance of the problem is unknown. Company-led recalls have been posted on
16 the MHRA website since 2011; information on these recalls for previous years has
17 not been provided. Due to the lack of information made available, we were not able
18 to determine the reason(s) for the rise in defective medicines.
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25 26 **CONCLUSION**

27
28 The reporting of substandard medicines in the UK is on the rise. There was an
29 increase from five incidents in 2001 to 50 incidents in 2011. Parenteral formulations
30 were most likely to be substandard. There is a greater likelihood of harm to the
31 patient in association with contaminated parenteral formulations, but the clinical
32 significance of the problem is unknown, due to the lack of information related to their
33 toxicity. Healthcare providers should know how to assess the quality of medicines,
34 particularly parenteral formulations for any sign that can be an indication of
35 contamination and sterility failure.
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43 44 **Contributors:**

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46 TA performed the search, extracted the data and drafted the paper. HS double-
47 checked the extracted data, conceived the idea and interpreted the results. IC had
48 the original idea for the study. IC and TA designed the review and IC critically
49 revised the manuscript and supervised the study. All authors approve of this final
50 submitted version after their revision of the manuscript.
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56 **Competing interests:** None.
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Funding: This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors.

Ethical approval: Not required.

Data sharing: No additional data available.

For peer review only

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Tables and figures:

Figure 1: Flow diagram of search and resulting alerts and recalls

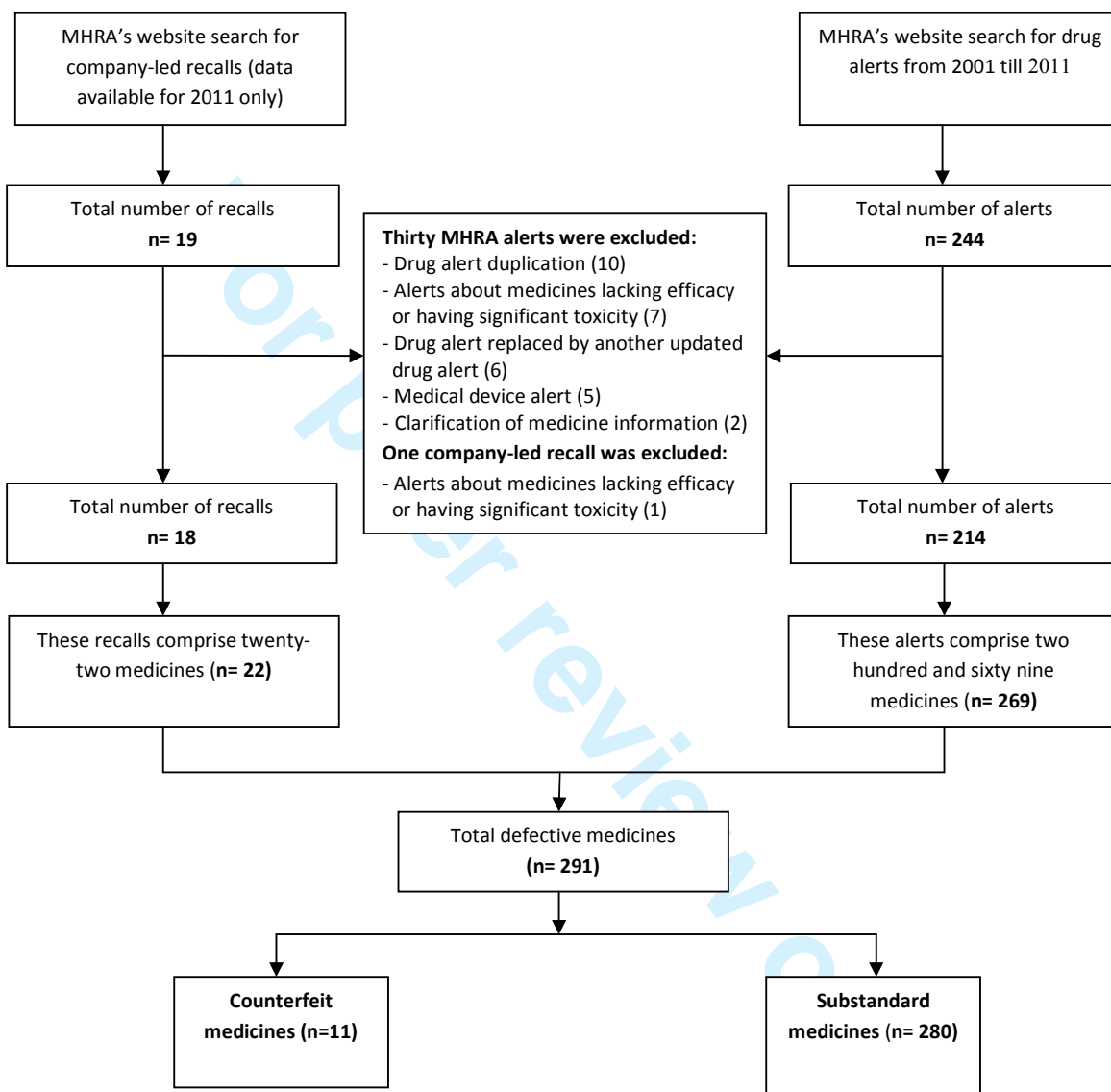
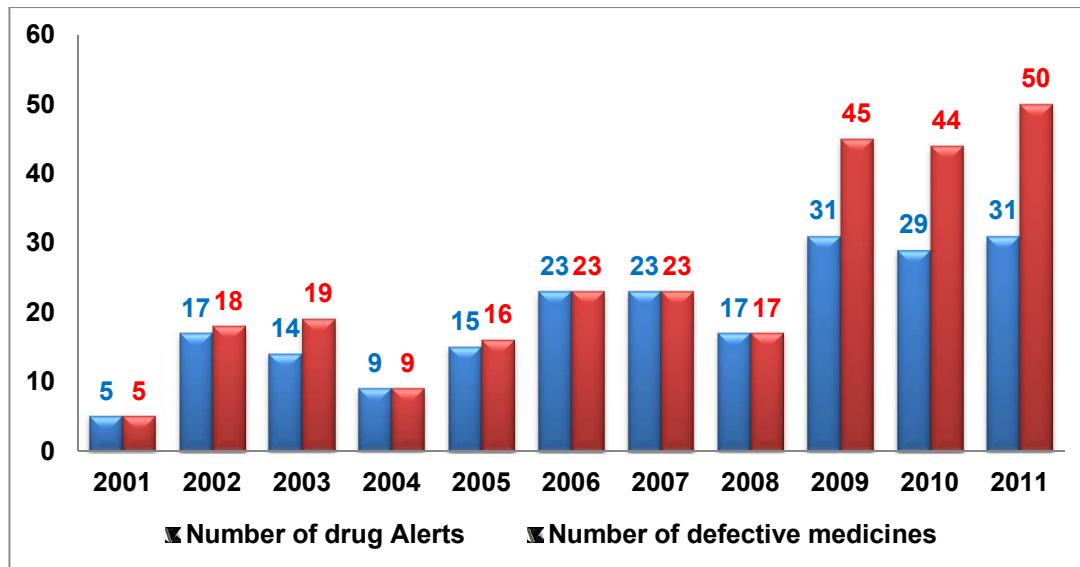


Figure 2: Number of drug alerts and defective medicines reported in the MHRA alerts from 2001 till 2011



*Some drug alerts reported more than one defective medicine.

Table 1: Substandard medicines recalled by the MHRA under class 1 drug alert

	Type of defect	Medications	Formulation	Defect description	Time taken for the MHRA to issue drug alerts from the first distribution of defective batches (In days)
Substandard medicines	Contamination	Zinc Oxide BP 15% w/w	Local preparation	Lack of sterility assurance	19
		Etoposide 20 mg/ml	Injection	Lack of sterility assurance	Not stated
		Paclitaxel 6mg/ml	Injection	Lack of sterility assurance	Not stated
		Tetrofosmin 230 mcg	Injection	Gas filters used in the aseptic manufacturing process were not sterile	33
		Rabies Vaccine	Injection	low level of contamination with live attenuated rabies virus	64
		Nelfinavir mesilate	All presentations	Contaminated originator and parallel distributed product	Not stated
	Major packaging defects	Ibuprofen	Tablets	The product contained rogue quetiapine XL 50mg tablets and gabapentin 100mg capsules	117
		Ephedrine Hydrochloride 3mg/ml	Injection	Ephedrine Hydrochloride syringe in a plastic box erroneously identified as Atropine Sulphate Injection	44
		Bendroflumethiazide 2.5 mg	Tablets	This batch contains Warfarin 3 mg Tablets	210
	Delivery issues	Temozolomide*	Capsules	Reports of broken capsules and leakage	38
		Temozolomide*	Capsules	Reports of broken capsules and leakage	91
		Fentanyl (40 mcg per dose)	Transdermal system	One batch of the transdermal system have been found to self-activate which has the potential to cause overdose	Not stated
		Salbutamol 100mcg	Inhaler	Fault with the valve which may lead to higher doses	120
	Potency issues	Fentanyl Compressed Lozenge	Compressed Lozenge	The potency of the product is out of specification	242
	Issues relating to active pharmaceutical ingredient	Oxybutynin Hydrochloride 5mg	Tablets	Excessive amount of active ingredient	56
		Enoxaparin Sodium 20mg & 40mg	Injection	Excessive amount of active ingredient	207
Other issues	Protamine sulphate 10mg/ml	Injection	Failure of the finished product to meet normal assay criteria.	13	

*Two separate incidents.

Table 2: Substandard medicines

Defect Type	Number of medicines	%	Defect details	Number of medicines
Contamination	74	27	Impurities	44
			Lack of sterility assurance	18
			Microbial contamination	12
Minor packaging defects	70	25	Failure to update PIL with administration or safety warning	40
			Incorrect information/ description of the dosage form, strength or dose of a medicine	12
			Missing or packing PIL with the wrong carton	6
			Others	12
Delivery defects	33	12	Fault with a device	19
			Leakage or loose seal	9
			Others	5
Major packaging defects	28	10	Missing or incorrect name ,strength, or active ingredient of a medicine on carton or box	22
			Packing a medicine in the wrong carton	6
Stability defects	23	8	Unspecified stability failure	15
			Stability failure of the active ingredient or dissolution failure prior to expiry	8
Defects in active ingredient	8	3	Active ingredient is out of specification (either more or less)	6
			Non homogeneity of the active ingredient in the formulation	2
Potency	7	2	Sub-potent medicine (underlying causes of sub-potency were not stated)	7
Other defects	37	13	GMP deficiencies at manufacturing site or improper storage of medicines during shipment	23
			Others	14
Total	280	100		280

PIL: Patient information leaflet; **GMP:** Good manufacturing practice

Table 3: Drug alerts relating to falsified medicines

No.	Medicines	Year	Formulation	Recall level	Stated problem/Defect description	Class of drug alert (1-4)
1	Salmeterol 25mcg /fluticasone propionate 250 mcg , (Seretide 250)	2009	Evohaler	Pharmacy and wholesaler	A reduced patient dose	2
2	Sensodyne Original and Sensodyne Mint in 50ml tubes	2007	Toothpaste	Caution	Counterfeit toothpaste contains Diethylene Glycol (nephrotoxic and neurotoxic poison)	4
3	Clopidogrel 75 mg (Plavix)*	2007	Tablets	Pharmacy	Not likely to pose an immediate risk to patients. (Parallel imported product)	1
4	Bicalutamide 50mg (Casodex)	2007	Tablets	Patient		1
5	Clopidogrel 75 mg (Plavix)*	2007	Tablets	Pharmacy		1
6	Olanzapine 10mg (Zyprexa)	2007	Tablets	Patient		1
7	Atorvastatin 20mg (Lipitor)*	2006	Tablets	Pharmacy		2
8	Atorvastatin 20mg (Lipitor)*	2006	Tablets	Pharmacy		2
9	Atorvastatin 20mg (Lipitor)	2005	Tablets	Pharmacy		2
10	Sibutramine 15 mg (Reductil)	2004	Capsules	Patient		2
11	Tadalafil 20mg (Cialis)	2004	Tablets	Patient I		2

* Separate incidents of the same drug in the same year

Supplementary data**Table 1: Defective medicines under class 2, 3 and 4 drug alerts**

	Type of defect	Number of medications recalled under class 2 alert	Number of medications recalled under class 3 alert	Number of medications under class 4 alert	Company-led recalls
Substandard medicines	Contamination	40	9	7	11
	Major packaging defects	7	5	14	0
	Minor packaging defects	40	9	22	1
	Delivery issues	17	3	4	5
	Stability failure	11	12	0	0
	Potency issues	5	0	0	2
	Issues relating to active pharmaceutical ingredient	4	1	0	1
	Other issues	33	0	0	2
Counterfeit medicines	Counterfeit medicines	4	0	1	0
Total		161	39	48	22*

* All company-led recalls were issued by manufacturers in 2011

Table 2: Substandard parenteral formulations

Defect Type	Intravenous	Subcutaneous	Intramuscular	Intravitreal	Total
Contamination	48	6	6	0	60
Minor packaging defect	16	2	0	0	18
Delivery defect	9	4	0	1	14
Major packaging defects	9	0	0	0	9
Stability defect	2	1	1	0	4
Defect in active ingredient	0	1	0	0	1
Potency	2	0	3	0	5
Other defects	3	1	2	0	6
Total	89	15	12	1	117

Table 3: Substandard medicines reported by the MHRA, classified according to the organ or system in which they act and according to the therapeutic subgroup they belong (ATC Classification) 2001-2011:

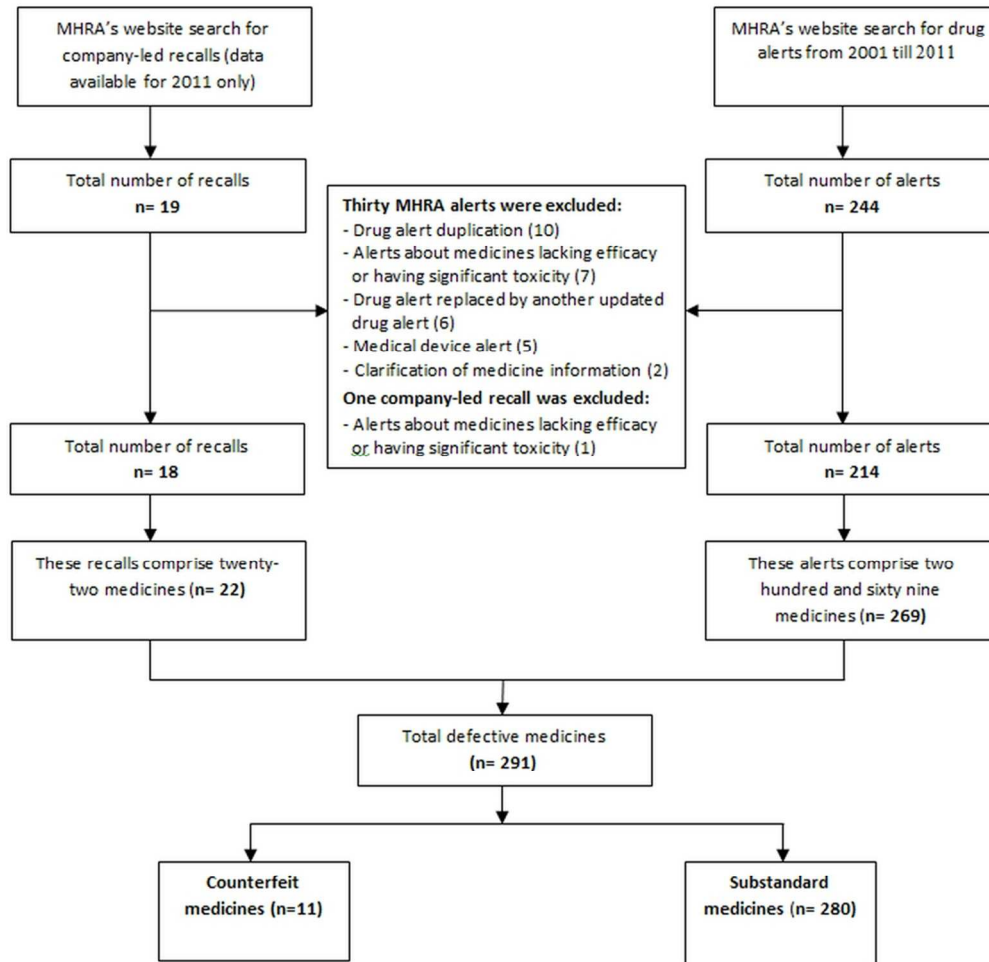
Category	No.	%	Category	No.	%
According to organ or system in which the drug acts			According to subgroup, therapeutic main group		
Nervous system	45	16.1	Psychoanaleptics Psycholeptics Analgesics Antiepileptics Anaesthetics	12 11 10 10 2	4.3 4.0 3.6 3.6 0.7
Cardiovascular System	41	14.6	Antihypertensives Cardiac therapy Lipid modifying agents	27 11 3	9.6 4.0 1.1
Anti-infectives for systemic use	35	12.5	Antibacterials for systemic use Vaccines Antivirals for systemic use Antimycotics for systemic use	19 9 4 3	6.8 3.2 1.4 1.1
Blood and blood forming organs	30	10.7	Blood substitutes and perfusion solutions Antithrombotic agents Antianemic preparations	18 9 3	6.4 3.2 1.1
Alimentary tract and metabolism	29	10.3	Drugs for acid related disorders Drugs for functional gastrointestinal disorders Drugs used in diabetes Vitamins Stomatological preparations	10 9 5 4 1	3.6 3.2 1.8 1.4 0.3
Antineoplastic and immunomodulating agents	29	10.3	Antineoplastic agents Immunostimulants Endocrine therapy Immunosuppressants	21 5 2 1	7.5 1.8 0.7 0.3
Respiratory system	15	5.4	Drugs for obstructive airway diseases Throat preparations Antihistamines for systemic use Nasal preparations	11 2 1 1	4.0 0.7 0.3 0.3
Genito-urinary system and sex hormones	13	4.6	Sex hormones and modulators of the genital system Urologicals Gynecological antiinfectives and antiseptics	6 5 2	2.2 1.8 0.7
Sensory organs	12	4.3	Ophthalmologicals Otolologicals	11 1	4.0 0.3
Various	12	4.3	All other therapeutic products General nutrients Diagnostic radiopharmaceuticals	5 4 3	1.8 1.4 1.1
Musculo-skeletal system	10	3.6	Antiinflammatory and antirheumatic products Muscle relaxants	8 2	3.0 0.7
Systemic hormonal preparations, excluding sex hormones and insulins	8	2.9	Corticosteroids for systemic use Thyroid therapy Pituitary and hypothalamic hormones and analogues Pancreatic hormones	4 2 1 1	1.4 0.7 0.3 0.3
Dermatologicals	1	0.4	Corticosteroids, dermatological preparations	1	0.3
Total	280	100	Total	280	100

Table 4: Substandard medicines categorised by manufacturer and type of defects

No.	Pharmaceutical company	Type of defect								Total	Area served (Headquarter)	Manufacturing facility in the UK (Yes/No)
		Stability	Contamination	Minor packaging	Active ingredient	Major packaging	Delivery	Potency	Others			
1	Baxter Healthcare	0	14	1	0	0	5	0	0	20	Worldwide (USA)	Yes
2	Sanofi Aventis	1	5	3	1	3	0	2	3	15	Worldwide (France)	Yes
3	Pfizer	0	1	10	0	0	2	0	1	14	Worldwide (USA)	Yes
4	Martindale Pharmaceuticals	0	2	1	0	10	1	0	0	14	UK (UK)	Yes
5	Glaxosmithkline	1	2	5	2	0	0	0	3	13	Worldwide (UK)	Yes
6	Novartis	1	3	5	0	0	1	0	1	11	Worldwide (Switzerland)	Yes
7	Merck Sharp & Dohme	3	1	5	0	2	0	0	0	11	Worldwide (USA)	Yes
8	Janssen	2	4	2	0	0	1	1	0	10	Worldwide (Belgium)	No
9	TEVA	4	1	2	0	1	0	1	1	10	Worldwide (Israel)	Yes
10	AstraZeneca	1	0	3	0	1	4	0	0	9	Worldwide (UK)	Yes
11	Sandoz (subsidiary of Novartis)	0	3	0	0	0	2	0	4	9	Worldwide (Switzerland)	Yes
12	Karib Kemi Pharm	0	0	0	0	0	0	0	9	9	Europe (UK)	Yes
13	Ranbaxy	0	0	7	0	0	0	0	1	8	Worldwide (India)	No
14	Roche	0	3	1	0	0	0	1	0	5	Worldwide (Switzerland)	No
15	FDC limited	0	0	0	0	0	5	0	0	5	Worldwide (India)	No
										163		

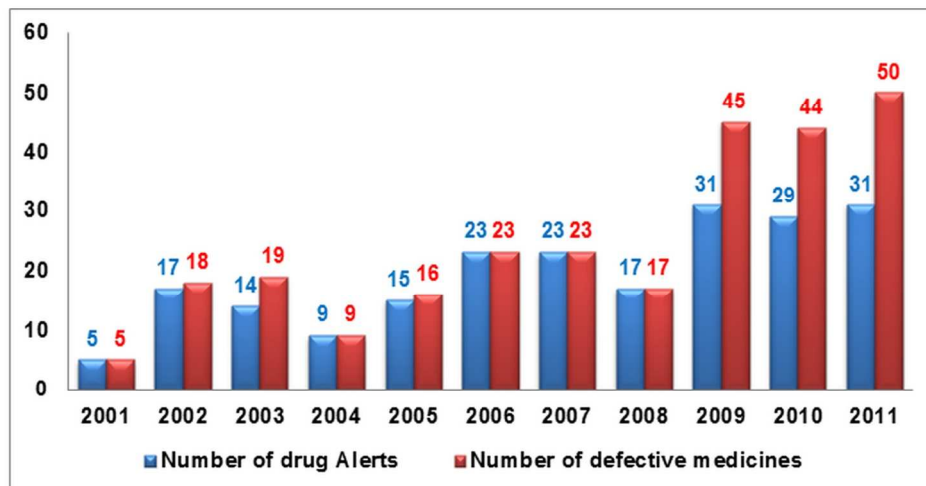
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Figure 1: Flow diagram of search and resulting alerts and recalls



90x94mm (300 x 300 DPI)

Figure 2: Number of drug alerts and defective medicines reported in the MHRA alerts from 2001 till 2011



*Some drug alerts reported more than one defective medicine.

134x90mm (300 x 300 DPI)

Review only

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