



Barriers and facilitators to introducing integrated diabetes care in Ireland: a qualitative study of views in general practice.

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Article Summary

Article Focus

1. Over the past two decades most health systems have reoriented Type 2 diabetes care from acute reactive services to regular integrated management in the primary care setting.
2. In Ireland, there are plans to reorganise and standardise diabetes care through the introduction of a national model of integrated care whereby the regular management of uncomplicated Type 2 diabetes would shift to primary care.
3. In advance of this reform, the aim of this study was to identify the current barriers and facilitators to integrated diabetes care from the general practice perspective.

Key message

1. The main barriers to integrated diabetes care were at the level of the health system including a lack of remuneration for chronic disease management in general practice and difficulties coordinating care across the primary-secondary interface. These barriers had repercussions at an organisational, professional and patient level.
2. Efforts to improve the diabetes care relied on vocational incentives and luck.
3. There was strong opinion that policy proposals to shift routine management to primary care need to be supported by adequate resources and investment in community services.

Strengths and limitations

- The use of qualitative methods allowed us to understand the views of the health care professionals expected to be part of the implementation and maintenance of integrated diabetes care on the ground.
- This study represents the views of those working in the general practice setting. It is equally important to understand the perspective of those in specialist secondary care services and to elicit patients' preferences regarding the organisation of diabetes care.

ABSTRACT

Objective: To examine the current barriers and facilitators to improving diabetes management from the general practice perspective, in advance of the implementation of an integrated model of care in Ireland.

Design: Qualitative study using semi-structured interviews.

Setting: Primary care in the Republic of Ireland

Participants: A purposive sample of 29 General Practitioners (GPs) and 2 Practice Nurses (PNs) from practices across the Republic of Ireland.

Methods: Data were analysed using a Framework approach to identify themes.

Results: Barriers and facilitators occurred primarily at the level of the health system but had a ripple effect at an organisational, professional and patient level. The lack of targeted remuneration for diabetes management in the Irish health system created apathy among staff in general practice and was perceived to be indicative of the lack of value placed on chronic disease management in the health system. There were 'pockets of interest' among GPs motivated by 'vocational' incentives such as a sense of professional duty however, this was not sufficient to drive widespread improvement. The hospital service was seen as an essential support for primary care management, although some participants referred to emerging tension between settings. The lack of coordination at the primary-secondary interface resulted in avoidable duplication and uncertainty for patients and providers due to 'in the meantime care', the vague period of management between hospital reviews. Luck was one of determinants of a comprehensive diabetes service. The lack of resources in the community was perceived to be at odds with policy to shift routine management to general practice, a setting which is fast reaching saturation.

Conclusions: At present, intrinsic motivation is driving the improvement of diabetes care in Ireland. This will not be sufficient to implement the proposed reform including a national model of integrated care. Policy makers need to assess and prepare for the disparate levels of interest and infrastructure in primary care in Ireland to support this change.

INTRODUCTION

In 1982, an article in the British Medical Journal suggested that the ‘care of [people with diabetes] requires enthusiasm and organisation’.¹ The authors endorsed general practice as the setting for the management of uncomplicated diabetes, working closely with hospital specialists. Most developed countries have since reoriented care from episodic management in the acute setting to regular structured management in the primary care setting, in recognition of the growing diabetes epidemic and the changing needs of patients.

The Chronic Care Model, which is frequently cited as a guiding framework for policy and reform in this area, proposes major changes to the organisation and delivery of care for people with chronic illnesses. This model highlights a number of essential components for high quality care including self management support, delivery system redesign, decision support and clinical information systems. Community resources, including links with other health care professionals, are also key component of effective care.^{2,3} Diabetes is particularly challenging to coordinate given the myriad of health care providers and settings involved. National and international emphasis is now on integrated care which focuses on the organisation of management within settings and the coordination of care between settings.^{4,5,6}

In Ireland, the introduction integrated diabetes care is one of the pillars of the National Clinical Care Programme for Diabetes, a programme established to improve the quality of care and patient outcomes. Under this model the routine management of uncomplicated Type 2 diabetes will shift to primary care while those with complicated Type 2 diabetes will be managed between primary and secondary care.⁵ These changes are set against a backdrop of wider health system reform including the proposed introduction of free GP services for people with chronic conditions as part of a move towards a universal health insurance model. Currently in Ireland, some people are entitled to free GP care under the General Medical Scheme, based on means testing (37% of the population eligible in 2011).⁷ Those who are not eligible must pay to attend the GP. In terms of diabetes care, at present there are a variety of care arrangements in place across the country including traditional hospital-based management, shared care between GPs and hospitals, primary care-led management^{8,9} and unstructured opportunistic care. The most recent survey of diabetes management in general practice highlighted the lack of formal integration between settings.¹⁰ Furthermore, there was a lack of structured management within the practice and insufficient access to services; over 30% of GPs did not have direct access to dietetic services while more than 40% did not have direct access to podiatry services.

Recent studies, which have examined the implementation of integrated chronic disease care in health systems such as the England and Denmark, have emphasised the importance of context.^{11,12} The aim of this study was to examine the barriers and facilitators to delivering integrated diabetes care from the general practice perspective, in light of proposed reforms in the Irish health system. Health care professionals are key to the success or failure of improvement initiatives depending on their willingness to learn, accept and adapt to changes in practice^{13,14} therefore, it is imperative to understand their perspectives prior to implementation.

METHODS

This qualitative research was part of a wider study examining the organisation of diabetes care in Ireland, barriers and facilitators to optimal management and the attitudes quality improvement initiatives including the establishment of a national diabetes register. This paper focuses on themes which emerged in relation to the first and second research areas. Ethical approval was granted by the Irish College of General Practitioners.

Participants and sampling

The study population consisted of General Practitioners working in the Republic of Ireland who had 'opted in' during a preceding postal survey on the organisation of diabetes care (N=213, 81% of survey respondents)¹⁰. In total, 25 out of 26 counties in the Republic of Ireland were represented in the study population.

Purposive sampling was used to capture the diversity of experiences in general practice. Participants were selected from practices which varied in size, urban/rural location and degree of practice computerisation, factors which could influence the organisation of care (Table 1). A total of 31 interviews were conducted with 29 General Practitioners (GPs) and 2 Practice Nurses (PN) nominated by the practices as the lead health care professional responsible for diabetes. Participants were initially contacted by letter and followed up by telephone contact during which the researchers explained the study aims and methodology. Each participant received an information sheet and outline of the topic guide in advance of the interview.

Table 1 Participant Matrix (N=31)

	Urban (16)	Rural (15)
Single (15)	6	9
Computerised	4	8
Non-computerised	2	1
Group (16)	10	6
Computerised	10	6
Non-computerised	0	0

Interviews

Face-to-face interviews were conducted between July 2009 and January 2010, all of which took place in the participant's practice or home. Two researchers conducted the interviews (SMH=16, MOM=15 interviews) using the same semi-structured topic guide which was informed by the results of the aforementioned national survey and 2 pilot interviews. The topic guide was organised around five key issues; how diabetes care is currently delivered in the practice, challenges to managing diabetes effectively and efficiently, changes in care provision at local and national level, attitudes to the introduction of quality improvement strategies and participants' 'wish list' for diabetes care in Ireland. Prompts and probes were

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3 used throughout the interviews to stimulate discussion. Signed informed consent was
4 obtained before each interview which lasted 50 minutes on average. All but three of the
5 interviews were digitally recorded and transcribed verbatim. Interviews, which were not
6 recorded at the request of participants, were typed up from extensive field notes.
7

8 9 **Analysis**

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11 Data were analysed iteratively, that is concurrently with data collection, to allow emerging
12 themes to be explored in subsequent interviews^{15 16}. Findings from of the initial interviews
13 were discussed by the multidisciplinary research group which included expertise in health
14 services research, social policy, epidemiology and public health and general practice (SMH,
15 MOM, IP, CB). Discussions led to the reformulation of some of the prompts used during the
16 interview. A checklist was originally devised to encourage the interviewee to indicate
17 whether a factor was a barrier or facilitator to care delivery in his/her practice. However,
18 experience during the interviews and initial analysis suggested that this format was restrictive
19 and superficial as participants considered certain factors as both barriers and facilitators
20 depending on their presence or absence in the practice. Hence, the checklist was modified
21 into a written prompt, around which participants could discuss their views and experiences.
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26 The Framework approach was used for data analysis.¹⁶ This method enabled investigation of
27 a priori objectives identified in the topic guide while also allowing new themes to emerge
28 from the data. The systematic analytical process also provided transparency which facilitated
29 analysis and discussion by multiple researchers.¹⁷ Initially, interview transcripts were
30 analysed independently by each researcher (SMH and MOM). The transcripts were read
31 repeatedly (familiarization) and themes and concepts were identified. A thematic index or
32 conceptual framework was developed from these codes based on the key areas of the topic
33 guide but also including newly emerging themes. In this study, it was decided not to apply the
34 index to the data as data were already quite orderly given the semi-structured nature of the
35 interview schedule.¹⁶ Data were sorted and synthesized by theme, bringing similar concepts
36 together (thematic charting). Throughout the analysis the language and expressions of the
37 GPs were maintained as far as possible to avoid losing the meaning and context.
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42 Following separate first wave analysis, the researchers examined the convergence and
43 divergence of their findings. Divergence arose from two conditions; 1) different labels or
44 codes applied to the same concept or 2) unique concepts emerging from a researcher's
45 analysis not identified by the other researcher. Overall, a similar 'constellation of themes'
46 were identified and the difference lay in the labels applied to those themes, i.e. 'packaging' as
47 described in another study employing multiple analysts.¹⁸ Through discussion it became clear
48 that divergent codes typically related to the same concept and consensus was reached on the
49 most appropriate label to apply. Occasionally unique codes emerged from one researcher's
50 analysis, which were discussed with the wider group. Three interviews from each researcher
51 were subject to inter-coder reliability by an independent party not involved in data collection
52 but familiar with the design and aims of the study (CB).
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3 Memo writing was also as an analytic tool to identify avenues for analysis and interpretation
4 to pursue, connections or comparisons between data and to uncover assumptions of both the
5 participant and the researcher.¹⁹ A summary of our interpretation was sent to each participant
6 for respondent validity purposes. None of the participants requested changes to the
7 interpretation of the data.
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10 RESULTS

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12 Barriers and facilitators occurred at multiple levels within the health system and had knock-
13 on effects as illustrated in Figure 1. The main barriers to optimal management occurred at the
14 health system level: lack of remuneration for diabetes management, lack of coordination
15 between settings and deficient access to services, particularly in the community. Facilitators
16 included the availability of a Practice Nurse or Diabetes Nurse Specialist, and good luck.
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19 Figure 1 Barriers & facilitators to delivering integrated diabetes care

20 Financial Disincentives

21
22 The lack of targeted remuneration or financial incentives to provide structured diabetes
23 management in general practice emerged as one of the main barriers. The ‘non-existent’
24 remuneration was a barrier to care delivery across single-physician and group practices, in
25 both urban and rural areas. Current forms of remuneration left those practices that provided
26 regular structured diabetes care at ‘a financial loss’. Practices are paid an annual capitation
27 grant to cover the cost of providing free services to patients eligible under the General
28 Medical Scheme. This funding is not linked to the intensity or quality of care provided which
29 could act as ‘a disincentive’ to do more, according to some participants. Despite the flaws of
30 the current system, there were divided opinions about the most form of remuneration.
31 Participants expressed concern about performance-based remuneration and the potential to
32 ‘corrupt’ the provision of care and its providers. One participant voiced the concern that
33 ‘once you incentivise it [diabetes management] other things that you can’t incentivise get lost
34 or diminished in the process.’
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38 According to participants the lack of remuneration led to barriers at other levels of the system
39 including stunted practice development, imposing a cost barrier on patients without a medical
40 card and creating a sense of apathy and ‘bad feeling’ among GPs (Box 1). Some participants
41 related the lack of remuneration to the underlying differences in the priorities and values of
42 health care professionals and management in the health system. There were frequent
43 references to ‘us’ and ‘them’ in relation to policy makers and health service management.
44 One participant suggested it was ‘typical of this health system that [the] things we value
45 highly we don’t get paid for, so it’s really [down to] your own interest’. Others called for a
46 new contract which recognised general practice as the most efficient and economical place
47 for managing chronic conditions. However, the lack of resources in the community was seen
48 as a barrier to shifting chronic disease management from the acute setting. Participants
49 described how ‘they [policy makers] want us to do everything in the primary care setting
50 which is understandable... as much as possible it would be nice to be supported to be able to
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3 do that but it would mean more staff and that inevitably brings in things that would limit staff
4 which would be incentives and remuneration’.
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7 **Box 1: Consequences of the lack of remuneration**

8 **Cost implications for patients without a medical card**

9 “If we’re getting no recognition and no incentive and no remuneration or anything to do
10 this work, I’d be mad in the head to... keep doing it unless I charge the patient and I don’t
11 like doing that but I don’t have any choice” (GP101, rural single-handed practice)
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13 **Impeding practice development at an organisational level**

14 “At the moment care is opportunistic but if there were incentives for me to hold a clinic that would
15 help. We could keep flow charts and I’d get remuneration because there is none and this [work]
16 takes a lot of time, manpower, secretarial time, nurse time, and at the moment there’s no incentive
17 to do that.” (GP5, urban group computerised practice)
18

19 **Professional apathy**

20 “There’s no real recognition for it, which is important, because I think that if things do
21 come down on us, things like [administering] the flu vaccine, which is a minor point, we’ll
22 sort of say ‘fine’, but it’ll show apathy and then it’ll be ‘okay, we’ll see our diabetics once a
23 year now’, because we have to end up making money elsewhere.” (GP112, rural group
24 computerised practice)
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28 **Vocational Incentives**

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30 Participants made the distinction between the financial ‘disincentives’ around providing
31 regular structured diabetes care and other ‘vocational’ or personal incentives (Box 2). Those
32 who referred to vocational incentives had established a systematic structured approach to
33 diabetes management, either as part of a local primary care initiative or independently within
34 their own practice. However, participants acknowledged that a special interest in diabetes was
35 limited to ‘pockets’ of practices and ‘you can’t expect all GPs to be desperately keen on it’.
36 Beyond this group, remuneration and financial incentives would be the main facilitator to
37 providing structured care in the practice and therefore the biggest barrier to engaging all GPs
38 at present.
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Box 2. Vocational Incentives**Job Satisfaction**

“If we can get the older diabetics some better control, we'd be doing some good...so from a personal, job satisfaction professional point of view that would be a huge incentive for me” (GP110, rural group computerised practice)

Patient Feedback

“We're starting people on insulin who really need it and they feel so much better. [Patients say] 'my energy's back up'...so it's very rewarding, you get a lot of good feedback from patients” (GP103, urban group computerised practice)

Personal Experience

“My own father was in hospital 7 or 8 years ago. He was on the ward and there were 7 other guys, it was a vascular ward, and they were all diabetics. Some of them were in for 8, 12 weeks, or 16 weeks...incredible. At the same time I read somewhere that if you can get, is it, a 1% drop in the HbA1C, reduces the complications by 25%.So that struck a chord with me...” (GP110, rural group computer practice)

Professional Duty

“...the international evidence is such that we felt duty bound to offer as tight control as we can for patients” (GP103, urban group computerised practice)

Personal Values & Priorities

“Depending [on] what your attitude is and your enthusiasm [for] preventative stuff, which to me is just as important if not more important and it's enjoyable” (GP101, rural single computerised practice)

“We feel that we've improved the service that they've [patients] been given, so that was the incentive, but that's the only incentive” (GP112, rural, group computerised practice)

Lack of Integration between settings and professionals

The relationship between practices and secondary care diabetes teams was largely positive for most participants who described the hospital-based specialist service as ‘a resource’, ‘an essential support’ and a ‘door way to services’. A small number of participants reported an unconstructive relationship with the hospital-based team which was a barrier to delivering optimal care. These participants felt it would be hard to take part in integrated care ‘with the power struggle between primary care and secondary services’. Part of this struggle related to attempts on both sides of the primary-secondary interface to ‘hold on’ to patients.

According to participants, the primary barriers to integrated care did not occur at the professional level but at the level of the health system. The lack of coordination within the system had ramifications in both settings. Participants expressed uncertainty about the boundaries of responsibility and the need for ‘clear definition as to what the hospital is going to do, [and] what we're expected to do’. Most participants wanted joint involvement between consultants and GPs rather than an either/or situation which had negative implications for the patient and the professional according to one participant: ‘if you only deliver care in acute

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3 services then people are left floundering for 6 or 9 months in between...but equally if you
4 only see them in the community and they don't have a link with the hospital, when they run
5 into a problem its sometimes very difficult to get somebody in quickly because they're not
6 part of the system'
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9 The lack of coordination and integrated management was reflected in the process of recalling
10 patients for review. A participant from an urban group practice described how patients with
11 diabetes were recalled 'by the system...as the hospital deems appropriate' with problems
12 referred to the hospital in the meantime, 'that's as much of a protocol as there is'. Similarly,
13 another participant from a rural group practice felt care was not really shared as the hospital
14 brought back the patient until such time as they perceived no problem. The patient 'might'
15 return to the GP but there was no sharing of information in the meantime. The phrase 'in the
16 meantime' was used by several participants to describe the indefinite period of management
17 between hospital reviews which caused frustration for professionals and patients.
18 Furthermore, there was concern that some patients are 'falling through the net' and not
19 attending either service.
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24 The sub-theme 'in the meantime' care was one of a number which emerged during interviews
25 as symptoms of the lack of co-ordination and organisation within the system (Box 3). Each
26 symptom of poor coordination was a barrier in itself for patients and health care professionals
27 trying to navigate the system. Participants called for the development of a shared protocol
28 and shared information systems to improve the integration between settings. A shared
29 protocol would address avoidable duplication and clarify the roles and responsibilities of each
30 setting while a shared information system would minimize duplication and the current
31 'palaver' surrounding processes of care in the system.
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Box 3. Symptoms of the Lack of Coordination

'In the meantime care'

"If you could say to [patients] 'look this is your diagnosis, this is what we're going to discuss and over the next 2 weeks you're going to meet A, B, C and then we have a baseline of everything covered from day 1 and you know exactly where you are, you're on a springboard ready to jump. As opposed to... saying 'stand on the spring board for about 2 months and then 2 months later you **might** get called for your eyes and 2 months later you **might** be called for your feet', in which time they may have had a problem with their feet and they're not quite sure how they should have dealt with it..." (Practice Nurse 104, rural group practice)

'Overburdened' hospital clinics & waiting times

"I notice that they're pushing them [reviews] out further and further, the reviews would have been 6 months some time ago...its gone to 2 years...Consultants just don't have time, they can't see everybody. It's just not possible. They're doing their best. I've no complaints about their service at all." (GP113, urban single-handed practice)

"There's a massive diabetic clinic in the hospital but care is not better. It's difficult to make appointments, get access to services, especially when it's urgent." (GP10, urban single-handed practice)

The lack of boundaries and bureaucratic 'palaver' around processes of care

"At the moment it's a big palaver if you check cholesterol, get it to the patient to bring into the hospital and it gets lost in the process lots of times and then it seems incredibly wasteful of effort and time and resources." (GP106, urban group practice)

"...patients spend the last precious days of their lives going from out-patients to out-patients, confused as to who to believe, and in the ideal world, the GP service would be coordinating and making sure it doesn't happen too much,...then they get lost in the follow-up, it gets so complicated" (GP108, rural group practice)

'Avoidable Duplication'

"Unfortunately they still have to be seen in the hospital annually because for things like retinopathy screening and podiatry care, there isn't one single unit where you could refer them...they have to go through the clinic, there's a bit of duplication that could be avoided" (Practice Nurse 104, rural group practice)

Support Services- 'Not enough of them and too hard to access'

Participants described access to support services such as dietetics, podiatry and retinopathy screening as 'nonexistent' and 'abysmal' in some areas. The lack of services in the community and the resultant reliance on hospitals for the management of 'finer details like eyes and feet' was a barrier to community-based diabetes care. While the hospital was 'a doorway to services' for some, others described the secondary care services as a 'fortress' with lengthy waiting lists. Where services were in place in the community, availability was frequently described as 'patchy' in both urban and rural areas. Access to services such as dietetics and podiatry fell along a scale from good to bad, or bad to worst in some cases. Few

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3 participants had access to a complete range of services for their patients. The availability of
4 services was further jeopardised by the ongoing government policy to freeze recruitment in
5 the public sector due to the economic recession. As a result maternity leave was not covered
6 and those who retired were not replaced. One participant from an urban practice described
7 how ‘foot care is not particularly good, it’s a bit random...Dieticians were good, we had a
8 community dietician and then she went on maternity leave and she wasn’t replaced so now
9 again it’s a bit patchy’. The most significant impact was on patients who were left ‘muddling
10 through’. One participant described a patient ‘who had very poor eyesight due to diabetes and
11 we couldn’t get a community podiatrist to see her...at all. I mean it [the waiting list] was
12 about 2 years or something they told me...she wasn’t a priority’.

13 14 15 16 17 **‘Lucky’ to have access to specialists and support services**

18 Luck was a key factor in the availability of a comprehensive diabetes service. Several
19 participants referred to themselves and their patients as ‘lucky’ to have access to services
20 such as dieticians and podiatrists, recognising the unique position they were in. Participants
21 also referred to the advantages of having a dedicate nurse within the practice or access to a
22 diabetes nurse specialist. This facilitated the delivery of structured care within the practice
23 and coordination between settings and specialists. Diabetes Nurse Specialists were described
24 as ‘worth their weight in gold’ and ‘the single best thing to happen to diabetes from the
25 management point of view’. Improvements in quality of patient care were attributed to
26 enhanced nurse-led services in the practice and hospital setting.

27 28 29 30 31 **Risk of Saturation**

32 Time, resources and workload emerged as barriers to providing optimal diabetes care in
33 general practice. However, participants did not dwell on these ‘limiting factors’ which were
34 perceived as almost inevitable. Time ‘is always a barrier’ but *timing* was given more attention
35 by participants who emphasized the importance of early intervention and regular review.
36 Participants warned about the risk of ‘saturation’ in general practice as more and more
37 services are moved to the community. The health system ‘needs to back up their policy of
38 shifting [management] from secondary care to primary care’ by providing adequate
39 resources.
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DISCUSSION

Although desirable, the barriers to integrated care should not be underestimated²⁰. The main barriers identified in this study were system-level deficiencies including the lack of remuneration for chronic disease management, poor coordination at the primary-secondary care interface and insufficient services, particularly in the community, which forced GPs to rely on the hospital as a doorway to other health care professionals. These challenges have a ripple effect throughout the system at an organisational, social, professional and patient level. Participants' accounts of providing 'in the meantime care' and the bureaucratic 'palaver' surrounding routine processes of care expose the lack of integration within the system currently, and the negative impact on efficiency, professional relationships, quality of care and patient experience.

The aim of this study was to identify and understand the barriers and facilitators to optimal diabetes care from the general practice perspective, in advance of the proposed reorganisation of services in Ireland. The national model of integrated care has yet to be implemented therefore the results of this study provide an opportunity to anticipate future barriers and plan solutions which take into account the local context of care provision. Research from the fields of implementation science and quality improvement has focused on ways to overcome structural and contextual barriers through tailored incremental change and professional leadership.^{11 21 22} In this study participants proposed shared protocols and linked information systems as mechanisms to facilitate the provision of integrated care. While the National Clinical Care Programme for Diabetes has proposed care pathways for different patient groups, the absence of a single electronic medical record and unique patient identifier complicates efforts to share information and track patient care. Appropriate investment and infrastructure is needed to foster and support widespread participation in quality improvement.

The results support the contention that 'something more than personal financial gain is driving professional behaviour' (p5).²³ Vocational incentives were seen as the primary motivation for engaging in quality improvement in the absence of adequate financial remuneration. The distinction between types of incentive is congruent with existing theories of health worker motivation which identifies individual, organisational and cultural determinants²⁴. Organisational determinants of motivation, such as communication processes and organisational support through adequate resources and efficient service delivery, are in short supply according to the participants in our study. Remuneration was considered necessary for widespread improvement as intrinsic incentives were limited to 'pockets of interest'. Policy-makers deciding on the ideal payment structure for chronic disease management should take into consideration the context²⁵ and the alignment of values between the professional and the organisation.²³ Participants commented that current systems of remuneration reflected discordance between the values of the health service management and health care professionals in terms of the importance of investing in chronic disease management and the contribution of primary care.

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3 Some of the themes identified, such as the part played by luck in securing access to
4 community services, are rooted in the context of the Irish health system. Despite the nuances
5 of this system, similar barriers and facilitators have been identified in other countries.^{6 26-28}
6 While previous studies classified factors influencing diabetes care at the level of patient,
7 provider and organisation/system, this study unpacked further layers of health care delivery,
8 identifying barriers and facilitators relating to the relationships between providers,
9 professional attitudes and the culture underlying the health system. A meta-synthesis of the
10 barriers and facilitators to improvement should be conducted, to develop and understand the
11 full extent of the evidence base.²⁹ A number of the barriers have implications beyond diabetes
12 care and correspond to issues facing the wider primary care setting.³⁰ Consequently there is
13 ample opportunity to learn from other settings and countries in terms of how to overcome
14 these barriers.
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19 The merits of involving more than one analyst in a qualitative study have been debated.^{31 32} In
20 addition to the predominance of research teams in health services research, analysts from
21 different disciplines can bring breadth and depth to the findings.^{33 34} Furthermore, this
22 approach is often considered an implicit form of inter-rater reliability.¹⁸ Inter-rater reliability
23 was also applied explicitly in our study. The technique is considered appropriate in the
24 context of semi-structured interviews whereby all participants are asked broadly the same
25 questions in the same order.³⁵ Nevertheless, there is a risk of over-simplifying codes and
26 themes to facilitate independent checking, therefore inter-rater reliability was used following
27 the first wave of analysis on initial codes to minimise this risk.
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31 **Conclusions**

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33 The key ingredients of organisation and enthusiasm, highlighted in 1982, are reflected in the
34 constellation of themes which emerged in this study of the barriers and facilitators to optimal
35 integrated care. Given the proposals to reform diabetes services through the introduction of
36 integrated care and the transfer of uncomplicated Type 2 diabetes management to primary
37 care, there is a need to understand the current challenges to delivery in this setting.
38 Reorientation of care must be accompanied by the reorganisation of support and resources.
39 Internal incentives are drivers of change for pockets of health care professionals however this
40 is not sufficient for widespread engagement of GPs in the delivery of a national model of
41 integrated diabetes care.
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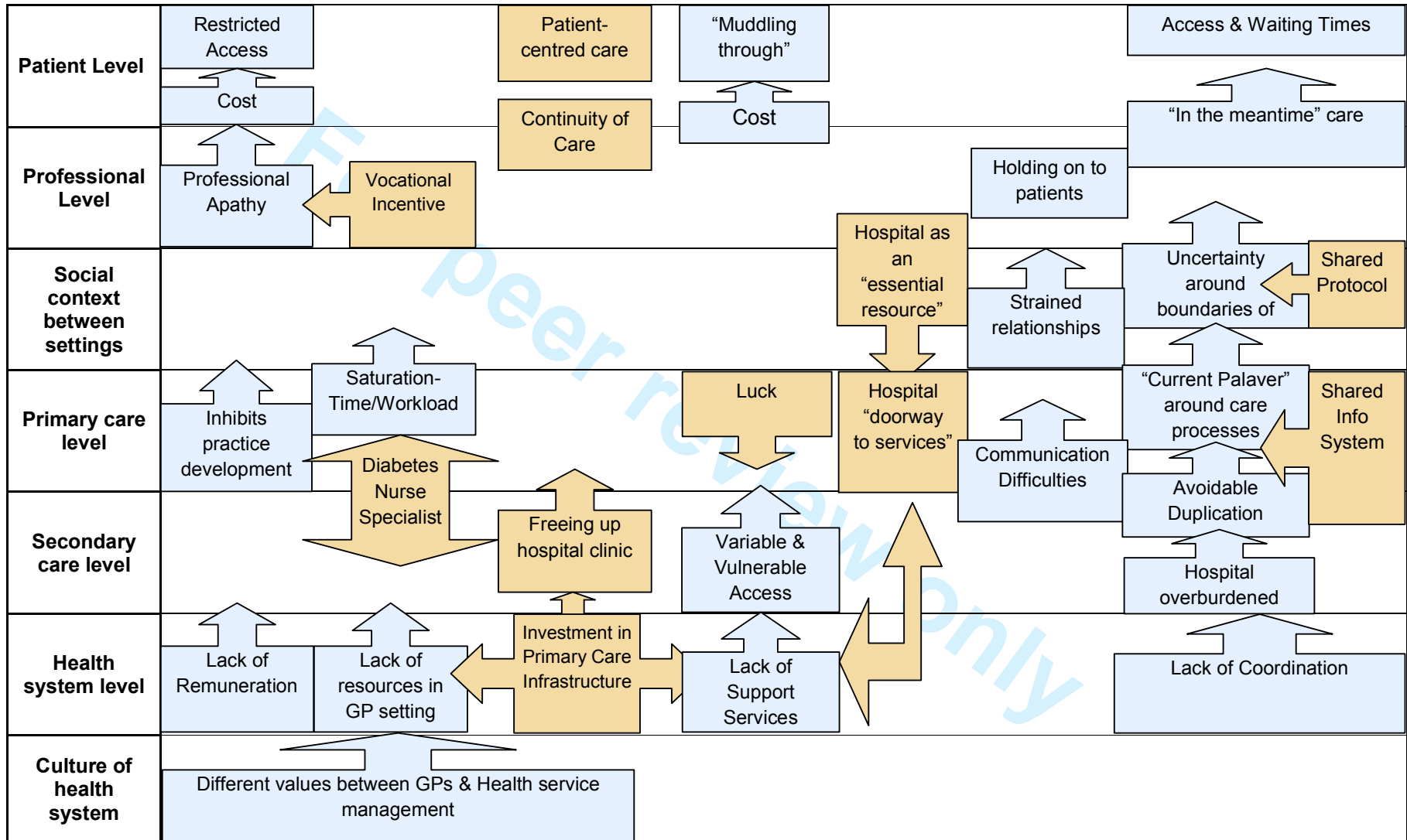
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Barriers and facilitators to introducing integrated diabetes care in Ireland: a qualitative study of views in general practice.

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Article Summary

Article Focus

1. Over the past two decades most health systems have reoriented Type 2 diabetes care from acute reactive services to regular integrated management in the primary care setting.
2. In Ireland, there are plans to reorganise and standardise diabetes care through the introduction of a national model of integrated care whereby the regular management of uncomplicated Type 2 diabetes would shift to primary care.
3. In advance of this reform, the aim of this study was to identify the current barriers and facilitators to integrated diabetes care from the general practice perspective.

Key message

1. The main barriers to integrated diabetes care were at the level of the health system including a lack of remuneration for chronic disease management in general practice and difficulties coordinating care across the primary-secondary interface. These barriers had repercussions at an organisational, professional and patient level.
2. Efforts to improve the diabetes care relied on vocational incentives and serendipitous access to services.
3. There was strong opinion that policy proposals to shift routine management to primary care need to be supported by adequate resources and investment in community services.

Strengths and limitations

- The use of qualitative methods allowed us to understand the views of the health care professionals expected to be part of the implementation and maintenance of integrated diabetes care on the ground.
- This study represents the views of those working in the general practice setting. It is equally important to understand the perspective of those in specialist secondary care services and to elicit patients' preferences regarding the organisation of diabetes care.

ABSTRACT

Objective: To examine the barriers and facilitators to improving diabetes management from the general practice perspective, in advance of the implementation of an integrated model of care in Ireland.

Design: Qualitative using semi-structured interviews.

Setting: Primary care in the Republic of Ireland

Participants: Purposive sample of 29 General Practitioners (GPs) and 2 Practice Nurses (PNs).

Methods: Data were analysed using a framework approach.

Results: The main barriers and facilitators occurred at the level of the health system but had a ripple effect at an organisational, professional and patient level. The lack of targeted remuneration for diabetes management in the Irish health system created apathy in general practice and was perceived to be indicative of the lack of value placed on chronic disease management in the health system. There were 'pockets of interest' among GPs motivated by 'vocational' incentives such as a sense of professional duty however, this was not sufficient to drive widespread improvement. The hospital service was seen as an essential support for primary care management, although some participants referred to emerging tension between settings. The lack of coordination at the primary-secondary interface resulted in avoidable duplication and an 'in the meantime' period of uncertainty around when patients would be called or recalled by specialist services. Facilitators included the availability of nursing support and serendipitous access to services. The lack of resources in the community was considered at odds with policy to shift routine management to general practice, which is fast reaching saturation.

Conclusions: At present, intrinsic motivation is driving the improvement of diabetes care in Ireland. This will not be sufficient to implement the proposed reform including a national model of integrated care. Policy makers need to assess and prepare for the disparate levels of interest and infrastructure in primary care in Ireland to support this change.

INTRODUCTION

In 1982, an article in the British Medical Journal suggested that the ‘care of [people with diabetes] requires enthusiasm and organisation’.¹ The authors endorsed general practice as the setting for the management of uncomplicated diabetes, working closely with hospital specialists. Most developed countries have since reoriented care from episodic management in the acute setting to regular structured management in the primary care setting, in recognition of the growing diabetes epidemic and the changing needs of patients.

The Chronic Care Model, which is frequently cited as a guiding framework for policy and reform in this area, proposes major changes to the organisation and delivery of care for people with chronic illnesses. This model highlights a number of essential components for high quality care including self management support, delivery system redesign, decision support and clinical information systems. Community resources, including links with other health care professionals, are another component of effective care.^{2,3} Diabetes is particularly challenging to coordinate given the myriad of health care providers and settings involved. National and international emphasis is now on integrated care which focuses on the organisation of management within settings and the coordination of care between settings.^{4,5,6}

In Ireland, the introduction of integrated diabetes care is one of the pillars of the National Clinical Care Programme for Diabetes, a programme established to improve the quality of care and patient outcomes. Under this model the routine management of uncomplicated Type 2 diabetes will shift to primary care while those with complicated Type 2 diabetes will be managed between primary and secondary care.⁵ These changes are set against a backdrop of wider health system reform including the proposed introduction of free GP services for people with chronic conditions as part of a move towards a universal health insurance model. Currently in Ireland, some people are entitled to free acute GP care under the General Medical Scheme, based on means testing (37% of the population eligible in 2011).⁷ Those who are not eligible must pay to attend the GP. In terms of diabetes care, at present there are a variety of care arrangements in place across the country including traditional hospital-based management, shared care between GPs and hospitals, primary care-led management^{8,9} and unstructured opportunistic care. The most recent survey of diabetes management in general practice highlighted the lack of formal integration between settings.¹⁰ Furthermore, there was a lack of structured management within the practice and insufficient access to services; over 30% of GPs did not have direct access to dietetic services while more than 40% did not have direct access to podiatry services.

Recent studies, which have examined the implementation of integrated chronic disease care in countries such as the England and Denmark, have emphasised the importance of context.¹¹
¹² The aim of this study was to examine the barriers and facilitators to delivering integrated diabetes care from the general practice perspective, in light of proposed reforms in the Irish health system. Health care professionals are key to the success or failure of improvement initiatives depending on their willingness to learn, accept and adapt to changes in practice¹³
¹⁴ therefore, it is imperative to understand their perspectives prior to implementation.

METHODS

This qualitative research was part of a wider study examining the organisation of diabetes care in Ireland, barriers and facilitators to optimal management and attitudes to quality improvement initiatives including the establishment of a national diabetes register. This paper focuses on themes which emerged in relation to the first and second research areas. Ethical approval was granted by the Irish College of General Practitioners.

Participants and sampling

The study population consisted of General Practitioners working in the Republic of Ireland who had 'opted in' during a preceding postal survey on the organisation of diabetes care. There was a 44% response rate to the initial survey (n=262) and the majority of participants indicated willingness to be contacted for a follow-up interview (n=213, 81% of survey respondents)¹⁰. In total, 25 out of 26 counties in the Republic of Ireland were represented in the study population and the profile of the survey respondents was broadly comparable with the national GP profile in terms of urban/rural breakdown and proportion of single-handed/group practices¹⁵. Approximately half of the original survey respondents self-reported a special interest in diabetes, and most practices had a computer system (93%) in line with the national profile of general practice¹⁵.

Purposive sampling was used to capture the diversity of experiences in general practice. Participants were selected from practices which varied in size, urban/rural location and degree of practice computerisation, factors which could influence the organisation of care (Table 1). A total of 31 interviews were conducted with 29 General Practitioners (GPs) and 2 Practice Nurses (PN) nominated by the practices as the lead health care professional responsible for diabetes. Participants were initially contacted by letter and followed up by telephone contact during which the researchers explained the study aims and methodology. Each participant received an information sheet and outline of the topic guide in advance of the interview.

Table 1 Participant Matrix (N=31)

	Urban (16)	Rural (15)
Single (15)	6	9
Computerised	4	8
Non-computerised	2	1
Group (16)	10	6
Computerised	10	6
Non-computerised	0	0

Interviews

Face-to-face interviews were conducted between July 2009 and January 2010, all of which took place in the participant's practice or home. Two researchers conducted the interviews

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3 (SMH=16, MOM=15 interviews) using the same semi-structured topic guide which was
4 informed by the results of the aforementioned national survey and 2 pilot interviews. The
5 topic guide was organised around five key issues; how diabetes care is currently delivered in
6 the practice, challenges to managing diabetes effectively and efficiently, changes in care
7 provision at local and national level, attitudes to the introduction of quality improvement
8 strategies and participants' 'wish list' for diabetes care in Ireland. Prompts and probes were
9 used throughout the interviews to stimulate discussion. Signed informed consent was
10 obtained before each interview which lasted 50 minutes on average. All but three of the
11 interviews were digitally recorded and transcribed verbatim. Interviews, which were not
12 recorded at the request of participants, were typed up from extensive field notes.

16 Analysis

18 Data were analysed iteratively, that is concurrently with data collection, to allow emerging
19 themes to be explored in subsequent interviews^{16 17}. Findings from the initial interviews were
20 discussed by the multidisciplinary research group which included expertise in health services
21 research, social policy, epidemiology and public health and general practice (SMH, MOM,
22 IP, CB). Discussions led to the reformulation of some of the prompts used during the
23 interview. A checklist was originally devised to encourage the interviewee to indicate
24 whether a factor was a barrier or facilitator to care delivery in his/her practice. However,
25 experience during the interviews and initial analysis suggested that this format was restrictive
26 and superficial as participants considered certain factors as both barriers and facilitators
27 depending on their presence or absence in the practice. Hence, the checklist was modified
28 into a written prompt, around which participants could discuss their views and experiences.

30 The framework approach was used for data analysis.¹⁷ This method enabled investigation of a
31 priori objectives identified in the topic guide while also allowing new themes to emerge from
32 the data. The systematic analytical process also provided transparency which facilitated
33 analysis and discussion by multiple researchers.¹⁸ Initially, interview transcripts were
34 analysed independently by each researcher (SMH and MOM). The transcripts were read
35 repeatedly (familiarization) and themes and concepts were identified. A thematic index or
36 conceptual framework was developed from these codes based on the key areas of the topic
37 guide but also including newly emerging themes. In this study, it was decided not to apply the
38 index to the data as data were already quite orderly given the semi-structured nature of the
39 interview schedule.¹⁷ Data were sorted and synthesized by theme, bringing similar concepts
40 together (thematic charting). Throughout the analysis the language and expressions of the
41 GPs were maintained as far as possible to avoid losing the meaning and context.

43 Following separate first wave analysis, the researchers examined the convergence and
44 divergence of their findings. Divergence arose from two conditions; 1) different labels or
45 codes applied to the same concept or 2) unique concepts emerging from a researcher's
46 analysis not identified by the other researcher. Overall, a similar 'constellation of themes'
47 were identified and the difference lay in the labels applied to those themes, i.e. 'packaging' as
48 described in another study employing multiple analysts.¹⁹ Through discussion it became clear
49 that divergent codes typically related to the same concept and consensus was reached on the

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3 most appropriate label to apply. Occasionally unique codes emerged from one researcher's
4 analysis, which were discussed with the wider group. Three interviews from each researcher
5 were subject to inter-coder reliability by an independent party not involved in data collection
6 but familiar with the design and aims of the study (CB).
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9 Memo writing was also used as an analytic tool to identify avenues for analysis and
10 interpretation to pursue, connections or comparisons between data and to uncover
11 assumptions of both the participant and the researcher.²⁰ A summary of our interpretation was
12 sent to each participant for respondent validity purposes. None of the participants requested
13 changes to the interpretation of the data.
14

15 16 **RESULTS**

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18 Participants' in this study represented the diversity of diabetes care arrangements in Ireland.
19 There was variation in the level of organisation around diabetes care within practices from
20 'ad-hoc' opportunistic management to structured diabetes care characterised by regular recall
21 and review, patient registration and nurse coordination. Although most participants were
22 from computerised practices, the extent to which they utilised electronic records varied.
23 There were also different experiences of sharing care with hospital specialists. Hence,
24 barriers and facilitators were rooted in the context of care delivery; a particular support or
25 resource could be a barrier or facilitator depending on its presence or absence in a
26 participant's practice.
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31 Barriers and facilitators occurred at multiple levels within the health system and had knock-
32 on effects. The main barriers to optimal management occurred at the health system level: lack
33 of remuneration for diabetes management, lack of coordination between settings and deficient
34 access to services, particularly in the community. Facilitators included the availability of a
35 Practice Nurse or Diabetes Nurse Specialist, and serendipitous access to services which
36 participants felt was due to good 'luck' rather than a process of service planning in the health
37 system. Figure 1 illustrates these barriers and facilitators and where they occur within the
38 health system. The figure was developed based on analysis of the transcripts. The
39 connections identified within the diagram are based on the ramification of various barriers as
40 identified by participants themselves during the interview process.
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44 **Figure 1 Barriers & facilitators to delivering integrated diabetes care**

45 46 **Financial Disincentives**

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48 The lack of targeted remuneration or financial incentives to provide structured diabetes
49 management in general practice emerged as one of the main barriers. The 'non-existent'
50 remuneration was a barrier to care delivery across single-physician and group practices, in
51 both urban and rural areas. Current forms of remuneration left those practices that provided
52 regular structured diabetes care at 'a financial loss'. Practices are paid an annual capitation
53 grant to cover the cost of providing acute services to patients eligible under the General
54 Medical Scheme. This funding is not linked to the intensity or quality of care provided which
55 could act as 'a disincentive' to do more, according to some participants. Despite the flaws of
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3 the current system, there were divided opinions about the most appropriate form of
4 remuneration. Participants expressed concern about performance-based remuneration and the
5 potential to ‘corrupt’ the provision of care and its providers. One participant voiced the
6 concern that ‘once you incentivise it [diabetes management] other things that you can’t
7 incentivise get lost or diminished in the process.’
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10 The lack of remuneration led to barriers at other levels of the system including stunted
11 practice development, imposing a cost barrier on patients without a medical card and creating
12 a sense of apathy and ‘bad feeling’ among GPs (Box 1). Some participants related the lack of
13 remuneration to the underlying differences in the priorities and values of health care
14 professionals and management in the health system. There were frequent references to ‘us’
15 and ‘them’ in relation to policy makers and health service management. One participant
16 suggested it was ‘typical of this health system that [the] things we value highly we don’t get
17 paid for, so it’s really [down to] your own interest’. Others called for a new contract which
18 recognised general practice as the most efficient and economical place for managing chronic
19 conditions. However, the lack of resources in the community was seen as a barrier to shifting
20 chronic disease management from the acute setting. Participants described how ‘they [policy
21 makers] want us to do everything in the primary care setting which is understandable... as
22 much as possible it would be nice to be supported to be able to do that but it would mean
23 more staff and that inevitably brings in things that would limit staff which would be
24 incentives and remuneration’.
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31 **Box 1: Consequences of the lack of remuneration**

32 **Cost implications for patients without a medical card**

33 “If we’re getting no recognition and no incentive and no remuneration or anything to do
34 this work, I’d be mad in the head to... keep doing it unless I charge the patient and I don’t
35 like doing that but I don’t have any choice” (GP101, rural single-handed practice)
36

37 **Impeding practice development at an organisational level**

38 “At the moment care is opportunistic but if there were incentives for me to hold a clinic that would
39 help. We could keep flow charts and I’d get remuneration because there is none and this [work]
40 takes a lot of time, manpower, secretarial time, nurse time, and at the moment there’s no incentive
41 to do that.” (GP5, urban group computerised practice)
42

43 **Professional apathy**

44 “There’s no real recognition for it, which is important, because I think that if things do
45 come down on us, things like [administering] the flu vaccine, which is a minor point, we’ll
46 sort of say ‘fine’, but it’ll show apathy and then it’ll be ‘okay, we’ll see our diabetics once a
47 year now’, because we have to end up making money elsewhere.” (GP112, rural group
48 computerised practice)
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52 **‘Vocational’ Incentives**

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54 Participants made the distinction between the financial and ‘vocational’ incentives for
55 providing regular structured diabetes care. ‘Vocational’ incentives related to a sense of
56 personal or professional obligation to improve care delivery, which acted as internal
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motivation for some participants (Box 2). Those who referred to vocational incentives as a source of motivation had established a systematic structured approach to diabetes management, either as part of a local primary care initiative or independently within their own practice. However, participants acknowledged that a special interest in diabetes was limited to ‘pockets’ of practices and ‘you can’t expect all GPs to be desperately keen on it’. Beyond this group, remuneration and financial incentives would be the main facilitator to providing structured care in the practice and therefore the biggest barrier to engaging all GPs at present.

Box 2. Vocational Incentives

Job Satisfaction

“If we can get the older diabetics some better control, we'd be doing some good...so from a personal, job satisfaction professional point of view that would be a huge incentive for me” (GP110, rural group computerised practice)

Patient Feedback

“We're starting people on insulin who really need it and they feel so much better. [Patients say] 'my energy's back up'...so it's very rewarding, you get a lot of good feedback from patients” (GP103, urban group computerised practice)

Personal Experience

“My own father was in hospital 7 or 8 years ago. He was on the ward and there were 7 other guys, it was a vascular ward, and they were all diabetics. Some of them were in for 8, 12 weeks, or 16 weeks...incredible. At the same time I read somewhere that if you can get, is it, a 1% drop in the HbA1C, reduces the complications by 25%.So that struck a chord with me...” (GP110, rural group computer practice)

Professional Duty

“...the international evidence is such that we felt duty bound to offer as tight control as we can for patients” (GP103, urban group computerised practice)

Personal Values & Priorities

“Depending [on] what your attitude is and your enthusiasm [for] preventative stuff, which to me is just as important if not more important and it's enjoyable” (GP101, rural single computerised practice)

“We feel that we've improved the service that they've [patients] been given, so that was the incentive, but that's the only incentive” (GP112, rural, group computerised practice)

Lack of Integration between settings and professionals

The relationship between practices and secondary care diabetes teams was largely positive for most participants who described the hospital-based specialist service as ‘a resource’, ‘an essential support’ and a ‘door way to services’. A small number of participants reported an unconstructive relationship with the hospital-based team which was a barrier to delivering optimal care. These participants felt it would be hard to take part in integrated care ‘with the

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3 power struggle between primary care and secondary services'. Part of this struggle related to
4 attempts on both sides of the primary-secondary interface to 'hold on' to patients.
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7 According to participants, the primary barriers to integrated care did not occur at the
8 professional level but at the level of the health system. The lack of coordination within the
9 system manifested itself in a number of ways and had ramifications in both settings (Box 3).
10 In the first instance, participants expressed uncertainty about the boundaries of responsibility
11 and highlighted the need for 'clear definition as to what the hospital is going to do, [and]
12 what we're expected to do'. Most participants wanted joint involvement between consultants
13 and GPs rather than an either/or situation which had negative implications for the patient and
14 the professional according to one participant: 'if you only deliver care in acute services then
15 people are left floundering for 6 or 9 months in between...but equally if you only see them in
16 the community and they don't have a link with the hospital, when they run into a problem its
17 sometimes very difficult to get somebody in quickly because they're not part of the system'
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21 In addition, the lack of coordination and integrated management led to a sense of ambiguity
22 around the patients' care pathway. A number of participants referred to an 'in the meantime'
23 period; this referred to uncertainty about when patients would be called or recalled by
24 specialist services. For example, one participant from an urban single-handed computerised
25 practice 'supposed' 'that we're to pick them [patients] up in the meantime', that is between
26 hospital reviews, but 'there's been no communication, there's been no meetings, there's been
27 no working group... it's just sort of left like that'. Another participant from an urban group
28 practice described how patients with diabetes were recalled 'by the system...as the hospital
29 deems appropriate' with problems referred to the hospital in the meantime, 'that's as much of
30 a protocol as there is'. This sense of uncertainty around the care pathway also emerged in
31 relation to accessing support services (illustrated by the quote in Box 3) and was seen as
32 particularly difficult for patients who were newly diagnosed with diabetes. Furthermore, there
33 was concern that some patients are 'falling through the net' and not attending either service.
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39 Participants called for the development of a shared protocol to reduce avoidable duplication
40 and clarify the roles and responsibilities within each setting. Those from computerised
41 practices emphasised the need for shared information systems to minimize duplication and
42 overcome the current 'palaver' surrounding processes of care, such as routine blood tests, in
43 the system. Participants from non-computerised practices did not view non-computerisation
44 as a barrier to integrated care or optimal diabetes management.
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47 'It's what works for me. I'm updated in terms of training and meetings and all that
48 kind of thing...But I would like to see us having a place in anything that would
49 develop [in terms of the implementation of integrated diabetes care]' (GP115, rural
50 single-handed non-computerised).
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Box 3. Symptoms of the Lack of Coordination

Uncertainty of ‘in the meantime care’ for patients and providers

“If you could say to [patients] ‘look this is your diagnosis, this is what we’re going to discuss and over the next 2 weeks you’re going to meet A, B, C and then we have a baseline of everything covered from day 1 and you know exactly where you are, you’re on a springboard ready to jump. As opposed to... saying ‘stand on the spring board for about 2 months and then 2 months later you **might** get called for your eyes and 2 months later you **might** be called for your feet’, in which time they may have had a problem with their feet and they’re not quite sure how they should have dealt with it...” (Practice Nurse 104, rural group practice)

‘Overburdened’ hospital clinics & waiting times

“I notice that they’re pushing them [reviews] out further and further, the reviews would have been 6 months some time ago...its gone to 2 years...Consultants just don’t have time, they can’t see everybody. It’s just not possible. They’re doing their best. I’ve no complaints about their service at all.” (GP113, urban single-handed practice)

“There’s a massive diabetic clinic in the hospital but care is not better. It’s difficult to make appointments, get access to services, especially when it’s urgent.” (GP10, urban single-handed practice)

The lack of boundaries and bureaucratic ‘palaver’ around processes of care

“At the moment it’s a big palaver if you check cholesterol, get it to the patient to bring into the hospital and it gets lost in the process lots of times and then it seems incredibly wasteful of effort and time and resources.” (GP106, urban group practice)

“...patients spend the last precious days of their lives going from out-patients to out-patients, confused as to who to believe, and in the ideal world, the GP service would be coordinating and making sure it doesn’t happen too much,...then they get lost in the follow-up, it gets so complicated” (GP108, rural group practice)

‘Avoidable Duplication’

“Unfortunately they still have to be seen in the hospital annually because for things like retinopathy screening and podiatry care, there isn’t one single unit where you could refer them...they have to go through the clinic, there’s a bit of duplication that could be avoided” (Practice Nurse 104, rural group practice)

Support Services- ‘Not enough of them and too hard to access’

Participants described access to support services such as dietetics, podiatry and retinopathy screening as ‘nonexistent’ and ‘abysmal’ in some areas. The lack of services in the community and the resultant reliance on hospitals for the management of ‘finer details like eyes and feet’ was a barrier to community-based diabetes care. While the hospital was ‘a doorway to services’ for some, others described the secondary care services as a ‘fortress’ with lengthy waiting lists. Where services were in place in the community, availability was frequently described as ‘patchy’ in both urban and rural areas. Access to services such as dietetics and podiatry fell along a scale from good to bad, or bad to worst in some cases. Few

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3 participants had access to a complete range of services for their patients. The availability of
4 services was further jeopardised by the ongoing government policy to freeze recruitment in
5 the public sector due to the economic recession. As a result maternity leave was not covered
6 and those who retired were not replaced. One participant from an urban practice described
7 how ‘foot care is not particularly good, it’s a bit random...Dieticians were good, we had a
8 community dietician and then she went on maternity leave and she wasn’t replaced so now
9 again it’s a bit patchy’. The most significant impact was on patients who were left ‘muddling
10 through’. One participant described a patient ‘who had very poor eyesight due to diabetes and
11 we couldn’t get a community podiatrist to see her...at all. I mean it [the waiting list] was
12 about 2 years or something they told me...she wasn’t a priority’.

16 **‘Lucky’ to have access to specialists and support services**

18 Several participants referred to themselves and their patients as ‘lucky’ to have access to
19 services such as dieticians and podiatrists, suggesting that these services evolved by chance
20 rather than systematic service planning within the health system. Participants acknowledged
21 the unique position they were in given the aforementioned ‘patchy’ access to services. Access
22 in some cases resulted from the resourcefulness of health care professionals in establishing
23 partnerships or optimising opportunities.

27 ‘We’re very lucky here, in that we’ve had a very good access to a dietician for the last
28 few years now. It was just something they tried themselves. They were based in the
29 city, and they decided to put some outreach clinics out in the county, and they picked
30 this town for one of their centres.’

33 Participants also referred to luck in relation to the availability of a dedicate nurse within the
34 practice or access to a diabetes nurse specialist. This facilitated the delivery of structured care
35 within the practice and coordination between settings and specialists. Diabetes Nurse
36 Specialists were described as ‘worth their weight in gold’ and ‘the single best thing to happen
37 to diabetes from the management point of view’. Improvements in quality of patient care
38 were attributed to enhanced nurse-led services in the practice and hospital setting.

41 **Risk of General Practice becoming saturated**

43 Time, resources and workload emerged as barriers to providing optimal diabetes care in
44 general practice. However, participants did not dwell on these ‘limiting factors’ which were
45 perceived as almost inevitable. Time ‘is always a barrier’ but *timing* was given more attention
46 by participants who emphasized the importance of early intervention and regular review.
47 Participants warned about the risk of general practice becoming saturated as more services
48 are moved to the community including other chronic physical and mental illnesses and the
49 “huge amount of bloods being done” in primary care. The health system ‘needs to back up
50 their policy of shifting [management] from secondary care to primary care’ by providing
51 adequate resources.
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3 “We always have been willing to take on more and more stuff that is primary care-
4 based [and] bring it out of secondary care but we’re saturated now” (GP110, rural
5 group computerised)
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10 11 12 **DISCUSSION**

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14 Although desirable, the barriers to integrated care should not be underestimated.²¹ The main
15 barriers identified in this study were system-level deficiencies including the lack of
16 remuneration for chronic disease management, poor coordination at the primary-secondary
17 care interface and insufficient services, particularly in the community, which forced GPs to
18 rely on the hospital as a doorway to other health care professionals. These challenges have a
19 ripple effect throughout the system at an organisational, social, professional and patient level.
20 Participants’ accounts of providing ‘in the meantime care’ and the bureaucratic ‘palaver’
21 surrounding routine processes of care expose the lack of integration within the system
22 currently, and the negative impact on efficiency, professional relationships, quality of care
23 and patient experience.
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28 The aim of this study was to identify and understand the barriers and facilitators to optimal
29 diabetes care from the general practice perspective, in advance of the proposed reorganisation
30 of services in Ireland. The national model of integrated care has yet to be implemented
31 therefore the results of this study provide an opportunity to anticipate future barriers and plan
32 solutions which take into account the local context of care provision. Research from the fields
33 of implementation science and quality improvement has focused on ways to overcome
34 structural and contextual barriers through tailored incremental change and professional
35 leadership.^{11 22 23} In this study participants proposed shared protocols and linked information
36 systems as mechanisms to facilitate the provision of integrated care. While the National
37 Clinical Care Programme for Diabetes has proposed care pathways for different patient
38 groups, the absence of a single electronic medical record and unique patient identifier
39 complicates efforts to share information and track patient care. Appropriate investment and
40 infrastructure is needed to foster and support widespread participation in quality
41 improvement.
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47 The results support the contention that ‘something more than personal financial gain is
48 driving professional behaviour’ (p5).²⁴ Vocational incentives were seen as the primary
49 motivation for engaging in quality improvement in the absence of adequate financial
50 remuneration. The distinction between types of incentive is congruent with existing theories
51 of health worker motivation which identifies individual, organisational and cultural
52 determinants²⁵. Organisational determinants of motivation, such as communication processes
53 and organisational support through adequate resources and efficient service delivery, are in
54 short supply according to the participants in our study. Remuneration was considered
55 necessary for widespread improvement as intrinsic incentives were limited to ‘pockets of
56 interest’. Policy-makers deciding on the ideal payment structure for chronic disease
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3 management should take into consideration the context²⁶ and the alignment of values
4 between the professional and the organisation.²⁴ Participants commented that current systems
5 of remuneration reflected discordance between the values of the health service management
6 and health care professionals in terms of the importance of investing in chronic disease
7 management and the contribution of primary care.
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10 Some of the themes identified, such as the part played by local circumstances and 'luck' in
11 securing access to community services, are rooted in the context of the Irish health system
12 and the history of underinvestment in primary care in Ireland. Despite the nuances of this
13 system, similar barriers and facilitators have been identified in other countries.^{6 27-29} While
14 previous studies classified factors influencing diabetes care at the level of patient, provider
15 and organisation/system, this study unpacked further layers of health care delivery,
16 identifying barriers and facilitators relating to the relationships between providers,
17 professional attitudes and the culture underlying the health system. A meta-synthesis of the
18 barriers and facilitators to improvement should be conducted, to develop and understand the
19 full extent of the evidence base.³⁰ A number of the barriers have implications beyond diabetes
20 care and correspond to issues facing the wider primary care setting.³¹ Consequently there is
21 ample opportunity to learn from other settings and countries in terms of how to overcome
22 these barriers.
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27 **Limitations**

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29 Participation in this study was based on an 'opt in' procedure during a preceding survey of
30 GPs in Ireland.¹⁰ This could influence the profile of those who volunteered to be interviewed
31 however this is a consideration for all qualitative studies. Due to the anonymous nature of the
32 survey it was not possible to link practice and professional demographics to those who
33 agreed/did not agree to be interviewed. The survey sample was in line with the national
34 profile of general practice in Ireland in terms of practice size, location and level of
35 computerisation.¹⁵ Participants' experiences typified the diversity of care arrangements in the
36 Irish health system and the organisation of diabetes care in practice ranged from ad-hoc to
37 structured management. While practice characteristics guided the sampling strategy,
38 perceptions of the main barriers were largely consistent across the sub-groups and attitudes
39 were shaped to a greater extent by the context of care in terms of access to various supports
40 and services. The merits of involving more than one analyst in a qualitative study have been
41 debated.^{32 33} In addition to the predominance of research teams in health services research,
42 analysts from different disciplines can bring breadth and depth to the findings.^{34 35}
43 Furthermore, this approach is often considered an implicit form of inter-rater reliability.¹⁹
44 Inter-rater reliability was also applied explicitly in our study. The technique is considered
45 appropriate in the context of semi-structured interviews whereby all participants are asked
46 broadly the same questions in the same order.³⁶ Nevertheless, there is a risk of over-
47 simplifying codes and themes to facilitate independent checking, therefore inter-rater
48 reliability was used following the first wave of analysis on initial codes to minimise this risk.
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56 This study presents the barriers and facilitators to optimal diabetes management from the
57 general practice perspective. The other health care professionals involved in the delivery of
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3 diabetes care may face unique challenges within their setting or profession. Furthermore,
4 while participants highlighted barriers within the system for patients such as the out-of-
5 pocket costs associated GP care in Ireland, further research with patients is warranted to
6 garner their views on the introduction of integrated diabetes care in Ireland. A similar
7 qualitative study has been conducted on patients' attitudes to shared care arrangements in
8 Ireland.³⁷
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11 **Conclusions**

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13 The key ingredients of organisation and enthusiasm, highlighted in 1982¹, are reflected in the
14 constellation of themes which emerged in this study of the barriers and facilitators to optimal
15 integrated care. Given the proposals to reform diabetes services through the introduction of
16 integrated care and the transfer of uncomplicated Type 2 diabetes management to primary
17 care, there is a need to understand the current challenges to delivery in this setting.
18 Reorientation of care must be accompanied by the reorganisation of support and resources.
19 Vocational incentives are motivating factors for 'pockets' of health care professionals
20 however, this is not sufficient for widespread engagement of GPs in the delivery of a national
21 model of integrated diabetes care.
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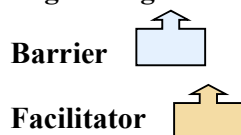
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Legend Figure 1:



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7 **Title:** Barriers and facilitators to introducing integrated diabetes care in Ireland: a qualitative
8 study of views in general practice.

9
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Article Summary

Article Focus

1. Over the past two decades most health systems have reoriented Type 2 diabetes care from acute reactive services to regular integrated management in the primary care setting.
2. In Ireland, there are plans to reorganise and standardise diabetes care through the introduction of a national model of integrated care whereby the regular management of uncomplicated Type 2 diabetes would shift to primary care.
3. In advance of this reform, the aim of this study was to identify the current barriers and facilitators to integrated diabetes care from the general practice perspective.

Key message

1. The main barriers to integrated diabetes care were at the level of the health system including a lack of remuneration for chronic disease management in general practice and difficulties coordinating care across the primary-secondary interface. These barriers had repercussions at an organisational, professional and patient level.
2. Efforts to improve the diabetes care relied on vocational incentives and serendipitous access to services.
3. There was strong opinion that policy proposals to shift routine management to primary care need to be supported by adequate resources and investment in community services.

Strengths and limitations

- The use of qualitative methods allowed us to understand the views of the health care professionals expected to be part of the implementation and maintenance of integrated diabetes care on the ground.
- This study represents the views of those working in the general practice setting. It is equally important to understand the perspective of those in specialist secondary care services and to elicit patients' preferences regarding the organisation of diabetes care.

ABSTRACT

Objective: To examine the ~~current~~ barriers and facilitators to improving diabetes management from the general practice perspective, in advance of the implementation of an integrated model of care in Ireland.

Design: Qualitative ~~study~~ using semi-structured interviews.

Setting: Primary care in the Republic of Ireland

Participants: ~~A p~~Purposive sample of 29 General Practitioners (GPs) and 2 Practice Nurses (PNs) ~~from practices across the Republic of Ireland.~~

Methods: Data were analysed using a framework approach ~~to identify themes.~~

Results: ~~The main B~~barriers and facilitators occurred ~~primarily~~ at the level of the health system but had a ripple effect at an organisational, professional and patient level. The lack of targeted remuneration for diabetes management in the Irish health system created apathy ~~among staff~~ in general practice and was perceived to be indicative of the lack of value placed on chronic disease management in the health system. There were 'pockets of interest' among GPs motivated by 'vocational' incentives such as a sense of professional duty however, this was not sufficient to drive widespread improvement. The hospital service was seen as an essential support for primary care management, although some participants referred to emerging tension between settings. The lack of coordination at the primary-secondary interface resulted in avoidable duplication and an 'in the meantime' period of uncertainty around when patients would be called or recalled by specialist services~~uncertainty for patients and providers due to 'in the meantime care', the vague period of management between hospital reviews.~~ Facilitators included the availability of nursing support and serendipitous access to services.~~Lack was one of determinants of a comprehensive diabetes service.~~ The lack of resources in the community was perceived to be considered at odds with policy to shift routine management to general practice, ~~a setting~~ which is fast reaching saturation.

Conclusions: At present, intrinsic motivation is driving the improvement of diabetes care in Ireland. This will not be sufficient to implement the proposed reform including a national model of integrated care. Policy makers need to assess and prepare for the disparate levels of interest and infrastructure in primary care in Ireland to support this change.

INTRODUCTION

In 1982, an article in the British Medical Journal suggested that the 'care of [people with diabetes] requires enthusiasm and organisation'.¹ The authors endorsed general practice as the setting for the management of uncomplicated diabetes, working closely with hospital specialists. Most developed countries have since reoriented care from episodic management in the acute setting to regular structured management in the primary care setting, in recognition of the growing diabetes epidemic and the changing needs of patients.

The Chronic Care Model, which is frequently cited as a guiding framework for policy and reform in this area, proposes major changes to the organisation and delivery of care for people with chronic illnesses. This model highlights a number of essential components for high quality care including self management support, delivery system redesign, decision support and clinical information systems. Community resources, including links with other health care professionals, are ~~also key~~ another component of effective care.^{2 3} Diabetes is particularly challenging to coordinate given the myriad of health care providers and settings involved. National and international emphasis is now on integrated care which focuses on the organisation of management within settings and the coordination of care between settings.^{4 5 6}

In Ireland, the introduction of integrated diabetes care is one of the pillars of the National Clinical Care Programme for Diabetes, a programme established to improve the quality of care and patient outcomes. Under this model the routine management of uncomplicated Type 2 diabetes will shift to primary care while those with complicated Type 2 diabetes will be managed between primary and secondary care.⁵ These changes are set against a backdrop of wider health system reform including the proposed introduction of free GP services for people with chronic conditions as part of a move towards a universal health insurance model. Currently in Ireland, some people are entitled to free acute GP care under the General Medical Scheme, based on means testing (37% of the population eligible in 2011).⁷ Those who are not eligible must pay to attend the GP. In terms of diabetes care, at present there are a variety of care arrangements in place across the country including traditional hospital-based management, shared care between GPs and hospitals, primary care-led management^{8 9} and unstructured opportunistic care. The most recent survey of diabetes management in general practice highlighted the lack of formal integration between settings.¹⁰ Furthermore, there was a lack of structured management within the practice and insufficient access to services; over 30% of GPs did not have direct access to dietetic services while more than 40% did not have direct access to podiatry services.

Recent studies, which have examined the implementation of integrated chronic disease care in ~~health systems~~ countries such as the England and Denmark, have emphasised the importance of context.^{11 12} The aim of this study was to examine the barriers and facilitators to delivering integrated diabetes care from the general practice perspective, in light of proposed reforms in the Irish health system. Health care professionals are key to the success or failure of improvement initiatives depending on their willingness to learn, accept and adapt to changes in practice^{13 14} therefore, it is imperative to understand their perspectives prior to implementation.

METHODS

This qualitative research was part of a wider study examining the organisation of diabetes care in Ireland, barriers and facilitators to optimal management and ~~the~~ attitudes to quality improvement initiatives including the establishment of a national diabetes register. This paper focuses on themes which emerged in relation to the first and second research areas. Ethical approval was granted by the Irish College of General Practitioners.

Participants and sampling

The study population consisted of General Practitioners working in the Republic of Ireland who had 'opted in' during a preceding postal survey on the organisation of diabetes care. There was a 44% response rate to the initial survey (n=262) and the majority of participants indicated willingness to be contacted for a follow-up interview (N=213, 81% of survey respondents)¹⁰. In total, 25 out of 26 counties in the Republic of Ireland were represented in the study population and the profile of the survey respondents was broadly comparable with the national GP profile in terms of urban/rural breakdown and proportion of single-handed/group practices¹⁵. Approximately half of the original survey respondents self-reported a special interest in diabetes, and most practices had a computer system (93%) in line with the national profile of general practice¹⁵.

Purposive sampling was used to capture the diversity of experiences in general practice. Participants were selected from practices which varied in size, urban/rural location and degree of practice computerisation, factors which could influence the organisation of care (Table 1). A total of 31 interviews were conducted with 29 General Practitioners (GPs) and 2 Practice Nurses (PN) nominated by the practices as the lead health care professional responsible for diabetes. Participants were initially contacted by letter and followed up by telephone contact during which the researchers explained the study aims and methodology. Each participant received an information sheet and outline of the topic guide in advance of the interview.

Table 1 Participant Matrix (N=31)

	Urban (16)	Rural (15)
Single (15)	6	9
Computerised	4	8
Non-computerised	2	1
Group (16)	10	6
Computerised	10	6
Non-computerised	0	0

Interviews

Face-to-face interviews were conducted between July 2009 and January 2010, all of which took place in the participant's practice or home. Two researchers conducted the interviews

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7 (SMH=16, MOM=15 interviews) using the same semi-structured topic guide which was
8 informed by the results of the aforementioned national survey and 2 pilot interviews. The
9 topic guide was organised around five key issues; how diabetes care is currently delivered in
10 the practice, challenges to managing diabetes effectively and efficiently, changes in care
11 provision at local and national level, attitudes to the introduction of quality improvement
12 strategies and participants' 'wish list' for diabetes care in Ireland. Prompts and probes were
13 used throughout the interviews to stimulate discussion. Signed informed consent was
14 obtained before each interview which lasted 50 minutes on average. All but three of the
15 interviews were digitally recorded and transcribed verbatim. Interviews, which were not
16 recorded at the request of participants, were typed up from extensive field notes.

18 Analysis

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20 Data were analysed iteratively, that is concurrently with data collection, to allow emerging
21 themes to be explored in subsequent interviews^{16 17}. Findings from the initial interviews were
22 discussed by the multidisciplinary research group which included expertise in health services
23 research, social policy, epidemiology and public health and general practice (SMH, MOM,
24 IP, CB). Discussions led to the reformulation of some of the prompts used during the
25 interview. A checklist was originally devised to encourage the interviewee to indicate
26 whether a factor was a barrier or facilitator to care delivery in his/her practice. However,
27 experience during the interviews and initial analysis suggested that this format was restrictive
28 and superficial as participants considered certain factors as both barriers and facilitators
29 depending on their presence or absence in the practice. Hence, the checklist was modified
30 into a written prompt, around which participants could discuss their views and experiences.

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33 | The Framework approach was used for data analysis.¹⁷ This method enabled investigation of
34 a priori objectives identified in the topic guide while also allowing new themes to emerge
35 from the data. The systematic analytical process also provided transparency which facilitated
36 analysis and discussion by multiple researchers.¹⁸ Initially, interview transcripts were
37 analysed independently by each researcher (SMH and MOM). The transcripts were read
38 repeatedly (familiarization) and themes and concepts were identified. A thematic index or
39 conceptual framework was developed from these codes based on the key areas of the topic
40 guide but also including newly emerging themes. In this study, it was decided not to apply the
41 index to the data as data were already quite orderly given the semi-structured nature of the
42 interview schedule.¹⁷ Data were sorted and synthesized by theme, bringing similar concepts
43 together (thematic charting). Throughout the analysis the language and expressions of the
44 GPs were maintained as far as possible to avoid losing the meaning and context.

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47 Following separate first wave analysis, the researchers examined the convergence and
48 divergence of their findings. Divergence arose from two conditions; 1) different labels or
49 codes applied to the same concept or 2) unique concepts emerging from a researcher's
50 analysis not identified by the other researcher. Overall, a similar 'constellation of themes'
51 were identified and the difference lay in the labels applied to those themes, i.e. 'packaging' as
52 described in another study employing multiple analysts.¹⁹ Through discussion it became clear
53 that divergent codes typically related to the same concept and consensus was reached on the
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7 most appropriate label to apply. Occasionally unique codes emerged from one researcher's
8 analysis, which were discussed with the wider group. Three interviews from each researcher
9 were subject to inter-coder reliability by an independent party not involved in data collection
10 but familiar with the design and aims of the study (CB).

11
12 Memo writing was also used as an analytic tool to identify avenues for analysis and
13 interpretation to pursue, connections or comparisons between data and to uncover
14 assumptions of both the participant and the researcher.²⁰ A summary of our interpretation was
15 sent to each participant for respondent validity purposes. None of the participants requested
16 changes to the interpretation of the data.

17 RESULTS

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20 Participants' in this study represented the diversity of diabetes care arrangements in Ireland.
21 There was variation in the level of organisation around diabetes care within practices from
22 'ad-hoc' opportunistic management to structured diabetes care characterised by regular recall
23 and review, patient registration and nurse coordination. Although most participants were
24 from computerised practices, the extent to which they utilised electronic records varied.
25 There were also different experiences of sharing care with hospital specialists. Hence,
26 barriers and facilitators were rooted in the context of care delivery; a particular support or
27 resource could be a barrier or facilitator depending on its presence or absence in a
28 participant's practice.

29
30 Barriers and facilitators occurred at multiple levels within the health system and had knock-
31 on effects ~~as illustrated in Figure 1~~. The main barriers to optimal management occurred at the
32 health system level: lack of remuneration for diabetes management, lack of coordination
33 between settings and deficient access to services, particularly in the community. Facilitators
34 included the availability of a Practice Nurse or Diabetes Nurse Specialist, and serendipitous
35 access to services which participants felt was due to good 'luck' rather than a process of
36 service planning in the health system. Figure 1 illustrates these barriers and facilitators and
37 where they occur within the health system. The figure was developed based on analysis of the
38 transcripts. The connections identified within the diagram are based on the ramification of
39 various barriers as identified by participants themselves during the interview process.

40 Figure 1 Barriers & facilitators to delivering integrated diabetes care

41 Financial Disincentives

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43
44 The lack of targeted remuneration or financial incentives to provide structured diabetes
45 management in general practice emerged as one of the main barriers. The 'non-existent'
46 remuneration was a barrier to care delivery across single-physician and group practices, in
47 both urban and rural areas. Current forms of remuneration left those practices that provided
48 regular structured diabetes care at 'a financial loss'. Practices are paid an annual capitation
49 grant to cover the cost of providing free-acute services to patients eligible under the General
50 Medical Scheme. This funding is not linked to the intensity or quality of care provided which
51 could act as 'a disincentive' to do more, according to some participants. Despite the flaws of
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the current system, there were divided opinions about the most appropriate form of remuneration. Participants expressed concern about performance-based remuneration and the potential to ‘corrupt’ the provision of care and its providers. One participant voiced the concern that ‘once you incentivise it [diabetes management] other things that you can’t incentivise get lost or diminished in the process.’

~~According to participants~~ The lack of remuneration led to barriers at other levels of the system including stunted practice development, imposing a cost barrier on patients without a medical card and creating a sense of apathy and ‘bad feeling’ among GPs (Box 1). Some participants related the lack of remuneration to the underlying differences in the priorities and values of health care professionals and management in the health system. There were frequent references to ‘us’ and ‘them’ in relation to policy makers and health service management. One participant suggested it was ‘typical of this health system that [the] things we value highly we don’t get paid for, so it’s really [down to] your own interest’. Others called for a new contract which recognised general practice as the most efficient and economical place for managing chronic conditions. However, the lack of resources in the community was seen as a barrier to shifting chronic disease management from the acute setting. Participants described how ‘they [policy makers] want us to do everything in the primary care setting which is understandable... as much as possible it would be nice to be supported to be able to do that but it would mean more staff and that inevitably brings in things that would limit staff which would be incentives and remuneration’.

Box 1: Consequences of the lack of remuneration

Cost implications for patients without a medical card

“If we’re getting no recognition and no incentive and no remuneration or anything to do this work, I’d be mad in the head to... keep doing it unless I charge the patient and I don’t like doing that but I don’t have any choice” (GP101, rural single-handed practice)

Impeding practice development at an organisational level

“At the moment care is opportunistic but if there were incentives for me to hold a clinic that would help. We could keep flow charts and I’d get remuneration because there is none and this [work] takes a lot of time, manpower, secretarial time, nurse time, and at the moment there’s no incentive to do that.” (GP5, urban group computerised practice)

Professional apathy

“There’s no real recognition for it, which is important, because I think that if things do come down on us, things like [administering] the flu vaccine, which is a minor point, we’ll sort of say ‘fine’, but it’ll show apathy and then it’ll be ‘okay, we’ll see our diabetics once a year now’, because we have to end up making money elsewhere.” (GP112, rural group computerised practice)

‘Vocational’ Incentives

Participants made the distinction between the financial ~~‘disincentives’ and ‘vocational’ incentives for around~~ providing regular structured diabetes care ~~and other ‘vocational’ or personal incentives.~~ ‘Vocational’ incentives related to a sense of personal or professional

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7 obligation to improve care delivery, which acted as internal motivation for some participants
8 (Box 2). Those who referred to vocational incentives as a source of motivation had
9 established a systematic structured approach to diabetes management, either as part of a local
10 primary care initiative or independently within their own practice. However, participants
11 acknowledged that a special interest in diabetes was limited to ‘pockets’ of practices and ‘you
12 can’t expect all GPs to be desperately keen on it’. Beyond this group, remuneration and
13 financial incentives would be the main facilitator to providing structured care in the practice
14 and therefore the biggest barrier to engaging all GPs at present.
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18 **Box 2. Vocational Incentives**

19 **Job Satisfaction**

20 “If we can get the older diabetics some better control, we'd be doing some good...so from a
21 personal, job satisfaction professional point of view that would be a huge incentive for me”
22 (GP110, rural group computerised practice)
23

24 **Patient Feedback**

25 “We're starting people on insulin who really need it and they feel so much better. [Patients say]
26 'my energy's back up'...so it's very rewarding, you get a lot of good feedback from patients”
27 (GP103, urban group computerised practice)
28

29 **Personal Experience**

30 “My own father was in hospital 7 or 8 years ago. He was on the ward and there were 7 other guys,
31 it was a vascular ward, and they were all diabetics. Some of them were in for 8, 12 weeks, or 16
32 weeks...incredible. At the same time I read somewhere that if you can get, is it, a 1% drop in the
33 HbA1C, reduces the complications by 25%.So that struck a chord with me...” (GP110, rural group
34 computer practice)
35

36 **Professional Duty**

37 “...the international evidence is such that we felt duty bound to offer as tight control as we can for
38 patients” (GP103, urban group computerised practice)
39

40 **Personal Values & Priorities**

41 “Depending [on] what your attitude is and your enthusiasm [for] preventative stuff, which to me is
42 just as important if not more important and it's enjoyable” (GP101, rural single computerised
43 practice)
44

45 “We feel that we've improved the service that they've [patients] been given, so that was the
46 incentive, but that's the only incentive” (GP112, rural, group computerised practice)
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48 **Lack of Integration between settings and professionals**

49
50 The relationship between practices and secondary care diabetes teams was largely positive for
51 most participants who described the hospital-based specialist service as ‘a resource’, ‘an
52 essential support’ and a ‘door way to services’. A small number of participants reported an
53 unconstructive relationship with the hospital-based team which was a barrier to delivering
54 optimal care. These participants felt it would be hard to take part in integrated care ‘with the
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7 power struggle between primary care and secondary services'. Part of this struggle related to
8 attempts on both sides of the primary-secondary interface to 'hold on' to patients.

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10 According to participants, the primary barriers to integrated care did not occur at the
11 professional level but at the level of the health system. The lack of coordination within the
12 system manifested itself in a number of ways and had ramifications in both settings (Box 3).
13 In the first instance, participants expressed uncertainty about the boundaries of
14 responsibility and highlighted the need for 'clear definition as to what the hospital is going to
15 do, [and] what we're expected to do'. Most participants wanted joint involvement between
16 consultants and GPs rather than an either/or situation which had negative implications for the
17 patient and the professional according to one participant: 'if you only deliver care in acute
18 services then people are left floundering for 6 or 9 months in between...but equally if you
19 only see them in the community and they don't have a link with the hospital, when they run
20 into a problem its sometimes very difficult to get somebody in quickly because they're not
21 part of the system'

22
23 In addition, the lack of coordination and integrated management led to a sense of ambiguity
24 around the patients' care pathway. A number of participants referred to an 'in the meantime'
25 period; this referred to uncertainty about when patients would be called or recalled by
26 specialist services. For example, one participant from an urban single-handed computerised
27 practice 'supposed' 'that we're to pick them [patients] up in the meantime', that is between
28 hospital reviews, but 'there's been no communication, there's been no meetings, there's been
29 no working group... it's just sort of left like that'. Another participant from an urban group
30 practice described how patients with diabetes were recalled 'by the system...as the hospital
31 deems appropriate' with problems referred to the hospital in the meantime, 'that's as much of
32 a protocol as there is'. This sense of uncertainty around the care pathway also emerged in
33 relation to accessing support services (illustrated by the quote in Box 3) and was seen as
34 particularly difficult for patients who were newly diagnosed with diabetes. Furthermore, there
35 was concern that some patients are 'falling through the net' and not attending either service.

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39 ~~The lack of coordination and integrated management was reflected in the process of recalling~~
40 ~~patients for review. A participant from an urban group practice described how patients with~~
41 ~~diabetes were recalled 'by the system...as the hospital deems appropriate' with problems~~
42 ~~referred to the hospital in the meantime, 'that's as much of a protocol as there is'. Similarly,~~
43 ~~another participant from a rural group practice felt care was not really shared as the hospital~~
44 ~~brought back the patient until such time as they perceived no problem. The patient 'might'~~
45 ~~return to the GP but there was no sharing of information in the meantime. The phrase 'in the~~
46 ~~meantime' was used by several participants to describe the indefinite period of management~~
47 ~~between hospital reviews which caused frustration for professionals and patients.~~
48 ~~Furthermore, there was concern that some patients are 'falling through the net' and not~~
49 ~~attending either service.~~

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51 ~~The sub-theme 'in the meantime' care was one of a number which emerged during interviews~~
52 ~~as symptoms of the lack of co-ordination and organisation within the system (Box 3). Each~~
53 ~~symptom of poor coordination was a barrier in itself for patients and health care professionals~~
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7 ~~trying to navigate the system. Participants called for the development of a shared protocol~~
8 ~~to reduce avoidable duplication and clarify the roles and responsibilities within each~~
9 ~~setting and. Those from computerised practices emphasised the need for shared information~~
10 ~~systems to improve the integration between settings minimize duplication and overcome the~~
11 ~~current 'palaver' surrounding processes of care, such as routine blood tests, in the system.~~
12 ~~Participants from non-computerised practices did not view non-computerisation as a barrier~~
13 ~~to integrated care or optimal diabetes management. A shared protocol would address~~
14 ~~avoidable duplication and clarify the roles and responsibilities of each setting while a shared~~
15 ~~information system would minimize duplication and the current 'palaver' surrounding~~
16 ~~processes of care in the system.~~

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19 'It's what works for me. I'm updated in terms of training and meetings and all that
20 kind of thing...But I would like to see us having a place in anything that would
21 develop [in terms of the implementation of integrated diabetes care]' (GP115, rural
22 single-handed non-computerised).

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Box 3. Symptoms of the Lack of Coordination

Uncertainty of 'In the meantime care' for patients and providers

"If you could say to [patients] 'look this is your diagnosis, this is what we're going to discuss and over the next 2 weeks you're going to meet A, B, C and then we have a baseline of everything covered from day 1 and you know exactly where you are, you're on a springboard ready to jump. As opposed to... saying 'stand on the spring board for about 2 months and then 2 months later you **might** get called for your eyes and 2 months later you **might** be called for your feet', in which time they may have had a problem with their feet and they're not quite sure how they should have dealt with it..." (Practice Nurse 104, rural group practice)

'Overburdened' hospital clinics & waiting times

"I notice that they're pushing them [reviews] out further and further, the reviews would have been 6 months some time ago...its gone to 2 years...Consultants just don't have time, they can't see everybody. It's just not possible. They're doing their best. I've no complaints about their service at all." (GP113, urban single-handed practice)

"There's a massive diabetic clinic in the hospital but care is not better. It's difficult to make appointments, get access to services, especially when it's urgent." (GP10, urban single-handed practice)

The lack of boundaries and bureaucratic 'palaver' around processes of care

"At the moment it's a big palaver if you check cholesterol, get it to the patient to bring into the hospital and it gets lost in the process lots of times and then it seems incredibly wasteful of effort and time and resources." (GP106, urban group practice)

"...patients spend the last precious days of their lives going from out-patients to out-patients, confused as to who to believe, and in the ideal world, the GP service would be coordinating and making sure it doesn't happen too much,...then they get lost in the follow-up, it gets so complicated" (GP108, rural group practice)

'Avoidable Duplication'

"Unfortunately they still have to be seen in the hospital annually because for things like retinopathy screening and podiatry care, there isn't one single unit where you could refer them...they have to go through the clinic, there's a bit of duplication that could be avoided" (Practice Nurse 104, rural group practice)

Support Services- 'Not enough of them and too hard to access'

Participants described access to support services such as dietetics, podiatry and retinopathy screening as 'nonexistent' and 'abysmal' in some areas. The lack of services in the community and the resultant reliance on hospitals for the management of 'finer details like eyes and feet' was a barrier to community-based diabetes care. While the hospital was 'a doorway to services' for some, others described the secondary care services as a 'fortress' with lengthy waiting lists. Where services were in place in the community, availability was frequently described as 'patchy' in both urban and rural areas. Access to services such as dietetics and podiatry fell along a scale from good to bad, or bad to worst in some cases. Few

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7 participants had access to a complete range of services for their patients. The availability of
8 services was further jeopardised by the ongoing government policy to freeze recruitment in
9 the public sector due to the economic recession. As a result maternity leave was not covered
10 and those who retired were not replaced. One participant from an urban practice described
11 how 'foot care is not particularly good, it's a bit random...Dieticians were good, we had a
12 community dietician and then she went on maternity leave and she wasn't replaced so now
13 again it's a bit patchy'. The most significant impact was on patients who were left 'muddling
14 through'. One participant described a patient 'who had very poor eyesight due to diabetes and
15 we couldn't get a community podiatrist to see her...at all. I mean it [the waiting list] was
16 about 2 years or something they told me...she wasn't a priority'.

18 **'Lucky' to have access to specialists and support services**

20 Several participants referred to themselves and their patients as 'lucky' to have access to
21 services such as dieticians and podiatrists, suggesting that these services evolved by chance
22 rather than systematic service planning within the health system. Participants acknowledged
23 the unique position they were in given the aforementioned 'patchy' access to services. Luck
24 was a key factor in the availability of a comprehensive diabetes service. Several participants
25 referred to themselves and their patients as 'lucky' to have access to services such as
26 dieticians and podiatrists, recognising the unique position they were in. Access in some cases
27 resulted from the resourcefulness of health care professionals in establishing partnerships or
28 optimising opportunities.

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31 'We're very lucky here, in that we've had a very good access to a dietician for the last
32 few years now. It was just something they tried themselves. They were based in the
33 city, and they decided to put some outreach clinics out in the county, and they picked
34 this town for one of their centres.'

35
36 Participants also referred to luck in relation to the availability of ~~the advantages of having~~ a
37 dedicate nurse within the practice or access to a diabetes nurse specialist. This facilitated the
38 delivery of structured care within the practice and coordination between settings and
39 specialists. Diabetes Nurse Specialists were described as 'worth their weight in gold' and 'the
40 single best thing to happen to diabetes from the management point of view'. Improvements in
41 quality of patient care were attributed to enhanced nurse-led services in the practice and
42 hospital setting.

44 **Risk of General Practice becoming saturated Saturation**

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46 Time, resources and workload emerged as barriers to providing optimal diabetes care in
47 general practice. However, participants did not dwell on these 'limiting factors' which were
48 perceived as almost inevitable. Time 'is always a barrier' but *timing* was given more attention
49 by participants who emphasized the importance of early intervention and regular review.
50 Participants warned about the risk of general practice becoming saturated 'saturation' in
51 general practice as more ~~and more~~ services are moved to the community including other
52 chronic physical and mental illnesses and the "huge amount of bloods being done" in primary
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7 | care. The health system ‘needs to back up their policy of shifting [management] from
8 secondary care to primary care’ by providing adequate resources.

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10 | “We always have been willing to take on more and more stuff that is primary care-
11 based [and] bring it out of secondary care but we’re saturated now” (GP110, rural
12 group computerised)

13 14 15 16 17 **DISCUSSION**

18 Although desirable, the barriers to integrated care should not be underestimated.²¹ The main
19 barriers identified in this study were system-level deficiencies including the lack of
20 remuneration for chronic disease management, poor coordination at the primary-secondary
21 care interface and insufficient services, particularly in the community, which forced GPs to
22 rely on the hospital as a doorway to other health care professionals. These challenges have a
23 ripple effect throughout the system at an organisational, social, professional and patient level.
24 Participants’ accounts of providing ‘in the meantime care’ and the bureaucratic ‘palaver’
25 surrounding routine processes of care expose the lack of integration within the system
26 currently, and the negative impact on efficiency, professional relationships, quality of care
27 and patient experience.

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31 The aim of this study was to identify and understand the barriers and facilitators to optimal
32 diabetes care from the general practice perspective, in advance of the proposed reorganisation
33 of services in Ireland. The national model of integrated care has yet to be implemented
34 therefore the results of this study provide an opportunity to anticipate future barriers and plan
35 solutions which take into account the local context of care provision. Research from the fields
36 of implementation science and quality improvement has focused on ways to overcome
37 structural and contextual barriers through tailored incremental change and professional
38 leadership.^{11 22 23} In this study participants proposed shared protocols and linked information
39 systems as mechanisms to facilitate the provision of integrated care. While the National
40 Clinical Care Programme for Diabetes has proposed care pathways for different patient
41 groups, the absence of a single electronic medical record and unique patient identifier
42 complicates efforts to share information and track patient care. Appropriate investment and
43 infrastructure is needed to foster and support widespread participation in quality
44 improvement.

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47 The results support the contention that ‘something more than personal financial gain is
48 driving professional behaviour’ (p5).²⁴ Vocational incentives were seen as the primary
49 motivation for engaging in quality improvement in the absence of adequate financial
50 remuneration. The distinction between types of incentive is congruent with existing theories
51 of health worker motivation which identifies individual, organisational and cultural
52 determinants²⁵. Organisational determinants of motivation, such as communication processes
53 and organisational support through adequate resources and efficient service delivery, are in

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7 short supply according to the participants in our study. Remuneration was considered
8 necessary for widespread improvement as intrinsic incentives were limited to 'pockets of
9 interest'. Policy-makers deciding on the ideal payment structure for chronic disease
10 management should take into consideration the context²⁶ and the alignment of values
11 between the professional and the organisation.²⁴ Participants commented that current systems
12 of remuneration reflected discordance between the values of the health service management
13 and health care professionals in terms of the importance of investing in chronic disease
14 management and the contribution of primary care.
15

16 Some of the themes identified, such as the part played by local circumstances and 'luck' in
17 securing access to community services, are rooted in the context of the Irish health system
18 and the history of underinvestment in primary care in Ireland. Despite the nuances of this
19 system, similar barriers and facilitators have been identified in other countries.^{6 27-29} While
20 previous studies classified factors influencing diabetes care at the level of patient, provider
21 and organisation/system, this study unpacked further layers of health care delivery,
22 identifying barriers and facilitators relating to the relationships between providers,
23 professional attitudes and the culture underlying the health system. A meta-synthesis of the
24 barriers and facilitators to improvement should be conducted, to develop and understand the
25 full extent of the evidence base.³⁰ A number of the barriers have implications beyond diabetes
26 care and correspond to issues facing the wider primary care setting.³¹ Consequently there is
27 ample opportunity to learn from other settings and countries in terms of how to overcome
28 these barriers.
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31 Limitations

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33 Participation in this study was based on an 'opt in' procedure during a preceding survey of
34 GPs in Ireland.¹⁰ This could influence the profile of those who volunteered to be interviewed
35 however this is a consideration for all qualitative studies. Due to the anonymous nature of the
36 survey it was not possible to link practice and professional demographics to those who
37 agreed/did not agree to be interviewed. The survey sample was in line with the national
38 profile of general practice in Ireland in terms of practice size, location and level of
39 computerisation.¹⁵ Participants' experiences typified the diversity of care arrangements in the
40 Irish health system and the organisation of diabetes care in practice ranged from ad-hoc
41 structured management. While practice characteristics guided the sampling strategy,
42 perceptions of the main barriers were largely consistent across the sub-groups and attitudes
43 were shaped to a greater extent by the context of care in terms of access to various supports
44 and services. The merits of involving more than one analyst in a qualitative study have been
45 debated.^{32 33} In addition to the predominance of research teams in health services research,
46 analysts from different disciplines can bring breadth and depth to the findings.^{34 35}
47 Furthermore, this approach is often considered an implicit form of inter-rater reliability.¹⁹
48 Inter-rater reliability was also applied explicitly in our study. The technique is considered
49 appropriate in the context of semi-structured interviews whereby all participants are asked
50 broadly the same questions in the same order.³⁶ Nevertheless, there is a risk of over-
51 simplifying codes and themes to facilitate independent checking, therefore inter-rater
52 reliability was used following the first wave of analysis on initial codes to minimise this risk.
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7 This study presents the barriers and facilitators to optimal diabetes management from the
8 general practice perspective. The other health care professionals involved in the delivery of
9 diabetes care may face unique challenges within their setting or profession. Furthermore,
10 while participants highlighted barriers within the system for patients such as the out-of-
11 pocket costs associated GP care in Ireland, further research with patients is warranted to
12 garner their views on the introduction of integrated diabetes care in Ireland. A similar
13 qualitative study has been conducted on patients' attitudes to shared care arrangements in
14 Ireland.³⁷
15

16 **Conclusions**

17
18 The key ingredients of organisation and enthusiasm, highlighted in 1982¹, are reflected in the
19 constellation of themes which emerged in this study of the barriers and facilitators to optimal
20 integrated care. Given the proposals to reform diabetes services through the introduction of
21 integrated care and the transfer of uncomplicated Type 2 diabetes management to primary
22 care, there is a need to understand the current challenges to delivery in this setting.
23 Reorientation of care must be accompanied by the reorganisation of support and resources.
24 Internal-Vocational incentives are ~~drivers of change~~ motivating factors for 'pockets' of health
25 care professionals however, this is not sufficient for widespread engagement of GPs in the
26 delivery of a national model of integrated diabetes care.
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Legend Figure 1:

Barrier 

Facilitator