# THE LANCET

### Supplementary appendix

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Supplement to: Perera MA, Cavallari LH, Limdi NA, et al. Genetic variants associated with warfarin dose in African-American individuals: a genome-wide association study. *Lancet* 2013; published online June 5. http://dx.doi.org/10.1016/S0140-6736(13)60681-9.

### Genetic variants associated with warfarin dose in African-American individuals: a genome-wide association study

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### **Supplemental Methods**

### **Quality Control Procedures**

Quality control procedures were implemented for the IWPC and UAB cohorts prior to analysis. Plate effect were assessed used our previously published method.<sup>1</sup> Samples from two patients from the IWPC GWAS cohort failed to validate by gender misspecification check using X chromosome heterogeneity in PLINK, and were excluded from further analyses.<sup>2, 3</sup> Genome-wide genotype data were used to estimate identity-by-descent (IBD) between all pairwise combinations of samples in order to identify sample duplicates, contaminated samples, and cryptic relationships. Three subjects with an IBD coefficient greater than 0.125 were excluded from the analysis. For each pair, the sample with the highest call rate was retained, and the other was excluded. No sample had a call rate of less than 95%.

For the GWAS meta-analysis, the full marker set for the IWPC cohort included 592,343 SNPs, and the UAB cohort included 1,199,187 SNPs, with a genotyping rate of 0.998 and 0.958, respectively, before quality control checks. No markers were excluded for deviation from Hardy Weinberg equilibrium (HWE) given the genotyped cohorts were admixed; however, those that showed significant departures (Fisher's exact test,  $p \le 0.0001$ ) were flagged in subsequent analyses. None of the SNPs reported as associated with stable warfarin dose showed significant departures from HWE. SNPs with a minor allele frequency (MAF) less than 2% were excluded from further analysis except for SNPs within VKORC1 and CYP2C9, which were retained because of evidence for involvement in predicting warfarin dose.<sup>4+6</sup> Additionally, all monomorphic SNPs and SNPs that mapped to several genomic locations were removed from the analyses. We also employed a graded call rate cut-off, in which SNPs with a MAF of 3% or greater were excluded if the call rate was < 98%, and SNPs with a MAF of 2-3% were excluded if the call rate was < 99%. Samples were excluded for a call rate < 95%.

### Imputation

Imputation of additional SNPs not present on either of the two genotyping platforms was performed using hidden Markov models via MACH v1.0 (http://www.sph.umich.edu/csg/abecasis/MACH/)to obtain allelic dosages.<sup>7,8</sup> To account for the admixture in African Americans, the phased reference haplotypes from the combined HapMap phase II White (CEU) and African (YRI) descent were used as reference populations at equal proportions (NCBI build 36, release 22 from http://hapmap.ncbi.nlm.nih.gov/downloads/genotypes/2009-

02\_phaseII+III/forward/non-redundant/).<sup>9</sup> SNPs that were either polymorphic in both populations or monomorphic in only one population were included. The quality metric ( $R^2$ ) was calculated for all imputed SNPs. SNPs with  $R^2$  values of less than 0.8 were flagged; however, no SNPs reported herein were below this cut-off. We successfully imputed an additional 2,486,891 and 2,141,338 SNPs for the IWPC and UAB cohorts, respectively, yielding 3,044,177 and 3,091,345 experimentally determined and imputed autosomal SNPs in each cohort. The distribution of the imputation quality scores for each cohort is shown in Supplementary Figure 1.

The top reported SNP was an imputed SNP (rs12777823); HWE p values for the top SNPs were 1.0 and 0.1728 for the IWPC and UAB cohorts, respectively.

### Global ancestry and covariate selection

To account for population structure, principal components analysis (PCA) was conducted using an LD-pruned ( $r^2 > 0.2$ ) set of 9,131 and 66,445 markers for the UAB and IWPC cohorts, respectively.<sup>10</sup> We used the HapMap release 22 for CEU, Asian (CHB and JPT) and YRI populations as reference populations. The first and second principal components separated these three populations, with the first principal component used as a global estimate of African ancestry. All of the subjects in both the IWPC and UAB cohorts clustered between the HapMap CEU and YRI samples as expected (Supplementary Figure 2). No subject was removed from analysis based on ancestry misspecification.

All covariates collected in each cohort and the first 10 principal components obtained through EIGENSTRAT were tested as single covariates in a PLINK additive model analysis.<sup>10</sup> Mean height and weight by sex were used for subjects missing covariates for the IWPC cohort. The p-values associated with each covariate at each SNP were then averaged. All covariates with a mean p-value (across all SNPs) of less than 0.05 and whose distribution of p-values did not contain values greater than 0.05, were included in the GWAS analysis in both cohorts and meta-analysis.

### Genome-wide association analysis and meta-analysis

For all analyses, the primary phenotype (weekly stable warfarin dose) was log transformed to produce a normal distribution. We excluded all individuals missing data on non-genetic covariates associated with warfarin dose. For both the IWPC and UAB cohorts, GWAS analysis was performed in PLINK with a linear regression additive genetic model, using the covariates shown to be significantly associated with stable warfarin dose. Cohort-specific GWAS results were combined using fixed effects meta-analysis. We pre-specified a genome-wide significance threshold of  $5 \times 10^{-8}$ . Heterogeneity was assessed between cohorts by the Cochran's Q test of heterogeneity, as well as the I<sup>2</sup> heterogeneity index. Following meta-analysis with significantly associated covariates, we also conducted a stepwise conditional meta-analysis in which we conditioned first for *VKORC1*-1639G>A, followed by the composite genotype (expressed as presence or absence of a variant allele) of *CYP2C9*\*2 and *CYP2C9*\*3. We chose a composite allele variable because of the low minor allele frequencies of *CYP2C9*\*2 and \*3 in African Americans. QQ plots and the genomic inflation factors for both these meta-analysis association tests can be found in the supplementary material (Supplementary Figure 3)

Thirteen SNPs were successfully assessed in the replication cohort. Quality control measures, such as HWE genotype call rate and sample call rate, were assessed using the criteria outlined for the metaanalysis. No SNP or sample failed the QC criteria in the replication cohort. Genetic association was tested using PLINK with a linear regression additive genetic model, using the same covariates used in the metaanalysis. We then ran conditional analysis using *VKORC1-1639*G>A and the composite *CYP2C9\*2/\*3* SNP variable.

### Supplemental Table 1. Detailed description of data provided by IWPC sites

Gender	Male, Female or not known = -99		
Race (Reported)	Self-reported information, confirmed by Eiganstrat analysis		
Race (OMB)	Racial categories used are as defined by the Office of Management and Budget (OMB). American Indian or Alaska Native: A person having origins in any of the original peoples of North, Central, or South America, and who maintains tribal affiliations or community attachment. Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. (Note: Individuals from the Philippine Islands have been recorded as Pacific Islanders in previous data collection strategies.) Black or African American: A person having origins in any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black or African American." Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa. Self-reported information		
Ethnicity (Reported)	Self-reported information		
Ethnicity (OMB)	Ethnicity categories used are as defined by the Office of Management and Budget. <i>Hispanic or Latino:</i> A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term "Spanish origin" can also be used in addition to "Hispanic or Latino." or <i>Not Hispanic or Latino</i>		
Country of Origin	Birthplace of Subject		
Age at Time of Consent	Reported in years		
Height (cm)	Reported in centimeters		
Weight (kg)	Reported in kilograms		
Primary Indication for Warfarin Treatment	DVT, PE, Afib/flutter, Heart Valve, Cardiomyopathy/LV Dilation, Stroke, Post- Orthopedic, Other , Combined DVT/PE or NA		
Comorbidities (Disease States)	List of diseases co-occuring in the patient		
Diabetes	yes, not present or not known (NA)		
Congestive Heart Failure and/or Cardiomyopathy	yes, not present or not known (NA)		
Mechanical Valve Replacement	yes, not present or not known (NA)		
Tissue Valve Replacement	yes, not present or not known (NA)		
Which medications were taken when warfarin stable, if any	List of medicines taken		
Aspirin	yes, not present or not known (NA)		
Was Dose of Aspirin Less Than 100	yes, not present or not known (NA)		
Was Dose of Aspirin Greater Than 100 mg/day?	yes, not present or not known (NA)		
Acetaminophen or Paracetamol (Tylenol)	yes, not present or not known (NA)		
Was Dose of Acetaminophen or Paracetamol (Tylenol) >1300mg/day	yes, not present or not known (NA)		
Simvastatin (Zocor)	yes, not present or not known (NA)		
Atorvastatin (Lipitor)	yes, not present or not known (NA)		

Fluvastatin (Lescol)	yes, not present or not known (NA)
Lovastatin (Mevacor)	yes, not present or not known (NA)
Pravastatin (Pravachol)	yes, not present or not known (NA)
Rosuvastatin (Crestor)	yes, not present or not known (NA)
Cerivastatin (Baycol)	yes, not present or not known (NA)
Amiodarone (Cordarone)	yes, not present or not known (NA)
Carbamazepine (Tegretol)	yes, not present or not known (NA)
Phenytoin (Dilantin)	yes, not present or not known (NA)
Rifampin or Rifampicin	yes, not present or not known (NA)
Sulfonamide Antibiotics	Includes Septra, Bactrim, Cotrim and Sulfatrim; yes, not present or not known (NA)
Macrolide Antibiotics	Includes erythromycin, azithromycin, and clarithromycin; yes, not present or not known (NA)
Anti-fungal Azoles	yes, not present or not known (NA)
Herbal Medications, Vitamins, Supplements	Includes garlic, ginseng, danshen, donquai, vitamins, zinc, iron, magnesium, etc. yes, not present or not known (NA)
Herbal Medications	List of herbal medicines taken separated by semi-colons.
Target INR	Target International Normalized Ratio single value or range. NA if not known.
Subject Reached Stable Dose of Warfarin	yes, not present or not known (NA)
Therapeutic Dose of Warfarin	Dose given in milligrams/week as defined by stable dose
INR on Reported Therapeutic Dose of Warfarin	International Normalized Ratio on the Therapeutic Dose of Warfarin Reported Above
Warfarin Dose on Day 1	Total dose given in milligrams/week on the first day
Warfarin Dose on Day 2	Total dose given in milligrams/week on the second day
Warfarin Dose on Day 3	Total dose given in milligrams/week on the third day
INR after the first week	Target International Normalized Ratio after the first week
INR after the first month (4 weeks)	Target International Normalized Ratio after the first month
Time to stability	Number of Days from initiation of warfarin therapy to when the patient has reached the stable, Therapeutic Dose of warfarin as defined above
Major Bleeds (WHO classification)	yes, not present or not known (NA) A bleeding event was assessed as serious if it fulfilled the World Health Organization (WHO) criteria for a serious adverse drug reaction, that is, if it was lethal, life- threatening, permanently disabling, or lead to hospital admission or prolongation of hospital stay.
Minor Bleeds	yes, not present or not known (NA); Any bleed that is not a major bleed.
Number of bleeding events	Number of bleeding events (both major and minor).
Time to first major bleed from initiation of therapy	Number of days to first major bleed from the initiation of warfarin therapy
Dosing Method	manual, computerized, or combination
Ever Smoked	yes, not present or not known (NA)
Current Smoker	yes, not present or not known (NA)
Alcohol intake	yes, not present or not known (NA)
Alcohol Use	If details are available, describe alcohol use.
Cyp2C9 genotypes	Please specify for both alleles: *1, *2, *3, *4, *5, *6, *7, *8, *9, *10, *11, *12, or *13
VKORC1 genotype: 3730 G>A (9041); chr16:31009822(hg18); rs7294	A/A, A/G, G/G or NA

### Supplemental Table 1 (continued). Detailed description of data provided by IWPC sites

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VKORC1 genotype: 2255C>T (7566); chr16:31011297 (hg18); rs2359612	C/C, C/T, T/T or NA
VKORC1 genotype: 1542G>C (6853); chr16:31012010(hg18); rs8050894	C/C, C/G, G/G or NA
VKORC1 genotype: 1173 C>T (6484); chr16:31012379(hg18); rs9934438	C/C, C/T, T/T or NA
VKORC1 genotype: 497T>G (5808); chr16:31013055(hg18); rs2884737	G/G, G/T, T/T or NA
VKORC1 genotype: -1639 G>A (3673); chr16:31015190(hg18); rs9923231	A/A, A/G, G/G or NA
VKORC1 genotype: -4451 C>A (861); Chr16:31018002(hg18); rs17880887	A/A, A/C, C/C or NA

Supplemental Table 1 (continueu). Detaneu uescription of uata provideu by 1991 C sites
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Dosing Method	manual, computerized, or combination
Ever Smoked	yes, not present or not known (NA)
Current Smoker	yes, not present or not known (NA)
Alcohol intake	yes, not present or not known (NA)
Alcohol Use	If details are available, describe alcohol use.
Cyp2C9 genotypes	Please specify for both alleles: *1, *2, *3, *4, *5, *6, *7, *8, *9, *10, *11, *12, or *13
VKORC1 genotype: 3730 G>A (9041); chr16:31009822(hg18); rs7294	A/A, A/G, G/G or NA
VKORC1 genotype: 2255C>T (7566); chr16:31011297 (hg18); rs2359612	C/C, C/T, T/T or NA
VKORC1 genotype: 1542G>C (6853); chr16:31012010(hg18); rs8050894	C/C, C/G, G/G or NA
VKORC1 genotype: 1173 C>T (6484); chr16:31012379(hg18); rs9934438	C/C, C/T, T/T or NA
VKORC1 genotype: 497T>G (5808); chr16:31013055(hg18); rs2884737	G/G, G/T, T/T or NA
VKORC1 genotype: -1639 G>A (3673); chr16:31015190(hg18); rs9923231	A/A, A/G, G/G or NA
VKORC1 genotype: -4451 C>A (861); Chr16:31018002(hg18); rs17880887	A/A, A/C, C/C or NA

## Supplemental Table 2: Consortia site information for the GWAS discovery cohort (UAB and IWPC cohorts)

Group	Number of subjects*	Definition of stable warfarin dose
University of Alabama, Birmingham	206	Stable warfarin dose was defined as the dose (with up to a 10% change) that resulted in a therapeutic INR between 1.8 and 3.2 for at least 3 consecutive clinic visits at least two weeks apart
Vanderbilt University, Nashville, TN	34	Average weekly dose (irrespective of achieved INR) that the patient received during the observation period, excluding the first 28 days after warfarin initiation.
University of Florida, Gainesville, FL	21	A a dose that did not vary by more than 10% between clinic visits. In addition, the INR at each of the 3 visits had to be in the patient's specific goal INR range.
University of Illinois at Chicago, Chicago, IL	122	The same warfarin dose for at least 3 consecutive clinic visits. No INR criteria to define stable dose was used because it was assumed that either the INR was in range at each visit or was not sufficiently out-of-range to elicit a change in dose.
University of Chicago, Chicago, IL	115	The same warfarin dose for at least 3 consecutive clinic visits (minimum of 30 days between first and last visit) that produced an INR within therapeutic range (1.9 to 3.1).
University of California, San Francisco, CA	6	Warfarin dose that lead to the target INR, usually 2-3 on 1 or more occasions over a minimum of 30 days.
Aurora Healthcare	34	Clinician selected patients on stable warfarin dose and two most recent INR measurements (taken over a 5-7 day period) within the patient's specific INR range
Stanford University, Stanford, CA	3	Stability is defined as having 3 or more consecutive warfarin doses at the same value.
University of North Carolina, Chapel Hill, NC	10	Subjects were required to be on warfarin and managed in the warfarin clinic for a minimum of 3 months before entry into the study, and thus dosing had stabilized by the time data collection for the study began. Values reported for warfarin dose are the average over the entire time of participation in the study (average = $20.6$ months).

\* Represents the numbers of participants from each site included in the analysis

### Supplemental Table 3: Consortia site information for the replication cohort

Group	Number of subjects*	Definition of stable warfarin dose
Vanderbilt University, Nashville, TN	145	Individuals were identified with INR values between 2.0 and 3.0 for at least 3 weeks with no out-of-range INRs and two clinical encounters at least 3 weeks apart.
Mount Sinai School of Medicine, New York, NY	24	Dose at which the INR was between 2.0 and 3.0 and at least two consecutive INR measurements, separated by at least 1 week, were within the therapeutic range
University of Illinois at Chicago, Chicago, IL	134	The same warfarin dose for at least 3 consecutive clinic visits. No INR criteria to define stable dose was used because it was assumed that either the INR was in range at each visit or was not sufficiently out-of-range to elicit a change in dose.
University of Chicago, Chicago, IL	57	The same warfarin dose for at least 3 consecutive clinic visits (minimum of 30 days between first and last visit) that produced an INR within therapeutic range (1.9 to 3.1).
University of Alabama, Birmingham AL	80	A dose that did not vary by more than 10% between at least 3 consecutive clinic visits (at least 2 weeks apart) where the INR was maintained within the 1.8-3.2 range.
University of North Carolina, Chapel Hill, NC	6	Subjects were required to be on warfarin and managed in the warfarin clinic for a minimum of 3 months before entry into the study, and thus dosing had stabilized by the time data collection for the study began. Values reported for warfarin dose are the average over the entire time of participation in the study (average = 20.6 months).

\* Represents the numbers of participants from each site included in the analysis

Supplemental Table 4. SNP:	's associated with warfarin d	dose variability in the	e GWAS meta-analysis
from 533 African Americans	s		

SNP	Chrom.	Gene/nearby gene	Mean MAF	p-value
rs9934438	16	VKORC1	0.10	2.08E-09
rs9923231	16	VKORC1	0.10	2.08E-09
rs749671	16	ZNF646	0.10	1.66E-08
rs10871454	16	STX4	0.10	1.67E-08
rs881929	16	ZNF668	0.10	2.00E-08
rs11150604	16	NA	0.10	2.75E-08
rs9939417	16	NA	0.10	4.65E-08
rs889548	16	MYST1	0.13	1.14E-07
rs14235	16	BCKDK	0.13	1.20E-07
rs1549293	16	PRSS8/MYST1	0.13	1.49E-07
rs9925964	16	MYST1	0.13	1.60E-07
rs12597511	16	PRSS8	0.13	1.74E-07
rs2032915	16	NA	0.07	2.91E-07
rs9341106	2	IGFBP2	0.05	3.75E-07
rs1036696	16	FBRS	0.02	5.83E-07
rs1064524	16	ITGAL	0.02	6.06E-07
rs749670	16	ZNF646	0.07	6.85E-07
rs2104162	10	CYP2C9	0.36	8.02E-07
rs12777823	10	CPY2C18	0.26	9.67E-07

Abbreviations: NA, not available; MAF, Minor Allele Frequency; Chrom. Chromosome

Supplemental Table 5: Most significant SNPs in the GWAS meta-analysis after conditioning on *VKORC1* -1639G>A, *CYP2C\*2*, and *CYP2C9\*3*. A total of 13 SNPs that represented each genomic region (shown in italics) were tested in the replication cohort.

Chromosomal region	SNP <sup>a,b</sup>	Gene/nearby gene	Mean MAF	Meta-analysis p-value	Replication cohort p-value
10	rs12777823	CYP2C18	0.26	1.51E-08	5.04E-05
	rs2104162	CYP2C9		9.50E-08	
	rs7085563	CYP2C18		2.86E-07	
	rs12772169	CYP2C18		1.58E-06	
	rs1998591	CYP2C18		2.11E-06	
	rs12772675	CYP2C9		2.11E-06	
	rs4918766	CYP2C9		2.11E-06	
	rs1326837	CYP2C18		9.28E-06	
	rs1010570	CYP2C18		7.98E-06	
1	rs7547797	RGS7	0.11	7.41E-07	0.99
	rs6694752	TTLL7		4.67E-06	
13	rs2987340	KIAA0774	0.06	2.07E-06	UT
	rs2987341	KIAA0774		2.48E-06	
	rs2987338	KIAA0774		6.13E-06	
	rs2987339	KIAA0774		7.54E-06	
	rs4480688	NA		7.68E-06	
4	rs7691841	NA	0.27	2.13E-06	0.37
	rs13132502	NA		2.52E-06	
2	rs10207440	DIRC3	0.19	2.97E-06	0.95
	rs10192115	DIRC3		3.13E-06	
	rs12466055	DIRC3		4.47E-06	
	rs10186413	DIRC3		7.68E-06	
11	rs11216674	IL10RA	0.18	3.19E-06	0.54
18	rs12456105	ZNF532	0.06	3.38E-06	UT
	rs12607707	NA		5.89E-06	
	rs10431083	IL10RA		7.31E-06	
	rs12223288	IL10RA		7.78E-06	
11	rs7951320	GALNTL4	0.21	3.24E-06	0.42
	rs7117593	GALNTL4		6.77E-06	
3	rs6789971	SEC22A	0.07	4.24E-06	0.72
6	rs4945637	MANIAI	0.29	4.94E-06	0.14
	rs1079606	GL01		7.44E-06	
19	rs891036	PEPD	0.04	6.07E-06	0.61
7	rs17647163	AHR	0.02	7.47E-06	0.71
20	rs742446	NA	0.04	8.67E-06	0.93
4	rs6811772	NA	0.12	9,16E-06	0.37
3	rs9848736	NA	0.14	9.86E-06	0.71

Abbreviations: UT, Untyped; NA, not available;

### a. Bold - Targeted SNPs

**b.** Italicized - SNP Typed in the replication cohort.

### **Supplementary Figure Legends**

### Supplemental Figure 1: Imputation quality in each GWAS cohort

Distribution of the R-squares metric of imputation quality for each cohort. Both cohorts were imputed to the phased reference haplotypes from the combined HapMap phase II White (CEU) and African (YRI) descent

### Supplemental Figure 2: Graph of principal components (PC) 1 and 2

Principal component analysis was used to assess/confirm ancestry for both cohorts as they relate to the three HapMap populations (White – CEU, Yuruba – YRI, and Asian – ASN). A) IWPC GWAS and B) UAB GWAS

### Supplemental Figure 3: Q-Q plots for the meta-analysis

- A) Q-Q plot of the meta-analysis with IWPC and UAB cohorts after adjusted for significant nongenetic covariates
- B) Meta-analysis with the IWPC and UAB cohorts adjusted for significant non-genetics covariates and conditioning on *VKORC1*-1639G>A and *CYP2C9\*2* and \*3

### Supplemental Figure 4: Region plot of the meta-analysis SNP associations flanking rs12777823.

The regional plot of chromosome 10 flanking the SNP rs1277823 is shown. The colored dots represent SNPs (both imputed and typed) and their corresponding LD to rs12777823 (shown in purple). The gene annotation for the region can be found below the plot.



IWPC



Supplemental Figure 2: Graph of principal components (PC) 1 and 2 in each cohort A)



### Supplemental Figure 3: Q-Q plots for the meta-analysis



Supplemental Figure 4: Region plot of the meta-analysis SNP associations flanking rs12777823.

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