



**Ozone air pollution and ischemic stroke occurrence:  
identification of a population at risk.**

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6 **Ozone air pollution and ischemic stroke occurrence: identification of a population at**  
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8 **risk.**  
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## Abstract

Objectives: Relationship between low level air pollution and stroke are conflicting. This study was conducted to document the relationship between outdoor air pollution and ischemic stroke occurrence.

Methods: We studied the association between daily levels of outdoor pollutants (ozone (O<sub>3</sub>), nitrogen dioxide (NO<sub>2</sub>), particulate matter (PM<sub>10</sub>) and sulphur dioxide (SO<sub>2</sub>)) and ischemic stroke occurrence (Hospital registry) using a 5 years (2007-2011) time-stratified case-crossover analysis in Nice (France). The short-term effects on stroke occurrence for every 10 µg/m<sup>3</sup> increase in each pollutant was calculated using conditional logistic regression model adjusted for temperature, humidity, flu and holidays.

Results: 1729 ischemic stroke patients were enrolled (mean age: 76.1±14.0 years; men: 46.7%). No significant association was found between stroke occurrence and short term effects of all pollutants tested. In stratified analysis, we observed significant associations only between recurrent (n=280) and large artery ischemic stroke (n=578) onset and short term effect of ozone exposure. For an increase of 10 µg/m<sup>3</sup> of ozone level, recurrent stroke risk (mean D-1, D-2, D-3 lag) was increased by 12.1% (95% CI 1.5 to 23.9) and large artery stroke risk (mean D-3, D-4 lag) was increased by 8.0% (95% CI 2.0 to 16.6). Linear dose-response relationship for both subgroups was found.

Conclusions: Our results confirm the relationship between low level ozone exposure and ischemic stroke in high vascular risk subgroup with linear exposure-response relation, independently of other pollutants and meteorological parameters.

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3 **Strengths and limitations of this study:** Relationship between low level air pollution and  
4 stroke are conflicting. This paper confirm the relationship between low level ozone exposure  
5 and ischemic stroke in high vascular risk subgroup with linear exposure-response relation,  
6 independently of other pollutants and meteorological parameters. PM2.5 was not studied  
7 because not monitored in Nice.  
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## Main Text

### INTRODUCTION

Outdoor air pollution is considered as a major environmental health issue, responsible for an excess of death in the world. It is defined as any undesirable modification of air by substances either toxic or likely to have adverse effects on health. Outdoor air pollutants are known to increase morbidity and mortality of respiratory diseases [1]. However, in the 1950's and 1960's, epidemiological studies of acute severe pollution episodes have also shown an increasing cardiovascular and cerebrovascular mortality risk [2]. A link between acute air pollution and stroke mortality has been reported for the first time in the London fog incident study in December 1952 [2]. In the last decades, the consequences of low-level air pollution on cardiovascular mortality and morbidity have been clearly described [3-6]. By analogy, few studies have examined the role of short-term air pollution on ischemic stroke but actually no conclusion could be generalized [3, 5-24]. The purpose of the present study was to document the relationship between the characteristics of outdoor air pollution and the occurrence of ischemic stroke.

### MATERIALS AND METHODS

#### *Population studied*

We performed a 5 years (2007-2011) case-crossover analysis in Nice, France. We retrospectively enrolled consecutive stroke patients admitted at the University Hospital of Nice between January 2007 and December 2011. Querying French DRG based database (PMSI: Programme de Médicalisation des Systèmes d'Information) with I60 to I69 codes from the International Classification of Diseases (10<sup>th</sup> Revision), we screened all patients

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3 hospitalized for stroke. We filtered the sample to patients living in Nice (geographic area  
4 defined by zip codes: 06000, 06100, 06200 and 06300). The diagnosis of ischemic stroke was  
5 reviewed and confirmed by a panel of neurologists using clinical and radiological data of  
6 medical records. Patients with another diagnosis than stroke were excluded. Demographic  
7 data, vascular risk factors, clinical and radiological characteristics of stroke were also  
8 collected from medical records.  
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### 16 17 *Outdoor air pollution and meteorological data*

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20 Nice is an urban city situated in the south-eastern part of France on the Mediterranean coast.  
21 According to the latest census, Nice has a population of 340 735 in 2009. Its climate is  
22 temperate and qualified as Mediterranean type. Surrounded by hills and mountains (south  
23 Alps), the city of Nice is sheltered from continuous of violent winds. Outdoor air pollution  
24 comes mainly from traffic due to high density of roads and international airport (first one in  
25 France after Paris airports).  
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34 Air pollution data were obtained from the regional agency for air quality monitoring  
35 (AirPACA). Exposure measurements during the study period were carried out in 2 of 13  
36 permanent monitoring stations in study area. Measures ( $\mu\text{g}/\text{m}^3$ ) were performed in urban  
37 station (Cagnes Ladoumègue) for following atmospheric pollutants: particulate matter (PM10)  
38 (Tapered Element Oscillating Microbalance), nitrogen dioxide (NO<sub>2</sub>) (chemiluminescence),  
39 sulphur dioxide (SO<sub>2</sub>) (ultraviolet photometry), and ozone (O<sub>3</sub>) (ultraviolet photometry).  
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41 Missing values were replaced by measures performed by the observational monitoring station  
42 located at Nice Airport. We computed for each pollutant 24-hours average and specifically for  
43 ozone 8-hours daytime periods.  
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3 Daily meteorological data were obtained from the National Meteorological Office of Nice  
4 including temperature (° Celcius) and humidity (%). Moreover, data on influenza epidemics  
5 (weekly count) in region of Provence-Alpes-Cote-d'Azur were obtained from Sentiweb  
6 network.  
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### 11 *Statistical analysis*

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14 Continuous variables were expressed as mean (standard deviation [SD]) or median  
15 (interquartile range [IQR]), and categorical variables as percentages. Spearman correlation  
16 coefficients (r) between air pollutants and atmospheric parameters were calculated. The time-  
17 stratified case-crossover design was used to examine the relationship between short-term  
18 effects of outdoor air pollutants and stroke. In this design, each subject enrolled was his own  
19 control. Case days were defined as the day of stroke. Control days were defined as the same  
20 day of the same stratum as the case day. Study time was stratified by months. Therefore,  
21 explicative variables levels at the case day were compared to levels of the same variables at  
22 control days. This method has the main advantage to control individual factors, the day of the  
23 week, season, time trend [24]. Conditional logistic regression was performed to estimate the  
24 association between short-term effects of each air pollutants measured and stroke onset. Odds  
25 ratio (OR) and 95% confidence intervals (CI) for a 10 µg/m<sup>3</sup> increase of pollutant level were  
26 adjusted for temperature and humidity with 1-day lag, influenza epidemics and holidays  
27 without day lag. The pollutant exposure was tested in models for 1-day lag, 2-day lag, or 3-  
28 day lag. Stratified analyses by subgroups were performed according to age, gender, risk  
29 vascular factors (tobacco use, diabetes mellitus, hypercholesterolemia, hypertension), and  
30 stroke etiological subtypes according the Trial of ORG 10172 in acute stroke treatment  
31 (TOAST). We evaluated dose-response relationships across four exposure levels of pollutant  
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3 studied and the first quartile was used as the reference group. A p-value less than 0.05 was  
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5 considered as significant. The data were analyzed using Stata 10.0 SE software.  
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## 8 RESULTS

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11 During the study period (January 2007 to December 2011), there were 2067 patients living  
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13 Nice and admitted in University Hospital Center for ischemic stroke based on DRG database.  
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15 After neurologists review of medical records, 1729 ischemic stroke patients were enrolled for  
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17 final analysis. 620 (35.9%) of these patients were hospitalized in stroke unit. According to the  
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19 last population census 2009, annual ischemic stroke incidence rates (by 100.000) in studied  
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21 area were respectively from 2007 to 2011: 100, 100, 98, 96 and 112. Mean age was 76.1±14.0  
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23 years, and 46.7% were men (Table 1).  
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27 The distribution of air pollutants and meteorological variables is shown in Table 2. Spearman  
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29 correlation coefficients (r) were ranged from 0.01 to 0.25 between each studied pollutants,  
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31 except between O<sub>3</sub> and NO<sub>2</sub> (r=-0.54). Correlation coefficient between minimal temperature  
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33 and O<sub>3</sub> was r=0.67 (Online only data supplement Table I).  
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37 No significant association was found between stroke occurrence and short term effects of all  
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39 pollutants tested. In addition, we performed stratified subgroup analysis according to gender,  
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41 age by decade, incident/recurrent stroke status, vascular risk factors, presence of atrial  
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43 fibrillation, stroke etiological subgroups. We observed significant associations only between  
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45 recurrent (n=280) and large artery ischemic stroke (n=578) onset and short-term effect of  
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47 ozone with (Table 3). In recurrent stroke subgroup, for an increase of 10 µg/m<sup>3</sup> of ozone level  
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49 (mean D-1, D-2, D-3 lag), stroke risk was significantly increased by 12.1% (95% CI 1.5 to  
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51 23.9). Adjusted OR between ozone exposure (mean D-3, D-4) and large artery stroke  
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53 subgroup was 1.080 (95% CI 1.002 to 1.166). No significant association was observed with  
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3 other pollutants than ozone. Adjusted in two-pollutant models, OR were not affected. Using  
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5 ozone quartiles (1<sup>st</sup> quartile as the reference group), linear dose-response relationship for both  
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7 subgroups was observed (Figure 1). Baseline characteristics in recurrent stroke subgroup and  
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9 large artery stroke subgroup were shown in Figure 2.  
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## 11 12 **DISCUSSION**

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15 Our study assessed the short-term effect of ozone exposure on selected population of ischemic  
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17 stroke in a city specially polluted by ozone. An elevation of 10 µg/m<sup>3</sup> of ozone concentration  
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19 increases stroke risk with few days lag in recurrent (≈12%) and large artery stroke (≈8%)  
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21 subgroups only. Linear dose-response relation was observed systematically in both groups. In  
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23 these groups, the common feature of the patients was that they cumulate vascular risk factors.  
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25 No significant association was found between all ischemic stroke groups and atmospheric  
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27 pollutants studied (O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub> and PM10).  
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32 Several studies have investigated the association between outdoor air pollution and stroke [3,  
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34 5-24]. Results of these studies are conflicting and hamper generalization of conclusions.  
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36 Heterogeneous methodological considerations are the main explanation of this conflict.  
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38 Methodological differences are observed in patient selection, study design, outcomes choice  
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40 (incidence, hospital admission, mortality), and assessment of individual exposure to selected  
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42 pollutants [20]. Few published studies investigated specially the association between  
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44 occurrence of ischemic stroke and ozone exposure using case crossover design [8, 15, 17, 19,  
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46 21] or time series analysis method [3, 12, 16, 22]. Consistent with our results, the majority of  
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48 these studies do not observe relationship between ozone exposure and occurrence of ischemic  
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50 stroke [3, 8, 17, 19, 21, 22]. Whenever relationship was revealed, association was borderline  
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52 significant [16], or not confirmed by a second study on the same area of investigation [15,  
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54 19]. Despite the fact that the link between ischemic stroke and ozone exposure is not obvious,  
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3 results in subgroup analyses seem to identify a population at risk for ozone exposure. In  
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5 recurrent ischemic stroke subgroup, a significant increase of 12.1% (95% CI 1.5 to 23.9) in  
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7 stroke risk was observed for each increase of 10  $\mu\text{g}/\text{m}^3$  of ozone concentration in previous  
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9 days (mean D-1, D-2, D-3 lag). Consistent with this result, population-based study in Dijon  
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11 (France), revealed the same association (OR: 1.150; 95% CI 1.027 to 1.209) with 3 days lag  
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13 [19]. Similarly, a significant association was observed in large artery stroke subgroup (mean  
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15 D-3, D-4, OR: 1.080; 95% CI 1.002 to 1.166). This link was observed in previous study  
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17 (Dijon) especially in this stroke etiological subgroup [15]. Associations in other ischemic  
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19 stroke subgroups are not systematically confirmed (age, gender, vascular risk factors, and  
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21 season) [3, 15, 19, 21, 22]. Our study confirms the short-term effects of ozone exposure on  
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23 stroke patients with high vascular risk [15, 19].  
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28 Our findings suggest that exposure to ozone, main photochemical pollutant, could increase the  
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30 risk of ischemic stroke in population subgroups (recurrent stroke, large arteries stroke)  
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32 particularly exposed to vascular risks factors inducing atherosclerosis. Physiopathological  
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34 pathways linking ischemic stroke and ozone exposure still remain largely unclear and  
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36 probably complex. Some studies support a delayed effect (1 to 3 days lag) between acute  
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38 exposure of ozone pollution and stroke onset [15, 19]. Ozone urban pollution effects on  
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40 healthy subjects are associated with systemic inflammatory responses, oxidative stress, blood  
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42 coagulation [25, 26]. These acute phenomena induced by even low levels of ozone could be  
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44 the trigger of ischemic event consecutively to atherosclerotic plaque instability, alterations in  
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46 endothelial function, and increased coagulation and thrombosis [27]. As suggested by  
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48 Henrotin et al., we hypothesized that short term effect of ozone exposure could be involved  
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50 especially among subjects with high vascular risk [19].  
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3 In order to establish a causal relationship between ozone exposure and stroke onset, we  
4 studied the exposure-response relation, main criteria identified by Hill [28]. Consistent with  
5 previous reports, we show a linear exposure-response relationship between ozone  
6 concentration and ischemic stroke in subgroups identified in previous reports [15, 19].  
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12 Our study has several limitations. The question of exhaustiveness of stroke patients living  
13 Nice in this hospital-based study was considered. In Nice, patients with suspicion of stroke  
14 are admitted in priority in University Hospital Center. Likewise, incidence of ischemic stroke  
15 was consistent with epidemiological data in France. The question of individual exposure  
16 measurement is generally discussed. The main limit is that we used air pollution levels from  
17 air monitoring station to represent individuals' exposure. However, we limited our  
18 investigations in small geographic area (72 km<sup>2</sup>) not considered as a polluted town except for  
19 ozone (median 53.3 [32.6-69.2] µg/m<sup>3</sup>). Moreover, in the stroke population studied, elderly  
20 patients are mostly retired and have daily activity in study area. Since ozone concentration is  
21 correlated with meteorological parameters, temperature and humidity were incorporated into  
22 our models. Association between ozone pollution and stroke can be confounded by other  
23 pollutants studied, especially particles. Effects of ozone alone are not modified using adjusted  
24 models for each of the other pollutants (NO<sub>2</sub>, SO<sub>2</sub> and PM<sub>10</sub>). PM<sub>2.5</sub> was not studied  
25 because not monitored in Nice.  
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#### 44 **SUMMARY**

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47 The consequences of ozone pollution on respiratory system and mortality are well  
48 documented [1]. Our results confirm the relationship between low level ozone exposure and  
49 ischemic stroke in high vascular risk subgroup with linear exposure-response relation,  
50 independently of other pollutants and meteorological parameters. Reproducibility of previous  
51 results is one of the main Hills criterion to induce causality of ozone exposure. Even if the  
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3 individual risk is low, to identify an association between ozone and ischemic stroke incidence  
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5 is important from a public health point of view, since a large population is concerned.  
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7 Physiopathological processes underlying this association between ischemic stroke and ozone  
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9 exposure remain to be investigated.  
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**Figure Legends**

Figure 1: Dose relationship between ozone and ischemic stroke events (1A Recurrent ischemic stroke subgroup, 1B Large artery ischemic stroke subgroup).

Figure 2: Baseline characteristics according recurrent stroke subgroup (A) and large artery stroke subgroup (B) (\*:  $p < .05$ ).

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3 **Tables**  
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	All patients (n=1729)	Incident n=1449 (83.81%)	Recurrent n=280 (16.19%)	p
Demographic data				
Men	808 (46.73%)	683 (47.14%)	125 (44.64%)	0.0044
Age	76.06±14.04	75.48±14.29	79.01±12.33	<0.0001
< 55 years	141 (8.16%)	132 (9.11%)	9 (3.21%)	0.0011
55 to 64 years	186 (10.76%)	155 (10.70%)	31 (11.07%)	0.8532
65 to 74 years	324 (18.74%)	279 (19.25%)	45 (16.07%)	0.2114
75 to 84 years	524 (30.31%)	438 (30.23%)	86 (30.71%)	0.8712
≥ 85 years	554 (32.04%)	445 (30.71%)	109 (38.93%)	0.0071
Cardiovascular risk factors				
Diabetes mellitus	311 (17.99%)	249 (17.18%)	62 (22.14%)	0.0481
Hypertension	998 (57.72%)	803 (55.42%)	195 (69.64%)	<0.0001
Dyslipidemia	441 (25.51%)	348 (24.02%)	93 (33.21%)	0.0012
Current smoker	410 (23.71%)	357 (24.64%)	53 (18.93%)	0.0398
Overweight	226 (13.07%)	204 (14.08%)	22 (7.86%)	0.0047
Coronary artery disease	263 (15.21%)	209 (14.42%)	54 (19.29%)	0.0381
Atrial fibrillation	527 (30.48%)	433 (29.88%)	94 (33.57%)	0.2198
Classification of stroke etiologic subtypes (TOAST)				
Large artery	578 (33.43%)	479 (33.06%)	99 (35.36%)	0.4552
Cardioembolic	563 (32.56%)	469 (32.37%)	94 (33.57%)	0.6938
Lacunar stroke	153 (8.85%)	129 (8.90%)	24 (8.57%)	0.8582
Other determined etiology	43 (2.49%)	40 (2.76%)	3 (1.07%)	0.0966
Undetermined etiology	392 (22.67%)	332 (22.91%)	60 (21.43%)	0.5872
Hospitalization in stroke unit	620 (35.86%)	546 (37.68%)	74 (26.43%)	0.0003

54 **Table 1: Baseline characteristics of patients with ischemic stroke (incident and**  
55 **recurrent) hospitalized in Nice University Hospital from 2007 to 2011.**

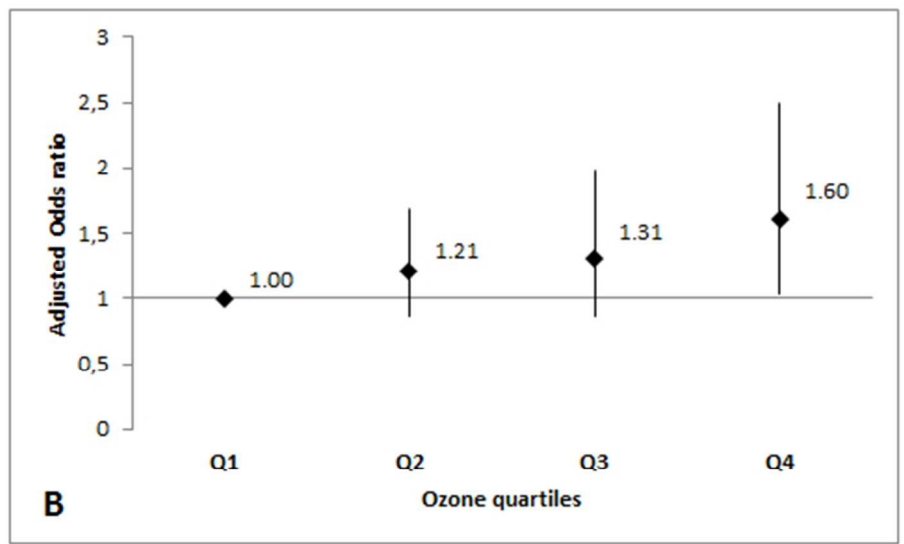
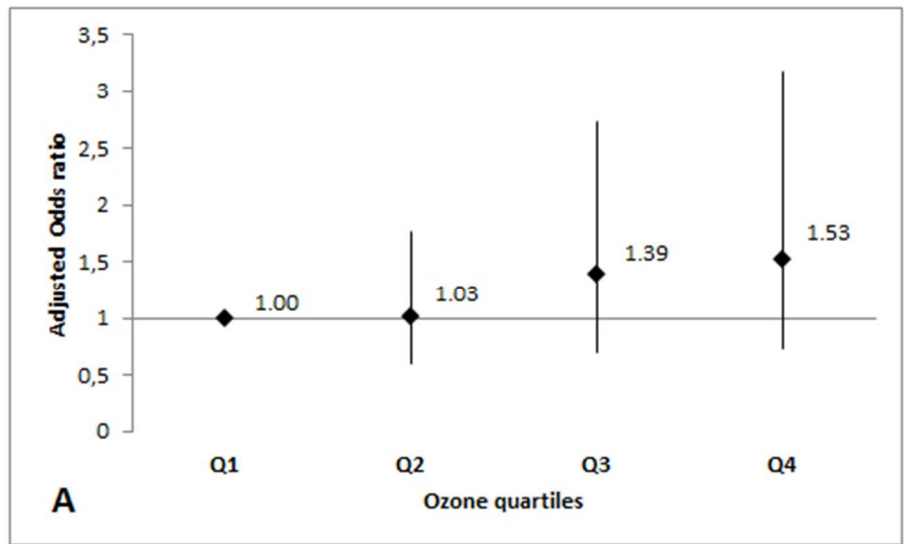
	Mean	SD	Minimum	Quartile 1	Median	Quartile 3	Maximum
Ozone daily 8-hours average ( $\mu\text{g}/\text{m}^3$ )	80.74	31.78	4.63	54.75	84.38	105.38	157.27
Ozone daily 1-hour maximum ( $\mu\text{g}/\text{m}^3$ )	92.37	31.32	7.00	69.00	94.00	115.00	197.00
Ozone 24-hours average ( $\mu\text{g}/\text{m}^3$ )	52.20	22.89	4.00	32.58	53.29	69.02	111.13
PM10 ( $\mu\text{g}/\text{m}^3$ )	28.48	9.81	1.00	22.00	28.00	34.00	74.00
NO <sub>2</sub> ( $\mu\text{g}/\text{m}^3$ )	26.22	8.69	3.00	20.00	25.00	32.00	59.00
SO <sub>2</sub> ( $\mu\text{g}/\text{m}^3$ )	1.23	1.18	0.00	0.00	1.00	2.00	10.00
Minimum temperature ( $^{\circ}\text{C}$ )	13.02	5.98	-1.60	7.80	12.90	18.20	25.90
Maximum humidity (%)	81.40	9.07	40.00	76.00	83.00	88.00	97.00

**Table 2: Distribution of air pollution concentrations and meteorological parameters in Nice (France) between 2007 to 2012.**

			All ischemic stroke (n=1729)			Recurrent stroke (n=280)			Large artery stroke (n=578)		
			Ora	95% IC	p	Ora	95% IC	p	Ora	95% IC	p
Ozone	D-1	8h average	0.9917	0.9584 to 1.0261	0.633	1.0899	1.0009 to 1.1867	0.047	0.9697	0.9119 to 1.0310	0.326
		1h maximum	0.9957	0.9644 to 1.0281	0.795	1.0641	0.9824 to 1.1527	0.127	0.9881	0.9334 to 1.0460	0.682
		24h average	1.0036	0.9578 to 1.0517	0.877	1.0793	0.9616 to 1.2115	0.195	0.9983	0.9190 to 1.0843	0.968
	D-2	8h average	0.9976	0.9657 to 1.0306	0.888	1.0957	1.0086 to 1.1903	0.030	0.9903	0.9347 to 1.0491	0.741
		1h maximum	1.0040	0.9738 to 1.0351	0.795	1.0955	1.0144 to 1.1831	0.020	0.9979	0.9457 to 1.0529	0.940
		24h average	1.0015	0.9598 to 1.0451	0.942	1.0638	0.9587 to 1.1804	0.244	1.0097	0.9365 to 1.0885	0.801
	D-3	8h average	0.9987	0.9670 to 1.0314	0.939	1.0601	0.9784 to 1.1487	0.154	1.0261	0.9703 to 1.0852	0.366
		1h maximum	0.9968	0.9671 to 1.0273	0.836	1.0380	0.9635 to 1.1184	0.326	1.0254	0.9728 to 1.0808	0.349
		24h average	1.0046	0.9644 to 1.0466	0.822	1.0838	0.9788 to 1.2000	0.122	1.0519	0.9802 to 1.1289	0.160
D-4	8h average	1.0067	0.9751 to 1.0393	0.681	1.0169	0.9395 to 1.1006	0.678	1.0359	0.9808 to 1.0941	0.205	
	1h maximum	0.9978	0.9684 to 1.0280	0.887	1.0038	0.9321 to 1.0811	0.918	1.0290	0.9777 to 1.0829	0.272	
	24h average	1.0114	0.9711 to 1.0534	0.583	1.0248	0.9260 to 1.1342	0.635	1.0787	1.0065 to 1.1561	0.032	
PM10	D-1		1.0143	0.9518 to 1.0806	0.659	1.0041	0.5586 to 1.7995	0.989	1.0347	0.9282 to 1.1527	0.536
	D-2		0.9861	0.9238 to 1.0523	0.674	0.9518	0.8106 to 1.1167	0.545	0.9350	0.8349 to 1.0464	0.242
	D-3		0.9788	0.9203 to 1.0405	0.493	1.0047	0.8532 to 1.182	0.955	0.9436	0.8475 to 1.0501	0.288
	D-4		0.9780	0.9202 to 1.0391	0.473	0.9911	0.8520 to 1.152	0.908	0.9544	0.8572 to 1.0620	0.392
NO2	D-1		1.0307	0.9367 to 1.1336	0.533	0.8960	0.7689 to 1.0434	0.158	1.0293	0.8699 to 1.2169	0.735
	D-2		0.9931	0.9029 to 1.0918	0.887	0.9427	0.7403 to 1.1991	0.631	1.0494	0.8894 to 1.2372	0.565
	D-3		0.9462	0.8607 to 1.0396	0.250	1.1262	0.8767 to 1.4449	0.349	0.9147	0.7743 to 1.0796	0.292
	D-4		0.9462	0.8607 to 1.0396	0.250	0.8931	0.7047 to 1.1306	0.348	0.9147	0.7743 to 1.0796	0.292
SO2	D-1		1.0069	0.5986 to 1.6893	0.979	0.653	0.164 to 2.5822	0.544	1.0789	0.4507 to 2.5712	0.864
	D-2		0.8763	0.5138 to 1.4905	0.626	0.8916	0.2525 to 3.1284	0.858	1.1784	0.4790 to 2.8858	0.719
	D-3		1.2539	0.7405 to 2.1174	0.397	0.7231	0.1983 to 2.6188	0.622	0.9351	0.3630 to 2.3973	0.889
	D-4		1.4852	0.8956 to 2.4567	0.123	1.3587	0.3735 to 4.9101	0.640	1.6564	0.7140 to 3.8260	0.237

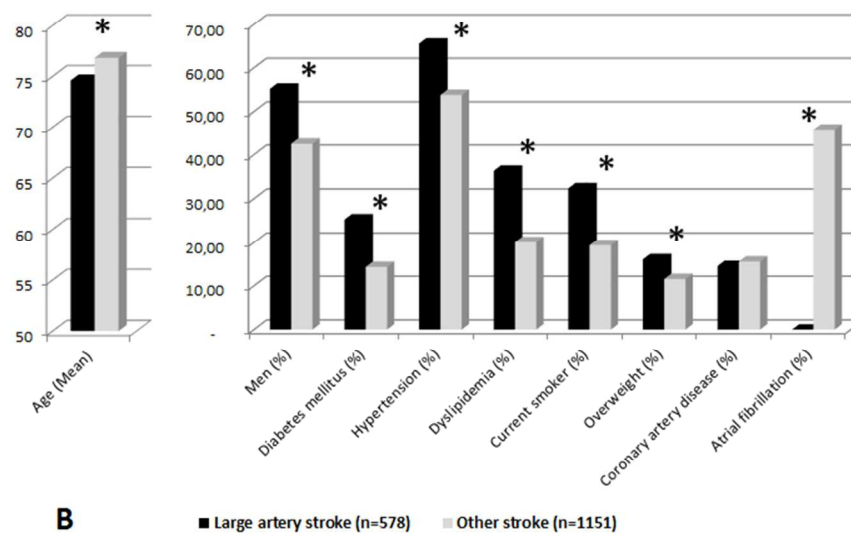
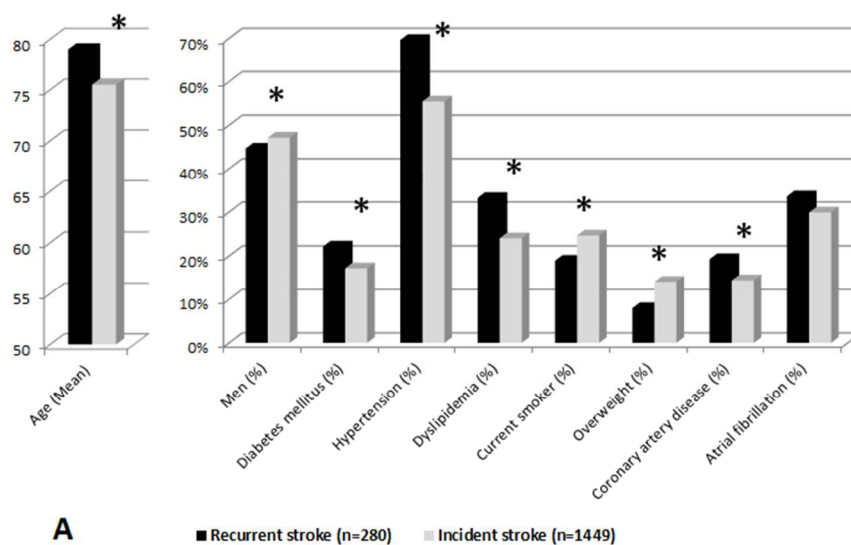
**Table 3: Adjusted odds ratios between ischemic stroke and outdoor pollutants exposure for an increase of 10 µg/m<sup>3</sup> in Nice (France) between 2007 to 2011.**

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Dose relationship between ozone and ischemic stroke events (1A Recurrent ischemic stroke subgroup, 1B Large artery ischemic stroke subgroup).  
45x54mm (300 x 300 DPI)





Baseline characteristics according recurrent stroke subgroup (A) and large artery stroke subgroup (B) (\*: p<.05).

62x81mm (300 x 300 DPI)

	Ozone (8h average)	Ozone (1h maximum)	Ozone (24h average)	PM10	NO2	SO2	Temperature (minimum)	Humidity (Maximum)
Ozone (8h average)	1.000							
Ozone (1h maximum)	0.980	1.000						
Ozone (24h average)	0.954	0.927	1.000					
PM10	0.100	0.140	0.009	1.000				
NO2	-0.472	-0.421	-0.538	0.251	1.000			
SO2	-0.075	-0.068	-0.069	0.109	0.148	1.000		
Temperature (minimum)	0.680	0.672	0.670	0.094	-0.535	-0.096	1.000	
Humidity (maximum)	-0.068	-0.072	-0.099	-0.064	-0.122	-0.010	0.085	1.000

**Data supplement Table I: Spearman correlation between air pollutant level and meteorological variables.**



**Ozone air pollution and ischemic stroke occurrence: a case-crossover study in Nice, France.**

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3 **Title page**  
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5 **Ozone air pollution and ischemic stroke occurrence: a case-crossover study in Nice,**  
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7  
8 **France.**  
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## Abstract

Objectives: Relationship between low level air pollution and stroke are conflicting. This study was conducted to document the relationship between outdoor air pollution and ischemic stroke occurrence.

Methods: We studied the association between daily levels of outdoor pollutants (ozone (O<sub>3</sub>), nitrogen dioxide (NO<sub>2</sub>), particulate matter (PM<sub>10</sub>) and sulphur dioxide (SO<sub>2</sub>)) and ischemic stroke occurrence (Hospital registry) using a 5 years (2007-2011) time-stratified case-crossover analysis in Nice (France). The short-term effects on stroke occurrence for every 10 µg/m<sup>3</sup> increase in each pollutant was calculated using conditional logistic regression model adjusted for temperature, humidity, flu and holidays.

Results: We enrolled 1729 ischemic stroke patients (mean age: 76.1±14.0 years; men: 46.7%). No significant association was found between stroke occurrence and short term effects of all pollutants tested. In stratified analysis, we observed significant associations only between recurrent (n=280) and large artery ischemic stroke (n=578) onset and short term effect of ozone exposure. For an increase of 10 µg/m<sup>3</sup> of ozone level, recurrent stroke risk (mean D-1, D-2, D-3 lag) was increased by 12.1% (95% CI 1.5 to 23.9) and large artery stroke risk (mean D-3, D-4 lag) was increased by 8.0% (95% CI 2.0 to 16.6). Linear dose-response relationship for both subgroups was found.

Conclusions: Our results confirm the relationship between low level ozone exposure and ischemic stroke in high vascular risk subgroup with linear exposure-response relation, independently of other pollutants and meteorological parameters.

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3 **Strengths and limitations of this study:** Relationship between low level air pollution and  
4 stroke are conflicting. This paper confirm the relationship between low level ozone exposure  
5 and ischemic stroke in high vascular risk subgroup with linear exposure-response relation,  
6 independently of other pollutants and meteorological parameters. PM2.5 was not studied  
7 because not monitored in Nice.  
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## Main Text

### INTRODUCTION

Outdoor air pollution is considered as a major environmental health issue, responsible for an excess of death in the world. It is defined as any undesirable modification of air by substances either toxic or likely to have adverse effects on health. Outdoor air pollutants are known to increase morbidity and mortality of respiratory diseases [1]. However, in the 1950's and 1960's, epidemiological studies of acute severe pollution episodes have also shown an increasing cardiovascular and cerebrovascular mortality risk [2]. A link between acute air pollution and stroke mortality has been reported for the first time in the London fog incident study in December 1952 [2]. In the last decades, the consequences of low-level air pollution on cardiovascular mortality and morbidity have been clearly described [3-6]. By analogy, few studies have examined the role of short-term air pollution on ischemic stroke but actually no conclusion could be generalized [3, 5-24]. The purpose of the present study was to document the relationship between the characteristics of outdoor air pollution and the occurrence of ischemic stroke.

### MATERIALS AND METHODS

#### *Population studied*

We performed a 5 years (2007-2011) case-crossover analysis in Nice, France. We retrospectively enrolled consecutive stroke patients admitted at the University Hospital of Nice between January 2007 and December 2011. Querying French DRG based database (PMSI: Programme de Médicalisation des Systèmes d'Information) with I60 to I69 codes from the International Classification of Diseases (10<sup>th</sup> Revision), we screened all patients

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3 hospitalized for stroke. We filtered the sample to patients living in Nice (geographic area  
4 defined by zip codes: 06000, 06100, 06200 and 06300). The diagnosis of ischemic stroke was  
5 reviewed and confirmed by a panel of neurologists using clinical and radiological data of  
6  
7 medical records. Patients with another diagnosis than stroke were excluded. Demographic  
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9 data, vascular risk factors (WHO definitions), clinical and radiological characteristics of  
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11 stroke were also collected from medical records.  
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### 15 16 17 ***Outdoor air pollution and meteorological data*** 18

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20 Nice is an urban city situated in the south-eastern part of France on the Mediterranean coast.  
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22 According to the latest census, Nice has a population of 340 735 in 2009. Its climate is  
23  
24 temperate and qualified as Mediterranean type. Surrounded by hills and mountains (south  
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26 Alps), the city of Nice is sheltered from continuous of violent winds. Outdoor air pollution  
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28 comes mainly from traffic due to high density of roads and international airport (first one in  
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30 France after Paris airports).  
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34 Air pollution data were obtained from the regional agency for air quality monitoring  
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36 (AirPACA). Exposure measurements during the study period were carried out in 2 of 13  
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38 permanent monitoring stations in study area. Measures ( $\mu\text{g}/\text{m}^3$ ) were performed in urban  
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40 station (Cagnes Ladoumègue) for following atmospheric pollutants: particulate matter (PM10)  
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42 (Tapered Element Oscillating Microbalance), nitrogen dioxide (NO<sub>2</sub>) (chemiluminescence),  
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44 sulphur dioxide (SO<sub>2</sub>) (ultraviolet photometry), and ozone (O<sub>3</sub>) (ultraviolet photometry).  
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46 Missing values were replaced by measures performed by the observational monitoring station  
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48 located at Nice Airport. We computed for each pollutant 24-hours average and specifically for  
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50 ozone 8-hours daytime periods.  
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3 Daily meteorological data were obtained from the National Meteorological Office of Nice  
4 including temperature (° Celcius) and humidity (%). Moreover, data on influenza epidemics  
5 (weekly count) in region of Provence-Alpes-Cote-d'Azur were obtained from Sentiweb  
6 network.  
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### 11 *Statistical analysis*

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14 Continuous variables were expressed as mean (standard deviation [SD]) or median  
15 (interquartile range [IQR]), and categorical variables as percentages. Spearman correlation  
16 coefficients (r) between air pollutants and atmospheric parameters were calculated. The time-  
17 stratified case-crossover design was used to examine the relationship between short-term  
18 effects of outdoor air pollutants and stroke. In this design, each subject enrolled was his own  
19 control. Case days were defined as the day of stroke. Control days were defined as the same  
20 day of the same stratum as the case day. Study time was stratified by months. Therefore,  
21 explicative variables levels at the case day were compared to levels of the same variables at  
22 control days. This method has the main advantage to control individual factors, the day of the  
23 week, season, time trend [24]. Conditional logistic regression was performed to estimate the  
24 association between short-term effects of each air pollutants measured and stroke onset. Odds  
25 ratio v (OR) and 95% confidence intervals (CI) for a 10 µg/m<sup>3</sup> increase of pollutant level were  
26 adjusted for temperature and humidity with 1-day lag, influenza epidemics and holidays  
27 without day lag. The pollutant exposure was tested in models for 1-day lag, 2-day lag, or 3-  
28 day lag. Stratified analyses by subgroups were performed according to age, gender, risk  
29 vascular factors (tobacco use, diabetes mellitus, hypercholesterolemia, hypertension), and  
30 stroke etiological subtypes according the Trial of ORG 10172 in acute stroke treatment  
31 (TOAST). We evaluated dose-response relationships across four exposure levels of pollutant  
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3 studied and the first quartile was used as the reference group. A p-value less than 0.05 was  
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5 considered as significant. The data were analyzed using Stata 10.0 SE software.  
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## 8 RESULTS

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11 During the study period (January 2007 to December 2011), there were 2067 patients living  
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13 Nice and admitted in University Hospital Center for ischemic stroke based on DRG database.  
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15 After neurologists review of medical records, 1729 ischemic stroke patients were enrolled for  
16  
17 final analysis. 620 (35.9%) of these patients were hospitalized in stroke unit. According to the  
18  
19 last population census 2009, annual ischemic stroke incidence rates (by 100.000) in studied  
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21 area were respectively from 2007 to 2011: 100, 100, 98, 96 and 112. Mean age was 76.1±14.0  
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23 years, and 46.7% were men (Table 1).  
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26  
27 The distribution of air pollutants and meteorological variables is shown in Table 2. Spearman  
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29 correlation coefficients (r) were ranged from 0.01 to 0.25 between each studied pollutants,  
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31 except between O<sub>3</sub> and NO<sub>2</sub> (r=-0.54). Correlation coefficient between minimal temperature  
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33 and O<sub>3</sub> was r=0.67 (Online only data supplement Table I).  
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36  
37 No significant association was found between stroke occurrence and short term effects of all  
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39 pollutants tested. In addition, we performed stratified subgroup analysis according to gender,  
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41 age by decade, incident/recurrent stroke status, vascular risk factors, presence of atrial  
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43 fibrillation, stroke etiological subgroups. We observed significant associations only between  
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45 recurrent (n=280) and large artery ischemic stroke (n=578) onset and short-term effect of  
46  
47 ozone with (Table 3). In recurrent stroke subgroup, for an increase of 10 µg/m<sup>3</sup> of ozone level  
48  
49 (mean D-1, D-2, D-3 lag), stroke risk was significantly increased by 12.1% (95% CI 1.5 to  
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51 23.9). Adjusted OR between ozone exposure (mean D-3, D-4) and large artery stroke  
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53 subgroup was 1.080 (95% CI 1.002 to 1.166). No significant association was observed with  
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3 other pollutants than ozone. Adjusted in two-pollutant models, OR were not affected. Using  
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5 ozone quartiles (1<sup>st</sup> quartile as the reference group), linear dose-response relationship for both  
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7 subgroups was observed (Figure 1). Baseline characteristics in recurrent stroke subgroup and  
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9 large artery stroke subgroup were shown in Figure 2.  
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## 11 12 **DISCUSSION**

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15 Our study assessed the short-term effect of ozone exposure on selected population of ischemic  
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17 stroke in a city specially polluted by ozone. An elevation of 10  $\mu\text{g}/\text{m}^3$  of ozone concentration  
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19 increases stroke risk with few days lag in recurrent ( $\approx 12\%$ ) and large artery stroke ( $\approx 8\%$ )  
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21 subgroups only. Linear dose-response relation was observed systematically in both groups. In  
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23 these groups, the common feature of the patients was that they cumulate vascular risk factors.  
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25 No significant association was found between all ischemic stroke groups and atmospheric  
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27 pollutants studied ( $\text{O}_3$ ,  $\text{NO}_2$ ,  $\text{SO}_2$  and  $\text{PM}_{10}$ ).  
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32 Several studies have investigated the association between outdoor air pollution and stroke [3,  
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34 5-24]. Results of these studies are conflicting and hamper generalization of conclusions.  
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36 Heterogeneous methodological considerations are the main explanation of this conflict.  
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38 Methodological differences are observed in patient selection, study design, outcomes choice  
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40 (incidence, hospital admission, mortality), and assessment of individual exposure to selected  
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42 pollutants [20]. Few published studies investigated specially the association between  
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44 occurrence of ischemic stroke and ozone exposure using case crossover design [8, 15, 17, 19,  
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46 21] or time series analysis method [3, 12, 16, 22]. Consistent with our results, the majority of  
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48 these studies do not observe relationship between ozone exposure and occurrence of ischemic  
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50 stroke [3, 8, 17, 19, 21, 22]. Whenever relationship was revealed, association was borderline  
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52 significant [16], or not confirmed by a second study on the same area of investigation [15,  
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54 19]. Despite the fact that the link between ischemic stroke and ozone exposure is not obvious,  
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3 results in subgroup analyses seem to identify a population at risk for ozone exposure. In  
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5 recurrent ischemic stroke subgroup, a significant increase of 12.1% (95% CI 1.5 to 23.9) in  
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7 stroke risk was observed for each increase of 10  $\mu\text{g}/\text{m}^3$  of ozone concentration in previous  
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9 days (mean D-1, D-2, D-3 lag). Consistent with this result, population-based study in Dijon  
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11 (France), revealed the same association (OR: 1.150; 95% CI 1.027 to 1.209) with 3 days lag  
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13 [19]. Similarly, a significant association was observed in large artery stroke subgroup (mean  
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15 D-3, D-4, OR: 1.080; 95% CI 1.002 to 1.166). This link was observed in previous study  
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17 (Dijon) especially in this stroke etiological subgroup [15]. Associations in other ischemic  
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19 stroke subgroups are not systematically confirmed (age, gender, vascular risk factors, and  
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21 season) [3, 15, 19, 21, 22]. Our study confirms the short-term effects of ozone exposure on  
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23 stroke patients with high vascular risk [15, 19].  
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28 Our findings suggest that exposure to ozone, main photochemical pollutant, could increase the  
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30 risk of ischemic stroke in population subgroups (recurrent stroke, large arteries stroke)  
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32 particularly exposed to vascular risks factors inducing atherosclerosis. Physiopathological  
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34 pathways linking ischemic stroke and ozone exposure still remain largely unclear and  
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36 probably complex. Some studies support a delayed effect (1 to 3 days lag) between acute  
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38 exposure of ozone pollution and stroke onset [15, 19]. Ozone urban pollution effects on  
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40 healthy subjects are associated with systemic inflammatory responses, oxidative stress, blood  
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42 coagulation [25, 26]. These acute phenomena induced by even low levels of ozone could be  
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44 the trigger of ischemic event consecutively to atherosclerotic plaque instability, alterations in  
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46 endothelial function, and increased coagulation and thrombosis [27]. As suggested by  
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48 Henrotin et al., we hypothesized that short term effect of ozone exposure could be involved  
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50 especially among subjects with high vascular risk [19].  
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3 In order to establish a causal relationship between ozone exposure and stroke onset, we  
4 studied the exposure-response relation, main criteria identified by Hill [28]. Consistent with  
5 previous reports, we show a linear exposure-response relationship between ozone  
6 concentration and ischemic stroke in subgroups identified in previous reports [15, 19].  
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12 Our study has several limitations. The question of completeness of stroke patients living Nice  
13 in this hospital-based study was discussed. In Nice, patients with suspicion of stroke are  
14 admitted in priority in University Hospital Center. Likewise, incidence of ischemic stroke was  
15 consistent with epidemiological data in France. The question of individual exposure  
16 measurement is generally discussed. The main limit is that we used air pollution levels from  
17 air monitoring station to represent individuals' exposure. However, we limited our  
18 investigations in small geographic area (72 km<sup>2</sup>) not considered as a polluted town except for  
19 ozone (median 53.3 [32.6-69.2] µg/m<sup>3</sup>). Moreover, in the stroke population studied, elderly  
20 patients are mostly retired and have daily activity in study area. Since ozone concentration is  
21 correlated with meteorological parameters, temperature and humidity were incorporated into  
22 our models. Association between ozone pollution and stroke can be confounded by other  
23 pollutants studied, especially particles. Effects of ozone alone are not modified using adjusted  
24 models for each of the other pollutants (NO<sub>2</sub>, SO<sub>2</sub> and PM<sub>10</sub>). PM<sub>2.5</sub> was not studied  
25 because not monitored in Nice.  
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#### 44 **SUMMARY**

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47 The consequences of ozone pollution on respiratory system and mortality are well  
48 documented [1]. Our results confirm the relationship between low level ozone exposure and  
49 ischemic stroke in high vascular risk subgroup with linear exposure-response relation,  
50 independently of other pollutants and meteorological parameters. Reproducibility of previous  
51 results is one of the main Hills criterion to induce causality of ozone exposure. Even if the  
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3 individual risk is low, to identify an association between ozone and ischemic stroke incidence  
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5 is important from a public health point of view, since a large population is concerned.  
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7 Physiopathological processes underlying this association between ischemic stroke and ozone  
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9 exposure remain to be investigated.  
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None.

**Contributorship Statement**

All authors have contributed to:

- 1) substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data;
- 2) drafting the article or revising it critically for important intellectual content; and
- 3) final approval of the version to be published.

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**Figure Legends**

Figure 1: Dose relationship between ozone and ischemic stroke events (1A Recurrent ischemic stroke subgroup, 1B Large artery ischemic stroke subgroup).

Figure 2: Baseline characteristics according recurrent stroke subgroup (A) and large artery stroke subgroup (B) (\*:  $p < .05$ ).

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## Tables

	All patients (n=1729)	Incident n=1449 (83.81%)	Recurrent n=280 (16.19%)	p
Demographic data				
Men	808 (46.73%)	683 (47.14%)	125 (44.64%)	0.0044
Age	76.06±14.04	75.48±14.29	79.01±12.33	<0.0001
< 55 years	141 (8.16%)	132 (9.11%)	9 (3.21%)	0.0011
55 to 64 years	186 (10.76%)	155 (10.70%)	31 (11.07%)	0.8532
65 to 74 years	324 (18.74%)	279 (19.25%)	45 (16.07%)	0.2114
75 to 84 years	524 (30.31%)	438 (30.23%)	86 (30.71%)	0.8712
≥ 85 years	554 (32.04%)	445 (30.71%)	109 (38.93%)	0.0071
Cardiovascular risk factors				
Diabetes mellitus	311 (17.99%)	249 (17.18%)	62 (22.14%)	0.0481
Hypertension	998 (57.72%)	803 (55.42%)	195 (69.64%)	<0.0001
Dyslipidemia	441 (25.51%)	348 (24.02%)	93 (33.21%)	0.0012
Current smoker	410 (23.71%)	357 (24.64%)	53 (18.93%)	0.0398
Overweight	226 (13.07%)	204 (14.08%)	22 (7.86%)	0.0047
Coronary artery disease	263 (15.21%)	209 (14.42%)	54 (19.29%)	0.0381
Atrial fibrillation	527 (30.48%)	433 (29.88%)	94 (33.57%)	0.2198
Classification of stroke etiologic subtypes (TOAST)				
Large artery	578 (33.43%)	479 (33.06%)	99 (35.36%)	0.4552
Cardioembolic	563 (32.56%)	469 (32.37%)	94 (33.57%)	0.6938
Lacunar stroke	153 (8.85%)	129 (8.90%)	24 (8.57%)	0.8582
Other determined etiology	43 (2.49%)	40 (2.76%)	3 (1.07%)	0.0966
Undetermined etiology	392 (22.67%)	332 (22.91%)	60 (21.43%)	0.5872
Hospitalization in stroke unit	620 (35.86%)	546 (37.68%)	74 (26.43%)	0.0003

**Table 1: Baseline characteristics of patients with ischemic stroke (incident and recurrent) hospitalized in Nice University Hospital from 2007 to 2011.**

	Mean	SD	Minimum	Quartile 1	Median	Quartile 3	Maximum
Ozone daily 8-hours average ( $\mu\text{g}/\text{m}^3$ )	80.74	31.78	4.63	54.75	84.38	105.38	157.27
Ozone daily 1-hour maximum ( $\mu\text{g}/\text{m}^3$ )	92.37	31.32	7.00	69.00	94.00	115.00	197.00
Ozone 24-hours average ( $\mu\text{g}/\text{m}^3$ )	52.20	22.89	4.00	32.58	53.29	69.02	111.13
PM10 ( $\mu\text{g}/\text{m}^3$ )	28.48	9.81	1.00	22.00	28.00	34.00	74.00
NO <sub>2</sub> ( $\mu\text{g}/\text{m}^3$ )	26.22	8.69	3.00	20.00	25.00	32.00	59.00
SO <sub>2</sub> ( $\mu\text{g}/\text{m}^3$ )	1.23	1.18	0.00	0.00	1.00	2.00	10.00
Minimum temperature ( $^{\circ}\text{C}$ )	13.02	5.98	-1.60	7.80	12.90	18.20	25.90
Maximum humidity (%)	81.40	9.07	40.00	76.00	83.00	88.00	97.00

**Table 2: Distribution of air pollution concentrations and meteorological parameters in Nice (France) between 2007 to 2012.**

			All ischemic stroke (n=1729)			Recurrent stroke (n=280)			Large artery stroke (n=578)		
			Ora	95% IC	p	Ora	95% IC	p	Ora	95% IC	p
Ozone	D-1	8h average	0.9917	0.9584 to 1.0261	0.633	1.0899	1.0009 to 1.1867	0.047	0.9697	0.9119 to 1.0310	0.326
		1h maximum	0.9957	0.9644 to 1.0281	0.795	1.0641	0.9824 to 1.1527	0.127	0.9881	0.9334 to 1.0460	0.682
		24h average	1.0036	0.9578 to 1.0517	0.877	1.0793	0.9616 to 1.2115	0.195	0.9983	0.9190 to 1.0843	0.968
	D-2	8h average	0.9976	0.9657 to 1.0306	0.888	1.0957	1.0086 to 1.1903	0.030	0.9903	0.9347 to 1.0491	0.741
		1h maximum	1.0040	0.9738 to 1.0351	0.795	1.0955	1.0144 to 1.1831	0.020	0.9979	0.9457 to 1.0529	0.940
		24h average	1.0015	0.9598 to 1.0451	0.942	1.0638	0.9587 to 1.1804	0.244	1.0097	0.9365 to 1.0885	0.801
	D-3	8h average	0.9987	0.9670 to 1.0314	0.939	1.0601	0.9784 to 1.1487	0.154	1.0261	0.9703 to 1.0852	0.366
		1h maximum	0.9968	0.9671 to 1.0273	0.836	1.0380	0.9635 to 1.1184	0.326	1.0254	0.9728 to 1.0808	0.349
		24h average	1.0046	0.9644 to 1.0466	0.822	1.0838	0.9788 to 1.2000	0.122	1.0519	0.9802 to 1.1289	0.160
D-4	8h average	1.0067	0.9751 to 1.0393	0.681	1.0169	0.9395 to 1.1006	0.678	1.0359	0.9808 to 1.0941	0.205	
	1h maximum	0.9978	0.9684 to 1.0280	0.887	1.0038	0.9321 to 1.0811	0.918	1.0290	0.9777 to 1.0829	0.272	
	24h average	1.0114	0.9711 to 1.0534	0.583	1.0248	0.9260 to 1.1342	0.635	1.0787	1.0065 to 1.1561	0.032	
PM10	D-1		1.0143	0.9518 to 1.0806	0.659	1.0041	0.5586 to 1.7995	0.989	1.0347	0.9282 to 1.1527	0.536
	D-2		0.9861	0.9238 to 1.0523	0.674	0.9518	0.8106 to 1.1167	0.545	0.9350	0.8349 to 1.0464	0.242
	D-3		0.9788	0.9203 to 1.0405	0.493	1.0047	0.8532 to 1.182	0.955	0.9436	0.8475 to 1.0501	0.288
	D-4		0.9780	0.9202 to 1.0391	0.473	0.9911	0.8520 to 1.152	0.908	0.9544	0.8572 to 1.0620	0.392
NO2	D-1		1.0307	0.9367 to 1.1336	0.533	0.8960	0.7689 to 1.0434	0.158	1.0293	0.8699 to 1.2169	0.735
	D-2		0.9931	0.9029 to 1.0918	0.887	0.9427	0.7403 to 1.1991	0.631	1.0494	0.8894 to 1.2372	0.565
	D-3		0.9462	0.8607 to 1.0396	0.250	1.1262	0.8767 to 1.4449	0.349	0.9147	0.7743 to 1.0796	0.292
	D-4		0.9462	0.8607 to 1.0396	0.250	0.8931	0.7047 to 1.1306	0.348	0.9147	0.7743 to 1.0796	0.292
SO2	D-1		1.0069	0.5986 to 1.6893	0.979	0.653	0.164 to 2.5822	0.544	1.0789	0.4507 to 2.5712	0.864
	D-2		0.8763	0.5138 to 1.4905	0.626	0.8916	0.2525 to 3.1284	0.858	1.1784	0.4790 to 2.8858	0.719
	D-3		1.2539	0.7405 to 2.1174	0.397	0.7231	0.1983 to 2.6188	0.622	0.9351	0.3630 to 2.3973	0.889
	D-4		1.4852	0.8956 to 2.4567	0.123	1.3587	0.3735 to 4.9101	0.640	1.6564	0.7140 to 3.8260	0.237

**Table 3: Adjusted odds ratios between ischemic stroke and outdoor pollutants exposure for an increase of 10 µg/m<sup>3</sup> in Nice (France) between 2007 to 2011.**

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3 **Title page**  
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6 **Ozone air pollution and ischemic stroke occurrence: a case-crossover study in Nice,**  
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8 **France.**  
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**Abstract**

Objectives: Relationship between low level air pollution and stroke are conflicting. This study was conducted to document the relationship between outdoor air pollution and ischemic stroke occurrence.

Methods: We studied the association between daily levels of outdoor pollutants (ozone (O<sub>3</sub>), nitrogen dioxide (NO<sub>2</sub>), particulate matter (PM<sub>10</sub>) and sulphur dioxide (SO<sub>2</sub>)) and ischemic stroke occurrence (Hospital registry) using a 5 years (2007-2011) time-stratified case-crossover analysis in Nice (France). The short-term effects on stroke occurrence for every 10 µg/m<sup>3</sup> increase in each pollutant was calculated using conditional logistic regression model adjusted for temperature, humidity, flu and holidays.

Results: **We enrolled 1729 ischemic stroke patients (mean age: 76.1±14.0 years; men: 46.7%).**

No significant association was found between stroke occurrence and short term effects of all pollutants tested. In stratified analysis, we observed significant associations only between recurrent (n=280) and large artery ischemic stroke (n=578) onset and short term effect of ozone exposure. For an increase of 10 µg/m<sup>3</sup> of ozone level, recurrent stroke risk (mean D-1, D-2, D-3 lag) was increased by 12.1% (95% CI 1.5 to 23.9) and large artery stroke risk (mean D-3, D-4 lag) was increased by 8.0% (95% CI 2.0 to 16.6). Linear dose-response relationship for both subgroups was found.

Conclusions: Our results confirm the relationship between low level ozone exposure and ischemic stroke in high vascular risk subgroup with linear exposure-response relation, independently of other pollutants and meteorological parameters.

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3 **Strengths and limitations of this study:** Relationship between low level air pollution and  
4 stroke are conflicting. This paper confirm the relationship between low level ozone exposure  
5 and ischemic stroke in high vascular risk subgroup with linear exposure-response relation,  
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7 independently of other pollutants and meteorological parameters. PM2.5 was not studied  
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9 because not monitored in Nice.  
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## Main Text

### INTRODUCTION

Outdoor air pollution is considered as a major environmental health issue, responsible for an excess of death in the world. It is defined as any undesirable modification of air by substances either toxic or likely to have adverse effects on health. Outdoor air pollutants are known to increase morbidity and mortality of respiratory diseases [1]. However, in the 1950's and 1960's, epidemiological studies of acute severe pollution episodes have also shown an increasing cardiovascular and cerebrovascular mortality risk [2]. A link between acute air pollution and stroke mortality has been reported for the first time in the London fog incident study in December 1952 [2]. In the last decades, the consequences of low-level air pollution on cardiovascular mortality and morbidity have been clearly described [3-6]. By analogy, few studies have examined the role of short-term air pollution on ischemic stroke but actually no conclusion could be generalized [3, 5-24]. The purpose of the present study was to document the relationship between the characteristics of outdoor air pollution and the occurrence of ischemic stroke.

### MATERIALS AND METHODS

#### *Population studied*

We performed a 5 years (2007-2011) case-crossover analysis in Nice, France. We retrospectively enrolled consecutive stroke patients admitted at the University Hospital of Nice between January 2007 and December 2011. Querying French DRG based database (PMSI: Programme de Médicalisation des Systèmes d'Information) with I60 to I69 codes from the International Classification of Diseases (10<sup>th</sup> Revision), we screened all patients

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3 hospitalized for stroke. We filtered the sample to patients living in Nice (geographic area  
4 defined by zip codes: 06000, 06100, 06200 and 06300). The diagnosis of ischemic stroke was  
5 reviewed and confirmed by a panel of neurologists using clinical and radiological data of  
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hospitalized for stroke. We filtered the sample to patients living in Nice (geographic area defined by zip codes: 06000, 06100, 06200 and 06300). The diagnosis of ischemic stroke was reviewed and confirmed by a panel of neurologists using clinical and radiological data of medical records. Patients with another diagnosis than stroke were excluded. Demographic data, vascular risk factors (WHO definitions), clinical and radiological characteristics of stroke were also collected from medical records.

### ***Outdoor air pollution and meteorological data***

Nice is an urban city situated in the south-eastern part of France on the Mediterranean coast. According to the latest census, Nice has a population of 340 735 in 2009. Its climate is temperate and qualified as Mediterranean type. Surrounded by hills and mountains (south Alps), the city of Nice is sheltered from continuous of violent winds. Outdoor air pollution comes mainly from traffic due to high density of roads and international airport (first one in France after Paris airports).

Air pollution data were obtained from the regional agency for air quality monitoring (AirPACA). Exposure measurements during the study period were carried out in 2 of 13 permanent monitoring stations in study area. Measures ( $\mu\text{g}/\text{m}^3$ ) were performed in urban station (Cagnes Ladoumègue) for following atmospheric pollutants: particulate matter (PM10) (Tapered Element Oscillating Microbalance), nitrogen dioxide (NO<sub>2</sub>) (chemiluminescence), sulphur dioxide (SO<sub>2</sub>) (ultraviolet photometry), and ozone (O<sub>3</sub>) (ultraviolet photometry). Missing values were replaced by measures performed by the observational monitoring station located at Nice Airport. We computed for each pollutant 24-hours average and specifically for ozone 8-hours daytime periods.

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3 Daily meteorological data were obtained from the National Meteorological Office of Nice  
4 including temperature (° Celcius) and humidity (%). Moreover, data on influenza epidemics  
5 (weekly count) in region of Provence-Alpes-Cote-d'Azur were obtained from Sentiweb  
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### 11 *Statistical analysis*

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15 Continuous variables were expressed as mean (standard deviation [SD]) or median  
16 (interquartile range [IQR]), and categorical variables as percentages. Spearman correlation  
17 coefficients (r) between air pollutants and atmospheric parameters were calculated. The time-  
18 stratified case-crossover design was used to examine the relationship between short-term  
19 effects of outdoor air pollutants and stroke. In this design, each subject enrolled was his own  
20 control. Case days were defined as the day of stroke. Control days were defined as the same  
21 day of the same stratum as the case day. Study time was stratified by months. Therefore,  
22 explicative variables levels at the case day were compared to levels of the same variables at  
23 control days. This method has the main advantage to control individual factors, the day of the  
24 week, season, time trend [24]. Conditional logistic regression was performed to estimate the  
25 association between short-term effects of each air pollutants measured and stroke onset. Odds  
26 ratio v (OR) and 95% confidence intervals (CI) for a 10 µg/m<sup>3</sup> increase of pollutant level were  
27 adjusted for temperature and humidity with 1-day lag, influenza epidemics and holidays  
28 without day lag. The pollutant exposure was tested in models for 1-day lag, 2-day lag, or 3-  
29 day lag. Stratified analyses by subgroups were performed according to age, gender, risk  
30 vascular factors (tobacco use, diabetes mellitus, hypercholesterolemia, hypertension), and  
31 stroke etiological subtypes according the Trial of ORG 10172 in acute stroke treatment  
32 (TOAST). We evaluated dose-response relationships across four exposure levels of pollutant  
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3 studied and the first quartile was used as the reference group. A p-value less than 0.05 was  
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5 considered as significant. The data were analyzed using Stata 10.0 SE software.  
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## 8 **RESULTS**

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11 During the study period (January 2007 to December 2011), there were 2067 patients living  
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13 Nice and admitted in University Hospital Center for ischemic stroke based on DRG database.  
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15 After neurologists review of medical records, 1729 ischemic stroke patients were enrolled for  
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17 final analysis. 620 (35.9%) of these patients were hospitalized in stroke unit. According to the  
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19 last population census 2009, annual ischemic stroke incidence rates (by 100.000) in studied  
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21 area were respectively from 2007 to 2011: 100, 100, 98, 96 and 112. Mean age was 76.1±14.0  
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23 years, and 46.7% were men (Table 1).  
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27 The distribution of air pollutants and meteorological variables is shown in Table 2. Spearman  
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29 correlation coefficients (r) were ranged from 0.01 to 0.25 between each studied pollutants,  
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31 except between O<sub>3</sub> and NO<sub>2</sub> (r=-0.54). Correlation coefficient between minimal temperature  
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33 and O<sub>3</sub> was r=0.67 (Online only data supplement Table I).  
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37 No significant association was found between stroke occurrence and short term effects of all  
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39 pollutants tested. In addition, we performed stratified subgroup analysis according to gender,  
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41 age by decade, incident/recurrent stroke status, vascular risk factors, presence of atrial  
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43 fibrillation, stroke etiological subgroups. We observed significant associations only between  
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45 recurrent (n=280) and large artery ischemic stroke (n=578) onset and short-term effect of  
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47 ozone with (Table 3). In recurrent stroke subgroup, for an increase of 10 µg/m<sup>3</sup> of ozone level  
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49 (mean D-1, D-2, D-3 lag), stroke risk was significantly increased by 12.1% (95% CI 1.5 to  
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51 23.9). Adjusted OR between ozone exposure (mean D-3, D-4) and large artery stroke  
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53 subgroup was 1.080 (95% CI 1.002 to 1.166). No significant association was observed with  
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3 other pollutants than ozone. Adjusted in two-pollutant models, OR were not affected. Using  
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5 ozone quartiles (1<sup>st</sup> quartile as the reference group), linear dose-response relationship for both  
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7 subgroups was observed (Figure 1). Baseline characteristics in recurrent stroke subgroup and  
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9 large artery stroke subgroup were shown in Figure 2.  
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## 11 12 **DISCUSSION**

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15 Our study assessed the short-term effect of ozone exposure on selected population of ischemic  
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17 stroke in a city specially polluted by ozone. An elevation of 10 µg/m<sup>3</sup> of ozone concentration  
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19 increases stroke risk with few days lag in recurrent (≈12%) and large artery stroke (≈8%)  
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21 subgroups only. Linear dose-response relation was observed systematically in both groups. In  
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23 these groups, the common feature of the patients was that they cumulate vascular risk factors.  
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25 No significant association was found between all ischemic stroke groups and atmospheric  
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27 pollutants studied (O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub> and PM10).  
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32 Several studies have investigated the association between outdoor air pollution and stroke [3,  
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34 5-24]. Results of these studies are conflicting and hamper generalization of conclusions.  
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36 Heterogeneous methodological considerations are the main explanation of this conflict.  
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38 Methodological differences are observed in patient selection, study design, outcomes choice  
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40 (incidence, hospital admission, mortality), and assessment of individual exposure to selected  
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42 pollutants [20]. Few published studies investigated specially the association between  
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44 occurrence of ischemic stroke and ozone exposure using case crossover design [8, 15, 17, 19,  
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46 21] or time series analysis method [3, 12, 16, 22]. Consistent with our results, the majority of  
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48 these studies do not observe relationship between ozone exposure and occurrence of ischemic  
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50 stroke [3, 8, 17, 19, 21, 22]. Whenever relationship was revealed, association was borderline  
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52 significant [16], or not confirmed by a second study on the same area of investigation [15,  
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54 19]. Despite the fact that the link between ischemic stroke and ozone exposure is not obvious,  
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3 results in subgroup analyses seem to identify a population at risk for ozone exposure. In  
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5 recurrent ischemic stroke subgroup, a significant increase of 12.1% (95% CI 1.5 to 23.9) in  
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7 stroke risk was observed for each increase of 10  $\mu\text{g}/\text{m}^3$  of ozone concentration in previous  
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9 days (mean D-1, D-2, D-3 lag). Consistent with this result, population-based study in Dijon  
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11 (France), revealed the same association (OR: 1.150; 95% CI 1.027 to 1.209) with 3 days lag  
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13 [19]. Similarly, a significant association was observed in large artery stroke subgroup (mean  
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15 D-3, D-4, OR: 1.080; 95% CI 1.002 to 1.166). This link was observed in previous study  
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17 (Dijon) especially in this stroke etiological subgroup [15]. Associations in other ischemic  
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19 stroke subgroups are not systematically confirmed (age, gender, vascular risk factors, and  
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21 season) [3, 15, 19, 21, 22]. Our study confirms the short-term effects of ozone exposure on  
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23 stroke patients with high vascular risk [15, 19].  
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28 Our findings suggest that exposure to ozone, main photochemical pollutant, could increase the  
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30 risk of ischemic stroke in population subgroups (recurrent stroke, large arteries stroke)  
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32 particularly exposed to vascular risks factors inducing atherosclerosis. Physiopathological  
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34 pathways linking ischemic stroke and ozone exposure still remain largely unclear and  
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36 probably complex. Some studies support a delayed effect (1 to 3 days lag) between acute  
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38 exposure of ozone pollution and stroke onset [15, 19]. Ozone urban pollution effects on  
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40 healthy subjects are associated with systemic inflammatory responses, oxidative stress, blood  
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42 coagulation [25, 26]. These acute phenomena induced by even low levels of ozone could be  
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44 the trigger of ischemic event consecutively to atherosclerotic plaque instability, alterations in  
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46 endothelial function, and increased coagulation and thrombosis [27]. As suggested by  
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48 Henrotin et al., we hypothesized that short term effect of ozone exposure could be involved  
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50 especially among subjects with high vascular risk [19].  
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3 In order to establish a causal relationship between ozone exposure and stroke onset, we  
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5 studied the exposure-response relation, main criteria identified by Hill [28]. Consistent with  
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7 previous reports, we show a linear exposure-response relationship between ozone  
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9 concentration and ischemic stroke in subgroups identified in previous reports [15, 19].  
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12 Our study has several limitations. **The question of completeness of stroke patients living Nice**  
13 **in this hospital-based study was discussed.** In Nice, patients with suspicion of stroke are  
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15 admitted in priority in University Hospital Center. Likewise, incidence of ischemic stroke was  
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17 consistent with epidemiological data in France. The question of individual exposure  
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19 measurement is generally discussed. The main limit is that we used air pollution levels from  
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21 air monitoring station to represent individuals' exposure. However, we limited our  
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23 investigations in small geographic area (72 km<sup>2</sup>) not considered as a polluted town except for  
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25 ozone (median 53.3 [32.6-69.2] µg/m<sup>3</sup>). Moreover, in the stroke population studied, elderly  
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27 patients are mostly retired and have daily activity in study area. Since ozone concentration is  
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29 correlated with meteorological parameters, temperature and humidity were incorporated into  
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31 our models. Association between ozone pollution and stroke can be confounded by other  
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33 pollutants studied, especially particles. Effects of ozone alone are not modified using adjusted  
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35 models for each of the other pollutants (NO<sub>2</sub>, SO<sub>2</sub> and PM<sub>10</sub>). PM<sub>2.5</sub> was not studied  
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37 because not monitored in Nice.  
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## 45 SUMMARY

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47 The consequences of ozone pollution on respiratory system and mortality are well  
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49 documented [1]. Our results confirm the relationship between low level ozone exposure and  
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51 ischemic stroke in high vascular risk subgroup with linear exposure-response relation,  
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53 independently of other pollutants and meteorological parameters. Reproducibility of previous  
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55 results is one of the main Hills criterion to induce causality of ozone exposure. Even if the  
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3 individual risk is low, to identify an association between ozone and ischemic stroke incidence  
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5 is important from a public health point of view, since a large population is concerned.  
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7 Physiopathological processes underlying this association between ischemic stroke and ozone  
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9 exposure remain to be investigated.  
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## Figure Legends

Figure 1: Dose relationship between ozone and ischemic stroke events (1A Recurrent ischemic stroke subgroup, 1B Large artery ischemic stroke subgroup).

Figure 2: Baseline characteristics according recurrent stroke subgroup (A) and large artery stroke subgroup (B) (\*:  $p < .05$ ).

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## Tables

	All patients (n=1729)	Incident n=1449 (83.81%)	Recurrent n=280 (16.19%)	p
Demographic data				
Men	808 (46.73%)	683 (47.14%)	125 (44.64%)	0.0044
Age	76.06±14.04	75.48±14.29	79.01±12.33	<0.0001
< 55 years	141 (8.16%)	132 (9.11%)	9 (3.21%)	0.0011
55 to 64 years	186 (10.76%)	155 (10.70%)	31 (11.07%)	0.8532
65 to 74 years	324 (18.74%)	279 (19.25%)	45 (16.07%)	0.2114
75 to 84 years	524 (30.31%)	438 (30.23%)	86 (30.71%)	0.8712
≥ 85 years	554 (32.04%)	445 (30.71%)	109 (38.93%)	0.0071
Cardiovascular risk factors				
Diabetes mellitus	311 (17.99%)	249 (17.18%)	62 (22.14%)	0.0481
Hypertension	998 (57.72%)	803 (55.42%)	195 (69.64%)	<0.0001
Dyslipidemia	441 (25.51%)	348 (24.02%)	93 (33.21%)	0.0012
Current smoker	410 (23.71%)	357 (24.64%)	53 (18.93%)	0.0398
Overweight	226 (13.07%)	204 (14.08%)	22 (7.86%)	0.0047
Coronary artery disease	263 (15.21%)	209 (14.42%)	54 (19.29%)	0.0381
Atrial fibrillation	527 (30.48%)	433 (29.88%)	94 (33.57%)	0.2198
Classification of stroke etiologic subtypes (TOAST)				
Large artery	578 (33.43%)	479 (33.06%)	99 (35.36%)	0.4552
Cardioembolic	563 (32.56%)	469 (32.37%)	94 (33.57%)	0.6938
Lacunar stroke	153 (8.85%)	129 (8.90%)	24 (8.57%)	0.8582
Other determined etiology	43 (2.49%)	40 (2.76%)	3 (1.07%)	0.0966
Undetermined etiology	392 (22.67%)	332 (22.91%)	60 (21.43%)	0.5872
Hospitalization in stroke unit	620 (35.86%)	546 (37.68%)	74 (26.43%)	0.0003

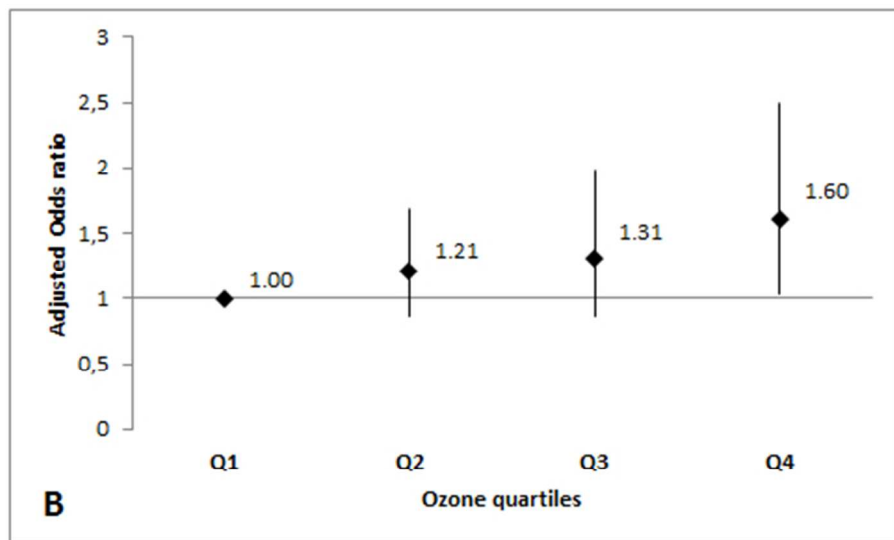
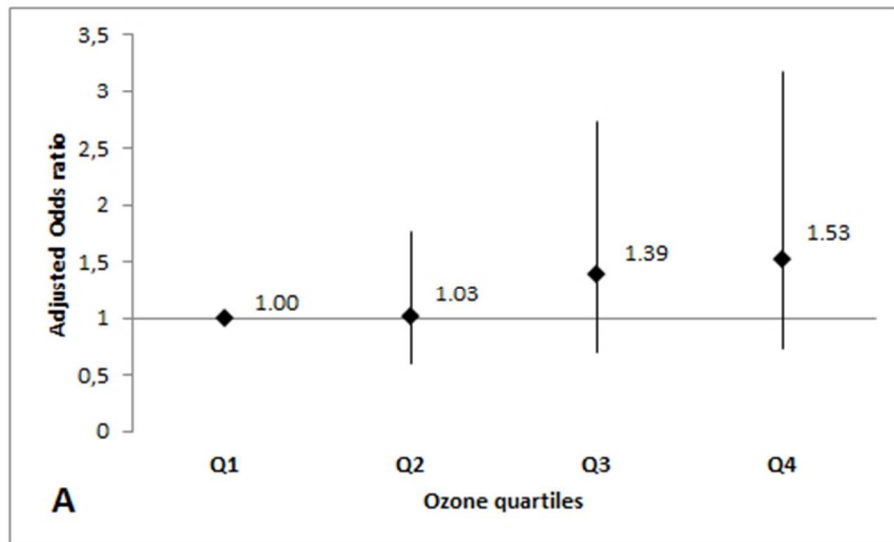
**Table 1: Baseline characteristics of patients with ischemic stroke (incident and recurrent) hospitalized in Nice University Hospital from 2007 to 2011.**

	Mean	SD	Minimum	Quartile 1	Median	Quartile 3	Maximum
Ozone daily 8-hours average ( $\mu\text{g}/\text{m}^3$ )	80.74	31.78	4.63	54.75	84.38	105.38	157.27
Ozone daily 1-hour maximum ( $\mu\text{g}/\text{m}^3$ )	92.37	31.32	7.00	69.00	94.00	115.00	197.00
Ozone 24-hours average ( $\mu\text{g}/\text{m}^3$ )	52.20	22.89	4.00	32.58	53.29	69.02	111.13
PM10 ( $\mu\text{g}/\text{m}^3$ )	28.48	9.81	1.00	22.00	28.00	34.00	74.00
NO <sub>2</sub> ( $\mu\text{g}/\text{m}^3$ )	26.22	8.69	3.00	20.00	25.00	32.00	59.00
SO <sub>2</sub> ( $\mu\text{g}/\text{m}^3$ )	1.23	1.18	0.00	0.00	1.00	2.00	10.00
Minimum temperature ( $^{\circ}\text{C}$ )	13.02	5.98	-1.60	7.80	12.90	18.20	25.90
Maximum humidity (%)	81.40	9.07	40.00	76.00	83.00	88.00	97.00

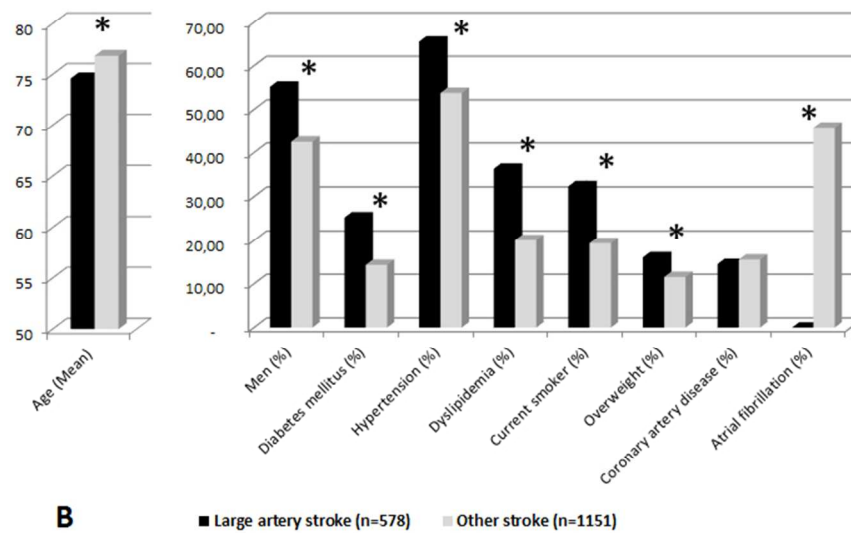
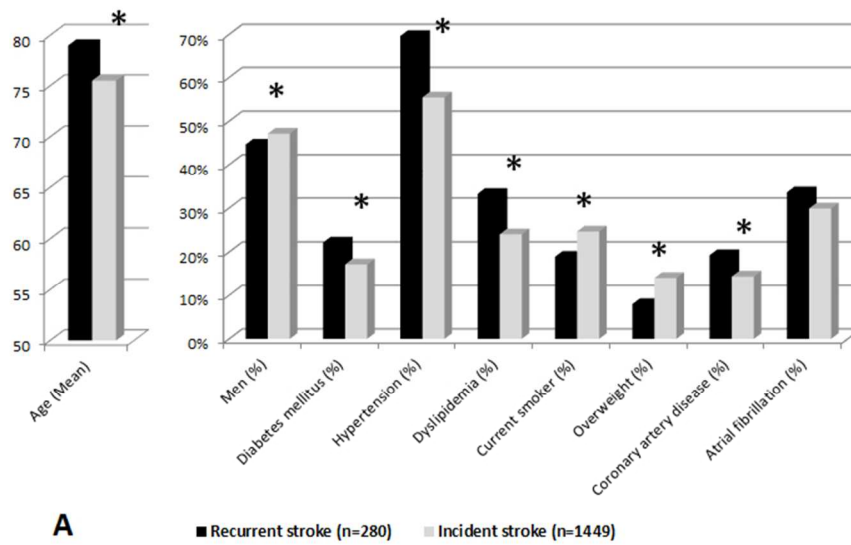
**Table 2: Distribution of air pollution concentrations and meteorological parameters in Nice (France) between 2007 to 2012.**

				All ischemic stroke (n=1729)			Recurrent stroke (n=280)			Large artery stroke (n=578)		
				Ora	95% IC	p	Ora	95% IC	p	Ora	95% IC	p
Ozone	D-1	8h average		0.9917	0.9584 to 1.0261	0.633	1.0899	1.0009 to 1.1867	0.047	0.9697	0.9119 to 1.0310	0.326
		1h maximum		0.9957	0.9644 to 1.0281	0.795	1.0641	0.9824 to 1.1527	0.127	0.9881	0.9334 to 1.0460	0.682
		24h average		1.0036	0.9578 to 1.0517	0.877	1.0793	0.9616 to 1.2115	0.195	0.9983	0.9190 to 1.0843	0.968
	D-2	8h average		0.9976	0.9657 to 1.0306	0.888	1.0957	1.0086 to 1.1903	0.030	0.9903	0.9347 to 1.0491	0.741
		1h maximum		1.0040	0.9738 to 1.0351	0.795	1.0955	1.0144 to 1.1831	0.020	0.9979	0.9457 to 1.0529	0.940
		24h average		1.0015	0.9598 to 1.0451	0.942	1.0638	0.9587 to 1.1804	0.244	1.0097	0.9365 to 1.0885	0.801
	D-3	8h average		0.9987	0.9670 to 1.0314	0.939	1.0601	0.9784 to 1.1487	0.154	1.0261	0.9703 to 1.0852	0.366
		1h maximum		0.9968	0.9671 to 1.0273	0.836	1.0380	0.9635 to 1.1184	0.326	1.0254	0.9728 to 1.0808	0.349
		24h average		1.0046	0.9644 to 1.0466	0.822	1.0838	0.9788 to 1.2000	0.122	1.0519	0.9802 to 1.1289	0.160
D-4	8h average		1.0067	0.9751 to 1.0393	0.681	1.0169	0.9395 to 1.1006	0.678	1.0359	0.9808 to 1.0941	0.205	
	1h maximum		0.9978	0.9684 to 1.0280	0.887	1.0038	0.9321 to 1.0811	0.918	1.0290	0.9777 to 1.0829	0.272	
	24h average		1.0114	0.9711 to 1.0534	0.583	1.0248	0.9260 to 1.1342	0.635	1.0787	1.0065 to 1.1561	0.032	
PM10	D-1		1.0143	0.9518 to 1.0806	0.659	1.0041	0.5586 to 1.7995	0.989	1.0347	0.9282 to 1.1527	0.536	
	D-2		0.9861	0.9238 to 1.0523	0.674	0.9518	0.8106 to 1.1167	0.545	0.9350	0.8349 to 1.0464	0.242	
	D-3		0.9788	0.9203 to 1.0405	0.493	1.0047	0.8532 to 1.182	0.955	0.9436	0.8475 to 1.0501	0.288	
	D-4		0.9780	0.9202 to 1.0391	0.473	0.9911	0.8520 to 1.152	0.908	0.9544	0.8572 to 1.0620	0.392	
NO2	D-1		1.0307	0.9367 to 1.1336	0.533	0.8960	0.7689 to 1.0434	0.158	1.0293	0.8699 to 1.2169	0.735	
	D-2		0.9931	0.9029 to 1.0918	0.887	0.9427	0.7403 to 1.1991	0.631	1.0494	0.8894 to 1.2372	0.565	
	D-3		0.9462	0.8607 to 1.0396	0.250	1.1262	0.8767 to 1.4449	0.349	0.9147	0.7743 to 1.0796	0.292	
	D-4		0.9462	0.8607 to 1.0396	0.250	0.8931	0.7047 to 1.1306	0.348	0.9147	0.7743 to 1.0796	0.292	
SO2	D-1		1.0069	0.5986 to 1.6893	0.979	0.653	0.164 to 2.5822	0.544	1.0789	0.4507 to 2.5712	0.864	
	D-2		0.8763	0.5138 to 1.4905	0.626	0.8916	0.2525 to 3.1284	0.858	1.1784	0.4790 to 2.8858	0.719	
	D-3		1.2539	0.7405 to 2.1174	0.397	0.7231	0.1983 to 2.6188	0.622	0.9351	0.3630 to 2.3973	0.889	
	D-4		1.4852	0.8956 to 2.4567	0.123	1.3587	0.3735 to 4.9101	0.640	1.6564	0.7140 to 3.8260	0.237	

**Table 3: Adjusted odds ratios between ischemic stroke and outdoor pollutants exposure for an increase of 10 µg/m<sup>3</sup> in Nice (France) between 2007 to 2011.**



Dose relationship between ozone and ischemic stroke events (1A Recurrent ischemic stroke subgroup, 1B Large artery ischemic stroke subgroup).  
45x54mm (300 x 300 DPI)



Baseline characteristics according recurrent stroke subgroup (A) and large artery stroke subgroup (B) (\*: p<.05).

62x81mm (300 x 300 DPI)



	Ozone (8h average)	Ozone (1h maximum)	Ozone (24h average)	PM10	NO2	SO2	Temperature (minimum)	Humidity (Maximum)
Ozone (8h average)	1.000							
Ozone (1h maximum)	0.980	1.000						
Ozone (24h average)	0.954	0.927	1.000					
PM10	0.100	0.140	0.009	1.000				
NO2	-0.472	-0.421	-0.538	0.251	1.000			
SO2	-0.075	-0.068	-0.069	0.109	0.148	1.000		
Temperature (minimum)	0.680	0.672	0.670	0.094	-0.535	-0.096	1.000	
Humidity (maximum)	-0.068	-0.072	-0.099	-0.064	-0.122	-0.010	0.085	1.000

**Data supplement Table I: Spearman correlation between air pollutant level and meteorological variables.**