



Journey to vaccination: a protocol for a multinational qualitative study

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3 **JOURNEY TO VACCINATION: A PROTOCOL FOR A MULTINATIONAL**
4 **QUALITATIVE STUDY**
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ABSTRACT**Objectives:**

To provide a detailed account of a mixed method qualitative approach designed to understand the wider constellation of social and psychological factors likely to influence adult influenza (flu) and tetanus vaccination decisions, and their relative importance, as well as the context in which these decisions take place.

Setting:

US, UK, France, India, China and Brazil.

Methods and analysis:

We employ a combination of qualitative interviewing approaches to reach a comprehensive understanding of the factors influencing adult vaccination decisions. To elicit underlying beliefs, attitudes and preferences affecting vaccination decisions, specifically seasonal flu and tetanus, we developed the *journey to vaccination*, a new qualitative approach anchored on the *heuristics and biases* tradition and the *customer journey mapping* approach. A purposive sampling strategy is used to select adult participants who were both vaccinated and not vaccinated against flu and tetanus and represented a range of socio-demographic characteristics associated with vaccination uptake. Using thematic analysis, an initial categorising system will be developed based on the study objectives and the topics explored. New themes and sub-themes emerging from the data analysis will be identified and included when consensus is reached regarding their relevance. Additionally, typical journeys to vaccination will be identified and described.

Conclusions:

Vaccination uptake is significantly influenced by social and psychological factors, some of which may be underreported and poorly understood. Our journey to vaccination approach is designed to uncover underlying motivations which may be driving vaccination behaviour across different contexts. Our findings will provide a deeper understanding of the barriers and drivers to adult vaccination.

ARTICLE SUMMARY

Article focus

A protocol of a mixed method qualitative study aiming to elicit underlying beliefs, attitudes and preferences affecting adult vaccination decisions.

Key messages

- Vaccination decision-making is significantly influenced by social and psychological factors and often driven by intuition.
- People tend to fall back on readily available information and report post-decisional rationalisations of their behaviours rather than actual drivers, particularly when these are perceived to be unfounded.
- To better understand vaccination behaviour, we need to go beyond readily available responses and explore individuals' context, personal circumstances and past experiences, and how they influence vaccination decisions over time.

Strengths and limitations of this study

- The *journey to vaccination*, a multidisciplinary qualitative approach, is used to elicit underlying beliefs, attitudes and preferences affecting vaccination decisions.
- A multinational and relevant sample population will be recruited.
- The interview schedules and local interviewers' training are standardised, which will enable data comparability.
- Challenges in recruiting specific participant categories may be encountered and cross-cultural variations will need to be documented and explained.

BACKGROUND

In the last two decades, childhood vaccination coverage has increased dramatically, averting an estimated 2 to 3 million deaths per year, along with myriad episodes of illness and disability[1 2].

Adult vaccination coverage, however, remains poorly recorded and substandard[2 3].

Two important adult routine vaccines are seasonal influenza (flu) and tetanus containing vaccines[4]. An annual flu vaccine is recommended to all adults, particularly those aged ≥ 65 years and under 65s with certain medical conditions such as asthma, heart disease and diabetes. Despite this recommendation, in any given year flu epidemics can cause between 500,000 and 1,000,000 deaths globally[5]. A tetanus containing booster is recommended every ten years to prevent tetanus and other diseases such as pertussis, diphtheria and polio. Although tetanus morbidity and mortality is mostly neo-natal and maternal, globally, an estimated 13,000 annual non-maternal adults deaths are due to tetanus infection. Moreover, it has been established that unvaccinated adolescents and adults, or those with waning immunity, have become a major source of pertussis infection for unvaccinated infants[6]. The World Health Organisation (WHO) estimated that in 2008, 195,000 children under 5 years of age died from pertussis and 199,000 from flu, many of whom were infected by an adult[7].

Although structural barriers, such as access to care and vaccine availability, are known to limit coverage, social and psychological factors can also affect vaccine uptake. For example, perceived susceptibility to flu and concerns about vaccine safety and effectiveness have been shown to significantly influence vaccination behaviour[8 9]. The relevance of these factors to vaccination decisions has become a focus of policy discussions, such that national and supranational immunisation advisory committees are now evaluating how to best measure confidence in vaccines to inform and evaluate future interventions[10].

Making decisions about our own health in general, and vaccinations in particular, can be a difficult task. Typically, it involves navigating an often intricate healthcare system, discussing the issue with a healthcare professional (HCP), researching the internet, consulting family members and peers, and

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3 trying to make sense of all the available information, which is likely to be incomplete and
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5 conflicting[11]. A key challenge in decision-making processes regarding health is weighing up the
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7 benefits of an intervention versus its potential harm. In the case of vaccinations, this process can be
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9 particularly complex as it often entails the assessment of several disease-related variables including
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11 severity, likelihood of catching the pathogen and susceptibility to it, as well as vaccine attributes such
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13 as effectiveness, side-effects and safety, among others[12]. Furthermore, the benefits and drawbacks
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15 of vaccines are normally conveyed in statistical terms, a language that has proven to be difficult to
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17 grasp for most people. For example, results from an experimental study showed that 16% to 20% of
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19 highly educated participants incorrectly answered relatively simple questions about risk magnitudes
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21 (e.g., which represents the larger risk: 1%, 5%, or 10%?)[13]. It is, therefore, conceivable that a
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23 significantly larger proportion of less educated individuals, who constitute a majority of the
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25 population, are likely to misunderstand this type of data.
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29 A vast body of research has demonstrated that when people are unable to assess risk using statistical
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31 reasoning they often rely on heuristics, an experience-based and intuitive approach used to facilitate
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33 decision-making[14-16]. Heuristics represent what psychologists have termed ‘cognitive shortcuts’, in
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35 other words, they allow an inference to be made regarding risk without going through numerous
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37 analytical calculations. By its very nature, the use of heuristics can be efficient and accurate in some
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39 occasions, but it can also lead to cognitive errors and flawed decision making when used in
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41 circumstances that require thorough logical analysis[17]. Furthermore, individuals’ judgement is often
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43 influenced by their context and personal or family experiences, whether these are conscious or
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45 not[18].
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49 Although qualitative studies have explored beliefs, attitudes and preferences associated with flu
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51 vaccination uptake, thus far, little research has investigated how participants’ own context and
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53 experiences influence their vaccination decision-making process over time[19-21]. Furthermore, most
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55 studies focus on populations from developed countries; relevant evidence from developing countries
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3 is largely missing. Qualitative research on adult tetanus boosters is limited and focuses on neonatal
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5 tetanus.
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9 This article aims to provide a detailed account of a mixed method qualitative approach designed to
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11 understand the wider constellation of social and psychological factors likely to influence adult
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13 vaccination behaviour, and their relative importance, as well as the context in which these decisions
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15 take place. Our focus is on social influences, beliefs and attitudes affecting the uptake of seasonal flu
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17 vaccines and tetanus boosters, as these aspects have been found to be particularly influential in
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19 vaccination decision-making. Our research will be conducted in key developed and developing
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21 economies – US, UK, France, India, China and Brazil.
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24 25 **CONCEPTUAL FRAMEWORK**

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27 The methodology of this study rests on two theoretical approaches: *heuristics and biases*, specifically
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29 the *availability* heuristic[22], and *customer journey mapping*[23-25]. We explain these below.
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33 As mentioned in the previous section, people rely on a limited number of heuristics which reduce the
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35 complexity of calculating probabilities and predicting outcomes to simpler mental operations. A
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37 frequently used heuristic is availability, the tendency to make judgements about the frequency or
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39 probability of an event based on the ease with which a similar episode can be recalled[26]. The use of
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41 this heuristic could yield accurate actions but it could also lead to erroneous decisions. For example, a
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43 high-risk individual may be prompted to have a flu vaccine after being exposed to extensive media
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45 coverage about one single flu-related death. The following season, he may decide not to have the flu
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47 vaccine due to a friend experiencing side-effects (e.g. flu-like symptoms) after receiving a flu vaccine.
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49 In both cases, his decision-making is determined by the ease with which the risks associated with flu
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51 or the flu vaccine spring to mind (which vary between the two seasons), as opposed to the statistical
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53 probability of experiencing either adverse effect (which may be constant across the two seasons). The
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55 first decision, however, is aligned with current vaccination recommendations, whereas the second is
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3 Additionally, it has been established that people's evaluation of the logical strength of an argument is
4 often biased by their pre-existent belief in the truth or falsity of the conclusion[27]. For example, if
5 the same high-risk individual distrusts the medical establishment and the pharmaceutical industry and
6 prefers alternative medicine instead, it is likely that the news about a flu-related death will have a
7 lesser impact on his vaccination decision than his friend's reported side-effects – due to mentally
8 over-weighting the vaccine adverse effects, which are consistent with his pre-existing beliefs.
9 Importantly, belief-based decision-making need not be conscious[28 29]. Thus, a decision based on
10 intuition may be later post-rationalised and explained using analytical-sounding arguments, when in
11 reality cost-benefit analysis was not employed.
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23 The customer journey mapping approach is commonly used in service design to capture and evaluate
24 people's experience of different services. Although some elements may be more important than
25 others, this approach considers the overall experience of the service user as the result of every element
26 in a journey through a service. Of particular note is the *brand touchpoint wheel* developed a decade
27 ago by Dunn and Davies[30]. This conceives the customer journey as a wheel comprised of three
28 main stages (pre-purchase, purchase and post-purchase experience) and a number of *touchpoints*,
29 which are key points at which the consumer interacts with a particular product or service (see Fig. 1).
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40 **Our approach: *journey to vaccination***

41 Most qualitative studies in the field of vaccination decision-making have elicited barriers and enablers
42 to vaccination using traditional methodological approaches such as explicit enquiry (e.g. why did you
43 vaccinate?) and indiscriminate use of probes, often within a focus group setting. A key shortcoming of
44 these approaches is that individuals' context, personal circumstances and past experiences, and how
45 they influence vaccination decisions over time, is seldom explored. Thus, researchers may fail to
46 notice participants' tendency to fall back on readily available information and report post-decisional
47 rationalisations of their behaviours rather than actual drivers.
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3 In an effort to address these shortcomings, we developed a new qualitative approach which we call
4 *journey to vaccination*. Anchored on the two complementary lines of thought described above and
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6
7 nested within the qualitative research tradition, the journey to vaccination is a visual exercise in which
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9 the interviewer and the participant jointly build a timeline that captures salient events that led the
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11 participant to get or not to get vaccinated. The exercise starts with a participant's latest flu or tetanus
12
13 vaccination experience as an adult; it then extends backwards to the participant's first memory of such
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15 experience. The participant is asked to describe these events, which in turn allows the interviewer to
16
17 indirectly elicit a range of factors that affected positively or negatively the decision to get vaccinated.
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19 Importantly, this exercise enables the participant to produce a personal historical narrative, through
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21 which vaccination decisions are discussed as a continuum and not in isolation from each other or from
22
23 other important health, or lifestyle-related decisions.
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27 The journey to vaccination approach is designed to comprehensively capture psychological but also
28
29 social influences on vaccination decisions. Participants will, therefore, be asked to recall key actors
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31 who were involved in the vaccination process (e.g. their family) and how they influenced the process.
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33 Emotional aspects of the decision making are also explored and taken into account.
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37 Based on the customer journey mapping approach described earlier and previous evidence on
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39 vaccination behaviour, we envisage a journey to vaccination to be comprised of three stages and a
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41 number of touchpoints at which the individual interacts with related health services (Figure 2): 1) pre-
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43 vaccination period (appointment with HCP, information – websites, news, vaccination campaigns –
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45 and HCP reminders); 2) the vaccination experience itself (location, consultation experience and
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47 vaccination experience); and 3) a post-vaccination experience (vaccine quality – e.g. side-effects,
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49 effectiveness – and post-vaccination advice or information – from HCP, peers and other sources)[9 30
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51 31]. An important component of a journey to vaccination is a cue to action or trigger, which consists
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53 of an internal or external stimulus (e.g. salient health related experiences, advice from a relative) that
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55 prompts individuals to vaccinate or not to vaccinate. Existing evidence suggests that vaccination
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57 triggers may usually take place during the pre-vaccination stage and could sometimes overlap with
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3 vaccination touchpoints[9]. For example, a vaccination reminder letter from the general practitioner
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5 would be both a trigger and a touchpoint, if participants explicitly mention that the letter prompted
6
7 them to vaccinate.
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11 Figure 3 illustrates a journey to flu non-vaccination of a participant from the UK pilot (see Procedure
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13 section below) who is not at high-risk (i.e. not eligible for free vaccination). The participant
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15 mentioned that an allergy to penicillin discovered when he was younger, which his doctor refused to
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17 acknowledge, had made him anxious about other medications' side-effects, including vaccines. This
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19 was recorded as the first relevant touchpoint and trigger away from vaccination. He then pointed out
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21 that some time ago he had heard on the news there had been flu-related deaths, and that this had been
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23 a cause for concern which made him consider having a flu shot (another touchpoint and trigger to
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25 vaccination). Subsequently, he recalled having the flu and worrying about the consequences of being
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27 out of work due to his self-employed status (trigger to vaccination). The participant then reported that
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29 at that stage he had tried to get a flu vaccine at a pharmacy, but it was out of stock (touchpoint and
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31 trigger away from vaccination). Finally, the participant remembered the vaccine could have side-
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33 effects and decided to stop trying to get vaccinated (trigger away from vaccination). This journey,
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35 therefore, does not include a post-vaccination stage. Importantly, analysis of the participant's account
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37 of his journey to non-vaccination indicates a tendency to make decisions based on heuristics rather
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39 than logical analyses. For example, the participant's motivation to have a flu shot after hearing on the
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41 news about flu-related deaths was based on the mental availability of this piece of information and not
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43 his actual risk of death from flu.
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48 **STUDY AIMS**

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50 The primary aim of this study is to gain deeper understanding of the social and psychological factors
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52 influencing the uptake of two different adult vaccines across key high and middle-income countries
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54 with diverse healthcare systems: The US, the UK and France, India, China and Brazil. Specifically,
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56 we are interested in exploring how people's experiences shape their beliefs, attitudes and behaviour
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58 towards vaccines. A secondary objective is to develop more effective methods to elicit such data.
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METHODS

Setting

This research is conducted in rural and urban areas in six countries: the US (New York and Chicago), UK (London and Birmingham), France (Paris and Lille), India (New Delhi and Mumbai), China (Shanghai and Beijing) and Brazil (São Paulo and Rio de Janeiro).

Sampling and recruitment

A purposive sampling strategy is used to select adult participants who are both vaccinated and not vaccinated against flu and tetanus and represented a range of socio-demographic characteristics associated with vaccination uptake, notably age and health status (see Table 1). In an effort to reduce recall bias[10], only those who have been vaccinated in the past 12 months are eligible to participate. Participants are recruited via telephone, sourced from telephone directories. For consistency, a minimum of 20 participants are recruited per country[12].

Table 1. Purposive sampling strategy

KEY DEMOGRAPHIC CHARACTERISTICS	Minimum participant quota per country
Eligible chronic condition	7 with
	7 without
Gender	8 female
	8 male
Parent/Guardian of child/children under 18	4 Mothers
	4 Fathers
Age	8 18-49
	4 50-64
	6 ≥65
Socio-economic group (social grade)*	7 ABC1
	7 C2DE
Adults who have had ONE of the vaccines	4 Flu
	3 Tetanus
Have had both tetanus and flu vaccines	6
Have not had either vaccination	6
Rural/urban (except UK and France)	5
TOTAL	20

*A = higher socio-economic group and E = lower socioeconomic group. We used country-specific occupation and income data to determine participants' social grade.

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3 We prioritise unvaccinated participants who state that they would either probably get vaccinated
4 against flu or tetanus “one day” or that they would probably not get vaccinated against flu or tetanus,
5 as these attitudes are representative of the majority of the non-vaccinated population. This is done via
6 the following screening question at the time of recruitment: “Which of the following statements most
7 closely reflects your attitude to the flu and tetanus vaccinations? 1) I will definitely get vaccinated
8 against flu or tetanus one day; 2) I will probably get vaccinated against get vaccinated against flu or
9 tetanus one day; 3) I will probably not get vaccinated against flu or tetanus; 4) I will definitely not get
10 vaccinated against flu or tetanus”.

21 Procedure

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23 The data collection is carried out jointly by the first author (UK and US) and Ipsos MORI, a
24 multinational market research firm and their associates . Interviewers have been trained either face-to-
25 face or via teleconference (US) by Ipsos MORI researchers. They have also been provided with a
26 manual containing detailed interview instructions. Interview guides and materials have been translated
27 into French (France), Hindi, Marathi and Kannada (India), Chinese (China) and Portuguese (Brazil)
28 by the local research teams. Interviews are carried out by trained interviewers from Imperial College
29 London, the market research company Ipsos MORI or their local associates. Participants are
30 interviewed face-to-face in their native language for approximately 60 minutes at home or a central
31 interviewing facility and interviews are digitally recorded. Participants are fully informed about the
32 study via a participant information sheet. Written consent is obtained and each participant receives an
33 equivalent of £11-£78 incentive, depending upon the country and location of the interview, in return
34 for their time. Before commencing each interview, participants are reminded about the strict
35 confidentiality of their responses. Prior to data collection, the study was approved by Imperial College
36 Research Ethical Committee (ICREC) in the UK, American Institutes for Research (AIR) in the US,
37 Commission nationale de l'informatique et des libertés (CNIL) and Comité de protection des
38 personnes “Ile-de-France III” in France, Safe Search Independent Ethics Committee in India,
39 Shanghai Clinical Research Center in China and Comissão Nacional de Ética em Pesquisa (CONEP)
40 in Brazil.

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5 A pre-pilot was conducted with N = 4 (two researchers from Imperial College London and two from
6 Ipsos MORI not involved in the present study) to test duration and flow of the interview.
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9 Consequently, the interview guide was simplified and shortened. These interviews will not be
10 included in the final sample for analysis. A piloting technique was subsequently used for the first
11 three interviews in the UK, whereby the research team observed each interview behind a one-way
12 mirror and evaluated its quality in real-time. At the end of the session, minor amendments to the
13 interview guide were agreed and the final interview materials produced.
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21 We use two semi-structured and probing interview schedules, one for vaccinated and one for non-
22 vaccinated participants, constructed through expert consultations and a literature review[9] (See Table
23 2). We employ a combination of interviewing techniques to reach a comprehensive understanding of
24 the factors underpinning vaccination decisions. The schedule comprises six sections – as follows:
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31 Section 1 aims to obtain an overview of participants' life and values, to build rapport and to identify
32 important issues to assist with probing throughout the interview.
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37 Section 2 aims to elicit participants' general information-seeking behaviours and influences. We
38 explore information sources (e.g. media, family, peers, etc.) through which people's knowledge about
39 and attitudes towards vaccines may be formed.
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46 Section 3 examines participants' views towards health, HCPs and adult vaccines. This section aims to
47 understand how people's perceptions towards their own health and their relationship with HCPs
48 influence their stance on vaccines. General views on adult vaccines are evaluated by asking
49 participants to arrange five adult vaccinations (flu, tetanus, pneumonia, hepatitis and measles, mumps
50 and rubella (MMR)) into one or more groups. By identifying how people group vaccines, and the
51 reasons for their groupings, we aim to contextualise and gain deeper understanding of their views on
52 flu vaccines and tetanus containing boosters.
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5 Section 4 explores participants' journeys to vaccination (or non-vaccination) for both flu vaccines and
6 tetanus containing boosters. We aim to undertake an in-depth exploration of the vaccination decision-
7 making process by identifying important aspects that lead people to vaccinate or not to vaccinate.
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13 In an effort to circumvent availability bias, we avoid asking direct questions such as 'why did you
14 have a flu shot?' Instead, we explore the set of circumstances and emotions that drive participants to
15 accept or refuse vaccination, aided by an elicitation technique called *laddering*, which provides a
16 simple and systematic way of establishing people's core values and beliefs, and the linkages between
17 these and key behaviours, in this case, vaccination[32]. To avoid post-rationalisation, we do not use
18 probes in this section of the interview.
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27 Section 5 of the interview examines participants' attitudes toward children's vaccinations. We aim to
28 understand whether people's views about adult vaccines correspond with their views about children's
29 and how.
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35 Finally, in section 6 we explore participants' knowledge of the two diseases and vaccines (i.e. flu and
36 tetanus) to understand to what extent their decision-making is influenced by facts.
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41 Key socio-demographic information is collected at the end of the interview – including employment
42 status, occupation, health insurance, perceived ability to afford essential goods, level of education,
43 marital status, religion and ethnicity.
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Table 2. Interview schedule

Interview topic (sections 1-6)	Key interview questions
1. Overview of life and values	<ul style="list-style-type: none"> • Tell me about yourself and your life, for example, what you spend your time doing and how you enjoy yourself. • What sorts of things do you worry about?
2. Information seeking behaviours and influences	<ul style="list-style-type: none"> • Can you tell me how you find out what is happening generally in the world? • And who are the people whose opinion you value or with whom you discuss important issues with? And why is that?
3. Views about health and vaccinations	<ul style="list-style-type: none"> • Can I ask how you feel your own health is? • When you think about your health, what are all the things that come to mind? Do you do anything to keep healthy? What sorts of things? • Which doctors or nurses do you particularly trust and listen to, if any? And why is that? Why is that important to you? • Thinking now about vaccinations, what are all the things that come to mind when you think about vaccinations? • Looking at these cards, which are all adult vaccinations, please can you sort them into groups?
4. Journey to vaccination (or non-vaccination)	<ul style="list-style-type: none"> • How would you describe to a friend how you came to have (or not to have) the vaccination? What things happened that meant you ended up getting (or not getting) vaccinated? • What would you say happened at that point that triggered that change (or decision)? And why was that important? • How did you know where to go for the vaccination? How did you book an appointment and fit it into your plans? What other things were competing for your time? • Before you were vaccinated, do you remember any times when you thought about or started the process towards being vaccinated but didn't end up getting vaccinated? (vaccinated) • Of all of those things, which would you say was the most important thing that led to you not getting vaccinated? And why is that? And the second most important thing? And the third? (non-vaccinated)
5. Children's vaccinations	<ul style="list-style-type: none"> • In general, do you think people should vaccinate their children against tetanus? Why/why not? • And do you think people should vaccinate their children against flu? Why/why not?
6. Factual knowledge on flu and tetanus and related vaccines	<ul style="list-style-type: none"> • How much would you say you know about flu/tetanus? How serious or life-threatening do you think the disease is? In general, how likely do you think you are to catch the disease? • How much would you say you know about the vaccine for flu/tetanus? Do you happen to know how often it is recommended that you have it, or who it is recommended for?

Data analyses

The recorded interviews are professionally transcribed and translated into English, and checked for accuracy by Ipsos MORI. To ensure reliability of coding and interpretation all the transcripts will be analysed by one academic researcher (AW) and 50% of the transcripts will be double-coded independently by a second researcher[33]. Differences will be resolved through dialogue until consensus is reached. Using thematic analysis, an initial categorising system will be developed based on the study objectives and the topics explored[34 35]. New themes and sub-themes emerging from the data analysis will be identified and included when consensus is reached regarding their relevance. A final thematic index will be produced to code all data – and verbatim quotes to support the extracted themes will be tabulated. Additionally, a journey to vaccination for flu and other for tetanus will be produced for each participant. Differences and commonalities emerging from these data will be identified and synthesised, and, if possible, typical journeys will be proposed.

Research team

This is a collaborative study designed and undertaken by Imperial College London (academic partner), Sanofi Pasteur (commercial partner) and Ipsos MORI (market research partner). A steering group comprising Imperial College London senior researchers, Sanofi Pasteur directors and Ipsos MORI research directors provide on-going academic input, project management and strategic direction.

CONCLUSION

Vaccination uptake is significantly influenced by a constellation of social and psychological factors. In order to capture these factors, and to understand their relative importance, we need to go beyond readily available, and in some cases, post-rationalised responses, and explore underlying motivations which may be driving vaccination behaviour. This study combines qualitative techniques, service design and psychology theories to develop the journey to vaccination, a new approach aimed at understanding vaccination decision-making processes across time. The journey to vaccination approach will allow us to explore how people's beliefs and attitudes towards vaccination are shaped

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3 by their context and experiences, and to evaluate whether vaccination decision-making is driven by
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5 heuristic judgement, logical analysis or both, and to what extent.
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9 The global scope of this research will allow us to perform cross cultural comparisons, which will in
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11 turn shed light on key internal (e.g. beliefs, perceptions) and external (e.g. HCP advice, vaccine
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13 availability, cost) stimuli which influence vaccination behaviour across different vaccines,
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15 geographies and populations. Our findings can provide a deeper understanding of the barriers and
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17 drivers to adult vaccination, which may in turn lead to more effective interventions.
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Figure 1. The brand touchpoint wheel.

Source: Dunn & Davis (2003)[30]

Figure 2. Journey to vaccination

Figure 3. Example of a journey to flu non-vaccination

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Contributions

All the authors agree with the manuscript's contents. AW, NS and MM contributed to the design of the study and interview schedule. All authors contributed to the write-up.

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Figure 1. The brand touchpoint wheel.
Source: Dunn & Davis (2003)[30]

190x275mm (96 x 96 DPI)

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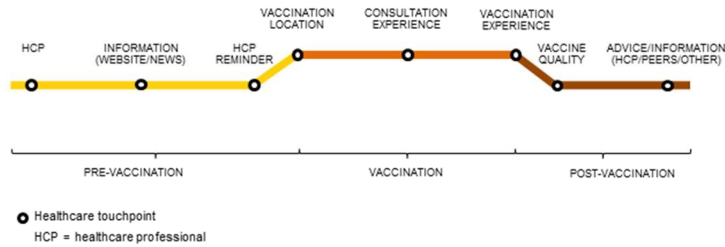


Figure 2. Journey to vaccination

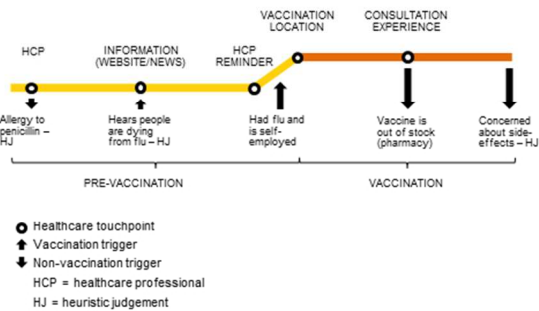


Figure 3. Example of a journey to flu non-vaccination

190x275mm (96 x 96 DPI)



Journey to vaccination: a protocol for a multinational qualitative study

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3 **JOURNEY TO VACCINATION: A PROTOCOL FOR A MULTINATIONAL**
4 **QUALITATIVE STUDY**
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ABSTRACT

Introduction. In the last two decades, childhood vaccination coverage has increased dramatically, averting an estimated 2 to 3 million deaths per year. Adult vaccination coverage, however, remains inconsistently recorded and substandard. Although structural barriers are known to limit coverage, social and psychological factors can also affect vaccine uptake. Previous qualitative studies have explored beliefs, attitudes and preferences associated with seasonal influenza (flu) vaccination uptake, yet little research has investigated how participants' context and experiences influence their vaccination decision-making process over time. This paper aims to provide a detailed account of a mixed methods approach designed to understand the wider constellation of social and psychological factors likely to influence adult vaccination decisions, as well as the context in which these decisions take place, in the US, the UK, France, India, China and Brazil.

Methods and analysis. We employ a combination of qualitative interviewing approaches to reach a comprehensive understanding of the factors influencing vaccination decisions, specifically seasonal flu and tetanus. To elicit these factors, we developed the journey to vaccination, a new qualitative approach anchored on the *heuristics and biases* tradition and the *customer journey mapping* approach. A purposive sampling strategy is used to select participants who represent a range of key socio-demographic characteristics. Thematic analysis will be used to analyse the data. Typical journeys to vaccination will be proposed.

Ethics and dissemination. Vaccination uptake is significantly influenced by social and psychological factors, some of which are underreported and poorly understood. This research will provide a deeper understanding of the barriers and drivers to adult vaccination. Our findings will be published in relevant peer-reviewed journals and presented at academic conferences. They will also be presented as practical recommendations at policy and industry meetings and healthcare professionals' forums. This research was approved by relevant local ethics committees.

ARTICLE SUMMARY

Article focus

A protocol of a mixed method qualitative study aiming to elicit underlying beliefs, attitudes and preferences affecting adult vaccination decisions.

Key messages

- Vaccination decision-making is significantly influenced by social and psychological factors and often driven by intuition.
- People tend to fall back on readily available information and report post-decisional rationalisations of their behaviours rather than actual drivers, particularly when these are perceived to be unfounded.
- To better understand vaccination behaviour, we need to go beyond readily available responses and explore individuals' context, personal circumstances and past experiences, and how they influence vaccination decisions over time.

Strengths and limitations of this study

- The *journey to vaccination*, a multidisciplinary qualitative approach, is used to elicit underlying beliefs, attitudes and preferences affecting vaccination decisions.
- A multinational and relevant sample population will be recruited.
- The interview schedules and local interviewers' training are standardised, which will enable data comparability.
- Challenges in recruiting specific participant categories may be encountered and cross-cultural variations will need to be documented and explained.

BACKGROUND

In the last two decades, childhood vaccination coverage has increased dramatically, averting an estimated 2 to 3 million deaths per year, along with myriad episodes of illness and disability[1 2].

Adult vaccination coverage, however, remains poorly recorded and substandard[2 3].

Two important adult routine vaccines are seasonal influenza (flu) and tetanus containing vaccines[4]. An annual flu vaccine is recommended to all adults, particularly those aged ≥ 65 years and under 65s with certain medical conditions such as asthma, heart disease and diabetes. Despite this recommendation, in any given year flu epidemics can cause between 500,000 and 1,000,000 deaths globally[5]. A tetanus containing booster is recommended every ten years to prevent tetanus and other diseases such as pertussis, diphtheria and polio. Although tetanus morbidity and mortality is mostly neo-natal and maternal, globally, an estimated 13,000 annual non-maternal adults deaths are due to tetanus infection[6]. Moreover, it has been established that unvaccinated adolescents and adults, or those with waning immunity, have become a major source of pertussis infection for unvaccinated infants[7]. The World Health Organisation (WHO) estimated that in 2008, 195,000 children under 5 years of age died from pertussis and 199,000 from flu, many of whom were infected by an adult[8].

Although structural barriers, such as access to care and vaccine availability, are known to limit coverage, social and psychological factors can also affect vaccine uptake. For example, perceived susceptibility to flu and concerns about vaccine safety and effectiveness have been shown to significantly influence vaccination behaviour[9 10]. The relevance of these factors to vaccination decisions has become a focus of policy discussions, such that national and supranational immunisation advisory committees are now evaluating how to best measure confidence in vaccines to inform and evaluate future interventions[11].

Making decisions about our own health in general, and vaccinations in particular, can be a difficult task. Typically, it involves navigating an often intricate healthcare system, discussing the issue with a healthcare professional (HCP), researching the internet, consulting family members and peers, and

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3 trying to make sense of all the available information, which is likely to be incomplete and
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5 conflicting[12]. A key challenge in decision-making processes regarding health is weighing up the
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7 benefits of an intervention versus its potential harm. In the case of vaccinations, this process can be
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9 particularly complex as it often entails the assessment of several disease-related variables including
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11 severity, likelihood of catching the pathogen and susceptibility to it, as well as vaccine attributes such
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13 as effectiveness, side-effects and safety, among others[13]. Furthermore, the benefits and drawbacks
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15 of vaccines are normally conveyed in statistical terms, a language that has proven to be difficult to
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17 grasp for most people. For example, results from an experimental study showed that 16% to 20% of
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19 highly educated participants incorrectly answered relatively simple questions about risk magnitudes
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21 (e.g., which represents the larger risk: 1%, 5%, or 10%?)[14]. It is, therefore, conceivable that a
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23 significantly larger proportion of less educated individuals, who constitute a majority of the
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25 population, are likely to misunderstand this type of data.
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29 A vast body of research has demonstrated that when people are unable to assess risk using statistical
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31 reasoning they often rely on heuristics, an experience-based and intuitive approach used to facilitate
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33 decision-making[15-17]. Heuristics represent what psychologists have termed ‘cognitive shortcuts’, in
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35 other words, they allow an inference to be made regarding risk without going through numerous
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37 analytical calculations. By its very nature, the use of heuristics can be efficient and accurate in some
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39 occasions, but it can also lead to cognitive errors and flawed decision making when used in
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41 circumstances that require thorough logical analysis[18]. Furthermore, individuals’ judgement is often
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43 influenced by their context and personal or family experiences, whether these are conscious or
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45 not[19]. Health decisions in general, and vaccination decisions in particular, can also be significantly
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47 influenced by patients’ trust in HCPs and the latter’s ability to communicate risk effectively[20].
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51 Although qualitative studies have explored beliefs, attitudes and preferences associated with flu
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53 vaccination uptake, thus far, little research has investigated how participants’ own context and
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55 experiences influence their vaccination decision-making process over time[21-23]. Furthermore, most
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57 studies focus on populations from developed countries; relevant evidence from developing countries
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3 is largely missing. Qualitative research on adult tetanus boosters is limited and focuses on neonatal
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5 tetanus.
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9 This article aims to provide a detailed account of a mixed method qualitative approach designed to
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11 understand the wider constellation of social and psychological factors likely to influence adult
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13 vaccination behaviour, and their relative importance, as well as the context in which these decisions
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15 take place. Our focus is on social influences, beliefs and attitudes affecting the uptake of seasonal flu
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17 vaccines and tetanus boosters, as these aspects have been found to be particularly influential in
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19 vaccination decision-making. Our research will be conducted in key developed and developing
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21 economies – US, UK, France, India, China and Brazil.
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24 25 **CONCEPTUAL FRAMEWORK** 26

27 Our research sits well within the constructivist (or interpretivist) paradigm, which is concerned with
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29 people's experiences from the perspective of those who live them, and whereby the researcher and
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31 participant “jointly create findings from their interactive dialogue and interpretation”[24]. From an
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33 epistemological point of view, however, our position draws from both constructivism and positivism,
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35 in that we recognise there is a degree of bias introduced by the researcher's experience when creating
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37 knowledge, but the researcher will endeavour to be objective and to elicit the participant's experience
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39 in an unprejudiced manner[25].
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43 The methodology of this study rests on two theoretical approaches: *heuristics and biases*, specifically
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45 the *availability* heuristic[26], and *customer journey mapping*[27-29]. We explain these below.
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49 As mentioned in the previous section, people rely on a limited number of heuristics which reduce the
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51 complexity of calculating probabilities and predicting outcomes to simpler mental operations. A
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53 frequently used heuristic is availability, the tendency to make judgements about the frequency or
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55 probability of an event based on the ease with which a similar episode can be recalled[30]. The use of
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57 this heuristic could yield accurate actions but it could also lead to erroneous decisions. For example, a
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3 high-risk individual may be prompted to have a flu vaccine after being exposed to extensive media
4 coverage about one single flu-related death. The following season, he may decide not to have the flu
5 vaccine due to a friend experiencing side-effects (e.g. flu-like symptoms) after receiving a flu vaccine.
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7 In both cases, his decision-making is determined by the ease with which the risks associated with flu
8 or the flu vaccine spring to mind (which vary between the two seasons), as opposed to the statistical
9 probability of experiencing either adverse effect (which may be constant across the two seasons). The
10 first decision, however, is aligned with current vaccination recommendations, whereas the second is
11 not.
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21 Additionally, it has been established that people's evaluation of the logical strength of an argument is
22 often biased by their pre-existent belief in the truth or falsity of the conclusion[31]. For example, if
23 the same high-risk individual distrusts the medical establishment and the pharmaceutical industry and
24 prefers alternative medicine instead, it is likely that the news about a flu-related death will have a
25 lesser impact on his vaccination decision than his friend's reported side-effects – due to mentally
26 over-weighting the vaccine adverse effects, which are consistent with his pre-existing beliefs.
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28 Importantly, belief-based decision-making need not be conscious[32 33]. Thus, a decision based on
29 intuition may be later post-rationalised and explained using analytical-sounding arguments, when in
30 reality cost-benefit analysis was not employed.
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41 The customer journey mapping approach is commonly used in service design to capture and evaluate
42 people's experience of different services. Although some elements may be more important than
43 others, this approach considers the overall experience of the service user as the result of every element
44 in a journey through a service. The customer journey mapping approach has been mainly used by the
45 transport and tourism industries, yet it has also enabled health providers to improve their services by
46 uncovering key areas which deserve attention and focus improvement efforts on such areas[34]. Of
47 particular note is the *brand touchpoint wheel* developed a decade ago by Dunn and Davies[35]. This
48 conceives the customer journey as a wheel comprised of three main stages (pre-purchase, purchase
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3 and post-purchase experience) and a number of *touchpoints*, which are key points at which the
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5 consumer interacts with a particular product or service (see Fig. 1).
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8 9 **Our approach: *journey to vaccination***

10
11 Most qualitative studies in the field of vaccination decision-making have elicited barriers and enablers
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13 to vaccination using traditional methodological approaches such as explicit enquiry (e.g. why did you
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15 vaccinate?) and indiscriminate use of probes, often within a focus group setting. A key shortcoming of
16
17 these approaches is that the impact of individuals' personal circumstances and past experiences on
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19 vaccination decisions over time is seldom explored. Thus, researchers may fail to notice participants'
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21 tendency to fall back on readily available information and report post-decisional rationalisations of
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23 their behaviours rather than actual drivers.
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27 In an effort to address these shortcomings, we developed a new qualitative approach which we call
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29 *journey to vaccination*. Anchored on the two complementary lines of thought described above and
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31 nested within the qualitative research tradition, journey to vaccination is a visual exercise in which the
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33 interviewer and the participant jointly build a timeline that captures salient events that led the
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35 participant to get or not to get vaccinated. The exercise starts with a participant's latest flu or tetanus
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37 vaccination experience as an adult; it then extends backwards to the participant's first memory of such
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39 experience. The participant is asked to describe these events, which in turn allows the interviewer to
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41 indirectly elicit a range of factors that affected positively or negatively the decision to get vaccinated.
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43 Importantly, this exercise enables the participant to produce a personal historical narrative, through
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45 which vaccination decisions are discussed as a continuum and not in isolation from each other or from
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47 other important health, or lifestyle-related decisions.
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51 The journey to vaccination approach is designed to comprehensively capture psychological but also
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53 social influences on vaccination decisions. Participants are, therefore, asked to recall key actors who
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55 were involved in the vaccination process (e.g. their family) and how they influenced the process.
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57 Emotional aspects of the decision-making are also explored and taken into account.
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5 Based on the customer journey mapping approach described earlier and previous evidence on
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7 vaccination behaviour, we envisage a journey to vaccination to be comprised of three stages and a
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9 number of touchpoints at which the individual interacts with related health services (Figure 2): 1) pre-
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11 vaccination period (appointment with HCP, information – websites, news, vaccination campaigns,
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13 peers – and HCP reminders); 2) the vaccination experience itself (location, consultation experience
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15 and vaccination experience); and 3) a post-vaccination experience (vaccine quality – e.g. side-effects,
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17 effectiveness – and post-vaccination advice or information – from HCP, peers and other sources)[10
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19 35 36]. An important component of a journey to vaccination is a cue to action or trigger, which
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21 consists of an internal or external stimulus (e.g. salient health related experiences, advice from a
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23 relative) that prompts individuals to vaccinate or not to vaccinate. Existing evidence suggests that
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25 vaccination triggers may usually take place during the pre-vaccination stage and could sometimes
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27 overlap with vaccination touchpoints[10]. For example, a vaccination reminder letter from the general
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29 practitioner would be both a trigger and a touchpoint, if participants explicitly mention that the letter
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31 prompted them to vaccinate.
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35 Figure 3 illustrates a journey to flu non-vaccination of a participant from the UK pilot (see Procedure
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37 section below) who is not at high-risk (i.e. not eligible for free vaccination). The participant
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39 mentioned that an allergy to penicillin discovered when he was younger, which his doctor refused to
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41 acknowledge, had made him anxious about other medications' side-effects, including vaccines. This
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43 was recorded as the first relevant touchpoint and trigger away from vaccination. He then pointed out
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45 that some time ago he had heard on the news there had been flu-related deaths, and that this had been
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47 a cause for concern which made him consider having a flu shot (another touchpoint and trigger to
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49 vaccination). Subsequently, he recalled having the flu and worrying about the consequences of being
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51 out of work due to his self-employed status (trigger to vaccination). The participant then reported that
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53 at that stage he had tried to get a flu vaccine at a pharmacy, but it was out of stock (touchpoint and
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55 trigger away from vaccination). Finally, the participant remembered the vaccine could have side-
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57 effects and decided to stop trying to get vaccinated (trigger away from vaccination). This journey,
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3 therefore, does not include a post-vaccination stage. Importantly, analysis of the participant's account
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5 of his journey to non-vaccination indicates a tendency to make decisions based on heuristics rather
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7 than logical analyses. For example, the participant's motivation to have a flu shot after hearing on the
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9 news about flu-related deaths was based on the mental availability of this piece of information and not
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11 his actual risk of death from flu.
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13 14 15 **STUDY AIMS**

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17 The primary aim of this study is to gain deeper understanding of the social and psychological factors
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19 influencing the uptake of two different adult vaccines across key high and middle-income countries
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21 with diverse healthcare systems: The US, the UK, France, India, China and Brazil. Specifically, we
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23 are interested in exploring how people's experiences shape their beliefs, attitudes and behaviour
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25 towards vaccines. A secondary objective is to develop more effective methods to elicit such data.
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28 29 **METHODS**

30 31 **Setting**

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33 This research is conducted in six countries, in urban, sparsely populated towns and rural areas of the
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35 following states or regions: New York, New Jersey and Illinois (US); West Midlands, London and
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37 South East (UK); Nord Pas-de-Calais and Île-de-France (France); Maharashtra and Karnataka (India);
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39 Shanghai and Guangzhou (China); and São Paulo and Rio de Janeiro (Brazil).
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42 43 **Sampling and recruitment**

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45 A purposive sampling strategy is used to select adult participants who are both vaccinated and not
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47 vaccinated against flu and tetanus and represented a range of socio-demographic characteristics
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49 associated with vaccination uptake, notably age and health status (see Table 1). In an effort to reduce
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51 recall bias[11], only those who have been vaccinated in the past 12 months are eligible to participate.
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53 Potential participants are selected at random from current telephone directories. For consistency, a
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55 minimum of 20 participants are recruited per country, an acceptable sample size for a qualitative
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57 study[37].
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Table 1. Purposive sampling strategy

KEY DEMOGRAPHIC CHARACTERISTICS	Minimum participant quota per country
Eligible chronic condition*	7 with
	7 without
Gender	8 female
	8 male
Parent/Guardian of child/children under 18	4 mothers
	4 fathers
Age	8 18-49
	4 50-64
	6 ≥65
Socio-economic group (social grade)**	7 ABC1
	7 C2DE
Adults who have had ONE of the vaccines	4 flu
	3 tetanus
Have had both tetanus and flu vaccines	6
Have not had either vaccination	6
Urban/rural***	5
TOTAL	20

*These include asthma, obstructive pulmonary disease (COPD) or bronchitis, heart disease, kidney disease, liver disease, neurological conditions, weakened immune system due to conditions such as HIV and AIDS, or as a result of medication such as steroid tablets or chemotherapy. **A = higher socio-economic group and E = lower socioeconomic group. We used country-specific occupation and income data to determine participants' social grade. ***The urban/rural quotas for UK and France were relaxed due to the quality and coverage of their public health systems.

We prioritise unvaccinated participants who state that they will either definitely or probably get vaccinated against flu or tetanus “one day” or that they will probably not get vaccinated against flu or tetanus, as these attitudes are representative of the majority of the non-vaccinated population[38]. This is done via the following screening question at the time of recruitment: “Which of the following statements most closely reflects your attitude to the flu and tetanus vaccinations? 1) I will definitely get vaccinated against flu or tetanus one day; 2) I will probably get vaccinated against get vaccinated against flu or tetanus one day; 3) I will probably not get vaccinated against flu or tetanus; 4) I will definitely not get vaccinated against flu or tetanus”.

Procedure

The data collection is carried out jointly by the first author (UK and US) and Ipsos MORI, a multinational market research firm and their associates. Interviewers have been trained either face-to-face or via teleconference (US) by Ipsos MORI researchers. They have also been provided with a

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3 manual containing detailed interview instructions. Interview guides and materials have been translated
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5 into French (France), Hindi, Marathi and Kannada (India), Chinese (China) and Portuguese (Brazil)
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7 by the local research teams. Interviews are carried out by trained interviewers from Imperial College
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9 London, the market research company Ipsos MORI or their local associates. Participants are
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11 interviewed face-to-face in their native language for approximately 60 minutes at home or a central
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13 interviewing facility and interviews are digitally recorded. Participants are fully informed about the
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15 study via a participant information sheet. Written consent is obtained and each participant receives an
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17 equivalent of £11-£78 incentive, depending upon the country and location of the interview, in return
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19 for their time. Before commencing each interview, participants are reminded about the strict
20
21 confidentiality of their responses.
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25 A pre-pilot was conducted with N = 4 (two researchers from Imperial College London and two from
26
27 Ipsos MORI not involved in the present study) to test duration and flow of the interview.
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29
30 Consequently, the interview guide was simplified and shortened. These interviews will not be
31
32 included in the final sample for analysis. A piloting technique was subsequently used for the first
33
34 three interviews in the UK, whereby the research team observed each interview behind a one-way
35
36 mirror and evaluated its quality in real-time. At the end of the session, minor amendments to the
37
38 interview guide were agreed and the final interview materials produced.
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40
41 We use two semi-structured and probing interview schedules, one for vaccinated and one for non-
42
43 vaccinated participants, constructed through expert consultations and a literature review[10] (See
44
45 Table 2). We employ a combination of interviewing techniques to reach a comprehensive
46
47 understanding of the factors underpinning vaccination decisions. The schedule comprises six sections
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49 – as follows:
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53 Section 1 aims to obtain an overview of participants' life and values, to build rapport and to identify
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55 important issues to assist with probing throughout the interview.
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3 Section 2 aims to elicit participants' general information-seeking behaviours and influences. We
4 explore information sources (e.g. media, family, peers, etc.) through which people's knowledge about
5 and attitudes towards vaccines may be formed.
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11 Section 3 examines participants' views towards health, HCPs and adult vaccines. This section aims to
12 understand how people's perceptions towards their own health and their relationship with HCPs
13 influence their stance on vaccines. General views on adult vaccines are evaluated by asking
14 participants to arrange five adult vaccinations (flu, tetanus, pneumonia, hepatitis and measles, mumps
15 and rubella (MMR)) into one or more groups. By identifying how people group vaccines, and the
16 reasons for their groupings, we aim to contextualise and gain deeper understanding of their views on
17 flu vaccines and tetanus containing boosters.
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27 Section 4 explores participants' journeys to vaccination (or non-vaccination) for both flu vaccines and
28 tetanus containing boosters. We aim to undertake an in-depth exploration of the vaccination decision-
29 making process by identifying important aspects that lead people to vaccinate or not to vaccinate.
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36 In an effort to circumvent availability bias, we avoid asking direct questions such as 'why did you
37 have a flu shot?' Instead, we explore the set of circumstances and emotions that drive participants to
38 accept or refuse vaccination, aided by an elicitation technique called *laddering*, which provides a
39 simple and systematic way of establishing people's core values and beliefs, and the linkages between
40 these and key behaviours, in this case, vaccination[39]. To minimise post-rationalisation, we do not
41 use probes in this section of the interview.
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50 Section 5 of the interview examines participants' attitudes toward children's vaccinations. We aim to
51 understand whether people's views about adult vaccines correspond with their views about children's
52 and how.
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Finally, in section 6 we explore participants' knowledge of the two diseases and vaccines (i.e. flu and tetanus) to understand to what extent their decision-making is influenced by facts.

Key socio-demographic information is collected at the end of the interview – including employment status, occupation, health insurance, perceived ability to afford essential goods, level of education, marital status, religion and ethnicity.

Table 2. Interview schedule

Interview topic (sections 1-6)	Key interview questions
1. Overview of life and values	<ul style="list-style-type: none"> • Tell me about yourself and your life, for example, what you spend your time doing and how you enjoy yourself. • What sorts of things do you worry about?
2. Information seeking behaviours and influences	<ul style="list-style-type: none"> • Can you tell me how you find out what is happening generally in the world? • And who are the people whose opinion you value or with whom you discuss important issues with? And why is that?
3. Views about health and vaccinations	<ul style="list-style-type: none"> • Can I ask how you feel your own health is? • When you think about your health, what are all the things that come to mind? Do you do anything to keep healthy? What sorts of things? • Which doctors or nurses do you particularly trust and listen to, if any? And why is that? Why is that important to you? • Thinking now about vaccinations, what are all the things that come to mind when you think about vaccinations? • Looking at these cards, which are all adult vaccinations, please can you sort them into groups?
4. Journey to vaccination (or non-vaccination)	<ul style="list-style-type: none"> • How would you describe to a friend how you came to have (or not to have) the vaccination? What things happened that meant you ended up getting (or not getting) vaccinated? • What would you say happened at that point that triggered that change (or decision)? And why was that important? • How did you know where to go for the vaccination? How did you book an appointment and fit it into your plans? What other things were competing for your time? • Before you were vaccinated, do you remember any times when you thought about or started the process towards being vaccinated but didn't end up getting vaccinated? (vaccinated) • Of all of those things, which would you say was the most important thing that led to you not getting vaccinated? And why is that? And the second most important thing? And the third?

	(non-vaccinated)
5. Children's vaccinations	• In general, do you think people should vaccinate their children against tetanus? Why/why not?
	• And do you think people should vaccinate their children against flu? Why/why not?
6. Factual knowledge on flu and tetanus and related vaccines	• How much would you say you know about flu/tetanus? How serious or life-threatening do you think the disease is? In general, how likely do you think you are to catch the disease?
	• How much would you say you know about the vaccine for flu/tetanus? Do you happen to know how often it is recommended that you have it, or who it is recommended for?

Data analyses

The recorded interviews are professionally transcribed and translated into English, and checked for accuracy by Ipsos MORI. To ensure reliability of coding and interpretation all the transcripts will be analysed by one academic researcher (AW) and 50% of the transcripts will be analysed independently by a second researcher[40]. Differences will be resolved through dialogue until consensus is reached.

Using thematic analysis, an initial categorising system will be developed based on the study objectives and the topics explored[41 42]. New themes and sub-themes emerging from the data analysis will be identified and included when consensus is reached regarding their relevance. A final thematic index will be produced to code all data – and verbatim quotes to support the extracted themes will be tabulated. Additionally, a journey to vaccination for flu and other for tetanus will be produced for each participant. Differences and commonalities emerging from these data will be identified and synthesised, and, if possible, typical journeys will be proposed.

Ethics and dissemination

This is a collaborative study designed and undertaken by Imperial College London (academic partner), Sanofi Pasteur (commercial partner) and Ipsos MORI (market research partner). A steering group comprising Imperial College London senior researchers, Sanofi Pasteur directors and Ipsos MORI research directors provide on-going academic input, project management and strategic direction.

Ipsos MORI follows the European Society of Market Research Organisations (ESOMAR) Code of Conduct for international fieldwork. This research is also carried out in accordance with the requirements of the international quality standard for market research, ISO 20252:2006, International general company standard ISO 9001:2008 and International standard for information security ISO 27001:2005. Additionally, this study was approved by Imperial College Research Ethical Committee (ICREC) in the UK, American Institutes for Research (AIR) in the US, Commission nationale de l'informatique et des libertés (CNIL) and Comité de protection des personnes "Ile-de-France III" in France, Safe Search Independent Ethics Committee in India, Shanghai Clinical Research Center in China and Comissão Nacional de Ética em Pesquisa (CONEP) in Brazil.

The nature of the research topic and the sample (general population) make this study one with few ethical issues. However, we recognise that all subjects should be willing and able to participate in this study and that there is a small possibility that respondents may disclose information that could potentially cause psychological distress for the individual if the purposes of the research are misunderstood. To address these issues, all participants are informed about the purposes of the research and written consent is obtained from the participants prior to their involvement in the study. Furthermore, when designing the interview schedule, there has been due consideration to the phrasing of the questions so as not to attribute blame, for example, for not carrying out responsible duties associated with participants' own health or that of the general public.

Our findings will be disseminated to relevant policy, industry, clinical and academic audiences through different outlets. These will be presented as practical recommendations at policy and industry meetings and healthcare professionals' forums. Our results will also be presented at academic conferences and published in peer-reviewed journals.

CONCLUSION

Vaccination uptake is significantly influenced by a constellation of social and psychological factors. In order to capture these factors, and to understand their relative importance, we need to go beyond

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3 readily available, and in some cases, post-rationalised responses, and explore underlying motivations
4 which may be driving vaccination behaviour. This study combines qualitative techniques, service
5 design and psychology theories to develop the journey to vaccination, a new approach aimed at
6 understanding vaccination decision-making processes across time. The journey to vaccination
7 approach will allow us to explore how people's beliefs and attitudes towards vaccination are shaped
8 by their context and experiences, and to evaluate whether vaccination decision-making is driven by
9 heuristic judgement, logical analysis or both, and to what extent.
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19 The global scope of this research will allow us to perform cross cultural comparisons, which will in
20 turn shed light on key internal (e.g. beliefs, perceptions) and external (e.g. HCP advice, vaccine
21 availability, cost) stimuli which influence vaccination behaviour across different vaccines,
22 geographies and populations. Our findings can provide a deeper understanding of the barriers and
23 drivers to adult vaccination, which may in turn lead to more effective interventions.
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3 **Figure 1.** The brand touchpoint wheel.

4 Source: Dunn & Davis (2003)[35]
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8 **Figure 2.** Journey to vaccination
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11 **Figure 3.** Example of a journey to flu non-vaccination
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For peer review only

Contributions

All the authors agree with the manuscript's contents. AW, NS and MM contributed to the design of the study and interview schedule. All authors contributed to the write-up.

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3 **JOURNEY TO VACCINATION: A PROTOCOL FOR A MULTINATIONAL**
4 **QUALITATIVE STUDY**
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ABSTRACT

Introduction. In the last two decades, childhood vaccination coverage has increased dramatically, averting an estimated 2 to 3 million deaths per year. Adult vaccination coverage, however, remains inconsistently recorded and substandard. Although structural barriers are known to limit coverage, social and psychological factors can also affect vaccine uptake. Previous qualitative studies have explored beliefs, attitudes and preferences associated with seasonal influenza (flu) vaccination uptake, yet little research has investigated how participants' context and experiences influence their vaccination decision-making process over time. This paper aims to provide a detailed account of a mixed methods approach designed to understand the wider constellation of social and psychological factors likely to influence adult vaccination decisions, as well as the context in which these decisions take place, in the US, the UK, France, India, China and Brazil.

Methods and analysis. We employ a combination of qualitative interviewing approaches to reach a comprehensive understanding of the factors influencing vaccination decisions, specifically seasonal flu and tetanus. To elicit these factors, we developed the journey to vaccination, a new qualitative approach anchored on the *heuristics and biases* tradition and the *customer journey mapping* approach. A purposive sampling strategy is used to select participants who represent a range of key socio-demographic characteristics. Thematic analysis will be used to analyse the data. Typical journeys to vaccination will be proposed.

Ethics and dissemination. Vaccination uptake is significantly influenced by social and psychological factors, some of which are underreported and poorly understood. This research will provide a deeper understanding of the barriers and drivers to adult vaccination. Our findings will be published in relevant peer-reviewed journals and presented at academic conferences. They will also be presented as practical recommendations at policy and industry meetings and healthcare professionals' forums. This research was approved by relevant local ethics committees.

ARTICLE SUMMARY

Article focus

A protocol of a mixed method qualitative study aiming to elicit underlying beliefs, attitudes and preferences affecting adult vaccination decisions.

Key messages

- Vaccination decision-making is significantly influenced by social and psychological factors and often driven by intuition.
- People tend to fall back on readily available information and report post-decisional rationalisations of their behaviours rather than actual drivers, particularly when these are perceived to be unfounded.
- To better understand vaccination behaviour, we need to go beyond readily available responses and explore individuals' context, personal circumstances and past experiences, and how they influence vaccination decisions over time.

Strengths and limitations of this study

- The *journey to vaccination*, a multidisciplinary qualitative approach, is used to elicit underlying beliefs, attitudes and preferences affecting vaccination decisions.
- A multinational and relevant sample population will be recruited.
- The interview schedules and local interviewers' training are standardised, which will enable data comparability.
- Challenges in recruiting specific participant categories may be encountered and cross-cultural variations will need to be documented and explained.

BACKGROUND

In the last two decades, childhood vaccination coverage has increased dramatically, averting an estimated 2 to 3 million deaths per year, along with myriad episodes of illness and disability[1 2]. Adult vaccination coverage, however, remains poorly recorded and substandard[2 3].

Two important adult routine vaccines are seasonal influenza (flu) and tetanus containing vaccines[4]. An annual flu vaccine is recommended to all adults, particularly those aged ≥ 65 years and under 65s with certain medical conditions such as asthma, heart disease and diabetes. Despite this recommendation, in any given year flu epidemics can cause between 500,000 and 1,000,000 deaths globally[5]. A tetanus containing booster is recommended every ten years to prevent tetanus and other diseases such as pertussis, diphtheria and polio. Although tetanus morbidity and mortality is mostly neo-natal and maternal, globally, an estimated 13,000 annual non-maternal adults deaths are due to tetanus infection[6]. Moreover, it has been established that unvaccinated adolescents and adults, or those with waning immunity, have become a major source of pertussis infection for unvaccinated infants[7]. The World Health Organisation (WHO) estimated that in 2008, 195,000 children under 5 years of age died from pertussis and 199,000 from flu, many of whom were infected by an adult[8].

Although structural barriers, such as access to care and vaccine availability, are known to limit coverage, social and psychological factors can also affect vaccine uptake. For example, perceived susceptibility to flu and concerns about vaccine safety and effectiveness have been shown to significantly influence vaccination behaviour[9 10]. The relevance of these factors to vaccination decisions has become a focus of policy discussions, such that national and supranational immunisation advisory committees are now evaluating how to best measure confidence in vaccines to inform and evaluate future interventions[11].

Making decisions about our own health in general, and vaccinations in particular, can be a difficult task. Typically, it involves navigating an often intricate healthcare system, discussing the issue with a healthcare professional (HCP), researching the internet, consulting family members and peers, and

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3 trying to make sense of all the available information, which is likely to be incomplete and
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5 conflicting[12]. A key challenge in decision-making processes regarding health is weighing up the
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7 benefits of an intervention versus its potential harm. In the case of vaccinations, this process can be
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9 particularly complex as it often entails the assessment of several disease-related variables including
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11 severity, likelihood of catching the pathogen and susceptibility to it, as well as vaccine attributes such
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13 as effectiveness, side-effects and safety, among others[13]. Furthermore, the benefits and drawbacks
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15 of vaccines are normally conveyed in statistical terms, a language that has proven to be difficult to
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17 grasp for most people. For example, results from an experimental study showed that 16% to 20% of
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19 highly educated participants incorrectly answered relatively simple questions about risk magnitudes
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21 (e.g., which represents the larger risk: 1%, 5%, or 10%?)[14]. It is, therefore, conceivable that a
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23 significantly larger proportion of less educated individuals, who constitute a majority of the
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25 population, are likely to misunderstand this type of data.
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30 A vast body of research has demonstrated that when people are unable to assess risk using statistical
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32 reasoning they often rely on heuristics, an experience-based and intuitive approach used to facilitate
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34 decision-making[15-17]. Heuristics represent what psychologists have termed ‘cognitive shortcuts’, in
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36 other words, they allow an inference to be made regarding risk without going through numerous
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38 analytical calculations. By its very nature, the use of heuristics can be efficient and accurate in some
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40 occasions, but it can also lead to cognitive errors and flawed decision making when used in
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42 circumstances that require thorough logical analysis[18]. Furthermore, individuals’ judgement is often
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44 influenced by their context and personal or family experiences, whether these are conscious or
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46 not[19]. **Health decisions in general, and vaccination decisions in particular, can also be significantly**
47
48 **influenced by patients’ trust in HCPs and the latter’s ability to communicate risk effectively[20].**
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52 Although qualitative studies have explored beliefs, attitudes and preferences associated with flu
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54 vaccination uptake, thus far, little research has investigated how participants’ own context and
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56 experiences influence their vaccination decision-making process over time[21-23]. Furthermore, most
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58 studies focus on populations from developed countries; relevant evidence from developing countries
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3 is largely missing. Qualitative research on adult tetanus boosters is limited and focuses on neonatal
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5 tetanus.
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9 This article aims to provide a detailed account of a mixed method qualitative approach designed to
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11 understand the wider constellation of social and psychological factors likely to influence adult
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13 vaccination behaviour, and their relative importance, as well as the context in which these decisions
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15 take place. Our focus is on social influences, beliefs and attitudes affecting the uptake of seasonal flu
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17 vaccines and tetanus boosters, as these aspects have been found to be particularly influential in
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19 vaccination decision-making. Our research will be conducted in key developed and developing
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21 economies – US, UK, France, India, China and Brazil.
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24 25 **CONCEPTUAL FRAMEWORK**

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27 Our research sits well within the constructivist (or interpretivist) paradigm, which is concerned with
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29 people's experiences from the perspective of those who live them, and whereby the researcher and
30
31 participant “jointly create findings from their interactive dialogue and interpretation”[24]. From an
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33 epistemological point of view, however, our position draws from both constructivism and positivism,
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35 in that we recognise there is a degree of bias introduced by the researcher's experience when creating
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37 knowledge, but the researcher will endeavour to be objective and to elicit the participant's experience
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39 in an unprejudiced manner[25].
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44 The methodology of this study rests on two theoretical approaches: *heuristics and biases*, specifically
45
46 the *availability* heuristic[26], and *customer journey mapping*[27-29]. We explain these below.
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50 As mentioned in the previous section, people rely on a limited number of heuristics which reduce the
51
52 complexity of calculating probabilities and predicting outcomes to simpler mental operations. A
53
54 frequently used heuristic is availability, the tendency to make judgements about the frequency or
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56 probability of an event based on the ease with which a similar episode can be recalled[30]. The use of
57
58 this heuristic could yield accurate actions but it could also lead to erroneous decisions. For example, a
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3 high-risk individual may be prompted to have a flu vaccine after being exposed to extensive media
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5 coverage about one single flu-related death. The following season, he may decide not to have the flu
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7 vaccine due to a friend experiencing side-effects (e.g. flu-like symptoms) after receiving a flu vaccine.
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9 In both cases, his decision-making is determined by the ease with which the risks associated with flu
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11 or the flu vaccine spring to mind (which vary between the two seasons), as opposed to the statistical
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13 probability of experiencing either adverse effect (which may be constant across the two seasons). The
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15 first decision, however, is aligned with current vaccination recommendations, whereas the second is
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17 not.
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21 Additionally, it has been established that people's evaluation of the logical strength of an argument is
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23 often biased by their pre-existent belief in the truth or falsity of the conclusion[31]. For example, if
24
25 the same high-risk individual distrusts the medical establishment and the pharmaceutical industry and
26
27 prefers alternative medicine instead, it is likely that the news about a flu-related death will have a
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29 lesser impact on his vaccination decision than his friend's reported side-effects – due to mentally
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31 over-weighting the vaccine adverse effects, which are consistent with his pre-existing beliefs.
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33 Importantly, belief-based decision-making need not be conscious[32 33]. Thus, a decision based on
34
35 intuition may be later post-rationalised and explained using analytical-sounding arguments, when in
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37 reality cost-benefit analysis was not employed.
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41 The customer journey mapping approach is commonly used in service design to capture and evaluate
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43 people's experience of different services. Although some elements may be more important than
44
45 others, this approach considers the overall experience of the service user as the result of every element
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47 in a journey through a service. **The customer journey mapping approach has been mainly used by the**
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49 **transport and tourism industries, yet it has also enabled health providers to improve their services by**
50
51 **uncovering key areas which deserve attention and focus improvement efforts on such areas[34].** Of
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53 particular note is the *brand touchpoint wheel* developed a decade ago by Dunn and Davies[35]. This
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55 conceives the customer journey as a wheel comprised of three main stages (pre-purchase, purchase
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3 and post-purchase experience) and a number of *touchpoints*, which are key points at which the
4
5 consumer interacts with a particular product or service (see Fig. 1).
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9 **Our approach: *journey to vaccination***

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11 Most qualitative studies in the field of vaccination decision-making have elicited barriers and enablers
12
13 to vaccination using traditional methodological approaches such as explicit enquiry (e.g. why did you
14
15 vaccinate?) and indiscriminate use of probes, often within a focus group setting. A key shortcoming of
16
17 these approaches is that the impact of individuals' personal circumstances and past experiences on
18
19 vaccination decisions over time is seldom explored. Thus, researchers may fail to notice participants'
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21 tendency to fall back on readily available information and report post-decisional rationalisations of
22
23 their behaviours rather than actual drivers.
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27 In an effort to address these shortcomings, we developed a new qualitative approach which we call
28
29 *journey to vaccination*. Anchored on the two complementary lines of thought described above and
30
31 nested within the qualitative research tradition, journey to vaccination is a visual exercise in which the
32
33 interviewer and the participant jointly build a timeline that captures salient events that led the
34
35 participant to get or not to get vaccinated. The exercise starts with a participant's latest flu or tetanus
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37 vaccination experience as an adult; it then extends backwards to the participant's first memory of such
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39 experience. The participant is asked to describe these events, which in turn allows the interviewer to
40
41 indirectly elicit a range of factors that affected positively or negatively the decision to get vaccinated.
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43 Importantly, this exercise enables the participant to produce a personal historical narrative, through
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45 which vaccination decisions are discussed as a continuum and not in isolation from each other or from
46
47 other important health, or lifestyle-related decisions.
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51 The journey to vaccination approach is designed to comprehensively capture psychological but also
52
53 social influences on vaccination decisions. Participants are, therefore, asked to recall key actors who
54
55 were involved in the vaccination process (e.g. their family) and how they influenced the process.
56
57 Emotional aspects of the decision-making are also explored and taken into account.
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5 Based on the customer journey mapping approach described earlier and previous evidence on
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7 vaccination behaviour, we envisage a journey to vaccination to be comprised of three stages and a
8
9 number of touchpoints at which the individual interacts with related health services (Figure 2): 1) pre-
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11 vaccination period (appointment with HCP, information – websites, news, vaccination campaigns,
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13 peers – and HCP reminders); 2) the vaccination experience itself (location, consultation experience
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15 and vaccination experience); and 3) a post-vaccination experience (vaccine quality – e.g. side-effects,
16
17 effectiveness – and post-vaccination advice or information – from HCP, peers and other sources)[10
18
19 35 36]. An important component of a journey to vaccination is a cue to action or trigger, which
20
21 consists of an internal or external stimulus (e.g. salient health related experiences, advice from a
22
23 relative) that prompts individuals to vaccinate or not to vaccinate. Existing evidence suggests that
24
25 vaccination triggers may usually take place during the pre-vaccination stage and could sometimes
26
27 overlap with vaccination touchpoints[10]. For example, a vaccination reminder letter from the general
28
29 practitioner would be both a trigger and a touchpoint, if participants explicitly mention that the letter
30
31 prompted them to vaccinate.
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35 Figure 3 illustrates a journey to flu non-vaccination of a participant from the UK pilot (see Procedure
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37 section below) who is not at high-risk (i.e. not eligible for free vaccination). The participant
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39 mentioned that an allergy to penicillin discovered when he was younger, which his doctor refused to
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41 acknowledge, had made him anxious about other medications' side-effects, including vaccines. This
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43 was recorded as the first relevant touchpoint and trigger away from vaccination. He then pointed out
44
45 that some time ago he had heard on the news there had been flu-related deaths, and that this had been
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47 a cause for concern which made him consider having a flu shot (another touchpoint and trigger to
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49 vaccination). Subsequently, he recalled having the flu and worrying about the consequences of being
50
51 out of work due to his self-employed status (trigger to vaccination). The participant then reported that
52
53 at that stage he had tried to get a flu vaccine at a pharmacy, but it was out of stock (touchpoint and
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55 trigger away from vaccination). Finally, the participant remembered the vaccine could have side-
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57 effects and decided to stop trying to get vaccinated (trigger away from vaccination). This journey,
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3 therefore, does not include a post-vaccination stage. Importantly, analysis of the participant's account
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5 of his journey to non-vaccination indicates a tendency to make decisions based on heuristics rather
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7 than logical analyses. For example, the participant's motivation to have a flu shot after hearing on the
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9 news about flu-related deaths was based on the mental availability of this piece of information and not
10
11 his actual risk of death from flu.
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13 14 15 **STUDY AIMS**

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17 The primary aim of this study is to gain deeper understanding of the social and psychological factors
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19 influencing the uptake of two different adult vaccines across key high and middle-income countries
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21 with diverse healthcare systems: The US, the UK, France, India, China and Brazil. Specifically, we
22
23 are interested in exploring how people's experiences shape their beliefs, attitudes and behaviour
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25 towards vaccines. A secondary objective is to develop more effective methods to elicit such data.
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28 29 **METHODS**

30 31 **Setting**

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33 This research is conducted in six countries, in urban, sparsely populated towns and rural areas of the
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35 following states or regions: New York, New Jersey and Illinois (US); West Midlands, London and
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37 South East (UK); Nord Pas-de-Calais and Île-de-France (France); Maharashtra and Karnataka (India);
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39 Shanghai and Guangzhou (China); and São Paulo and Rio de Janeiro (Brazil).
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42 43 44 **Sampling and recruitment**

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46 A purposive sampling strategy is used to select adult participants who are both vaccinated and not
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48 vaccinated against flu and tetanus and represented a range of socio-demographic characteristics
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50 associated with vaccination uptake, notably age and health status (see Table 1). In an effort to reduce
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52 recall bias[11], only those who have been vaccinated in the past 12 months are eligible to participate.
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54 Potential participants are selected at random from current telephone directories. For consistency, a
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56 minimum of 20 participants are recruited per country, an acceptable sample size for a qualitative
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58 study[37].
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Table 1. Purposive sampling strategy

KEY DEMOGRAPHIC CHARACTERISTICS	Minimum participant quota per country
Eligible chronic condition*	7 with
	7 without
Gender	8 female
	8 male
Parent/Guardian of child/children under 18	4 mothers
	4 fathers
Age	8 18-49
	4 50-64
	6 ≥65
Socio-economic group (social grade)**	7 ABC1
	7 C2DE
Adults who have had ONE of the vaccines	4 flu
	3 tetanus
Have had both tetanus and flu vaccines	6
Have not had either vaccination	6
Urban/rural***	5
TOTAL	20

*These include asthma, obstructive pulmonary disease (COPD) or bronchitis, heart disease, kidney disease, liver disease, neurological conditions, weakened immune system due to conditions such as HIV and AIDS, or as a result of medication such as steroid tablets or chemotherapy. **A = higher socio-economic group and E = lower socioeconomic group. We used country-specific occupation and income data to determine participants' social grade. ***The urban/rural quotas for UK and France were relaxed due to the quality and coverage of their public health systems.

We prioritise unvaccinated participants who state that they will either definitely or probably get vaccinated against flu or tetanus “one day” or that they will probably not get vaccinated against flu or tetanus, as these attitudes are representative of the majority of the non-vaccinated population[38]. This is done via the following screening question at the time of recruitment: “Which of the following statements most closely reflects your attitude to the flu and tetanus vaccinations? 1) I will definitely get vaccinated against flu or tetanus one day; 2) I will probably get vaccinated against get vaccinated against flu or tetanus one day; 3) I will probably not get vaccinated against flu or tetanus; 4) I will definitely not get vaccinated against flu or tetanus”.

Procedure

The data collection is carried out jointly by the first author (UK and US) and Ipsos MORI, a multinational market research firm and their associates. Interviewers have been trained either face-to-face or via teleconference (US) by Ipsos MORI researchers. They have also been provided with a

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3 manual containing detailed interview instructions. Interview guides and materials have been translated
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5 into French (France), Hindi, Marathi and Kannada (India), Chinese (China) and Portuguese (Brazil)
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7 by the local research teams. Interviews are carried out by trained interviewers from Imperial College
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9 London, the market research company Ipsos MORI or their local associates. Participants are
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11 interviewed face-to-face in their native language for approximately 60 minutes at home or a central
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13 interviewing facility and interviews are digitally recorded. Participants are fully informed about the
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15 study via a participant information sheet. Written consent is obtained and each participant receives an
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17 equivalent of £11-£78 incentive, depending upon the country and location of the interview, in return
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19 for their time. Before commencing each interview, participants are reminded about the strict
20
21 confidentiality of their responses.
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25 A pre-pilot was conducted with N = 4 (two researchers from Imperial College London and two from
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27 Ipsos MORI not involved in the present study) to test duration and flow of the interview.
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30 Consequently, the interview guide was simplified and shortened. These interviews will not be
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32 included in the final sample for analysis. A piloting technique was subsequently used for the first
33
34 three interviews in the UK, whereby the research team observed each interview behind a one-way
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36 mirror and evaluated its quality in real-time. At the end of the session, minor amendments to the
37
38 interview guide were agreed and the final interview materials produced.
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41 We use two semi-structured and probing interview schedules, one for vaccinated and one for non-
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43 vaccinated participants, constructed through expert consultations and a literature review[10] (See
44
45 Table 2). We employ a combination of interviewing techniques to reach a comprehensive
46
47 understanding of the factors underpinning vaccination decisions. The schedule comprises six sections
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49 – as follows:
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53 Section1 aims to obtain an overview of participants' life and values, to build rapport and to identify
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55 important issues to assist with probing throughout the interview.
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3 Section 2 aims to elicit participants' general information-seeking behaviours and influences. We
4 explore information sources (e.g. media, family, peers, etc.) through which people's knowledge about
5 and attitudes towards vaccines may be formed.
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11 Section 3 examines participants' views towards health, HCPs and adult vaccines. This section aims to
12 understand how people's perceptions towards their own health and their relationship with HCPs
13 influence their stance on vaccines. General views on adult vaccines are evaluated by asking
14 participants to arrange five adult vaccinations (flu, tetanus, pneumonia, hepatitis and measles, mumps
15 and rubella (MMR)) into one or more groups. By identifying how people group vaccines, and the
16 reasons for their groupings, we aim to contextualise and gain deeper understanding of their views on
17 flu vaccines and tetanus containing boosters.
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27 Section 4 explores participants' journeys to vaccination (or non-vaccination) for both flu vaccines and
28 tetanus containing boosters. We aim to undertake an in-depth exploration of the vaccination decision-
29 making process by identifying important aspects that lead people to vaccinate or not to vaccinate.
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35 In an effort to circumvent availability bias, we avoid asking direct questions such as 'why did you
36 have a flu shot?' Instead, we explore the set of circumstances and emotions that drive participants to
37 accept or refuse vaccination, aided by an elicitation technique called *laddering*, which provides a
38 simple and systematic way of establishing people's core values and beliefs, and the linkages between
39 these and key behaviours, in this case, vaccination[39]. To minimise post-rationalisation, we do not
40 use probes in this section of the interview.
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49 Section 5 of the interview examines participants' attitudes toward children's vaccinations. We aim to
50 understand whether people's views about adult vaccines correspond with their views about children's
51 and how.
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Finally, in section 6 we explore participants' knowledge of the two diseases and vaccines (i.e. flu and tetanus) to understand to what extent their decision-making is influenced by facts.

Key socio-demographic information is collected at the end of the interview – including employment status, occupation, health insurance, perceived ability to afford essential goods, level of education, marital status, religion and ethnicity.

Table 2. Interview schedule

Interview topic (sections 1-6)	Key interview questions
1. Overview of life and values	<ul style="list-style-type: none"> • Tell me about yourself and your life, for example, what you spend your time doing and how you enjoy yourself. • What sorts of things do you worry about?
2. Information seeking behaviours and influences	<ul style="list-style-type: none"> • Can you tell me how you find out what is happening generally in the world? • And who are the people whose opinion you value or with whom you discuss important issues with? And why is that?
3. Views about health and vaccinations	<ul style="list-style-type: none"> • Can I ask how you feel your own health is? • When you think about your health, what are all the things that come to mind? Do you do anything to keep healthy? What sorts of things? • Which doctors or nurses do you particularly trust and listen to, if any? And why is that? Why is that important to you? • Thinking now about vaccinations, what are all the things that come to mind when you think about vaccinations? • Looking at these cards, which are all adult vaccinations, please can you sort them into groups?
4. Journey to vaccination (or non-vaccination)	<ul style="list-style-type: none"> • How would you describe to a friend how you came to have (or not to have) the vaccination? What things happened that meant you ended up getting (or not getting) vaccinated? • What would you say happened at that point that triggered that change (or decision)? And why was that important? • How did you know where to go for the vaccination? How did you book an appointment and fit it into your plans? What other things were competing for your time? • Before you were vaccinated, do you remember any times when you thought about or started the process towards being vaccinated but didn't end up getting vaccinated? (vaccinated) • Of all of those things, which would you say was the most important thing that led to you not getting vaccinated? And why is that? And the second most important thing? And the third?

	(non-vaccinated)
5. Children's vaccinations	• In general, do you think people should vaccinate their children against tetanus? Why/why not?
	• And do you think people should vaccinate their children against flu? Why/why not?
6. Factual knowledge on flu and tetanus and related vaccines	• How much would you say you know about flu/tetanus? How serious or life-threatening do you think the disease is? In general, how likely do you think you are to catch the disease?
	• How much would you say you know about the vaccine for flu/tetanus? Do you happen to know how often it is recommended that you have it, or who it is recommended for?

Data analyses

The recorded interviews are professionally transcribed and translated into English, and checked for accuracy by Ipsos MORI. To ensure reliability of coding and interpretation all the transcripts will be analysed by one academic researcher (AW) and 50% of the transcripts will be analysed independently by a second researcher[40]. Differences will be resolved through dialogue until consensus is reached.

Using thematic analysis, an initial categorising system will be developed based on the study objectives and the topics explored[41 42]. New themes and sub-themes emerging from the data analysis will be identified and included when consensus is reached regarding their relevance. A final thematic index will be produced to code all data – and verbatim quotes to support the extracted themes will be tabulated. Additionally, a journey to vaccination for flu and other for tetanus will be produced for each participant. Differences and commonalities emerging from these data will be identified and synthesised, and, if possible, typical journeys will be proposed.

Ethics and dissemination

This is a collaborative study designed and undertaken by Imperial College London (academic partner), Sanofi Pasteur (commercial partner) and Ipsos MORI (market research partner). A steering group comprising Imperial College London senior researchers, Sanofi Pasteur directors and Ipsos MORI research directors provide on-going academic input, project management and strategic direction.

Ipsos MORI follows the European Society of Market Research Organisations (ESOMAR) Code of Conduct for international fieldwork. This research is also carried out in accordance with the requirements of the international quality standard for market research, ISO 20252:2006, International general company standard ISO 9001:2008 and International standard for information security ISO 27001:2005. Additionally, this study was approved by Imperial College Research Ethical Committee (ICREC) in the UK, American Institutes for Research (AIR) in the US, Commission nationale de l'informatique et des libertés (CNIL) and Comité de protection des personnes "Ile-de-France III" in France, Safe Search Independent Ethics Committee in India, Shanghai Clinical Research Center in China and Comissão Nacional de Ética em Pesquisa (CONEP) in Brazil.

The nature of the research topic and the sample (general population) make this study one with few ethical issues. However, we recognise that all subjects should be willing and able to participate in this study and that there is a small possibility that respondents may disclose information that could potentially cause psychological distress for the individual if the purposes of the research are misunderstood. To address these issues, all participants are informed about the purposes of the research and written consent is obtained from the participants prior to their involvement in the study. Furthermore, when designing the interview schedule, there has been due consideration to the phrasing of the questions so as not to attribute blame, for example, for not carrying out responsible duties associated with participants' own health or that of the general public.

Our findings will be disseminated to relevant policy, industry, clinical and academic audiences through different outlets. These will be presented as practical recommendations at policy and industry meetings and healthcare professionals' forums. Our results will also be presented at academic conferences and published in peer-reviewed journals.

CONCLUSION

Vaccination uptake is significantly influenced by a constellation of social and psychological factors. In order to capture these factors, and to understand their relative importance, we need to go beyond

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3 readily available, and in some cases, post-rationalised responses, and explore underlying motivations
4 which may be driving vaccination behaviour. This study combines qualitative techniques, service
5 design and psychology theories to develop the journey to vaccination, a new approach aimed at
6 understanding vaccination decision-making processes across time. The journey to vaccination
7 approach will allow us to explore how people's beliefs and attitudes towards vaccination are shaped
8 by their context and experiences, and to evaluate whether vaccination decision-making is driven by
9 heuristic judgement, logical analysis or both, and to what extent.
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19 The global scope of this research will allow us to perform cross cultural comparisons, which will in
20 turn shed light on key internal (e.g. beliefs, perceptions) and external (e.g. HCP advice, vaccine
21 availability, cost) stimuli which influence vaccination behaviour across different vaccines,
22 geographies and populations. Our findings can provide a deeper understanding of the barriers and
23 drivers to adult vaccination, which may in turn lead to more effective interventions.
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3 **Figure 1.** The brand touchpoint wheel.

4 Source: Dunn & Davis (2003)[35]
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8 **Figure 2.** Journey to vaccination
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11 **Figure 3.** Example of a journey to flu non-vaccination
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For peer review only

Contributions

All the authors agree with the manuscript's contents. AW, NS and MM contributed to the design of the study and interview schedule. All authors contributed to the write-up.

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Figure 1. The brand touchpoint wheel.
Source: Dunn & Davis (2003)[30]

190x275mm (300 x 300 DPI)

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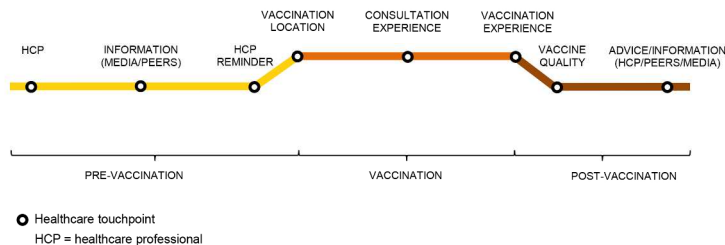


Figure 2. Journey to vaccination

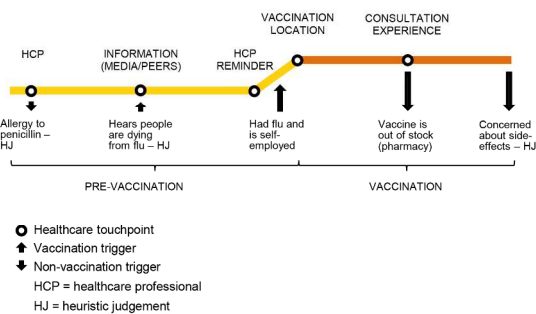


Figure 3. Example of a journey to flu non-vaccination

190x275mm (300 x 300 DPI)