## Polymorphic restriction endonuclease sites linked to the HLA- $DR\alpha$ gene: Localization and use as genetic markers of insulin-dependent diabetes

(HLA class II loci/restriction fragment length polymorphism)

DEBORAH STETLER\*, F. CARL GRUMET<sup>†</sup>, AND HENRY A. ERLICH\*§

\*Cetus Corporation, Department of Human Genetics, 1400 Fifty-Third Street, Emeryville, CA 94608; and †Department of Pathology, Stanford University Medical Center, Stanford, CA 94305

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ABSTRACT Polymorphic restriction endonuclease sites within the HLA-DR $\alpha$  gene have been defined, localized, and used as genetic markers in the analysis of susceptibility to insulin-dependent diabetes mellitus (IDDM). Hybridization of Bgl II-digested human genomic DNA with a cDNA clone for the HLA-DR  $\alpha$  chain (pDR $\alpha$ -1) has revealed three allelic restriction fragment lengths: 3.8 kilobase pairs (kb), 4.2 kb, and 4.5 kb. Hybridization of EcoRV-digested human genomic DNA with the same probe has revealed two allelic polymorphic restriction fragment lengths: 9.2 kb and 13.0 kb. By analysis of double digests of genomic DNA from individuals homozygous for each of the allelic variants, the polymorphic restriction sites were found to be clustered near the 3' end of the HLA-DR $\alpha$ gene. The observed correlations of  $DR\alpha Bgl \prod$  restriction site variants with serologically determined DR specificities suggest linkage disequilibrium between the  $DR\alpha$  and  $DR\beta$  loci. The 3.8-kb fragment is correlated with the DR1 type ( $P_c = 4.4 \times$  $10^{-4}$ ); and the 4.2-kb fragment, with a subset (B8,DR3) of the DR3 type ( $P_c = 5.1 \times 10^{-4}$ ) and with the DR6 type. The segregation pattern of *HLA-DRa* polymorphic *Bgl* II restriction fragments was analyzed in six IDDM families. The observed association of IDDM with the Bgl II 4.2-kb  $DR\alpha$ restriction variant is higher than with existing serological markers and supports the utility of this approach in elucidating **IDDM** inheritance.

The human major histocompatibility complex (MHC, or the HLA region), located on the short arm of chromosome 6, encodes a number of different cell surface glycoproteins that mediate a variety of immunological functions. These glycoproteins have been divided into two classes on the basis of structure, tissue distribution, and function. The class I molecules, the classical transplantation antigens encoded by the HLA-A, -B, and -C loci, are found on the surface of all nucleated cells and function as targets in T-cell recognition. In contrast, the class II molecules, the products of the HLA-D/DR region, are found primarily on the surface of B lymphocytes, macrophages, and activated T cells and mediate immune responsiveness due to their role in T- and B-cell cooperation and in antigen presentation (reviewed in refs. 1 and 2). The HLA-DR antigens defined by alloantisera are cell surface heterodimers composed of a relatively nonpolymorphic 34-kDa heavy chain ( $\alpha$ ) and a polymorphic 29-kDa light chain ( $\beta$ ) (reviewed in ref. 1), as well as a 31-kDa invariant chain (I<sub>i</sub> or DR $\gamma$ ), encoded on chromosome 5 (unpublished data) that is associated with the  $\alpha$  and  $\beta$  chains during biosynthesis (1, 3-5). Genetic studies involving the HLA-DR  $\alpha$  chain have been difficult due to the limited polymorphism revealed by current analytic techniques; however, cDNA clones for the HLA-DR  $\alpha$  chain have recently been obtained by several groups (6–10). These clones allow the definition of DNA sequence polymorphisms which can serve as valuable markers in genetic analysis.

Genetic susceptibilities to a variety of diseases show significant association with specific serologically defined HLA types (reviewed in ref. 2). Insulin-dependent diabetes mellitus (IDDM) represents one of the most frequent and severe of the *HLA*-associated diseases and is associated with the HLA-DR3 and -DR4 specificities (11). However, the genetic heterogeneity of serologically defined DR types (e.g., DR4) has been demonstrated by cellular typing as well as by two-dimensional gel analysis of DR  $\beta$  chain electrophoretic mobilities (12, 13). DNA polymorphisms in the *HLA* class II loci are potentially capable of subdividing the relevant serologic types (e.g., DR3 and DR4 for IDDM) in the population and offer a new approach to examining the association between disease susceptibility and HLA-DR type.

Here we define several DNA sequence polymorphisms within and closely linked to the DR  $\alpha$ -chain gene (DR $\alpha$ ), detected as restriction fragment length polymorphisms (RFLPs) of human DNA digested with the enzymes Bgl II and EcoRV. The RFLP distribution in control and IDDM populations and the segregation analysis of polymorphic restriction fragments with IDDM is presented, as well as the association of specific fragments with individual HLA-DR specificities. These studies illustrate the general applicability and utility of RFLPs as markers in genetic analysis, particularly for those loci whose products show limited serological or biochemical polymorphism.

## **MATERIALS AND METHODS**

Genomic Blotting. High molecular weight DNA prepared from B-lymphoblastoid cell lines was digested with Bgl II or EcoRV under conditions suggested by the supplier, electrophoresed in a 0.6% agarose gel, and transferred to Genatran 45 (Plasco). The filters were hybridized as described with pDR $\alpha$ -1 DNA (6) (labeled *in vitro* by nick-translation to a specific activity of  $4 \times 10^8$  cpm/ $\mu$ g), washed as described (6), and analyzed by autoradiography. The hybridization probe pDR $\alpha$ -1 contains a 1070-base-pair (bp) insert encoding 13 amino acids of the HLA-DR  $\alpha$ -chain leader peptide, the entire mature protein (229 amino acids), and 344 bp of 3' untranslated sequence.

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Abbreviations: IDDM, insulin-dependent diabetes mellitus; RFL, restriction fragment length; RFLP, RFL polymorphism; bp, base pair(s); kb, kilobase pair(s). <sup>§</sup>To whom reprint requests should be addressed.

## RESULTS

To identify RFLPs associated with the HLA- $DR\alpha$  gene, genomic DNA from a variety of individuals was digested with nine different endonucleases, electrophoresed, and analyzed by blot hybridization with the pDR $\alpha$ -1 probe. The number of different individual DNAs tested by a given restriction endonuclease varied from 2 to 10. The enzymes *HindIII*, *EcoRI*, *Kpn I*, *Msp I*, *Xho I*, *Pst I*, and *Pvu II* failed to reveal any RFLPs, but digestion with *Bgl II* and *Eco*RV revealed the presence of three polymorphic restriction fragments [3.8 kilobase pairs (kb), 4.2 kb, and 4.5 kb] and two polymorphic restriction fragments (9.2 kb and 13.0 kb), respectively. The genomic-blotting analysis of *HLA* deletion variants, homozygous typing cells, and families indicated that each polymorphic fragment length represents an allele of the single-locus *HLA-DR* $\alpha$  gene.

Bgl II RFLP analysis of a representative family, indicating cosegregation of specific polymorphic restriction fragments with unique parental HLA haplotypes, is shown in Fig. 1A. The intrafamilial distribution of restriction fragments shows that the paternal 3.8-kb fragment segregates with the b haplotype, and the maternal 4.5-kb fragment, with the d haplotype; the maternal 4.2-kb fragment segregates with c, and the paternal 4.2-kb fragment, with a. In 20 other families similarly analyzed (Fig. 4 and data not shown), the Bgl II restriction fragments segregate with unique parental HLA haplotypes. The results of Southern blot analysis of DNA from a heterozygous cell line and its derived mutant with a hemizygous deletion of the MHC are also consistent with both the allelic nature and HLA linkage of the polymorphic Bgl II fragments (14).

**Localization of Polymorphic Restriction Sites.** *Pst* I digestion of the pDR $\alpha$ -1 cDNA insert yields a 5' fragment of 525 bp and a 3' fragment of 565 bp. To define the arrangement of the polymorphic *Bgl* II restriction sites, genomic DNA from individuals homozygous for each of the three restriction fragment length (RFL) allelic variants (see above) was digested with *Bgl* II and *Pst* I. Genomic blots of the *Bgl* II/*Pst* I double digests were hybridized with <sup>32</sup>P-labeled intact pDR $\alpha$ -1, 5' *Pst* I fragment of pDR $\alpha$ -1, and 3' *Pst* I fragment of pDR $\alpha$ -1. DNA from all three RFL types yielded a 2.6-kb *Bgl* II-*Pst* I genomic fragment, which hybridized to the 5' probe, and another genomic fragment, which varies in size with each RFL type and



a/b c/d a/c a/c a/d -b/d b/d a/c

FIG. 1. RFLPs linked to the *HLA-DR* $\alpha$  gene. DNA was prepared from Epstein-Barr virus-transformed lymphocytes from IDDM family 4 (17), digested with *Bgl* II (A) or *Eco*RV (B) and analyzed by blot hybridization with the pDR $\alpha$ -1 probe. IDDM-affected individuals are denoted by filled boxes. Haplotypes are given below the lanes: a =*HLA-A1,B8,DR3*; b = *HLA-A2,Bw35,DR*<sup>-</sup>; c = *HLA-A9-(24),B18, DR6*; d = *HLA-A2,B7,DR4*. which hybridized to the 3' probe (Fig. 2). Thus, a Bgl II site at the 5' end of the  $DR\alpha$  gene is conserved, in contrast to polymorphic Bgl II sites at the 3' end of the gene.

The precise location of the polymorphic Bgl II sites relative to coding and noncoding sequences was determined by Southern blot analysis of Bgl II and Bgl II/EcoRI-digested DNA of the 3.8-kb RFL type (from the homozygous typing cell 1BW4 and the B-lymphoblastoid line CA-SC) and comparison of this genomic blot pattern with published sequence data from a  $DR\alpha$ genomic clone (15, 16). The Bgl II digestion yielded a 3.8-kb fragment and a 760-bp fragment; combined Bgl II/EcoRI digestion yielded a 2.5-kb fragment and a 600-bp fragment (Fig. 2). This result defines an EcoRI site within the 760-bp Bgl II fragment and located 600 bp from one end of the fragment. Inspection of the nucleotide sequence of a  $DR\alpha$  genomic clone (16) reveals two sites at which a single nucleotide substitution could generate Bgl II recognition sequences, resulting in the observed 3.8- and 4.2-kb variants. The sequence of a DR  $\alpha$ -chain cDNA clone from the cell line JY was, in fact, reported to contain a Bgl II recognition sequence in the site that would generate the 4.2-kb variant (15). The location of the 3' Bgl II sites for the three RFL types relative to coding and noncoding sequences and EcoRI sites is shown in Fig. 3. Thus, all the polymorphic Bgl II sites are clustered in a region of  $\approx 800$  bp near the 3' end of the  $DR\alpha$  gene.

Digestion of genomic DNAs with EcoRV revealed two nonpolymorphic restriction fragments (0.99 kb and 6.8 kb) and two polymorphic restriction fragments (13.0 kb and 9.2 kb) that hybridized to pDR $\alpha$ -1. Family studies and Southern blot analysis of the hemizygous deletion mutant 6.3.6 and its parental line T5-1 (14) established that the 13.0-kb and 9.2-kb fragments represented allelic variants, since they segregated with unique parental haplotypes (Fig. 1B and data not shown). The relationship of polymorphic and nonpolymorphic EcoRV sites to Bgl II sites and to coding and noncoding sequences was determined by hybridization with 5' and 3' pDR $\alpha$ -1 probes and is shown in Fig. 3, with the polymorphic EcoRV site localized near the 3' end of the  $DR\alpha$  gene, as are the polymorphic Bgl II sites.

Use of Polymorphic Restriction Sites as Genetic Markers. Table 1 shows the frequency of the Bgl II and EcoRV alleles separately as well as that of Bgl II/EcoRV haplotypes in a small panel of homozygous typing cells (nine cell lines) and a larger population of unrelated control individuals. The BglII 3.8-kb,EcoRV 13.0-kb type and the Bgl II 4.2-kb,EcoRV9.2-kb type are more frequent than would be expected assuming random association, demonstrating that these combinations of alleles are in positive linkage disequilibrium. Similarly, the Bgl II 3.8-kb,EcoRV 9.2-kb type and the Bgl II 4.2-kb,EcoRV 13.0-kb type are less frequent than would be expected by random association.

Using DR haplotype information from homozygous typing cells, family segregation patterns, HLA-deletion variants, and informative individuals, we examined the correlation between a particular Bgl II type and HLA-DR type among 40 unrelated chromosomes (Table 2). The 3.8-kb fragment was associated with the DR1 specificity ( $P_c = 4.4 \times 10^{-4}$ ); and the 4.2-kb fragment, with a specific subset of the DR3 specificity [the B8,DR3 haplotype ( $P_c = 5.1 \times 10^{-4}$ )] and with the DR46 specificity. The higher-frequency (f = 0.68), 4.5-kb fragment failed to show significant association with any particular DR type. For several HLA-DR types, the RFL type is capable of subdividing the serological specificity. For example, for HLA-DR3 in normal individuals, 6/15 chromosomes are the 4.2-kb type, whereas 9/15 are the 4.5-kb type.

The use of RFLPs as genetic markers in family studies is illustrated in Fig. 1 A and B, as previously discussed. This pedigree is particularly informative because siblings 1, 2, and 3 are all affected with IDDM. Fig. 1A shows that all affected individuals have received the 4.2-kb fragment from one or both



parents. The paternal chromosome containing the 4.2-kb fragment is HLA-DR3; the maternal chromosome with the 4.2-kb fragment is HLA-DRw6. The presence of the 4.2-kb fragment in all affected individuals suggests that this fragment may be linked to a disease susceptibility gene for IDDM; however, not all individuals with the fragment are affected (e.g., sibling 6). In a similar manner, segregation analysis of this family and five additional IDDM families (ref. 17 and Fig. 4) was used to calculate the restriction fragment frequencies and DR haplotype assignments shown in Tables 1 and 2, respectively. The allele frequency of the Bgl II 4.2-kb fragment is increased in the IDDM probands relative to the control population (Table 1) and is increased in DR3 chromosomes of IDDM patients (4/5)relative to control DR3 chromosomes (6/15) (Fig. 4). The four IDDM DR3 haplotypes with the 4.2-kb marker are B8,DR3 while the exceptional IDDM DR3 haplotype lacking the 4.2-kb marker (family 7, Fig. 4) is B18,DR3. The frequency of IDDM probands containing the 4.2-kb Bgl II fragment (0.83) is also greater than the frequency of control individuals with the 4.2-kb fragment (0.29) (Table 1). The relative risk (RR) (2) calculated from these data (RR for 4.2 kb = 11.8; RR for DR3 = 5.5) is higher than any other single gene marker for IDDM and is consistent with the preliminary results from a larger study (data not shown).

## DISCUSSION

Polymorphic restriction sites within or linked to the 3' end of the HLA- $DR\alpha$  gene, detected as RFLPs, have been identified





and localized for the enzymes Bgl II and EcoRV. The observed frequency of some of the Bgl II, EcoRV RFLP haplotypes reflects strong linkage disequilibrium between these markers. Analysis of  $DR\alpha$  RFLP in a population of HLA-DR-typed individuals has also revealed correlations between RFL variants and serologically defined HLA-DR types. The Bgl II allelic variant 3.8 kb is associated with HLA-DR1 and the Bgl II allelic variant 4.2 kb is associated with HLA-DR3 and DRw6 (Table 2). Furthermore, Bgl II RFLPs are capable of subdividing HLA haplotypes bearing a particular DR specificity. The strength of the association between particular DR specificities and the polymorphic HLA-DR $\alpha$  restriction fragment presumably reflects the linkage disequilibrium between the  $DR\alpha$  marker and the loci encoding the  $DR\beta$  chains. In fact, a recently identified  $DR\beta$ Tag I RFLP is in strong linkage disequilibrium with the 4.2-kb  $DR\alpha$  fragment, with a subset of DR3 (B8,DR3) and DRw6 specificities, and with IDDM (unpublished data).

It is likely that genetic markers capable of subdividing the DR3 and DR4 types, associated with IDDM, should prove to be better predictors of disease susceptibility. The observation that the majority of DR3 and DR4 individuals do not develop IDDM, and the lack of complete penetrance in monozygotic twin studies (18), indicates that *HLA*-linked genes predispose to, rather than cause, IDDM. In addition, the relatively low proportion of DR3 and DR4 individuals who develop IDDM may reflect the genetic heterogeneity of the DR types. In two recent studies (19, 20), incorporating the results of *HLA*-linkage analysis, *HLA*-haplotype distribution

FIG. 3. Map of polymorphic and nonpolymorphic restriction sites relative to coding and noncoding sequences for the HLA- $DR\alpha$  locus. (A) The position of the EcoRI (R), Pst I (P), EcoRV (V), and Bgl II (B, B<sub>1</sub>, and B<sub>2</sub>) sites relative to coding (open boxes) and noncoding sequences for the HLA-DR $\alpha$  locus is shown with the presence (+) or absence (-) of Bgl II and EcoRV sites in each restriction fragment type indicated. The two pairs of wavy lines on the restriction map represent a compression (7 kb and 2 kb) of the map. 5' UT, 5' untranslated region; L, leader peptide;  $\alpha 1$ ,  $\alpha 1$  domain;  $\alpha 2$ ,  $\alpha 2$ domain; CP, connecting peptide; TM, transmembrane region; CY, cytoplasmic tail; 3'UT, 3' untranslated region. (B) The polymorphic Bgl II sites B<sub>1</sub>, located within an intron 41 bp from the start of the 3'UT exon, and B<sub>2</sub>, located 9 bp 3' of the polyadenylylation original, are expanded, with the proposed DNA sequence at each site for each restriction fragment type shown and the presence (+) or absence (-) of Bgl II sites indicated.

	Control subjects					
	$f_{\rm obs}$	Δ	x <sup>2</sup>	$f_{obs}$ in IDDM probands	Р	$P_{\rm c}$
Allele						
Bgl II	(n = 55)			(n = 12)		
3.8 kb	0.164			0.083		
4.2 kb	0.164			0.5	0.034	NS
4.5 kb	0.673			0.417		
<i>Eco</i> RV	(n = 53)			(n = 12)		
9.2 kb	0.377			0.50		
13.0 kb	0.623			0.50		
Haplotype						
Bgl II/EcoRV	(n = 47)			(n = 12)		
3.8,9.2 kb	0	-0.061	3.74	0		
3.8,13.0 kb	0.170	0.062	3.74	0.083		
4.2,9.2 kb	0.106	0.060	4.49	0.5	0.007	< 0.05
4.2,13.0 kb	0.021	-0.060	4.49	0		
4.5,9.2 kb	0.255	0.002	0.08	0		
4.5,13.0 kb	0.447	-0.001	0.08	0.417		
Genotype						
Bgl II	(n = 35)			(n=6)		
4.2/X	0.29			0.83	0.035	NS
Bgl II/EcoRV	(n = 25)			(n=6)		
4.2,9.2/X	0.24			0.83	0.024	NS

Table 1. Frequency of *HLA-DR* $\alpha$  *Bgl* II and *Eco*RV alleles, haplotypes, and selected genotypes in control and IDDM populations

Allele and haplotype frequencies were derived from family analyses, homozygous typing cells (most of which are from consanguineous matings), hemizygous deletion variants, and informative individuals. *P* and  $P_c$  (i.e., *P* corrected for the number of comparisons performed) values are shown for control vs. IDDM frequencies; NS denotes not significant.  $\Delta$  is the difference between observed and expected haplotype frequencies ( $f_{obs} - f_{calc}$ ). The expected frequency of Bgl II/*Eco*RV haplotypes assuming random association was calculated by multiplying the frequency of the Bgl II allele by the frequency of the *Eco*RV allele in the group of 47 chromosomes for which haplotypes could be determined. The significance of each  $\Delta$  is given by the  $\chi^2$  value. The genotypes of individuals containing at least one copy of the 4.2-kb allele or the 4.2,9.2-kb haplotype are designated 4.2/X and 4.2,9.2/X, respectively.

among affected siblings, and antigen-genotype frequencies, a model was proposed in which two copies of *HLA*-linked susceptibility genes are required. Moreover, the increase in the relative risk of DR3/DR4 heterozygotes over DR3/DR3 and DR4/DR4 homozygotes (2, 11) suggests that proposed disease-susceptibility alleles in positive linkage disequilibri-

Table 2. Correlation of  $DR\alpha$  Bgl II alleles with HLA-DR type

	No. with Bgl II allele			
	3.8 kb	4.2 kb	4.5 kb	
DR type				
1	4 (1)		1 (1)	
2			4 (2)	
3*		6 (4)	9 (1)	
4	(1)		4 (7)	
5			4	
6		2 (3)	2	
7	(1)		4	
Haplotype				
B8,DR3		5 (4)	0 (0)	
All other DR3		0 (0)	8 (1)	

Data for the 40 randomly selected haplotypes were derived from family analyses, homozygous typing cells, hemizygous deletion variants, and informative unrelated individuals. Additional independent haplotype data from the six IDDM families (Figs. 1A and 4) are in parentheses. Significant P and P<sub>c</sub> values for the 40 randomly selected haplotypes are as follows: DRI vs. 3.8 kb,  $P = 5.5 \times 10^{-5}$  ( $P_c = 4.4 \times 10^{-4}$ ); B8, DR3 vs. 4.2 kb,  $P = 6.4 \times 10^{-5}$  ( $P_c = 5.1 \times 10^{-4}$ ).

\*Only DR3 haplotypes typed as B8 had the 4.2-kb fragment. Because the only nonconsanguineous DR3 homozygous typing cell, MWF, was B8 and B18, haplotype assignments could not be made; therefore, this cell was not included in the haplotype analysis. um with DR3 and with DR4 are different and that they interact at the molecular, cellular, or physiological level.

The ability of RFLPs to reveal heterogeneity within serologically defined HLA-DR types and to identify non-DR3- or -DR4 IDDM-susceptible chromosomes may provide new informative markers for IDDM predisposition. For example, segregation of the 4.2-kb allelic variant in IDDM families (Fig. 1A, ref. 4, and unpublished data) suggests that this marker may be linked to an IDDM disease-susceptibility locus on both HLA-B8, DR3 and HLA-DRw6 chromosomes. In the family represented in Fig. 1, all affected individuals inherited the 4.2-kb fragment from one or both parents. One affected individual (haplotype a/d) has one copy of the 4.2-kb Bgl II fragment from the DR3 chromosome (haplotype a, which is B8,DR3) and a 4.5-kb fragment from the DR4 chromosome (haplotype d). Based on the model requiring two sets of a predisposing gene, we assume that the DR4chromosome also bears a disease-susceptibility allele that is not associated with the 4.2-kb fragment, an observation consistent with the idea that the DR3- and DR4-associated susceptibility alleles may be different. One individual (haplotype a/c) in this family is homozygous for the 4.2-kb fragment, yet unaffected, consistent with incomplete penetrance of IDDM susceptibility.

The increase in the frequencies of the Bgl II 4.2-kb allele and of the Bgl II 4.2-kb, EcoRV 9.2-kb haplotype in IDDM patients (Table 1 and unpublished results) and in the frequency of individuals with these markers among IDDM patients suggests that these  $DR\alpha$  sequence variants are in linkage disequilibrium with IDDM susceptibility alleles. These markers are increased in frequency among DR3 and DRw6chromosomes implicated by segregation analysis as IDDM susceptibility haplotypes, relative to control DR3 and DRw6chromosomes (Table 2), but are absent from DR4 IDDM



FIG. 4. Segregation of  $DR\alpha$  Bgl II RFLP in six IDDM families. Genomic DNA was prepared from cell lines derived from six HLA-typed IDDM families (14) and analyzed as described for Fig. 1. IDDM-affected individuals are denoted by filled boxes or circles, and the probands are marked by small asterisks. The large asterisks denote individuals who could not be unequivocally DR-typed for both haplotypes (i.e., DR4/DR4 vs. DR4/DR<sup>-</sup> in family 3, and DRw6/DRw6 vs. DRw6/DR<sup>-</sup> in family 7.

susceptibility haplotypes. The subset of DR3 chromosomes that contain the 4.2-kb marker are of the B8,DR3 haplotype, suggesting the possibility that the DR region of B8,DR3chromosomes may differ from that of other DR3 chromosomes. This observation is consistent with the implication of the B8,DR3 "supratype" in a variety of autoimmune diseases (21). The relative risk calculated from these initial data for the  $DR\alpha$  Bgl II 4.2-kb marker and from a larger study (unpublished results) is at least 2-fold higher than the relative risk obtained with the serologic DR3 marker. The precise localization of informative polymorphic restriction sites within a genomic nucleotide sequence, like that reported here, makes possible oligonucleotide-based approaches (22, 23) to detection of HLA polymorphisms and provides well-defined markers for the genetic analysis of HLA association with disease. We are grateful to Don Pious, David Buck, Dan Denny, John Bell, and Hugh McDevitt for providing cell lines; to Glenys Thomson, Michelle Manos, and Norm Arnheim for helpful discussions; and to Dory Bugawan for technical assistance. We thank Kathy Levenson for careful preparation of the manuscript. Supported in part by National Institutes of Health Grant HL29572 to F.C.G.

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