Formal and total synthesis of (\pm) -cycloclavine

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I) General Experimental Details

All reactions were carried out under an atmosphere of nitrogen using flame-dried glassware unless otherwise noted. A rotary evaporator equipped with a water condenser and attached to a vacuum system was used to concentrate in vacuo. Samples were further dried under reduced pressure on a high-vacuum line. Tetrahydrofuran (THF), dichloromethane (CH₂Cl₂), and diethyl ether (Et₂O) were dried via a solvent dispensing system. Commercially available SnCl₄ was distilled twice from P_2O_5 under a nitrogen atmosphere and was stored in a sealed tube as a 1M solution in dichloromethane (CH₂Cl₂) or commercially available 1M solutions of SnCl₄ in dichloromethane were used as received. Triethylamine (Et₃N) and diisopropylamine (i-Pr₂NH) were distilled from CaH₂ under a nitrogen atmosphere and stored in septum-sealed bottles over solid KOH. All other commercial reagents were used as received. Reactions were cooled to -40 °C or -78 °C via dry ice-acetone baths, to -15 °C via ice-salt baths and to 0 °C via ice-water baths. Silica gel flash column chromatography was performed using Merck grade 60 silica gel (230-400 mesh) and TLC analysis was carried out using Merck 60F-254 silica on glass plates. Visualization of TLC plates was achieved using ultraviolet light, polyphosphomolybdic acid and cerium sulfate in EtOH with H₂SO₄, ceric ammonium molybdate, or iodine vapor. ¹H and ¹³C NMR spectra were recorded in CDCl₃ at 25 °C unless otherwise noted. ¹H chemical shifts are reported in ppm (δ units) downfield from tetramethylsilane. Solvent peaks were used as internal references for all ¹³C NMR. Accurate mass data was acquired in ESI mode using an orbitrap mass analyzer.

II) Experimental procedures and compound characterization data

4-(*tert*-butyldimethylsilyloxy)-1-pivaloyl-3,4-dihydrobenzo[*cd*]indol-5(1*H*)-one (7):



A solution of **3** (4.75 g, 18.6 mmol) and distilled Et_3N (6.50 mL, 46.5 mmol) in dry CH_2Cl_2 (238 mL) was cooled to 0 °C and TBSOTf (5.10 mL, 22.3 mmol) was added dropwise over the period of 5 min. The reaction mixture was stirred at 0 °C for 10 min and then at room temperature for 30 min. The reaction mixture was combined with water and the aqueous layer was extracted with CH_2Cl_2 (30 mL ×

3). The combined organic layer was dried over anhydrous Na_2SO_4 and the solvents were removed in vacuo to give the TBS enol ether as a brown solid which was used in the next step without further purification.

The TBS enol ether was dissolved in CH_2Cl_2 (238 mL) and cooled to 0 °C. A solution of K_2CO_3 (2.60 g, 18.6 mmol) in H_2O (142 mL) was added and the mixture was maintained at 0 °C for 10 min at which point the biphasic mixture was stirred vigorously and solid *m*-CPBA (4.60 g, 18.6 mmol) was added in small portions over the period of 30 min. After the addition, the reaction mixture was stirred for an additional 1 h. After consumption of starting material as judged by TLC analysis ($R_f = 0.5$, 80:20 Hexanes/EtOAc), aq. NaHCO₃ (100 mL) was added and the aqueous layer was extracted with CH₂Cl₂ (30 mL × 3). The organic layers were combined, washed with brine, dried over anhydrous Na₂SO₄ and evaporated in vacuo to give a brown viscous oil which was used in the next step without further purification.

TBSCl (2.80 g, 18.6 mmol) was added to a solution of the crude product from above, imidazole (1.90 g, 27.9 mmol), and N,N-dimethylaminopyridine (228 mg, 1.86 mmol) in CH₂Cl₂ (190 mL) and the resulting mixture was stirred at room temperature for 6 h. The reaction mixture was combined with aq. NH₄Cl and the mixture was extracted with CH₂Cl₂ (30 mL × 3). The organic layers were combined, washed with brine, dried over anhydrous Na₂SO₄ and evaporated to give the crude product as a brown viscous oil which was purified by silica gel flash column chromatography (97:3 Hexanes/EtOAc) to provide 5.00 g (70% yield) of **7** as a viscous oil. ¹H NMR (500 MHz, CDCl₃) δ 8.53 (dd, *J* = 8.2, 0.5 Hz, 1H), 7.73 (dd, *J* = 7.5, 0.5 Hz, 1H), 7.60 (d, *J* = 1.5 Hz, 1H), 7.46 (t, *J* = 7.8 Hz, 1H), 4.68 (dd, *J* = 10.8, 6.8 Hz, 1H), 3.42 (dd, *J* = 15.9, 6.6 Hz, 1H), 3.23 (ddd, *J* = 15.7, 10.8, 2.0 Hz, 1H), 1.54 (s, 9H), 0.95 (s, 9H), 0.24 (s, 3H), 0.12 (s, 3H). ¹³C NMR (126 MHz, CDCl₃) δ 196.3, 177.0, 135.5, 132.5, 126.5, 125.0, 122.6, 121.6, 120.1, 114.9, 74.9, 41.0, 30.7, 28.6, 25.8, 18.5, -4.5, -5.5. IR (thin film) 1696 cm⁻¹. HRMS (ESI) calcd for [C₂₂H₃₂NO₃Si] 386.21460, found 386.21443.

Ethyl 2-(4-(tert-butyldimethylsilyloxy)-5-hydroxy-1-pivaloyl-1,3,4,5-tetrahydrobenzo [*cd*]indol-5-yl)-2-diazoacetate (6) :



A solution **7** (5.0 g, 13.0 mmol) and ethyl diazoacetate (1.92 g, 16.9 mmol) in THF (215 mL) was cooled to -78 °C and a freshly prepared solution of LDA (15.6 mmol) in THF (45 mL) was added dropwise via cannula over a period of 15 min. The resulting brown solution was maintained at -78 °C for 2 h at which point the reaction was quenched by the addition of MeOH (2 mL)

followed by the addition of a saturated aqueous solution of NH₄Cl (100 mL). The mixture was warmed to room temperature, additional saturated NH₄Cl solution (300 mL) was added, and the mixture was extracted with EtOAc (100 mL \times 3). The organic layers were combined, dried over anhydrous Na₂SO₄, and evaporated to provide a yellow viscous oil that was purified by silica gel column chromatography (96:4 to 92:8 hexanes/ EtOAc) to give 5.10 g (79% yield) of the title compound. ¹H NMR (500 MHz, CDCl₃) Major diastereomer: δ ¹H NMR (500 MHz, CDCl₃) δ 8.32 (dd, J = 5.9, 3.1 Hz, 1H), 7.47 (s, 1H), 7.42 – 7.36 (m, 2H), 4.77 – 4.55 (app t, 1H), 4.16 (dddt, J = 17.8, 14.2, 10.9, 7.1 Hz, 2H), 3.68 (brs, 1H), 3.16 - 2.96 (m, 2H), 1.54 (s, J = 13.0 Hz, 10.9)9H), 1.22 (t, J = 6.3 Hz, 3H), 0.95 (s, 9H), 0.18 (s, 3H), 0.12 (s, 3H). Minor diastereomer: δ 8.31 (dd, J = 8.2, 0.6 Hz, 1H), 7.56 - 7.37 (m, 3H), 5.91 (brs, 1H), 4.43 - 4.20 (m, 3H), 3.16 (dd, J = 3.2)16.0, 3.0 Hz, 1H), 2.86 (ddd, J = 16.1, 7.3, 1.1 Hz, 1H), 1.51 (s, 9H), 1.31 (t, J = 7.1 Hz, 3H), 0.80 (s, 9H), 0.11 (s, 3H), 0.10 (s, 3H). ¹³C NMR (126 MHz, CDCl₃) δ ¹³C NMR (126 MHz, CDCl₃) § 176.9, 176.8, 168.2, 165.3, 135.2, 135.0, 129.8, 127.6, 127.4, 126.5, 126.3, 120.7, 120.5, 120.2, 119.7, 117.8, 117.6, 115.3, 115.2, 74.5, 72.3, 72.0, 61.0, 60.4, 58.9, 40.8, 28.53, 28.51, 27.2, 25.62, 25.57, 17.82, 17.76, 14.41, 14.38, -4.6, -4.8, -5.0, -5.2. IR (thin film) 3485, 2097, 1690 cm⁻¹. HRMS (ESI) calcd for [C₂₆H₃₇N₃O₅SiNa]⁺ 522.23947, found 522.23935.

Ethyl 3-(3-(2-oxoethyl)-1-pivaloyl-1*H*-indol-4-yl)propiolate (5):



A solution of SnCl₄ (1M in CH₂Cl₂, 3.60 mL, 3.60 mmol) was added as a single stream to a 0 °C solution of diazoester **6** (1.80 g, 3.60 mmol) in CH₂Cl₂ (74.0 mL). During the addition gas evolution was noted and the yellow solution became purple. The reaction mixture was maintained at 0 °C until gas evolution ceased (~15 min) at which point saturated aqueous NaHCO₃ (8 mL) was added. The

mixture was stirred for 5 min at 0 °C, transferred into a separatory funnel containing additional saturated aqueous NaHCO₃ (50 mL), and extracted with CH₂Cl₂ (30 mL × 5). The organic layers were combined, washed with brine, dried over anhydrous Na₂SO₄, and evaporated under reduced pressure to give the crude product as brown solid, which was used in the subsequent cycloaddition step without further purification. Alternatively, the crude product could be purified by silica gel column chromatography (80:20 to 70:30 hexanes/EtOAc) to provide **5** as a colorless oil in 80% yield: ¹H NMR (500 MHz, CDCl₃) δ 9.91 (s, 1H), 8.56 (d, *J* = 8.4 Hz, 1H), 7.75 (s, 1H), 7.41 (d, *J* = 7.4 Hz, 1H), 7.23 (t, *J* = 8.0 Hz, 1H), 4.23 (q, *J* = 7.1 Hz, 2H), 4.11 (s, 2H), 1.43 (s, 9H), 1.28 (t, *J* = 7.1 Hz, 3H). ¹³C NMR (126 MHz, CDCl₃) δ 198.2, 176.6, 153.6, 137.0, 129.9, 129.8, 126.8, 124.9, 120.3, 112.4, 110.0, 84.9, 84.2, 61.9, 41.2, 40.3, 28.4, 13.9. IR (thin film) 2213, 1725, 1697 cm⁻¹ HRMS (ESI) calcd for [C₂₀H₂₂NO₄] 340.15433, found 340.15418.

Ethyl 7-methyl-4-pivaloyl-6,6a,7,8-tetrahydro-4*H*-indolo[6,5,4-*cd*]indole-9-carboxylate (9):



A solution of crude 5 (~ 3.60 mmol) in dry toluene (35.0 mL) was cooled to 0 $^{\circ}$ C and a solution of freshly prepared and distilled TMS sarcosine ester (581 mg, 3.60 mmol) in dry toluene (1 mL) was added dropwise over a period of 10 min. and the mixture was stirred at 0 $^{\circ}$ C for 30 min. In a separate two neck RBF fitted with a water condenser, dry toluene (5.00 mL) was warmed to

reflux. The cold reaction mixture was added dropwise to the boiling toluene over a period of 1 h. After the addition, the resulting solution was maintained at 120 °C for another 1 h and then cooled to room temperature and the solvent was removed in vacuo. The resulting residue was purified by silica gel column chromatography (74:25:1 to 59:40:1 hexanes/EtOAc/Et₃N) to provide 820 mg (62% yield over two steps) of **9** as a brown solid. ¹H NMR (500 MHz, CDCl₃) δ 8.36 (dd, *J* = 10.8, 8.0 Hz, 2H), 7.47 (d, *J* = 1.8 Hz, 1H), 7.35 (t, *J* = 8.0 Hz, 1H), 4.36 – 4.18 (m, 3H), 3.78 – 3.69 (m, 1H), 3.64 (dd, *J* = 13.8, 5.9 Hz, 1H), 3.30 (dd, *J* = 15.0, 6.3 Hz, 1H), 2.72 (ddd, *J* = 14.7, 11.4, 2.1 Hz, 1H), 2.53 (s, 3H), 1.49 (s, 9H), 1.32 (t, *J* = 7.1 Hz, 3H). ¹³C NMR (126 MHz, CDCl₃) δ 176.8, 164.3, 145.6, 135.0, 129.5, 125.9, 124.1, 123.7, 122.6, 120.0, 119.0, 116.7, 77.2, 72.7, 63.5, 60.3, 40.8, 40.0, 28.5, 14.1. IR (thin film) 1689 cm⁻¹. HRMS (ESI) calcd for [C₂₂H₂₇N₂O₃] 367.20162, found 367.20151.

Ethyl 7-methyl-6,6a,7,8-tetrahydro-4H-indolo[6,5,4-cd]indole-9-carboxylate (4): A mixture



of N-pivalyl indole derivative **9** (112 mg, 306 μ mol), DBU (183 μ L, 1.22 mmol), H₂O (11.0 μ L, 611 μ mol) and THF (2.00 mL) was warmed to reflux for 19 h. (*Note:* DBU, H₂O and THF were each degassed separately by sparging with N₂ for 30 min prior to their use in the reaction). The reaction

mixture was combined with H_2O (15 mL) and the aqueous layer was extracted with EtOAc (10 mL, \times 5). The organic layers were combined, dried over anhydrous Na₂SO₄ and evaporated under reduced pressure to give the unprotected indole derivative as a brown viscous oil. The crude product was purified by silica gel column chromatography (49.5:50:0.5 to 29.5:70:0.5 hexanes/EtOAc/Et₃N) to give 64.0 mg (74% yield) of **4**. Spectral data for this material matched published values.¹

Ethyl 7-methyl-4-tosyl-6,6a,7,8-tetrahydro-4H-indolo[6,5,4-cd]indole-9-carboxylate (10): A



solution of **9** (1.50 g, 4.09 mmol), H_2O (147 µL, 8.20 mmol), DBU (2.50 mL, 16.9 mmol) and THF (26.0 mL) was warmed to reflux for 19 h under an N_2 atmosphere. (*Note:* DBU, H_2O and THF were each degassed separately by sparging with N_2 for 30 min prior to their use in the reaction). After 19 h, the reaction mixture was mixed with H_2O (50 mL) and the aqueous layer was

extracted with EtOAc (20 mL \times 5). The organic layers were combined, dried over Na₂SO₄ and evaporated to give the unprotected indole derivative as a brown viscous oil (1.47 g). This crude material was dissolved in toluene (44.0 mL) and Bu₄NHSO₄ (139 mg, 0.41 mmol) and 50% aq KOH (2.64 g, 4.71 mmol) were added. The biphasic mixture was stirred vigorously at room temperature for 20 min. at which point tosyl chloride (936 mg, 4.91 mmol) was added in a single portion. The reaction mixture stirred at room temperature for 1 h. If the TLC analysis ($R_f = 0.5$, 89:10:1 Hexanes/EtOAc/Et₃N) indicated the presence of starting material, an additional 0.2 equiv of tosyl chloride was added and stirring was continued for another 30 min. After consumption of starting material as judged by TLC analysis, the reaction mixture was mixed with water, two layers were separated and the aqueous layer was extracted with EtOAc (20 mL \times 3). All of the organic layers were combined, dried over Na₂SO₄ and evaporated to give N-tosyl indole derivative 10 as a foamy solid. This material was purified by silica gel column chromatography (59:40:1 to 49:50:1 hexanes/EtOAc/Et₃N) to provide 1.40 g (78% yield) of 10 as brown solid. ¹H NMR (500 MHz, CDCl₃) δ 8.32 (d, J = 7.8 Hz, 1H), 7.90 (d, J = 8.2 Hz, 1H), 7.74 (d, J = 8.4 Hz, 2H), 7.35 (t, J = 8.0 Hz, 1H), 7.27 (d, J = 2.0 Hz, 1H), 7.21 (d, J = 8.3 Hz, 2H), 4.37 - 4.17 (m, 3H), 3.77 - 3.67 (m, 1H), 3.64 (dd, J = 13.8, 5.9 Hz, 1H), 3.29 (dd, J = 13.8, 5.9 Hz, 1 15.1, 6.2 Hz, 1H), 2.66 (ddd, J = 15.0, 11.2, 2.1 Hz, 1H), 2.52 (s, 3H), 2.33 (s, 3H), 1.32 (t, J = 7.1 Hz, 3H). ¹³C NMR (126 MHz, CDCl₃) δ 164.3, 145.2, 144.8, 135.3, 133.4, 131.1, 129.8, 126.7, 125.5, 124.7, 123.6, 123.4, 120.6, 117.8, 115.0, 72.6, 63.5, 60.5, 40.1, 28.5, 21.5, 14.2. IR (thin film) 1708 cm⁻¹. HRMS (ESI) calcd for $[C_{24}H_{25}N_2O_4S]$ 437.15295, found 437.15272.

Rel-((1aR,3aR,9bS)-3-methyl-6-tosyl-1a,2,3,3a,4,6-hexahydro-1H-cyclopropa[c]indolo[4,3-



ef]indol-1a-yl)methanol (11): DIBALH (0.70 mL, 687 μ mol; 1M in THF) was added dropwise to a 0 °C solution of N-tosyl indole 10 (100 mg, 229 μ mol) in toluene (5.80 mL). The reaction mixture was stirred at 0 °C for 15 min and then at room temperature for 45 min at which point aq. sodium potassium trartrate (3 mL) and then aq. NaHCO₃ (15 mL) were carefully added. The mixture was extracted

with EtOAc (7 mL × 5), dried over Na₂SO₄ and evaporated to give the allylic alcohol as a pale yellow solid which was used in the subsequent cyclopropanation reaction. A small portion of the allylic alcohol was purified by silica gel column chromatography (94.5:5:0.5 to 84.5:15:0.5 hexanes/EtOAc/Et₃N): ¹H NMR (500 MHz, CDCl₃) δ 7.82 – 7.60 (m, 3H), 7.26 – 7.13 (m, 5H), 4.75 (d, *J* = 13.7 Hz, 1H), 4.51 (d, *J* = 13.7 Hz, 1H), 4.16 (dd, *J* = 14.0, 3.4 Hz, 1H), 3.66 – 3.57 (m, 1H), 3.53 (dd, *J* = 14.0, 5.2 Hz, 1H), 3.25 (dd, *J* = 15.0, 6.2 Hz, 1H), 2.59 (ddd, *J* = 14.8, 11.1, 2.0 Hz, 1H), 2.52 (s, 3H), 2.34 (s, 3H). ¹³C NMR (126 MHz, CDCl₃) δ 144.7, 136.1, 135.5, 133.4, 129.9, 129.8, 129.7, 126.7, 125.5, 125.0, 120.1, 119.6, 118.0, 112.8, 71.2, 63.6, 58.3, 40.1, 28.2, 21.5. IR (thin film) 3376 cm⁻¹. HRMS (ESI) calcd for [C₂₂H₂₃N₂O₃S] 395.14239, found 395.14219.

A solution of iodine (233 mg, 0.92 mmol) and Et_2O (167 μ L, 1.60 mmol) in dry CH₂Cl₂ (0.9 mL) was maintained at 0 °C under an N₂ atmosphere and a solution of Et₂Zn (800 µL, 0.80 mmol; 1M in hexane) was added dropwise during which time the purple solution turned colorless. This solution was removed from the ice bath and stirred at room temperature for 10 min and was then again cooled at 0 °C at which point a solution of CHI₃ (135 mg, 0.34 mmol) in dry CH₂Cl₂ (2.30 mL) was added via cannula followed by the addition of Solution B (see below). The reaction mixture was stirred at 0 °C for approx. 2-3 h and then at room temperature for 15 h at which point the reaction was quenched by the addition of aq. NH₄Cl (1 mL) and then mixed with aq. NaHCO₃ (10 mL). The mixture was extracted with CHCl₃ (5 mL \times 7) and the organic layers were combined, dried over Na₂SO₄ and evaporated to give the crude product as brown solid. Purification of this residue by silica gel column chromatography (20:79:1 to 0:99:1 hexanes/EtOAc/Et₃N then 89:10:1 hexanes/EtOAc/Et₃N) provided 22.5 mg (24% yield) of cyclopropane 11; δ^{-1} H NMR (500 MHz, CDCl₃) $\delta^{-7.77}$ (d, J = 8.3 Hz, 2H), 7.72 (d, J = 8.3 Hz, 1H), 7.24 - 7.13 (m, 4H), 6.86 (d, J = 7.4 Hz, 1H), 4.31 (dd, J = 11.9, 6.8 Hz, 1H), 4.12 (dd, J = 11.9, 6.8 Hz, 1H) 12.1, 3.5 Hz, 1H), 3.20 (d, J = 8.6 Hz, 1H), 3.07 (dd, J = 14.5, 3.8 Hz, 1H), 2.76 - 2.61 (m, 2H), 2.46 (ddd, J = 14.2, 12.0, 1.9 Hz, 1H), 2.35 (s, 3H), 2.34 (s, 3H), 1.70 (d, J = 3.9 Hz, 1H), 1.40 (app. t, 1H), 0.58 (d, J = 3.8 Hz, 1H). ¹³C NMR (126 MHz, CDCl₃) δ 144.6, 135.4, 134.9, 133.2, 131.1, 129.8, 126.7, 125.6, 119.9, 119.4, 114.6, 111.1, 68.6, 63.6, 61.0, 39.5, 35.0, 34.3, 24.8, 22.8, 21.4. IR (thin film) 3384 cm⁻¹. HRMS (ESI) calcd for [C₂₃H₂₅N₂O₃S] 409.15804, found 409.15779.

<u>Solution B:</u> A solution of iodine (70.0 mg, 0.28 mmol), Et₂O (60.0 μ L, 0.57 mmol) and dry CH₂Cl₂ (0.50 mL) was maintained at 0 °C under a N₂ atmosphere and a solution of Et₂Zn (275 μ L, 0.28 mmol, 1M in hexane) was added dropwise during which the purple solution turned

colorless. The ice bath was removed and the resulting mixture was stirred at room temperature for 15 min and then added to a room temperature CH_2Cl_2 (0.5 mL) solution of the crude allylic alcohol (229 µmol) obtained from the DIBALH reduction of ester **10** (see above). The resulting mixture of zinc alkoxide was stirred at room temperature for 10 min before being used in the above procedure.

(±)-Cycloclavine:

Freshly distilled MeSO₂Cl (6.80 µL, 88.0 µmol) was added dropwise to a 0 °C solution of **11** (20.0 mg, 49.0 µmol) and Et₃N (13.8 µL, 98.0 µmol) in dry CH₂Cl₂ (2.00 mL). The reaction mixture was stirred at 0 °C for 1 h and then diluted with CH₂Cl₂ (10 mL). The organic layer was washed with saturated NaHCO₃ (5 mL × 2), water (5 mL × 1) and brine (5 mL × 1), dried over Na₂SO₄ and evaporated to give the mesylated product which was used in the next step without further purification. *Note:* The mesylated product was unstable to silica gel chromatography conditions.

A 1M solution of LiBHEt₃ (98.0 μ L, 98.0 μ mol) was added dropwise to a cold solution of the mesyl derivative in THF (0.50 mL). The reaction mixture was maintained at 0 °C for 45 min, at which point H₂O (5.00 mL) was added. The mixture was added to 10% NaOH (5.00 mL) and the mixture was extracted with EtOAc (10.0 mL \times 5). The organic layers were combined, dried over Na₂SO₄ and evaporated to give the reduced product (25.0 mg) which was used in the next step without further purification.

The crude reduced material (10.0 mg) formed above was dissolved in an equal part mixture of aq 20% NaOH and MeOH (4.00 mL) and was then warmed to reflux for 12 h. After cooling to room temperature the MeOH was removed in vacuo and the remainder of the reaction mixture was diluted with water and extracted with EtOAc (5.00 mL \times 7). The organic layers were combined, dried over Na₂SO₄ and evaporated to give a residue that was purified by silica gel column chromatography (79:20:1 to 59:40:1 CHCl₃/acetone/Et₃N) to provide 2.5 mg of cycloclavine. Spectral data for this material matched published values.²

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