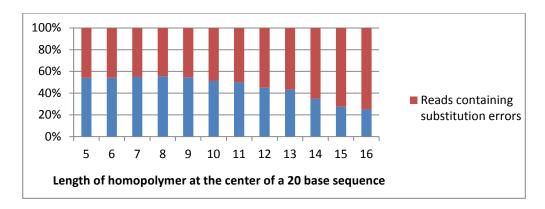
Genotyping of microsatellite loci using a Gaussian mixture model

Hongseok Tae, Dong-Yun Kim, John McCormick, Robert E. Settlage, and Harold R. Garner

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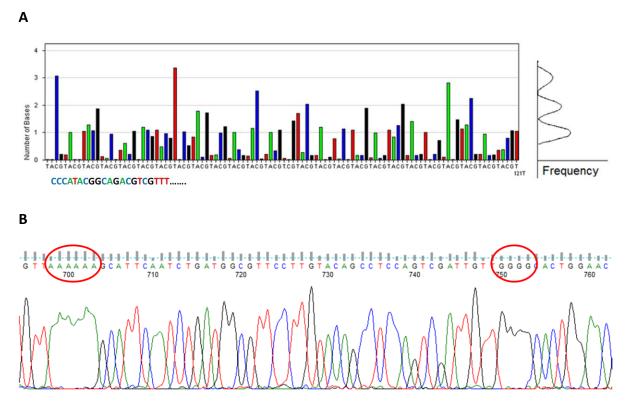
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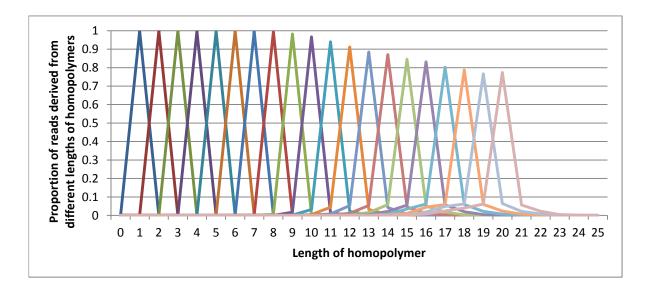
Proportion of reads containing substitution errors, which cover 20 base sequences containing different lengths of homopolymers at the center. The sequence reads were from the Illumina 36 cycle single end sequencing for a Drosophila inbred line. The proportion of reads containing substitution errors rapidly increased when the length of a homopolymer is longer than 9 bases.

B.suis	GGCGCATTTTGCAACTGATTCTATGCCGGGGGGGGGG
read1	GGCGCATTTTGCAACTGATTCTATGCCGGGGGGGGGGGG
read2	GGCGCATTTTGCAACTGATTCTATGCCGGGGGGGGGGGG
read3	GGCGCATTTTGCAACTGATTCTATGCCGGGGGGGGGGGG
read4	TTTTGCAACTGATTCTATGCCGGGGGGGGGGGGGG
read5	GGCGCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read6	GGCGCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read7	GGCGCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read8	GGCGCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read9	GGCGCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read10	GGCGCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read11	GCATTTTGCAACTGATTCTATGCC-GGGGGGGGGGGG
read12	TTTTGCAACTGATTCTATGCC-GGGGGGGGGGGGGAAGCGCATACGTTGGAGCGT
read13	CTGATTCTATGCC-GGGGGGGGGGGGAAGCGCATACGTTGGAGCGT
read14	GGCGCATTTTGCAACTGATTCTATGCCGGGGGGGGGGG
read15	GCATTTTGCAACTGATTCTATGCCGGGGGGGGGGG
read16	GGCGCATTTTGCAACTGATTCTATGCCGGGGGGGGGG
read17	ATTTTGCAACTGATTCTATGCCGGGGGGGGGGG

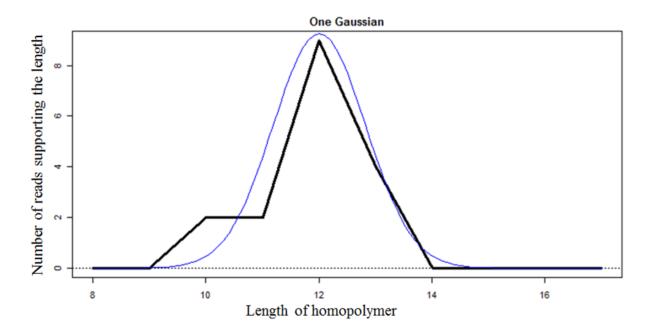
Recurrence of INDEL errors in sequence reads. The reference is a genomic sequence of *Brucella suis* 1330 which is a prokaryote with a haploid genome, and the locus is a unique region in the genome. The sequence reads were generated from the Illumina 101 cycle paired-end sequencing technology. Insertions or deletion errors by sequencing errors or other sources, such as PCR amplification error or individual cell mutation, results in various lengths of G homopolymers in sequence reads.



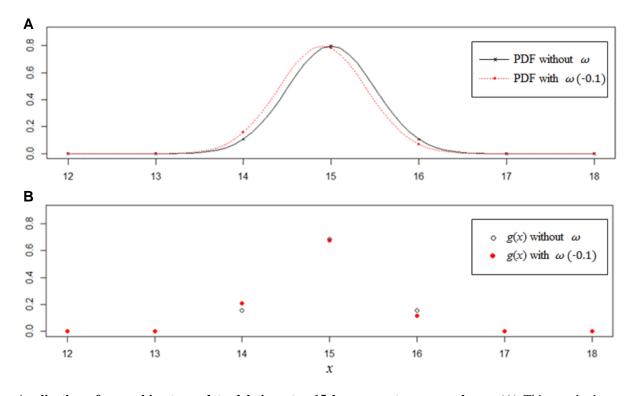
Signal intensities at the repeat sequences. (A) pyrosequencing. The number of homopolymer bases is decided by the intensity of a signal. The method often generates homopolymer errors. (B) Sanger sequencing. When homopolymer bases locate near to the beginning or ending position of sequencing, the boundaries of the homopolymer bases often become ambiguous and base-calling programs can generate homopolymer errors.



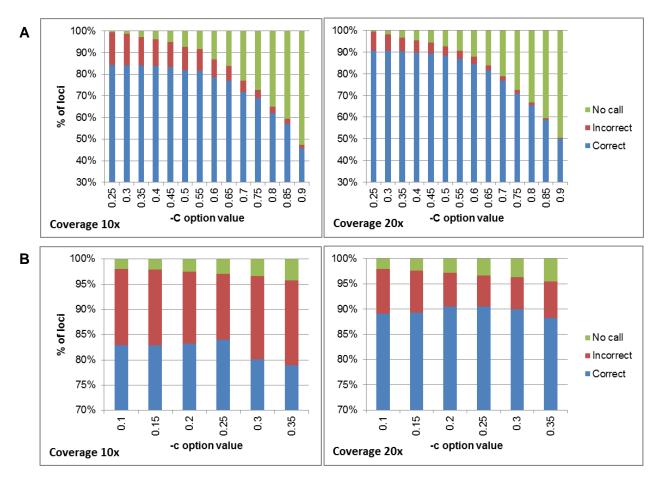
Different proportions of reads containing INDEL errors derived from the different lengths of homopolymers in the Illumina sequencing data. Each line represents the proportion of reads containing length x homopolymers derived from the called homopolymer alleles (the highest peak of a line, called by GenoTan). The graph was created with the Illumina sequencing data of the human genome HG01974 from the 1000 genome project.



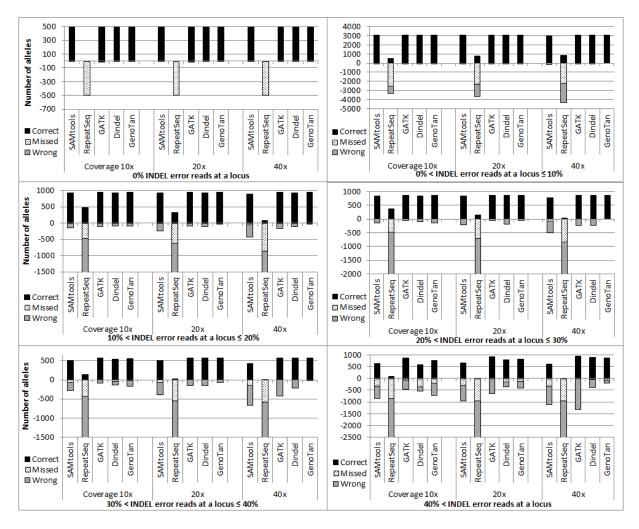
Gaussian distribution to express the bidirectional INDEL errors in sequence reads. The graph shows a Gaussian distribution for the locus at the supplementary figure 2. The chances of insertion errors and deletion errors are proportional.



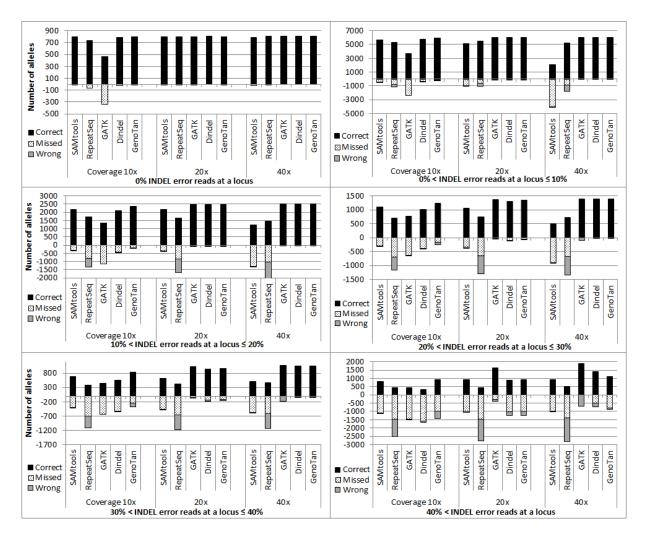
Application of error bias toward to deletion at a 15 base repeat sequence locus. (A) This graph shows outputs of the probability density functions (PDFs) of the Gaussian distributions N(15, 0.5) and $N(15+\omega, 0.5)$, where the estimate of ω is -0.1. The parameter ω represents bias of INDEL errors at the repeat sequences in sequence reads derived from a repeat locus containing a 15 nucleotide allele. Because ω is a negative value, deletion is more likely to occur than insertion during sequencing. (B) The outputs of PDFs are discretized by the p(x) function as in equation 1 where $\mu=15+\omega$.



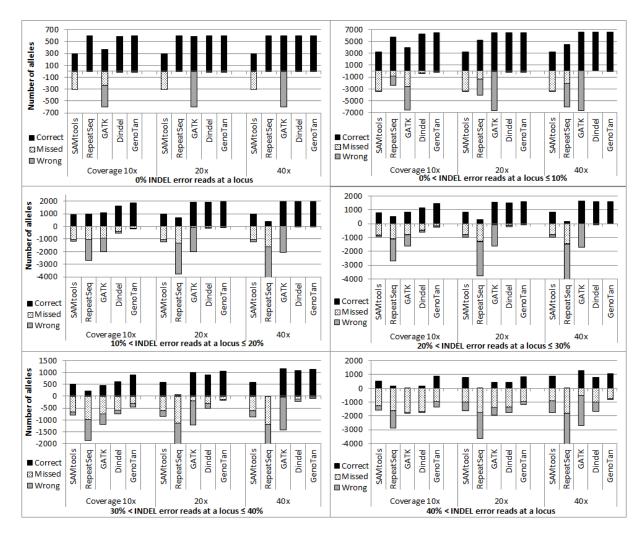
Performances of GenoTan with different "-C" and "-c" option values for the simulated data. (A) Different "-C" option values with a fixed "-c" option (0.25) of GenoTan were tested for the simulated data. The default value for the option "-C" is 0.35. (B) Different "-c" option values with a fixed "-C" option (0.35) of GenoTan were tested for the simulated data. The default value for the simulated data. The default value for the option "-C" is 0.25.



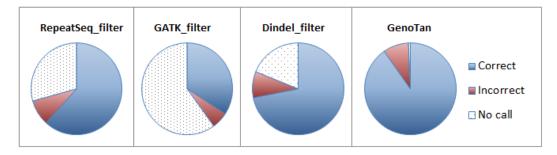
Number of correct, missed and wrong allele calls for homozygous loci of the simulated data in different error reads rates. The numbers of missed and wrong allele calls are shown as negative numbers. Genotan showed slightly higher rate of wrong allele calls than Dindel when the coverage is 10x and the proportion of INDEL error reads is more than 40%, but have very low rates of missed/wrong allele calls at most categories.



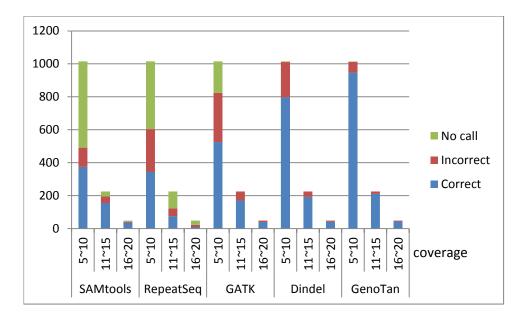
Number of correct, missed and wrong allele calls for reference/non-reference heterozygous loci of the simulated data in different error reads rates. The numbers of missed and wrong allele calls are shown as negative numbers.



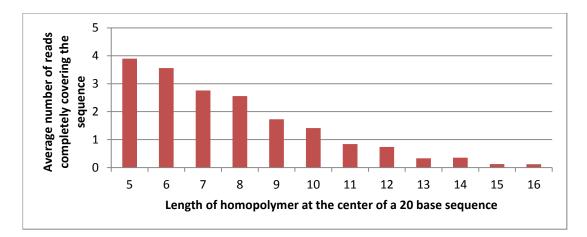
Number of correct, missed and wrong allele calls for non-reference/non-reference heterozygous loci of the simulated data in different error reads rates. The numbers of missed and wrong allele calls are shown as negative numbers. SamTools and GATK call two reference/non-reference heterozygous genotypes or one reference/non-reference heterozygous with additional non-reference homozygous genotypes for a non-reference/non-reference heterozygous locus. RepeatSeq frequently calls more than two alleles for a locus when a rate of error reads is high. Only Dindel and GenoTan call a non-reference/non-reference heterozygous genotype but Dindel also called many wrong allele calls as reference alleles since it favored calling reference alleles.



Proportions of no calls, incorrect calls and correct calls of the programs after filtering out reads not completely covering the repeat sequences. RepeatSeq, GATK and Dindel did not show significant improvement for the filtered data.



Performance comparison of genotyping programs for sequence data of a single Drosophila inbred line (RAL-301)



An average number of reads completely covering the 20 base sequence containing a homopolymer at the center. We compared the average number of reads completely covering 20 base sequences with different lengths of homopolymers at their center. The number of reads dropped significantly when the length of a homopolymer is long.

Estimated percentages of INDEL error reads at a locus	Homozygous	Heterozygous
0%	499	704
0<~5%	2383	5000
5~10%	693	1340
10~15%	493	1103
15~20%	458	1158
20~25%	463	891
25~30%	385	610
30~35%	414	747
35~40%	159	395
40~45%	499	704
45% ~	953	1852
Total	6900	13800

Number of loci in the simulated data

The simulated data was generated from the Binomial random function (p=0.5) and two Gaussian random functions with $\mu_1 = l_{L1}$ and $\mu_2 = l_{L2}$ (where L_1 and L_2 were artificially generated from 1~8mer motif sets), and σ_1^2 and σ_2^2 for the functions were calculated from β_a (=0.00099) and β_b (=0.0153) which were estimated by a homopolymer decomposition method from the human genome NA19138. The percentage of INDEL error reads at a locus is estimated from the $g(x; l_{L1}, l_{L2}, \sigma_1^2, \sigma_2^2, \theta = 0.5)$ function for the given alleles, L_1 and L_2 , at the locus. The average error rates of the simulated data may be much higher than real sequencing data since it includes many long homopolymer loci.

	Coverage 10x				Coverage 20x				Coverage 40x						
Overall	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan
Correct	53.9	34	47.4	76.2	84.1	51.1	29.5	61.7	86.3	90.6	37.6	23.6	56.5	89.5	94.9
Incorrect	36.7	38.5	30.8	11.3	13.2	40.2	52.1	35.2	8.7	5.7	42.8	62.5	43.4	9.1	1.8
No call	9.4	27.5	21.9	12.5	2.7	8.7	18.4	3.1	5	3.7	19.5	13.9	0.1	1.4	3.4
Homozygous loci (non- reference)	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan
Correct	80.7	0	90	89.1	87.4	76.7	0	89.4	89.5	93.8	69.5	48.5	81.3	87.1	97.4
Incorrect	14.8	45.8	9.6	6.5	11.9	19.9	58.1	10.6	8.9	5.3	27	51.5	18.7	12.4	1.9
No call	4.6	54.2	0.4	4.4	0.7	3.4	41.9	0	1.6	0.9	3.5	0	0	0.4	0.8
Heterozygous loci (ref/non-ref)	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan
Correct	81	53.9	52	75.1	82.5	76.7	50.8	95.6	88.9	88.9	43.4	41.5	88.2	94.6	93.5
Incorrect	2.1	30.7	0.3	4.4	13.9	2.3	42.6	1.7	4.3	6.3	1.7	54.5	11.8	3.9	1.6
No call	16.9	15.4	47.6	20.5	3.6	21.1	6.7	2.7	6.8	4.9	54.9	3.9	0	1.4	4.9
Heterozygous loci (non-ref/non- ref)	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan	SAMtools	RepeatSeq	GATK	Dindel	GenoTan
Correct	0	48.1	0	64.3	82.5	0	37.7	0	80.6	89.2	0	29.4	0	86.8	93.7
Incorrect	93.2	39.1	82.4	23.1	13.8	98.5	55.6	93.3	13	5.6	99.8	66.7	99.8	10.9	1.8
No call	6.8	12.8	17.6	12.6	3.7	1.5	6.7	6.7	6.5	5.2	0.2	3.9	0.2	2.3	4.5

The performance comparison for the simulated data. The values in the table are percentages. This table is corresponding to the Figure 2A-D at the main text.

	Num. of target loci	Num. of reads on target loci	lobSTR	RepeatSeq	GATK	Dindel	GenoTan
Simulated data, depth 40	20,700	827,999	11M 05S	15S	57M 40S	4H 53M 4S	9M 20S
Mixed Drosophila sequence data	3,300	73,063	45S	6S	1M 3S	4M 8S	32S
SRR345592, chr1	58,246	3,215,705	36M 21S	32S	59M 5S	50H 24M 3S	10M 42S

Comparison of computational speeds. The programs were tested in a LINUX environment with Intel 2.67GHz CPUs and 32Gbyte memory. To test the computational speeds of genotyping programs for only the target loci, the reads mapped to target loci were used. The bitwise FLAG in the SAM format for each paired-end read was converted to a FLAG for single-end, after mapping and filtering (Dindel does not use information of mapped reads of which paired reads were not mapped in paired-end mapping, when we filter out the reads not mapped to the target loci).

Data	Coverage	Method	Correct	Incorrect	No call
		Simple decision without Gaussian	58.3	36.0	5.7
	10X	After the first step	81.1	16.3	2.6
		With all steps	84.1	13.2	2.7
simulated		Simple decision without Gaussian	64.8	30.3	4.9
data	20X	After the first step	90.1	6.6	3.3
		With all steps	90.6	5.7	3.7
	40X	Simple decision without Gaussian	72.4	19.9	7.8
		After the first step	94.9	1.8	3.3
		With all steps	94.9	1.7	3.4
		Simple decision without Gaussian	63.1	36.9	0.0
Merged Dros	ophila inbred samples	After the first step	89.8	9.4	0.8
		With all steps	90.2	9	0.8
		Simple decision without Gaussian	65.1	32.4	2.5
pIRS	simulated data	After the first step	91.8	5.7	2.5
		With all steps	91.8	5.7	2.5

Comparison of genotyping results from different steps in the GenoTan's process. For the simple decision, a similar rules to the Final decision of GenoTan,

 $C_{high} = N_{highest} / T$ and $C_{low} = N_{2nd} / N_{highest} \times (N_{2nd} / T)$

,where $N_{highest}$ is the read count supporting an allele candidate with the highest read frequency, N_{2nd} is the read count supporting an allele candidate with the second highest read frequency and T is the total read count for the locus, were calculated with cutoff values 0.35 and 0.25, which are default values of GenoTan to decide the allele calls. (Note1. C_{low} has been used to filter out allele candidates supported by reads from mismapping, individual cell mutation or PCR amplification artifacts (which are difficult to identify with statistic approaches), when a ratio of N_{2nd} to $N_{highest}$ is too low) (Note2. $p_{L_{low}}(l_{L_{low}})$ in the Final decision of GenoTan can be higher than $p_{L_{high}}(l_{L_{high}})$ because of the Gaussian mixture model, while N_{2nd}/T is always equal to or lower than $N_{hightest}/T$. Other simple rules may work better than this simple decision)

The whole genotyping process of GenoTan is composed of two step calculations using the Gaussian distribution. The genotyping results after only the first step were also compared. Since the pIRS simulator didn't account the homopolymer errors in generating simulated data, the three methods didn't show significantly different results. (Note3. Since pIRS simulator did not account homopolymer errors, the two step calculation didn't improve the accuracy for its data from the first step calculation.)

Supplementary Methods

Rescaling the Gaussian cumulative distribution function

The approximate probability of that a length *x* repeat sequence in a read derived from the length *L* allele is *x* bases can be estimated by $\int_{x=0.5}^{x+0.5} f_L(t) dt$. Since *x* is a natural number, we need to rescale to make the distribution a proper probability mass function. The scale factor ς is computed from the following.

Note that for a large L, ς is close to 1.

Pseudo code applying the Nonlinear Least-Squares regression

We used the Nonlinear Least-Squares (NLS) regression provided by the GNU Scientific Library (GSL, http://www.gnu.org/software/gsl). The NLS regression is an extension model of the linear least squares regression to estimate unknown parameters fitting to a given data generated from a non-linear model. The NLS function in the GSL requires user defined functions coordinated to the non-linear model and takes a data vector containing training data.

This is a pseudo code to use the NLS regression with the mixture form of two cumulative distribution functions ($g(x; L_1, L_2)$).

```
Set minDiff to 0
FOR each genotype candidate (L_1, L_2)
     MIN = min. observed length -k
     MAX = max. observed length + k
     Initialize vector[ from MIN to MAX] to 0
    \mu_{L1} = l_{L1}
     \mu_{L2} = l_{L2}
     IF it is the second regression step
          \mu_{L1} += \omega_{L1}
          \mu_{L2} += \omega_{L2}
          FOR x = MIN to MAX
               vector[x] = g(x; \mu_{L1}, \mu_{L2}, \sigma_1^2 = v_{L1}, \sigma_2^2 = v_{L2}, \theta = 0.5)
          END FOR
     END IF
     FOR x = MIN to MAX
          vector [x] += total mapping probability of reads with length x repeat sequence (instead observed number)
     END FOR
     total = sum(vector)
     FOR x = MIN to MAX
          vector[x] = vector[x]/total;
     END FOR
     (\sigma_{L1}, \sigma_{L2}, \theta) = \text{estimation\_with\_NLS}(\mu_{L1}, \mu_{L2}, \text{vector})
     diff = 0
     FOR x = MIN to MAX
          diff += (vector[x]- g(x; \mu_{L1}, \mu_{L2}, \sigma_1^2 = \sigma_{L1}^2, \sigma_2^2 = \sigma_{L2}^2, \theta))^2;
     END FOR
     IF minDiff > diff
          minDiff = diff
     END IF
END FOR
RETURN a genotype candidate with the smallest diff
```

The NLS function provided by the GSL requires initial values of the parameters to be trained and incorrect initial values can cause local maxima. To reduce this problem, GenoTan tests initial values of σ_{L1} , σ_{L2} from 0.15 to 1.15 and chooses the output parameters (σ_{L1} , σ_{L2} , θ) of the NLS with the initial values, which produce the least square errors.

```
function estimation_with_NLS(\mu_{L1}, \mu_{L2},vector)
      Set minDiff to 0
      Set min_\sigma_{L1} to 0
      Set min_\sigma_{L2} to 0
       Set min_\theta to 0
      FOR (\sigma_{L1}, \sigma_{L2}) = 0.15 to 1.15, by 0.05
             \theta = 0.5
              (\sigma_{L1}, \sigma_{L2}, \theta) = \text{NLS\_with} g\_\text{function}(\mu_{L1}, \mu_{L2}, \sigma_{L1}, \sigma_{L2}, \theta, \text{vector})
             diff = 0
             FOR x = MIN to MAX
                    diff += (vector[x]- g(x; \mu_{L1}, \mu_{L2}, \sigma_1^2 = \sigma_{L1}^2, \sigma_2^2 = \sigma_{L2}^2, \theta))^2;
             END FOR
             IF minDiff > diff
                    minDiff = diff
                    \min_{\sigma_{L1}} = \sigma_{L1}
                    \min_{\sigma_{L2} = \sigma_{L2}} \sigma_{L2}
                    \min_{\theta} = \theta
             END IF
      END FOR
      RETURN (min_\sigma_{L1}, min_\sigma_{L2}, min_\theta)
```

Homopolymer decomposition

The homopolymer decomposition method is a process to decompose sequences into a set of homopolymers to estimate parameters ω_L and v_L . For example, the 'TAAACAAATAAA' sequence is composed of three 'AAA', two 'T' and one 'C' ('T' and 'C' are monomers but we treat them as homopolymers). To make the problem tractable, we assume the following:

A1) Insertion and deletion error events in each homopolymer are independent from those in the neighborhood homopolymers.

A2) Each error at a base is independent from the errors at neighborhood bases.

A3) Only one of the insertion or deletion error events in the repeat sequence of a read is considered. This means we only consider the observed event. For example, we only consider 1 base deletion error for $\{1 \text{ base insertion} + 2 \text{ base deletion}\}$, $\{2 \text{ base insertion} + 3 \text{ base deletion}\}$ and so on.

A4) All of the insertion errors are derived only from the existing neighborhood nucleotides. If a sequence read has 'TGAAATAAATAAA' sequence and the second base 'G' is identified as an insertion error, we assume the first homopolymer 'T' or the second homopolymer 'AAA' caused the insertion error.

A5) Probabilities of insertion and deletion errors are affected only by the lengths of homopolymers. We ignore the other factors including high error rates at the end bases of sequence reads, GC-content biases during library amplification/sequencing and effects of specific sequences such as 'GGC' inducing sequencing errors which are known to occur in the Solexa next generation sequencing platform (Nakamura, et al., 2011).

As an example, suppose that 15 and 1 reads containing 'TAAATAAA' and 'TAATAAA' respectively, have been mapped to a locus A. We would conclude that the inherited allele is 'TAAATAAA' and 'TAATAAA' is derived from 'TAAATAAA' by a 1-base deletion error. Then an estimated average length of the sequence in a read which is derived from the 'TAAATAAA' allele is 7.93 bases ($15/16 \times 8 +$ $1/16 \times 7$). For another example, suppose that 14, 2 and 1 reads containing 'GTTTGTTT', 'GTTGTTT', and 'GTTTTCGTTT' respectively, have been mapped to another locus B. We would conclude that the inherited allele is 'GTTTGTTT', and 'GTTGTTT' and 'GTTTTCGTTT' have a 1-base deletion error and a 2-base insertion error respectively. Then an estimated average length of the sequence in a read which is derived from the 'GTTTGTTT' allele is 7.99 bases $(14/17 \times 8 + 2/17 \times 7 + 1/17 \times 10)$. Based on the assumption A5, the alleles of locus A and B can be treated as the same sequence in an abstract form, {1N3N1N3N}, and the average length of the sequence can be calculated together. Then the estimated average length of the sequence in a read derived from $\{1N3N1N3N\}$ is 7.97 (=29/33×8 + 3/33×7 + $1/33 \times 10$). By simply subtracting 7.97 from 8, we can estimate ω , which represents the error bias toward deletion or insertion at the microsatellite sequence in a read derived from the {1N3N1N3N} allele. While the positive result of the subtraction represents bias toward insertion, the negative result represents bias toward deletion in sequence reads derived from the allele.

If we collect more reads derived from all loci containing the {1N3N1N3N} alleles, we can estimate a more accurate average length of repeat sequences in reads derived from the alleles. But some alleles (e.g. {40N10N}) may not be covered by enough reads to be used as the training set to estimate the accurate average length, so we apply the homopolymer decomposition method. The average length of the sequences in the previous example is 7.97 and the abstract form of the allele is {1N3N1N3N}. This form can be decomposed into '2 · {1N} + 2 · {3N}'. Since each {iN} can be regarded as an individual variable, we can define them as { N_1 , N_2 , N_3 , N_4 ...}, and the example can be described by '7.97 = 2 · N_1 + 2 · N_3 '. Then we can write an equation summarizing all possible allele sequences as follows

$$Y = n_1 \cdot N_1 + n_2 \cdot N_2 + n_3 \cdot N_3 + \dots = \sum_{i}^{I} n_i \cdot N_i$$
(S1)

where *Y* is the average length of repeat sequences in reads derived from a single abstracted allele. Due to the limitation of the current sequencing technology, the maximum length, *I*, of a sequence, we can obtain, is not infinite. *Y* and n_i for an allele are simply calculated from the training data, and $\{N_1, N_2, N_3, N_4 ...\}$ can be estimated by a linear regression method. Moreover, because of the correlation between N_i and N_{i+1} , we define N_i with two additional cofactors α_a and α_b as

$$N_i = i + \alpha_a \cdot i + \alpha_b \tag{S2}$$

where α_b and α_b represent a bias gradient and an initial bias respectively. Then we can write the equation S1 as

$$Y = \sum_{i}^{I} n_{i} (i + \alpha_{a} \cdot i + \alpha_{b})$$
(S3)

Because the variables i and n_i represent the length and the number of each homopolymer at a given abstracted allele respectively, the equation S3 can be modified as follows

$$Y - (\text{allele length}) = \sum_{i}^{I} n_i (\alpha_a \cdot i + \alpha_b)$$
(S4)

The cofactors α_a and α_b are estimated by a nonlinear regression method from the genotyping results of the first genotyping regression step and are used to calculate the parameters ω_L for a given allele candidate *L* in the second genotyping regression step from the following function

$$\omega_L = \text{get_mean_bias}(\text{consensus sequence of allele } L, \alpha_a, \alpha_b) = \sum_i^I n_i (\alpha_a \cdot i + \alpha_b)$$
 (S5)

since we can simply count the number of each length i homopolymer from the consensus sequence of the given allele candidate L.

Based on the assumption A1 and A2, the parameter v_L can be estimated in the same way with ω_L . For a given abstracted allele {1N3N1N3N}, the variance is calculated by the NLS regression function. And the abstracted form is decomposed into $(2 \cdot M_1 + 2 \cdot M_3)$, where M_i is a corresponding variable to N_i in the previous paragraph. Then we can write an equation summarizing all possible allele sequences as follows

$$Z = \sum_{i}^{l} n_{i} \cdot M_{i}$$
(S6)

where Z is an estimated variance of lengths of microsatellite sequences in reads derived from a given abstracted allele. We define M_i with two additional cofactors β_a and β_b as

$$M_i = i^2 \cdot \beta_a \cdot e^{i\beta_b} \tag{S7}$$

$$Z = \beta_a \cdot \left(\sum_{i}^{I} n_i \cdot i^2 \cdot e^{i \cdot \beta_b}\right)$$
(S8)

which describes rapid change of variances according to the length of homopolymers. They are also estimated by a nonlinear regression, and are used to estimate the parameters v_L for a given allele candidate L in the second genotyping regression step from the following function

$$\upsilon_{L} = \text{get_var_prior}(\text{consensus sequence of allele } L, \beta_{a}, \beta_{b}) = \beta_{b} \left(\sum_{i}^{I} n_{i} \cdot i^{2} \cdot e^{i \cdot \beta_{b}} \right) + \varphi$$
(S9)

where φ with default value 0.5, is added to v_L to reduce the probability of allele candidates supported by a small number of reads.

Supplementary Notes

Limitation of the Bayesian approach considering only sequencing errors for INDEL genotyping

Most genotyping programs, including Dindel, employing the Bayesian approach calculate a likelihood outcome for a locus in a diploid genome from the following equation;

$$L(H_{p}H_{m}|R_{i}) = \prod_{i} p(R_{i}|H_{p}H_{m}) = \prod_{i} \left[\frac{p(R_{i}|H_{p})}{2} + \frac{p(R_{i}|H_{m})}{2}\right]$$

where R_i is the *i*th observed read at the locus, and H_p and H_m are paternal and maternal hapolotype candidates respectively. Since this approach assumes that all reads are generated from one of two chromosomes, genotyping programs incorporate the mapping quality scores generated by mapping programs into the approach to control incorrectly mapped reads. However, relying on mapping quality scores could result in false positive prediction for INDEL genotyping, since mapping programs cannot calculate all possible alignments and often generate incorrect quality scores. Suppose that we have 5 reads, 6 reads and 1 read, which are mapped to a same locus, containing five base deletions, four base deletions and one base insertion respectively, and mapping quality scores of all reads are same. We would expect that the heterozygous genotype [allele with two base deletion, allele with three base deletion], which is presented by G(-4, -6), produces the highest probability among all possible genotype candidates, but the likelihood outcome of G(-4, 1) could be the highest because $p(R_{(1 base insertion)}|$ {-4, -5}) by sequencing errors is close to 0. Thus, an effective method to handle noise due to incorrectly mapped reads (the noise could also be the result of individual cell mutation or PCR amplification artifacts) is necessary, and we used a regression approach which could filter out such noise efficiently.

Shell scripts to run genotyping programs

GATK realignment

cat test.repeat.lst | perl -ne '@arr=split/t/; print "\$arr[0]:\$arr[1]-".(\$arr[1]+\$arr[2]-1)."\n";' > test.repeat.intervals perl getReadsOnMicrosatellites.pl -m test.repeat.lst -s \$bam -o test.ms.sam ### gets reads overlapping 2 bases down/upstream of targets. samtools view -S test.ms.sam -bo test.ms.bam samtools index test.ms.bam

java -jar ~/opt/GATK/GenomeAnalysisTK.jar -T IndelRealigner -R \$ref -targetIntervals test.repeat.intervals -I test.ms.bam -o test.GATK.bam

DIndel

mkdir dindel /bin/rm -f dindel/*dindel* ~/dindel/dindel --analysis getCIGARindels --bamFile \$bam --outputFile dindel/dindel --ref \$ref ~/dindel/makeWindows.py --inputVarFile dindel/dindel.variants.txt --windowFilePrefix dindel/dindel.realign_windows --numWindowsPerFile 1000 perl -e '

```
for($i = 1; $i < 10000; $i++){
  last if(not -e "dindel/dindel.realign_windows.$i.txt");
  system("~/dindel/dindel --analysis indels --doDiploid --bamFile $bam --ref $ref --varFn dindel/dindel.realign_windows.$i.txt --libFile
  dindel/dindel.libraries.txt --outputFile dindel/dindel.stage2.windows.$i");
  }
  perl -e '
  for($i = 1; $i < 10000; $i++){
    last if(not -e "dindel/dindel.stage2.windows.$i.glf.txt");
    system("echo dindel/dindel.stage2.windows.$i.glf.txt >> dindel/dindel.stage2.files.txt");
  }
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GATK

java -Xmx1g -jar GATK_dir/GenomeAnalysisTK.jar -T UnifiedGenotyper -R \$ref -glm INDEL -I \$bam -o GATK.vcf ## "-allowPotentiallyMisencodedQuals" is required for GATK2

SamTools

 $samtools\ mpileup\ -uf\ set{sham}\ |\ bcftools/bcftools\ view\ -Abvcg\ ->\ samtools.raw.bcf\ bcftools/vcfutils.pl\ varFilter\ -W\ 50\ >\ samtools.vcf\ sam$

lobSTR

mkdir lobSTR_index

python ~/lobSTR/scripts/lobstr_index.py --str \$bed --ref \$ref --out_dir lobSTR_index ~/lobSTR/bin/lobSTR --fastq --p1 \$fq_1 --p2 \$fq_2 --index-prefix lobSTR_index/lobSTR_ -o test.lobSTR

allelotype --command simple --bam test.lobSTR.aligned.bam --strinfo test.str.tab --out test.lobSTR --haploid no python ~/lobSTR/scripts/lobstr_to_vcf.py --gen test.lobSTR.genotypes.tab --sample test --out test.lobSTR

RepeatSeq

repeatseq \$bam \$ref \$regions

Supplementary Results

Performance test with two different mapping programs for simulated data generated by pIRS from the *Drosophila* reference

The performance of genotyping programs were compared for mapping results generated by two different mapping programs, BWA (0.6.1) and Novoalign (2.08.02) (default options). To create a simulated sequence reads, we selected the first 10,000 microsatellite loci in the *Drosophila* reference sequence and created two different chromosome sets by inserting INDELs to the reference sequence for the loci using a random function (1~8 repeat units variation, {non-ref Homozygous, ref/non-ref Heterozygous, non-ref/non-ref Heterozygous}). From the two chromosome sets, simulated sequence reads were generated by a pIRS simulator resulting in 20 read coverage depth. Then, BWA and Novoalign were used to map reads to the reference sequence, and genotyping programs, GATK, Dindel and GenoTan (with "-c 0.15" option because of no homopolymer or PCR amplification errors), were used to call genotypes for the target loci. The profiling results of lobSTR (2.0.2, default options, "allelotype --command simple") were also compared.

Mapping program		Η	BWA		Novoalign				
	GATK	Dindel	GenoTan	RepeatSeq	GATK	Dindel	GenoTan	RepeatSeq	lobSTR
Correct	79.8	92.4	91.8	53.7	84.3	95.6	95.4	55.0	2.8
Incorrect	9.5	2.7	5.7	3.8	6.9	1.5	2.7	1.3	24.2
No call	10.7	4.9	2.5	42.5	8.7	2.9	2.0	43.7	73.0 {<2, >6} mer motif : 49%

The numbers are percentages (%).

GenoTan is slightly more sensitive to the mapping results than Dindel. Since the pIRS simulator didn't account the INDEL errors and substitution errors induced by long homopolymer runs (Supplementary Figures S1 and S2) and the errors due to individual cell mutation or PCR amplification artifacts, for which our method has strength, the results only partially represent real data and might be biased to the local alignment-based approach used by Dindel. Considering the computational speeds, GenoTan is highly competitive with respect to other genotyping programs.

Comparison of GATK ver. 1 and ver. 2

Since the new version of GATK was released during our experiment, we compared the results of GATK ver. 1.6-9 and ver. 2.3-9 to test the difference in performance.

		S	Simulated data	ı	Mixed data of two	pIRS
	Coverage	10x	20x	40x	Drosophila inbred	simulated data
	Correct	47.4	61.7	56.5	45.9	79.8
Version 1.6-9	Incorrect	30.8	35.2	43.4	8.7	9.5
	No call	21.9	3.1	0.1	45.4	10.7
	Correct	45.1	58.5	59.5	44.9	79.7
Version 2.3-9	Incorrect	33.3	38.8	39.3	7.6	9.6
	No call	21.6	2.7	1.2	47.5	10.7

Two versions of GATK showed very similar results.