



**Genetic polymorphism of NFKB1 and NFKBIA genes and liver cancer risk: a nested case-control study in Shanghai, China**

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3 **Genetic polymorphism of *NFKB1* and *NFKBIA* genes and liver cancer risk: a**  
4 **nested case-control study in Shanghai, China**  
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**ABSTRACT**

**Objectives:** Genetic variations of NF- $\kappa$ B signaling pathway were found to be associated with inflammatory diseases and several malignancies. However, little is known about NF- $\kappa$ B pathway gene polymorphisms and susceptibility of liver cancer. The aim of this study was to investigate whether genetic variants of *NFKB1* and *NFKBIA* were associated with risk of liver cancer in a Chinese population.

**Design:** The study was designed as a nested case-control study within two prospective cohorts (the Shanghai Women's Health Study, SWHS, 1996–2000 and the Shanghai Men's Health Study, SMHS, 2002–2006).

**Settings:** This population-based study was conducted in urban Shanghai, China.

**Participants:** A total of 218 incident liver cancer cases diagnosed through December 31, 2011 and 436 healthy controls matched by sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection were included in the study.

**Primary and secondary outcome measures:** Genetic polymorphisms of *NFKB1* and *NFKBIA* were determined by TaqMan SNP genotyping assay blindly. ORs and its 95% CIs were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of liver cancer.

**Results:** After adjusted for potential confounding factors, rs28362491 ins/del or del/del genotypes were associated with higher risk of liver cancer with an adjusted OR of 1.50(95%CI: 1.02-2.49). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.49 (95%CI: 1.01-2.21). Haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model. No association was observed between *NFKBIA* variants and risk of liver cancer.

**Conclusions:** Our results suggest that genetic variants of *NFKB1* influence liver cancer susceptibility in Chinese population, although replication in other studies is needed.

**Article summary-strengths and limitations of this study**

- This study was the first population-based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer.
- Only incident cases from two prospective cohorts were included in the study which ruled out the possibility of recall and selection bias.
- The limitations of the study include relatively small sample size, unmeasured HBV infection, HCV infection and aflatoxin exposure. However, we did take into

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3 consideration of the participants' history of hepatitis and liver cirrhosis, and the  
4 presents of HCV infection and aflatoxin are very low in the study population.  
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## 8 INTRODUCTION

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10 Liver cancer is a common disorder worldwide which ranks the 5th and 7th most  
11 common cancer among men and women. It was estimated that more than 80% liver  
12 cancers occur in developing countries and about 54% occur in China<sup>1</sup>. Among the  
13 main risk factors for liver cancer, chronic infections of hepatitis B virus (HBV) and  
14 hepatitis C virus (HCV) are the most important in humans, accounting for more than  
15 70% of liver cancer cases worldwide<sup>2-4</sup>. Liver cirrhosis, heavy alcohol consumption,  
16 exposure to aflatoxin B1, and diabetes also account for part of liver cancer  
17 occurrence<sup>2-4</sup>.  
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22 Chronic inflammation has been widely accepted to play an important role in  
23 hepatocarcinogenesis. Most of the known risk factors of liver cancer such as HBV,  
24 HCV infection and alcohol drinking can cause persistent inflammatory reaction of the  
25 liver and promote cancer development<sup>5, 6</sup>. However, the molecular and cellular  
26 mechanisms linking inflammation and liver cancer remain unclear. Recent findings  
27 have suggested that NF- $\kappa$ B may play a crucial role in bridging the actions of growth  
28 factors and chronic inflammation to hepatic oncogenesis<sup>7-10</sup>.  
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33 NF- $\kappa$ B, a collection of dimeric transcription factors, was originally identified as  
34 a nuclear factor specific to B cells bound to the B site of the  $\kappa$ -light chain gene  
35 enhancer<sup>11</sup> and presents in all cell types<sup>12</sup>. It is a major transcription regulator of the  
36 immune response, cell adhesion, differentiation, proliferation, and apoptosis<sup>13</sup>. NF- $\kappa$ B  
37 dimers are formed by seven distinct proteins: NF- $\kappa$ B1 (p105 and p50), NF- $\kappa$ B2 (p100  
38 and p52), RelA (p65), RelB and c-Rel, of which NF- $\kappa$ B p50/RelA is the most  
39 common dimer form<sup>9</sup>. In the resting cell, most NF- $\kappa$ B dimers are inactivated in the  
40 cytoplasm by binding to specific inhibitors-I $\kappa$ B family, of which I $\kappa$ B $\alpha$  is the most  
41 common one. In the classical activation pathway, I $\kappa$ B is phosphorylated and  
42 degraded by I $\kappa$ B kinase complex, and then NF- $\kappa$ B dimers are released and  
43 translocated to the nucleus where they coordinate the transcriptional activation of  
44 target genes<sup>14</sup>. Several genetic variations of NF- $\kappa$ B signaling pathway have been  
45 reported to be associated with cancer risks such as breast<sup>15</sup>, prostate<sup>16</sup>, stomach<sup>17</sup>,  
46 colorectum<sup>18</sup> and mouth<sup>19</sup>. However, little is known about role of genetic  
47 polymorphisms NF- $\kappa$ B genes and susceptibility of liver cancer.  
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In a population based case-control study nested in two prospective cohorts of the Shanghai Women's and Men's Health Studies, we investigated the relationships between genetic variants of *NFKBI* and *NFKBIA*, two key genes involved in classic signaling pathway of NF- $\kappa$ B, and the risk of LIVER CANCER among Chinese men and women.

## MATERIAL AND METHODS

### Study population

Participants of this study came from the Shanghai Women's Health Study (SWHS) and Shanghai Men's Health Study (SMHS). The design and methods used in these two studies have been described in detail elsewhere<sup>20-23</sup>. Briefly, the SWHS enrolled 74,941 women aged 40-74 years between March 1, 1997 and May 31, 2000, with a response rate of 92.7%. SMHS enrolled 61,491 men aged 40-74 years without history of cancer at recruitment from April 1, 2002 to June 30, 2006, with a response rate of 74.1%. Both studies were approved by the relevant Institutional Review Boards for human research in China and the United States and a written informed consent was obtained from all participants.

In-person interview was conducted by trained interviewers using a structured questionnaire at baseline to obtain information on demographics, lifestyle, dietary habits, medical history and other characteristics. Anthropometric measurements, including current weight, height and circumferences of the waist and hips, were also measured. Of the eligible participants, 56,831 (75.8%) of the SWHS and 46,332 (75.3%) of the SMHS provided a 10-ml blood sample at baseline. The samples were drawn into an EDTA Vacutainer tube and then kept in a portable styrofoam box with ice packs (at approximately 0-4°C) and processed within 6 hours for long-term storage at -70°C. A bio-specimen collection form was completed for each participant at the time of sample procurement which included the date and time of collection, time of last meal, and date of last menstruation, intake of selected foods, smoking, as well as use of any medications over the previous 24 hours and during the previous week.

### Cohort follow-up and outcome ascertainment

Both cohorts were followed for occurrence of cancer and other chronic diseases by active in-person surveys conducted every 2-3 years as well as annual record linkage to the databases of the population-based Shanghai Cancer Registry, Shanghai Vital Statistics Registry, and Shanghai Resident Registry. For the SWHS, four rounds

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3 of in-person follow-ups were completed and the response rates for the first  
4 (2000-2002), second (2002-2004), third (2004-2007), and fourth (2008-2011)  
5 follow-up surveys were 99.8%, 98.7%, 96.7%, and 92.0%, respectively. For the  
6 SMHS, two rounds of follow-up surveys have completed. The response rates for the  
7 first (2004-2008) and second (2008-2011) follow-up surveys were 97.6% and 93.6%,  
8 respectively. For cohort members who developed liver cancer during the follow-up,  
9 medical chart were reviewed by a panel of oncologists to verify the diagnosis. Liver  
10 cancer data through December 31, 2011 was used for the present study.

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16 Included in this nested case-control study are 218 incident liver cancer cases and  
17 436 matched controls who had donated blood sample. Liver cancer cases were  
18 defined as having an International Classification of Disease, Ninth Revision (ICD-9),  
19 codes of 155.0 (primary malignant neoplasms), 155.1(malignant neoplasms of the  
20 intrahepatic bile ducts), or 155.2 (unspecified malignant neoplasms of the liver)<sup>24</sup>.  
21 Two control subjects were randomly selected from the cohorts who donated a blood  
22 sample at baseline and matched to each case for sex, age at baseline ( $\pm 2$  years) and  
23 date ( $\pm 30$  days) of sample collection. All controls were free of any cancer at the time  
24 of cancer diagnosis for the corresponding case.

### 30 31 **Genotyping**

32 Single-nucleotide polymorphisms (SNPs) were selected based on both TagSNP  
33 and their putative functional significance. Tagging SNPs were selected by searching  
34 the Han Chinese data from the Hapmap project<sup>25</sup>. The following criteria were used to  
35 identify tagging SNPs: (i) SNPs located in the genes or within the 5-kb flanking  
36 region, (ii) a minor allele frequency  $\geq 0.05$ , and (iii) other unselected  
37 single-nucleotide polymorphisms could be captured by one of the tagging SNPs with  
38 a linkage disequilibrium of  $r^2 \geq 0.90$ . A total of 8 SNPs were selected for genotyping  
39 which were rs28362491, rs230530, rs230525, rs230496 for *NFKB1* and rs3138053,  
40 rs3138055, rs2273650, rs696 for *NFKB1A* (table 1). Genomic DNA was extracted  
41 from buffycoat using Promega DNA Extraction Kit according to the manufacturer's  
42 instructions (Promega Corporation, Madison, WI, USA). Genotyping were performed  
43 by the TaqMan assay, using the ABIPRISM 7900HT Sequence Detection System  
44 (Applied Biosystems, Foster City, CA, USA), in 384-wellformat, with dual  
45 fluorescent reporter probes VIC and FAM. The quality and potential misclassification  
46 of the genotyping results were assessed by evaluating 5% of duplicate DNA samples  
47 that were randomly selected from the whole samples. There replicates were 100%

concordant. All serum samples were tested blindly and were identified only by an unique identification number blinded with case-control status.

Table 1. Descriptions of Genetic Polymorphisms of the *NFKB1* and *NFKBIA* genes under investigation

Gene	Assay ID	Sequence	Location
<i>NFKB1</i>	rs28362491	CTCCGTGCTGCCTGCGTTCCTCCGACC[-/ATTG]ATTGGGCC CGGCAGGCGCTTCCTGG	5'-near gene
	rs230530	TTTTTAGCACCAAACATCTTAATTT[A/G]CATTCAAATAAA TGAGAACCACCAT	intron
	rs230525	TACGGGAAAAGTGATTCTTGTTTAC[A/G]GAGCCCTCTTT CACAGTTTCATGTT	intron
	rs230496	TGTCTGGATTGCTTGAGACAGCCC[A/G]GTTTGCCCTG ACCTAATTGTTTAT	intron
<i>NFKBIA</i>	rs3138053	ATTCGTTTATGCTATCTGACCTACA[C/T]TGTGCTCCCGCA GAAAAAGGATCGT	5'-near gene
	rs3138055	AATCAACGGGATGACAGAATGACAA[C/T]GGAGAGGTCT CCAACCACAGGCCAA	3'-near gene
	rs2273650	AACAATACATTATGTACACCATTTA[C/T]AGGAGGGTAAC ACAAACCTTGACAG	3'-UTR
	rs696	CCTACCACAATAAGACGTTTTGGGC[C/T]AGGCAGTGTGC AGTGTGGATATAAG	3'-UTR

### Statistical analysis

Subjects with both survey data and genotyping results were included in the final analysis. Means and percentages of selected characteristics for cases and controls were calculated. The distributions of selected characteristics were compared between cases and controls by either student's *t*-test (continuous variables) or  $\chi^2$  test (categorical variables). Odds ratio and its 95% confidence interval were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of primary liver cancer. In the multivariable analysis, potential confounding factors were adjusted for, which include age (continuous variable); education level (four categories: elementary school or less, middle school, high school, and college or above); history of hepatitis (yes or no); family history of liver cancer (yes or no); and history of other chronic liver diseases or cirrhosis (yes or no). Statistical analyses were carried out using the SAS software package (version 9.2; SAS Institute, Cary, NC). Tests for trend were performed by entering categorical variables as continuous variables in the regression model. All P values were calculated by two-sided tests and were considered statistically significant if P was less than 0.05.

Hardy–Weinberg equilibrium and Linkage disequilibrium were assessed with HaploView version 4.0<sup>26</sup>. Associations between haplotypes and the risk of liver cancer

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were evaluated with HAPSTAT version 3.0 using the most common haplotype as the referent category, assuming an additive model<sup>27</sup>.

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## RESULTS

Selected baseline characteristics of study participants were presented in table 2. The average ages of cases and control were 59.65 and 59.48. Compared with controls, liver cancer cases were more likely to have a lower education level, a history of hepatitis, a family history of liver cancer in first degree relatives, and history of chronic liver diseases or cirrhosis. Besides, male liver cancer cases were more probably to have lower body mass index, and be a non-regular exerciser compared to controls, although the difference were at borderline significance. Whereas in women, cases were more likely to have a history of type 2 diabetes than controls. No differences were observed in family income, smoking, drinking habits, waist to hip ratio, and family history of other cancers between the two groups.

The associations of *NFKB1* SNPs with liver cancer risk were summarized in table 3. The genotypes of rs28362491, rs230530 and rs230525 showed no deviation from Hardy-Weinberg equilibrium in controls except for rs230496. After adjusted for potential confounding factors, rs28362191 ins/del or del/del genotypes were associated with higher risk of liver cancer with an OR of 1.50(95%CI: 1.02-2.49). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.49 (95%CI: 1.01-2.21). Carriers of rs230525 AG or GG genotypes had about 30% percent increased risk of liver cancer, but the risk was not insignificant. No association was found between rs230530 and liver cancer risk.

Table 4 presents the distribution of *NFKB1A* SNPs in cases and controls. The genotypes of rs3138055, rs696 and rs2273650 showed no deviation from Hardy-Weinberg equilibrium in controls but for rs3138053. Generally, all the four SNPs showed no relationship with liver cancer.

We further analyzed the haplotypes of these SNPs with risk of liver cancer (table 5). For *NFKB1* gene, two SNPs (rs230525, rs230530) demonstrated strong linkage disequilibrium ( $D' = 1.0$ ,  $r^2 = 0.58$ ). Compared to men carrying rs230525-rs230530 AG haplotype, those with GA or AA haplotypes were at increased risk of liver cancer with ORs of 1.46(95%CI: 1.05-2.03) and 1.81(95%CI: 1.15-2.86), respectively. For *NFKB1A*, rs3138053 and rs2273650 were in linkage disequilibrium ( $D' = 0.97$ ,  $r^2 = 0.31$ ) but none of the haplotypes was significantly associated with liver cancer.

Table 2. Distribution of selected characteristics in the study cases and controls<sup>†</sup>

Characteristics	All subjects			Male			Female		
	Cases (N=218)	Controls (N=436)	P	Cases (N=131)	Controls (N=393)	P	Cases (N=87)	Controls (N=174)	P
Age at interview, Mean±SD,	59.65±9.55	59.48±9.53	0.835	60.05±9.93	59.86±9.95	0.858	59.06±8.98	58.93±8.86	0.914
Education level (%)									
Elementary school or less	63(29.17)	120(27.59)		18(13.95)	35(13.41)		45(51.72)	85(48.85)	
Middle school	70(32.41)	151(34.71)		54(41.86)	104(39.85)		16(18.39)	47(27.01)	
High school	62(28.70)	92(21.15)		41(31.78)	61(23.37)		21(21.14)	31(17.82)	
College or above	21(9.72)	72(16.55)	0.036	16(12.40)	61(23.37)	0.053	5(5.75)	11(6.32)	0.380
Family income (%) <sup>†</sup>									
Low	50(22.94)	95(21.84)		17(12.98)	37(14.12)		33(37.93)	58(33.53)	
Medium	112(51.38)	211(48.51)		76(58.02)	130(49.62)		36(41.38)	81(46.82)	
High	56(25.69)	129(29.66)	0.569	38(29.01)	95(36.25)	0.271	18(20.69)	34(19.65)	0.694
Ever smoked (%)	93(42.66)	174(39.91)	0.50	90(68.70)	163(62.21)	0.205	3(3.45)	11(6.32)	0.331
Ever drank alcohol (%)	45(20.64)	98(22.48)	0.593	42(32.06)	97(37.02)	0.332	3(3.45)	1(0.57)	0.109
Body mass index ,kg/m <sup>2</sup> , Mean±SD	23.80±3.64	24.16±3.33	0.209	23.16±3.25	23.77±2.89	0.06	24.76±4.00	24.74±3.83	0.97
WHR, Mean±SD	0.87±0.07	0.87±0.07	0.995	0.90±0.06	0.90±0.06	0.379	0.82±0.05	0.83±0.06	0.231
Regular physical activity (%)	95(43.58)	211(48.39)	0.245	49(37.40)	124(47.33)	0.062	46(52.87)	87(50.00)	0.662
physical activity, MET-hours/week	82.16±47.67	83.57±43.43	0.696	66.86±40.33	68.00±34.61	0.78	105.1±48.84	107.0±44.90	0.752
History of hepatitis (%)	74(33.94)	25(5.73)	<0.001	57(43.51)	16(6.11)	<0.001	17(19.54)	9(5.17)	<0.001
Family history of cancer (%)	69(31.65)	117(26.83)	0.198	41(31.30)	70(26.72)	0.342	28(32.18)	47(27.01)	0.384
Family history of liver cancer (%)	28(12.84)	18(4.13)	<0.001	20(15.27)	10(3.82)	<0.001	8(9.20)	8(4.60)	0.144
History of type 2 diabetes (%)	26(11.93)	36(8.26)	0.131	14(10.69)	25(9.54)	0.72	12(13.79)	11(6.32)	0.045
History of chronic liver disease or	35(16.06)	11(2.52)	<0.001	26(19.82)	10(3.82)	<0.001	9(10.34)	1(0.57)	<0.001

\* Missing data was excluded from the analysis

<sup>†</sup> Family income level (low income for <5000 yuan/year in the SWHS and <12 000 yuan/year in the SMHS; medium income for 5000 to <10 000 yuan/year in the SWHS and 12 000 to <24 000 yuan/year in the SMHS; and high income for >10 000 yuan/year in the SWHS and >24 000 yuan/year in the SMHS)

Table 3. *NFKB1* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P for $\chi^2$	OR*	95%CI	OR <sup>†</sup>	95%CI	OR <sup>‡</sup>	95%CI
rs28362491									
ins/ins	69	174		1.00	-	1.00	-	1.00	-
ins/del	102	166		1.55	1.07-2.25	1.55	1.07-2.25	1.64	1.09-2.49
del/del	40	79	0.069	1.28	0.80-2.05	1.28	0.80-2.05	1.21	0.71-2.04
<i>P for trend</i>				0.148		0.148		0.246	
ins/del or del/del	142	245	0.031	1.46	1.03-2.07	1.46	1.03-2.07	1.50	1.02-2.21
rs230496									
AA	65	167		1.00	-	1.00	-	1.00	-
AG	101	173		1.50	1.03-2.19	1.50	1.03-2.19	1.64	1.08-2.50
GG	47	92	0.106	1.32	0.84-2.07	1.31	0.84-2.07	1.24	0.74-2.05
<i>P for trend</i>				0.152		0.152		0.259	
AG or GG	148	265	0.041	1.44	1.01-2.04	1.44	1.01-2.04	1.49	1.01-2.21
rs230525									
AA	80	189		1.00	-	1.00	-	1.00	-
AG	102	180		1.34	0.94-1.92	1.35	0.94-1.92	1.42	0.95-2.11
GG	32	64	0.277	1.18	0.72-1.95	1.18	0.72-1.95	1.08	0.62-1.89
<i>P for trend</i>				0.268		0.258		0.402	
AG or GG	134	244	0.127	1.30	0.93-1.82	1.30	0.93-1.82	1.33	0.91-1.93
rs230530									
AA	64	116		1.00	-	1.00	-	1.00	-
AG	99	181		0.99	0.67-1.46	0.99	0.67-1.47	1.03	0.67-1.59
GG	49	130	0.152	0.68	0.44-1.07	0.68	0.44-1.07	0.70	0.42-1.15
<i>P for trend</i>				0.1		0.1		0.169	
AG or GG	148	311	0.426	0.86	0.60-1.24	0.86	0.60-1.24	0.89	0.60-1.34

\*Adjusted for age

<sup>†</sup>Adjusted for age, sex.<sup>‡</sup>Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 4. *NFKB1A* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P	OR*		OR <sup>†</sup>		OR <sup>‡</sup>	
rs3138053									
AA	174	342		1.00	-	1.00	-	1.00	-
AG	21	51		0.81	0.47-1.39	0.80	0.47-1.39	0.92	0.51-1.64
GG	19	40	0.736	0.93	0.53-1.66	0.94	0.53-1.68	0.98	0.51-1.89
<i>P for trend</i>				0.618		0.611		0.861	
AG or GG	40	91	0.487	0.86	0.57-1.31	0.86	0.57-1.31	0.94	0.60-1.49
rs3138055									
CC	63	129		1.00	-	1.00	-	1.00	-
CT	109	220		1.02	0.70-1.49	1.02	0.70-1.49	1.17	0.76-1.80
TT	42	84	0.995	1.02	0.63-1.65	1.02	0.63-1.65	1.26	0.74-2.14
<i>P for trend</i>				0.923		0.923		0.379	
CT or TT	151	304	0.926	1.02	0.71-1.46	1.02	0.71-1.46	1.20	0.80-1.79
rs696									
CC	66	150		1.00	-	1.00	-	1.00	-
CT	115	202		1.30	0.90-1.88	1.30	0.90-1.88	1.41	0.93-2.13
TT	33	78	0.268	0.96	0.58-1.58	0.96	0.58-1.58	1.12	0.64-1.94
<i>P for trend</i>				0.822		0.823		0.459	
CT or TT	148	280	0.304	1.20	0.85-1.71	1.20	0.85-1.71	1.33	0.89-1.97
rs2273650									
CC	108	331		1.00	-	1.00	-	1.00	-
CT	85	176		0.99	0.70-1.40	0.99	0.70-1.40	0.88	0.60-1.30
TT	20	37	0.934	1.11	0.61-1.99	1.11	0.61-2.00	0.92	0.47-1.79
<i>P for trend</i>				0.831		0.830		0.596	
CT or TT	105	213	0.959	1.01	0.73-1.41	1.01	0.73-1.41	0.89	0.62-1.28

\*Adjusted for age

† Adjusted for age, sex.

‡ Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 5. ORs and 95% CIs for liver cancer in relation to *NFKB1/NFKB1A* haplotypes

	All subjects*			Female†			Male†		
	Cases	Controls	OR	Cases	Controls	OR	Cases	Controls	OR
	(%)	(%)		(%)	(%)		(%)	(%)	
<i>NFKB1</i> (rs230525-rs230530)				n=87	n=174		n=129	n=260	
AG	46.74	51.85	ref	52.70	49.13	ref	42.69	53.53	ref
GA	38.79	35.57	1.21(0.94-1.56)	36.05	36.71	0.92(0.62-1.36)	40.55	34.88	1.46(1.05-2.03)
AA	14.47	12.58	1.28(0.89-1.82)	11.25	14.16	0.74(0.41-1.33)	16.76	11.59	1.81(1.15-2.86)
<i>NFKB1A</i> (rs3138055-rs2273650)									
TC	44.78	44.30	ref	47.67	43.28	ref	43.15	45.14	ref
CT	29.15	28.33	1.02(0.77-1.34)	31.51	30.46	0.94(0.61-1.44)	27.79	27.12	1.07(0.75-1.55)
CC	25.76	26.90	0.95(0.72-1.27)	20.81	26.26	0.72(0.45-1.15)	28.50	26.95	1.11(0.77-1.60)

\* Adjusted for age and sex.

† Adjusted for age

## DISCUSSION

In this nested case-control study, we found that the variants of rs28362491 and rs230496 of *NFKB1* gene might be associated with risk of primary liver cancer. After adjusting for possible confounders, rs28362491 deletion allele and rs230496 AG or GG genotypes were found to increase the risk of liver cancer. In addition, haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model, although this association was only observed in man. These findings suggested that variants of NF- $\kappa$ B signaling pathway may play a role in liver cancer susceptibility.

*NFKB1* gene was mapped on chromosome 4q23-q24 and composed of 24 exons<sup>28</sup>. This gene encodes for two proteins, p105 is a none-DNA binding protein and is activated to p50, a DNA binding protein by proteasome-mediated degradation. Several genetic polymorphisms were defined in *NFKB1* and researches have been focused on a common polymorphism of -94 del/ins (rs28362491) in the promoter region. Recent studies showed that genetic polymorphism of rs28362491 was associated with a number of cancer risks including sporadic breast cancer<sup>15</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, colorectal cancer<sup>18</sup>, and oral cancer<sup>19</sup>, but little is known about its relationship with liver cancer. Cao and his colleagues conducted a case-control study of 202 HCC cases of HBV carrier and 404 healthy controls without HBV infection. Results showed that after adjusting for age and gender, -94 ins/del and ins/ins genotypes might increase the risk of HCC, with ORs of 1.60 (95%CI:1.01-2.53) and 3.01 (95%CI:1.87-4.85), respectively<sup>29</sup>. A report from Taiwan also found ins allele more prevalent in HCC patients (OR=2.23,95%CI:1.32-3.77)<sup>30</sup>. In our study, we found that ins/del and del/del genotypes were more prevalent in liver cancer cases than controls. It was observed that the association of rs28362491 polymorphism with cancer susceptibility varied with cancer site and study populations. Ins allele was reported to increase the risk of oral cancer<sup>31</sup>, melanoma<sup>32</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, nasopharyngeal carcinoma<sup>33</sup> and cervical cancer<sup>34</sup>. Two studies in European found del allele might increase the risk of colorectal cancer<sup>35, 36</sup>, while in Chinese population, none or even reverse association were obtained<sup>35, 37</sup>. The difference of polymorphisms may probably result from interactions or combined effects with none genetic risk factors. Well-designed studies with larger sample size are needed to validate these findings.

To our knowledge, this is the first report on the variants of rs230496, rs230525 and rs230530 with liver cancer susceptibility. A study in European American descent found

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rs230530 polymorphism associated with alcohol dependence, and the evidence came primarily from those individuals who met criteria for alcoholism earlier<sup>38</sup>. As alcohol is one of the major risk factors of liver cancer, rs230530 might play a role in alcohol associated liver cancer. Unfortunately, subject to the limitation of relatively small samplesize, we were not able to explore this issue.

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*NFKBIA* gene, which encodes I $\kappa$ B $\alpha$ , the inhibitor of *NFKBI*, was mapped to 14q13 with six exons spanning approximately 3.5kb<sup>39</sup>. As a major component of I $\kappa$ B family, the dysfunction or down regulation of I $\kappa$ B $\alpha$  will lead to over activation of NF- $\kappa$ B. Epidemiological studies on *NFKBIA* were relatively rare. A 2758G/A polymorphysim (rs696) in 3' untranstated region might regulate the expression of I $\kappa$ B $\alpha$  and thus affect the activation of NF- $\kappa$ B. Sun et al. found the frequency of AG genotype was increased in Chinese patients  $\geq 50$  years of age (OR=3.06, 95% CI:1.55-6.02) with colonrectal cancer<sup>40</sup>. Another study on breast cancer fail to obtain a significant association<sup>15</sup>. There was no previous report on rs696 and risk of liver cancer.

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Of the four SNPs of *NFKBIA* gene evaluated, we did not observed an significant association. In previous studies, rs3138053 variant was found to be associated with hepatocellular carcinoma in a Chinese mainland population<sup>29</sup> but not Taiwanese<sup>30</sup>.

There are several strengths of our study. This study was based on two well-designed prospective cohort studies. To the best of our knowledge, it was the first population based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer. All study participants were ethnic Chinese and residents of Shanghai with similar genetic background, which minimized the potential confounding of ethnics. Only incident cases were included which ruled out the possibility of recall and selection bias. Liver cancer cases were carefully verified with multiple approaches which minimized the disease misclassification. Also, we controlled potential confounding variables in the analysis. The limitations of our study should also be noted. Firstly, we focused on only two genes involved in canonical pathway of NF- $\kappa$ B, other regulatory genes in NF- $\kappa$ B signaling pathway may also contribute to the pathogenesis of liver cancer. Secondly, we did not test for HBV infection, HCV infection or aflatoxin exposure, so we cannot rule out the possible confounding although the presents of HCV infection and aflatoxin are very low in the study population<sup>41</sup>, but we did take into consideration of the participants' history of hepatitis and liver cirrhosis. Finally, due to the relatively small sample size, the frequencies of some homozygous variants were low in subgroups therefore reduced the statistical power and limited us from evaluating the

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3 joint effects in stratified analysis. Replication in other studies is needed.  
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5 In summary, in this nested case-control study, we provided additional evidence for  
6 a role of NF- $\kappa$ B SNPs and haplotypes in the etiology of liver cancer. Studies in larger,  
7 varied populations are warranted to confirm these findings. Furthermore, functional  
8 studies are required in order to explore the underlying mechanisms.  
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21 manuscript, interpreted the results, and also had primary responsibility for the final  
22 content; W Zheng and XOS designed, directed and obtained funding for the parent  
23 cohorts, and contributed to the revisions and interpretation of the results; JG obtained  
24 part of funding, drafted the manuscript, analyzed the data and interpreted the results; JG  
25 and HLX conducted experiments; All authors critically reviewed and approval  
26 manuscript.  
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45 collection, statistical analysis and result interpretation, as well as in the writing of the  
46 report and the decision to submit for publication. The corresponding author had full  
47 access to all data in the study and final responsibility for the decision to submit for  
48 publication.  
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55 **Study approval** Institutional review board.

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57 **Ethics approval** Vanderbilt University IRB and Shanghai Cancer Institute IRB.  
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4 **Provenance and peer review** Not commissioned; externally peer reviewed.  
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7 **Data sharing statement** No additional data are available.  
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STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1-3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2, 3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4, 5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4, 5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4, 5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	5
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	4-6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	9
		(d) If applicable, explain how loss to follow-up was addressed	4-5
		(e) Describe any sensitivity analyses	N/A
<b>Results</b>			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	5, 9
		(b) Give reasons for non-participation at each stage	4-5
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	8, 9
		(b) Indicate number of participants with missing data for each variable of interest	9-12
		(c) Summarise follow-up time (eg, average and total amount)	4-5
Outcome data	15*	Report numbers of outcome events or summary measures over time	5

1 2 3 4 5 6 7 8 9 10	Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	6,7, 9-12
11 12 13			(b) Report category boundaries when continuous variables were categorized	9
14 15 16 17 18 19			(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
20 21 22 23 24	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	12
25	<b>Discussion</b>			
26 27 28 29	Key results	18	Summarise key results with reference to study objectives	13-15
30 31 32 33	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14-15
34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	15
	Generalisability	21	Discuss the generalisability (external validity) of the study results	13, 14
	<b>Other information</b>			
	Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	15

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).



**Genetic polymorphism of NFKB1 and NFKBIA genes and liver cancer risk: a nested case-control study in Shanghai, China**

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Keywords:	Epidemiology < ONCOLOGY, Hepatobiliary tumours < ONCOLOGY, Cancer genetics < GENETICS, EPIDEMIOLOGY

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3 **Genetic polymorphism of *NFKB1* and *NFKBIA* genes and liver cancer risk: a**  
4 **nested case-control study in Shanghai, China**  
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8 Jing Gao<sup>1,2</sup>, Hong-Li Xu<sup>2</sup>, Shan Gao<sup>3</sup>, Wei Zhang<sup>2</sup>, Yu-Ting Tan<sup>2</sup>, Nat Rothman<sup>4</sup>,  
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## ABSTRACT

**Objectives:** Genetic variations of NF- $\kappa$ B signaling pathway were found to be associated with inflammatory diseases and several malignancies. However, little is known about NF- $\kappa$ B pathway gene polymorphisms and susceptibility of liver cancer. The aim of this study was to investigate whether genetic variants of *NFKB1* and *NFKBIA* were associated with risk of liver cancer in a Chinese population.

**Design:** The study was designed as a nested case-control study within two prospective cohorts (the Shanghai Women's Health Study, SWHS, 1996–2000 and the Shanghai Men's Health Study, SMHS, 2002–2006).

**Settings:** This population-based study was conducted in urban Shanghai, China.

**Participants:** A total of 217 incident liver cancer cases diagnosed through December 31, 2009 and 427 healthy controls matched by sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection were included in the study.

**Primary and secondary outcome measures:** Genetic polymorphisms of *NFKB1* and *NFKBIA* were determined by TaqMan SNP genotyping assay blindly. OR and its 95% CIs were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of liver cancer.

**Results:** After adjusted for potential confounding factors, rs28362491 ins/del or del/del genotypes were associated with higher risk of liver cancer with an adjusted OR of 1.54(95%CI: 1.04-2.28). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.53 (95%CI: 1.03-2.26). Haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model. No association was observed between *NFKBIA* variants and risk of live cancer.

**Conclusions:** Our results suggest that genetic variants of *NFKB1* influence liver cancer susceptibility in Chinese population, although replication in other studies is needed.

### Article summary-strengths and limitations of this study

- This study was the first population-based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer.
- Only incident cases from two prospective cohorts were included in the study which ruled out the possibility of recall and selection bias.
- The limitations of the study include relatively small sample size, unmeasured HBV infection, HCV infection and aflatoxin exposure. However, we did take into



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3 consideration of the participants' history of hepatitis and liver cirrhosis, and the  
4 presents of HCV infection and aflatoxin are very low in the study population.  
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## 8 INTRODUCTION

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10 Liver cancer is a common disorder worldwide which ranks the 5th and 7th most  
11 common cancer among men and women. It was estimated that more than 80% liver  
12 cancers occur in developing countries and about 54% occur in China<sup>1</sup>. Among the  
13 main risk factors for liver cancer, chronic infections of hepatitis B virus (HBV) and  
14 hepatitis C virus (HCV) are the most important in humans, accounting for more than  
15 70% of liver cancer cases worldwide<sup>2-4</sup>. Liver cirrhosis, heavy alcohol consumption,  
16 exposure to aflatoxin, and diabetes also account for part of liver cancer occurrence<sup>2-4</sup>.  
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21 Chronic inflammation has been widely accepted to play an important role in  
22 hepatocarcinogenesis. Most of the known risk factors of liver cancer such as HBV,  
23 HCV infection and alcohol drinking can cause persistent inflammatory reaction of the  
24 liver and promote cancer development<sup>5, 6</sup>. However, the molecular and cellular  
25 mechanisms linking inflammation and liver cancer remain unclear. Recent findings  
26 have suggested that NF- $\kappa$ B may play a crucial role in bridging the actions of growth  
27 factors and chronic inflammation to hepatic oncogenesis<sup>7-10</sup>.  
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32 NF- $\kappa$ B, a collection of dimeric transcription factors, was originally identified as  
33 a nuclear factor bound to the enhancer of the immunoglobulin  $\kappa$ -light chain gene<sup>11</sup>  
34 specific to B cells and presents in all cell types<sup>12</sup>. It is a major transcription regulator  
35 of the immune response, cell adhesion, differentiation, proliferation, and apoptosis<sup>13</sup>.  
36 NF- $\kappa$ B dimers are formed by seven distinct proteins: NF- $\kappa$ B1 (p105 and p50),  
37 NF- $\kappa$ B2 (p100 and p52), RelA (p65), RelB and c-Rel, of which NF- $\kappa$ B p50/RelA is  
38 the most common dimer form<sup>9</sup>. In the resting cell, most NF- $\kappa$ B dimers are inactivated  
39 in the cytoplasm by binding to specific inhibitors-I $\kappa$ B family, of which I $\kappa$ B $\alpha$  is the  
40 most common one. In the classical activation pathway, I $\kappa$ B is phosphorylated and  
41 degraded by I $\kappa$ B kinase complex, and then NF- $\kappa$ B dimers are released and translocate  
42 to the nucleus where they coordinate the transcriptional activation of target genes<sup>14</sup>.  
43 Several genetic variations of NF- $\kappa$ B signaling pathway have been reported to be  
44 associated with cancer risks such as breast<sup>15</sup>, prostate<sup>16</sup>, stomach<sup>17</sup>, colorectum<sup>18</sup> and  
45 mouth<sup>19</sup>. However, little is known about role of genetic polymorphisms of NF- $\kappa$ B  
46 genes and susceptibility of liver cancer.  
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56 In a population based case-control study nested in two prospective cohorts of the  
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Shanghai Women's and Men's Health Studies, we investigated the relationships between genetic variants of *NFKB1* and *NFKBIA*, two key genes involved in classic signaling pathway of NF- $\kappa$ B, and the risk of liver cancer among Chinese men and women.

## MATERIAL AND METHODS

### Study population

Participants of this study came from the Shanghai Women's Health Study (SWHS) and Shanghai Men's Health Study (SMHS). The design and methods used in these two studies have been described in detail elsewhere<sup>20-23</sup>. Briefly, the SWHS enrolled 74,941 women aged 40-74 years between March 1, 1997 and May 31, 2000, with a response rate of 92.7%. SMHS enrolled 61,491 men aged 40-74 years without history of cancer at recruitment from April 1, 2002 to June 30, 2006, with a response rate of 74.1%. Both studies were approved by the relevant Institutional Review Boards for human research in China and the United States and a written informed consent was obtained from all participants.

In-person interview was conducted by trained interviewers using a structured questionnaire at baseline to obtain information on demographics, lifestyle, dietary habits, medical history and other characteristics. Anthropometric measurements, including current weight, height and circumferences of the waist and hips, were also measured. Of the eligible participants, 56,831 (75.8%) of the SWHS and 46,332 (75.3%) of the SMHS provided a 10-ml blood sample at baseline. The samples were drawn into an EDTA Vacutainer tube and then kept in a portable styrofoam box with ice packs (at approximately 0-4°C) and processed within 6 hours for long-term storage at -70°C. A bio-specimen collection form was completed for each participant at the time of sample procurement which included the date and time of collection, time of last meal, and date of last menstruation, intake of selected foods, smoking, as well as use of any medications over the previous 24 hours and during the previous week.

### Cohort follow-up and outcome ascertainment

Both cohorts were followed for occurrence of cancer and other chronic diseases by active in-person surveys conducted every 2-3 years as well as annual record linkage to the databases of the population-based Shanghai Cancer Registry, Shanghai Vital Statistics Registry, and Shanghai Resident Registry. For the SWHS, four rounds of in-person follow-ups were completed and the response rates for the first

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3 (2000-2002), second (2002-2004), third (2004-2007), and fourth (2008-2011)  
4 follow-up surveys were 99.8%, 98.7%, 96.7%, and 92.0%, respectively. For the  
5 SMHS, two rounds of follow-up surveys have completed. The response rates for the  
6 first (2004-2008) and second (2008-2011) follow-up surveys were 97.6% and 93.6%,  
7 respectively. For cohort members who developed liver cancer during the follow-up,  
8 medical chart were reviewed by a panel of oncologists to verify the diagnosis. Liver  
9 cancer data through December 31, 2009 was used for the present study.

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Included in this nested case-control study are 217 incident liver cancer cases and 427 matched controls who had donated blood sample. Liver cancer cases were defined as having an International Classification of Disease, Ninth Revision (ICD-9), codes of 155.0 (primary malignant neoplasms), 155.1(malignant neoplasms of the intrahepatic bile ducts), or 155.2 (unspecified malignant neoplasms of the liver)<sup>24</sup>. Two control subjects were randomly selected from the cohorts who donated a blood sample at baseline and matched to each case for sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection. All controls were free of any cancer at the time of cancer diagnosis for the corresponding case.

### Genotyping

Single-nucleotide polymorphisms (SNPs) were selected based on both TagSNP and their putative functional significance. Tagging SNPs were selected by searching the Han Chinese data from the Hapmap project<sup>25</sup>. The following criteria were used to identify tagging SNPs: (i) SNPs located in the genes or within the 5-kb flanking region, (ii) a minor allele frequency  $\geq 0.05$ , and (iii) other unselected single-nucleotide polymorphisms could be captured by one of the tagging SNPs with a linkage disequilibrium of  $r^2 \geq 0.90$ . A total of 8 SNPs were selected for genotyping which were rs28362491, rs230530, rs230525, rs230496 for *NFKBI* and rs3138053, rs3138055, rs2273650, rs696 for *NFKBIA* (table 1). Genomic DNA was extracted from buffycoat using Promega DNA Extraction Kit according to the manufacturer's instructions (Promega Corporation, Madison, WI, USA). Genotyping were performed by the TaqMan assay, using the ABI PRISM 7900HT Sequence Detection System (Applied Biosystems, Foster City, CA, USA), in 384-wellformat, with dual fluorescent reporter probes VIC and FAM. The quality and potential misclassification of the genotyping results were assessed by evaluating 5% of duplicate DNA samples that were randomly selected from the whole samples. There replicates were 100% concordant. All serum samples were tested blindly and were identified only by an

unique identification number blinded with case-control status.

Table 1. Descriptions of Genetic Polymorphisms of the *NFKB1* and *NFKBIA* genes under investigation

Gene	Assay ID	Sequence	Location
<i>NFKB1</i>	rs28362491	CTCCGTGCTGCCTGCGTTCCCCGACC[-/ATTG]ATTGGGCC CGGCAGGCGCTTCCTGG	5'-near gene
	rs230530	TTTTTAGCACCAAACATCTTAATTT[A/G]CATTCAAATAAA TGAGAACCACCAT	intron
	rs230525	TACGGGAAAAGTGATTCTTGTTTAC[A/G]GAGCCCTCTT CACAGTTTCATGTT	intron
	rs230496	TGTCTGGATTGCTTGAGACAGCCC[A/G]GTTTGCCCTG ACCTAATGTTTAT	intron
<i>NFKBIA</i>	rs3138053	ATTCGTTTATGCTATCTGACCTACA[C/T]TGTGCTCCCGCA GAAAAAGGATCGT	5'-near gene
	rs3138055	AATCAACGGGATGACAGAATGACAA[C/T]GGAGAGGTCT CCAACCACAGGCCAA	3'-near gene
	rs2273650	AACAATACATTATGTACACCATTTA[C/T]AGGAGGGTAAC ACAAACCTTGACAG	3'-UTR
	rs696	CCTACCACAATAAGACGTTTTGGGC[C/T]AGGCAGTGTGC AGTGTGGATATAAG	3'-UTR

### Statistical analysis

Subjects with both survey data and genotyping results were included in the final analysis. Means and percentages of selected characteristics for cases and controls were calculated. The distributions of selected characteristics were compared between cases and controls by either student's *t*-test (continuous variables) or  $\chi^2$  test (categorical variables). Odds ratio and its 95% confidence interval were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of primary liver cancer. In the multivariable analysis, potential confounding factors were adjusted for, which include age (continuous variable); education level (four categories: elementary school or less, middle school, high school, and college or above); history of hepatitis (yes or no); family history of liver cancer (yes or no); and history of other chronic liver diseases or cirrhosis (yes or no). Statistical analyses were carried out using the SAS software package (version 9.2; SAS Institute, Cary, NC). Tests for trend were performed by entering categorical variables as continuous variables in the regression model. All P values were calculated by two-sided tests and were considered statistically significant if P was less than 0.05.

Hardy–Weinberg equilibrium and Linkage disequilibrium were assessed with HaploView version 4.0<sup>26</sup>. Associations between haplotypes and the risk of liver cancer were evaluated with HAPSTAT version 3.0 using the most common haplotype as the

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referent category, assuming an additive model<sup>27</sup>.

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## RESULTS

Selected baseline characteristics of study participants were presented in table 2. The average ages of cases and control were 59.61 and 59.47. Compared with controls, liver cancer cases were more likely to have a lower education level, a history of hepatitis, a family history of liver cancer in first degree relatives, and history of chronic liver diseases or cirrhosis. Besides, male liver cancer cases were more probably to have lower body mass index, and be a non-regular exerciser compared to controls, although the difference were at borderline significance. Whereas in women, cases were more likely to have a history of type 2 diabetes than controls. No differences were observed in family income, smoking, drinking habits, waist to hip ratio, and family history of other cancers between the two groups.

The associations of *NFKB1* SNPs with liver cancer risk were summarized in table 3. The genotypes of rs28362491, rs230530 and rs230525 showed no deviation from Hardy-Weinberg equilibrium in controls except for rs230496. After adjusted for potential confounding factors, rs28362191 ins/del or del/del genotypes were associated with higher risk of liver cancer with an OR of 1.54(95%CI: 1.04-2.28). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.53 (95%CI: 1.03-2.26). Carriers of rs230525 AG or GG genotypes had about 30% percent increased risk of liver cancer, but the risk was not insignificant. No association was found between rs230530 and liver cancer risk.

Table 4 presents the distribution of *NFKB1A* SNPs in cases and controls. The genotypes of rs3138055, rs696 and rs2273650 showed no deviation from Hardy-Weinberg equilibrium in controls but for rs3138053. Generally, all the four SNPs showed no relationship with liver cancer.

We further analyzed the haplotypes of these SNPs with risk of liver cancer (table 5). For *NFKB1* gene, two SNPs (rs230525, rs230530) demonstrated strong linkage disequilibrium ( $D' = 1.0$ ,  $r^2 = 0.59$ ). Compared to men carrying rs230525-rs230530 AG haplotype, those with GA or AA haplotypes were at increased risk of liver cancer with ORs of 1.46(95%CI: 1.05-2.03) and 1.81(95%CI: 1.15-2.86), respectively. For *NFKB1A*, rs3138053 and rs2273650 were in linkage disequilibrium ( $D' = 0.97$ ,  $r^2 = 0.31$ ) but none of the haplotypes was significantly associated with liver cancer.

Table 2. Distribution of selected characteristics in the study cases and controls†

Characteristics	All subjects			Male			Female		
	Cases (N=217)	Controls (N=427)	P	Cases (N=131)	Controls (N=262)	P	Cases (N=86)	Controls (N=165)	P
Age at interview, Mean±SD,	59.61±9.56	59.47±9.55	0.853	60.05±9.93	59.86±9.95	0.858	58.95±8.98	58.85±8.87	0.928
Education level (%)									
Elementary school or less	63(29.30)	115(27.00)		18(13.95)	35(13.41)		45(52.33)	80(48.48)	
Middle school	69(32.09)	148(37.74)		54(41.86)	104(39.85)		15(17.44)	44(26.67)	
High school	62(28.84)	91(21.36)		41(31.78)	61(23.37)		21(24.42)	30(18.18)	
College or above	21(9.77)	72(16.90)	0.031	16(12.40)	61(23.37)	0.053	5(5.81)	11(6.67)	0.341
Family income (%)†									
Low	50(23.04)	90(21.13)		17(12.98)	37(14.12)		33(38.37)	53(32.32)	
Medium	112(51.61)	208(48.83)		76(58.02)	130(49.62)		36(41.86)	78(47.56)	
High	55(25.35)	128(30.05)	0.454	38(29.01)	95(36.26)	0.271	17(19.77)	33(20.12)	0.606
Ever smoked (%)	93(42.86)	173(40.52)	0.569	90(68.70)	163(62.21)	0.206	3(3.49)	10(6.06)	0.384
Ever drank alcohol (%)	45(20.74)	98(22.95)	0.523	42(32.06)	97(37.02)	0.333	3(3.49)	1(0.61)	0.084
Body mass index ,kg/m <sup>2</sup> , Mean±SD	23.79±3.65	24.16±3.31	0.198	23.16±3.25	23.77±2.89	0.06	24.75±4.02	24.78±3.80	0.961
WHR, Mean±SD	0.87±0.07	0.87±0.07	0.936	0.90±0.06	0.90±0.06	0.379	0.82±0.05	0.83±0.06	0.261
Regular physical activity (%)	94(43.32)	207(48.48)	0.215	49(37.40)	124(47.33)	0.062	45(52.33)	83(50.30)	0.761
physical activity, MET-hours/week	81.58±47.12	83.71±43.59	0.570	66.86±40.33	68.00±34.61	0.78	104.00±48.09	108.60±44.83	0.450
History of hepatitis (%)	74(34.10)	25(5.85)	<0.001	57(43.51)	16(6.11)	<0.001	17(19.77)	9(9.45)	<0.001
Family history of cancer (%)	69(31.80)	116(27.17)	0.220	41(31.30)	70(26.72)	0.342	28(32.56)	46(27.88)	0.441
Family history of liver cancer (%)	28(12.90)	18(4.22)	<0.001	20(15.27)	10(3.82)	<0.001	8(9.30)	8(4.85)	0.171
History of type 2 diabetes (%)	25(11.52)	35(8.20)	0.171	14(10.69)	25(9.54)	0.72	11(12.79)	10(6.06)	0.068
History of chronic liver disease or	35(16.13)	11(2.58)	<0.001	26(19.85)	10(3.82)	<0.001	9(10.47)	1(0.61)	<0.001

\* Missing data was excluded from the analysis

† Family income level (low income for <5000 yuan/year in the SWHS and <12 000 yuan/year in the SMHS; medium income for 5000 to <10 000 yuan/year in the SWHS and 12 000 to <24 000 yuan/year in the SMHS; and high income for >10 000 yuan/year in the SWHS and >24 000 yuan/year in the SMHS)

Table 3. *NFKB1* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P for $\chi^2$	OR*	95%CI	OR <sup>†</sup>	95%CI	OR <sup>‡</sup>	95%CI
rs28362491									
ins/ins	68	171		1.00	-	1.00	-	1.00	-
ins/del	102	160		1.60	1.10-2.33	1.60	1.10-2.33	1.71	1.13-2.60
del/del	40	79	0.047	1.27	0.79-2.05	1.27	0.79-2.04	1.21	0.71-2.05
<i>P for trend</i>				0.144		0.146		0.233	
ins/del or del/del	142	239	0.023	1.50	1.05-2.12	1.49	1.05-2.12	1.54	1.04-2.28
rs230496									
AA	64	164		1.00	-	1.00	-	1.00	-
AG	101	169		1.53	1.05-2.24	1.53	1.05-2.24	1.68	1.10-2.58
GG	47	91	0.087	1.33	0.84-2.09	1.32	0.84-2.09	1.25	0.75-2.09
<i>P for trend</i>				0.141		0.143		0.235	
AG or GG	148	260	0.041	1.46	1.03-2.08	1.46	1.03-2.08	1.53	1.03-2.26
rs230525									
AA	79	186		1.00	-	1.00	-	1.00	-
AG	102	175		1.38	0.96-1.97	1.38	0.96-1.98	1.46	0.98-2.18
GG	32	63	0.224	1.20	0.73-1.98	1.20	0.73-1.97	1.11	0.63-1.94
<i>P for trend</i>				0.236		0.236		0.347	
AG or GG	134	238	0.100	1.33	0.95-1.87	1.33	0.95-1.87	1.36	0.94-1.99
rs230530									
AA	64	114		1.00	-	1.00	-	1.00	-
AG	99	175		1.01	0.68-1.49	1.01	0.68-1.49	1.05	0.68-1.62
GG	48	129	0.102	0.66	0.42-1.04	0.66	0.42-1.04	0.67	0.40-1.12
<i>P for trend</i>				0.079		0.078		0.132	
AG or GG	147	304	0.423	0.86	0.60-1.24	0.86	0.60-1.24	0.89	0.59-1.34

\*Adjusted for age

<sup>†</sup>Adjusted for age, sex.<sup>‡</sup>Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.



Table 4. *NFKB1A* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P	OR*	OR†	OR‡
rs3138053						
AA	173	336		1.00	-	1.00
AG	21	48		0.85	0.49-1.47	0.84 0.48-1.45
GG	19	40	0.823	0.92	0.52-1.64	0.94 0.52-1.68
<i>P for trend</i>				0.638		0.653 0.920
AG or GG	40	88	0.556	0.88	0.58-1.34	0.88 0.58-1.34 0.97 0.61-1.54
rs3138055						
CC	62	128		1.00	-	1.00
CT	109	215		1.05	0.72-1.54	1.05 0.72-1.54
TT	42	81	0.956	1.07	0.66-1.73	1.07 0.66-1.73
<i>P for trend</i>				0.772		0.771 0.276
CT or TT	151	296	0.778	1.06	0.74-1.51	1.06 0.74-1.52 1.25 0.83-1.88
rs696						
CC	65	149		1.00	-	1.00
CT	115	196		1.35	0.93-1.96	1.35 0.93-1.96
TT	33	76	0.210	0.99	0.60-1.64	0.99 0.60-1.64
<i>P for trend</i>				0.694		0.695 0.360
CT or TT	148	272	0.218	1.25	0.88-1.78	1.25 0.88-1.78 1.38 0.93-2.06
rs2273650						
CC	108	215		1.00	-	1.00
CT	84	173		0.97	0.68-1.37	0.97 0.68-1.37
TT	20	37	0.938	1.08	0.60-1.95	1.07 0.59-1.94
<i>P for trend</i>				0.937		0.945 0.493
CT or TT	104	210	0.933	0.99	0.71-1.38	0.99 0.71-1.37 0.86 0.60-1.24

\*Adjusted for age

† Adjusted for age, sex.

‡ Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 5. ORs and 95% CIs for liver cancer in relation to *NFKB1*/*NFKB1A* haplotypes

	All subjects*			Female†			Male†		
	Cases	Controls	OR	Cases	Controls	OR	Cases	Controls	OR
	(%)	(%)		(%)	(%)		(%)		
<i>NFKB1</i> (rs230525-rs230530)	n=215	n=425		n=86	n=165		n=129	n=260	
AG	46.49	52.01	ref	52.14	49.39	ref	42.69	53.53	ref
GA	38.973	35.50	1.23(0.95-1.58)	36.47	36.59	0.94(0.63-1.41)	40.55	34.88	1.46(1.05-2.03)
AA	14.54	12.49	1.30(0.91-1.86)	11.39	14.02	0.77(0.42-1.39)	16.76	11.59	1.81(1.15-2.86)
<i>NFKB1A</i> (rs3138055-rs2273650)									
TC	45.00	43.94	ref	48.24	42.31	ref	43.15	45.14	ref
CT	29.05	28.58	1.00(0.75-1.31)	31.30	31.21	0.88(0.57-1.35)	27.79	27.12	1.07(0.75-1.55)
CC	25.65	27.00	0.93(0.70-1.24)	20.47	26.48	0.68(0.42-1.10)	28.50	26.95	1.11(0.77-1.60)

\* Adjusted for age and sex.

† Adjusted for age

## DISCUSSION

In this nested case-control study, we found that the variants of rs28362491 and rs230496 of *NFKB1* gene might be associated with risk of primary liver cancer. After adjusting for possible confounders, rs28362491 deletion allele and rs230496 AG or GG genotypes were found to increase the risk of liver cancer. In addition, haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model, although this association was only observed in man. These findings suggested that variants of NF- $\kappa$ B signaling pathway may play a role in liver cancer susceptibility.

*NFKB1* gene was mapped on chromosome 4q23-q24 and composed of 24 exons<sup>28</sup>. This gene encodes for two proteins-p105 and p50. p105 is a none-DNA binding protein and is activated to p50, a DNA binding protein by proteasome-mediated degradation. Several genetic polymorphisms were defined in *NFKB1* and researches have been focused on a common polymorphism of -94 del/ins (rs28362491) in the promoter region. Recent studies showed that genetic polymorphism of rs28362491 was associated with a number of cancer risks including sporadic breast cancer<sup>15</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, colorectal cancer<sup>18</sup>, and oral cancer<sup>19</sup>, but little is known about its relationship with liver cancer. He and his colleagues conducted a case-control study of 202 HCC cases of HBV carrier and 404 healthy controls without HBV infection. Results showed that after adjusting for age and gender, -94 ins/del and ins/ins genotypes might increase the risk of HCC, with ORs of 1.60 (95%CI:1.01-2.53) and 3.01 (95%CI:1.87-4.85), respectively<sup>29</sup>. A report from Taiwan also found ins allele more prevalent in HCC patients (OR=2.23,95%CI:1.32-3.77)<sup>30</sup>. In our study, we found that ins/del and del/del genotypes were more prevalent in liver cancer cases than controls. It was observed that the association of rs28362491 polymorphism with cancer susceptibility varied with cancer site and study populations. Ins allele was reported to increase the risk of oral cancer<sup>31</sup>, melanoma<sup>32</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, nasopharyngeal carcinoma<sup>33</sup> and cervical cancer<sup>34</sup>. Two studies in European found del allele might increase the risk of colorectal cancer<sup>35,36</sup>, while in Chinese population, none or even reverse association were obtained<sup>35,37</sup>. The difference of polymorphisms may probably result from interactions or combined effects with none genetic risk factors. Well-designed studies with larger sample size are needed to validate these findings.

To our knowledge, this is the first report on the variants of rs230496, rs230525 and rs230530 with liver cancer susceptibility. A study in European American descent found

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4 rs230530 polymorphism associated with alcohol dependence, and the evidence came  
5 primarily from those individuals who met criteria for alcoholism earlier<sup>38</sup>. As alcohol is  
6 one of the major risk factors of liver cancer, rs230530 might play a role in alcohol  
7 associated liver cancer. Unfortunately, subject to the limitation of relatively small  
8 samplesize, we were not able to explore this issue. In addition, although the functions of  
9 intronic SNPs are still obscure, studies have indicated that they can affect either local  
10 DNA or RNA secondary structure, thereby regulating gene expression<sup>39,40</sup>

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14 *NFKBIA* gene, which encodes I $\kappa$ B $\alpha$ , the inhibitor of *NFKBI*, was mapped to 14q13  
15 with six exons spanning approximately 3.5kb<sup>41</sup>. As a major component of I $\kappa$ B family,  
16 the dysfunction or down regulation of I $\kappa$ B $\alpha$  will lead to over activation of NF- $\kappa$ B.  
17 Epidemiological studies on *NFKBIA* were relatively rare. A 2758G/A polymorphysim  
18 (rs696) in 3' untranstated region might regulate the expression of I $\kappa$ B $\alpha$  and thus affect  
19 the activation of NF- $\kappa$ B. Sun et al. found the frequency of AG genotype was increased  
20 in Chinese patients  $\geq 50$  years of age (OR=3.06, 95% CI:1.55-6.02) with colorectal  
21 cancer<sup>42</sup>. Another study on breast cancer fail to obtain a significant association<sup>15</sup>. There  
22 was no previous report on rs696 and risk of liver cancer.

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Of the four SNPs of *NFKBIA* gene evaluated, we did not observed an significant association. In previous studies, rs3138053 variant was found to be associated with hepatocellular carcinoma in a Chinese mainland population<sup>29</sup> but not Taiwanese<sup>30</sup>.

There are several strengths of our study. This study was based on two well-designed prospective cohort studies. To the best of our knowledge, it was the first population based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer. All study participants were ethnic Chinese and residents of Shanghai with similar genetic background, which minimized the potential confounding of ethnics. Only incident cases were included which ruled out the possibility of recall and selection bias. Liver cancer cases were carefully verified with multiple approaches which minimized the disease misclassification. Also, we controlled potential confounding variables in the analysis. The limitations of our study should also be noted. Firstly, we focused on only two genes involved in canonical pathway of NF- $\kappa$ B, other regulatory genes in NF- $\kappa$ B signaling pathway may also contribute to the pathogenesis of liver cancer. Secondly, we did not test for HBV infection, HCV infection or aflatoxin exposure, so we cannot rule out the possible confoundings although the presents of HCV infection and aflatoxin are very low in the study population<sup>43</sup>, but we did take into consideration of the participants' history of hepatitis and liver cirrhosis. Finally, due to

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3 the relatively small sample size, the frequencies of some homozygous variants were low  
4 in subgroups therefore reduced the statistical power and limited us from evaluating the  
5 joint effects in stratified analysis. Replication in other studies is needed.  
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8 In summary, in this nested case-control study, we provided additional evidence for  
9 a role of NF- $\kappa$ B SNPs and haplotypes in the etiology of liver cancer. Studies in larger,  
10 varied populations are warranted to confirm these findings. Furthermore, functional  
11 studies are required in order to explore the underlying mechanisms.  
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24 manuscript, interpreted the results, and also had primary responsibility for the final  
25 content; W Zheng and XOS designed, directed and obtained funding for the parent  
26 cohorts, and contributed to the revisions and interpretation of the results; JG obtained  
27 part of funding, drafted the manuscript, analyzed the data and interpreted the results; JG  
28 and HLX conducted experiments; All authors critically reviewed and approval  
29 manuscript.  
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47 **Competing interests** None. The funding sponsor had no role in the study design, data  
48 collection, statistical analysis and result interpretation, as well as in the writing of the  
49 report and the decision to submit for publication. The corresponding author had full  
50 access to all data in the study and final responsibility for the decision to submit for  
51 publication.  
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58 **Study approval** Institutional review board.  
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4 **Ethics approval** Vanderbilt University IRB and Shanghai Cancer Institute IRB.  
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7 **Provenance and peer review** Not commissioned; externally peer reviewed.  
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9 **Data sharing statement** No additional data are available.  
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**Genetic polymorphism of *NFKB1* and *NFKBIA* genes and liver cancer risk: a nested case-control study in Shanghai, China**

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**Word count:** ~~2668~~2911 (Text)

**Tables:** 5

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**Supplemental tables:** 0

**ABSTRACT**

**Objectives:** Genetic variations of NF-κB signaling pathway were found to be associated with inflammatory diseases and several malignancies. However, little is known about NF-κB pathway gene polymorphisms and susceptibility of liver cancer. The aim of this study was to investigate whether genetic variants of NFKB1 and NFKBIA were associated with risk of liver cancer in a Chinese population.

**Design:** The study was designed as a nested case-control study within two prospective cohorts (the Shanghai Women's Health Study, SWHS, 1996–2000 and the Shanghai Men's Health Study, SMHS, 2002–2006).

**Settings:** This population-based study was conducted in urban Shanghai, China.

**Participants:** A total of ~~248–217~~ incident liver cancer cases diagnosed through December 31, ~~2011–2009~~ and ~~436–427~~ healthy controls matched by sex, age at baseline (±2 years) and date (±30 days) of sample collection were included in the study.

**Primary and secondary outcome measures:** Genetic polymorphisms of *NFKB1* and *NFKBIA* were determined by TaqMan SNP genotyping assay blindly. ORs and its 95% CIs were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of liver cancer.

**Results:** After adjusted for potential confounding factors, rs28362491 ins/del or del/del genotypes were associated with higher risk of liver cancer with an adjusted OR of 1.~~5054~~(95%CI: 1.~~0204~~-2.~~4928~~). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.~~49–53~~ (95%CI: 1.~~0403~~-2.~~2426~~). Haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model. No ~~assieiation~~-~~association~~ was observed between *NFKBIA* variants and risk of liver cancer.

**Conclusions:** Our results suggest that genetic variants of *NFKB1* influence liver cancer susceptibility in Chinese population, although replication in other studies is needed.

**Article summary-strengths and limitations of this study**

- This study was the first population-based study to evaluate the polymorphic variants of NF-κB and risk of liver cancer.
- Only incident cases from two prospective cohorts were included in the study which ruled out the possibility of recall and selection bias.

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- The limitations of the study include relatively small sample size, unmeasured HBV infection, HCV infection and aflatoxin exposure. However, we did take into consideration of the participants' history of hepatitis and liver cirrhosis, and the presents of HCV infection and aflatoxin are very low in the study population.

## 13 INTRODUCTION

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Liver cancer is a common disorder worldwide which ranks the 5th and 7th most common cancer among men and women. It was estimated that more than 80% liver cancers occur in developing countries and about 54% occur in China<sup>1</sup>. Among the main risk factors for liver cancer, chronic infections of hepatitis B virus (HBV) and hepatitis C virus (HCV) are the most important in humans, accounting for more than 70% of liver cancer cases worldwide<sup>2-4</sup>. Liver cirrhosis, heavy alcohol consumption, exposure to aflatoxin~~-B1~~, and diabetes also account for part of liver cancer occurrence<sup>2-4</sup>.

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Chronic inflammation has been widely accepted to play an important role in hepatocarcinogenesis. Most of the known risk factors of liver cancer such as HBV, HCV infection and alcohol drinking can cause persistent inflammatory reaction of the liver and promote cancer development<sup>5, 6</sup>. However, the molecular and cellular mechanisms linking inflammation and liver cancer remain unclear. Recent findings have suggested that NF- $\kappa$ B may play a crucial role in bridging the actions of growth factors and chronic inflammation to hepatic oncogenesis<sup>7-10</sup>.

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NF- $\kappa$ B, a collection of dimeric transcription factors, was originally identified as a nuclear factor ~~specific to B cells~~ bound to the ~~B-site enhancer~~ of the immunoglobulin  $\kappa$ -light chain gene ~~enhancer~~<sup>11</sup> specific to B cells and presents in all cell types<sup>12</sup>. It is a major transcription regulator of the immune response, cell adhesion, differentiation, proliferation, and apoptosis<sup>13</sup>. NF- $\kappa$ B dimers are formed by seven distinct proteins: NF- $\kappa$ B1 (p105 and p50), NF- $\kappa$ B2 (p100 and p52), RelA (p65), RelB and c-Rel, of which NF- $\kappa$ B p50/RelA is the most common dimer form<sup>9</sup>. In the resting cell, most NF- $\kappa$ B dimers are inactivated in the cytoplasm by binding to specific inhibitors-I $\kappa$ B family, of which I $\kappa$ B $\alpha$  is the most common one. In the classical activation pathway, I $\kappa$ B is phosphorylated and degraded by I $\kappa$ B kinase complex, and then NF- $\kappa$ B dimers are released and ~~translocated~~translocate to the nucleus where they coordinate the transcriptional activation of target genes<sup>14</sup>. Several genetic variations of NF- $\kappa$ B signaling pathway have been reported to be associated with cancer risks such

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6 as breast<sup>15</sup>, prostate<sup>16</sup>, stomach<sup>17</sup>, colorectum<sup>18</sup> and mouth<sup>19</sup>. However, little is known  
7 about role of genetic polymorphisms of NF-κB genes and susceptibility of liver  
8 cancer.  
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11 In a population based case-control study nested in two prospective cohorts of the  
12 Shanghai Women's and Men's Health Studies, we investigated the relationships  
13 between genetic variants of *NFKB1* and *NFKBIA*, two key genes involved in classic  
14 signaling pathway of NF-κB, and the risk of ~~LIVER-CANCER~~ liver cancer among  
15 Chinese men and women.  
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## 17 MATERIAL AND METHODS

### 18 Study population

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20 Participants of this study came from the Shanghai Women's Health Study  
21 (SWHS) and Shanghai Men's Health Study (SMHS). The design and methods used in  
22 these two studies have been described in detail elsewhere<sup>20-23</sup>. Briefly, the SWHS  
23 enrolled 74,941 women aged 40-74 years between March 1, 1997 and May 31, 2000,  
24 with a response rate of 92.7%. SMHS enrolled 61,491 men aged 40-74 years without  
25 history of cancer at recruitment from April 1, 2002 to June 30, 2006, with a response  
26 rate of 74.1%. Both studies were approved by the relevant Institutional Review  
27 Boards for human research in China and the United States and a written informed  
28 consent was obtained from all participants.  
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31 In-person interview was conducted by trained interviewers using a structured  
32 questionnaire at baseline to obtain information on demographics, lifestyle, dietary  
33 habits, medical history and other characteristics. Anthropometric measurements,  
34 including current weight, height and circumferences of the waist and hips, were also  
35 measured. Of the eligible participants, 56,831 (75.8%) of the SWHS and 46,332  
36 (75.3%) of the SMHS provided a 10-ml blood sample at baseline. The samples were  
37 drawn into an EDTA Vacutainer tube and then kept in a portable styrofoam box with  
38 ice packs (at approximately 0-4°C) and processed within 6 hours for long-term  
39 storage at -70°C. A bio-specimen collection form was completed for each participant  
40 at the time of sample procurement which included the date and time of collection,  
41 time of last meal, and date of last menstruation, intake of selected foods, smoking, as  
42 well as use of any medications over the previous 24 hours and during the previous  
43 week.  
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### 45 Cohort follow-up and outcome ascertainment

46 Both cohorts were followed for occurrence of cancer and other chronic diseases  
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by active in-person surveys conducted every 2-3 years as well as annual record linkage to the databases of the population-based Shanghai Cancer Registry, Shanghai Vital Statistics Registry, and Shanghai Resident Registry. For the SWHS, four rounds of in-person follow-ups were completed and the response rates for the first (2000-2002), second (2002-2004), third (2004-2007), and fourth (2008-2011) follow-up surveys were 99.8%, 98.7%, 96.7%, and 92.0%, respectively. For the SMHS, two rounds of follow-up surveys have completed. The response rates for the first (2004-2008) and second (2008-2011) follow-up surveys were 97.6% and 93.6%, respectively. For cohort members who developed liver cancer during the follow-up, medical chart were reviewed by a panel of oncologists to verify the diagnosis. Liver cancer data through December 31, ~~2011-2009~~ was used for the present study.

Included in this nested case-control study are ~~218-217~~ incident liver cancer cases and ~~436-427~~ matched controls who had donated blood sample. Liver cancer cases were defined as having an International Classification of Disease, Ninth Revision (ICD-9), codes of 155.0 (primary malignant neoplasms), 155.1(malignant neoplasms of the intrahepatic bile ducts), or 155.2 (unspecified malignant neoplasms of the liver)<sup>24</sup>. Two control subjects were randomly selected from the cohorts who donated a blood sample at baseline and matched to each case for sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection. All controls were free of any cancer at the time of cancer diagnosis for the corresponding case.

### Genotyping

Single-nucleotide polymorphisms (SNPs) were selected based on both TagSNP and their putative functional significance. Tagging SNPs were selected by searching the Han Chinese data from the Hapmap project<sup>25</sup>. The following criteria were used to identify tagging SNPs: (i) SNPs located in the genes or within the 5-kb flanking region, (ii) a minor allele frequency  $\geq 0.05$ , and (iii) other unselected single-nucleotide polymorphisms could be captured by one of the tagging SNPs with a linkage disequilibrium of  $r^2 \geq 0.90$ . A total of 8 SNPs were selected for genotyping which were rs28362491, rs230530, rs230525, rs230496 for *NFKB1* and rs3138053, rs3138055, rs2273650, rs696 for *NFKBIA* (table 1). Genomic DNA was extracted from buffycoat using Promega DNA Extraction Kit according to the manufacturer's instructions (Promega Corporation, Madison, WI, USA). Genotyping were performed by the TaqMan assay, using the ABI\_PRISM 7900HT Sequence Detection System (Applied Biosystems, Foster City, CA, USA), in 384-wellformat, with dual

fluorescent reporter probes VIC and FAM. The quality and potential misclassification of the genotyping results were assessed by evaluating 5% of duplicate DNA samples that were randomly selected from the whole samples. There replicates were 100% concordant. All serum samples were tested blindly and were identified only by a unique identification number blinded with case-control status.

Table 1. Descriptions of Genetic Polymorphisms of the *NFKB1* and *NFKB1A* genes under investigation

Gene	Assay ID	Sequence	Location
<i>NFKB1</i>	rs28362491	CTCCGTGCTGCCTGCGTTCCCCGACC[-/ATTG]ATTGGGCC CGGCAGGCGTTCCTGG	5'-near gene
	rs230530	TTTTTAGCACCAACATCTTAATTT[A/G]CATTCAAATAAA TGAGAACCACCAT	intron
	rs230525	TACGGGAAAAGTGATTCTTGTTTAC[A/G]GAGCCCTCTTT CACAGTTTCATGTT	intron
	rs230496	TGTCTGGATTGCTTGAGACAGCCC[A/G]GTTTGCCCTG ACCTAATTGTTTAT	intron
<i>NFKB1A</i>	rs3138053	ATTCGTTTATGCTATCTGACCTACA[C/T]TGTGCTCCCGCA GAAAAAGGATCGT	5'-near gene
	rs3138055	AATCAACGGGATGACAGAATGACAA[C/T]GGAGAGGTCT CCAACCACAGGCCAA	3'-near gene
	rs2273650	AACAATACATTATGTACACCATTTA[C/T]AGGAGGGTAAC ACAAACCTTGACAG	3'-UTR
	rs696	CCTACCACAATAAGACGTTTGGGC[C/T]AGGCAGTGTGC AGTGTGGATATAAG	3'-UTR

### Statistical analysis

Subjects with both survey data and genotyping results were included in the final analysis. Means and percentages of selected characteristics for cases and controls were calculated. The distributions of selected characteristics were compared between cases and controls by either student's *t*-test (continuous variables) or  $\chi^2$  test (categorical variables). Odds ratio and its 95% confidence interval were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of primary liver cancer. In the multivariable analysis, potential confounding factors were adjusted for, which include age (continuous variable); education level (four categories: elementary school or less, middle school, high school, and college or above); history of hepatitis (yes or no); family history of liver cancer (yes or no); and history of other chronic liver diseases or cirrhosis (yes or no). Statistical analyses were carried out using the SAS software package (version 9.2; SAS Institute, Cary, NC). Tests for trend were performed by entering categorical variables as continuous variables in the regression model. All P values were calculated

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7 by two-sided tests and were considered statistically significant if P was less than 0.05.

8 Hardy–Weinberg equilibrium and Linkage disequilibrium were accessed with  
9 HaploView version 4.0<sup>26</sup>. Associations between haplotypes and the risk of liver cancer  
10 were evaluated with HAPSTAT version 3.0 using the most common haplotype as the  
11 referent category, assuming an additive model<sup>27</sup>.  
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## RESULTS

Selected baseline characteristics of study participants were presented in table 2. The average ages of cases and control were 59.65-61 and 59.4847. Compared with controls, liver cancer cases were more likely to have a lower education level, a history of hepatitis, a family history of liver cancer in first degree relatives, and history of chronic liver diseases or cirrhosis. Besides, male liver cancer cases were more probably to have lower body mass index, and be a non-regular exerciser compared to controls, although the difference were at borderline significance. Whereas in women, cases were more likely to have a history of type 2 diabetes than controls. No differences were observed in family income, smoking, drinking habits, waist to hip ratio, and family history of other cancers between the two groups.

The associations of *NFKB1* SNPs with liver cancer risk were summarized in table 3. The genotypes of rs28362491, rs230530 and rs230525 showed no deviation from Hardy-Weinberg equilibrium in controls except for rs230496. After adjusted for potential confounding factors, rs28362191 ins/del or del/del genotypes were associated with higher risk of liver cancer with an OR of 1.5054(95%CI: 1.0204-2.4928). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.49-53 (95%CI: 1.0403-2.2426). Carriers of rs230525 AG or GG genotypes had about 30% percent increased risk of liver cancer, but the risk was not insignificant. No association was found between rs230530 and liver cancer risk.

Table 4 presents the distribution of *NFKB1A* SNPs in cases and controls. The genotypes of rs3138055, rs696 and rs2273650 showed no deviation from Hardy-Weinberg equilibrium in controls but for rs3138053. Generally, all the four SNPs showed no relationship with liver cancer.

We further analyzed the haplotypes of these SNPs with risk of liver cancer (table 5). For *NFKB1* gene, two SNPs (rs230525, rs230530) demonstrated strong linkage disequilibrium ( $D' = 1.0$ ,  $r^2 = 0.5859$ ). Compared to men carrying rs230525-rs230530 AG haplotype, those with GA or AA haplotypes were at increased risk of liver cancer with ORs of 1.46(95%CI: 1.05-2.03) and 1.81(95%CI: 1.15-2.86), respectively. For *NFKB1A*, rs3138053 and rs2273650 were in linkage disequilibrium ( $D' = 0.97$ ,  $r^2 = 0.31$ ) but none of the haplotypes was significantly associated with liver cancer.

Table 2. Distribution of selected characteristics in the study cases and controls<sup>†</sup>

Characteristics	All subjects			Male			Female		
	Cases	Controls	PP	Cases	Controls	PP	Cases	Controls	PP
Age at interview, Mean±SD,	59.61±9.56	59.47±9.55	0.8530	60.05±9.93	59.86±9.95	0.8580	58.95±8.98	58.85±8.87	0.9280
Education level (%)									
Elementary school or less	63(29.30)	115(27.00)		18(13.95)	35(13.41)		45(52.33)	80(48.48)	
Middle school	69(32.09)	148(37.74)		54(41.86)	104(39.85)		15(17.44)	44(26.67)	
High school	62(28.84)	91(21.36)		41(31.78)	61(23.37)		21(24.42)	30(18.18)	
College or above	21(9.77)	72(16.90)	0.0310	16(12.40)	61(23.37)	0.0530	5(5.81)	11(6.67)	0.3410
Family income (%) <sup>†</sup>									
Low	50(23.04)	90(21.13)		17(12.98)	37(14.12)		33(38.37)	53(32.32)	
Medium	112(51.61)	208(48.83)		76(58.02)	130(49.62)		36(41.86)	78(47.56)	
High	55(25.35)	128(30.05)	0.4540	38(29.01)	95(36.26)	0.2710	17(19.77)	33(20.12)	0.6060
Ever smoked (%)	93(42.86)	173(40.52)	0.5690	90(68.70)	163(62.21)	0.2060	3(3.49)	10(6.06)	0.3840
Ever drank alcohol (%)	45(20.74)	98(22.95)	0.5230	42(32.06)	97(37.02)	0.3330	3(3.49)	1(0.61)	0.0840
Body mass index ,kg/m <sup>2</sup> , Mean±SD	23.79±3.65	24.16±3.31	0.1980	23.16±3.25	23.77±2.89	0.0600	24.75±4.02	24.78±3.80	0.9610
WHR, Mean±SD	0.87±0.07	0.87±0.07	0.9360	0.90±0.06	0.90±0.06	0.3790	0.82±0.05	0.83±0.06	0.2610
Regular physical activity (%)	94(43.32)	207(48.48)	0.2150	49(37.40)	124(47.33)	0.0620	45(52.33)	83(50.30)	0.7610
physical activity, MET-hours/week	81.58±47.12	83.71±43.59	0.5700	66.86±40.33	68.00±34.61	0.7807	104.00±48.09	108.60±44.83	0.4500
History of hepatitis (%)	74(34.10)	25(5.85)	<0.001	57(43.51)	16(6.11)	<0.001	17(19.77)	9(9.45)	<0.001
Family history of cancer (%)	69(31.80)	116(27.17)	0.2200	41(31.30)	70(26.72)	0.3420	28(32.56)	46(27.88)	0.4410
Family history of liver cancer (%)	28(12.90)	18(4.22)	<0.001	20(15.27)	10(3.82)	<0.001	8(9.30)	8(4.85)	0.1710
History of type 2 diabetes (%)	25(11.52)	35(8.20)	0.1710	14(10.69)	25(9.54)	0.7207	11(12.79)	10(6.06)	0.0680
History of chronic liver disease or	35(16.13)	11(2.58)	<0.001	26(19.85)	10(3.82)	<0.001	9(10.47)	1(0.61)	<0.001

\* Missing data was excluded from the analysis

<sup>†</sup> Family income level (low income for <5000 yuan/year in the SWHS and <12 000 yuan/year in the SMHS; medium income for 5000 to <10 000 yuan/year in the SWHS and 12 000 to <24 000 yuan/year in the SMHS; and high income for >10 000 yuan/year in the SWHS and >24 000 yuan/year in the SMHS)

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Table 3. *NFKB1* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P for $\chi^2$	OR*	95%CI	OR†	95%CI	OR‡	95%CI
rs28362491									
ins/ins	6869	171474		1.004-00	--	1.004-00	--	1.004-0	--
								0	
ins/del	102402	160466		1.604-55	1.10-2.334-	1.604-55	1.10-2.334-	1.714-6	1.13-2.604-
								4	09-2.49
del/del	4040	7979	0.0470-06	1.274-28	0.79-2.050-	1.274-28	0.79-2.040-	1.214-2	0.71-2.050-
			9		80-2.05		80-2.05	4	71-2.04
<i>P for trend</i>				0.1440-4		0.1460-4		0.2330-	
				48		48		246	
ins/del or del/del	142442	239245	0.0230-03	1.504-46	1.05-2.124-	1.494-46	1.05-2.124-	1.544-5	1.04-2.284-
			4		03-2.07		03-2.07	0	02-2.24
rs230496									
AA	6465	164467		1.004-00	--	1.004-00	--	1.004-0	--
								0	
AG	101404	169473		1.534-50	1.05-2.244-	1.534-50	1.05-2.244-	1.684-6	1.10-2.584-
					03-2.19		03-2.19	4	08-2.50
GG	4747	9192	0.0870-10	1.334-32	0.84-2.090-	1.324-34	0.84-2.090-	1.254-2	0.75-2.090-
			6		84-2.07		84-2.07	4	74-2.05
<i>P for trend</i>				0.1410-4		0.1430-4		0.2350-	
				52		52		259	
AG or GG	148448	260265	0.0410-04	1.464-44	1.03-2.084-	1.464-44	1.03-2.084-	1.534-4	1.03-2.264-
			4		04-2.04		04-2.04	9	04-2.24
rs230525									
AA	7980	186489		1.004-00	--	1.004-00	--	1.004-0	--
								0	
AG	102402	175480		1.384-34	0.96-1.970-	1.384-35	0.96-1.980-	1.464-4	0.98-2.180-
					94-1.92		94-1.92	2	95-2.14
GG	3232	6364	0.2240-27	1.204-18	0.73-1.980-	1.204-18	0.73-1.970-	1.114-0	0.63-1.940-
			7		72-1.95		72-1.95	8	62-1.89

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<i>P for trend</i>			<del>0.2360-2</del>		<del>0.2360-2</del>		<del>0.3470-</del>
			68		58		402
AG or GG	<del>134134</del>	<del>238244</del>	<del>0.1000-12</del>	<del>1.331-30</del>	<del>0.95-1.870-</del>	<del>1.331-30</del>	<del>0.95-1.870-</del>
			7		93-1.82		93-1.82
						3	91-1.93
rs230530							
AA	<del>6464</del>	<del>114116</del>	<del>1.001-00</del>	<del>-</del>	<del>1.001-00</del>	<del>-</del>	<del>1.001-0</del>
							0
AG	<del>9999</del>	<del>175181</del>	<del>1.010-99</del>	<del>0.68-1.490-</del>	<del>1.010-99</del>	<del>0.68-1.490-</del>	<del>1.051-0</del>
				67-1.46		67-1.47	3
							67-1.59
GG	<del>4849</del>	<del>129130</del>	<del>0.1020-15</del>	<del>0.660-68</del>	<del>0.42-1.040-</del>	<del>0.660-68</del>	<del>0.42-1.040-</del>
			2		44-1.07		44-1.07
						0	42-1.15
<i>P for trend</i>			<del>0.0790-1</del>		<del>0.0780-1</del>		<del>0.1320-</del>
							169
AG or GG	<del>147148</del>	<del>304311</del>	<del>0.4230-42</del>	<del>0.860-86</del>	<del>0.60-1.240-</del>	<del>0.860-86</del>	<del>0.60-1.240-</del>
			6		60-1.24		60-1.24
						9	60-1.34

\*Adjusted for age

† Adjusted for age, sex.

‡ Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 4. *NFKB1A* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P	OR*	OR†	OR‡
rs3138053						
AA	<del>173174</del>	<del>336342</del>		<del>1.001-0</del>	<del>-</del>	<del>1.001-0</del>
				0		0
AG	<del>2121</del>	<del>4851</del>		<del>0.850-8</del>	<del>0.49-1.470-</del>	<del>0.840-8</del>
			1	47-1.39		47-1.39
						2
						51-1.64
GG	<del>1919</del>	<del>4040</del>		<del>0.8230-7</del>	<del>0.920-9</del>	<del>0.52-1.640-</del>
			36	3	53-1.66	4
						53-1.68
						8
						51-1.89
<i>P for trend</i>				<del>0.6380-</del>		<del>0.6530-</del>
				618		611
						861
AG or GG	<del>4040</del>	<del>8891</del>		<del>0.5560-4</del>	<del>0.880-8</del>	<del>0.58-1.340-</del>
			87	6	57-1.31	6
						57-1.31
						4
						60-1.49

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rs3138055									
CC	6263 128429	1.00+0	-	1.00+0	-	1.00+0	-		
		0		0		0			
CT	109409 215220	1.05+0 0.72-1.540-	1.05+0 0.72-1.540-	1.22+1 0.79-1.870-					
		2 70-1.49	2 70-1.49	7 76-1.80					
TT	4242 8184	0.9560-9 1.07+0 0.66-1.730-	1.07+0 0.66-1.730-	1.33+2 0.78-2.270-					
		95 2 63-1.65	2 63-1.65	6 74-2.14					
<i>P for trend</i>		0.7720-	0.7710-	0.2760-					
		923	923	379					
CT or TT	151454 296304	0.7780-9 1.06+0 0.74-1.510-	1.06+0 0.74-1.520-	1.25+2 0.83-1.880-					
		26 2 71-1.46	2 71-1.46	0 80-1.79					
rs696									
CC	6566 149450	1.00+0	-	1.00+0	-	1.00+0	-		
		0		0		0			
CT	115445 196202	1.35+3 0.93-1.960-	1.35+3 0.93-1.960-	1.47+4 0.97-2.230-					
		0 90-1.88	0 90-1.88	1 93-2.13					
TT	3333 7678	0.2100-2 0.990-9 0.60-1.640-	0.990-9 0.60-1.640-	1.17+1 0.67-2.030-					
		68 6 58-1.58	6 58-1.58	2 64-1.94					
<i>P for trend</i>		0.6940-	0.6950-	0.3600-					
		822	823	459					
CT or TT	148448 272280	0.2180-3 1.25+2 0.88-1.780-	1.25+2 0.88-1.780-	1.38+3 0.93-2.060-					
		04 0 85-1.71	0 85-1.71	3 89-1.97					
rs2273650									
CC	108408 215334	1.00+0	-	1.00+0	-	1.00+0	-		
		0		0		0			
CT	8485 173476	0.970-9 0.68-1.370-	0.970-9 0.68-1.370-	0.860-8 0.58-1.260-					
		9 70-1.40	9 70-1.40	8 60-1.30					
TT	2020 3737	0.9380-9 1.08+1 0.60-1.950-	1.07+1 0.59-1.940-	0.890-9 0.45-1.730-					
		34 1 61-1.99	1 61-2.00	2 47-1.79					
<i>P for trend</i>		0.9370-	0.9450-	0.4930-					
		834	830	596					

CT or TT ~~104105 210213~~ ~~0.9330-9 0.991-0 0.71-1.380- 0.991-0 0.71-1.370- 0.860-8 0.60-1.240-~~  
~~59 + 73-1.41 + 73-1.41 9 62-1.28~~

\*Adjusted for age

† Adjusted for age, sex.

‡ Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 5. ORs and 95% CIs for liver cancer in relation to *NFKBI/NFKBIA* haplotypes

	All subjects*			Female†			Male†		
	Cases (%)	Controls (%)	OR	Cases (%)	Controls (%)	OR	Cases (%)	Controls (%)	OR
<i>NFKBI</i> (rs230525-rs230530)	n=215	n=425		n=86n=8	n=165n=1		n=129n=1	n=260n=2	
)	46.4946.7	52.0151.	refref	52.1452.	49.3949.1	refref	42.6942.6	53.5353.5	refref
AG	4	85		70	3		9	3	
	38.97338.	35.5035.	1.23(0.95-1.58)1.21(0.94-1.56)	36.4736.	36.5936.7	0.94(0.63-1.41)0.92(0.62-1.36)	40.5540.5	34.8834.8	1.46(1.05-2.03)1.46(1.05-2.03)
GA	79	57		05	1		5	8	
	14.5414.4	12.4912.	1.30(0.91-1.86)1.28(0.89-1.82)	11.3911.	14.0214.1	0.77(0.42-1.39)0.74(0.41-1.33)	16.7616.7		1.81(1.15-2.86)1.81(1.15-2.86)
AA	7	58		25	6		6	11.5911.59	2.86)
<i>NFKBIA</i> (rs3138055-rs2273650)									
TC	45.0044.7	43.9444.	refref	48.2447.	42.3143.2	refref	43.1543.1	45.1445.1	refref
	8	30		67	8		5	4	
	29.0529.1	28.5828.	1.00(0.75-1.31)1.02(0.77-1.34)	31.3031.	31.2130.4	0.88(0.57-1.35)0.94(0.61-1.44)	27.7927.7	27.1227.1	1.07(0.75-1.55)1.07(0.75-1.55)
CT	5	33		51	6		9	2	
	25.6525.7	27.0026.	0.93(0.70-1.24)0.95(0.72-1.27)	20.4720.	26.4826.2	0.68(0.42-1.10)0.72(0.45-1.15)	28.5028.5	26.9526.9	1.11(0.77-1.60)1.11(0.77-1.60)
CC	6	90		81	6		0	5	-60)

\* Adjusted for age and sex.

† Adjusted for age

## DISCUSSION

In this nested case-control study, we found that the variants of rs28362491 and rs230496 of *NFKB1* gene might be associated with risk of primary liver cancer. After adjusting for possible confounders, rs28362491 deletion allele and rs230496 AG or GG genotypes were found to increase the risk of liver cancer. In addition, haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model, although this association was only observed in man. These findings suggested that variants of NF-κB signaling pathway may play a role in liver cancer susceptibility.

*NFKB1* gene was mapped on chromosome 4q23-q24 and composed of 24 exons<sup>28</sup>. This gene encodes for two proteins ~~p105 and p50~~. p105 is a none-DNA binding protein and is activated to p50, a DNA binding protein by proteasome-mediated degradation. Several genetic polymorphisms were defined in *NFKB1* and researches have been focused on a common polymorphism of -94 del/ins (rs28362491) in the promoter region. Recent studies showed that genetic polymorphism of rs28362491 was associated with a number of cancer risks including sporadic breast cancer<sup>15</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, colorectal cancer<sup>18</sup>, and oral cancer<sup>19</sup>, but little is known about its relationship with liver cancer. ~~Cao-He~~ and his colleagues conducted a case-control study of 202 HCC cases of HBV carrier and 404 healthy controls without HBV infection. Results showed that after adjusting for age and gender, -94 ins/del and ins/ins genotypes might increase the risk of HCC, with ORs of 1.60 (95%CI:1.01-2.53) and 3.01 (95%CI:1.87-4.85), respectively<sup>29</sup>. A report from Taiwan also found ins allele more prevalent in HCC patients (OR=2.23,95%CI:1.32-3.77)<sup>30</sup>. In our study, we found that ins/del and del/del genotypes were more prevalent in liver cancer cases than controls. It was observed that the association of rs28362491 polymorphism with cancer susceptibility varied with cancer site and study populations. Ins allele was reported to increase the risk of oral cancer<sup>31</sup>, melanoma<sup>32</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, nasopharyngeal carcinoma<sup>33</sup> and cervical cancer<sup>34</sup>. Two studies in European found del allele might increase the risk of colorectal cancer<sup>35, 36</sup>, while in Chinese population, none or even reverse association were obtained<sup>35, 37</sup>. The difference of polymorphisms may probably result from interactions or combined effects with none genetic risk factors. Well-designed studies with larger sample size are needed to validate these findings.

To our knowledge, this is the first report on the variants of rs230496, rs230525 and rs230530 with liver cancer susceptibility. A study in European American descent found

rs230530 polymorphism associated with alcohol dependence, and the evidence came primarily from those individuals who met criteria for alcoholism earlier<sup>38</sup>. As alcohol is one of the major risk factors of liver cancer, rs230530 might play a role in alcohol associated liver cancer. Unfortunately, subject to the limitation of relatively small sample size, we were not able to explore this issue. In addition, although the functions of intronic SNPs are still obscure, studies have indicated that they can affect either local DNA or RNA secondary structure, thereby regulating gene expression<sup>39,40</sup>

*NFKBIA* gene, which encodes I $\kappa$ B $\alpha$ , the inhibitor of *NFKBI*, was mapped to 14q13 with six exons spanning approximately 3.5kb<sup>41,39</sup>. As a major component of I $\kappa$ B family, the dysfunction or down regulation of I $\kappa$ B $\alpha$  will lead to over activation of NF- $\kappa$ B. Epidemiological studies on *NFKBIA* were relatively rare. A 2758G/A polymorphism (rs696) in 3' untranslated region might regulate the expression of I $\kappa$ B $\alpha$  and thus affect the activation of NF- $\kappa$ B. Sun et al. found the frequency of AG genotype was increased in Chinese patients  $\geq 50$  years of age (OR=3.06, 95% CI:1.55-6.02) with colorectal cancer<sup>42,40</sup>. Another study on breast cancer fail to obtain a significant association<sup>15</sup>. There was no previous report on rs696 and risk of liver cancer.

Of the four SNPs of *NFKBIA* gene evaluated, we did not observed an significant association. In previous studies, rs3138053 variant was found to be associated with hepatocellular carcinoma in a Chinese mainland population<sup>29</sup> but not Taiwanese<sup>30</sup>.

There are several strengths of our study. This study was based on two well-designed prospective cohort studies. To the best of our knowledge, it was the first population based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer. All study participants were ethnic Chinese and residents of Shanghai with similar genetic background, which minimized the potential confounding of ethnics. Only incident cases were included which ruled out the possibility of recall and selection bias. Liver cancer cases were carefully verified with multiple approaches which minimized the disease misclassification. Also, we controlled potential confounding variables in the analysis. The limitations of our study should also be noted. Firstly, we focused on only two genes involved in canonical pathway of NF- $\kappa$ B, other regulatory genes in NF- $\kappa$ B signaling pathway may also contribute to the pathogenesis of liver cancer. Secondly, we did not test for HBV infection, HCV infection or aflatoxin exposure, so we cannot rule out the possible confoundings although the presents of HCV infection and aflatoxin are very low in the study population<sup>43,41</sup>, but we did take into consideration of the participants' history of hepatitis and liver cirrhosis. Finally, due

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7 to the relatively small sample size, the frequencies of some homozygous variants were  
8 low in subgroups therefore reduced the statistical power and limited us from evaluating  
9 the joint effects in stratified analysis. Replication in other studies is needed.  
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11 In summary, in this nested case-control study, we provided additional evidence for  
12 a role of NF- $\kappa$ B SNPs and haplotypes in the etiology of liver cancer. Studies in larger,  
13 varied populations are warranted to confirm these findings. Furthermore, functional  
14 studies are required in order to explore the underlying mechanisms.  
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25 manuscript, interpreted the results, and also had primary responsibility for the final  
26 content; W Zheng and XOS designed, directed and obtained funding for the parent  
27 cohorts, and contributed to the revisions and interpretation of the results; JG obtained  
28 part of funding, drafted the manuscript, analyzed the data and interpreted the results; JG  
29 and HLX conducted experiments; All authors critically reviewed and approval  
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46 collection, statistical analysis and result interpretation, as well as in the writing of the  
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48 access to all data in the study and final responsibility for the decision to submit for  
49 publication.  
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54 **Study approval** Institutional review board.  
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STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1-3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2, 3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4, 5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4, 5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4, 5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	5
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	4-6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	9
		(d) If applicable, explain how loss to follow-up was addressed	4-5
		(e) Describe any sensitivity analyses	N/A
<b>Results</b>			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	5, 9
		(b) Give reasons for non-participation at each stage	4-5
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	8, 9
		(b) Indicate number of participants with missing data for each variable of interest	9-12
		(c) Summarise follow-up time (eg, average and total amount)	4-5
Outcome data	15*	Report numbers of outcome events or summary measures over time	5

1 2 3 4 5 6 7 8 9 10	Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	6,7, 9-12
11 12 13			(b) Report category boundaries when continuous variables were categorized	9
14 15 16 17 18 19			(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
20 21 22 23 24	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	12
25	<b>Discussion</b>			
26 27 28 29	Key results	18	Summarise key results with reference to study objectives	13-15
30 31 32 33 34	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14-15
35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	15
	Generalisability	21	Discuss the generalisability (external validity) of the study results	13, 14
	<b>Other information</b>			
	Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	15

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).



**Genetic polymorphism of NFKB1 and NFKBIA genes and liver cancer risk: a nested case-control study in Shanghai, China**

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3 **Genetic polymorphism of *NFKB1* and *NFKBIA* genes and liver cancer risk: a**  
4 **nested case-control study in Shanghai, China**  
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8 Jing Gao<sup>1,2</sup>, Hong-Li Xu<sup>2</sup>, Shan Gao<sup>3</sup>, Wei Zhang<sup>2</sup>, Yu-Ting Tan<sup>2</sup>, Nat Rothman<sup>4</sup>,  
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44 **Key words:** genetic polymorphisms, *NFKB1*, *NFKBIA*, primary liver cancer,  
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48 **Word count: 2911 (Text)**  
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## ABSTRACT

**Objectives:** Genetic variations of NF- $\kappa$ B signaling pathway were found to be associated with inflammatory diseases and several malignancies. However, little is known about NF- $\kappa$ B pathway gene polymorphisms and susceptibility of liver cancer. The aim of this study was to investigate whether genetic variants of *NFKB1* and *NFKBIA* were associated with risk of liver cancer in a Chinese population.

**Design:** The study was designed as a nested case-control study within two prospective cohorts (the Shanghai Women's Health Study, SWHS, 1996–2000 and the Shanghai Men's Health Study, SMHS, 2002–2006).

**Settings:** This population-based study was conducted in urban Shanghai, China.

**Participants:** A total of 217 incident liver cancer cases diagnosed through December 31, 2009 and 427 healthy controls matched by sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection were included in the study.

**Primary and secondary outcome measures:** Genetic polymorphisms of *NFKB1* and *NFKBIA* were determined by TaqMan SNP genotyping assay blindly. OR and its 95% CIs were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of liver cancer.

**Results:** After adjusted for potential confounding factors, rs28362491 ins/del or del/del genotypes were associated with higher risk of liver cancer with an adjusted OR of 1.54(95%CI: 1.04-2.28). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.53 (95%CI: 1.03-2.26). Haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model. No association was observed between *NFKBIA* variants and risk of live cancer.

**Conclusions:** Our results suggest that genetic variants of *NFKB1* influence liver cancer susceptibility in Chinese population, although replication in other studies is needed.

### Article summary-strengths and limitations of this study

- This study was the first population-based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer.
- Only incident cases from two prospective cohorts were included in the study which ruled out the possibility of recall and selection bias.
- The limitations of the study include relatively small sample size, unmeasured HBV infection, HCV infection and aflatoxin exposure. However, we did take into

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3 consideration of the participants' history of hepatitis and liver cirrhosis, and the  
4 presents of HCV infection and aflatoxin are very low in the study population.  
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## 8 INTRODUCTION

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10 Liver cancer is a common disorder worldwide which ranks the 5th and 7th most  
11 common cancer among men and women. It was estimated that more than 80% liver  
12 cancers occur in developing countries and about 54% occur in China<sup>1</sup>. Among the  
13 main risk factors for liver cancer, chronic infections of hepatitis B virus (HBV) and  
14 hepatitis C virus (HCV) are the most important in humans, accounting for more than  
15 70% of liver cancer cases worldwide<sup>2-4</sup>. Liver cirrhosis, heavy alcohol consumption,  
16 exposure to aflatoxin, and diabetes also account for part of liver cancer occurrence<sup>2-4</sup>.  
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21 Chronic inflammation has been widely accepted to play an important role in  
22 hepatocarcinogenesis. Most of the known risk factors of liver cancer such as HBV,  
23 HCV infection and alcohol drinking can cause persistent inflammatory reaction of the  
24 liver and promote cancer development<sup>5, 6</sup>. However, the molecular and cellular  
25 mechanisms linking inflammation and liver cancer remain unclear. Recent findings  
26 have suggested that NF- $\kappa$ B may play a crucial role in bridging the actions of growth  
27 factors and chronic inflammation to hepatic oncogenesis<sup>7-10</sup>.  
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32 NF- $\kappa$ B, a collection of dimeric transcription factors, was originally identified as  
33 a nuclear factor bound to the enhancer of the immunoglobulin  $\kappa$ -light chain gene<sup>11</sup>  
34 specific to B cells and presents in all cell types<sup>12</sup>. It is a major transcription regulator  
35 of the immune response, cell adhesion, differentiation, proliferation, and apoptosis<sup>13</sup>.  
36 NF- $\kappa$ B dimers are formed by seven distinct proteins: NF- $\kappa$ B1 (p105 and p50),  
37 NF- $\kappa$ B2 (p100 and p52), RelA (p65), RelB and c-Rel, of which NF- $\kappa$ B p50/RelA is  
38 the most common dimer form<sup>9</sup>. In the resting cell, most NF- $\kappa$ B dimers are inactivated  
39 in the cytoplasm by binding to specific inhibitors-I $\kappa$ B family, of which I $\kappa$ B $\alpha$  is the  
40 most common one. In the classical activation pathway, I $\kappa$ B is phosphorylated and  
41 degraded by I $\kappa$ B kinase complex, and then NF- $\kappa$ B dimers are released and translocate  
42 to the nucleus where they coordinate the transcriptional activation of target genes<sup>14</sup>.  
43 Several genetic variations of NF- $\kappa$ B signaling pathway have been reported to be  
44 associated with cancer risks such as breast<sup>15</sup>, prostate<sup>16</sup>, stomach<sup>17</sup>, colorectum<sup>18</sup> and  
45 mouth<sup>19</sup>. However, little is known about role of genetic polymorphisms of NF- $\kappa$ B  
46 genes and susceptibility of liver cancer.  
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56 In a population based case-control study nested in two prospective cohorts of the  
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Shanghai Women's and Men's Health Studies, we investigated the relationships between genetic variants of *NFKB1* and *NFKBIA*, two key genes involved in classic signaling pathway of NF- $\kappa$ B, and the risk of liver cancer among Chinese men and women.

## MATERIAL AND METHODS

### Study population

Participants of this study came from the Shanghai Women's Health Study (SWHS) and Shanghai Men's Health Study (SMHS). The design and methods used in these two studies have been described in detail elsewhere<sup>20-23</sup>. Briefly, the SWHS enrolled 74,941 women aged 40-74 years between March 1, 1997 and May 31, 2000, with a response rate of 92.7%. SMHS enrolled 61,491 men aged 40-74 years without history of cancer at recruitment from April 1, 2002 to June 30, 2006, with a response rate of 74.1%. Both studies were approved by the relevant Institutional Review Boards for human research in China and the United States and a written informed consent was obtained from all participants.

In-person interview was conducted by trained interviewers using a structured questionnaire at baseline to obtain information on demographics, lifestyle, dietary habits, medical history and other characteristics. Anthropometric measurements, including current weight, height and circumferences of the waist and hips, were also measured. Of the eligible participants, 56,831 (75.8%) of the SWHS and 46,332 (75.3%) of the SMHS provided a 10-ml blood sample at baseline. The samples were drawn into an EDTA Vacutainer tube and then kept in a portable styrofoam box with ice packs (at approximately 0-4°C) and processed within 6 hours for long-term storage at -70°C. A bio-specimen collection form was completed for each participant at the time of sample procurement which included the date and time of collection, time of last meal, and date of last menstruation, intake of selected foods, smoking, as well as use of any medications over the previous 24 hours and during the previous week.

### Cohort follow-up and outcome ascertainment

Both cohorts were followed for occurrence of cancer and other chronic diseases by active in-person surveys conducted every 2-3 years as well as annual record linkage to the databases of the population-based Shanghai Cancer Registry, Shanghai Vital Statistics Registry, and Shanghai Resident Registry. For the SWHS, four rounds of in-person follow-ups were completed and the response rates for the first

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3 (2000-2002), second (2002-2004), third (2004-2007), and fourth (2008-2011)  
4 follow-up surveys were 99.8%, 98.7%, 96.7%, and 92.0%, respectively. For the  
5 SMHS, two rounds of follow-up surveys have completed. The response rates for the  
6 first (2004-2008) and second (2008-2011) follow-up surveys were 97.6% and 93.6%,  
7 respectively. For cohort members who developed liver cancer during the follow-up,  
8 medical chart were reviewed by a panel of oncologists to verify the diagnosis. Liver  
9 cancer data through December 31, 2009 was used for the present study.

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Included in this nested case-control study are 217 incident liver cancer cases and 427 matched controls who had donated blood sample. Liver cancer cases were defined as having an International Classification of Disease, Ninth Revision (ICD-9), codes of 155.0 (primary malignant neoplasms), 155.1(malignant neoplasms of the intrahepatic bile ducts), or 155.2 (unspecified malignant neoplasms of the liver)<sup>24</sup>. Two control subjects were randomly selected from the cohorts who donated a blood sample at baseline and matched to each case for sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection. All controls were free of any cancer at the time of cancer diagnosis for the corresponding case.

### Genotyping

Single-nucleotide polymorphisms (SNPs) were selected based on both TagSNP and their putative functional significance. Tagging SNPs were selected by searching the Han Chinese data from the Hapmap project<sup>25</sup>. The following criteria were used to identify tagging SNPs: (i) SNPs located in the genes or within the 5-kb flanking region, (ii) a minor allele frequency  $\geq 0.05$ , and (iii) other unselected single-nucleotide polymorphisms could be captured by one of the tagging SNPs with a linkage disequilibrium of  $r^2 \geq 0.90$ . A total of 8 SNPs were selected for genotyping which were rs28362491, rs230530, rs230525, rs230496 for *NFKBI* and rs3138053, rs3138055, rs2273650, rs696 for *NFKBIA* (table 1). Genomic DNA was extracted from buffycoat using Promega DNA Extraction Kit according to the manufacturer's instructions (Promega Corporation, Madison, WI, USA). Genotyping were performed by the TaqMan assay, using the ABI PRISM 7900HT Sequence Detection System (Applied Biosystems, Foster City, CA, USA), in 384-wellformat, with dual fluorescent reporter probes VIC and FAM. rs28362491 was genotyped using custom-designed probes and primers. The primer sequences were: 5'-GCCTCCGTGCTGCCT-3'(forward primer), 3'-AGGGAAGCCCCCAGGAA-5'(reverse primer). The probe sequences were: 5'-TTCCCCGACCATTGG-3' (del),

5'-CCGACCATTGATTGG-3' (ins). Other SNPs were genotyped using pre-designed assays (Applied biosystems). The quality and potential misclassification of the genotyping results were assessed by evaluating 5% of duplicate DNA samples that were randomly selected from the whole samples. There replicates were 100% concordant. All serum samples were tested blindly and were identified only by an unique identification number blinded with case-control status.

Table 1. Descriptions of Genetic Polymorphisms of the *NFKB1* and *NFKBIA* genes under investigation

Gene	Assay ID	Sequence	Location
<i>NFKB1</i>	rs28362491	CTCCGTGCTGCCTGCGTTCCCCGACC[-/ATTG]ATTGGGCC CGGCAGGCGCTTCCTGG	5'-near gene
	rs230530	TTTTTAGCACCAAACATCTTAATTT[A/G]CATTCAAATAAA TGAGAACCACCAT	intron
	rs230525	TACGGGAAAAGTGATTCTTGTTTAC[A/G]GAGCCCTCTTT CACAGTTTCATGTT	intron
	rs230496	TGTCTGGATTGCTTGAGACAGCCC[A/G]GTTTGCCCCTG ACCTAATTGTTTAT	intron
<i>NFKBIA</i>	rs3138053	ATTCGTTTATGCTATCTGACCTACA[C/T]TGTGCTCCCGCA GAAAAAGGATCGT	5'-near gene
	rs3138055	AATCAACGGGATGACAGAATGACAA[C/T]GGAGAGGTCT CCAACCACAGGCCAA	3'-near gene
	rs2273650	AACAATACATTATGTACACCATTTA[C/T]AGGAGGGTAAC ACAAACCTTGACAG	3'-UTR
	rs696	CCTACCACAATAAGACGTTTTGGGC[C/T]AGGCAGTGTGC AGTGTGGATATAAG	3'-UTR

### Statistical analysis

Subjects with both survey data and genotyping results were included in the final analysis. Means and percentages of selected characteristics for cases and controls were calculated. The distributions of selected characteristics were compared between cases and controls by either student's *t*-test (continuous variables) or  $\chi^2$  test (categorical variables). Odds ratio and its 95% confidence interval were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of primary liver cancer. In the multivariable analysis, potential confounding factors were adjusted for, which include age (continuous variable); education level (four categories: elementary school or less, middle school, high school, and college or above); history of hepatitis (yes or no); family history of liver cancer (yes or no); and history of other chronic liver diseases or cirrhosis (yes or no). Statistical analyses were carried out using the SAS software package (version 9.2; SAS Institute, Cary, NC). Tests for trend were performed by entering categorical

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3 variables as continuous variables in the regression model. All P values were calculated  
4 by two-sided tests and were considered statistically significant if P was less than 0.05.  
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7 Hardy–Weinberg equilibrium and Linkage disequilibrium were assessed with  
8 HaploView version 4.0<sup>26</sup>. Associations between haplotypes and the risk of liver cancer  
9 were evaluated with HAPSTAT version 3.0 using the most common haplotype as the  
10 referent category, assuming an additive model<sup>27</sup>.  
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## RESULTS

Selected baseline characteristics of study participants were presented in table 2. The average ages of cases and control were 59.61 and 59.47. Compared with controls, liver cancer cases were more likely to have a lower education level, a history of hepatitis, a family history of liver cancer in first degree relatives, and history of chronic liver diseases or cirrhosis. Besides, male liver cancer cases were more probably to have lower body mass index, and be a non-regular exerciser compared to controls, although the difference were at borderline significance. Whereas in women, cases were more likely to have a history of type 2 diabetes than controls. No differences were observed in family income, smoking, drinking habits, waist to hip ratio, and family history of other cancers between the two groups.

The associations of *NFKB1* SNPs with liver cancer risk were summarized in table 3. The genotypes of rs28362491, rs230530 and rs230525 showed no deviation from Hardy-Weinberg equilibrium in controls except for rs230496. After adjusted for potential confounding factors, rs28362191 ins/del or del/del genotypes were associated with higher risk of liver cancer with an OR of 1.54(95%CI: 1.04-2.28). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.53 (95%CI: 1.03-2.26). Carriers of rs230525 AG or GG genotypes had about 30% percent increased risk of liver cancer, but the risk was not insignificant. No association was found between rs230530 and liver cancer risk.

Table 4 presents the distribution of *NFKB1A* SNPs in cases and controls. The genotypes of rs3138055, rs696 and rs2273650 showed no deviation from Hardy-Weinberg equilibrium in controls but for rs3138053. Generally, all the four SNPs showed no relationship with liver cancer.

We further analyzed the haplotypes of these SNPs with risk of liver cancer (table 5). For *NFKB1* gene, two SNPs (rs230525, rs230530) demonstrated strong linkage disequilibrium ( $D' = 1.0$ ,  $r^2 = 0.59$ ). Compared to men carrying rs230525-rs230530 AG haplotype, those with GA or AA haplotypes were at increased risk of liver cancer with ORs of 1.46(95%CI: 1.05-2.03) and 1.81(95%CI: 1.15-2.86) in significance, respectively. For *NFKB1A*, rs3138053 and rs2273650 were in linkage disequilibrium ( $D' = 0.97$ ,  $r^2 = 0.31$ ) but none of the haplotypes was significantly associated with liver cancer.



Table 2. Distribution of selected characteristics in the study cases and controls<sup>†</sup>

Characteristics	All subjects			Male			Female		
	Cases (N=217)	Controls (N=427)	P	Cases (N=131)	Controls (N=262)	P	Cases (N=86)	Controls (N=165)	P
Age at interview, Mean±SD,	59.61±9.56	59.47±9.55	0.853	60.05±9.93	59.86±9.95	0.858	58.95±8.98	58.85±8.87	0.928
Education level (%)									
Elementary school or less	63(29.30)	115(27.00)		18(13.95)	35(13.41)		45(52.33)	80(48.48)	
Middle school	69(32.09)	148(37.74)		54(41.86)	104(39.85)		15(17.44)	44(26.67)	
High school	62(28.84)	91(21.36)		41(31.78)	61(23.37)		21(24.42)	30(18.18)	
College or above	21(9.77)	72(16.90)	0.031	16(12.40)	61(23.37)	0.053	5(5.81)	11(6.67)	0.341
Family income (%) <sup>†</sup>									
Low	50(23.04)	90(21.13)		17(12.98)	37(14.12)		33(38.37)	53(32.32)	
Medium	112(51.61)	208(48.83)		76(58.02)	130(49.62)		36(41.86)	78(47.56)	
High	55(25.35)	128(30.05)	0.454	38(29.01)	95(36.26)	0.271	17(19.77)	33(20.12)	0.606
Ever smoked (%)	93(42.86)	173(40.52)	0.569	90(68.70)	163(62.21)	0.206	3(3.49)	10(6.06)	0.384
Ever drank alcohol (%)	45(20.74)	98(22.95)	0.523	42(32.06)	97(37.02)	0.333	3(3.49)	1(0.61)	0.084
Body mass index ,kg/m <sup>2</sup> , Mean±SD	23.79±3.65	24.16±3.31	0.198	23.16±3.25	23.77±2.89	0.06	24.75±4.02	24.78±3.80	0.961
WHR, Mean±SD	0.87±0.07	0.87±0.07	0.936	0.90±0.06	0.90±0.06	0.379	0.82±0.05	0.83±0.06	0.261
Regular physical activity (%)	94(43.32)	207(48.48)	0.215	49(37.40)	124(47.33)	0.062	45(52.33)	83(50.30)	0.761
physical activity, MET-hours/week	81.58±47.12	83.71±43.59	0.570	66.86±40.33	68.00±34.61	0.78	104.00±48.09	108.60±44.83	0.450
History of hepatitis (%)	74(34.10)	25(5.85)	<0.001	57(43.51)	16(6.11)	<0.001	17(19.77)	9(9.45)	<0.001
Family history of cancer (%)	69(31.80)	116(27.17)	0.220	41(31.30)	70(26.72)	0.342	28(32.56)	46(27.88)	0.441
Family history of liver cancer (%)	28(12.90)	18(4.22)	<0.001	20(15.27)	10(3.82)	<0.001	8(9.30)	8(4.85)	0.171
History of type 2 diabetes (%)	25(11.52)	35(8.20)	0.171	14(10.69)	25(9.54)	0.72	11(12.79)	10(6.06)	0.068
History of chronic liver disease or	35(16.13)	11(2.58)	<0.001	26(19.85)	10(3.82)	<0.001	9(10.47)	1(0.61)	<0.001

\* Missing data was excluded from the analysis

<sup>†</sup> Family income level (low income for <5000 yuan/year in the SWHS and <12 000 yuan/year in the SMHS; medium income for 5000 to <10 000 yuan/year in the SWHS and 12 000 to <24 000 yuan/year in the SMHS; and high income for >10 000 yuan/year in the SWHS and >24 000 yuan/year in the SMHS)



Table 3. *NFKB1* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P for $\chi^2$	OR*	95%CI	OR <sup>†</sup>	95%CI	OR <sup>‡</sup>	95%CI
rs28362491									
ins/ins	68	171		1.00	-	1.00	-	1.00	-
ins/del	102	160		1.60	1.10-2.33	1.60	1.10-2.33	1.71	1.13-2.60
del/del	40	79	0.047	1.27	0.79-2.05	1.27	0.79-2.04	1.21	0.71-2.05
<i>P for trend</i>				0.144		0.146		0.233	
ins/del or del/del	142	239	0.023	1.50	1.05-2.12	1.49	1.05-2.12	1.54	1.04-2.28
rs230496									
AA	64	164		1.00	-	1.00	-	1.00	-
AG	101	169		1.53	1.05-2.24	1.53	1.05-2.24	1.68	1.10-2.58
GG	47	91	0.087	1.33	0.84-2.09	1.32	0.84-2.09	1.25	0.75-2.09
<i>P for trend</i>				0.141		0.143		0.235	
AG or GG	148	260	0.041	1.46	1.03-2.08	1.46	1.03-2.08	1.53	1.03-2.26
rs230525									
AA	79	186		1.00	-	1.00	-	1.00	-
AG	102	175		1.38	0.96-1.97	1.38	0.96-1.98	1.46	0.98-2.18
GG	32	63	0.224	1.20	0.73-1.98	1.20	0.73-1.97	1.11	0.63-1.94
<i>P for trend</i>				0.236		0.236		0.347	
AG or GG	134	238	0.100	1.33	0.95-1.87	1.33	0.95-1.87	1.36	0.94-1.99
rs230530									
AA	64	114		1.00	-	1.00	-	1.00	-
AG	99	175		1.01	0.68-1.49	1.01	0.68-1.49	1.05	0.68-1.62
GG	48	129	0.102	0.66	0.42-1.04	0.66	0.42-1.04	0.67	0.40-1.12
<i>P for trend</i>				0.079		0.078		0.132	
AG or GG	147	304	0.423	0.86	0.60-1.24	0.86	0.60-1.24	0.89	0.59-1.34

\*Adjusted for age

<sup>†</sup>Adjusted for age, sex.<sup>‡</sup>Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 4. *NFKB1A* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P	OR*	OR <sup>†</sup>	OR <sup>‡</sup>	OR <sup>‡</sup>	OR <sup>‡</sup>	OR <sup>‡</sup>
rs3138053									
AA	173	336		1.00	-	1.00	-	1.00	-
AG	21	48		0.85	0.49-1.47	0.84	0.48-1.45	0.97	0.54-1.74
GG	19	40	0.823	0.92	0.52-1.64	0.94	0.52-1.68	0.98	0.51-1.88
<i>P for trend</i>				0.638		0.653		0.920	
AG or GG	40	88	0.556	0.88	0.58-1.34	0.88	0.58-1.34	0.97	0.61-1.54
rs3138055									
CC	62	128		1.00	-	1.00	-	1.00	-
CT	109	215		1.05	0.72-1.54	1.05	0.72-1.54	1.22	0.79-1.87
TT	42	81	0.956	1.07	0.66-1.73	1.07	0.66-1.73	1.33	0.78-2.27
<i>P for trend</i>				0.772		0.771		0.276	
CT or TT	151	296	0.778	1.06	0.74-1.51	1.06	0.74-1.52	1.25	0.83-1.88
rs696									
CC	65	149		1.00	-	1.00	-	1.00	-
CT	115	196		1.35	0.93-1.96	1.35	0.93-1.96	1.47	0.97-2.23
TT	33	76	0.210	0.99	0.60-1.64	0.99	0.60-1.64	1.17	0.67-2.03
<i>P for trend</i>				0.694		0.695		0.360	
CT or TT	148	272	0.218	1.25	0.88-1.78	1.25	0.88-1.78	1.38	0.93-2.06
rs2273650									
CC	108	215		1.00	-	1.00	-	1.00	-
CT	84	173		0.97	0.68-1.37	0.97	0.68-1.37	0.86	0.58-1.26
TT	20	37	0.938	1.08	0.60-1.95	1.07	0.59-1.94	0.89	0.45-1.73
<i>P for trend</i>				0.937		0.945		0.493	
CT or TT	104	210	0.933	0.99	0.71-1.38	0.99	0.71-1.37	0.86	0.60-1.24

\*Adjusted for age

† Adjusted for age, sex.

‡ Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 5. ORs and 95% CIs for liver cancer in relation to *NFKB1/NFKB1A* haplotypes

	All subjects*			Female†			Male†		
	Cases	Controls	OR	Cases	Controls	OR	Cases	Controls	OR
	(%)	(%)		(%)	(%)		(%)	(%)	
<i>NFKB1</i> (rs230525-rs230530)	n=215	n=425		n=86	n=165		n=129	n=260	
AG	46.49	52.01	ref	52.14	49.39	ref	42.69	53.53	ref
GA	38.973	35.50	1.23(0.95-1.58)	36.47	36.59	0.94(0.63-1.41)	40.55	34.88	1.46(1.05-2.03)
AA	14.54	12.49	1.30(0.91-1.86)	11.39	14.02	0.77(0.42-1.39)	16.76	11.59	1.81(1.15-2.86)
<i>NFKB1A</i> (rs3138055-rs2273650)									
TC	45.00	43.94	ref	48.24	42.31	ref	43.15	45.14	ref
CT	29.05	28.58	1.00(0.75-1.31)	31.30	31.21	0.88(0.57-1.35)	27.79	27.12	1.07(0.75-1.55)
CC	25.65	27.00	0.93(0.70-1.24)	20.47	26.48	0.68(0.42-1.10)	28.50	26.95	1.11(0.77-1.60)

\* Adjusted for age and sex.

† Adjusted for age

## DISCUSSION

In this nested case-control study, we found that the variants of rs28362491 and rs230496 of *NFKB1* gene might be associated with risk of primary liver cancer. After adjusting for possible confounders, rs28362491 deletion allele and rs230496 AG or GG genotypes were found to increase the risk of liver cancer. In addition, haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model, although this association was only observed in man. These findings suggested that variants of NF- $\kappa$ B signaling pathway may play a role in liver cancer susceptibility.

*NFKB1* gene was mapped on chromosome 4q23-q24 and composed of 24 exons<sup>28</sup>. This gene encodes p105 which is a non-DNA binding protein. As an inactive precursor, it was activated to p50, a DNA binding protein by proteasome-mediated degradation. Several genetic polymorphisms were defined in *NFKB1* and researches have been focused on a common polymorphism of -94 del/ins (rs28362491) in the promoter region. Recent studies showed that genetic polymorphism of rs28362491 was associated with a number of cancer risks including sporadic breast cancer<sup>15</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, colorectal cancer<sup>18</sup>, and oral cancer<sup>19</sup>, but little is known about its relationship with liver cancer. He and his colleagues conducted a case-control study of 202 HCC cases of HBV carrier and 404 healthy controls without HBV infection. Results showed that after adjusting for age and gender, -94 ins/del and ins/ins genotypes might increase the risk of HCC, with ORs of 1.60 (95%CI:1.01-2.53) and 3.01 (95%CI:1.87-4.85), respectively<sup>29</sup>. A report from Taiwan also found ins allele more prevalent in HCC patients (OR=2.23,95%CI:1.32-3.77)<sup>30</sup>. In our study, we found that ins/del and del/del genotypes were more prevalent in liver cancer cases than controls. It was observed that the association of rs28362491 polymorphism with cancer susceptibility varied with cancer site and study populations. Ins allele was reported to increase the risk of oral cancer<sup>31</sup>, melanoma<sup>32</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, nasopharyngeal carcinoma<sup>33</sup> and cervical cancer<sup>34</sup>. Two studies in European found del allele might increase the risk of colorectal cancer<sup>35,36</sup>, while in Chinese population, none or even reverse association were obtained<sup>35,37</sup>. The difference of polymorphisms may probably result from interactions or combined effects with none genetic risk factors. Well-designed studies with larger sample size are needed to validate these findings.

To our knowledge, this is the first report on the variants of rs230496, rs230525 and rs230530 with liver cancer susceptibility. A study in European American descent found

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rs230530 polymorphism associated with alcohol dependence, and the evidence came primarily from those individuals who met criteria for alcoholism earlier<sup>38</sup>. As alcohol is one of the major risk factors of liver cancer, rs230530 might play a role in alcohol associated liver cancer. Unfortunately, subject to the limitation of relatively small sample size, we were not able to explore this issue. In addition, although the functions of intronic SNPs are still obscure, studies have indicated that they can affect either local DNA or RNA secondary structure, thereby regulating gene expression<sup>39,40</sup>

*NFKBIA* gene, which encodes I $\kappa$ B $\alpha$ , the inhibitor of *NFKB1*, was mapped to 14q13 with six exons spanning approximately 3.5kb<sup>41</sup>. As a major component of I $\kappa$ B family, the dysfunction or down regulation of I $\kappa$ B $\alpha$  will lead to over activation of NF- $\kappa$ B. Epidemiological studies on *NFKBIA* were relatively rare. A 2758G/A polymorphism (rs696) in 3' untranslated region might regulate the expression of I $\kappa$ B $\alpha$  and thus affect the activation of NF- $\kappa$ B. Sun et al. found the frequency of AG genotype was increased in Chinese patients  $\geq 50$  years of age (OR=3.06, 95% CI:1.55-6.02) with colorectal cancer<sup>42</sup>. Another study on breast cancer failed to obtain a significant association<sup>15</sup>. There was no previous report on rs696 and risk of liver cancer.

Of the four SNPs of *NFKBIA* gene evaluated, we did not observe a significant association. In previous studies, rs3138053 variant was found to be associated with hepatocellular carcinoma in a Chinese mainland population<sup>29</sup> but not Taiwanese<sup>30</sup>.

There are several strengths of our study. This study was based on two well-designed prospective cohort studies. To the best of our knowledge, it was the first population based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer. All study participants were ethnic Chinese and residents of Shanghai with similar genetic background, which minimized the potential confounding of ethnics. Only incident cases were included which ruled out the possibility of recall and selection bias. Liver cancer cases were carefully verified with multiple approaches which minimized the disease misclassification. Also, we controlled potential confounding variables in the analysis. The limitations of our study should also be noted. Firstly, we focused on only two genes involved in canonical pathway of NF- $\kappa$ B, other regulatory genes in NF- $\kappa$ B signaling pathway may also contribute to the pathogenesis of liver cancer. Secondly, we did not test for HBV infection, HCV infection or aflatoxin exposure, so we cannot rule out the possible confoundings although the presence of HCV infection and aflatoxin are very low in the study population<sup>43</sup>, but we did take into consideration of the participants' history of hepatitis and liver cirrhosis. Finally, due to

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3 the relatively small sample size, the frequencies of some homozygous variants were low  
4 in subgroups therefore reduced the statistical power and limited us from evaluating the  
5 joint effects in stratified analysis. Replication in other studies is needed.  
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8 In summary, in this nested case-control study, we provided additional evidence for  
9 a role of NF- $\kappa$ B SNPs and haplotypes in the etiology of liver cancer. Studies in larger,  
10 varied populations are warranted to confirm these findings. Furthermore, functional  
11 studies are required in order to explore the underlying mechanisms.  
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27 part of funding, drafted the manuscript, analyzed the data and interpreted the results; JG  
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48 collection, statistical analysis and result interpretation, as well as in the writing of the  
49 report and the decision to submit for publication. The corresponding author had full  
50 access to all data in the study and final responsibility for the decision to submit for  
51 publication.  
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58 **Study approval** Institutional review board.  
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3 **Genetic polymorphism of *NFKB1* and *NFKBIA* genes and liver cancer risk: a**  
4 **nested case-control study in Shanghai, China**  
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## ABSTRACT

**Objectives:** Genetic variations of NF- $\kappa$ B signaling pathway were found to be associated with inflammatory diseases and several malignancies. However, little is known about NF- $\kappa$ B pathway gene polymorphisms and susceptibility of liver cancer. The aim of this study was to investigate whether genetic variants of *NFKB1* and *NFKBIA* were associated with risk of liver cancer in a Chinese population.

**Design:** The study was designed as a nested case-control study within two prospective cohorts (the Shanghai Women's Health Study, SWHS, 1996–2000 and the Shanghai Men's Health Study, SMHS, 2002–2006).

**Settings:** This population-based study was conducted in urban Shanghai, China.

**Participants:** A total of 217 incident liver cancer cases diagnosed through December 31, 2009 and 427 healthy controls matched by sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection were included in the study.

**Primary and secondary outcome measures:** Genetic polymorphisms of *NFKB1* and *NFKBIA* were determined by TaqMan SNP genotyping assay blindly. OR and its 95% CIs were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of liver cancer.

**Results:** After adjusted for potential confounding factors, rs28362491 ins/del or del/del genotypes were associated with higher risk of liver cancer with an adjusted OR of 1.54(95%CI: 1.04-2.28). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.53 (95%CI: 1.03-2.26). Haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model. No association was observed between *NFKBIA* variants and risk of live cancer.

**Conclusions:** Our results suggest that genetic variants of *NFKB1* influence liver cancer susceptibility in Chinese population, although replication in other studies is needed.

### Article summary-strengths and limitations of this study

- This study was the first population-based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer.
- Only incident cases from two prospective cohorts were included in the study which ruled out the possibility of recall and selection bias.
- The limitations of the study include relatively small sample size, unmeasured HBV infection, HCV infection and aflatoxin exposure. However, we did take into

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3 consideration of the participants' history of hepatitis and liver cirrhosis, and the  
4 presents of HCV infection and aflatoxin are very low in the study population.  
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## 8 INTRODUCTION

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10 Liver cancer is a common disorder worldwide which ranks the 5th and 7th most  
11 common cancer among men and women. It was estimated that more than 80% liver  
12 cancers occur in developing countries and about 54% occur in China<sup>1</sup>. Among the  
13 main risk factors for liver cancer, chronic infections of hepatitis B virus (HBV) and  
14 hepatitis C virus (HCV) are the most important in humans, accounting for more than  
15 70% of liver cancer cases worldwide<sup>2-4</sup>. Liver cirrhosis, heavy alcohol consumption,  
16 exposure to aflatoxin, and diabetes also account for part of liver cancer occurrence<sup>2-4</sup>.  
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21 Chronic inflammation has been widely accepted to play an important role in  
22 hepatocarcinogenesis. Most of the known risk factors of liver cancer such as HBV,  
23 HCV infection and alcohol drinking can cause persistent inflammatory reaction of the  
24 liver and promote cancer development<sup>5, 6</sup>. However, the molecular and cellular  
25 mechanisms linking inflammation and liver cancer remain unclear. Recent findings  
26 have suggested that NF- $\kappa$ B may play a crucial role in bridging the actions of growth  
27 factors and chronic inflammation to hepatic oncogenesis<sup>7-10</sup>.  
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32 NF- $\kappa$ B, a collection of dimeric transcription factors, was originally identified as  
33 a nuclear factor bound to the enhancer of the immunoglobulin  $\kappa$ -light chain gene<sup>11</sup>  
34 specific to B cells and presents in all cell types<sup>12</sup>. It is a major transcription regulator  
35 of the immune response, cell adhesion, differentiation, proliferation, and apoptosis<sup>13</sup>.  
36 NF- $\kappa$ B dimers are formed by seven distinct proteins: NF- $\kappa$ B1 (p105 and p50),  
37 NF- $\kappa$ B2 (p100 and p52), RelA (p65), RelB and c-Rel, of which NF- $\kappa$ B p50/RelA is  
38 the most common dimer form<sup>9</sup>. In the resting cell, most NF- $\kappa$ B dimers are inactivated  
39 in the cytoplasm by binding to specific inhibitors-I $\kappa$ B family, of which I $\kappa$ B $\alpha$  is the  
40 most common one. In the classical activation pathway, I $\kappa$ B is phosphorylated and  
41 degraded by I $\kappa$ B kinase complex, and then NF- $\kappa$ B dimers are released and translocate  
42 to the nucleus where they coordinate the transcriptional activation of target genes<sup>14</sup>.  
43 Several genetic variations of NF- $\kappa$ B signaling pathway have been reported to be  
44 associated with cancer risks such as breast<sup>15</sup>, prostate<sup>16</sup>, stomach<sup>17</sup>, colorectum<sup>18</sup> and  
45 mouth<sup>19</sup>. However, little is known about role of genetic polymorphisms of NF- $\kappa$ B  
46 genes and susceptibility of liver cancer.  
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56 In a population based case-control study nested in two prospective cohorts of the  
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Shanghai Women's and Men's Health Studies, we investigated the relationships between genetic variants of *NFKB1* and *NFKBIA*, two key genes involved in classic signaling pathway of NF- $\kappa$ B, and the risk of liver cancer among Chinese men and women.

## MATERIAL AND METHODS

### Study population

Participants of this study came from the Shanghai Women's Health Study (SWHS) and Shanghai Men's Health Study (SMHS). The design and methods used in these two studies have been described in detail elsewhere<sup>20-23</sup>. Briefly, the SWHS enrolled 74,941 women aged 40-74 years between March 1, 1997 and May 31, 2000, with a response rate of 92.7%. SMHS enrolled 61,491 men aged 40-74 years without history of cancer at recruitment from April 1, 2002 to June 30, 2006, with a response rate of 74.1%. Both studies were approved by the relevant Institutional Review Boards for human research in China and the United States and a written informed consent was obtained from all participants.

In-person interview was conducted by trained interviewers using a structured questionnaire at baseline to obtain information on demographics, lifestyle, dietary habits, medical history and other characteristics. Anthropometric measurements, including current weight, height and circumferences of the waist and hips, were also measured. Of the eligible participants, 56,831 (75.8%) of the SWHS and 46,332 (75.3%) of the SMHS provided a 10-ml blood sample at baseline. The samples were drawn into an EDTA Vacutainer tube and then kept in a portable styrofoam box with ice packs (at approximately 0-4°C) and processed within 6 hours for long-term storage at -70°C. A bio-specimen collection form was completed for each participant at the time of sample procurement which included the date and time of collection, time of last meal, and date of last menstruation, intake of selected foods, smoking, as well as use of any medications over the previous 24 hours and during the previous week.

### Cohort follow-up and outcome ascertainment

Both cohorts were followed for occurrence of cancer and other chronic diseases by active in-person surveys conducted every 2-3 years as well as annual record linkage to the databases of the population-based Shanghai Cancer Registry, Shanghai Vital Statistics Registry, and Shanghai Resident Registry. For the SWHS, four rounds of in-person follow-ups were completed and the response rates for the first

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3 (2000-2002), second (2002-2004), third (2004-2007), and fourth (2008-2011)  
4 follow-up surveys were 99.8%, 98.7%, 96.7%, and 92.0%, respectively. For the  
5 SMHS, two rounds of follow-up surveys have completed. The response rates for the  
6 first (2004-2008) and second (2008-2011) follow-up surveys were 97.6% and 93.6%,  
7 respectively. For cohort members who developed liver cancer during the follow-up,  
8 medical chart were reviewed by a panel of oncologists to verify the diagnosis. Liver  
9 cancer data through December 31, 2009 was used for the present study.

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Included in this nested case-control study are 217 incident liver cancer cases and 427 matched controls who had donated blood sample. Liver cancer cases were defined as having an International Classification of Disease, Ninth Revision (ICD-9), codes of 155.0 (primary malignant neoplasms), 155.1(malignant neoplasms of the intrahepatic bile ducts), or 155.2 (unspecified malignant neoplasms of the liver)<sup>24</sup>. Two control subjects were randomly selected from the cohorts who donated a blood sample at baseline and matched to each case for sex, age at baseline ( $\pm 2$  years) and date ( $\pm 30$  days) of sample collection. All controls were free of any cancer at the time of cancer diagnosis for the corresponding case.

### Genotyping

Single-nucleotide polymorphisms (SNPs) were selected based on both TagSNP and their putative functional significance. Tagging SNPs were selected by searching the Han Chinese data from the Hapmap project<sup>25</sup>. The following criteria were used to identify tagging SNPs: (i) SNPs located in the genes or within the 5-kb flanking region, (ii) a minor allele frequency  $\geq 0.05$ , and (iii) other unselected single-nucleotide polymorphisms could be captured by one of the tagging SNPs with a linkage disequilibrium of  $r^2 \geq 0.90$ . A total of 8 SNPs were selected for genotyping which were rs28362491, rs230530, rs230525, rs230496 for *NFKBI* and rs3138053, rs3138055, rs2273650, rs696 for *NFKBIA* (table 1). Genomic DNA was extracted from buffycoat using Promega DNA Extraction Kit according to the manufacturer's instructions (Promega Corporation, Madison, WI, USA). Genotyping were performed by the TaqMan assay, using the ABI PRISM 7900HT Sequence Detection System (Applied Biosystems, Foster City, CA, USA), in 384-wellformat, with dual fluorescent reporter probes VIC and FAM.— rs28362491 was genotyped using custom-designed probes and primers. The primer sequences were: 5'-GCCTCCGTGCTGCCT-3'(forward primer), 3'-AGGGAAGCCCCCAGGAA-5'(reverse primer). The probe sequences were: 5'-TTCCCCGACCATTGG-3' (del).



5'-CCGACCATTGATTGG-3' (ins). Other SNPs were genotyped using pre-designed assays (Applied biosystems). The quality and potential misclassification of the genotyping results were assessed by evaluating 5% of duplicate DNA samples that were randomly selected from the whole samples. There replicates were 100% concordant. All serum samples were tested blindly and were identified only by a unique identification number blinded with case-control status.

Table 1. Descriptions of Genetic Polymorphisms of the *NFKB1* and *NFKBIA* genes under investigation

Gene	Assay ID	Sequence	Location
<i>NFKB1</i>	rs28362491	CTCCGTGCTGCCTGCGTTCCCCGACC[-/ATTG]ATTGGGCC CGGCAGGCGCTTCCTGG	5'-near gene
	rs230530	TTTTTAGCACCAAACATCTTAATTT[A/G]CATTCAAATAAA TGAGAACCACCAT	intron
	rs230525	TACGGGAAAAGTGATTCTTGTTTAC[A/G]GAGCCCTCTTT CACAGTTTCATGTT	intron
	rs230496	TGTCTGGATTGCTTGAGACAGCCC[A/G]GTTTGCCCCTG ACCTAATTGTTTAT	intron
<i>NFKBIA</i>	rs3138053	ATTCGTTTATGCTATCTGACCTACA[C/T]TGTGCTCCCGCA GAAAAAGGATCGT	5'-near gene
	rs3138055	AATCAACGGGATGACAGAATGACAA[C/T]GGAGAGGTCT CCAACCACAGGCCAA	3'-near gene
	rs2273650	AACAATACATTATGTACACCATTTA[C/T]AGGAGGGTAAC ACAAACCTTGACAG	3'-UTR
	rs696	CCTACCACAATAAGACGTTTTGGGC[C/T]AGGCAGTGTGC AGTGTGGATATAAG	3'-UTR

### Statistical analysis

Subjects with both survey data and genotyping results were included in the final analysis. Means and percentages of selected characteristics for cases and controls were calculated. The distributions of selected characteristics were compared between cases and controls by either student's *t*-test (continuous variables) or  $\chi^2$  test (categorical variables). Odds ratio and its 95% confidence interval were estimated by unconditional logistic regression model to measure the association between selected SNPs and the risk of primary liver cancer. In the multivariable analysis, potential confounding factors were adjusted for, which include age (continuous variable); education level (four categories: elementary school or less, middle school, high school, and college or above); history of hepatitis (yes or no); family history of liver cancer (yes or no); and history of other chronic liver diseases or cirrhosis (yes or no). Statistical analyses were carried out using the SAS software package (version 9.2; SAS Institute, Cary, NC). Tests for trend were performed by entering categorical

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3 variables as continuous variables in the regression model. All P values were calculated  
4 by two-sided tests and were considered statistically significant if P was less than 0.05.  
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7 Hardy–Weinberg equilibrium and Linkage disequilibrium were accessed with  
8 HaploView version 4.0<sup>26</sup>. Associations between haplotypes and the risk of liver cancer  
9 were evaluated with HAPSTAT version 3.0 using the most common haplotype as the  
10 referent category, assuming an additive model<sup>27</sup>.  
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## RESULTS

Selected baseline characteristics of study participants were presented in table 2. The average ages of cases and control were 59.61 and 59.47. Compared with controls, liver cancer cases were more likely to have a lower education level, a history of hepatitis, a family history of liver cancer in first degree relatives, and history of chronic liver diseases or cirrhosis. Besides, male liver cancer cases were more probably to have lower body mass index, and be a non-regular exerciser compared to controls, although the difference were at borderline significance. Whereas in women, cases were more likely to have a history of type 2 diabetes than controls. No differences were observed in family income, smoking, drinking habits, waist to hip ratio, and family history of other cancers between the two groups.

The associations of *NFKB1* SNPs with liver cancer risk were summarized in table 3. The genotypes of rs28362491, rs230530 and rs230525 showed no deviation from Hardy-Weinberg equilibrium in controls except for rs230496. After adjusted for potential confounding factors, rs28362191 ins/del or del/del genotypes were associated with higher risk of liver cancer with an OR of 1.54(95%CI: 1.04-2.28). rs230496 AG and GG genotypes were also noted with higher risk of liver cancer with an adjusted OR of 1.53 (95%CI: 1.03-2.26). Carriers of rs230525 AG or GG genotypes had about 30% percent increased risk of liver cancer, but the risk was not insignificant. No association was found between rs230530 and liver cancer risk.

Table 4 presents the distribution of *NFKB1A* SNPs in cases and controls. The genotypes of rs3138055, rs696 and rs2273650 showed no deviation from Hardy-Weinberg equilibrium in controls but for rs3138053. Generally, all the four SNPs showed no relationship with liver cancer.

We further analyzed the haplotypes of these SNPs with risk of liver cancer (table 5). For *NFKB1* gene, two SNPs (rs230525, rs230530) demonstrated strong linkage disequilibrium ( $D' = 1.0$ ,  $r^2 = 0.59$ ). Compared to men carrying rs230525-rs230530 AG haplotype, those with GA or AA haplotypes were at increased risk of liver cancer with ORs of 1.46(95%CI: 1.05-2.03) and 1.81(95%CI: 1.15-2.86) in significance, respectively. For *NFKB1A*, rs3138053 and rs2273650 were in linkage disequilibrium ( $D' = 0.97$ ,  $r^2 = 0.31$ ) but none of the haplotypes was significantly associated with liver cancer.

Table 2. Distribution of selected characteristics in the study cases and controls<sup>†</sup>

Characteristics	All subjects			Male			Female		
	Cases (N=217)	Controls (N=427)	P	Cases (N=131)	Controls (N=262)	P	Cases (N=86)	Controls (N=165)	P
Age at interview, Mean±SD,	59.61±9.56	59.47±9.55	0.853	60.05±9.93	59.86±9.95	0.858	58.95±8.98	58.85±8.87	0.928
Education level (%)									
Elementary school or less	63(29.30)	115(27.00)		18(13.95)	35(13.41)		45(52.33)	80(48.48)	
Middle school	69(32.09)	148(37.74)		54(41.86)	104(39.85)		15(17.44)	44(26.67)	
High school	62(28.84)	91(21.36)		41(31.78)	61(23.37)		21(24.42)	30(18.18)	
College or above	21(9.77)	72(16.90)	0.031	16(12.40)	61(23.37)	0.053	5(5.81)	11(6.67)	0.341
Family income (%) <sup>†</sup>									
Low	50(23.04)	90(21.13)		17(12.98)	37(14.12)		33(38.37)	53(32.32)	
Medium	112(51.61)	208(48.83)		76(58.02)	130(49.62)		36(41.86)	78(47.56)	
High	55(25.35)	128(30.05)	0.454	38(29.01)	95(36.26)	0.271	17(19.77)	33(20.12)	0.606
Ever smoked (%)	93(42.86)	173(40.52)	0.569	90(68.70)	163(62.21)	0.206	3(3.49)	10(6.06)	0.384
Ever drank alcohol (%)	45(20.74)	98(22.95)	0.523	42(32.06)	97(37.02)	0.333	3(3.49)	1(0.61)	0.084
Body mass index ,kg/m <sup>2</sup> , Mean±SD	23.79±3.65	24.16±3.31	0.198	23.16±3.25	23.77±2.89	0.06	24.75±4.02	24.78±3.80	0.961
WHR, Mean±SD	0.87±0.07	0.87±0.07	0.936	0.90±0.06	0.90±0.06	0.379	0.82±0.05	0.83±0.06	0.261
Regular physical activity (%)	94(43.32)	207(48.48)	0.215	49(37.40)	124(47.33)	0.062	45(52.33)	83(50.30)	0.761
physical activity, MET-hours/week	81.58±47.12	83.71±43.59	0.570	66.86±40.33	68.00±34.61	0.78	104.00±48.09	108.60±44.83	0.450
History of hepatitis (%)	74(34.10)	25(5.85)	<0.001	57(43.51)	16(6.11)	<0.001	17(19.77)	9(9.45)	<0.001
Family history of cancer (%)	69(31.80)	116(27.17)	0.220	41(31.30)	70(26.72)	0.342	28(32.56)	46(27.88)	0.441
Family history of liver cancer (%)	28(12.90)	18(4.22)	<0.001	20(15.27)	10(3.82)	<0.001	8(9.30)	8(4.85)	0.171
History of type 2 diabetes (%)	25(11.52)	35(8.20)	0.171	14(10.69)	25(9.54)	0.72	11(12.79)	10(6.06)	0.068
History of chronic liver disease or	35(16.13)	11(2.58)	<0.001	26(19.85)	10(3.82)	<0.001	9(10.47)	1(0.61)	<0.001

\* Missing data was excluded from the analysis

<sup>†</sup> Family income level (low income for <5000 yuan/year in the SWHS and <12 000 yuan/year in the SMHS; medium income for 5000 to <10 000 yuan/year in the SWHS and 12 000 to <24 000 yuan/year in the SMHS; and high income for >10 000 yuan/year in the SWHS and >24 000 yuan/year in the SMHS)

Table 3. *NFKB1* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P for $\chi^2$	OR*	95%CI	OR <sup>†</sup>	95%CI	OR <sup>‡</sup>	95%CI
rs28362491									
ins/ins	68	171		1.00	-	1.00	-	1.00	-
ins/del	102	160		1.60	1.10-2.33	1.60	1.10-2.33	1.71	1.13-2.60
del/del	40	79	0.047	1.27	0.79-2.05	1.27	0.79-2.04	1.21	0.71-2.05
<i>P for trend</i>				0.144		0.146		0.233	
ins/del or del/del	142	239	0.023	1.50	1.05-2.12	1.49	1.05-2.12	1.54	1.04-2.28
rs230496									
AA	64	164		1.00	-	1.00	-	1.00	-
AG	101	169		1.53	1.05-2.24	1.53	1.05-2.24	1.68	1.10-2.58
GG	47	91	0.087	1.33	0.84-2.09	1.32	0.84-2.09	1.25	0.75-2.09
<i>P for trend</i>				0.141		0.143		0.235	
AG or GG	148	260	0.041	1.46	1.03-2.08	1.46	1.03-2.08	1.53	1.03-2.26
rs230525									
AA	79	186		1.00	-	1.00	-	1.00	-
AG	102	175		1.38	0.96-1.97	1.38	0.96-1.98	1.46	0.98-2.18
GG	32	63	0.224	1.20	0.73-1.98	1.20	0.73-1.97	1.11	0.63-1.94
<i>P for trend</i>				0.236		0.236		0.347	
AG or GG	134	238	0.100	1.33	0.95-1.87	1.33	0.95-1.87	1.36	0.94-1.99
rs230530									
AA	64	114		1.00	-	1.00	-	1.00	-
AG	99	175		1.01	0.68-1.49	1.01	0.68-1.49	1.05	0.68-1.62
GG	48	129	0.102	0.66	0.42-1.04	0.66	0.42-1.04	0.67	0.40-1.12
<i>P for trend</i>				0.079		0.078		0.132	
AG or GG	147	304	0.423	0.86	0.60-1.24	0.86	0.60-1.24	0.89	0.59-1.34

\*Adjusted for age

<sup>†</sup>Adjusted for age, sex.<sup>‡</sup>Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 4. *NFKB1A* genetic polymorphisms with the risk of primary liver cancer

SNPs	Cases	Controls	P	OR*	OR†	OR‡
rs3138053						
AA	173	336		1.00	-	1.00
AG	21	48		0.85	0.49-1.47	0.84 0.48-1.45
GG	19	40	0.823	0.92	0.52-1.64	0.94 0.52-1.68
<i>P for trend</i>				0.638		0.653 0.920
AG or GG	40	88	0.556	0.88	0.58-1.34	0.88 0.58-1.34 0.97 0.61-1.54
rs3138055						
CC	62	128		1.00	-	1.00
CT	109	215		1.05	0.72-1.54	1.05 0.72-1.54
TT	42	81	0.956	1.07	0.66-1.73	1.07 0.66-1.73
<i>P for trend</i>				0.772		0.771 0.276
CT or TT	151	296	0.778	1.06	0.74-1.51	1.06 0.74-1.52 1.25 0.83-1.88
rs696						
CC	65	149		1.00	-	1.00
CT	115	196		1.35	0.93-1.96	1.35 0.93-1.96
TT	33	76	0.210	0.99	0.60-1.64	0.99 0.60-1.64
<i>P for trend</i>				0.694		0.695 0.360
CT or TT	148	272	0.218	1.25	0.88-1.78	1.25 0.88-1.78 1.38 0.93-2.06
rs2273650						
CC	108	215		1.00	-	1.00
CT	84	173		0.97	0.68-1.37	0.97 0.68-1.37
TT	20	37	0.938	1.08	0.60-1.95	1.07 0.59-1.94
<i>P for trend</i>				0.937		0.945 0.493
CT or TT	104	210	0.933	0.99	0.71-1.38	0.99 0.71-1.37 0.86 0.60-1.24

\*Adjusted for age

† Adjusted for age, sex.

‡ Adjusted for age, sex, education level, family history of liver cancer, history of hepatitis, and chronic liver diseases or cirrhosis.

Table 5. ORs and 95% CIs for liver cancer in relation to *NFKB1/NFKB1A* haplotypes

	All subjects*			Female†			Male†		
	Cases	Controls	OR	Cases	Controls	OR	Cases	Controls	OR
	(%)	(%)		(%)	(%)		(%)		
<i>NFKB1</i> (rs230525-rs230530)	n=215	n=425		n=86	n=165		n=129	n=260	
AG	46.49	52.01	ref	52.14	49.39	ref	42.69	53.53	ref
GA	38.973	35.50	1.23(0.95-1.58)	36.47	36.59	0.94(0.63-1.41)	40.55	34.88	1.46(1.05-2.03)
AA	14.54	12.49	1.30(0.91-1.86)	11.39	14.02	0.77(0.42-1.39)	16.76	11.59	1.81(1.15-2.86)
<i>NFKB1A</i> (rs3138055-rs2273650)									
TC	45.00	43.94	ref	48.24	42.31	ref	43.15	45.14	ref
CT	29.05	28.58	1.00(0.75-1.31)	31.30	31.21	0.88(0.57-1.35)	27.79	27.12	1.07(0.75-1.55)
CC	25.65	27.00	0.93(0.70-1.24)	20.47	26.48	0.68(0.42-1.10)	28.50	26.95	1.11(0.77-1.60)

\* Adjusted for age and sex.

† Adjusted for age

## DISCUSSION

In this nested case-control study, we found that the variants of rs28362491 and rs230496 of *NFKB1* gene might be associated with risk of primary liver cancer. After adjusting for possible confounders, rs28362491 deletion allele and rs230496 AG or GG genotypes were found to increase the risk of liver cancer. In addition, haplotype analysis indicated that carriers of the *NFKB1* GA and AA (rs230525-rs230530) haplotypes had higher risk of liver cancer under additive model, although this association was only observed in man. These findings suggested that variants of NF- $\kappa$ B signaling pathway may play a role in liver cancer susceptibility.

*NFKB1* gene was mapped on chromosome 4q23-q24 and composed of 24 exons<sup>28</sup>. This gene encodes ~~for two proteins p105 and p50~~ p105 and p50 which is a none-DNA binding protein. As an inactive precursor, ~~and is it was~~ activated to p50, a DNA binding protein by proteasome-mediated degradation. Several genetic polymorphisms were defined in *NFKB1* and researches have been focused on a common polymorphism of -94 del/ins (rs28362491) in the promoter region. Recent studies showed that genetic polymorphism of rs28362491 was associated with a number of cancer risks including sporadic breast cancer<sup>15</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, colorectal cancer<sup>18</sup>, and oral cancer<sup>19</sup>, but little is known about its relationship with liver cancer. He and his colleagues conducted a case-control study of 202 HCC cases of HBV carrier and 404 healthy controls without HBV infection. Results showed that after adjusting for age and gender, -94 ins/del and ins/ins genotypes might increase the risk of HCC, with ORs of 1.60 (95%CI:1.01-2.53) and 3.01 (95%CI:1.87-4.85), respectively<sup>29</sup>. A report from Taiwan also found ins allele more prevalent in HCC patients (OR=2.23,95%CI:1.32-3.77)<sup>30</sup>. In our study, we found that ins/del and del/del genotypes were more prevalent in liver cancer cases than controls. It was observed that the association of rs28362491 polymorphism with cancer susceptibility varied with cancer site and study populations. Ins allele was reported to increase the risk of oral cancer<sup>31</sup>, melanoma<sup>32</sup>, prostate cancer<sup>16</sup>, gastric cancer<sup>17</sup>, nasopharyngeal carcinoma<sup>33</sup> and cervical cancer<sup>34</sup>. Two studies in European found del allele might increase the risk of colorectal cancer<sup>35, 36</sup>, while in Chinese population, none or even reverse association were obtained<sup>35, 37</sup>. The difference of polymorphisms may probably result from interactions or combined effects with none genetic risk factors. Well-designed studies with larger sample size are needed to validate these findings.

To our knowledge, this is the first report on the variants of rs230496, rs230525 and rs230530 with liver cancer susceptibility. A study in European American descent found

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rs230530 polymorphism associated with alcohol dependence, and the evidence came primarily from those individuals who met criteria for alcoholism earlier<sup>38</sup>. As alcohol is one of the major risk factors of liver cancer, rs230530 might play a role in alcohol associated liver cancer. Unfortunately, subject to the limitation of relatively small sample size, we were not able to explore this issue. In addition, although the functions of intronic SNPs are still obscure, studies have indicated that they can affect either local DNA or RNA secondary structure, thereby regulating gene expression<sup>39,40</sup>

*NFKB1A* gene, which encodes I $\kappa$ B $\alpha$ , the inhibitor of *NFKB1*, was mapped to 14q13 with six exons spanning approximately 3.5kb<sup>41</sup>. As a major component of I $\kappa$ B family, the dysfunction or down regulation of I $\kappa$ B $\alpha$  will lead to over activation of NF- $\kappa$ B. Epidemiological studies on *NFKB1A* were relatively rare. A 2758G/A polymorphism (rs696) in 3' untranslated region might regulate the expression of I $\kappa$ B $\alpha$  and thus affect the activation of NF- $\kappa$ B. Sun et al. found the frequency of AG genotype was increased in Chinese patients  $\geq 50$  years of age (OR=3.06, 95% CI:1.55-6.02) with colorectal cancer<sup>42</sup>. Another study on breast cancer failed to obtain a significant association<sup>15</sup>. There was no previous report on rs696 and risk of liver cancer.

Of the four SNPs of *NFKB1A* gene evaluated, we did not observe a significant association. In previous studies, rs3138053 variant was found to be associated with hepatocellular carcinoma in a Chinese mainland population<sup>29</sup> but not Taiwanese<sup>30</sup>.

There are several strengths of our study. This study was based on two well-designed prospective cohort studies. To the best of our knowledge, it was the first population based study to evaluate the polymorphic variants of NF- $\kappa$ B and risk of liver cancer. All study participants were ethnic Chinese and residents of Shanghai with similar genetic background, which minimized the potential confounding of ethnics. Only incident cases were included which ruled out the possibility of recall and selection bias. Liver cancer cases were carefully verified with multiple approaches which minimized the disease misclassification. Also, we controlled potential confounding variables in the analysis. The limitations of our study should also be noted. Firstly, we focused on only two genes involved in canonical pathway of NF- $\kappa$ B, other regulatory genes in NF- $\kappa$ B signaling pathway may also contribute to the pathogenesis of liver cancer. Secondly, we did not test for HBV infection, HCV infection or aflatoxin exposure, so we cannot rule out the possible confoundings although the presence of HCV infection and aflatoxin are very low in the study population<sup>43</sup>, but we did take into consideration of the participants' history of hepatitis and liver cirrhosis. Finally, due to

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3 the relatively small sample size, the frequencies of some homozygous variants were low  
4 in subgroups therefore reduced the statistical power and limited us from evaluating the  
5 joint effects in stratified analysis. Replication in other studies is needed.  
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8 In summary, in this nested case-control study, we provided additional evidence for  
9 a role of NF- $\kappa$ B SNPs and haplotypes in the etiology of liver cancer. Studies in larger,  
10 varied populations are warranted to confirm these findings. Furthermore, functional  
11 studies are required in order to explore the underlying mechanisms.  
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24 manuscript, interpreted the results, and also had primary responsibility for the final  
25 content; W Zheng and XOS designed, directed and obtained funding for the parent  
26 cohorts, and contributed to the revisions and interpretation of the results; JG obtained  
27 part of funding, drafted the manuscript, analyzed the data and interpreted the results; JG  
28 and HLX conducted experiments; All authors critically reviewed and approval  
29 manuscript.  
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47 **Competing interests** None. The funding sponsor had no role in the study design, data  
48 collection, statistical analysis and result interpretation, as well as in the writing of the  
49 report and the decision to submit for publication. The corresponding author had full  
50 access to all data in the study and final responsibility for the decision to submit for  
51 publication.  
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58 **Study approval** Institutional review board.  
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**Ethics approval** Vanderbilt University IRB and Shanghai Cancer Institute IRB.

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data sharing statement** No additional data are available.

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STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1-3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2, 3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4, 5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4, 5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4, 5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	5
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	4-6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	9
		(d) If applicable, explain how loss to follow-up was addressed	4-5
		(e) Describe any sensitivity analyses	N/A
<b>Results</b>			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	5, 9
		(b) Give reasons for non-participation at each stage	4-5
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	8, 9
		(b) Indicate number of participants with missing data for each variable of interest	9-12
		(c) Summarise follow-up time (eg, average and total amount)	4-5
Outcome data	15*	Report numbers of outcome events or summary measures over time	5

1 2 3 4 5 6 7 8 9 10	Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	6,7, 9-12
11 12 13			(b) Report category boundaries when continuous variables were categorized	9
14 15 16 17 18 19			(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
20 21 22 23 24	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	12
25	<b>Discussion</b>			
26 27 28 29	Key results	18	Summarise key results with reference to study objectives	13-15
30 31 32 33 34	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14-15
35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	15
	Generalisability	21	Discuss the generalisability (external validity) of the study results	13, 14
	<b>Other information</b>			
	Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	15

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).