## High-Bandwidth Protein Analysis Using Solid-State Nanopores

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ABSTRACT High-bandwidth measurements of the ion current through hafnium oxide and silicon nitride nanopores allow the analysis of sub-30 kD protein molecules with unprecedented time resolution and detection efficiency. Measured capture rates suggest that at moderate transmembrane bias values, a substantial fraction of protein translocation events are detected. Our dwell-time resolution of 2.5  $\mu$ s enables translocation time distributions to be fit to a first-passage time distribution derived from a 1D diffusion-drift model. The fits yield drift velocities that scale linearly with voltage, consistent with an electrophoretic process. Further, protein diffusion constants (*D*) are lower than the bulk diffusion constants (*D*<sub>0</sub>) by a factor of ~50, and are voltage-independent in the regime tested. We reason that deviations of *D* from *D*<sub>0</sub> are a result of confinement-driven pore/protein interactions, previously observed in porous systems. A straightforward Kramers model for this inhibited diffusion points to 9- to 12-kJ/mol interactions of the proteins with the nanopore. Reduction of  $\mu$  and *D* are found to be material-dependent. Comparison of current-blockage levels of each protein yields volumetric information for the two proteins that is in good agreement with dynamic light scattering measurements. Finally, detection of a protein-protein complex is achieved.

#### INTRODUCTION

Nanopore sensors have recently emerged as popular tools for structural analysis of proteins and their complexes at the single-molecule level (1). Although a wide palette of established techniques is available for protein analysis, many analytical techniques require chemical modifications that can alter a protein's structure and/or properties. In contrast, chemical modification is not a prerequisite for nanopore-based analysis. In this method, individual proteins and their complexes are analyzed in solution by monitoring stochastic blockades in the ionic current signal through the pore. Stochastic nanopore sensing has been developed by Bayley et al. for measurements of the interaction kinetics and thermodynamics of protein complexes using  $\alpha$ -hemolysin pores (2,3). Stochastic sensing of proteins has also been demonstrated by Martin et al. using synthetic pores (4,5). In other studies, biological and solid-state nanopores have been used to probe polypeptide structure and kinetics (6-9), protein folding/unfolding (10-16), protein charge (17,18), protein size (19,20), protein sequence (21), prion structure (22), and proteinaptamer interactions (23). These studies clearly suggest that nanopores are a valuable tool for protein studies at the single-molecule level.

Numerous protein detection studies using synthetic nanopores in the diameter range 8-55 nm have been reported recently (13,17–19,24,25). A general problem has precipitated from these works: protein molecules translocate far too quickly to be detected. In their recent survey of protein

Editor: Hagan Bayley. © 2014 by the Biophysical Society 0006-3495/14/02/0696/9 \$2.00 translocation through synthetic nanopores, Plesa et al. concluded that mean protein translocation times (~1  $\mu$ s) are faster than detection time limits, which leaves the vast majority of protein translocation events undetected (26). To provide a rationale for these missed events, Plesa and co-workers simulated protein translocation-time distributions derived from 1D drift-diffusion models previously used in DNA and protein translocation studies (13,27–29), using

$$P(t) = \left( h_{eff} / \left( 4\pi D t^3 \right)^{1/2} \right) e^{-\left( h_{eff} - vt \right)^2 / 4Dt},$$
(1)

where  $h_{eff}$  is the effective height of the pore, D is the protein diffusion constant, and v is the protein drift velocity. Although it can be argued that this 1D model is oversimplified, the model is attractive because it is analytically solvable, allowing biophysical parameters, namely D and v, to be extracted from a particular experiment (30). Using bulk D and v values, Plesa estimated that only the slowest ~0.1% of translocations of sub-100 kD proteins are observed using >10-nm-diameter synthetic pores and current amplifiers with 10 kHz bandwidths. Indeed, in the first report of protein translocations by Talaga et al., both the D and v values obtained from their fits were three orders of magnitude smaller than their bulk values (i.e., in free solution) (13), as the authors point out. Clearly, insufficient measurement time resolutions present a severe technical obstacle in nanopore-based protein analysis by greatly distorting the obtained experimental values of D and v. Several studies using solidstate nanopores (31) and glass capillaries (32) have since confirmed the importance of increasing time resolution

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by demonstrating measurements at increasing filter bandwidths up to 100 kHz.

Further evidence for insufficient time resolution is borne by inspection of the observed capture rates of the proteins. The flux of protein arrival into an absorbing pore, J, can be approximated by a diffusion-drift model (30),

$$J = R_C/C_0 = -D_0 \nabla C/C_0 + \mu E(r), \qquad (2)$$

where  $R_C$  is the measured capture rate,  $C_0$  is the bulk protein concentration in the chamber,  $D_0$  is the bulk diffusion coefficient of the protein, del(C) is the concentrationgradient profile,  $\mu$  is the electrophoretic mobility of the protein, and E(r) is the electric field as a function of distance r from the pore. The first and second flux terms in Eq. 2 characterize protein diffusion down a concentration gradient and protein drift, respectively, under bias. Although the diffusive term dominates under low-field conditions (i.e., small applied bias), the drift term dominates under nanopore experimental conditions, and should linearly depend on the applied bias. Another approach to describe protein capture is the Smoluchowski rate equation,  $J = R_C/C_0 = 2\pi D_0 r$ , where r is effectively the radius of an absorbing hemisphere that extends from the pore mouth (33). For DNA capture into pores, values of rmuch greater than the pore radius were found (34). Using a similar argument for proteins, a recent compilation of experimental capture rates in the molecular weight range 10-50 kD concluded that observed fluxes are factors of  $10^3 - 10^4$  lower than the Smoluchowski rate prediction (26). This points to an overwhelming fraction of missed events in nanopore-based protein analysis experiments, issues that in principle can be resolved by increasing the measurement time resolution and protein dwell times. Modifying the experimental parameters, i.e., the pore surface charge (35), and increasing the solution viscosity (36) can increase molecular transport times, although these approaches could potentially compromise the resolution and sensitivity to protein molecules by inhibiting the protein flux into the pore.

Recently, new amplifiers for high-bandwidth current measurements through nanopores have been developed (37,38), and their utility for detecting small nucleic acid biomolecules at bandwidths of >100 kHz has been demonstrated (39,40). The ability to detect small biomolecules in these works is due to a combination of high-bandwidth current detection, the use of small pores, which enhances molecule/pore interactions by increasing molecular confinement within the pore during its transport, and the use of pores in membranes that are <10 nm thick which enhances the signal/noise of the measurement (41).

In this work, we show that high-bandwidth current measurements through nanopores in ultrathin hafnium oxide  $(HfO_2)$  and silicon nitride (SiN) membranes can be used to efficiently detect sub-30 kD proteins. We compare the transport of two positively charged proteins, proteinase K (ProtK; 28.9 kD, pI 8.9) (42) and RNase A (RNase, 13.7 kD, pI 9.6) (43), through HfO<sub>2</sub> and SiN nanopores with similar geometries: a diameter of d = 5.2 nm and effective pore height of  $h_{eff} = 7$  nm for the HfO<sub>2</sub> pore, and d = 4.8 nm and  $h_{eff} = 6.2$  nm for the SiN pore (see the Supporting Material). Fig. 1 a shows an idealized ProtK molecule in flight through a 5-nm-diameter nanopore drawn approximately to scale (electrodes not to scale). To maximize confinement of the proteins that reduce protein mobility within the pore, pore diameters were chosen such that  $d_p/d_h < 1.5$ , where  $d_h$  is the protein's hydrodynamic diameter. Upon the addition of ProtK molecules to the *cis* chamber of the nanopore cell (to which the ground electrode is connected), application of -125 mV to the trans chamber results in spikes that indicate stochastic protein passage through the pore, as seen in the current trace in Fig. 1 b. Analysis of the current versus time trace yields the concatenated set of events shown in the figure. Using a 250 kHz bandwidth, 2- to 2.5-µs minimum dwell times are detectable.



FIGURE 1 Protein detection using small solid-state nanopores. (*a*) Space-filling models of ProtK molecules electrophoretically driven through a 5-nm-diameter pore (electrodes not drawn to scale). (*Inset*) TEM image of a HfO<sub>2</sub> pore used in these experiments. (*b*) Continuous 0.5 s current versus time trace of a 69 nM ProtK solution at V = -125 mV (data sampled at 4.19 MHz and digitally low-pass-filtered at 250 kHz). Concatenated set of analyzed events is shown on right (*black*), along with OpenNanopore rectangular fits (*red*). Dwell times for a selection of magnified events are indicated. To see this figure in color, go online.

#### MATERIALS AND METHODS

#### **Experimental setup**

Ultrathin HfO2 membranes and nanopores in these membranes were fabricated as outlined in a previous study that demonstrated exceptional pore stability over many hours of experiments (40). Similar-sized ultrathin SiN pores (41) were fabricated for comparison using previously reported methods. Before the experiments, pores were treated with heated piranha (3:1 H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O<sub>2</sub>), followed by hot deionized water. Nanopore chips were then dried under vacuum, assembled in a PTFE cell, and sealed by painting with a quick-curing silicone elastomer gasket to reduce the capacitance to <100 pF (44). Electrolyte solution was then flowed using a syringe to hydrate both chambers (1 M KCl, 10 mM Tris, buffered to pH 8.1). Proteins were added to the cis chamber and thoroughly mixed to the indicated final concentrations. ProtK and RNase A samples were purchased from New England BioLabs (Ipswich, MA) or Thermo Scientific (Waltham, MA). All experiments were carried out at ambient room temperatures (23-25°C). For control experiments with DNA, 100 bp DNA (Fermentas NoLimits, Thermo Scientific) was added to the cis chamber to a final concentration of 500 nM.

#### Data collection and processing

Nanopore current signal was acquired continuously and digitally at a rate of 4.19 MS/s using a Chimera Instruments (New York, NY) amplifier (39). Before the introduction of a protein sample, several seconds of current data were collected at multiple voltages ( $\Delta V$ ) to verify the pore's stability by checking that no spikes are observed. Data were digitally low-pass filtered at 250 kHz unless otherwise indicated, and subsequently analyzed using OpenNanopore, an open source translocation analysis software package developed by the Radenovic Group at EPFL (45). The code was modified to allow data import from the Chimera Intruments format. OpenNanopore fits each translocation event with a rectangular pulse (see Fig. 1 b and Supporting Material). Three key parameters are extracted from the data: the dwell time,  $t_d$ , which is the spike duration corresponding to the residence time of the protein in the pore; the current blockage,  $\Delta I$ , which is the mean current excluded from the pore by the analyte; and the interevent waiting time,  $\delta t$ , from which protein capture rates are extracted.

#### **RESULTS AND DISCUSSION**

#### **Protein capture**

As shown in Fig. 1 b, the addition of protein molecules to the *cis* chamber results in a stochastic set of current spikes that correspond to the stochastic presence of the protein in the pore. Fig. 2, a and b, shows representative snapshot current traces in the voltage range  $\pm 200$  mV for ProtK and RNase, respectively. For both proteins, spikes appear only for negative voltages; the rare spikes at positive voltages are due to contamination. Another observation from the snapshot traces is that the spike rate increases with voltage magnitude, after which rates decrease for increasing voltages.

Although the pore/amplifier combination used here can detect shorter current pulses from proteins compared to combinations used in prior studies, our minimum time resolution of ~2.5  $\mu$ s also proves insufficient to detect all protein translocation events. This is illustrated in Fig. 2, *c* and *d*, which shows dwell time versus fractional current



FIGURE 2 (*a* and *b*) Snapshot current traces for RNase (*a*) and ProtK (*b*) at various voltages in the range +200 mV to -200 mV ([KCI] = 1 M, pH 8.1,  $T = 25^{\circ}$ C, low-pass filtered at 125 kHz for presentation only). (*Insets*) Current spikes for V < 0, indicating positive charges for both proteins. (*c* and *d*) Dwell time versus fractional current scatterplots for ProtK and RNase at the voltages indicated. Detected events become faster with voltage, and bandwidth limitations are clearly seen by arrows I (*red*) and II (*green*), where the ProtK population is more cut off by the 2.5  $\mu$ s time resolution at -150 mV than at -125 mV, respectively. To see this figure in color, go online.

 $(\Delta I/I_0)$  scatterplots for ProtK and RNase at voltages in the range 75–200 mV, as indicated in the individual plots. Each datapoint on the scatterplot represents  $\Delta I/I_0$  and  $t_d$  values for a single protein event. The scatterplots indicate

a transition to being sharply bandwidth-limited for  $|\Delta V| > 150$  mV. This is indicated by the straight-line cutoff at  $t_d \sim 2.5 \,\mu$ s. At this voltage, a majority of events have dwell times that are too short for our time resolution. For  $|\Delta V| < 150$  mV, however, the data appear significantly less bandwidth-limited, as we observe a bounded distribution instead of a strict cutoff (compare red and green arrows in Fig. 2 *c*). We also see that for the smaller of the two proteins, RNase, events are faster than ProtK events for similar voltages.

A quantitative representation is provided in Fig. 3 a, where normalized capture rates,  $R_C/C_0$ , are plotted for both proteins at various applied voltages. As shown in Eq. 2, flux is expected to be diffusion-dominated at a low bias and drift-dominated at increasing bias. As is common in nanopore experiments, we extracted  $R_C$  values from single-exponential decay fits to our first arrival time distributions (46). Due to signal/noise limitations, we are not able to access the diffusion-dominated regime for protein capture that dominates at  $|\Delta V| \sim 0$  (first term in Eq. 2). However, for both proteins, we find that  $R_C/C_0$  increases linearly with voltage, then saturates and gradually declines at voltages above  $|\Delta V| > 125$  mV. Although this linear increase is expected in the drift-dominated regime (the second term in Eq. 2), declines in capture rates for higher voltages were not expected. However, judging from the shapes of the scatterplots in Fig. 2 for high voltages, these declines are clearly due to experimental limitations: as voltage increases, proteins traverse the pore with higher velocity, and more and more fast events are missed by our detector, resulting in smaller apparent capture rates.



FIGURE 3 (a) Normalized mean capture rates in a 5.2-nm-diameter  $HfO_2$  pore as a function of V. (b) Corresponding Smoluchowski-based capture radii for both proteins (see text). To see this figure in color, go online.

To check whether our obtained protein capture rates are reasonable, we calculate from Smoluchowski-based protein arrival rates the effective radius of an absorbing hemisphere outside the nanopore. Rearranging the Smoluchowski rate equation, we calculate the effective radius,  $r_{c}^{*}$ , of a perfectly absorbing pore, i.e.,  $r_{c}^{*} = R_{c}/$  $(2\pi D_0 C_0)$ . We find that  $r_C^* > r_p$  (Fig. 3 b, red dashed line) for all voltage values. The rationale for this result comes from the fact that the applied voltage creates an electric field outside the pore that assists the capture of charged biomolecules. Using a similar argument as for DNA (47), as a protein molecule undergoes Brownian diffusion near the pore, at some distance, r, from the pore, the electrophoretic drift velocity term overweighs the diffusional velocity term, i.e.,  $\mu_0 E > 2D_0/r$  (where  $2D_0/r$  is the diffusional velocity term in the 1D direction opposite to the pore direction). This is depicted in the inset of Fig. 3 b, where  $r_c$  is the hemisphere around which diffusional and electrophoretic terms are equal. Remarkably, our finding that  $r_C^* > r_p$  is the first such result, to our knowledge, among protein detection works reported in the literature. Although  $r_{C}^{*}$  increases for increasing voltages at  $|\Delta V| < 125$  mV because of an increasing drift component outside the pore, it decreases with increasing voltage because of increasingly fast events. For comparison, in the work by Plesa et al., for proteins similar in size to those in our study, capture radii were found to be three to four orders of magnitude smaller than the pore radius (26)! These infinitesimal effective radii are a combined result of insufficient bandwidth and the use of pore sizes larger than those used in our study, as discussed in the Introduction.

#### **Protein transport**

Our investigation of the capture process revealed efficient protein detection. However, it remains to be seen whether our obtained dwell-time distributions are sufficient to distinguish differences in translocation patterns between two proteins. Following the work of others (13,28), with a minor correction (29.30), we fit dwell-time distributions to a first-passage-time distribution derived from the 1D drift-diffusion model of Eq. 1, where  $h_{eff}$  is the effective height of the pore, 7 nm in this case (see the Supporting Material), D is the diffusion coefficient of the protein during its transport through the pore, and v is the drift velocity of the protein. A naive value to use for D is its bulk-solution diffusion constant,  $D_0$ , which can be estimated using available models to be 87.5 nm<sup>2</sup>/ $\mu$ s and 124 nm<sup>2</sup>/ $\mu$ s for ProtK and RNase, respectively (48). However, prior studies of protein diffusion through porous media have yielded diffusion constants that are reduced significantly compared to bulk values. An empirical equation developed by Renkin for protein diffusion in a porous medium is given by (49,50)

$$D = D_0 (1 - r_s/r_p)^2 \Big[ 1 - 2.104 (r_s/r_p) + 2.09 (r_s/r_p)^3 - 0.95 (r_s/r_p)^5 \Big],$$
(3)

where *D* is the diffusion constant of the protein in the pore,  $D_0$  is its diffusion constant in free solution,  $r_s$  is the Stokes radius of the protein, and  $r_p$  is the pore radius. We stress that Eq. 3 is only valid in the regime  $r_s/r_p < 0.4$ , i.e., for pores that are at least 2.5 times larger than the proteins (51,52). Although the Renkin equation is clearly not valid for estimating *D* in our experiments ( $r_s/r_p \sim 0.8-0.9$ ), a gross estimation of *D* using measured values for  $r_s$  (see the Supporting Material) reveals that *D* can be reduced in our pores by as much as two to three orders of magnitude for our proteins.

We point to the Renkin equation, which has been experimentally validated (53), to illustrate that there is no reason to expect D to be equal to its bulk value in the nanopore. In addition, our data shown in Fig. 2 and the data summary in Fig. 3 point to efficient protein detection, indicating that we are capturing a substantial portion of the dwell-time distributions. Therefore, rather than using bulk D values for fitting dwell-time distributions to Eq. 1, we have allowed both D and v to be free parameters. Sample dwell-time distributions for both ProtK and RNase are shown in Fig. 4, a and b, respectively, along with fits to the distributions for both free (calculated, D) and fixed (least-squares optimized,  $D_0$ ) values (see the Supporting Material for complete sets of distributions). We note that the dwell-time distributions show that the durations of some events faster than 2.5  $\mu$ s may be overestimated, resulting in pile-up in the briefest-histogram time bins. Upon integration of the fits to Eq. 1, we can compute the estimated fraction of detected events  $(F_{obs})$  in each experiment, which ranged from 70% to 90% for ProtK and from 30% to 80% for RNase (see the Supporting Material). Our optimized fits yielded  $D = 2.0 \pm 0.2 \text{ nm}^2/\mu \text{s}$  for ProtK at all voltages in the range 75-200 mV, smaller by a factor of ~44 than its bulk  $D_0$  value of 87.5 nm<sup>2</sup>/ $\mu$ s. For RNase, we obtained a consistent value of  $D = 2.5 \pm 1.3 \text{ nm}^2/\mu s$ , smaller by a factor of ~50 than its bulk value of  $D_0 =$  $124 \text{ nm}^2/\mu \text{s}$  (48).

In addition to extracting the values of *D*, our fits yield values for the drift velocity, *v*. Fig. 4, *c* and *d*, shows extracted diffusion coefficients (*D*) and drift velocities (*v*), respectively, with voltage for ProtK and RNase passing through the same HfO<sub>2</sub> pore. Linear dependence was found for both proteins, as expected for an electrophoretic transport process where  $v = \mu E$ . Assuming that the applied voltage drops entirely across the length of the pore, i.e.,  $E \approx |\Delta V|/h_{eff}$ , multiplying the slopes of the lines in Fig. 4 *d* by  $h_{eff}$  yields in-pore electrophoretic mobilities of  $\mu_{RNase} =$  $80 \pm 2 \text{ nm}^2 \mu \text{s}^{-1} \text{V}^{-1}$  and  $\mu_{ProtK} = 60 \pm 3 \text{ nm}^2 \mu \text{s}^{-1} \text{V}^{-1}$ . Finally, when these mobilities are compared to a 100 bp double-stranded DNA molecule tested through an HfO<sub>2</sub>



FIGURE 4 (*a* and *b*) Dwell-time distributions for ProtK (*a*) and RNase (*b*) at selected voltages, along with fits to Eq. 1 with *D* and *v* as free parameters (*black line*) and constrained fits for bulk  $D_0$  values (*dashed red line*). Missed regimes shaded red in distributions. (*c*) Diffusion coefficients (*D*) obtained for the proteins from the fits to Eq. 1. (*d*) Drift velocity (*v*) versus voltage (*V*) for ProtK, RNase, and 100 bp dsDNA. Linear fits are used to extract electrophoretic mobility ( $\mu$ ) values, as indicated in legend. DNA data are scaled by 0.5×, and dashed line represents resolution limits of our system (see text). To see this figure in color, go online.

pore with a geometry nearly the same as that of the nanopore used in this study (d = 5 nm,  $h_{eff} = 7 \text{ nm}$ ; see the Supporting Material), DNA mobility was  $350 \pm 14 \text{ nm}^2 \mu \text{s}^{-1} \text{V}^{-1}$ , approximately four times larger than for the proteins (see Fig. 4 *d*). In addition, *D* values for the DNA molecule were in the range 4–7 nm<sup>2</sup>/ $\mu$ s. Although this value for DNA mobility is larger than for the proteins due to its increased charge density, it is still ~100 times smaller than the free solution drift velocity for 100 bp DNA  $(3.6-4.5 \times 10^4 \text{ nm}^2 \mu \text{s}^{-1} \text{V}^{-1})$  (54), indicating restricted mobility through the pore due to confinement and wall interactions (40). Finally, determination of the drift velocity for DNA at voltages >200 mV proved challenging due to the insufficient time resolution of our system: with a contour length of ~30 nm for 100 bp DNA and a 2.5  $\mu$ s time resolution, detection of drift velocities >12 nm/ $\mu$ s is difficult (Fig. 4 *d*, red dashed line).

Generally, the connection between the mobility and the diffusion constant of a charged object is given by the Einstein-Smoluchowski relation  $(qD = \mu k_B T)$ . Given this relationship and prior evidence of reduced D in porous systems, we suggest that a possible mechanism for the reduced D is pore-protein interaction. For a molecule to move a distance comparable to its diameter along the pore, we assume that it hops over a free energy barrier, U. Furthermore, we take U as the net binding energy between the protein molecule and the pore. During transport of a protein molecule through a small pore, interactions with the pore walls may effectively inhibit the diffusion coefficient, D, within the pore from its bulk value  $(D_0)$  according to

$$D = D_0 e^{-U/k_B T}.$$
 (4)

In this simplistic Kramers model, D is reduced by interactions with the pore, yet confinement effects are assumed to be independent of applied voltage. This assumption is reasonable for a weakly charged protein in the lowvoltage regime. Solving for U using the reduced D values of 2.0  $\pm$  0.2 nm<sup>2</sup>/ $\mu$ s and 2.5  $\pm$  1.3 nm<sup>2</sup>/ $\mu$ s for ProtK and RNase, respectively, we obtain protein-pore interaction energies of 9  $\pm$  0.25 kJ/mol for ProtK and 9.5  $\pm$ 1.3 kJ/mol for RNase. In a similar way, for the SiN pore, our obtained D values of 1.4  $\pm$  0.5 nm<sup>2</sup>/ $\mu$ s and  $0.9 \pm 0.3 \text{ nm}^2/\mu s$  for ProtK and RNase yield interaction energies of 10  $\pm$  0.9 kJ/mol and 12  $\pm$  0.8 kJ/mol, respectively (see the Supporting Material). Remarkably, irrespective of the pore material, D values were nearly 50 times smaller than their bulk  $D_0$  values (as indicated above).

As stated previously, our presented model in Eq. 4 assumes independence of *D* from applied voltage. Furthermore, in our fit of dwell-time distributions to Eq. 1, *D* and *v* were both free parameters, and no assumptions or constraints were imposed on their limits or trend. To investigate this, we present in Fig. 5 a scatterplot of calculated mobilities,  $\mu^*$ , for each experiment conducted (given by  $vh_{eff}/\Delta V$ ) versus obtained *D* values. Data are included from several nanopores of similar dimensions, as indicated in the legend. Apart from a single data point corresponding to RNase at -75 mV (Fig. 5, *red dashed circle*), our data show *D* and  $\mu^*$  values that are robust to within 50% for each pore, which does not contradict our model in Eq. 4.



FIGURE 5 Scatterplots of in-pore electrophoretic mobilities, calculated for each experiment as  $\mu^* = v h_{eff} / \Delta V$ , versus in-pore diffusion coefficients, *D* (where *D* and *v*, are extracted from two-parameter fits to Eq. 1; see text). Compiled results for experiments in different HfO<sub>2</sub> and SiN pores and different voltages are shown. Apart from an outlier for RNase at -75 mV (*dashed circle*), the data suggest voltage-independent values of  $\mu$  and *D* in the range tested. To see this figure in color, go online.

#### Protein volume measurements

Extracting volumetric information using resistive pulse measurements is a decades-old technique. In the 1970s, DeBlois and Bean developed a quantitative theory for obtaining volumetric particle information from resistive pulse data (55). Since then, Ito et al. (56) have applied this theory for measuring particle diameters that are a factor of 2-3 smaller than the pore diameter. Later, Han et al. (57) applied the theory for measuring proteins that are a factor of 4-5 smaller than the pore diameter. Borrowing on this theory, protein diameters can be estimated from the current-blockage data based on

$$d_m \cong \left[ (\Delta I / I_O) \left( h_{eff} + 0.8 d_p \right) d_p^2 \right]^{1/3}, \tag{5}$$

where  $d_m$  is the protein diameter,  $d_p$  is the pore diameter, and  $\Delta I/I_0$  is the ratio of the statistical mean blocked current for each experiment to the mean open-pore current level, respectively. Note that the term  $(h_{eff} + 0.8d_p)$  is used as a correction factor, because in our case  $d_p \approx h_{eff}$  (55).

Distributions of  $\Delta I/I_0$  for both ProtK and RNase at  $\Delta V = -125$  mV are shown in Fig. 6 for two different experiments in two pores of different composition but similar geometry. For the HfO<sub>2</sub> pore studied (Fig. 6 *a*), we observed two distinct populations, with a minor population (5–10%) attributed to stuck or aggregated proteins occurring at higher



FIGURE 6 Volumetric measurement of proteins using nanopores. (*a*) Fractional current amplitude distributions for RNase and ProtK in an  $HfO_2$  pore at -125 mV. (*b*) Fractional current blockage for the same proteins in an SiN pore (*upper*), and PDB-based cartoons of the proteins and their corresponding Vorlume-based solvent-accessible volumes. When the proteins are mixed at equal stoichiometry, a third peak with a deeper fractional blockage emerges, corresponding to an RNase/ProtK complex (*red*, *lower*). To see this figure in color, go online.

 $\Delta I/I_0$  values. Therefore, double-Gaussian distributions were used to fit to the  $\Delta I/I_0$  distributions, although the mean  $\pm$ SE width of the  $\Delta I/I_0$  dominant distributions were used for our calculations. Volumes of the proteins based on a Vorlume solvent-accessible model are also indicated in the figure, along with to-scale Protein Data Bank structures (58). Applying Eq. 5, our measurements yield 4.8  $\pm$ 0.45 nm and 4.1  $\pm$  0.45 nm for ProtK and RNase, respectively. For comparison with the SiN pore, our measurements yield 4.6  $\pm$  0.35 nm and 4.2  $\pm$  0.35 nm for ProtK and RNase, respectively. Error bars for these calculations were based on the half-width at half-maximum (HWHM) for the distributions. Independent measurements of the hydrodynamic radii (see the Supporting Material) yielded  $4.76 \pm 0.05$  nm and  $3.68 \pm 0.03$  nm for ProtK and RNase, respectively (see the Supporting Material). We were somewhat surprised to find that these values are within the error of our nanopore measurements. Moreover, the derived volumes deviate in the two pores by 5% for both ProtK and RNase, which can be attributed to subtle differences in nanopore geometry. Further, considering the small differences in geometry between the two pores, it is evident that pore material composition does not significantly impact volumetric measurement.

Further confirmation of our volumetric measurements is given by our pore-based detection of a ProtK/RNase complex. To obtain the complex, we have added to the cis chamber a preformed sample of ProtK and RNase with 1:1 stoichiometry. Although the two proteins are both positively charged, the complex is known to form, as RNase is a common substrate for ProtK-based RNase digestion. After confirmation using dynamic light-scattering experiments that the complex forms at 1 M KCl (see the Supporting Material), the nanopore experiments shown in Fig. 6 breveal a third  $\Delta I/I_0$  level that is deeper than the levels of the individual proteins. Since complex formation is not quantitative, some uncomplexed proteins may be present in the sample, and the population fits well to a three-Gaussian distribution (see Fig. 6 b, red curve), although the dominant population represents the complex. For the complex, we obtain D and v values of 0.04  $\pm$  0.006 nm<sup>2</sup>/  $\mu$ s and 1  $\pm$  0.9 nm<sup>2</sup>  $\mu$ s<sup>-1</sup> V<sup>-1</sup>, both parameters over an order of magnitude smaller than the corresponding values for the isolated proteins (see the Supporting Material). This can be explained by the fact that the complex is slightly larger than the pore dimensions, which sterically retards its transport through the pore. Although previous studies have yielded translocation signatures of complexed or aggregated proteins, to our knowledge this is the first to observe a completely separate population due to a protein complex (20,57,59).

#### CONCLUSIONS

We have demonstrated here that by combining a high-bandwidth current measurement platform with small and ultrathin solid-state nanopores, sub-30 kDa proteins and their complexes can be efficiently detected and analyzed. ProtK and RNase A, two proteins of different molecular weight and isoelectric points, were compared in this study both to each other and to a complex of the two proteins. A comparison of our capture-rate data to results summarized by Plesa et al reveals an effective capture radius that is larger than the pore diameter, indicating efficient detection that worsens above a critical voltage of -125 mV due to bandwidth limitations. Application of a 1D diffusion-drift model to the dwell-time distributions revealed distinctly different in-pore electrophoretic mobilities and diffusion coefficients for the two proteins. Diffusion is reduced by nearly two orders of magnitude in the confinement of the pore, in qualitative agreement with Renkin theory. We have suggested a straightforward Kramers model that accounts for reduced diffusion via interaction of the protein with the pore. Our model yields similar energies of interaction on the order of 10 kJ/mol for similar-geometry pores fabricated in SiN and HfO<sub>2</sub>. Further, in-pore electrophoretic mobilities extracted for the two proteins consistently reveal higher mobilities for RNase than for ProtK, as confirmed in SiN and HfO<sub>2</sub> pores. However, mobility values are reduced by one to two orders of magnitude for all biomolecules tested here, as also found in DNA transport through larger pores to be due to hydrodynamic forces (60). Electro-osmotic flow (EOF) can indeed impact translocation, and it was previously observed to be the driving factor for protein translocation, even against electrophoresis (18). However, similar to SiN pores, at the pH in our experiment, HfO<sub>2</sub> has a weak negative surface-charge density ( $<0.02 \text{ C/m}^2$ ) (61), which corresponds to  $<0.15 \ e/nm^2$ . We therefore expect convective effects from electro-osmosis to be insignificant compared to electrophoretic forces on sample proteins. Further, although varying the pH can be used to assess more quantitatively the charge properties of the protein (57), such methods also affect the charge properties of the pore (18), which needs to be independently investigated. Finally, volumetric measurements of the two proteins using Coulter theory developed by DeBlois and Bean show that measured protein diameters coincide well with hydrodynamic diameters obtained from dynamic lightscattering measurements. Although further experiments with various proteins are necessary to establish the robustness of this volumetric measurement, discrimination of isolated proteins from their RNase/ProtK complexes highlights the protein size sensitivity of our measurements. Future experiments may focus on measurement of other proteins, detection of conformational changes in proteins, the effects of electrolyte strength and pH on the measurements, and strategies to reduce the capacitanceinduced noise of our membranes to enable higher-bandwidth measurements.

#### SUPPORTING MATERIAL

Six figures and Supporting Methods and Analysis are available at http://www.biophysj.org/biophysj/supplemental/S0006-3495(13)05817-7.

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## Supporting Material

## High Bandwidth Protein Analysis Using Solid-State Nanopores

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**SM1.** Data analysis details Current traces were analyzed using OpenNanopore, an open source translocation software package developed by the Radenovic Group at EPFL.(1) The code was modified to allow data importing from Chimera Instruments format. OpenNanopore fits each translocation event with a rectangular pulse, as shown in Figure S1, and extracts three parameters for each event. The dwell time,  $t_d$ , is the residence time of a molecule in the pore. The current blockage,  $\Delta I$ , is the amount of current the molecule excludes from the pore. The inter-event time,  $\delta t$ , is the length of time between the beginning of one event and the beginning of the next. Since multi-level blockade pulses were extremely rare, the code was modified to bypass multi-level events.



**Figure S1.** Data Analysis. (left) Close-up trace of two successive events for protK at V = -100 mV. OpenNanopore, the analysis software used, fits each translocation even to a rectangular pulse, and extracts the  $\Delta I$ ,  $t_d$ , and  $\delta t$  parameters, displayed in blue. Raw data is in black and the fit from OpenNanopore appears in red. (right) Series of analyzed events for ProtK. All experiments were carried out in the dilute regime, i.e.,  $\delta t > t_d$ .

Current signals from nanopores are usually considered in the time domain, while random noise is best described in the frequency domain. Nanopore traces are invariably low-pass filtered to reduce noise, but this can filter out some of the signal as well. In thinking about the detection efficiency of brief protein translocations, it can be useful to consider a signal representation which includes both time- and frequency-domain information. Fig. S1b shows a spectrogram produced from a recording of protK translocations through an HfO<sub>2</sub> nanopore. (A spectrogram plots the Fourier transform of a short segment of the data as a function of time; Fig. S1b was produced using a 64-microsecond window computed every 16 microseconds.) The spectral content of longer-duration events is concentrated at lower frequencies, and thus the features of these events are impacted less by the low-pass filter. Faster events' energy is spread more widely across the spectrum, and thus low-pass filtering can remove significant fractions of the signal. It is clear, for example, that 10 kHz bandwidth would be inadequate to capture the full energy of the signals in Fig. S1b. In this work we used a digital low-pass filter with a 250 kHz cutoff frequency.

A range of different algorithms can be used to identify and characterize nanopore events. The simplest is a low-pass filter followed by a threshold-crossing level detector. This approach is not ideal for datasets with appreciable noise, and more advanced techniques can be utilized which incorporate statistical



**Figure S1b.** Frequency content of nanopore current signals. (a) A segment of raw data recorded with a bandwidth of 1 MHz. The signals are obscured by noise. (b) A spectrogram of the trace, showing the frequency content as a function of time (rainbow color scheme, intensity increases from blue to red). (c) The same signal after a digital low-pass filter with a cutoff of 200 kHz reveals the events.

models for both the signal and noise. The OpenNanopore software package used in this work implements a level detector that is optimized for fitting abrupt stepwise signals in the presence of Gaussian noise.

**SM2.** Determination of pore dimensions We evaluate the pore dimensions (diameter and height) by first translocating a short, 100-bp fragment of double-stranded DNA (dsDNA, see Figure S6 insets). Once translocation data has been obtained with dsDNA and the average  $\Delta I$  has been measured, the following set of equations, which are derived from a geometric model of pore conductance, were used to evaluate the pore dimensions.(2, 3)

$$I_{o} = V\sigma \left(\frac{4h_{eff}}{\pi d^{2}} + \frac{1}{d}\right)^{-1} ; \quad \Delta I = V\sigma \left(\frac{4h_{eff}}{\pi (2.2nm)^{2}} + \frac{1}{2.2nm}\right)^{-1}$$

where  $I_o$  is the measured open pore current, V is the applied trans-membrane voltage,  $\sigma$  is the buffer solution conductivity (measured to be 0.0968 S/cm @ 25°C), and  $\Delta I$  is the mean current blockage amplitude of the nucleic acid. The unknowns,  $h_{eff}$  and d, are the effective height of the pore and the pore diameter respectively. In this model, the current blockage due to the DNA is attributed entirely to the volume of current it excludes from the nanopore. The dsDNA signal,  $\Delta I$ , may then be used to solve the second equation for  $h_{eff}$ , which may then be substituted into the first equation to obtain d. Using this method, the HfO<sub>2</sub> pore in our study was found to have  $h_{eff} = 7$  nm and d = 5.2 nm, and the SiN pore had  $h_{eff} = 6.2$  nm and d = 4.8 nm. *SM3. Size, charge of proteins and effect of electro-osmosis* ProtK and RNAse volumes were independently determined using two methods. ZetaPALS analysis was performed at Brookhaven Instruments (Holtsville, NY), which measured effective diameters of 4.76±0.05 nm for ProtK and 3.68±0.03 nm for RNAse A (see results tabulated in **Figure S2**). Similarly, the effective diameter of the RNase:ProtK complex was measured at 1M KCl buffer to be 8.00±0.42 nm. Additionally, the volume of each protein was computed with the Vorlume algorithm.(4) In this computation, a solvent-accessible model was used, where each residue's radius was expanded by a 1.4 Å van der Waals shell. The algorithm returned a solvent-accessible volume of 45.9 nm<sup>3</sup> for ProtK and 32.7 nm<sup>3</sup> for RNAse A.

Both Proteinase K and RNAse A are positively charged in the 1 M KCl, 10mM Tris, 1mM EDTA, pH ~7.7 experimental buffer. Support for this comes from early measurements of the pI for each protein, which obtained pI = 9.6 for RNAse A(5) and pI = 8.9 for Proteinase K.(6) In our experimental pH of 8.1, we would expect these molecules to be positively charged.



Summary Statistics Report

Type	Start Date/Time	Sample ID	Eff. Diam. (nm)	Polydispersity	Baseline Index
DLS	7/23/2013 2:14:52 PM	Proeinase K Solution NorthEastern diluted - 3	4.73	0.044	9.5
DLS	7/23/2013 2:09:50 PM	Proeinase K Solution NorthEastern diluted - 2	4.73	0.071	9.4
DLS	7/23/2013 2:04:49 PM	Proeinase K Solution NorthEastern diluted - 1	4.82	0.097	8.5
		Mean:	4.76	0.071	9.1
		Std Err:	0.03	0.015	0.3
		Std Dev:	0.05	0.027	0.6

Brookhaven

#### Summary Statistics Report

Туре	Start Date/Time	Sample ID	Eff. Diam. (nm)	Polydispersity	Baseline Index
DLS	7/23/2013 11:36:27 AM	RNAse A Solution NorthEastern (f0.02um) - 3	3.65	0.111	9.3
DLS	7/23/2013 11:31:25 AM	RNAse A Solution NorthEastern (f0.02um) - 2	3.68	0.089	8.2
DLS	7/23/2013 11:26:24 AM	RNAse A Solution NorthEastern (f0.02um) - 1	3.71	0.074	7.8
		Mean:	3.68	0.091	8.4
		Std Err:	0.02	0.011	0.4
		Std Dev:	0.03	0.019	0.8



#### Summary Statistics Report

	Туре	Start Date/Time	Sample ID	Eff. Rad. (nm)	Polydispersity	Baseline Index
	DLS	12/2/2013 2:56:50 PM	Protease K + RNAse A, ~ 5 mg/mL, 90deg - 5	3.78	0.227	0.0
	DLS	12/2/2013 2:51:48 PM	Protease K + RNAse A, ~ 5 mg/mL, 90deg - 4	4.03	0.245	0.0
[	DLS	12/2/2013 2:46:46 PM	Protease K + RNAse A, ~ 5 mg/mL, 90deg - 3	4.27	0.242	0.0
	DLS	12/2/2013 2:36:43 PM	Protease K + RNAse A, ~ 5 mg/mL, 90deg - 1	3.91	0.224	0.0
			Mean:	4.00	0.234	0.0
			Std Err:	0.11	0.005	0.0
			Std Dev:	0.21	0.011	0.0

**Figure S2.** Dynamic light scattering measurement of the hydrodynamic diameters of proteinase K, RNAse A, and a 1:1 mixture of the two proteins at 1M KCl. Note that for the complex the report inadvertently displayed the effective radius, while for the isolated proteins measurements displayed are the effective diameters.

1 of 1

**SM4.** Dwell time distributions and estimation of percentage of events detected,  $F_{obs}$ : The  $t_d$  distribution used in the paper comes from a first passage calculation using a drift-diffusion model outlined in previous studies.(7, 8) Figure S3a displays the fits to this distribution for ProtK and RNase at several voltages in the 5.2 nm HfO<sub>2</sub> pore. Once we have fit a given set of  $t_d$  values to this distribution, we may use the distribution equation to estimate the fraction of detected events. Our time resolution limits detection to events of ~2.5 µs or more. Shorter events are either severely distorted, which makes them erroneously appear in the first 1-2 bins, or not detected at all. However, we can fit our measured dwell times to the distribution equation from the drift-diffusion model. Once we have this total distribution function of dwell times, we may estimate the fraction of translocation events that we detect. We do this by dividing the area under the distribution curve between t = 2.5 µs and t =  $\infty$  (the temporal region we can detect) by the total area under the curve. This process is illustrated in **Figures S3a**. Based on this, using bulk D values we miss 76-96% of the events for RNAse and 62-89% of the events for ProtK, as shown in **Figure S3b**.



**Figure S3a.** Fitted  $t_d$  distributions for RNAse A (left) and Proteinase K (right) using freely varying *D* values. Fitted distributions are shown by the black curve. These curves are used to estimate the fraction of detected events (F<sub>Obs</sub>) at each voltage. This fraction is the area under the curve from  $t = 2.5 \,\mu$ s to t=∞, represented by the green region, divided by the total area under the curve.



**Figure S3b.** Estimated fraction of observed events,  $F_{Obs}$ , for ProtK and RNase in the 5.2 nm HfO<sub>2</sub> pore discussed in the main text.

### SM5. Continuous electrical traces of protein translocations



**Figure S4.** a) Continuous, 3-second traces current traces for RNAse (1 nM) and ProtK (69 nM) at  $\Delta V = -100$  mV, -125 mV, and -150 mV in a 5.2 nm HfO<sub>2</sub> pore. B) Traces of RNase (~30 nM), ProtK (~40 nM), and a 1:1 mixture of the two through a 4.8 nm SiN nanopore. C) Sample events from the RNase:ProtK complex (all traces low-pass filtered to 250 kHz).

*SM6. Protein analysis using silicon nitride (SiN) pore* Here we present supplementary data for the 4.8 nm diameter SiN pore discussed in the main article. Figure S5a shows scatterplots of translocation data for RNase and ProtK through this pore.



Figure S5a. Scatterplots of a) RNase and b) ProtK translocations from -100 mV to -200 mV in a 4.8 nm SiN pore.

In Figure S5b, we present the dwell time distributions for the SiN data, along with the corresponding fits to the 1-D first passage model (Eq. 1 in main text of the paper).



**Figure S5b.** Dwell time distributions for the 4.8 nm SiN<sub>x</sub> nanopore at  $\Delta V = -75$  mV, -100 mV, -125 mV, and -150 mV. D and v values obtained from these fits are displayed in Figure 5 of the main manuscript text.



**Figure S5c.** Drift velocity (v) vs. applied voltage ( $\Delta$ V) for the SiN nanopore with d = 4.8 nm,  $h_{eff} = 6.2$  nm. Results from these data are plotted in the scatter plot in Figure 5 of the main text.

**Mobilities of proteins in SiN pore:** As with the 5.2 nm HfO<sub>2</sub> pore, from the distributions fitted in Figure S5b, we extract drift velocities. These velocities increase linearly with magnitude of applied voltage, as shown below in Figure S5c. Although the RNase data somewhat deviates for this pore at low voltage, the general trend is expected for electrophoretic transport through the pore. Mobility values for the SiN pore are slightly smaller than for the HfO2 pore, although the mobility difference relationship between the two proteins is maintained.

**Dwell-time distribution of 1:1 RNase:ProtK complex:** The measured volume of the complex resulting from RNase/ProtK mixture is nearly the sum of the two proteins' volumes (see above). However, we see in Figure S5d that the mixture displays dwell times that are orders of magnitude longer than either protein individually. Because the pore in this study has d = 4.8 nm, we believe the complex formed from the RNase/ProtK mixture is too large to fit through the pore unhindered. These long dwell times may be due to dissociation or unfolding of the complex.



**Figure S5d.** Dwell time distribution and scatterplot (inset) of translocation data for a 1:1 mixture of RNase and ProtK at  $\Delta V = -125$  mV (shown in Figure 6 in main text) in a SiN pore illustrates the orders of magnitude longer dwell times for the 1:1 complex than for either protein by itself.

*SM7. DNA Dwell Time Distributions* Figure S6 displays the measured dwell time distributions and resulting fits for 100 base pair DNA translocating through a 5 nm  $HfO_2$  pore. The drift velocities from these fits are displayed in Figure 4 in the main text.



**Figure S6.** Distributions of dwell times for 100 base pair DNA in a 5nm  $HfO_2$  pore. Insets provide continuous, 3-second example traces for 100 base pair at each voltage. As with the proteins, apparent event rate drops at the highest voltage as events become too fast for the displayed bandwidth.

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