

1 SUPPLEMENTARY APPENDIX

2 CONTENTS

3 Supplemental Methods – Included patients, Definitions, Data Collection and PAP and Diagnostic

4 Workup of IFIs in the Study Hospital

5 i. Algorithm S1. Patients with newly diagnosed AML investigated in the study with
6 case-control groups.

7 ii. Table S1. Epidemiology, clinical features and outcome of 21 AML patients with
8 documented invasive fungal infection during 120-day study period

9

10 SUPPLEMENTAL METHODS

11 INCLUDED PATIENTS

12 We investigated 198 unselected consecutive patients with newly diagnosed AML, which
13 correspond approximately to 75% of patients with newly diagnosed AML registered at MDACC
14 during the years of study. Forty-six patients (23%) were excluded for the following reasons: 15
15 patients were not followed in our institution, 13 patients had prior or subsequent stem cell
16 transplantation during the study period and 18 patients did not receive any PAP at baseline (14
17 patients) or during the study (4 patients). Consequently, our study population consisted of 152
18 patients who had received > 2 days of PAP and were evaluable for type and duration of PAP
19 drug use as well as for a diagnosis of IFI. Algorithm S1 shows the study population and case-
20 control groups.

21

22

23

24 DEFINITIONS

25 Primary antifungal prophylaxis was defined as any systemic antifungal therapy given as
26 prophylaxis for more than two consecutive days to a patient without current or previous clinical,
27 microbiological and/or radiological evidence of either documented or presumed IFI.

28 Documented and possible IFIs were determined in accordance with the EORTC revised
29 definitions (2008) (8). Patients with possible IFIs or those with clinical and microbiological
30 evidence of IFI and non-characteristic CT scan abnormalities, according to EORTC definitions
31 (2008), were categorized as having presumed IFIs. Overall IFIs included patients with
32 documented and presumed IFIs. EAT was defined as the administration of an antifungal drug(s)
33 in a patient with persistent febrile neutropenia and/or clinical findings possibly related to an IFI
34 in which microbiologic and radiologic findings were negative for IFI. Galactomannan results for
35 diagnosis of “probable aspergillosis” included one or more positive serum samples with an index
36 ≥ 0.5 within a 2-week timeframe of the clinical and radiological evidence of IFI. The date of IFI
37 onset was considered as the date of the first clinical manifestations attributable to IFI or the date
38 of the clinical/radiologic/microbiologic diagnosis.

39

40 DATA COLLECTION

41 We performed a pilot study to make uniform the data collection. Demographic, clinical and
42 laboratory data were collected from the time of registration to the hospital until diagnosis of an
43 IFI, loss of the patient to follow up, death or completion of 120 days after first remission-
44 induction chemotherapy, whichever came first. Data concerning antifungal use were collected
45 from the institution’s pharmacy database and matched with the information in patients’
46 electronic medical records. We collected data about the type, dosage and duration of antifungal

47 drugs used as PAP in each prophylaxis period (PAP drug used without interruption) in each
48 patient during the study period. We also collected information regarding clinical,
49 epidemiological, laboratorial or complementary parameters (Table 1). The diagnosis of IFI was
50 revised by 3 infectious diseases specialists (M.Z.R.G., V.M. and D.P.K.).

51

52 PAP AND DIAGNOSTIC WORKUP OF IFIS IN THE STUDY HOSPITAL

53 There was no explicit protocol for PAP usage in AML patients during the study. The
54 diagnostic workup of IFIs in the hospital during the study period was standardized, patients were
55 closely followed and exams and procedures were performed when indicated. The diagnostic
56 workup did not change during the study period, including procedures in the clinical microbiology
57 laboratory. Serum galactomannan tests were the only biomarker for IFI routinely used as part of
58 diagnostic workup during the years of study (9).

59

60

61

62

63

64

65

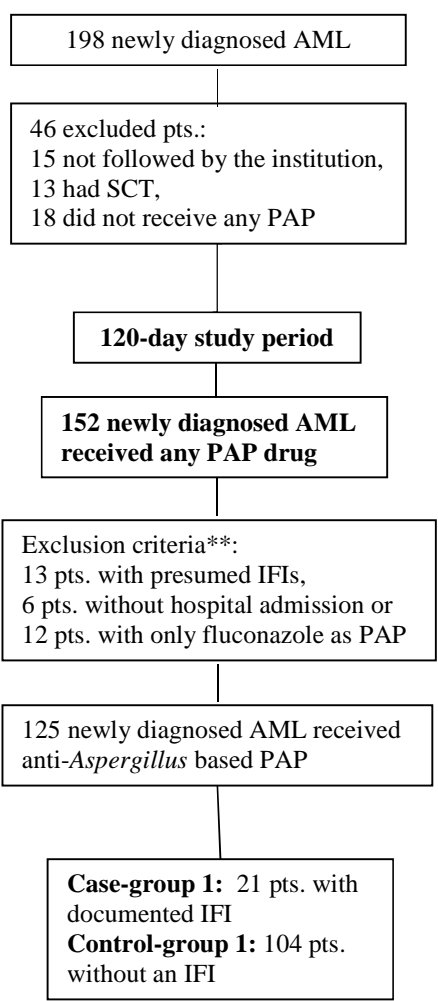
66

67

68

69

70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87



Supplemental Figure S1.

Table S1: Epidemiology, clinical features and outcome of 21 AML patients with documented IFIs during 120-day study period

n.	Age/ Gender	PAP drug ^a	Duration of PAP drug use ^a (days)	Time to IFI ^b	Diagnosis of Documented IFI ^c	Agents of IFI	Positive GM;	Clinical forms	Death
							total n., n. of consecutives, mean level (range) ^d		
1	21/F	Voriconazole (PO)	3	33	Probable	IA	4, 3 consecutives, 0.76 (0.53 – 1.3)	Pulmonary	No
2 ^{e,f}	55/F	Caspofungin	46	56	Definite	<i>Aspergillus niger</i> (bronchial washing); <i>Paecilomyces</i> sp. and hyphae invading tissue (lung tissue); rare septated hyphae (BAL)	1, 3.51	Pulmonary/ Osteomyelitis (rib)	No
3 ^e	57/F	Posaconazole	17	23	Probable	IA	1, 0.83	Pulmonary	No
4	70/F	Posaconazole	26	32	Definite	Mold sterile hyphae (skin biopsy)	1, 0.76	Disseminated	No

Table S1. (Continued)

n.	Age/ Gender	PAP drug ^a	Duration of PAP drug use ^a (days)	Time to IFI ^b	Diagnosis of Documented IFI ^c	Agents of IFI	Positive GM;	Clinical forms	Death
							total n., n. of consecutives, mean level (range) ^d		
5 ^e	72/M	Micafungin	11	16	Definite	<i>Coccidioides</i> sp. (positive IGM immunodiffusion test),	-	Pulmonary	No
6 ^e	61/F	Fluconazole (PO)	64	104	Probable	IA	6, 2 consecutives, 0.90 (0.57 – 1.41)	Pulmonary	No
7 ^e	63/F	Posaconazole	82	111	Probable	<i>Fusarium</i> sp. (sputum)	3, non-consecutives, 0.61 (0.53 – 0.72)	Disseminated	No
8 ^f	65/M	Anidulafungin	28	60	Probable	IA	1, 0.56	Pulmonary	No
9 ^{e,f}	62/F	Micafungin	5	15	Definite	<i>Candida krusei</i> (blood) ^g and <i>Candida parapsilosis</i> (blood and urine) ^g	-	Disseminated	No
10 ^e	82/M	Fluconazole (PO)	1 ^h	29	Probable	IA	2, non-consecutives, 0.60 (0.58 – 0.63)	Pulmonary	No
11	64/F	Anidulafungin	24 ⁱ	29	Definite	<i>Candida glabrata</i> (blood) ^{g1} ; few yeast (BAL)	-	Disseminated	No
12	61/M	Caspofungin	6	7	Probable	IA	5, 3 consecutives, 0.72 (0.55 – 0.97)	Pulmonary	No
13 ^e	53/F	Caspofungin	4	17	Probable	IA	1, 0.80	Pulmonary	No
14 ^e	72/M	Micafungin	9	11	Definite	Hyphae invading tissue (nasal lesion)	1, 1.26	Sino-pulmonary	No

15 ^e	67/F	Posaconazole and Micafungin	18	21	Probable	IA, previous <i>Aspergillus fumigatus</i> (sputum) colonization	1, 0.64	Pulmonary	Yes ⁱ
16 ^{e,i}	40/F	Anidulafungin	9	13	Definite	<i>Geotrichum capitatus</i> , a.k.a. <i>Blastoschizomyces capitatus</i> (blood)	-	Disseminated	No

Table S1. (Continued)

n.	Age/ Gender	PAP drug ^a	Duration of PAP drug use ^a (days)	Time to IFI ^b	Diagnosis of Documented IFI ^c	Agents of IFI	Positive GM; total n., n. of consecutives, mean level (range) ^d	Clinical forms	Death
17	69/F	Caspofungin	9	8	Probable	IA	4, 4 consecutives, 0.73 (0.56 – 0.81)	Pulmonary	No
18 ^{e,i}	63/M	Caspofungin	13	17	Definite	<i>Fusarium</i> sp. (lymph nodes)	1, 0.86	Disseminated	Yes ⁱ
19 ^e	77/M	Voriconazole (PO)	19	21	Probable	IA	1, 1.20	Pulmonary	Yes ⁱ
20 ^{e,f}	70/F	Caspofungin	10	84	Probable	IA	4, 2 consecutives, 0.70 (0.52 – 1.07)	Pulmonary	No
21 ^{e,f}	54/F	Anidulafungin	20	20	Definite	<i>Candida glabrata</i> (2 blood and 1 bone marrow cultures) ^{§2} ; yeast like forms invading tissue (skin lesion); yeast < 10.000CFU/ml (urine)	-	Disseminated	Yes

Note: AML, acute myeloid leukemia; BAL, bronchoalveolar lavage; CFU, *colony-forming unit*; GM, serum galactomannan tests; FRIC, first remission-induction chemotherapy; IA, “invasive aspergillosis”; IFI, invasive fungal infection; IGM, *immunoglobulin M*; PAP, primary antifungal therapy; PO, per os; ^a PAP drug associated to D-IFI; ^b time to IFI after FRIC (days); ^c definite or probable IFI according to EORTC/MSG criteria; ^d among our probable IFI cases, GM levels were low and did not differentiate from those with definite *Fusarium* or *Paecilomyces* infections with *Aspergillus niger* colonization; ^e case with absolute neutrophil counts below 0.10 at time of D-IFI started; ^f therapy-related AML; [§] MIC of caspofungin was 0.5 mcg/ml for both *C. krusei* (intermediate) and *C. parapsilosis* (susceptible); ^{§1} 0.12 mcg/ml for *C. glabrata* (susceptible); ^{§2} 8 mcg/ml for *C. glabrata* (non-susceptible). Micafungin and anidulafungin were not tested; ^h patient was taking caspofungin for 26 days during hospital admission and then was discharged with oral fluconazole; ⁱ initial period of use as empirical antifungal therapy; ^j death related to IFI; ^l received 3 days of fluconazole prophylaxis before the start of echinocandin PAP.