



The effect of information about overdetection of breast cancer on women's decision making about mammography screening: study protocol for a randomised controlled trial

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TITLE

The effect of information about overdetection of breast cancer on women's decision making about mammography screening: study protocol for a randomised controlled trial

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ABSTRACT**Introduction**

Women are largely unaware that mammography screening can cause overdiagnosis of inconsequential disease, leading to overdiagnosis and overtreatment of breast cancer. Evidence is lacking about how information on overdiagnosis affects women's breast screening decisions and experiences. This study investigates the consequences of providing information about overdiagnosis of breast cancer to women approaching the age of invitation to mammography screening.

Methods and analysis

This is a randomised controlled trial with an embedded longitudinal qualitative sub-study. Participants are a community sample of women aged 48-50 in New South Wales, Australia, recruited in 2014. Women are randomly allocated to either quantitative only follow-up (n=904) or additional qualitative follow-up (n=66). Women in each stream are then randomised to receive either the intervention (evidence-based information booklet including overdiagnosis, breast cancer mortality reduction and false positives) or a control information booklet (including mortality reduction and false positives only). The primary outcome is informed choice about breast screening (adequate knowledge, and consistency between attitudes and intentions) assessed via telephone interview at 2 weeks post-intervention. Secondary outcomes measured at this time include decision process (decisional conflict and confidence) and psychosocial outcomes (anticipated regret, anxiety, breast cancer worry and perceived risk). Women are further followed up at 6 months, 1 and 2 years to assess self-reported screening behaviour and long term psychosocial outcomes (decision regret, quality of life). Participants in the qualitative stream undergo additional in-depth interviews at each time point to explore the views and experiences of women who do and do not choose to have screening.

Ethics and dissemination

The study has ethical approval, and results will be published in peer-reviewed journals. This research will help ensure that information about overdiagnosis may be communicated clearly and effectively, using an evidence-based approach, to women considering breast cancer screening.

Registration details

Australian New Zealand Clinical Trials Registry ACTRN12613001035718

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This longitudinal mixed-methods study includes a randomised controlled trial and a qualitative sub-study. Participants are sampled randomly and are making real-life decisions. The intervention rests on strong evidence (updated published model of screening outcomes incorporating local data) including extensive qualitative research. The primary outcome is informed choice, and data are collected by an independent non-profit company.
- Our estimates of the effects of screening are drawn from trials conducted overseas and in the past. The intervention may not address the needs of some population groups such as people with low literacy.

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INTRODUCTION

While mammography screening can reduce breast cancer mortality, it also carries the risk of overdiagnosis (or overdiagnosis). This occurs when screening detects a cancer that would not have presented clinically during the woman's lifetime, meaning she would never have acquired a diagnosis had she not attended screening. Overdiagnosis and the resulting overtreatment are likely to cause harm in terms of emotional wellbeing,[1] physical health in the short and long term,[2] and implications for relatives consequently classified as high risk.[3, 4]

The problems of overdiagnosis and overtreatment in cancer screening[5] and the broader health context[6] are receiving increasing attention. In the UK, an independent expert panel was commissioned in 2011 to review evidence on important consequences of breast screening, including the challenging task of quantifying the level of overdiagnosis. Wide variations among previous estimates had prompted extensive debate over the appropriateness of different observational methods and their associated biases.[7-9] Focusing on the randomised trials as the best quality evidence, the panel concluded that invitation to screening leads to overdiagnosis of breast cancer at a rate of 19% during the 20 year screening period.[10]

Historically, information materials distributed by breast screening programs worldwide have emphasised benefits and lacked explanation of overdiagnosis.[11-14] The appropriateness of explicitly informing people about overdiagnosis has been debated, with reluctance driven by concerns about dissuading women from screening.[15, 16] However, in the context of a growing international movement towards policies promoting greater involvement of patients and citizens in health decision making[17-20] it has been argued that people offered screening should have the opportunity to make informed decisions about whether to participate.

Making an informed decision about screening requires clear, balanced information on benefits and harms.[10, 20-23] A new approach to public information was recently adopted in the UK with the aim of better supporting informed choice,[24] and the new UK breast screening leaflet released in September 2013 acknowledges overdiagnosis as 'the main risk of screening'.[25] Given the current lack of awareness of overdiagnosis,[26-30] such a change to public information is significant and there is a need for high quality research into its effects. Breast screening is a highly emotive issue, as demonstrated by the public outrage unleashed when the US Preventive Services Task Force changed its recommendations in 2009.[31-33] Moves to include overdiagnosis in screening information materials stand to affect large numbers of women around the world. Research is needed to ensure important messages are not misconstrued in ways that adversely affect women's health and wellbeing.

There is little research on public responses to overdiagnosis. In a focus group study,[27] we examined 50 women's understanding of overdiagnosis, screening attitudes and intentions, and views on information provision. Participants were previously unaware of overdiagnosis but able to understand it. Although surprised, women valued the information about overdiagnosis. These findings were corroborated by a similar UK study.[30] As some women in our study indicated that knowing about overdiagnosis may change their screening or treatment decisions,[27] a careful and balanced approach to communication is critical.

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3 The current population-based trial extends our investigation of the impact of overdetection
4 information into a real-life decision-making setting. Using a longitudinal, randomised design
5 incorporating quantitative and qualitative methods, the trial examines the impact of written
6 information about screening outcomes (breast cancer deaths averted and false positives, either
7 including or excluding overdetection) among women close to the target age for entering Australia's
8 screening program. In addition to examining how the information affects women's decisions,
9 attitudes, psychological responses and wellbeing in the short term, we will follow participants for
10 two years to assess effects on screening participation and to qualitatively investigate the longer term
11 impact of this information in women who do and do not choose to be screened.
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14 15 **Aims**

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17 We will examine and evaluate the impact of information about overdetection in breast screening on:

- 18 1. informed choice – measured via knowledge, attitudes, and intentions;
- 19 2. decision process (decisional conflict and confidence);
- 20 3. short term psychosocial outcomes (anxiety, risk perceptions, breast cancer worry, anticipated
21 regret);
- 22 4. screening attendance over two years;
- 23 5. experience of screening for those who attend;
- 24 6. long term psychosocial outcomes (decision regret, quality of life).
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30 31 **METHODS AND ANALYSIS**

32 33 **Study design**

34 The proposed study uses a randomised trial design with conventional quantitative outcomes plus an
35 embedded longitudinal qualitative sub-study (streams A (quantitative) and B (qualitative) – see
36 Figure 1). This design ensures we can (1) quantify the impact of overdetection information on
37 women's immediate screening decision making, and (2) assess behaviour and psychosocial outcomes
38 throughout a two year follow-up period, allowing us to contextualise experiences and capture
39 changes over time among women who ultimately choose to screen or not screen.
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42 Since it is plausible that the qualitative interviews could influence responses to the quantitative
43 measures (even by simply reminding women of breast screening), we have separated the cohorts
44 entirely so that our quantitative dataset will not include any women who are part of the qualitative
45 component of the research. Both streams will follow the same procedure including all quantitative
46 measures completed via telephone, but women in the qualitative stream will be invited for
47 additional interviews at the time points specified.
48
49

50 51 **Setting and participants**

52 Study participants will be a community sample of women aged 48-50 years from the Australian state
53 of New South Wales (NSW). The government-funded program, BreastScreen NSW, offers a free
54 biennial screening service and mails a personal invitation to all women when they turn 50 and enter
55 the target age range. The study will therefore involve women who are approaching or at this
56 decision point.
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Eligibility criteria

Inclusion criteria

Individuals will be eligible if they are female; aged 48-50 years; residing in NSW; and sufficiently fluent in English[34] to understand study materials and complete telephone interviews.

Exclusion criteria

Individuals will be excluded from the trial if they have a personal history of breast cancer; have had any mammogram within the previous two years; or are at increased risk of breast cancer compared with the general population, for example due to a strong family history.[35] Women at increased risk will be referred to their doctor or the Australian Cancer Council's telephone helpline.

Pilot study

Before the main trial, a pilot study will be carried out with approximately 30 women to test the recruitment and data collection procedures up to the two-week telephone survey, including checking the suitability of the telephone interview scripts.

Participant recruitment

We will recruit by sampling from a random extract of women in the appropriate age group, drawn from the NSW electoral register. Recruitment will be carried out via telephone by the Hunter Valley Research Foundation (HVRF), an independent non-profit organisation with extensive experience running community surveys and successfully recruiting participants into health research studies.[27, 36]

A database containing names and telephone numbers will be encrypted and sent to HVRF. Trained HVRF interviewers will telephone potential respondents and explain how and why their contact details were obtained. Interviewers will then briefly introduce the study and determine eligibility using a series of simple questions. Eligible women will be informed about what the main study involves and invited to participate. Consent will be obtained orally and documented by the interviewer.

Pre-intervention procedure and measures

To achieve a common baseline level of information about screening, immediately after recruitment to the study all women will be sent the BreastScreen NSW program leaflet, a freely available leaflet that BreastScreen sends to women together with their invitations to attend screening.[37] As well as outlining practical aspects of mammography screening, the leaflet describes benefits of early detection while acknowledging the possibility of false negative and false positive results. It does not provide quantitative estimates of the chances of these outcomes, nor does it mention over-detection.

After one week HVRF will telephone each participant again, collect demographic information not already recorded, and check that the woman has received the leaflet and had time to read it. If so, the interviewer will collect the following baseline data and then proceed to randomisation:

Stage of decision making

Women will be asked how far along they are with their decision about screening, using a single item with four response options, as used in previous trials of screening decision aids.[38, 39]

Screening intentions

Women will be asked their intentions about having a screening mammogram within the next two to three years, using a single item with five response options (ranging from *definitely will not* to *definitely will*).[40, 41]

Screening attitudes

Attitudes towards screening will be measured using a validated, theory-based generic screening attitudes scale comprising six items[42] with five response categories ranging from *strongly disagree* to *strongly agree* (scored 1-5).[36]

Screening knowledge (conceptual)

Women's knowledge of the main concepts of screening will be assessed using items adapted from previous decision aid trials.[36, 38, 39]

If the woman has not yet read the leaflet, arrangements will be made to call back at an agreed time. If she has still not read the leaflet by the next contact, she will be excluded from the trial. The purpose of only including women who have demonstrated a willingness to read study materials is to maximise the likelihood that the individuals randomised will read their allocated intervention and complete the study.

Allocation procedures

Randomisation sequences will be generated by a statistician who has no contact with participants, using permuted blocks with sizes of 4 and 8. Interviewers responsible for recruiting participants will not be aware of the randomisation sequence or allocation and therefore will not know which intervention respondents will receive.

Randomisation to stream A vs. B

During the second telephone contact, participants will be randomised to either stream A or invitation to the qualitative stream B (described by the telephone interviewer as an 'enhanced version' of the study) to achieve the desired sample size in each stream (i.e., in an allocation ratio of approximately 13:1). Women who accept the invitation to stream B will be sent plain-language written information about the qualitative sub-study together with their allocated booklet. Women who decline the invitation to stream B will be included in stream A.

Randomisation to intervention vs. control

Participants within each stream will be allocated to either the intervention or control arm using permuted block randomisation with a 1:1 ratio.

Intervention and control arms

The trial will compare two versions of an evidence-based written information booklet explaining the benefit and harms of breast cancer screening:

1. Intervention: expected benefit and harms cumulated over 20 years of biennial mammography screening (starting from age 50), including explanatory information and quantitative estimates of breast cancer mortality benefit, false positives (positive mammogram results when there is no underlying disease), and overdetection; vs.
2. Control: the same information about breast cancer mortality benefit and false positives as in the intervention group but with NO overdetection information.

The booklet was developed for the purposes of this study, and is designed to inform but not to influence women either towards or away from screening. The content and presentation were guided by our focus group findings regarding women's understanding of overdiagnosis, areas of concern or confusion, and views on communication (including a preference for the term overdetection rather than overdiagnosis).[27] The booklet was developed with input from layperson collaborators, reviewed by independent clinical and communication experts, and thoroughly piloted for acceptability and comprehension (details of piloting will be published separately).

The quantitative evidence presented is based on an updated version of our published model of screening outcomes[43] using effect estimates from a meta-analysis of randomised controlled trials,[10] adjusted to reflect screening attendance rather than invitation, and applied to current Australian data. The expected frequencies of outcomes are illustrated and contextualised using icon arrays.[44]

Table 1 summarises the topics covered in the two versions of the booklet.

Table 1: Summary of contents of intervention and control booklets

Pg.	Intervention booklet	Control booklet
1	Front cover: title + image	Front cover: title + image
2	Introduction to purpose of booklet	Introduction to purpose of booklet
3	Introduction to content of booklet	Introduction to content of booklet
4	Mortality benefit: text + diagram	Mortality benefit: text + diagram
5	Over-detection: text + diagram	False positive results: text + diagram
6	Over-detection: conceptual illustration	Q & A (including breast cancer treatments)
7	False positive results: text + diagram	Summary table + references
8	Q & A (including breast cancer treatments)	Back cover: glossary; further information sources
9	Q & A	
10	Summary table + references	
11	Glossary; further information sources	
12	Back cover: blank	

Stream A: Quantitative study

Methods of data collection and blinding

Trained HVRF interviewers will conduct a telephone survey (15-20 minutes) to collect post-intervention outcome data two weeks after randomisation, and will carry out further brief telephone surveys for long-term follow-up at six months, one year, and two years post-intervention. Table 2 lists the study variables and timing for measurement.

The HVRF personnel are independent from the research team, and interviews will be conducted within a supervised environment where interviewer performance is regularly monitored to ensure scripts are read as written. All survey questions use standardised wording, and the questions are designed such that the woman's study group allocation is unclear to the interviewer until the final part of the interview.

Table 2: Summary of study variables and timing for measurement

CALL #	1	2	3	4	5	6
Time from baseline	-1 week	BASELINE	2 weeks	6 months	1 year	2 years
<i>Recruitment</i>	x					
<i>Demographics</i>		x				
Stage of decision making		x				
Screening intentions		x	x			x
Screening attitudes		x	x		x	x
Screening knowledge (conceptual)		x	x		x	x
Screening knowledge (numerical)			x		x	x
Overdetection knowledge (conceptual)			x		x	x
Overdetection knowledge (numerical)			x		x	x
Perceived importance of benefit/harms			x			
Perceived chances of benefit/harms			x			
Booklet utilisation/acceptability			x			
Decision process			x			
Time perspective			x			
Anticipated regret			x			x
Perceived risk of breast cancer			x	x	x	x
Breast cancer worry			x	x	x	x
Anxiety			x	x	x	x
Screening participation				x	x	x
Decision regret				x	x	x
Quality of life				x	x	x

Outcome measures

Primary outcome

The primary outcome is informed choice – that is, the extent to which women’s screening decisions are consistent with their informed values or attitudes.[45, 46] Informed choice is assessed by combining measures of knowledge, attitudes, and actual choice,[47] and has been used successfully in previous decision aid studies.[36, 38, 48] Selection of this primary outcome reflects recent international commitments to informed choice as a key marker of quality in screening programs.

Informed choice will be assessed at two weeks post-intervention, as a dichotomous outcome, and the intervention and control groups will be compared in terms of the proportion of women making an informed choice. To determine whether each woman makes an informed choice we will separately measure, and then combine, three components: knowledge, attitudes, and intentions. An informed choice is one in which knowledge is adequate, with attitudes and intentions being consistent (i.e. positive attitudes with positive intentions or negative attitudes with negative intentions). For the purposes of assessing informed choice, the knowledge, attitude, and intention measures will be dichotomised using an a priori threshold (see below). The three component variables will also be examined and reported separately to enable more fine grained understanding of the impact of the intervention on decision making.

Screening knowledge

We will apply a competency-based approach to assess knowledge[49] in line with our published knowledge assessment framework.[50] Understanding of both conceptual and numerical information provided in the study will be measured using items adapted from our previous decision aid trials.[36, 38] The items are designed to assess understanding of core screening concepts (including mortality benefit, false positives, and overdetection) and awareness of the approximate numbers of women affected by particular outcomes. Total knowledge scores will comprise four subscales: conceptual understanding (of general and overdetection-related information) and numerical understanding (general and overdetection-related). As in previous decision aid trials,[36, 38, 39, 48] knowledge will be scored using a marking scheme developed a priori. The threshold score to be considered adequate for the purposes of determining informed choice will also be set a priori. Sensitivity analyses will examine the impact of using higher and lower thresholds.

Screening attitudes

As at baseline, screening attitudes will be measured using a validated six item scale.[42] Scores on each item range from 1 (strongly negative) to 5 (strongly positive).[36] For the informed choice outcome, the threshold for a positive attitude will be a total score of 24 or above (i.e. scores of 4 or 5 on the five point response scale for each item). Because literature shows that screening attitudes are typically very positive,[36, 38, 39] a sensitivity analysis will explore the impact of using a higher threshold.

Screening intentions

Intentions to participate in screening within the next two to three years will be measured as described at baseline.[40, 41] Scores will be dichotomised on the five point scale as categories 1-3

(responses *definitely will not*, *will not*, and *unsure*) indicating 'not intending' to screen and categories 4-5 (*will* and *definitely will*) as 'intending' to screen.

Secondary outcomes

The following outcomes will be measured at two weeks post-intervention.

Perceived importance of screening benefit/harms

Purpose-developed items will be used to ask women about their personal perceptions of the importance of specific screening outcomes in their decision making about screening. Women will be asked how important it is for them to consider the chances of avoiding breast cancer death; being diagnosed and treated for a cancer that is not harmful; and having a false positive. The four response options range from *very important* to *not at all important*.

Perceived personal chances of screening benefit/harms

Women will be asked about their perceived personal likelihood of experiencing specific outcomes (as above) if they have screening, compared with an average screened woman,[51] using five verbal response categories ranging from *much lower* to *much higher*.

Decision process

Decisional conflict and confidence will be assessed using the validated and widely used Decisional Conflict Scale (10 item low literacy version)[36, 52] and Decision Self-Efficacy Scale.[36, 53]

Time perspective

This will be assessed using the four item short form of the Consideration of Future Consequences Scale,[54, 55] with five response categories ranging from *strongly agree* to *strongly disagree*.

Anticipated regret

Two items from a validated scale will measure anticipated regret, both about screening (action regret) and about not screening (inaction regret),[56, 57] with five response categories ranging from *strongly agree* to *strongly disagree*.

Perceived personal risk of breast cancer

Women will be asked about their perceptions of personal risk for developing breast cancer in their lifetime, in absolute terms[57] (using four verbal response categories ranging from *no chance* to *high chance*) and relative to an average woman of the same age[58] (using five verbal response categories ranging from *much lower* to *much higher*).

Breast cancer worry

A validated single item will measure women's level of worry about developing breast cancer, using four verbal response categories ranging from *not worried at all* to *very worried*.[36, 38, 59]

Anxiety

This will be measured with the six item short form of the Spielberger State Trait Anxiety Inventory.[36, 56, 60]

Booklet utilisation and acceptability

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3 Acceptability and utilisation of materials will be assessed by items measuring how women used and
4 evaluated the booklets, as used successfully in previous decision aid trials.[39, 61]
5

6 The following secondary outcomes will be measured at longer-term follow-up.
7

8 *Screening participation*

9 Self-reported attendance at breast screening will be assessed via telephone survey at 6 months, 1
10 and 2 years. Previous research has demonstrated that this is a reliable indicator of actual screening
11 behaviour.[62] Attendance at diagnostic mammograms and other breast tests will also be assessed
12 by self-report at these time points, and any relevant diagnoses will be recorded.
13
14

15 *Decision regret*

16 At 6 months, 1 and 2 years, the Decision Regret Scale[63] will measure women's level of regret
17 regarding their initial decision whether to screen or not. The scale has five items and five response
18 categories ranging from *strongly agree* to *strongly disagree*.
19
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21 *Quality of life*

22 At each of the long-term follow-up contacts, quality of life will be measured using the SF12.[64]
23

24 *Screening knowledge, attitudes, intentions, and psychosocial outcomes measured previously*

25 Long-term follow-up contacts will reassess selected outcomes using the same measures as
26 previously (see Table 2 for details).
27
28

29 **Sample size**

30
31 The primary analysis will be comparing the two study groups on the proportion of women who make
32 an informed choice, using the chi-square test. We judge an absolute difference of 10-15% to be
33 relevant. Assuming conservatively that one of the group proportions is 50%, in order to achieve 80%
34 power to detect a group difference of 10% with a two-sided significance level of 5%, we require 407
35 women per arm at the two week follow-up. This sample size is sufficient to detect a mean difference
36 of 0.4 in knowledge, 4.5 in attitudes, and a 10-15% difference in intentions. Of the secondary
37 outcomes, the sample will also be sufficient to detect a mean difference smaller than 0.5 standard
38 deviations in each scale (assuming standard deviations for these scales based on results from our
39 previous trials) which is considered the minimum clinically important difference for psychosocial
40 outcomes.[65]
41
42
43

44 Based on our previous research using a similar protocol[36] and data from HVRF, we anticipate
45 losing 10% of recruited women at the pre-intervention stage because they do not read initial study
46 materials within the required time frame, and up to a further 10% who cannot be contacted for the
47 two week follow-up survey. Therefore to achieve our two week follow-up target sample of 814
48 women in the quantitative stream and 60 in the qualitative stream (see below) we aim to recruit
49 approximately 1078 women into the study.
50
51

52 Based on their extensive telephone survey experience, HVRF have estimated a further 20% loss to
53 follow-up at 1 year and an additional 10% at year 2 (total loss to follow-up at year 2 is 30%). The
54 remaining sample should be sufficient to detect a difference of 12% in attendance at 2 years among
55 285 women (assuming a 30% attrition rate and 47% attendance rate at mammography
56 screening).[66]
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Statistical analysis methods

Analysis will compare the intervention and control groups on an intention to treat basis (i.e., all participants, as randomised) and will be carried out blinded to intervention. We will use the chi-squared test to analyse binary outcomes including informed choice, and the two-sample t-test for continuous outcomes, with a significance level of 5%. We will use multiple imputation and sensitivity analyses to explore the impact of missing data.

Stream B: Qualitative study

We will conduct a longitudinal qualitative evaluation among women randomised to stream B of the trial to explore in depth their responses to information about overdetection – specifically, how they understand the information and integrate it with existing knowledge, and their subsequent intentions and decisions whether to participate in screening. Women will receive an identical protocol to those in the main trial (stream A), including quantitative telephone survey measures. However, we will also carry out face-to-face or telephone interviews among these women over 2 years at time points corresponding with the assessment of self-reported screening behaviour in the main RCT (1 and 2 years). This will enable us to examine the experience of screening among women who choose to screen with and without exposure to overdetection information (i.e., intervention and control groups), to assess whether the information has any positive or negative impact on women's screening experience. This will allow a rich and contextualised understanding of women's experiences and decision making to complement the quantitative data, and will also enable us to examine the experience of women who choose not to screen, including changes in their feelings over time and following the receipt of screening invitations. Based on current participation in BreastScreen we expect between 25-50% of the women will choose not to be screened, giving us a meaningful sample of women choosing to screen and not to screen within the qualitative stream.

Methods of data collection

All women will be interviewed face to face (where possible), 1-2 months post intervention, by an experienced qualitative interviewer. Subsequent interviews will be conducted by telephone. For participants living more than two hours' travel from Sydney, all interviews will be by telephone. Interviews will be audio recorded and transcribed verbatim.

Sample size

The intended sample size in the qualitative stream is 60 participants, of whom approximately 30 will be randomised to each study arm. This is a well-accepted sample size in qualitative studies using in-depth interviews, sufficient to explore variation in experiences among participants.[67, 68]

Qualitative analysis

The study will take a phenomenological perspective and will use Framework Analysis,[69] a widely used matrix-based method of thematic analysis which has been applied successfully in many published qualitative studies.[70, 71] This method enables qualitative themes to be compared both within individuals (e.g. a woman's understanding of overdetection and her psychological response to it) and between individuals (e.g. comparing women who choose to screen and those who do not). It

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2
3 is particularly useful when working in a large research team to facilitate transparency and rigour in
4 the analytic process, and interpretation of research findings.
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8 **ETHICS AND DISSEMINATION**

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10 The University of Sydney Human Research Ethics Committee has approved the study (project no.
11 2012/1429).
12

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14 Consent will be provided over the telephone and documented by the HVRF interviewer. Explaining
15 the study and obtaining consent by telephone will facilitate comprehension and reduce the
16 unnecessary burden entailed in a written consent form. All HVRF telephone interviews are
17 administered through a Computer Assisted Telephone Interview (CATI) program. The CATI and
18 quality control processes used by HVRF ensure that interviewers do not skip any statements
19 providing information to respondents. Immediately after recruitment, women will be sent plain-
20 language written study information to inform them of their right to refuse participation or withdraw
21 consent at any time, including instructions for how to contact the researchers with questions,
22 withdraw from the study, or make a complaint.
23
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25
26 The results of the trial will be published in appropriate journals, regardless of the outcomes. The trial
27 will be reported in accordance with the CONSORT Statement.[72]
28
29

30 **REGISTRATION DETAILS**

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32 The trial is registered with the Australian New Zealand Clinical Trials Registry (registration no.
33 ACTRN12613001035718).
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40 **FIGURE LEGEND**

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42 Figure 1: Design of randomised controlled trial with longitudinal qualitative sub-study
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AUTHORS' CONTRIBUTIONS

KMcC, JH, LI, AB, and JJ developed the original concept of this study. All authors contributed to discussion and revisions to the study design. KMcC, AB, JJ, NH, HD, and KMcG obtained funding. JH drafted the manuscript; all other authors were involved in revision of the manuscript.

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COMPETING INTERESTS

None declared

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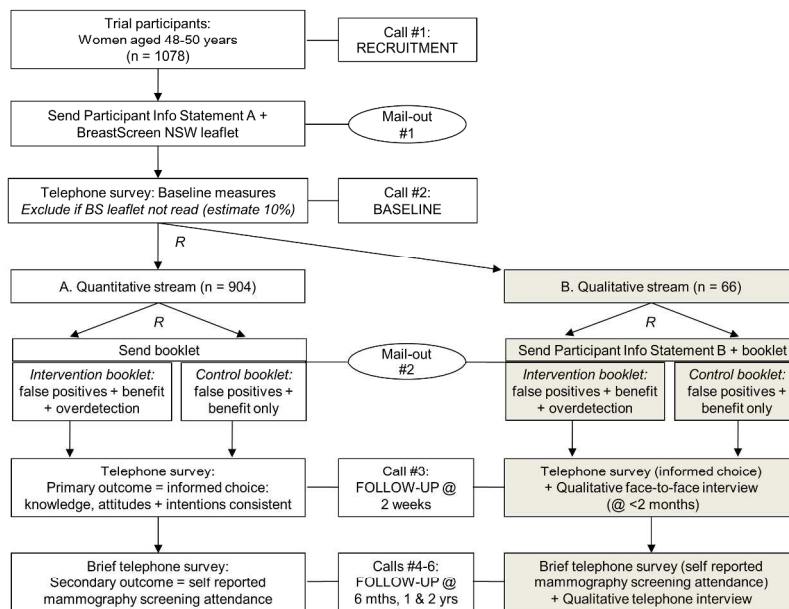
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Design of randomised controlled trial with longitudinal qualitative sub-study
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BMJ Open

The effect of information about overdetection of breast cancer on women's decision making about mammography screening: study protocol for a randomised controlled trial

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TITLE

The effect of information about overdetection of breast cancer on women's decision making about mammography screening: study protocol for a randomised controlled trial

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ABSTRACT**Introduction**

Women are largely unaware that mammography screening can cause overdiagnosis of inconsequential disease, leading to overdiagnosis and overtreatment of breast cancer. Evidence is lacking about how information on overdiagnosis affects women's breast screening decisions and experiences. This study investigates the consequences of providing information about overdiagnosis of breast cancer to women approaching the age of invitation to mammography screening.

Methods and analysis

This is a randomised controlled trial with an embedded longitudinal qualitative sub-study. Participants are a community sample of women aged 48-50 in New South Wales, Australia, recruited in 2014. Women are randomly allocated to either quantitative only follow-up (n=904) or additional qualitative follow-up (n=66). Women in each stream are then randomised to receive either the intervention (evidence-based information booklet including overdiagnosis, breast cancer mortality reduction and false positives) or a control information booklet (including mortality reduction and false positives only). The primary outcome is informed choice about breast screening (adequate knowledge, and consistency between attitudes and intentions) assessed via telephone interview at 2 weeks post-intervention. Secondary outcomes measured at this time include decision process (decisional conflict and confidence) and psychosocial outcomes (anticipated regret, anxiety, breast cancer worry and perceived risk). Women are further followed up at 6 months, 1 and 2 years to assess self-reported screening behaviour and long term psychosocial outcomes (decision regret, quality of life). Participants in the qualitative stream undergo additional in-depth interviews at each time point to explore the views and experiences of women who do and do not choose to have screening.

Ethics and dissemination

The study has ethical approval, and results will be published in peer-reviewed journals. This research will help ensure that information about overdiagnosis may be communicated clearly and effectively, using an evidence-based approach, to women considering breast cancer screening.

Registration details

Australian New Zealand Clinical Trials Registry ACTRN12613001035718

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This longitudinal mixed-methods study includes a randomised controlled trial and a qualitative sub-study. Participants are sampled randomly and are making real-life decisions. The intervention rests on strong evidence (updated published model of screening outcomes incorporating local data) including extensive qualitative research. The primary outcome is informed choice, and data are collected by an independent non-profit company.
- Our estimates of the effects of screening are drawn from trials conducted overseas and in the past. The intervention may not address the needs of some population groups such as people with low literacy.

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INTRODUCTION

While mammography screening can reduce breast cancer mortality, it also carries the risk of overdiagnosis (or overdiagnosis). This occurs when screening detects a cancer that would not have presented clinically during the woman's lifetime, meaning she would never have acquired a diagnosis had she not attended screening. Overdiagnosis and the resulting overtreatment are likely to cause harm in terms of emotional wellbeing,[1] physical health in the short and long term,[2] and implications for relatives consequently classified as high risk.[3, 4]

The problems of overdiagnosis and overtreatment in cancer screening[5] and the broader health context[6] are receiving increasing attention. In the UK, an independent expert panel was commissioned in 2011 to review evidence on important consequences of breast screening, including the challenging task of quantifying the level of overdiagnosis. Wide variations among previous estimates had prompted extensive debate over the appropriateness of different observational methods and their associated biases.[7-9] Focusing on the randomised trials as the best quality evidence, the panel concluded that invitation to screening leads to overdiagnosis of breast cancer at a rate of 19% during the 20 year screening period.[10]

Historically, information materials distributed by breast screening programs worldwide have emphasised benefits and lacked explanation of overdiagnosis.[11-14] The appropriateness of explicitly informing people about overdiagnosis has been debated, with reluctance driven by concerns about dissuading women from screening.[15, 16] However, in the context of a growing international movement towards policies promoting greater involvement of patients and citizens in health decision making[17-20] it has been argued that people offered screening should have the opportunity to make informed decisions about whether to participate.

Making an informed decision about screening requires clear, balanced information on benefits and harms.[10, 20-23] A new approach to public information was recently adopted in the UK with the aim of better supporting informed choice,[24] and the new UK breast screening leaflet released in September 2013 acknowledges overdiagnosis as 'the main risk of screening'. [25] Given the current lack of awareness of overdiagnosis,[26-30] such a change to public information is significant and there is a need for high quality research into its effects. Breast screening is a highly emotive issue, as demonstrated by the public outrage unleashed when the US Preventive Services Task Force changed its recommendations in 2009.[31-33] Moves to include overdiagnosis in screening information materials stand to affect large numbers of women around the world. Research is needed to ensure important messages are not misconstrued in ways that adversely affect women's health and wellbeing.

There is little research on public responses to overdiagnosis. In a focus group study,[27] we examined 50 women's understanding of overdiagnosis, screening attitudes and intentions, and views on information provision. Participants were previously unaware of overdiagnosis but able to understand it. Although surprised, women valued the information about overdiagnosis. These findings were corroborated by a similar UK study.[30] As some women in our study indicated that knowing about overdiagnosis may change their screening or treatment decisions,[27] a careful and balanced approach to communication is critical.

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3 The current population-based trial extends our investigation of the impact of overdetected
4 information into a real-life decision-making setting. Using a longitudinal, randomised design
5 incorporating quantitative and qualitative methods, the trial examines the impact of written
6 information about screening outcomes (breast cancer deaths averted and false positives, either
7 including or excluding overdetected) among women close to the target age for entering Australia's
8 screening program. In addition to examining how the information affects women's decisions,
9 attitudes, psychological responses and wellbeing in the short term, we will follow participants for
10 two years to assess effects on screening participation and to qualitatively investigate the longer term
11 impact of this information in women who do and do not choose to be screened.
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14 15 **Aims**

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17 We will examine and evaluate the impact of information about overdetected in breast screening on:

- 18 1. informed choice – measured via knowledge, attitudes, and intentions;
 - 19 2. decision process (decisional conflict and confidence);
 - 20 3. short term psychosocial outcomes (anxiety, risk perceptions, breast cancer worry, anticipated
21 regret);
 - 22 4. screening attendance over two years;
 - 23 5. experience of screening for those who attend;
 - 24 6. long term psychosocial outcomes (decision regret, quality of life).
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30 **METHODS AND ANALYSIS**

31 **Study design**

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33 The study uses a randomised trial design with conventional quantitative outcomes plus an
34 embedded longitudinal qualitative sub-study (streams A (quantitative) and B (qualitative) – see
35 Figure 1). This design ensures we can (1) quantify the impact of overdetected information on
36 women's immediate screening decision making, and (2) assess behaviour and psychosocial outcomes
37 throughout a two year follow-up period, allowing us to contextualise experiences and capture
38 changes over time among women who ultimately choose to screen or not screen.
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42 Since it is plausible that the qualitative interviews could influence responses to the quantitative
43 measures (even by simply reminding women of breast screening), we have separated the cohorts
44 entirely so that our quantitative dataset will not include any women who are part of the qualitative
45 component of the research. Both streams will follow the same procedure including all quantitative
46 measures completed via telephone, but women in the qualitative stream will be invited for
47 additional interviews at the time points specified.
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50 **Setting and participants**

51
52 Study participants will be a community sample of women aged 48-50 years from the Australian state
53 of New South Wales (NSW). The government-funded program, BreastScreen NSW, offers a free
54 biennial screening service and mails a personal invitation to all women when they turn 50 and enter
55 the target age range. The study will therefore involve women who are approaching or at this
56 decision point. This study focuses on women facing an initial decision about whether to screen, as
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3 our qualitative study found that women's perceptions of overdetection were influenced by their
4 previous screening participation.[27]

6 **Eligibility criteria**

8 Inclusion criteria

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10 Individuals will be eligible if they are female; aged 48-50 years; residing in NSW; and sufficiently
11 fluent in English[34] to understand study materials and complete telephone interviews.

14 Exclusion criteria

15
16 Individuals will be excluded from the trial if they have a personal history of breast cancer; have had
17 any mammogram within the previous two years; or are at increased risk of breast cancer compared
18 with the general population, for example due to a strong family history.[35] Women at increased risk
19 will be referred to their doctor or the Australian Cancer Council's telephone helpline.

21 **Pilot study**

22
23 Before the main trial, a pilot study will be carried out with approximately 30 women to test the
24 recruitment and data collection procedures up to the two-week telephone survey, including
25 checking the suitability of the telephone interview scripts.

28 **Participant recruitment**

29
30 We will recruit by sampling from a random extract of women in the appropriate age group, drawn
31 from the NSW electoral register. Recruitment will be carried out via telephone by the Hunter Valley
32 Research Foundation (HVRF), an independent non-profit organisation with extensive experience
33 running community surveys and successfully recruiting participants into health research studies.[27,
34 36]

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37 A database containing names and telephone numbers will be encrypted and sent to HVRF. Trained
38 HVRF interviewers will telephone potential respondents and explain how and why their contact
39 details were obtained. Interviewers will then briefly introduce the study and determine eligibility
40 using a series of simple questions. Eligible women will be informed about what the main study
41 involves and invited to participate. The trial's aims will be described in a general way, as 'a study to
42 make sure that written information about breast cancer screening is clear and helpful to women',
43 without specifically referring to overdetection. Consent will be obtained orally and documented by
44 the interviewer.

48 **Pre-intervention procedure and measures**

49
50 To achieve a common baseline level of information about screening, immediately after recruitment
51 to the study all women will be sent the BreastScreen NSW program leaflet, a freely available leaflet
52 that BreastScreen sends to women together with their invitations to attend screening.[37] As well as
53 outlining practical aspects of mammography screening, the leaflet describes benefits of early
54 detection while acknowledging the possibility of a false negative (i.e., missed cancer) or false
55 positive result (i.e., abnormal mammogram when there is no cancer). It does not provide
56 quantitative estimates of the chances of these outcomes, nor does it mention overdetection.
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3 After one week HVRF will telephone each participant again, collect demographic information not
4 already recorded, and check that the woman has received the leaflet and had time to read it. If so,
5 the interviewer will collect the following baseline data and then proceed to randomisation:
6

7 *Stage of decision making*

8 Women will be asked how far along they are with their decision about screening, using a single item
9 with four response options, as used in previous trials of screening decision aids.[38, 39]
10

11 *Screening intentions*

12 Women will be asked their intentions about having a screening mammogram within the next two to
13 three years, using a single item with five response options (ranging from *definitely will not* to
14 *definitely will*).[40, 41]
15

16 *Screening attitudes*

17 Attitudes towards screening will be measured using a validated, theory-based generic screening
18 attitudes scale comprising six items[42] with five response categories ranging from *strongly disagree*
19 to *strongly agree* (scored 1-5).[36]
20

21 *Screening knowledge (conceptual)*

22 Women's knowledge of the main concepts of screening will be assessed using items adapted from
23 previous decision aid trials.[36, 38, 39]
24

25
26 If the woman has not yet read the leaflet, arrangements will be made to call back at an agreed time.
27 If she has still not read the leaflet by the next contact, she will be excluded from the trial (prior to
28 randomisation). The purpose of only including women who have demonstrated a willingness to read
29 study materials is to maximise the likelihood that the individuals randomised will read their allocated
30 intervention and complete the trial, which is designed to detect any intervention effect under ideal
31 circumstances. This is appropriate for the first randomised trial of consumer information about
32 overdetection in breast screening.
33
34
35
36

37 **Allocation procedures**

38 Randomisation sequences will be generated by a statistician who has no contact with participants,
39 using permuted blocks with sizes of 4 and 8. Interviewers responsible for recruiting participants will
40 not be aware of the randomisation sequence or allocation and therefore will not know which
41 intervention respondents will receive.
42

43 Randomisation to stream A vs. B

44
45 During the second telephone contact, participants will be randomised to either stream A or
46 invitation to the qualitative stream B (described by the telephone interviewer as an 'enhanced
47 version' of the study) to achieve the desired sample size in each stream (i.e., in an allocation ratio of
48 approximately 13:1). Women who accept the invitation to stream B will be sent plain-language
49 written information about the qualitative sub-study together with their allocated booklet. Women
50 who decline the invitation to stream B will be included in stream A.
51
52
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55 Randomisation to intervention vs. control

Participants within each stream will be allocated to either the intervention or control arm using permuted block randomisation with a 1:1 ratio.

Intervention and control arms

The trial will compare two versions of an evidence-based written information booklet explaining the benefit and harms of biennial mammography screening from age 50, cumulated over 20 years:

1. Intervention: explanatory information and quantitative estimates of breast cancer mortality benefit, false positives (including total number of women with a false positive, and number having a biopsy), and over-detection; vs.
2. Control: the same explanatory and quantitative information about breast cancer mortality benefit and false positives as in the intervention group but with NO over-detection information.

The booklet was developed for the purposes of this study, and is designed to inform but not to influence women either towards or away from screening. The content and presentation were guided by our focus group findings regarding women's understanding of overdiagnosis, areas of concern or confusion, and views on communication (including a preference for the term over-detection rather than overdiagnosis).[27] The booklet was developed with input from layperson collaborators, reviewed by independent clinical and communication experts, and thoroughly piloted for acceptability and comprehension (details of piloting will be published separately).

The quantitative evidence presented is based on an updated version of our published model of screening outcomes[43] using effect estimates from a meta-analysis of randomised controlled trials,[10] adjusted to reflect screening attendance rather than invitation, and applied to current Australian data. The expected frequencies of outcomes are illustrated and contextualised using icon arrays depicting the absolute numbers affected per 1000 women screened over 20 years.[44]

Table 1: Summary of contents of intervention and control booklets

Pg. Intervention booklet	Pg. Control booklet
1 Front cover: title + image	1 Front cover: title + image
2 Introduction to purpose of booklet	2 Introduction to purpose of booklet
3 Introduction to content of booklet	3 Introduction to content of booklet
4 Mortality benefit: text + diagram	4 Mortality benefit: text + diagram
5 Over-detection: text + diagram	
6 Over-detection: conceptual illustration	
7 False positive results: text + diagram	5 False positive results: text + diagram
8 Q & A (including breast cancer treatments)	6 Q & A (including breast cancer treatments)
9 Q & A (re over-detection)	
10 Summary table + references	7 Summary table + references
11 Glossary; further information sources	8 Back cover: glossary; further information sources
12 Back cover: blank	

Table 1 summarises the topics covered in the two versions of the booklet (for additional detail, see Supplement). Both are identical in format; the control version was produced directly from the

intervention booklet by simply deleting the two pages on overdetection and all other references to it (e.g., in Q & A and summary table). The sections on benefit and false positives are identical across versions in terms of content and format.

Stream A: Quantitative study

Methods of data collection and blinding

Trained HVRF interviewers will conduct a telephone survey (15-20 minutes) to collect post-intervention outcome data two weeks after randomisation, and will carry out further brief telephone surveys for long-term follow-up at six months, one year, and two years post-intervention. Table 2 lists the study variables and timing for measurement.

Table 2: Summary of study variables and timing for measurement

	CALL #	1	2	3	4	5	6
	Time from baseline	-1 week	BASELINE	2 weeks	6 months	1 year	2 years
Recruitment		x					
Demographics			x				
Stage of decision making			x				
Screening intentions			x	x			x
Screening attitudes			x	x		x	x
Screening knowledge (conceptual)			x	x		x	x
Screening knowledge (numerical)				x		x	x
Overdetection knowledge (conceptual)				x		x	x
Overdetection knowledge (numerical)				x		x	x
Perceived importance of benefit/harms				x			
Perceived chances of benefit/harms				x			
Booklet utilisation/acceptability				x			
Decision process				x			
Time perspective				x			
Anticipated regret				x			x
Perceived risk of breast cancer				x	x	x	x
Breast cancer worry				x	x	x	x
Anxiety				x	x	x	x
Screening participation					x	x	x
Decision regret					x	x	x
Quality of life					x	x	x

1
2
3 The HVRF personnel are independent from the research team, and interviews will be conducted
4 within a supervised environment where interviewer performance is regularly monitored to ensure
5 scripts are read as written. All survey questions use standardised wording, and the questions are
6 designed such that the woman's study group allocation is unclear to the interviewer until the final
7 part of the interview.
8
9

10 **Outcome measures**

11 **Primary outcome**

12
13
14 The primary outcome is informed choice – that is, the extent to which women's screening decisions
15 are consistent with their informed values or attitudes.[45, 46] Informed choice is assessed by
16 combining measures of knowledge, attitudes, and actual choice,[47] and has been used successfully
17 in previous decision aid studies.[36, 38, 48] Selection of this primary outcome reflects recent
18 international commitments to informed choice as a key marker of quality in screening programs.
19
20

21 Informed choice will be assessed at two weeks post-intervention, as a dichotomous outcome, and
22 the intervention and control groups will be compared in terms of the proportion of women making
23 an informed choice. To determine whether each woman makes an informed choice we will
24 separately measure, and then combine, three components: knowledge, attitudes, and intentions. An
25 informed choice is one in which knowledge is adequate, with attitudes and intentions being
26 consistent (i.e. positive attitudes with positive intentions or negative attitudes with negative
27 intentions). For the purposes of assessing informed choice, the knowledge, attitude, and intention
28 measures will be dichotomised using an a priori threshold (see below). The three component
29 variables will also be examined and reported separately to enable more fine grained understanding
30 of the impact of the intervention on decision making.
31
32
33
34

35 *Screening knowledge*

36 We will apply a competency-based approach to assess knowledge[49] in line with our published
37 knowledge assessment framework.[50] Understanding of both conceptual and numerical
38 information provided in the study will be measured using items adapted from our previous decision
39 aid trials.[36, 38] The items are designed to assess understanding of core screening concepts
40 (including mortality benefit, false positives, and overdetection) and awareness of the approximate
41 numbers of women affected by particular outcomes. Total knowledge scores will comprise four
42 subscales: conceptual understanding (of general and overdetection-related information) and
43 numerical understanding (general and overdetection-related). As in previous decision aid trials,[36,
44 38, 39, 48] knowledge will be scored using a marking scheme developed a priori. The threshold score
45 to be considered adequate for the purposes of determining informed choice will also be set a priori.
46 Women will have to demonstrate a basic conceptual understanding of overdetection, false positives,
47 and the mortality benefit from screening to be considered as having adequate knowledge. Sensitivity
48 analyses will examine the impact of using higher and lower thresholds.
49
50
51
52

53 *Screening attitudes*

54 As at baseline, screening attitudes will be measured using a validated six item scale.[42] Scores on
55 each item range from 1 (strongly negative) to 5 (strongly positive).[36] For the informed choice
56 outcome, the threshold for a positive attitude will be a total score of 24 or above (i.e. scores of 4 or
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58
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1
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3 5 on the five point response scale for each item). Because literature shows that screening attitudes
4 are typically very positive,[36, 38, 39] a sensitivity analysis will explore the impact of using a higher
5 threshold.
6

7 *Screening intentions*

8 Intentions to participate in screening within the next two to three years will be measured as
9 described at baseline.[40, 41] Scores will be dichotomised on the five point scale as categories 1-3
10 (responses *definitely will not*, *will not*, and *unsure*) indicating 'not intending' to screen and categories
11 4-5 (*will* and *definitely will*) as 'intending' to screen.
12
13

14 *Secondary outcomes*

15 The following outcomes will be measured at two weeks post-intervention.
16
17

18 *Perceived importance of screening benefit/harms*

19 Purpose-developed items will be used to ask women about their personal perceptions of the
20 importance of specific screening outcomes in their decision making about screening. Women will be
21 asked how important it is for them to consider the chances of avoiding breast cancer death; being
22 diagnosed and treated for a cancer that is not harmful; and having a false positive. The four
23 response options range from *very important* to *not at all important*.
24
25
26

27 *Perceived personal chances of screening benefit/harms*

28 Women will be asked about their perceived personal likelihood of experiencing specific outcomes
29 (as above) if they have screening, compared with an average screened woman,[51] using five verbal
30 response categories ranging from *much lower* to *much higher*.
31
32

33 *Decision process*

34 Decisional conflict and confidence will be assessed using the validated and widely used Decisional
35 Conflict Scale (10 item low literacy version)[36, 52] and Decision Self-Efficacy Scale.[36, 53]
36
37

38 *Time perspective*

39 This will be assessed using the four item short form of the Consideration of Future Consequences
40 Scale,[54, 55] with five response categories ranging from *strongly agree* to *strongly disagree*.
41
42

43 *Anticipated regret*

44 Two items from a validated scale will measure anticipated regret, both about screening (action
45 regret) and about not screening (inaction regret),[56, 57] with five response categories ranging from
46 *strongly agree* to *strongly disagree*.
47

48 *Perceived personal risk of breast cancer*

49 Women will be asked about their perceptions of personal risk for developing breast cancer in their
50 lifetime, in absolute terms[57] (using four verbal response categories ranging from *no chance* to *high*
51 *chance*) and relative to an average woman of the same age[58] (using five verbal response
52 categories ranging from *much lower* to *much higher*).
53
54

55 *Breast cancer worry*

56 A validated single item will measure women's level of worry about developing breast cancer, using
57 four verbal response categories ranging from *not worried at all* to *very worried*. [36, 38, 59]
58
59
60

Anxiety

This will be measured with the six item short form of the Spielberger State Trait Anxiety Inventory.[36, 56, 60]

Booklet utilisation and acceptability

Acceptability and utilisation of materials will be assessed by items measuring how women used and evaluated the booklets, as used successfully in previous decision aid trials.[39, 61]

The following secondary outcomes will be measured at longer-term follow-up.

Screening participation

Self-reported attendance at breast screening will be assessed via telephone survey at 6 months, 1 and 2 years. Previous research has demonstrated that this is a reliable indicator of actual breast screening behaviour in Australia (91% of women reported a mammogram accurately to within a year of the recorded date).[62] Attendance at diagnostic mammograms and other breast tests will also be assessed by self-report at these time points, and any relevant diagnoses will be recorded. At the end of the trial we intend to assess participants' screening attendance from screening records as well.

Decision regret

At 6 months, 1 and 2 years, the Decision Regret Scale[63] will measure women's level of regret regarding their initial decision whether to screen or not. The scale has five items and five response categories ranging from *strongly agree* to *strongly disagree*.

Quality of life

At each of the long-term follow-up contacts, quality of life will be measured using the Consequences of Screening in Breast Cancer (COS-BC) questionnaire, part I.[64]

Screening knowledge, attitudes, intentions, and psychosocial outcomes measured previously

Long-term follow-up contacts will reassess selected outcomes using the same measures as previously (see Table 2 for details).

Sample size

The primary analysis will be comparing the two study groups on the proportion of women who make an informed choice, using the chi-square test. We judge an absolute difference of 10-15% to be relevant. Assuming conservatively that one of the group proportions is 50%, in order to achieve 80% power to detect a group difference of 10% with a two-sided significance level of 5%, we require 407 women per arm at the two week follow-up. This sample size is sufficient to detect a 10-15% difference in intentions and a mean difference smaller than 0.5 standard deviations in knowledge, attitudes, and psychosocial outcomes (assuming standard deviations for these scales based on results from our previous trials) which is considered the minimum clinically important difference for psychosocial outcomes.[65]

Based on our previous research using a similar protocol[36] and data from HVRF, we anticipate losing 10% of recruited women at the pre-intervention stage because they do not read initial study materials within the required time frame, and up to a further 10% who cannot be contacted for the two week follow-up survey. Therefore to achieve our two week follow-up target sample of 814

1
2
3 women in the quantitative stream and 60 in the qualitative stream (see below) we aim to recruit
4 approximately 1078 women into the study.
5

6 Based on their extensive telephone survey experience, HVRF have estimated a further 20% loss to
7 follow-up at 1 year and an additional 10% at 2 years (total loss to follow-up at 2 years is 30%). The
8 remaining sample should be sufficient to detect a difference of 12% in attendance at 2 years among
9 285 women per arm (assuming a 30% attrition rate and 47% attendance rate at mammography
10 screening).[66]
11
12

13 **Statistical analysis methods**

14
15 Analysis will compare the intervention and control groups on an intention to treat basis (i.e., all
16 participants, as randomised) and will be carried out blinded to intervention status. We will use the
17 chi-squared test to analyse binary outcomes including informed choice, and the two-sample t-test
18 for continuous outcomes, with a significance level of 5%. We will use multiple imputation and
19 sensitivity analyses to explore the impact of missing data.
20
21

22 **Stream B: Qualitative study**

23
24 We will conduct a longitudinal qualitative evaluation among women randomised to stream B of the
25 trial to explore in depth their responses to information about overdetection – specifically, how they
26 understand the information and integrate it with existing knowledge, and their subsequent
27 intentions and decisions whether to participate in screening. Women will receive an identical
28 protocol to those in the main trial (stream A), including quantitative telephone survey measures.
29 However, we will also carry out face-to-face or telephone interviews among these women over 2
30 years at time points corresponding with the assessment of self-reported screening behaviour in the
31 main RCT (6 months, 1 and 2 years). This will enable us to examine the experience of screening
32 among women who choose to screen with and without exposure to overdetection information (i.e.,
33 intervention and control groups), to assess whether the information has any positive or negative
34 impact on women's screening experience (e.g., the way in which women interpret, cope with, and
35 act upon their screening results). This will allow a rich and contextualised understanding of women's
36 experiences and decision making to complement the quantitative data, and will also enable us to
37 examine the experience of women who choose not to screen, including changes in their feelings
38 over time and following the receipt of screening invitations. Based on current participation in
39 BreastScreen we expect between 25-50% of the women will choose not to be screened, giving us a
40 meaningful sample of women choosing to screen and not to screen within the qualitative stream.
41
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43
44
45

46 **Methods of data collection**

47
48 All women will be interviewed either face to face or by telephone, 1-2 months post intervention, by
49 an experienced qualitative interviewer. Subsequent interviews will be conducted by telephone. For
50 participants living more than two hours' travel from Sydney, all interviews will be by telephone.
51 Interviews will be audio recorded and transcribed verbatim.
52
53

54 **Sample size**

1
2
3 The intended sample size in the qualitative stream is 60 participants, of whom approximately 30 will
4 be randomised to each study arm. This is a well-accepted sample size in qualitative studies using in-
5 depth interviews, sufficient to explore variation in experiences among participants.[67, 68]
6

7 **Qualitative analysis**

8
9 The study will take a phenomenological perspective and will use Framework Analysis,[69] a widely
10 used matrix-based method of thematic analysis which has been applied successfully in many
11 published qualitative studies.[70, 71] This method enables qualitative themes to be compared both
12 within individuals (e.g. a woman's understanding of overdetection and her psychological response to
13 it) and between individuals (e.g. comparing women who choose to screen and those who do not). It
14 is particularly useful when working in a large research team to facilitate transparency and rigour in
15 the analytic process, and interpretation of research findings.
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20 **ETHICS AND DISSEMINATION**

21 The University of Sydney Human Research Ethics Committee has approved the study (project no.
22 2012/1429).
23

24
25 Consent will be provided over the telephone and documented by the HVRF interviewer. Explaining
26 the study and obtaining consent by telephone will facilitate comprehension and reduce the
27 unnecessary burden entailed in a written consent form. All HVRF telephone interviews are
28 administered through a Computer Assisted Telephone Interview (CATI) program. The CATI and
29 quality control processes used by HVRF ensure that interviewers do not skip any statements
30 providing information to respondents. Immediately after recruitment, women will be sent plain-
31 language written study information to inform them of their right to refuse participation or withdraw
32 consent at any time, including instructions for how to contact the researchers with questions,
33 withdraw from the study, or make a complaint.
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38 The results of the trial will be published in appropriate journals, regardless of the outcomes. The trial
39 will be reported in accordance with the CONSORT Statement.[72]
40
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42

43 **REGISTRATION DETAILS**

44 The trial is registered with the Australian New Zealand Clinical Trials Registry (registration no.
45 ACTRN12613001035718).
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50 **FIGURE LEGEND**

51 Figure 1: Design of randomised controlled trial with longitudinal qualitative sub-study
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AUTHORS' CONTRIBUTIONS

KMcC, JH, LI, AB, and JJ developed the original concept of this study. All authors contributed to discussion and revisions to the study design. KMcC, AB, JJ, NH, HD, and KMcG obtained funding. JH drafted the manuscript; all other authors were involved in revision of the manuscript.

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COMPETING INTERESTS

None declared

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TITLE

The effect of information about overdetection of breast cancer on women's decision making about mammography screening: study protocol for a randomised controlled trial

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ABSTRACT**Introduction**

Women are largely unaware that mammography screening can cause overdiagnosis of inconsequential disease, leading to overdiagnosis and overtreatment of breast cancer. Evidence is lacking about how information on overdiagnosis affects women's breast screening decisions and experiences. This study investigates the consequences of providing information about overdiagnosis of breast cancer to women approaching the age of invitation to mammography screening.

Methods and analysis

This is a randomised controlled trial with an embedded longitudinal qualitative sub-study. Participants are a community sample of women aged 48-50 in New South Wales, Australia, recruited in 2014. Women are randomly allocated to either quantitative only follow-up (n=904) or additional qualitative follow-up (n=66). Women in each stream are then randomised to receive either the intervention (evidence-based information booklet including overdiagnosis, breast cancer mortality reduction and false positives) or a control information booklet (including mortality reduction and false positives only). The primary outcome is informed choice about breast screening (adequate knowledge, and consistency between attitudes and intentions) assessed via telephone interview at 2 weeks post-intervention. Secondary outcomes measured at this time include decision process (decisional conflict and confidence) and psychosocial outcomes (anticipated regret, anxiety, breast cancer worry and perceived risk). Women are further followed up at 6 months, 1 and 2 years to assess self-reported screening behaviour and long term psychosocial outcomes (decision regret, quality of life). Participants in the qualitative stream undergo additional in-depth interviews at each time point to explore the views and experiences of women who do and do not choose to have screening.

Ethics and dissemination

The study has ethical approval, and results will be published in peer-reviewed journals. This research will help ensure that information about overdiagnosis may be communicated clearly and effectively, using an evidence-based approach, to women considering breast cancer screening.

Registration details

Australian New Zealand Clinical Trials Registry ACTRN12613001035718

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This longitudinal mixed-methods study includes a randomised controlled trial and a qualitative sub-study. Participants are sampled randomly and are making real-life decisions. The intervention rests on strong evidence (updated published model of screening outcomes incorporating local data) including extensive qualitative research. The primary outcome is informed choice, and data are collected by an independent non-profit company.
- Our estimates of the effects of screening are drawn from trials conducted overseas and in the past. The intervention may not address the needs of some population groups such as people with low literacy.

For peer review only

INTRODUCTION

While mammography screening can reduce breast cancer mortality, it also carries the risk of overdiagnosis (or overdiagnosis). This occurs when screening detects a cancer that would not have presented clinically during the woman's lifetime, meaning she would never have acquired a diagnosis had she not attended screening. Overdiagnosis and the resulting overtreatment are likely to cause harm in terms of emotional wellbeing,[1] physical health in the short and long term,[2] and implications for relatives consequently classified as high risk.[3, 4]

The problems of overdiagnosis and overtreatment in cancer screening[5] and the broader health context[6] are receiving increasing attention. In the UK, an independent expert panel was commissioned in 2011 to review evidence on important consequences of breast screening, including the challenging task of quantifying the level of overdiagnosis. Wide variations among previous estimates had prompted extensive debate over the appropriateness of different observational methods and their associated biases.[7-9] Focusing on the randomised trials as the best quality evidence, the panel concluded that invitation to screening leads to overdiagnosis of breast cancer at a rate of 19% during the 20 year screening period.[10]

Historically, information materials distributed by breast screening programs worldwide have emphasised benefits and lacked explanation of overdiagnosis.[11-14] The appropriateness of explicitly informing people about overdiagnosis has been debated, with reluctance driven by concerns about dissuading women from screening.[15, 16] However, in the context of a growing international movement towards policies promoting greater involvement of patients and citizens in health decision making[17-20] it has been argued that people offered screening should have the opportunity to make informed decisions about whether to participate.

Making an informed decision about screening requires clear, balanced information on benefits and harms.[10, 20-23] A new approach to public information was recently adopted in the UK with the aim of better supporting informed choice,[24] and the new UK breast screening leaflet released in September 2013 acknowledges overdiagnosis as 'the main risk of screening'. [25] Given the current lack of awareness of overdiagnosis,[26-30] such a change to public information is significant and there is a need for high quality research into its effects. Breast screening is a highly emotive issue, as demonstrated by the public outrage unleashed when the US Preventive Services Task Force changed its recommendations in 2009.[31-33] Moves to include overdiagnosis in screening information materials stand to affect large numbers of women around the world. Research is needed to ensure important messages are not misconstrued in ways that adversely affect women's health and wellbeing.

There is little research on public responses to overdiagnosis. In a focus group study,[27] we examined 50 women's understanding of overdiagnosis, screening attitudes and intentions, and views on information provision. Participants were previously unaware of overdiagnosis but able to understand it. Although surprised, women valued the information about overdiagnosis. These findings were corroborated by a similar UK study.[30] As some women in our study indicated that knowing about overdiagnosis may change their screening or treatment decisions,[27] a careful and balanced approach to communication is critical.

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3 The current population-based trial extends our investigation of the impact of overdetection
4 information into a real-life decision-making setting. Using a longitudinal, randomised design
5 incorporating quantitative and qualitative methods, the trial examines the impact of written
6 information about screening outcomes (breast cancer deaths averted and false positives, either
7 including or excluding overdetection) among women close to the target age for entering Australia's
8 screening program. In addition to examining how the information affects women's decisions,
9 attitudes, psychological responses and wellbeing in the short term, we will follow participants for
10 two years to assess effects on screening participation and to qualitatively investigate the longer term
11 impact of this information in women who do and do not choose to be screened.
12
13

14 15 **Aims**

16
17 We will examine and evaluate the impact of information about overdetection in breast screening on:

- 18 1. informed choice – measured via knowledge, attitudes, and intentions;
 - 19 2. decision process (decisional conflict and confidence);
 - 20 3. short term psychosocial outcomes (anxiety, risk perceptions, breast cancer worry, anticipated
21 regret);
 - 22 4. screening attendance over two years;
 - 23 5. experience of screening for those who attend;
 - 24 6. long term psychosocial outcomes (decision regret, quality of life).
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30 **METHODS AND ANALYSIS**

31 **Study design**

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34 The ~~proposed~~ study uses a randomised trial design with conventional quantitative outcomes plus an
35 embedded longitudinal qualitative sub-study (streams A (quantitative) and B (qualitative) – see
36 Figure 1). This design ensures we can (1) quantify the impact of overdetection information on
37 women's immediate screening decision making, and (2) assess behaviour and psychosocial outcomes
38 throughout a two year follow-up period, allowing us to contextualise experiences and capture
39 changes over time among women who ultimately choose to screen or not screen.
40
41

42 Since it is plausible that the qualitative interviews could influence responses to the quantitative
43 measures (even by simply reminding women of breast screening), we have separated the cohorts
44 entirely so that our quantitative dataset will not include any women who are part of the qualitative
45 component of the research. Both streams will follow the same procedure including all quantitative
46 measures completed via telephone, but women in the qualitative stream will be invited for
47 additional interviews at the time points specified.
48
49

50 **Setting and participants**

51
52 Study participants will be a community sample of women aged 48-50 years from the Australian state
53 of New South Wales (NSW). The government-funded program, BreastScreen NSW, offers a free
54 biennial screening service and mails a personal invitation to all women when they turn 50 and enter
55 the target age range. The study will therefore involve women who are approaching or at this
56 decision point. This study focuses on women facing an initial decision about whether to screen, as
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our qualitative study found that women's perceptions of overdetected were influenced by their previous screening participation.[27]

Eligibility criteria

Inclusion criteria

Individuals will be eligible if they are female; aged 48-50 years; residing in NSW; and sufficiently fluent in English[34] to understand study materials and complete telephone interviews.

Exclusion criteria

Individuals will be excluded from the trial if they have a personal history of breast cancer; have had any mammogram within the previous two years; or are at increased risk of breast cancer compared with the general population, for example due to a strong family history.[35] Women at increased risk will be referred to their doctor or the Australian Cancer Council's telephone helpline.

Pilot study

Before the main trial, a pilot study will be carried out with approximately 30 women to test the recruitment and data collection procedures up to the two-week telephone survey, including checking the suitability of the telephone interview scripts.

Participant recruitment

We will recruit by sampling from a random extract of women in the appropriate age group, drawn from the NSW electoral register. Recruitment will be carried out via telephone by the Hunter Valley Research Foundation (HVRF), an independent non-profit organisation with extensive experience running community surveys and successfully recruiting participants into health research studies.[27, 36]

A database containing names and telephone numbers will be encrypted and sent to HVRF. Trained HVRF interviewers will telephone potential respondents and explain how and why their contact details were obtained. Interviewers will then briefly introduce the study and determine eligibility using a series of simple questions. Eligible women will be informed about what the main study involves and invited to participate. The trial's aims will be described in a general way, as 'a study to make sure that written information about breast cancer screening is clear and helpful to women', without specifically referring to overdetected. Consent will be obtained orally and documented by the interviewer.

Pre-intervention procedure and measures

To achieve a common baseline level of information about screening, immediately after recruitment to the study all women will be sent the BreastScreen NSW program leaflet, a freely available leaflet that BreastScreen sends to women together with their invitations to attend screening.[37] As well as outlining practical aspects of mammography screening, the leaflet describes benefits of early detection while acknowledging the possibility of a false negative (i.e., missed cancer) ~~and~~ false positive results (i.e., abnormal mammogram when there is no cancer). It does not provide quantitative estimates of the chances of these outcomes, nor does it mention overdetected.

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3 After one week HVRF will telephone each participant again, collect demographic information not
4 already recorded, and check that the woman has received the leaflet and had time to read it. If so,
5 the interviewer will collect the following baseline data and then proceed to randomisation:
6

7 *Stage of decision making*

8 Women will be asked how far along they are with their decision about screening, using a single item
9 with four response options, as used in previous trials of screening decision aids.[38, 39]
10

11 *Screening intentions*

12 Women will be asked their intentions about having a screening mammogram within the next two to
13 three years, using a single item with five response options (ranging from *definitely will not* to
14 *definitely will*).[40, 41]
15

16 *Screening attitudes*

17 Attitudes towards screening will be measured using a validated, theory-based generic screening
18 attitudes scale comprising six items[42] with five response categories ranging from *strongly disagree*
19 to *strongly agree* (scored 1-5).[36]
20

21 *Screening knowledge (conceptual)*

22 Women's knowledge of the main concepts of screening will be assessed using items adapted from
23 previous decision aid trials.[36, 38, 39]
24

25
26 If the woman has not yet read the leaflet, arrangements will be made to call back at an agreed time.
27
28 If she has still not read the leaflet by the next contact, she will be excluded from the trial (prior to
29 randomisation). The purpose of only including women who have demonstrated a willingness to read
30 study materials is to maximise the likelihood that the individuals randomised will read their allocated
31 intervention and complete the study trial, which is designed to detect any intervention effect under
32 ideal circumstances. This is appropriate for the first randomised trial of consumer information about
33 overdetection in breast screening.
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38 **Allocation procedures**

39 Randomisation sequences will be generated by a statistician who has no contact with participants,
40 using permuted blocks with sizes of 4 and 8. Interviewers responsible for recruiting participants will
41 not be aware of the randomisation sequence or allocation and therefore will not know which
42 intervention respondents will receive.
43

44 Randomisation to stream A vs. B

45
46 During the second telephone contact, participants will be randomised to either stream A or
47 invitation to the qualitative stream B (described by the telephone interviewer as an 'enhanced
48 version' of the study) to achieve the desired sample size in each stream (i.e., in an allocation ratio of
49 approximately 13:1). Women who accept the invitation to stream B will be sent plain-language
50 written information about the qualitative sub-study together with their allocated booklet. Women
51 who decline the invitation to stream B will be included in stream A.
52
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55 Randomisation to intervention vs. control

Participants within each stream will be allocated to either the intervention or control arm using permuted block randomisation with a 1:1 ratio.

Intervention and control arms

The trial will compare two versions of an evidence-based written information booklet explaining the benefit and harms [of biennial mammography screening from age 50, cumulated over 20 years](#):

1. Intervention: ~~expected benefit and harms cumulated over 20 years of biennial mammography screening (starting from age 50), including~~ explanatory information and quantitative estimates of breast cancer mortality benefit, false positives ([including total number of women with a false positive, and number having a biopsy mammogram results when there is no underlying disease](#)), and over-detection; vs.
2. Control: the same [explanatory and quantitative](#) information about breast cancer mortality benefit and false positives as in the intervention group but with NO over-detection information.

The booklet was developed for the purposes of this study, and is designed to inform but not to influence women either towards or away from screening. The content and presentation were guided by our focus group findings regarding women's understanding of overdiagnosis, areas of concern or confusion, and views on communication (including a preference for the term over-detection rather than overdiagnosis).[27] The booklet was developed with input from layperson collaborators, reviewed by independent clinical and communication experts, and thoroughly piloted for acceptability and comprehension (details of piloting will be published separately).

The quantitative evidence presented is based on an updated version of our published model of screening outcomes[43] using effect estimates from a meta-analysis of randomised controlled trials,[10] adjusted to reflect screening attendance rather than invitation, and applied to current Australian data. The expected frequencies of outcomes are illustrated and contextualised using icon arrays [depicting the absolute numbers affected per 1000 women screened over 20 years](#).[44]

Table 1: Summary of contents of intervention and control booklets

Pg. Intervention booklet	Pg. Control booklet
1 Front cover: title + image	<u>1</u> Front cover: title + image
2 Introduction to purpose of booklet	<u>2</u> Introduction to purpose of booklet
3 Introduction to content of booklet	<u>3</u> Introduction to content of booklet
4 Mortality benefit: text + diagram	<u>4</u> Mortality benefit: text + diagram
5 Over-detection: text + diagram	False positive results: text + diagram
6 Over-detection: conceptual illustration	Q & A (including breast cancer treatments)
7 False positive results: text + diagram	<u>5</u> False positive results: text + diagram
8 Q & A (including breast cancer treatments)	<u>6</u> Q & A (including breast cancer treatments)
9 Q & A (re over-detection)	
10 Summary table + references	<u>7</u> Summary table + references
11 Glossary; further information sources	<u>8</u> Back cover: glossary; further information sources
12 Back cover: blank	

Table 1 summarises the topics covered in the two versions of the booklet ([for additional detail, see Supplement](#)). Both are identical in format; the control version was produced directly from the intervention booklet by simply deleting the two pages on overdetection and all other references to it (e.g., in Q & A and summary table). The sections on benefit and false positives are identical across versions in terms of content and format.

Stream A: Quantitative study

Methods of data collection and blinding

Trained HVRF interviewers will conduct a telephone survey (15-20 minutes) to collect post-intervention outcome data two weeks after randomisation, and will carry out further brief telephone surveys for long-term follow-up at six months, one year, and two years post-intervention. Table 2 lists the study variables and timing for measurement.

Table 2: Summary of study variables and timing for measurement

	CALL #	1	2	3	4	5	6
	Time from baseline	-1 week	BASELINE	2 weeks	6 months	1 year	2 years
Recruitment		x					
Demographics			x				
Stage of decision making			x				
Screening intentions			x	x			x
Screening attitudes			x	x		x	x
Screening knowledge (conceptual)			x	x		x	x
Screening knowledge (numerical)				x		x	x
Overdetection knowledge (conceptual)				x		x	x
Overdetection knowledge (numerical)				x		x	x
Perceived importance of benefit/harms				x			
Perceived chances of benefit/harms				x			
Booklet utilisation/acceptability				x			
Decision process				x			
Time perspective				x			
Anticipated regret				x			x
Perceived risk of breast cancer				x	x	x	x
Breast cancer worry				x	x	x	x
Anxiety				x	x	x	x
Screening participation					x	x	x
Decision regret					x	x	x
Quality of life					x	x	x

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3 The HVRF personnel are independent from the research team, and interviews will be conducted
4 within a supervised environment where interviewer performance is regularly monitored to ensure
5 scripts are read as written. All survey questions use standardised wording, and the questions are
6 designed such that the woman's study group allocation is unclear to the interviewer until the final
7 part of the interview.
8
9

10 **Outcome measures**

11 Primary outcome

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13
14 The primary outcome is informed choice – that is, the extent to which women's screening decisions
15 are consistent with their informed values or attitudes.[45, 46] Informed choice is assessed by
16 combining measures of knowledge, attitudes, and actual choice,[47] and has been used successfully
17 in previous decision aid studies.[36, 38, 48] Selection of this primary outcome reflects recent
18 international commitments to informed choice as a key marker of quality in screening programs.
19
20

21 Informed choice will be assessed at two weeks post-intervention, as a dichotomous outcome, and
22 the intervention and control groups will be compared in terms of the proportion of women making
23 an informed choice. To determine whether each woman makes an informed choice we will
24 separately measure, and then combine, three components: knowledge, attitudes, and intentions. An
25 informed choice is one in which knowledge is adequate, with attitudes and intentions being
26 consistent (i.e. positive attitudes with positive intentions or negative attitudes with negative
27 intentions). For the purposes of assessing informed choice, the knowledge, attitude, and intention
28 measures will be dichotomised using an a priori threshold (see below). The three component
29 variables will also be examined and reported separately to enable more fine grained understanding
30 of the impact of the intervention on decision making.
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35 *Screening knowledge*

36 We will apply a competency-based approach to assess knowledge[49] in line with our published
37 knowledge assessment framework.[50] Understanding of both conceptual and numerical
38 information provided in the study will be measured using items adapted from our previous decision
39 aid trials.[36, 38] The items are designed to assess understanding of core screening concepts
40 (including mortality benefit, false positives, and overdetection) and awareness of the approximate
41 numbers of women affected by particular outcomes. Total knowledge scores will comprise four
42 subscales: conceptual understanding (of general and overdetection-related information) and
43 numerical understanding (general and overdetection-related). As in previous decision aid trials,[36,
44 38, 39, 48] knowledge will be scored using a marking scheme developed a priori. The threshold score
45 to be considered adequate for the purposes of determining informed choice will also be set a priori.
46
47 Women will have to demonstrate a basic conceptual understanding of overdetection, false positives,
48 and the mortality benefit from screening to be considered as having adequate knowledge. Sensitivity
49 analyses will examine the impact of using higher and lower thresholds.
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53 *Screening attitudes*

54 As at baseline, screening attitudes will be measured using a validated six item scale.[42] Scores on
55 each item range from 1 (strongly negative) to 5 (strongly positive).[36] For the informed choice
56 outcome, the threshold for a positive attitude will be a total score of 24 or above (i.e. scores of 4 or
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3 5 on the five point response scale for each item). Because literature shows that screening attitudes
4 are typically very positive,[36, 38, 39] a sensitivity analysis will explore the impact of using a higher
5 threshold.
6

7 *Screening intentions*

8 Intentions to participate in screening within the next two to three years will be measured as
9 described at baseline.[40, 41] Scores will be dichotomised on the five point scale as categories 1-3
10 (responses *definitely will not*, *will not*, and *unsure*) indicating 'not intending' to screen and categories
11 4-5 (*will* and *definitely will*) as 'intending' to screen.
12
13

14 *Secondary outcomes*

15 The following outcomes will be measured at two weeks post-intervention.
16
17

18 *Perceived importance of screening benefit/harms*

19 Purpose-developed items will be used to ask women about their personal perceptions of the
20 importance of specific screening outcomes in their decision making about screening. Women will be
21 asked how important it is for them to consider the chances of avoiding breast cancer death; being
22 diagnosed and treated for a cancer that is not harmful; and having a false positive. The four
23 response options range from *very important* to *not at all important*.
24
25
26

27 *Perceived personal chances of screening benefit/harms*

28 Women will be asked about their perceived personal likelihood of experiencing specific outcomes
29 (as above) if they have screening, compared with an average screened woman,[51] using five verbal
30 response categories ranging from *much lower* to *much higher*.
31
32

33 *Decision process*

34 Decisional conflict and confidence will be assessed using the validated and widely used Decisional
35 Conflict Scale (10 item low literacy version)[36, 52] and Decision Self-Efficacy Scale.[36, 53]
36
37

38 *Time perspective*

39 This will be assessed using the four item short form of the Consideration of Future Consequences
40 Scale,[54, 55] with five response categories ranging from *strongly agree* to *strongly disagree*.
41
42

43 *Anticipated regret*

44 Two items from a validated scale will measure anticipated regret, both about screening (action
45 regret) and about not screening (inaction regret),[56, 57] with five response categories ranging from
46 *strongly agree* to *strongly disagree*.
47
48

49 *Perceived personal risk of breast cancer*

50 Women will be asked about their perceptions of personal risk for developing breast cancer in their
51 lifetime, in absolute terms[57] (using four verbal response categories ranging from *no chance* to *high*
52 *chance*) and relative to an average woman of the same age[58] (using five verbal response
53 categories ranging from *much lower* to *much higher*).
54
55

56 *Breast cancer worry*

57 A validated single item will measure women's level of worry about developing breast cancer, using
58 four verbal response categories ranging from *not worried at all* to *very worried*. [36, 38, 59]
59
60

Anxiety

This will be measured with the six item short form of the Spielberger State Trait Anxiety Inventory.[36, 56, 60]

Booklet utilisation and acceptability

Acceptability and utilisation of materials will be assessed by items measuring how women used and evaluated the booklets, as used successfully in previous decision aid trials.[39, 61]

The following secondary outcomes will be measured at longer-term follow-up.

Screening participation

Self-reported attendance at breast screening will be assessed via telephone survey at 6 months, 1 and 2 years. Previous research has demonstrated that this is a reliable indicator of actual [breast screening behaviour in Australia \(91% of women reported a mammogram accurately to within a year of the recorded date\)](#). [62] Attendance at diagnostic mammograms and other breast tests will also be assessed by self-report at these time points, and any relevant diagnoses will be recorded. [At the end of the trial we intend to assess participants' screening attendance from screening records as well.](#)

Decision regret

At 6 months, 1 and 2 years, the Decision Regret Scale[63] will measure women's level of regret regarding their initial decision whether to screen or not. The scale has five items and five response categories ranging from *strongly agree* to *strongly disagree*.

Quality of life

At each of the long-term follow-up contacts, quality of life will be measured using the [Consequences of Screening in Breast Cancer \(COS-BC\) questionnaire, part ISF12](#). [64]

Screening knowledge, attitudes, intentions, and psychosocial outcomes measured previously

Long-term follow-up contacts will reassess selected outcomes using the same measures as previously (see Table 2 for details).

Sample size

The primary analysis will be comparing the two study groups on the proportion of women who make an informed choice, using the chi-square test. We judge an absolute difference of 10-15% to be relevant. Assuming conservatively that one of the group proportions is 50%, in order to achieve 80% power to detect a group difference of 10% with a two-sided significance level of 5%, we require 407 women per arm at the two week follow-up. This sample size is sufficient to detect ~~a mean difference of 0.4 in knowledge, 4.5 in attitudes, and~~ a 10-15% difference in intentions. ~~Of the secondary outcomes, the sample will also be sufficient to detect~~ [and](#) a mean difference smaller than 0.5 standard deviations in [knowledge, attitudes, and psychosocial outcomes each scale](#) (assuming standard deviations for these scales based on results from our previous trials) which is considered the minimum clinically important difference for psychosocial outcomes.[65]

Based on our previous research using a similar protocol[36] and data from HVRF, we anticipate losing 10% of recruited women at the pre-intervention stage because they do not read initial study materials within the required time frame, and up to a further 10% who cannot be contacted for the two week follow-up survey. Therefore to achieve our two week follow-up target sample of 814

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2
3 women in the quantitative stream and 60 in the qualitative stream (see below) we aim to recruit
4 approximately 1078 women into the study.
5

6 Based on their extensive telephone survey experience, HVRF have estimated a further 20% loss to
7 follow-up at 1 year and an additional 10% at 2 years (total loss to follow-up at 2 years is 30%).
8 The remaining sample should be sufficient to detect a difference of 12% in attendance at 2 years
9 among 285 women per arm (assuming a 30% attrition rate and 47% attendance rate at
10 mammography screening).[66]
11
12

13 **Statistical analysis methods**

14
15 Analysis will compare the intervention and control groups on an intention to treat basis (i.e., all
16 participants, as randomised) and will be carried out blinded to intervention status. We will use the
17 chi-squared test to analyse binary outcomes including informed choice, and the two-sample t-test
18 for continuous outcomes, with a significance level of 5%. We will use multiple imputation and
19 sensitivity analyses to explore the impact of missing data.
20
21

22 **Stream B: Qualitative study**

23
24 We will conduct a longitudinal qualitative evaluation among women randomised to stream B of the
25 trial to explore in depth their responses to information about overdetection – specifically, how they
26 understand the information and integrate it with existing knowledge, and their subsequent
27 intentions and decisions whether to participate in screening. Women will receive an identical
28 protocol to those in the main trial (stream A), including quantitative telephone survey measures.
29 However, we will also carry out face-to-face or telephone interviews among these women over 2
30 years at time points corresponding with the assessment of self-reported screening behaviour in the
31 main RCT (6 months, 1 and 2 years). This will enable us to examine the experience of screening
32 among women who choose to screen with and without exposure to overdetection information (i.e.,
33 intervention and control groups), to assess whether the information has any positive or negative
34 impact on women's screening experience (e.g., the way in which women interpret, cope with, and
35 act upon their screening results). This will allow a rich and contextualised understanding of women's
36 experiences and decision making to complement the quantitative data, and will also enable us to
37 examine the experience of women who choose not to screen, including changes in their feelings
38 over time and following the receipt of screening invitations. Based on current participation in
39 BreastScreen we expect between 25-50% of the women will choose not to be screened, giving us a
40 meaningful sample of women choosing to screen and not to screen within the qualitative stream.
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46 **Methods of data collection**

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48 All women will be interviewed either face to face or by telephone(where possible), 1-2 months post
49 intervention, by an experienced qualitative interviewer. Subsequent interviews will be conducted by
50 telephone. For participants living more than two hours' travel from Sydney, all interviews will be by
51 telephone. Interviews will be audio recorded and transcribed verbatim.
52
53

54 **Sample size**

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3 The intended sample size in the qualitative stream is 60 participants, of whom approximately 30 will
4 be randomised to each study arm. This is a well-accepted sample size in qualitative studies using in-
5 depth interviews, sufficient to explore variation in experiences among participants.[67, 68]
6

7 **Qualitative analysis**

8
9 The study will take a phenomenological perspective and will use Framework Analysis,[69] a widely
10 used matrix-based method of thematic analysis which has been applied successfully in many
11 published qualitative studies.[70, 71] This method enables qualitative themes to be compared both
12 within individuals (e.g. a woman's understanding of overdetection and her psychological response to
13 it) and between individuals (e.g. comparing women who choose to screen and those who do not). It
14 is particularly useful when working in a large research team to facilitate transparency and rigour in
15 the analytic process, and interpretation of research findings.
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20 **ETHICS AND DISSEMINATION**

21 The University of Sydney Human Research Ethics Committee has approved the study (project no.
22 2012/1429).
23

24
25 Consent will be provided over the telephone and documented by the HVRF interviewer. Explaining
26 the study and obtaining consent by telephone will facilitate comprehension and reduce the
27 unnecessary burden entailed in a written consent form. All HVRF telephone interviews are
28 administered through a Computer Assisted Telephone Interview (CATI) program. The CATI and
29 quality control processes used by HVRF ensure that interviewers do not skip any statements
30 providing information to respondents. Immediately after recruitment, women will be sent plain-
31 language written study information to inform them of their right to refuse participation or withdraw
32 consent at any time, including instructions for how to contact the researchers with questions,
33 withdraw from the study, or make a complaint.
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38 The results of the trial will be published in appropriate journals, regardless of the outcomes. The trial
39 will be reported in accordance with the CONSORT Statement.[72]
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43 **REGISTRATION DETAILS**

44 The trial is registered with the Australian New Zealand Clinical Trials Registry (registration no.
45 ACTRN12613001035718).
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50 **FIGURE LEGEND**

51 Figure 1: Design of randomised controlled trial with longitudinal qualitative sub-study
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AUTHORS' CONTRIBUTIONS

KMcC, JH, LI, AB, and JJ developed the original concept of this study. All authors contributed to discussion and revisions to the study design. KMcC, AB, JJ, NH, HD, and KMcG obtained funding. JH drafted the manuscript; all other authors were involved in revision of the manuscript.

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COMPETING INTERESTS

None declared

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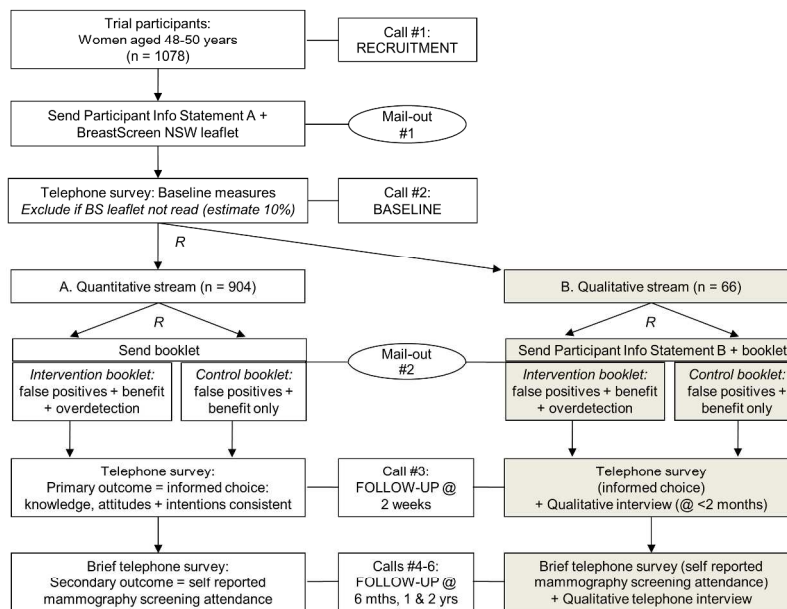
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Design of randomised controlled trial with longitudinal qualitative sub-study
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Supplementary table: Contents of breast screening information booklets used in RCT

Note: ***Bold italics*** indicate content that is in the intervention booklet (IB) but not the control version.

Section	Summary of content
Title	Breast cancer screening: It's your choice
Subtitle	New information to help women aged about 50 to make a decision
Introduction	Why is there a decision to make about having breast cancer screening? What is the purpose of this booklet? What is breast cancer screening? Box: Screening is for women without symptoms Making my choice about screening: Is this information relevant for me? What can I consider to help me make my decision? Box: There are 2 important things to know [<i>IB: 3 important things</i>] Numbers presented are best available estimates
Mortality benefit	Screening leads to fewer women dying from breast cancer Explanation about lower number of women who die of breast cancer Pictograph of 1000 women screened over 20 years, showing how many: * avoid dying from breast cancer because of screening * still die from breast cancer in spite of screening
<i>Overdetection</i>	<i>Screening leads to finding some breast cancers that are not harmful</i> <i>Explanation about overdetection and consequent overtreatment</i> <i>Pictograph of 1000 women screened over 20 years, showing how many:</i> <i>* experience overdetection</i> <i>* are diagnosed with breast cancer that is not overdetection</i> <i>Conceptual illustration contrasting scenarios with vs. without screening</i> <i>Box: Putting together breast cancer mortality benefit vs. overdetection</i>
False positive results	Screening leads to some false positive results and extra testing Explanation about false positive screening results Pictograph of 1000 women screened over 20 years, showing how many: * have a false positive with a biopsy * have a false positive with other extra tests
Questions you may have	What happens after an abnormal screening result? <i>How is overdetection different from false positives?</i> How is breast cancer treated? <i>If diagnosed, can I wait and see before I decide about treatment?</i> <i>Can I screen using ultrasound or some other test, or combine tests?</i> <i>How do we know that overdetection exists?</i>
Making a choice: summary	Table comparing screening vs. no screening, addressing (over 20 years): * What are the chances of dying from breast cancer? <i>* What are the chances of experiencing overdetection?</i> * What are the chances of having a false positive and extra testing? * What would I need to do? Key scientific articles
Glossary	List of 15 medical terms and what they mean [<i>IB: 16 terms</i>]
Closing information	Further information sources (doctor, Cancer Council Helpline, websites) This booklet was developed in 2013 by STEP, University of Sydney If you have any questions about this booklet, please call study helpline University of Sydney logo