## Identification of an additional gene required for eukaryotic nonsense mRNA turnover

(Saccharomyces cerevisiae/mRNA decay/translation/gene expression)

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ABSTRACT Loss of function of any one of three UPF genes prevents the accelerated decay of nonsense mRNAs in Saccharomyces cerevisiae. We report the identification and DNA sequence of UPF3, which is present in one nonessential copy on chromosome VII. Upf3 contains three putative nuclear localization signal sequences, suggesting that it may be located in a different compartment than the cytoplasmic Upfl protein. Epitope-tagged Upf3 (FLAG-Upf3) does not cofractionate with polyribosomes or 80S ribosomal particles. Double disruptions of UPF1 and UPF3 affect nonsense mRNA decay in a manner indistinguishable from single disruptions. These results suggest that the Upf proteins perform related functions in a common pathway.

Several genes have been identified in Saccharomyces cerevisiae and Caenorhabditis elegans that are required for the accelerated rate of decay that occurs when translation terminates prematurely because of frameshift or nonsense mutation (1- 5). Nonsense mRNA decay has been observed in <sup>a</sup> wide range of eukaryotic organisms and may contribute to the etiology of disease processes in humans. A form of  $\beta$ -thalassemia common in human Mediterranean populations has been shown to result from an amber (UAG) nonsense mutation that reduces  $\beta$ -globin mRNA accumulation and may exacerbate the symptoms of the disease (6). The effects of nonsense mutations that arise in somatic cells could also be exacerbated because rapid decay ensures complete loss of function of <sup>a</sup> mRNA that might otherwise produce some functional product (3).

In S. cerevisiae, mutations in UPF1, UPF2, and UPF3 prevent nonsense mRNA decay (1, 2, 4, 5). They were isolated as allosuppressors of  $his4-38$ , a +1 frameshift mutation in the HIS4 gene that causes premature translational termination (7). UPF1 codes for <sup>a</sup> 109-kDa protein that contains putative RNA binding domains, suggesting the potential for direct interaction with mRNA (2). UPF1 behaves like a soluble factor that associates with polyribosomes, but is much less abundant than individual ribosomes (8). UPF2 codes for a 126-kDa protein that functions in the cytoplasm  $(4, 5)$ . The UPF2 gene was identified among clones retrieved by a two-hybrid screen using  $UPF1$  DNA as bait, indicating that the Upf1 and Upf2 proteins may interact physically (4).

To understand how Upf3 might be related to Upfl and Upf2, we have cloned the UPF3 gene, determined the DNA sequence, $\dagger$  and shown that the gene product is not essential for growth. Phenotypic analyses of single and double mutants suggest that both genes may be required in the same pathway.

## MATERIALS AND METHODS

Strains, Plasmids, Genetic Techniques, and Media. The following strains of S. cerevisiae were used: PLY100 (MATa ura3-52 trp1-7 leu2-3,-112), PLY107 (MATa his4-38 SUF1-1  $ura3-52$  leu2 trp1- $\Delta$ 1 lys1-1), PLY140 (MATa his4-38 SUF1-1 upf3-1 trp1-1), BSY12 ( $MAT\alpha$  his4-38 SUF1-1 upf3-1 ura3-52 trp1-1), BSY202 (MAT $\alpha$  his4-38 upf3-1 ura3-52 leu2-2 trp1<sup>-1</sup> rpb1-1), BSY1001 ( $MAT\alpha$  trp1- $\Delta$ 1 his4-38 SUF1-1 upf3- $\Delta$ 1 ura3-52 lysl-l leu2), BSY1044 (MATa ura3-52 trpl-7 leu2-3,- 112 upf3-A2), BSY1077 (MATa ura3-52 leu2-3,-112 trpl-7 upf3- $\Delta 2$  esp1-1), BSY1088 (MAT $\alpha$  ura3-52 leu2-3 ade6), BSY2103 (MATa ura3-52 trp1-7 leu2-3,-112, upf3- $\Delta$ 2 rpb1-1 [YRpPL81]), BSY2111 ( $MAT\alpha$  ura3-52 upf3- $\Delta$ 2 trp1 leu2-3,- $(112)$ , BSY2015 (MATa ura3-52 trp1-7 leu2-3,-112 upf3- $\Delta$ 2 [YRpPL81]), BSY2115 (MAT $\alpha$  ura3-52 upf1- $\Delta$ 1 upf3- $\Delta$ 1 trp1  $h$ is4-38 leu2-3,-112 lys1-1 rpb1-1), and BSY2116 (MATa  $ura3-52$  upf1- $\Delta$ 1 upf3- $\Delta$ 1 trp1 leu2-3,-112 lys1-1 rpb1-1). Isogenic strains were used that differ only by the absence  $(-)$  or presence  $(+)$  of extrachromosomal UPF3.

The following plasmids were used: YCpBSL1 (CEN4, URA3, and a Sau3AI-Sau3AI insert carrying UPF3), YCpBSL2 (CEN4, URA3, and a Cla I-Sau3AI insert carrying UPF3), YEpBSL2 (2- $\mu$ m plasmid origin, URA3, BamHI-Sal I insert containing  $UPF3$ ), pBSL1 (pUC19 containing a BamHI-Sal I insert carrying UPF3), YCpBSL4 (CEN4, TRP1, BamHI-Sal <sup>I</sup> insert containing UPF3), YEp[F]BSL2 (2- $\mu$ m plasmid origin, URA3, and a Bam-HI-Sal I insert carrying FLAG-UPF3), YCp[F]BSL4 (same as YCpBSL4 except that it carries FLAG-UPF3 instead of UPF3), pBSL12 (pUC18 containing a Bgl II-HinfI insert carrying upf3- $\Delta$ l), pBSL321 (pUC18 containing a Bgl II-Hinfl insert carrying  $upf3-\Delta2$ ), and YRpPL81 (TRP1, ARS1, and a his4-38, -UAA $lacZ$  gene fusion; see Fig. 4*A*).

Standard genetic methods and media for yeast were used (9, 10). Yeast transformation was by the method of Ito et al. (11). Standard bacterial methods and media were used (12).

Nucleic Acid Methods. Yeast chromosomal DNA was prepared by the method of Hoffman and Winston (13). Plasmid DNA isolation and DNA and RNA blotting were performed as described (14). To determine gene copy number, Southern hybridization was performed in  $6 \times$  SSC ( $1 \times$  SSC = 0.15 M NaCl/0.015 M sodium citrate, pH 7)/0.5% SDS at 65°C. The filter was washed in  $1 \times$  SSC/0.1% SDS for 30 min at room temperature and then for <sup>60</sup> min at 65°C. The DNA sequence was determined by the method of Sanger et al. (15). mRNA half-lives were determined by the temperature-shift method for blocking transcription in strains carrying  $rbb1-1$  (1).

Allosuppression. The ability of  $upf3$  alleles to confer allosuppression of his4-38 in the presence of the tRNA frameshift suppressor<sup>-</sup>SUF1-1 has been described  $(1, 2)$ . Growth was assayed by using synthetic dextrose medium lacking histidine  $(SD-His)$  at 37°C in strains carrying his4-38 and SUF1-1. In this assay, UPF3 confers lack of growth, whereas mutations that cause loss of UPF3 function allow growth.

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Abbreviations: NLS, nuclear localization signal; ORF, open reading frame.

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tThe sequence reported in this paper has been deposited in the GenBank data base (accession number L41153).

Polyribosome Analysis. Polyribosomes were fractionated on <sup>12</sup> ml of 15-50% continuous sucrose gradients (1, 16, 17). RNA was extracted from the fractions and analyzed by Northern blotting using an Escherichia coli lacZ probe made from a 2.4-kb Cla I-BamHI fragment of the  $lacZ$  gene. Data are expressed as a percentage of total counts derived by quantitative determination of radioactivity in each band normalized to total counts across all fractions.

Immunodetection. The FLAG epitope (Asp-Tyr-Lys-Asp-Asp-Asp-Asp-Lys) was added to the N-terminus of Upf3 by inserting DNA that codes for FLAG after the first ATG in UPF3 by inverse PCR (18). The reaction was primed with 40 and 45-nucleotide oligomers that contain fused FLAG and <sup>5</sup>' UPF3 sequences that bracket the translation initiation codon. Anti-FLAG antibodies were from IBI (Kodak). Protein extraction and immunoblotting are as described (19).

## RESULTS

Loss of UPF3 Function Inactivates Nonsense mRNA Decay. HIS4 mRNA has <sup>a</sup> half-life of 18-20 min (1, 2). The mRNA encoded by  $his4-38$  contains a  $+1$  frameshift that causes premature termination at <sup>a</sup> UAA codon (7). In strain BSY202(+), which carries UPF3, the his4-38 mRNA half-life is between 2 and 3 min (Fig. 1A). In strain BSY202(-), which carries upf3-1, the his4-38 mRNA half-life increases to  $\approx$ 12 min. These results indicate that UPF3 is required for rapid decay of frameshift and nonsense mRNAs.

We tested other nonsense mutations to see if they were suppressed by  $upf3-1$  and by  $upf3$ -null mutations described below. In addition to suppression of *leu*<sub>2</sub>-2 (UGA), *his4-166* (UGA), and leu2-1 (UAA) (reported previously; ref. 2), we found that tyr7-1 (UAG) and met8- $\hat{I}$  (UAG) are also suppressed. For all suppressible his4 and leu2 alleles, suppression has been shown to result from <sup>a</sup> change in the half-life and accumulation of mRNA (refs. <sup>1</sup> and 2 and this paper). Some mutations were not suppressed, including ade2-1 ( $\overline{UAG}$ ) and his4-713 (+1C). The premature stop codon in his4-713 is near the 3' end of the HIS4 coding region (7). Like many other 3'-proximal nonsense mutations (20), his4-713 does not affect the turnover rate (1).

Analysis of a cross between strains PLY140 and PLY107 shows that the changes in nonsense mRNA accumulation are linked to  $upf3-1$  (Fig. 1 B and C). The segregation of  $upf3-1$  was followed by using allosuppression of his4-38 mRNA in the presence of the tRNA frameshift suppressor SUF1-1. In each meiotic tetrad, two spores were  $His<sup>+</sup> (upf3-1)$  and two were His<sup>-</sup> (UPF3) at 37°C (Fig. 1C). mRNA levels were determined by Northern blotting of total RNA from strains PLY140 and PLY107 and from all four spores of four tetrads. As shown for one tetrad (Fig. 1 B and C), the two spores that grew at  $37^{\circ}$ C on SD-His medium had significantly higher levels of mRNA than the two spores that failed to grow. Growth at 37°C cosegregated with increased mRNA abundance in all four tetrads.

The UPF3 Gene Codes for a 44.9-kDa Protein. The UPF3 gene was cloned by screening a yeast genomic library for plasmids that complement the recessive upf3-1 mutation in strain BSY12. A plasmid called YCpBSL1 was rescued from one transformant into E. coli by selecting for ampicillin resistance. YCpBSL1 contains <sup>a</sup> 10-kb yeast genomic DNA insert. A 2.7-kb BamHI-Sal I fragment that complements upf3-1 was used to determine the DNA sequence (Fig.  $2A$  and C). A single open reading frame (ORF) of <sup>1161</sup> bp was found that lacked the TACTAAC sequence indicative of an intron. The predicted product is a 44.9-kDa protein of 387 amino acids. Three regions of the amino acid sequence contain basic arginine/lysine-rich stretches that resemble bipartite nuclear localization signal (NLS) sequences found in nucleoplasmin and other proteins that are targeted to the nucleus (21). Two are located near the N terminus at amino acids 15-31 and 58-74 and contain the sequences Lys-Lys-Xaa<sub>10</sub>-Arg-Gly-Lys-Ser-Lys and



FIG. 1. Effect of  $upf3-1$  on nonsense mRNA decay. (A) The half-life of his4-38 mRNA was determined in strains BSY202(+)  $(\hat{UPF3})$  ( $\bullet$ ) and BSY202(-) (upf3-1) ( $\circ$ ). The half-lives were determined by quantitative Northern hybridization analysis at 0, 3, 6, 9, 12, 18, 25, and 35 min following the termination of transcription that occurs when rpbl-J strains are shifted to 36°C (1, 2). The decay rate was calculated from the plot of percent RNA remaining vs. time; data points within the first phase of decay were used. It has not been determined whether the second apparent decay phase is of functional significance or whether it is caused by residual transcription due to leakiness of  $rb1-1$ . (B) Northern blot (2) showing the relative accumulation of his4-38 mRNA in strains PLY107 (lane 1), PLY140 (lane 2), and the four spores of a tetrad derived from a PLY140  $\times$  PLY107 cross (lanes 3–6). The blot was probed with *ACT1* DNA (actin) to control for loading differences. Actin mRNA levels were the same in all spores (data not shown). (C) Growth rates of the strains analyzed in  $\hat{B}$  were compared after 2 days of incubation at 37°C on SD-His medium.

Arg-Arg-Xaa<sub>10</sub>-Asn-Tyr-Lys-Arg-Lys, respectively. A third is located near the C terminus at amino acids 284-300 and contains the sequence Lys-Lys-Xaa<sub>10</sub>-Pro-Lys-Lys-Lys-Arg.

FLAG-UPF3, which codes for an epitope-tagged allele of UPF3, was analyzed to estimate the size of the gene product. In the allosuppression assay, growth at 37°C on SD-His/-Ura medium was inhibited to the same extent in strains carrying either FLAG-UPF3 or UPF3, indicating that the FLAG-Upf3 protein is functional. The multicopy plasmids YEp[F]BSL2 and YEpBSL2, containing FLAG-UPF3 and UPF3, respectively, were transformed separately into strain BSY1001. A Western blot (Fig. 3A) shows that FLAG-Upf3 was detected in the region of the gel where 45- to 50-kDa proteins migrate. Using differential cellular fractionation, we also detected FLAG-Upf3 in a derivative of strain BSY2111 that carries the FLAG-UPF3 gene on a singlecopy centromeric plasmid (Fig. 3B). FLAG-Upf3 was found primarily in the sedimentable fraction after a 20-min centrifugation at  $12,130 \times g$  in 0.15 M NaCl. FLAG-Upf3 was solubilized from the pellet by extracted in <sup>1</sup> M NaCl. In this procedure, polyribosomes and 80S ribosomal particles are located in the nonsedimentable fraction (not shown) and therefore do not cofractionate with FLAG-Upf3.

UPF3 Is a Single-Copy, Nonessential Gene on Chromosome VII. The UPF3 copy number was determined by genomic Southern blotting with a 32P-labeled 1.59-kb Nde I-Hinl restriction fragment containing the UPF3 ORF plus <sup>5</sup>' and <sup>3</sup>'



FIG. 2. (A) Restriction map of the 2.7-kb *BamHI-Sal I* fragment carrying UPF3. The open box denotes yeast genomic DNA inserted in the vector (solid lines). The arrow indicates the position and direction of transcription of the UPF3 gene. Restriction sites are as follows: B, BamHI; Hc, HincII; Bg, Bgl II; R, EcoRI; N, Nde I; Ss, Ssp I; Bs, BspHI; H, HindIII; V, EcoRV; P, Pvu II, Hf, Hinfl; K, Kpn I, and S, Sal I. (B) Structure of  $upf3-\Delta 1$  and  $upf3-\Delta 2$ , which carry TRP1 and URA3 insertions, respectively. A slash denotes <sup>a</sup> blunt-end ligation. V/Rf and  $R^{f}/Hc$  show the junctions of the TRP1 insertion.  $V/H^{f}$  and  $H^{f}/Hc$  show the junctions of the URA3 insertion. Bgf, Hf<sup>f</sup>, R<sup>f</sup>, and H<sup>f</sup> denote the restriction sites corresponding to Bgl II, Hinfl, EcoRI, and HindIII that were blunt-ended by the Klenow fragment. Hatched areas indicate the locations of the UPF3 coding sequences. (C) DNA sequences of the UPF3 gene and the corresponding amino acid sequence. The A of the first ATG in the ORF is designated the  $+1$  nucleotide. The three regions that match the consensus for the bipartite NLS sequence are underlined and labeled 1, 2, and 3.

flanking DNA. Using stringent hybridization and washing conditions, we observed two bands when genomic DNA was digested with the restriction enzymes HindIII or Pvu II. For Bgl II or Kpn I, one band was observed (data not shown). By



FIG. 3. Immunological detection of FLAG-Upf3. The Upf3 protein was tagged at the N terminus with the FLAG epitope. (A) Total protein extracts were prepared from strain BSY1001 separately transformed with the multicopy plasmids YEp[F]BSL2 (FLAG-UPF3) and YEpBSL2 (UPF3). Equal amounts of the protein extracts were loaded in lane 1 (FLAG-Upf3) and lane 2 (Upf3) and fractionated by SDS/7.5% PAGE. The proteins were analyzed by Western blotting with FLAG M2 monoclonal antibodies (mAbs). (B) BSY2111 transformants containing  $FLAG-UPF3$  on plasmid YCp[F]BSL4 (lanes +) and a control plasmid (YCpBSL4) that lacks  $FLAG-UPF3$  (lanes -) were fractionated as described (22). Fractions were separated by SDS/10% PAGE and analyzed with FLAG M2 mAbs as follows: supernatant from  $12,130 \times g$  centrifugation for 20 min in 0.15 M NaCl (lane 1), supernatant from a  $1.0 M$  NaCl extraction of the sedimentable fraction (lane 2), and supernatant from <sup>a</sup> 2% deoxycholate extraction of the sedimentable fraction (lane 3). Sizes are in kDa.

comparing the position of each band with the position predicted from the restriction map (Fig. 2A), the results show that there is only one copy of the UPF3 gene per haploid genome.

To examine the phenotype of complete loss of function, the UPF3 gene was disrupted by replacing a 424-bp  $EcoRV-HincII$ fragment with either the TRP1 or the URA3 genes (upf3- $\Delta$ 1 and  $upf3-\Delta2$ , respectively; Fig. 2B). The disruptions were introduced by gene replacement into strains BSY1001 and BSY1044. After gene disruption, both strains were viable, with no reduction in growth rate in YPD (yeast extract/peptone/ dextrose) medium. When they were grown in SD-His medium, the extent of growth inhibition was similar to strains carrying upf3-1, indicating that upf3-1 confers a null phenotype. Since there are no additional UPF3 gene copies, the Upf3 protein is not essential for growth.

In tetrads from a cross between strains BSY1001 and  $PLY140$ , 21 of 21 segregated 2 Trp<sup>+</sup>: 2 Trp<sup>-</sup> spores, indicating that the  $\mu p f 3-\Delta I$  allele ( $\mu p f 3$ ::TRP1) follows Mendelian segregation; 21 of 21 tetrads also segregated 4  $His<sup>+</sup>$ : 0  $His<sup>-</sup>$  spores at 37°C on SD-His medium (parental ditype). Since no recombination between  $upf3-\Delta l$  and  $upf3-l$  was detected, the two mutations are genetically linked. This verifies that the original clone is the UPF3 gene.

The UPF3 gene was mapped to chromosome VII by probing chromosomes separated on <sup>a</sup> CHEF (contour-clamped homogeneous electrophoretic field) gel with radioactively labeled YCpBSL2 plasmid DNA. An ordered array of bacteriophage  $\lambda$ -genomic yeast DNA clones (23) was then probed with a labeled 1.7-kb Nde I-Kpn I fragment from plasmid pBSL1. Results indicate that upf3 is located between CEN7 and spt6 on the right arm of chromosome VII. We analyzed <sup>110</sup> tetrads

Table 1. Accumulation of his4-38 and HIS4 mRNA in single and double mutant strains carrying  $upf1-\Delta1$  and  $upf3-\Delta1$ 

Strain	Transcript	Relative abundance* $\mu$ pf <sup>-</sup> /UPF <sup>+</sup>
BSY2115 $(1^+, 3^-)$	his4-38	$3.2 \pm 0.3$
BSY2115 $(1^-, 3^+)$	$his4-38$	$3.0 \pm 0.2$
BSY2115 $(1^-, 3^-)$	his4-38	$2.9 \pm 0.1$
BSY2116 (1 <sup>+</sup> , 3 <sup>-</sup> )	HIS4	1.3
BSY2116 $(1^-, 3^+)$	HIS4	1.2
BSY2116 $(1^-, 3^-)$	HIS4	1.2

The UPF1 and UPF3 genes were introduced into strain BSY2115 (his4-38 upf1- $\Delta$ 1 upf3- $\Delta$ 1) and BSY2116 (HIS4 upf1- $\Delta$ 1 upf3- $\Delta$ 1) on multicopy plasmids. The nomenclature  $(1^+), (1^-), (3^+),$  and  $(3^-)$ denotes whether wild-type or mutant alleles of UPF1 and UPF3 are present in each strain.

The relative abundance of his4-38 or HIS4 mRNA in each strain was determined by measuring mRNA accumulation (2) and comparing it with that observed in the isogenic strain carrying UPF1 and UPF3 genes on one plasmid. The mRNAs were detected by Northern blotting with a radiolabeled probe from the HIS4 coding region. The extent of accumulation was determined by assaying radioactivity with a Betagen blot analyzer. Error bars are based on three repeat experiments. The blots were reprobed with ACT1 (actin) mDNA to standardize the amount of RNA loaded in each lane.

from a three-point cross (BSY1077  $\times$  BSY1088) heterozygous for the chromosome VII markers  $upf3-\Delta2$  (scored at Ura<sup>+</sup>), ade6, and espl. Map distances were as follows: upf3-ade6, <sup>9</sup> centimorgans (cM) (90 parental ditype, 20 tetratype); upf3 espl, 21.3 cM (63 parental ditype, <sup>47</sup> tetratype); and ade6-espl, <sup>33</sup> cM (47 parental ditype, <sup>2</sup> nonparental ditype, <sup>61</sup> tetratype). The most likely gene order is CEN7-ADE6-UPF3-ESP1.

Accumulation of Nonsense mRNA in upfl/upf3 Double Mutants. Northern blotting was used to examine his4-38 mRNA accumulation in <sup>a</sup> haploid double mutant carrying null mutations in both UPF1 and UPF3. Strains were made genetically isogenic by transforming strain BSY2115 (upf1- $\Delta$ I upf3- $\Delta I$ ) with multicopy plasmids carrying the relevant wild-type  $UPF$  genes (Table 1). Single disruptions of UPF1 or UPF3 resulted in <sup>a</sup> 3-fold increase in his4-38 mRNA accumulation. Nearly identical results were obtained when both genes were simultaneously disrupted. When HIS4 mRNA accumulation was examined in a similar set of isogenic derivatives of strain BSY2116, we found that accumulation was unaffected by UPFI or UPF3. We conclude that the effects of loss of Upf1 and Upf3 function are nearly identical, nonadditive, and specific to mRNAs containing <sup>a</sup> premature stop codon.

Decay of Nonsense mRNA Produced from <sup>a</sup> his4-lacZ Gene Fusion. We assessed how loss of Upf3 function affects the decay of <sup>a</sup> his4-lacZ nonsense mRNA in which translation was previously shown to terminate efficiently (1) because of multiple premature stop codons in all three reading frames near the <sup>5</sup>' end of the fused his4-lacZ ORF (Fig. 4A). In the isogenic strains BSY2015(+)(UPF3) and BSY2015(-)(upf3- $\Delta$ 2), both of which carry an integrated copy of the  $his4-38$ ,  $-\text{UAA}/lacZ$ fusion, no  $\beta$ -galactosidase activity was detected by a qualitative assay (24) after 16 hr of development. This shows there is no translational readthrough into the lacZ coding region regardless of whether UPF3 or  $upf3-\Delta2$  is present.

The half-life of the fusion mRNA was measured in strains  $BSY2103(+)$  and  $BSY2103(-)$  (Fig. 4B) (for methods, see the legend to Fig. 1A). In BSY2103(+), the half-life of the 3.6-kb fusion mRNA is about <sup>5</sup> min compared with <sup>a</sup> half-life of <sup>28</sup> min in  $BSY2103(-)$ . An 8.4-kb transcriptional readthrough product detected on the Northern blots exhibited a similar change in half-life (data not shown). This indicates that loss of UPF3 function stabilizes the fusion mRNA. Loss of UPF3 function had no effect on the half-life of a HIS4-lacZ fusion that contains an uninterrupted reading frame with no premature stop codons (data not shown).



FIG. 4. Behavior of <sup>a</sup> nonsense mRNA that terminates translation efficiently. (A) Structure of  $his4-38$ , - UAA/lacZ. The his4 region is hatched. The  $+1G$  insertion in his4-38 is underlined and labeled (1). A linker insertion containing multiple stop codons in each reading frame is underlined and labeled  $(2)$ .  $(B)$  The half-life of the fusion mRNA determined in strains  $\overline{BSY2103(+)}$  (UPF3) ( $\bullet$ ) and  $BSY2103(-)$  (upf3- $\Delta$ 2) ( $\odot$ ). The half-lives were determined as described in Fig. 1A. (C) The distribution of polyribosome peaks in sucrose gradients was determined by monitoring the  $A_{260}$  absorption profile. The  $A_{260}$  profiles for the two strains were nearly identical. Only the profile for strain  $BSY2103(+)$  is shown. (D) Distribution of the 3.6-kb his4-38,-UAA/lacZ fusion mRNA determined by Northern blotting of fractions from the sucrose gradients in C using <sup>a</sup> 2.4-kb Cla I-BamHI lacZ probe. RNA was extracted from strains BSY2103(+)  $(UPF3^+)$  ( $\bullet$ ) and BSY2103(-) (upf3- $\Delta$ 2) ( $\circ$ ). As a control, the blots were stripped and reanalyzed with an ACT1 (actin) probe. Actin mRNA peaked in fractions containing larger polyribosomes than those corresponding to his4-38, $-UAA/lacZ$  (not shown).

Polyribosomes isolated from strain BSY2103(+) and  $BSY2103(-)$  were fractionated by centrifugation through 15%-50% sucrose gradients (Fig. 4C). The distribution of the 3.6-kb fusion mRNA was determined by Northern blotting across the gradient (Fig. 4D). The fusion mRNA is distributed in a similar manner across fractions that contain polyribosomes regardless of the presence or absence of UPF3 function.

## DISCUSSION

The general pathway for mRNA decay in yeast involves <sup>a</sup> sequence of temporally ordered events, including the shortening of the poly $(A)$  tail, removal of the 5' cap, and exonucleolytic digestion in the <sup>5</sup>' to <sup>3</sup>' direction (25). The nonsense mRNA decay pathway shares common steps but has the unusual feature that the temporal requirement for  $poly(A)$ shortening is bypassed and the <sup>5</sup>' decapping reaction occurs in the presence of a long poly $(A)$  tail  $(26)$ . The Upf1, Upf2, and Upf3 proteins may in some way contribute, either directly or indirectly, to the decoupling of  $poly(A)$  tail structure from the remaining steps in the general decay pathway.

We recently established that Upf1 is cytoplasmic and is associated with actively translating polyribosomes (8). The Upfl sequence contains several signature motifs that give clues to its function, including a cysteine-rich region that may bind zinc and an NTP-binding/RNA helicase-like domain, suggesting <sup>a</sup> potential for direct interaction with RNA (2). Since the Upf2 protein physically interacts with Upfl (4), it appears likely that these two proteins are part of a complex that associates with polyribosomes. Upf2 has been reported to contain <sup>a</sup> bipartite NLS sequence, but overexpression of <sup>a</sup> UPF2 peptide fragment has been found to inhibit nonsense mRNA decay only when localized to the cytoplasm, indicating that at least one function of Upf2 is executed in the cytoplasm (4). This does not preclude the possibility that Upf2 resides in both the nucleus and the cytoplasm.

Mutations in UPF3 have phenotypes similar to mutations in UPF1 and UPF2. They suppress frameshift and nonsense mutations in a variety of genes and have similar effects on nonsense mRNA accumulation and decay (refs. 1, 2, 4, and <sup>5</sup> and this paper). We examined the translation and stability of <sup>a</sup> his4-lacZ fusion that produces <sup>a</sup> nonsense mRNA that terminates translation efficiently at sites upstream of the lacZ coding region. Upfl (1) and Upf3 both promote decay of this nonsense mRNA, indicating that the increase in decay rate does not correlate with the extent of readthrough past a premature stop codon. Strains that are double null for UPF1-UPF2 (5) or UPF1-UPF3 have nonadditive effects on the accumulation of nonsense mRNA. Although other interpretations are possible, the most likely explanation of these phenotypes is that the products of UPF genes act in <sup>a</sup> common pathway leading to accelerated mRNA decay.

Like Upf2, the Upf3 polypeptide contains lysine/argininerich sequences that resemble the bipartite NLS sequence known to target proteins to the nucleus. Of all known proteins containing a bipartite NLS, 95% are targeted to the nucleus, whereas most of the remainder are secreted outside the cell or targeted to other organelles (27). Given that nuclear transport of mRNA and nonsense mRNA decay may be coupled, as proposed for animal cells (28), the functional significance of NLSs in UPF2 and UPF3 needs to be examined further. The finding that FLAG-Upf3 can be separated from polyribosomes and 80S ribosomal particles by differential cellular fractionation provides an additional incentive to determine the cellular location of Upf3. Unfortunately, the FLAG epitope proved unsuitable for immunolocalization. The intensity of background fluorescence made it impossible to distinguish the FLAG-Upf3 signal.

None of the known Upf proteins identified in yeast are essential for viability. Also, it was reported that mutations

in the smg genes in C. elegans, whose products are required in nonsense mRNA decay in this organism, have some effects on development but are not lethal (3). Without knowing the exact functions of any of these genes, it seems likely that the nonsense mRNA decay pathway itself is dispensable for viability. Nonetheless, it may confer some advantages to eukaryotic organisms. It has been suggested that the pathway may serve to minimize the concentration of truncated polypeptides that accumulate through errors in gene expression, thereby reducing the chances that they could act in a deleterious fashion as poison subunits (29). The pathway also appears to control the expression of some natural mRNAs and might serve <sup>a</sup> second purpose in the regulation of specific genes (1).

Although the general effects of inactivation of the pathway on growth, viability, and development are subtle, it appears likely that nonsense mRNA decay influences the phenotypes of germ-line nonsense mutations found in the human population and may also influence the phenotypes of nonsense mutations that arise in somatic cells. All three known genes required for nonsense mRNA decay in yeast have now been cloned and characterized (refs. 1, 4, and 5 and this paper). Our efforts are currently focused on unraveling the mechanism of decay and identifying the natural mRNA targets, at which point the purpose of this pathway should become more clear.

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