

## Supplementary Appendix

This appendix has been provided by the authors to give readers additional information about their work.

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## ONLINE SUPPLEMENTARY APPENDIX

### Loss-of-function mutations in *APOC3*, triglycerides, and coronary disease

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## **I. Exome Sequencing at the University of Washington**

### **Quality control of sample DNA**

Quality control (QC) of the DNA samples included quantification with PicoGreen, confirmation of high-molecular weight DNA, tests for PCR amplification (four amplicons), and sex determination using a Taq-man assay<sup>1</sup>. Prior to preparation for exome sequencing, all samples were genotyped (Illumina BeadXpress) with 96 high frequency (30-50% minor allele frequency) exome specific SNPs, derived from the content found on genome wide arrays for both the Illumina and Affymetrix platforms. Genotype data at these variant sites were used to ensure sample tracking integrity through sample preparation and the sequencing pipeline. Samples failed QC if: (1) the total mass, concentration or integrity of DNA was low; (2) genotype call rates were low (<90%); or (3) sex-typing was inconsistent with the sample manifest. Following QC, all remaining genomic DNA (~ 3.5 ug) was reformatted into 96 well plates for library preparation and for exome capture.

### **Library production and exome capture**

All protocols for library construction and exome capture were automated on a Perkin-Elmer Janus II liquid handling robot, and performed in 96-well plate format. Samples were prepared by subjecting genomic DNA (~3.5 ug) to a series of shotgun library construction steps, including fragmentation through acoustic fragmentation (Covaris), end-polishing and A tailing, ligation of sequencing adaptors, and PCR amplification. Sample shotgun libraries were captured for exome enrichment using one of three in-solution capture products: CCDS 2008 (~26Mb), the SeqCap EZ Human Exome Library v1.0 (~32 Mb), or the SeqCap EZ Human Exome Library v2.0 (~34Mb). Briefly, 1 ug of shotgun library was hybridized to biotinylated capture probes for 72 hours and recovered via streptavidin beads. Unbound DNA was washed away, and the captured

DNA PCR amplified. Following capture, washing, and PCR, libraries were assessed again on the Agilent Bioanalyzer for concentration, molecular weight distribution, and the presence of PCR artifacts. The fragment size distributions of the libraries were highly consistent (typically  $125 \pm 15$  bp).

### **Clustering and sequencing**

Library concentration and flow-cell loading cluster densities were determined using a standardized qPCR protocol (Kapa Biosystems). Using the automated Illumina cBot cluster station, non-multiplexed samples were processed in batches of eight (one for each lane of the flow-cell), diluted and denatured to their final effective loading concentrations. Hybridization was followed by cluster generation via bridge PCR as per standard protocols (Illumina).

Enriched libraries were sequenced on an Illumina GAIIx paired-end 76 bp reads.

### **Read mapping and variant analysis for QC purposes**

Samples were processed from real-time base-calls (RTA 1.7 software [Bustard], converted to qseq.txt files, and aligned to a human reference (hg19) using BWA (Burrows-Wheeler Aligner)<sup>2</sup>.

Read-pairs not mapping within two standard deviations of the average library size ( $\sim 125 \pm 15$  bp) were removed. Data were processed using the Genome Analysis ToolKit<sup>3</sup> (GATK refv1.2905). All aligned read data were subjected to “duplicate removal”, i.e. the removal of reads with duplicate start positions, indel realignment (GATK IndelRealigner) and base qualities recalibration (GATK TableRecalibration). Variant detection and genotyping were performed using the UnifiedGenotyper (UG) tool from GATK and on the targeted exome regions. Variant data for each sample were formatted (variant call format [VCF]) as “raw” calls for all samples, and sites flagged using the filtration walker (GATK) to mark sites that are of lower quality/false positives (i.e. low quality scores ( $<50$ ), allelic imbalance (0.75), long homopolymer runs ( $>3$ ),

and/or low quality by depth ( $QD < 5$ ). Samples were considered complete when exome targeted read coverage was  $>8x$  over  $>90\%$  of the exome target. Typically, the mean target coverage was 60-80x.

### **Data analysis QC at University of Washington**

Individual exome sequence data were evaluated against the following QC metrics which included an assessment of: (1) total reads, or a minimum of 30M PE reads; (2) library complexity: the ratio of unique reads to total reads mapped to target; (3) capture efficiency: the ratio of reads mapped to target versus the reads mapped to human; (4) coverage distribution: 90% at  $>8x$  required for completion; (5) capture uniformity; (6) raw error rates; (7) Ts/Tv ratio (3.2 for known sites and 2.9 for novel sites); (8) distribution of known and novel variants relative to dbSNP; (9) fingerprint concordance with 96 QC SNPs  $>99\%$ ; (10) homozygosity; (11) heterozygosity. All QC metrics for both single-lane and merged data were reviewed to identify data deviations from known or historical norms. Lanes/samples that failed QC were re-queued for library prep for further sequencing.

## **II. Exome Sequencing at the Broad Institute**

### **Receipt/QC of Sample DNA**

Samples were shipped to the Biological Samples Platform laboratory at the Broad Institute of MIT and Harvard. DNA concentration was determined by the Picogreen assay (Invitrogen, Carlsbad, California) before storage in 2D-barcoded 0.75 mL Matrix tubes at  $-20^{\circ}\text{C}$  in the SmaRTStore<sup>™</sup> (RTS, Manchester, UK) automated sample handling system. We performed initial QC on all samples involving sample quantification (PicoGreen), confirmation of high-molecular



weight DNA and fingerprint genotyping and gender determination (Illumina iSelect). Samples were failed if the total mass, concentration, integrity of DNA or quality of preliminary genotyping data was too low.

### **Library construction and in-solution hybrid selection**

Starting with 3 $\mu$ g of genomic DNA, library construction and in-solution hybrid selection were performed as described by Fisher et al<sup>4</sup>. A subset of samples, however, was prepared using the Fisher et al. protocol with some slight modifications. Initial genomic DNA input into shearing was reduced from 3 $\mu$ g to 100ng in 50 $\mu$ L of solution. In addition, for adapter ligation, Illumina paired end adapters were replaced with palindromic forked adapters with unique 8 base index sequences embedded within the adapter.

### **Preparation of libraries for cluster amplification and sequencing**

After in-solution hybrid selection, libraries were quantified using quantitative PCR (kit purchased from KAPA biosystems) with probes specific to the ends of the adapters. This assay was automated using Agilent's Bravo liquid handling platform. Based on qPCR quantification, libraries were normalized to 2nM and then denatured using 0.1 N NaOH using Perkin-Elmer's MultiProbe liquid handling platform. A subset of the samples prepared using forked, indexed adapters was quantified using qPCR, normalized to 2nM using Perkin-Elmer's Mini-Janus liquid handling platform, and pooled by equal volume using the Agilent Bravo. Pools were then denatured using 0.1 N NaOH. Denatured samples were diluted into strip tubes using the Perkin-Elmer MultiProbe.

### **Cluster amplification and sequencing**

Cluster amplification of denatured templates was performed according to the manufacturer's protocol (Illumina) using either Genome Analyzer v3, Genome Analyzer v4, or HiSeq 2000 v2 cluster chemistry and flowcells. After cluster amplification, SYBR Green dye was added to all flowcell lanes, and a portion of each lane visualized using a light microscope, in order to confirm target cluster density. Flowcells were sequenced either on Genome Analyzer II using v3 and v4 Sequencing-by-Synthesis Kits, then analyzed using RTA v1.7.48, or on HiSeq 2000 using HiSeq 2000 v2 Sequencing-by-Synthesis Kits, then analyzed using RTA v1.10.15. All samples were run on 76 cycle, paired end runs. For samples prepared using forked, indexed adapters, Illumina's Multiplexing Sequencing Primer Kit was also used.

### **Read mapping and variant analysis**

Samples were processed from real-time base-calls (RTA 1.7 software [Bustard], converted to qseq.txt files, and aligned to a human reference (hg19) using BWA (Burrows-Wheeler Aligner)<sup>2</sup>. Aligned reads duplicating the start position of another read were flagged as duplicates and not analyzed ("duplicate removal"). Data were processed using the Genome Analysis ToolKit<sup>3</sup> (GATK v1.1.3). Reads were locally realigned (GATK IndelRealigner) and their base qualities were recalibrated (GATK TableRecalibration). Variant detection and genotyping were performed on both exomes and flanking 50bp of intronic sequence using the UnifiedGenotyper (UG) tool from the GATK. Variant data for each sample was formatted (variant call format [VCF]) as "raw" calls for all samples. SNP and Indel sites were flagged using the Variant Filtration walker (GATK) to mark sites of low quality that are likely false positives. SNPs were marked as potential errors if they exhibited strong strand bias ( $SB \geq 0.10$ ), low average quality ( $QD < 5.0$ ), or fell in a homopolymer run ( $HRun > 4$ ). Indels were marked as potential errors for low quality

(QUAL < 30.0), low average quality (QD < 2.0), or if the site exhibited strong strand bias (SB > -1.0). Samples were considered complete when exome targeted read coverage was  $\geq 20x$  over  $\geq 80\%$  of the exome target.

### **Data Analysis QC**

Processed sequence data were required to match known fingerprint genotypes for their respective samples, and to achieve a sequence coverage of  $>20x$  for  $>70\%$  of targeted bases. Variant calls were evaluated on both bulk and per-sample properties: novel and known variant counts, Ts/Tv ratio, Het/Hom ratio, and Deletion/Insertion ratio. Both bulk and sample metrics were compared to historical values for exome sequencing projects at the Broad. No significant deviation of the ESP calls or ESP samples from historical values were noted.

## **III. Joint Calling of Variants for Entire ESP Project at the University of Michigan**

SNVs were called using the UMAKE pipeline at University of Michigan, which allowed all samples to be analyzed simultaneously, both for variant calling and filtering. Briefly, we used BAM files summarizing BWA alignments generated at the University of Washington and the Broad Institute as input. These BAM files summarized alignments generated by BWA, refined by duplicate removal, recalibration, and indel re-alignment. We excluded all reads that were not confidently mapped (Phred-scaled mapping quality < 20) from further analysis. To avoid PCR artifacts, we clipped overlapping ends in paired reads. We then computed genotype likelihoods for exome targeted regions and 50 flanking bases, accounting for per base alignment quality (BAQ) using samtools<sup>5</sup>. Variable sites and their allele frequencies were identified using a

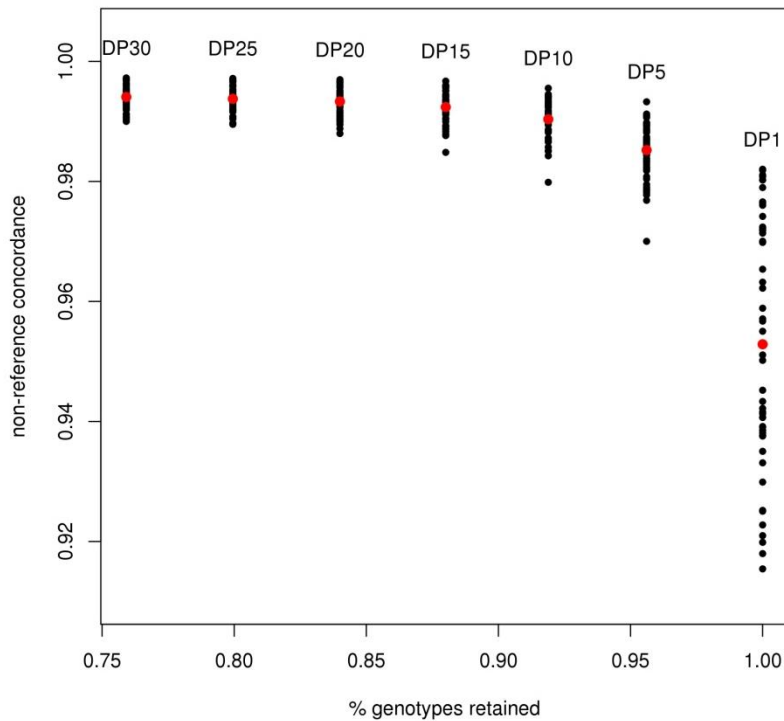
maximum-likelihood model, implemented in `glfMultiples`<sup>6</sup>. These analyses assumed a uniform prior probability of polymorphism at each site.

### **Variant and Sample Level Quality Control**

**SVM Filter:** We used a support vector machine (SVM) classifier to separate likely true positive and false-positive variant sites using a battery of SNP quality metrics. These include allelic balance (the proportional representation of each allele in likely heterozygotes), base quality distribution for sites supporting the reference and alternate alleles, and the distribution of supporting evidence between strands and sequencing cycle, amongst others. We used as the positive training set variants identified by dbSNP or 1000 Genomes and we used variants that failed multiple filters as the negative training set. We found this method to be effective at removing sequencing artifacts while preserving good-quality data, as indicated by the Ts/Tv ratio for previously known and newly identified variant sites, the proportion of high frequency variants overlapping with dbSNP, and the ratio of synonymous to non-synonymous variants, as well as attempts at validation of a subset of sites. A total of 1,908,614 SNVs passed the SVM filter.

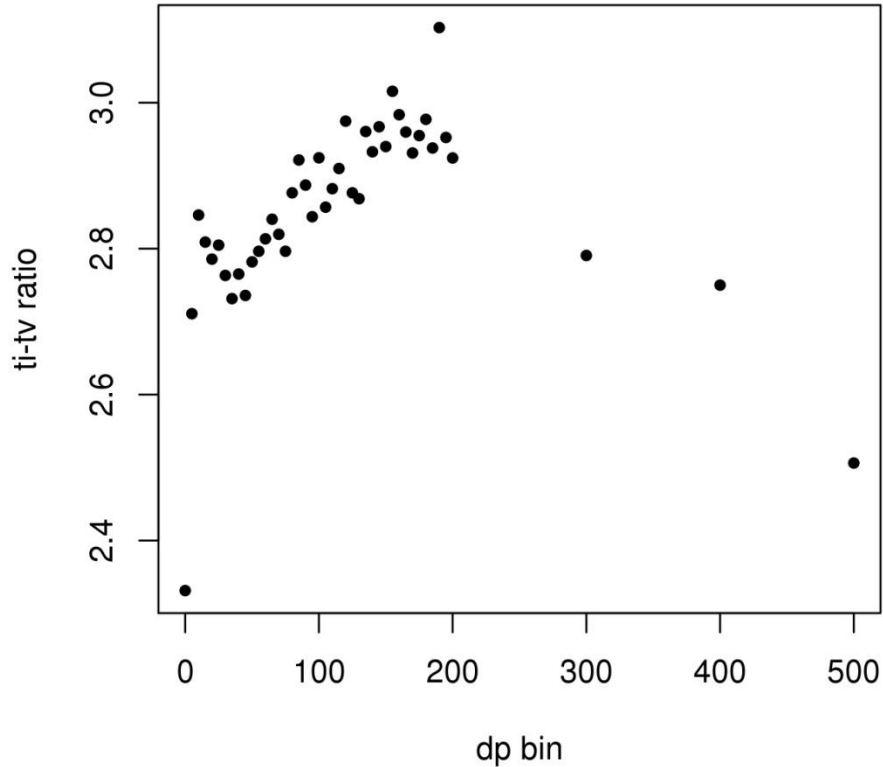
**Filter based on Depth10:** There were 52 pairs of duplicate samples in the final set of exomes from the Exome Sequencing Project - ESP6800 dataset. For each of these 52 pairs, we calculated the non-reference genotype concordance rates. The non-reference concordance (NRC) rate is a measure of concordance that only considers genotypes where at least one sample was called a heterozygote or a non-reference homozygote. Missing genotypes do not contribute to this calculation. Standard concordance rates for rare-variants tend to be dominated by an abundance of reference homozygous calls, thus we chose non-reference concordance rates as a measure of

genotyping specificity. To investigate whether to use a genotype filter based on read depth, we calculated NRC rates across a variety of read depth cutoffs (depth = 1, 5, 10, 15, 20, 25, 30). For each cutoff  $c$ , we replaced any genotype with an associated read depth less than  $c$ , with a missing value. As a measure of sensitivity, we calculated the total number of genotypes retained after enforcing the read depth cutoff. For the 52 pairs of duplicates, **Figure 1** shows the NRC rate by the percent of total genotypes retained for a variety of read depth cutoffs. From this plot we concluded that a filter based on a read depth of 10 markedly improved concordance rates while maintaining over 90% of the total genotypes. Thus we replaced genotypes with a corresponding read depth less than 10 with a missing value in the gene-based analysis. This was not applied to the single-variant analysis as this analysis involved common variants.



**Figure 1:** Non-reference concordance rates are plotted on the y-axis versus % genotypes retained on the x-axis for each of the 52 duplicate samples in the ESP6800. All data-points are shown in black, the mean non-reference concordance for each read depth cutoff is shown in red.

**Filter based on mean Depth 500:** We investigated further a variant level filter based on average per-SNP read depth. We used transition-transversion (ti-tv) ratios as a means of considering the overall quality of set of SNPs. In the exome, ti-tv ratios near a value of three are thought to be indicative of true positive SNPs. We noticed a general trend of increasing ti-tv ratios as the average per-variant depth increased, with a decrease in ti-tv ratios at very high average depths (**Figure 2**). This is most likely do to pseudo-SNPs. This happens when regions of the genome with close sequence homology (e.g., only 1 base-pair differentiates the two sequences) are subjected to short-read shotgun sequencing and the alignment software preferentially maps the reads from both regions to only one location. This results in a pile-up of reads at the preferential location, that appear to be polymorphic and an incorrect heterozygous call is made. To guard against these pseudo-SNPs we filtered out all variants with an average depth greater than 500. The low ti-tv ratios at very low depths were accounted for by enforcing the read depth 10 filter described above.

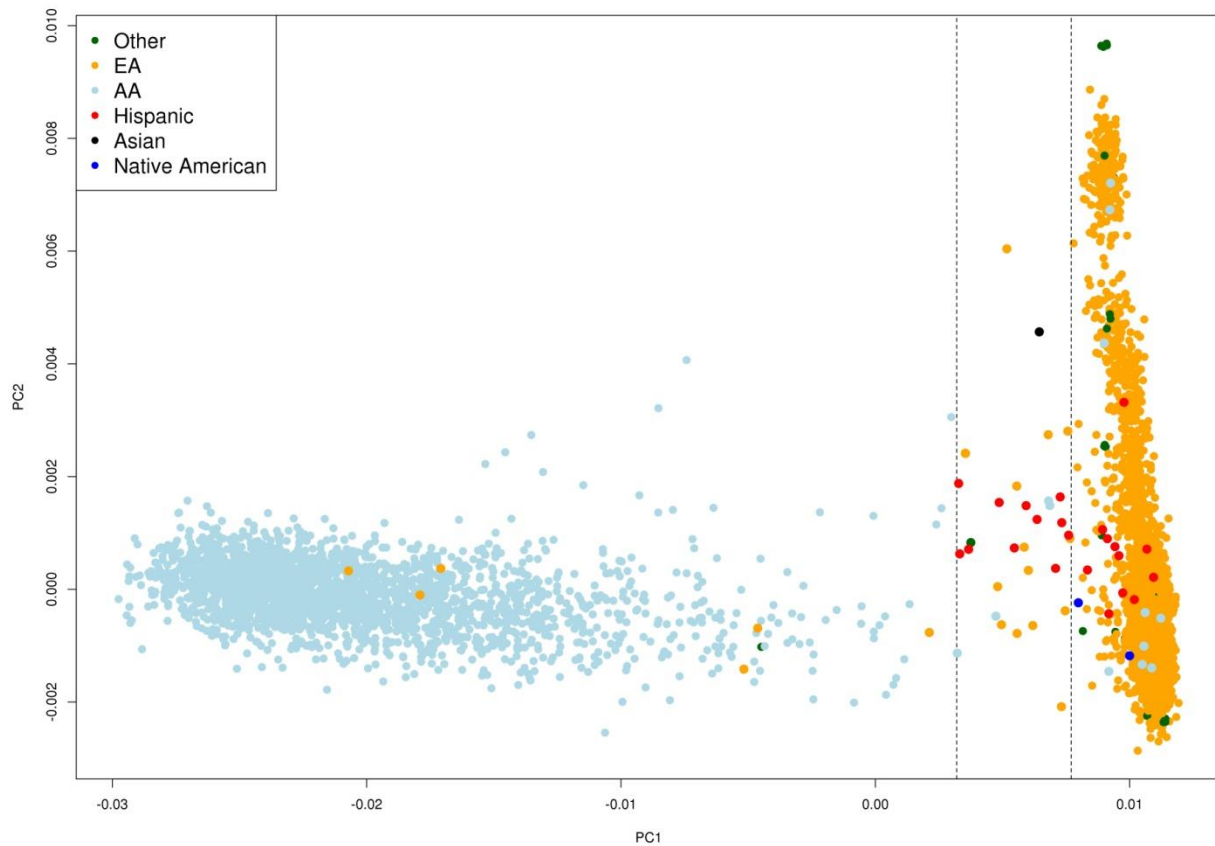


**Figure 2:** Transition to transversion ratios against average variant-level read depth bins.

**Principal Component Analysis and Ancestry Designation:** After performing the SVM and read depth 10 filter on the ESP6800 call-set, we ran a principal component analysis (PCA) to determine sample-level outliers and to cross-check our self-reported ancestry. To do so we only included SNPs with a minor allele frequency (MAF) greater than or equal to 0.1% and a call-rate of greater than 95%. Only autosomal SNPs were included in the PCA. We ran the PCA in PLINK<sup>7</sup> after pruning out SNPs in linkage disequilibrium (LD). This was done by looking in windows of 50 SNPs and shifting the windows 5 SNPs at each step. If a pair of SNPs had a genotype  $R^2$  value greater than 0.5 one of the SNPs was removed. The resulting SNPs were used to determine a matrix of genome-wide Identity by State (IBS) pairwise distances which were

subsequently input to the PLINK multidimensional scaling (MDS) algorithm. Figure 2 shows the first two dimensions from the MDS (analogous to the first two principal components). The first two PCs clearly separate the African American (AA) samples from the European American (EA) samples. However, there is a clear group of admixed individuals between these two clusters where many self-reported Hispanic individuals were clustered. We removed from all subsequent analyses those individuals of indeterminate genetic ancestry located between the two vertical lines in **Figure 3**. For simplicity, we also removed from analysis any individual self-reporting race different from AA or EA. Of the remaining samples, all points to the left of the left-most vertical bar were designated as having AA genetic ancestry. All points to the right of the right-most vertical bar were designated as having EA genetic ancestry. Those samples with discrepant self-reported and designated ancestry were removed from all subsequent analyses.

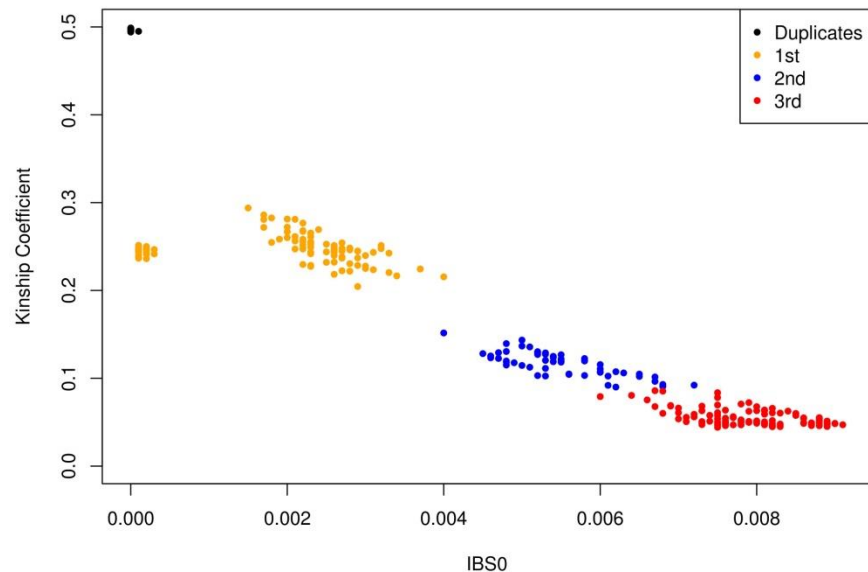




**Figure 3:** The first two principal components from the ESP6800 call-set. Self-reported EAs are shown in orange, AAs in light-blue, Hispanics in red, Asians in black, and Native Americans in dark-blue. Missing self-reported race is shown in green.

**Analysis of Relatedness:** After designating samples to AA and EA ancestry groups, we ran a race stratified kinship analysis to identify any cryptically related individuals in the ESP6800 call-set. To do so we only considered variants that passed the SVM filter, the Depth 500 filter, and after replacing genotypes with a corresponding read depth less than 10 with a missing value. Furthermore, we only considered variants that were in the intersection of the four capture targets that were used. The degree of relatedness was estimated using the KING software<sup>8</sup>. As with the MDS analysis, only LD-pruned autosomal variants with  $MAF > 0.001$  were used as input. Pairs

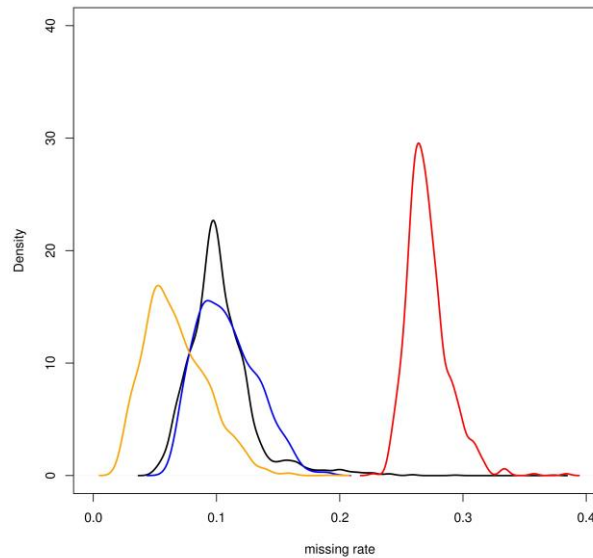
of samples with kinship coefficient range of  $> 0.354$ ,  $[0.177, 0.354]$ ,  $[0.0884, 0.177)$ ,  $[0.0442, 0.0884)$  were designated as duplicates, 1<sup>st</sup>-degree, 2<sup>nd</sup>-degree, and 3<sup>rd</sup>-degree relatives, respectively. **Figure 4** displays the estimated kinship coefficients plotted against the proportion of SNPs with zero identical by state.



**Figure 4:** Estimated kinship coefficients plotted against the proportion of SNPs with zero identical by state. Duplicates are shown in black, 1<sup>st</sup>-degree relatives in yellow, 2<sup>nd</sup>-degree relatives in blue, and 3<sup>rd</sup>-degree relatives in red.

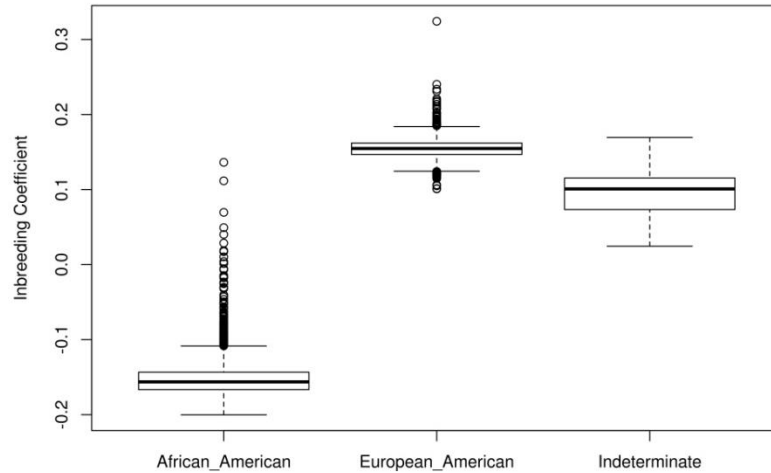
**Hardy-Weinberg Variant Level Filter:** After running the kinship analysis, we considered whether variants were in Hardy-Weinberg equilibrium (HWE). This analysis was stratified by race, and only 1 individual from each duplicate/relative pair was included (the sample with the higher call-rate). Variants with a p-value testing HWE  $< 5 \times 10^{-20}$  based on an exact test for HWE<sup>9</sup>, were excluded from further analyses.

**Sample Level Missingness:** After enforcing the read depth 10 cutoff, we calculated sample level missing rates (**Figure 5**). There is a clear difference between the four target capture arrays that were used. Within each of the four targets, only one sample (in black) was a clear outlier. This sample was excluded from further analyses.



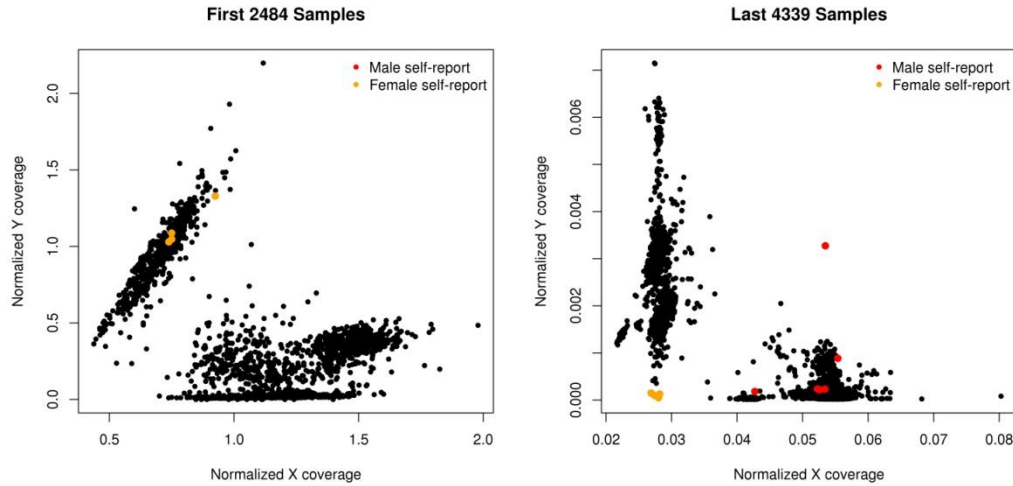
**Figure 5:** Sample level missing rates after enforcing a DP10 filter on the genotypes. Missing rates for the 4 capture targets are shown in black, red, blue and yellow

**Sample Level Homozygosity:** For each sample we calculated inbreeding coefficients in PLINK. We used the same set of variants that were included in the MDS analysis. One EA sample was found it have an exceedingly high inbreeding coefficient compared to the other samples (**Figure 6**). This sample was removed from subsequent analyses.



**Figure 6:** Sample level homozygosity estimates stratified by the three race groups (AA, EA, Indeterminate).

**Sex Check:** To guard against potential sample swaps, we cross-checked self-reported sex against a normalized measure of read depth on the X and Y chromosomes. Because of the way the samples were processed, we normalized the read depth for the first 2,484 samples differently from the last 4,339 samples. **Figure 7** shows the normalized coverage on the two sex chromosomes. There are very clearly two distinct clusters (males and females) in each plot. Samples where the self-reported sex was clearly different from the XY coverage cluster (highlighted in **Figure 7**) were considered sample swaps and excluded from further analysis.



**Figure 7:** Plots of normalized chrY depth of coverage versus normalized chrX depth of coverage. The first 2,484 samples are shown in the left panel, the second 4,339 samples are shown in the right panel. Samples self-reported as male but falling in the female cluster are displayed in red, self-reported females that fall in the male cluster are displayed in yellow.

**GWAS Concordance:** When we had access to genome-wide SNP array data we ran concordance checks between the ESP variants that overlapped with the variants typed on the arrays. Samples identified as having very low concordance rates were subsequently dropped from further analysis due to the strong likelihood that they were sample swaps.

**Variant Level Missingness:** We did not enforce a call-rate filter for the per-variant analyses. For the gene-level analyses, for each gene we first removed samples with >10% missing rate for the variants in that gene. Once these samples were removed we filtered out variants with missing rate > 10%.

**Variant Annotation:** All variants in the ESP6800 were submitted to the SeattleSeq annotation server (<http://snp.gs.washington.edu/SeattleSeqAnnotation134/>) on May 29, 2012. We used annotation version 134, the hg19 build of the human reference genome, and the NCBI full genes

(NM, XM) gene model option. For variants mapping to multiple transcripts, we retained the most damaging classification (from most damaging to least: nonsense, splice, missense, synonymous, utr, other).

#### **IV. Exome Array Genotyping**

Study samples were processed on the HumanExome BeadChip v1.0 ( Illumina, Inc., San Diego, CA) using standard protocols suggested by the manufacturer at local genotyping centers.

Genotypes were assigned using GenomeStudio v2010.3 using the calling algorithm/genotyping module version 1.8.4 along with the custom cluster file StanCtrExChp\_CEPH.egt. At most genotyping centers, these calls were supplemented by the application of the zCall rare variant calling algorithm.<sup>10</sup> Across ~66,000 samples from the CHARGE Consortium, the raw data files for the samples were assembled into a single project for joint calling. Genotype data for the four *APOC3* mutations (exm957809, exm957810, exm957815, and exm957817) were extracted prior to analysis.

#### **V. Study Participants**

**Discovery study samples:** The U.S. National Heart, Lung, and Blood Institute's Exome Sequencing Project (ESP) sought to use exome sequencing as a tool to discover novel genes and mechanisms contributing to heart, lung, and blood disorders

(<https://esp.gs.washington.edu/drupal/>).<sup>11,12</sup> Participants for the present analysis were 3,734 individuals who had both exome sequence and plasma triglycerides available (**Table S1**).

Participants were enrollees in seven population-based cohorts [Atherosclerosis Risk in Communities (ARIC),<sup>13</sup> Coronary Artery Risk Development in Young Adults (CARDIA),<sup>14</sup>

Cardiovascular Health Study (CHS),<sup>15</sup> Framingham Heart Study (FHS),<sup>16</sup> Jackson Heart Study (JHS),<sup>17</sup> Multi-Ethnic Study of Atherosclerosis (MESA),<sup>18</sup> and the Women's Health Initiative (WHI)<sup>19</sup>] and a study of early-onset myocardial infarction, Myocardial Infarction Genetics Consortium, MIGen).<sup>20</sup>

**Replication Study Samples:** We genotyped 41,671 African-Americans (AA) or participants of European ancestry (EA) from seven replication studies: ARIC (EA and AA), JHS (AA), WHI (EA and AA), Malmo Diet and Cancer Study Cardiovascular Cohort (MDC-CVA, EA),<sup>21</sup> Ottawa Heart Study (EA), Precocious Coronary Artery Disease (PROCARDIS) study (EA),<sup>22</sup> and Italian Atherosclerosis, Thrombosis, and Vascular Biology (ATVB) study (EA).<sup>23</sup> These participants were independent from those sequenced in the discovery study.

## VI. APOC3 Genotypes: Replication For Plasma Lipids

To follow-up the strongest result for triglycerides observed in the discovery sample, i.e., *APOC3*, we performed genotyping of four mutations (R19X, IVS2+1 G>A, A43T, and IVS3+1 G>T) using the Illumina HumanExome Beadchip. Three of the four mutations are predicted to severely disrupt *APOC3* function, i.e., lead to loss of function (LoF).<sup>24</sup> LoF variants included a nonsense substitution (i.e., R19X) and two DNA sequence variants disrupting a splice site (i.e., IVS2+1 G>A and IVS3+1 G>T). Each of these *APOC3* variants and a fourth, missense variant A43T, were associated with lower plasma triglycerides, suggesting that all four variants lead to loss of *APOC3* function.

We genotyped 41,671 African-Americans (AA) or participants of European ancestry (EA) from seven replication studies (**Table S2**). These participants were independent from those sequenced in the discovery study. We performed race-specific linear regression with the outcome

variable of plasma triglycerides (or other lipid fractions), independent variable of variant allele carrier status (coded as 0,1,2), and covariates of age, gender, and at least two principal components of ancestry. We also considered a model where carriers of any of the four LoF mutations were collapsed into a single independent variable – *APOC3* LoF carrier. Statistical evidence across the studies was summarized through meta-analysis with inverse of the variance as weights.

## **VII. *APOC3* Genotypes: Association With CHD**

We next tested the association of *APOC3* LoF carrier status with CHD in EA, AA, and Hispanic ancestry (HA) participants from 15 studies. Participants were genotyped using the Illumina HumanExome Beadchip. Descriptions of the studies and the definitions for CHD outcomes are provided in **Table S3**. We calculated P values for the association tests and the confidence intervals for the odds ratios by using exact methods. We performed meta-analyses by using Cochran-Mantel-Haenszel statistics for stratified 2X2 tables. The Cochran-Mantel-Haenszel method combines score statistics rather than Wald statistics and is particularly attractive when the observed odds ratios are zero. All the results were obtained from the Freq procedure in SAS.

As an alternate approach, we performed logistic regression where the outcome variable was either incident CHD or prevalent CHD, the independent variable was *APOC3* LoF carrier status (coded as 0 or 1) and covariates of age, sex, and at least two principal components of ancestry; these analyses yielded similar results (data not shown).



## **VIII. Plasma apoC-III Protein Concentration And Risk For Incident CHD**

Blood was drawn from fasting participants in the Framingham Heart Study Offspring cohort examination cycle 5 (1991 – 1995).<sup>25</sup> Plasma apoC-III protein concentration was assessed in 3,238 individuals using a commercially available immunochemical assay from Wako Diagnostics (Richmond, USA). All participants underwent continuous surveillance for incident CHD events until December 31, 2010. CHD events included fatal MI, non-fatal MI, angina pectoris, and coronary insufficiency as described previously.<sup>26</sup> We prospectively studied 2,913 persons without prevalent CHD. Using proportional-hazards regression, we examined the relations of plasma apoC-III (natural logarithmically transformed) to risk of incident CHD. We tested two models: (1) age- and sex-adjusted; and (2) multivariable models adjusting for age, sex, smoking, diabetes mellitus, LDL cholesterol, HDL cholesterol, hypertension treatment, systolic and diastolic blood pressure, lipid-lowering treatment, and fasting serum glucose.

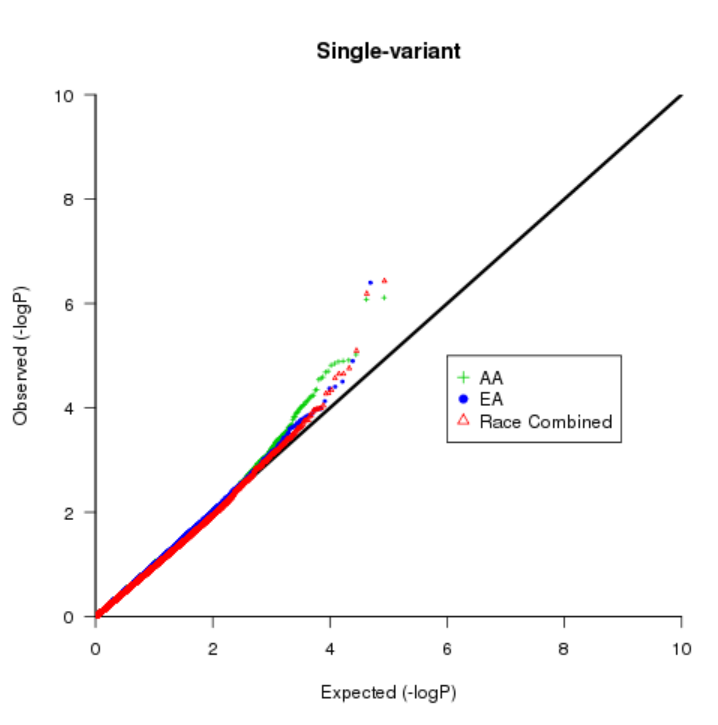
In order to evaluate plasma apoC-III protein in the secondary prevention setting, we studied the association of plasma apoC-III protein with incident total and cardiovascular mortality in the Verona Heart Study. We recruited 794 subjects with angiographic coronary artery disease and measured plasma apoC-III as previously described.<sup>27</sup> During a median follow-up of 59 months, there were 134 deaths, with 92 due to cardiovascular disease (coronary artery disease, heart failure, peripheral artery disease, or cerebrovascular disease). Using proportional-hazards regression, we examined the relations of plasma apoC-III (natural logarithmically transformed) to risk of incident total or cardiovascular mortality. We tested two models: (1) age- and sex-adjusted; and (2) multivariable models adjusting for age, sex, smoking, diabetes mellitus, LDL cholesterol, HDL cholesterol, hypertension, lipid-lowering treatment, and fasting serum glucose.

## **IX. APOC3 Genotypes and Association With Hepatic Steatosis**

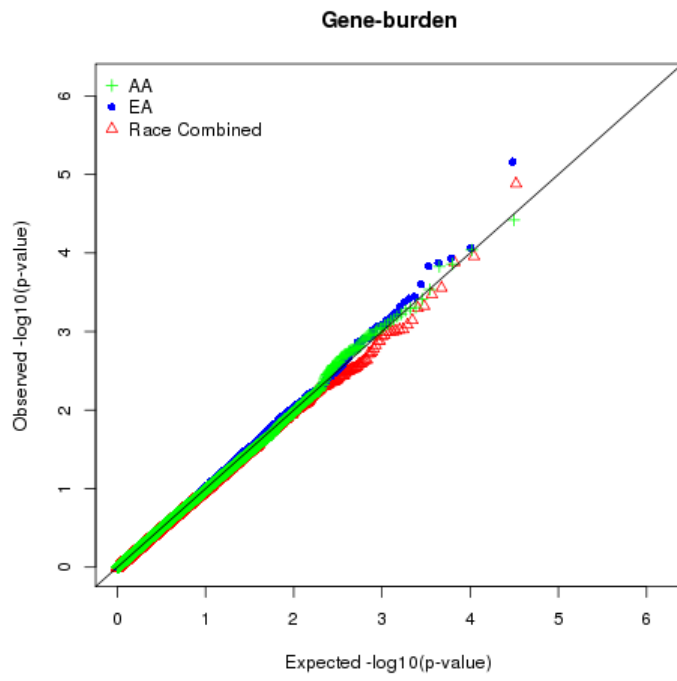
Between 2002 and 2005, 1,400 individuals from the Framingham Offspring Study and 2,011 individuals from third generation underwent multi-detector computed tomograms on which we evaluated liver attenuation as previously described.<sup>28</sup> We tested the association of *APOC3* LoF genotypes with CT liver fat after inverse normal transformation. Covariates in the regression models included age, age<sup>2</sup>, gender, and number of alcoholic drinks per week.

**Figure S1.** Quantile-quantile plot of results testing the association of plasma triglycerides with single coding sequence variants (A) and with variants aggregated at the gene level (B). AA denotes African Americans; EA, European ancestry

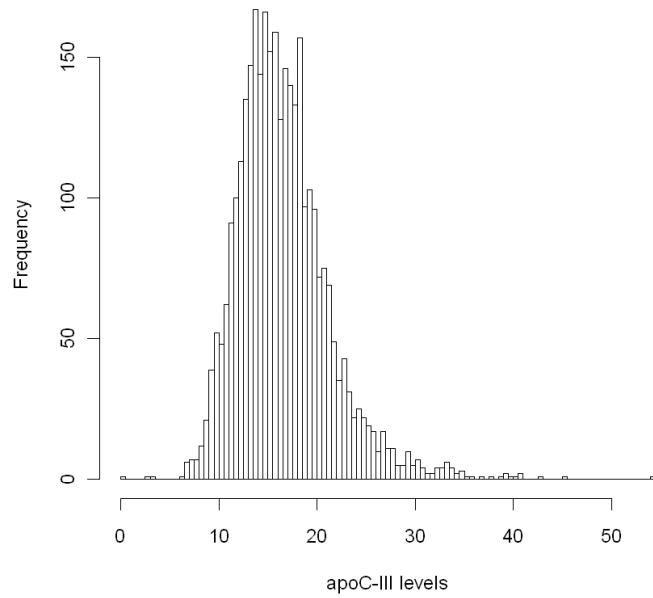
1A.



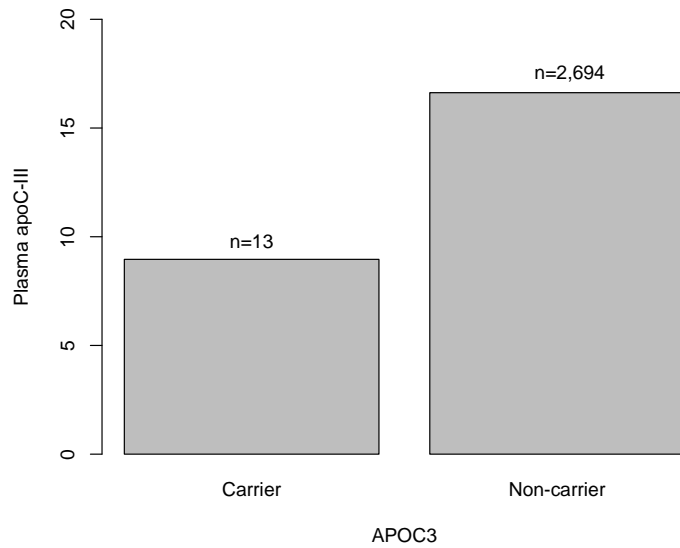
1B.



**Figure S2.** Distribution of plasma apolipoprotein C-III concentrations in the Framingham Heart Study Offspring cohort (n=3,237) in mg/dl.



**Figure S3.** Mean plasma apolipoprotein C-III concentration in carriers of *APOC3* variants [R19X (n=2) or splice site IVS2+1 G>A (n=11)] compared non-carriers in the Framingham Heart Study Offspring cohort. Mean plasma apoC-III concentration in carriers (n=13) was 8.96 mg/dl (SD 1.69) whereas the mean concentration in non-carriers (n=2,694) was 16.63 mg/dl (SD 4.71) ( $P=8 \times 10^{-10}$ ).



**Table S1. Baseline characteristics of 3,734 participants sequenced across exome and with plasma triglycerides**

Study	ARIC	CARDIA	CHS	MIGen	FHS	JHS	MESA	WHI
N	798	195	204	11	416	311	394	1405
Mean age $\pm$ SD, yrs	53.8 $\pm$ 5.8	26.5 $\pm$ 2.9	73.5 $\pm$ 5.8	52.4 $\pm$ 9.0	39.7 $\pm$ 9.9	54.0 $\pm$ 12.2	61.4 $\pm$ 9.7	63.3 $\pm$ 7.6
Gender, % female	53.1% (n=424)	43.1% (n=84)	25.5% (n=52)	81.8% (n=9)	34.1% (n=142)	55.3% (n=172)	37.8% (n=149)	100% (n=1405)
African-American Ancestry, %	37% (n=295)	44% (n=86)	31% (n=64)	100% (n=11)	0% (n=0)	100% (n=311)	38% (n=151)	52% (n=734)
Total cholesterol, mg/dl	226.0 $\pm$ 69.0	185.6 $\pm$ 49.1	207.0 $\pm$ 46.9	184.5 $\pm$ 52.2	197.3 $\pm$ 43.8	206.0 $\pm$ 53.4	193.5 $\pm$ 51.3	230.3 $\pm$ 46.9
Low-density lipoprotein cholesterol, mg/dl	148.0 $\pm$ 68.3	116.8 $\pm$ 45.6	128.7 $\pm$ 46.5	112.9 $\pm$ 47.6	125.1 $\pm$ 40.0	132.8 $\pm$ 51.4	118.4 $\pm$ 48.4	147.1 $\pm$ 45.0
High-density lipoprotein cholesterol, mg/dl	48.4 $\pm$ 17.3	52.0 $\pm$ 13.1	47.8 $\pm$ 14.5	43.8 $\pm$ 4.3	47.3 $\pm$ 13.7	49.3 $\pm$ 15.7	50.2 $\pm$ 15.0	54.1 $\pm$ 15.3
Triglycerides	150.5 $\pm$ 98.7	84.5 $\pm$ 71.3	156.4 $\pm$ 81.0	135.7 $\pm$ 62.7	129.8 $\pm$ 110.8	122.9 $\pm$ 83.1	125.8 $\pm$ 73.8	142.4 $\pm$ 84.2

For lipid traits, data shown are mean  $\pm$  standard deviation; ARIC denotes Atherosclerosis Risk in Communities Study<sup>11</sup>; CARDIA, Coronary Artery Risk Development in Young Adults<sup>12</sup>; CHS, Cardiovascular Health Study<sup>13</sup>; MIGen, Myocardial Infarction Genetics Consortium<sup>14</sup>; FHS, Framingham Heart Study<sup>15</sup>; JHS, Jackson Heart Study<sup>16</sup>; MESA, Multi-Ethnic Study of Atherosclerosis<sup>17</sup>; WHI, Women's Health Initiative<sup>18</sup>

**Table S2. Characteristics of participants in replication study of *APOC3* coding sequence variants with plasma lipid levels**

Cohort	ARIC	FHS	MDC-CVA	WHI	OHS Cases	OHS Controls	Procardis Cases	Procardis Controls	ATVB Cases	ATVB Controls	ARIC	JHS	WHI
Ancestry	EA	EA	EA	EA	EA	EA	EA	EA	EA	EA	AA	AA	AA
N	10,349	7,033	4,924	4,157	800	2,111	1,070	1,776	1,252	960	2,933	2,154	2,152
Mean age $\pm$ SD, yrs	54.4 $\pm$ 5.7	37.7 $\pm$ 9.6	57.6 $\pm$ 5.9	66.9 $\pm$ 6.6	54.1 $\pm$ 9.3	74.7 $\pm$ 6.0	58.4 $\pm$ 7.6	67.0 $\pm$ 4.8	39.7 $\pm$ 4.9	39.3 $\pm$ 5.1	53.7 $\pm$ 5.8	52.9 $\pm$ 12.7	67.1 $\pm$ 5.2
Gender, % female	53%	53%	59%	100%	17%	49%	40%	49%	12%	14%	62%	63%	100%
Total cholesterol, mg/dl	214.5 $\pm$ 38.6	192.3 $\pm$ 37.8	239.5 $\pm$ 43.8	239.0 $\pm$ 44.1	238.6 $\pm$ 48.0	219.6 $\pm$ 40.2	234.2 $\pm$ 48.9	219.5 $\pm$ 38.8	221.3 $\pm$ 56.0	201.5 $\pm$ 37.2	213.5 $\pm$ 42.2	205.6 $\pm$ 42.7	233.6 $\pm$ 45.8
Low-density lipoprotein cholesterol, mg/dl	137.2 $\pm$ 35.5	118.9 $\pm$ 34.3	162.4 $\pm$ 40.2	155.4 $\pm$ 39.7	155.9 $\pm$ 39.6	137.9 $\pm$ 34.2	146.7 $\pm$ 44.4	132.5 $\pm$ 32.7	147.7 $\pm$ 52.3	125.7 $\pm$ 34.9	136.6 $\pm$ 39.5	133.8 $\pm$ 39.0	153.9 $\pm$ 42.7
High-density lipoprotein cholesterol, mg/dl	50.7 $\pm$ 16.7	53.0 $\pm$ 15.5	53.3 $\pm$ 14.4	51.6 $\pm$ 13.5	42.7 $\pm$ 13.9	56.7 $\pm$ 16.5	47.8 $\pm$ 13.3	55.5 $\pm$ 15.1	42.0 $\pm$ 13.0	49.1 $\pm$ 12.6	55.0 $\pm$ 17.4	51.7 $\pm$ 14.6	57.0 $\pm$ 14.5
Triglycerides	136.3 $\pm$ 90.6	102.7 $\pm$ 82.4	121.1 $\pm$ 69.9	161.1 $\pm$ 91.2	234.3 $\pm$ 203.1	126.7 $\pm$ 85.7	190.3 $\pm$ 120.5	143.0 $\pm$ 81.1	177.7 $\pm$ 132.4	121.7 $\pm$ 70.7	113.1 $\pm$ 84.3	103.2 $\pm$ 78.0	113.2 $\pm$ 69.6

For lipid traits, data shown are mean  $\pm$  standard deviation; ARIC denotes Atherosclerosis Risk in Communities Study; FHS, Framingham Heart Study; MDC-CVA, Malmo Diet and Cancer Study Cardiovascular Arm; WHI, Women's Health Initiative; OHS, Ottawa Heart Study; PROCARDIS, Precocious Coronary Artery Disease Study; ATVB, Italian Atherosclerosis, Thrombosis, and Vascular Biology Study; JHS, Jackson Heart Study



**Table S3. Definitions of coronary heart disease across fifteen studies**

Study	Design	Definition of CHD	Ascertainment of controls	Refs
WHI	Prospective, cohort	WHI participants included in this study were 50-79 years of age at enrollment in 1993-1998. These women were followed for development of clinical CHD until 2012. A CHD event was defined as a definite or probable myocardial infarction, silent myocardial infarction, coronary revascularization, hospitalized angina, or death due to CHD.	Participants free of CHD on follow-up	18
FHS	Prospective, cohort	Incident nonfatal or fatal MI, angina pectoris, and coronary insufficiency	Participants free of CHD on follow-up	19
MDC-CVA	Prospective, cohort	Incident nonfatal or fatal MI	Participants free of CHD on follow-up	20
ARIC	Prospective, cohort	Incident definite or probable MI, silent MI (indicated by electrocardiogram) between 4 examinations in 1987–1998, definite CHD death, or coronary revascularization	Participants free of CHD on follow-up	11
IPM	Case-control	CAD cases were ascertained from Institute for Personalized Medicine Biobank; CAD was defined using the electronic health record. Cases were documented ICD9 codes 410.xx to 414.xx and (abnormal stress test or abnormal coronary angiography)	Controls were individuals in biobank who did not meet case criteria	NIH dbGaP Study Accession: phs000388.v1.p1
ATVB	Case-control	MI in men or women $\leq 45$ yo	No history of thromboembolic disease	21
VHS	Case-control	Documented diagnosis of MI, coronary artery bypass grafting (CABG), CAD (by angiography) in males $\leq 50$ yo for males and in females $\leq 60$ yo	Coronary angiography normal	14
Ottawa	Case-control	Angiography ( $>1$ coronary vessel with $>50\%$ stenosis); $\leq 50$ yo for males and $\leq 60$ yo for females; without type 2 diabetes	Asymptomatic, males $>65$ , females $>70$	22
PROCARDIS	Case-control	Symptomatic CAD before age 66 years and 80% of cases also had a sibling in whom CAD had been diagnosed before age 66 years. CAD was defined as clinically documented evidence of myocardial infarction (80%), coronary artery bypass graft (10%), acute coronary syndrome (6%), coronary angioplasty (1%) or stable angina (hospitalization for angina or documented obstructive coronary disease) (3%)	No personal or sibling history of CAD before age 66 years.	23
HUNT	Case-control	MI cases collected by the Norwegian Nord-Trøndelag health study (HUNT) Biobank	Free of MI on Norwegian ischemic heart disease national register	24
		The GoDARTS (Genetics of Diabetes Audit and Research in Tayside	Controls were free of coronary artery disease,	25

GoDARTS CAD	Case-cohort	Scotland) study is a joint initiative of the Department of Medicine and the Medicines Monitoring Unit (MEMO) at the University of Dundee, the diabetes units at three Tayside healthcare trusts (Ninewells Hospital and Medical School, Dundee; Perth Royal Infirmary; and Stracathro Hospital, Brechin), and a large group of Tayside general practitioners with an interest in diabetes care. Cases were a first-ever CAD event, defined as fatal and non-fatal myocardial infarction, unstable angina or coronary revascularisation	stroke and peripheral vascular disease	
EPIC CAD	Nested case-cohort	The EPIC (European Prospective Study into Cancer and Nutrition) study sub-cohorts from the UK were used, subjects were collected in collaboration with general practitioners, mainly in Cambridgeshire and Norfolk. Cases were individuals who developed a fatal or non-fatal CAD during an average follow-up of 11 years, until June 2006. Participants were identified if they had a hospital admission and/or died with CAD as the underlying cause. CAD was defined as cause of death codes ICD9 410-414 or ICD10 I20-I25, and hospital discharge codes ICD10 I20.0, I21, I22 or I23 according to the International Classification of Diseases, 9th and 10th revisions.	Controls were study participants who remained free of any cardiovascular disease during follow-up (defined as ICD9 401-448 and ICD10 110-179).	
FIA3	Nested case-control	Cases of MI occurring in participants from Västerbotten Intervention Program (VIP), WHO's Multinational Monitoring of Trends and Determinants in Cardiovascular Disease (MONICA) study in northern Sweden and the Mammography Screening Project (MSP) in Västerbotten.	Individuals free of MI from VIP and MSP	26,27
German CAD	Case-control	<b>KORA-MI:</b> Hospitalized survivors of MI who are 26–74 years of age. The diagnosis of a MI (<60) was made with the use of the algorithm of the MONICA project. <b>PopGen CAD:</b> the PopGen CAD sample comprised unrelated German CAD patients with early onset of disease who were recruited in Schleswig–Holstein, Germany ( <a href="http://www.PopGen.de">www.PopGen.de</a> ). <b>Angio-Lüb:</b> the Lübeck angiographic study (Angio-Lüb) includes patients with angiographically proven CAD who underwent cardiac catheterization at the University Hospital Schleswig-Holstein, Campus Lübeck between 2005 and 2008. Patients were not selected for particular risk factors or phenotypes. <b>Munich-MI:</b> Participants of the Munich MI sample included in this study were consecutively recruited from 1993 to 2002 and examined with coronary angiography at Deutsches Herzzentrum München and I. Medizinische Klinik rechts der Isar der Technischen Universität München. The diagnosis of MI was established in the presence of chest pain lasting >20 minutes combined with ST-segment elevation or pathological Q waves on a surface electrocardiogram. Patients with MI had to show either an angiographically occluded infarct-related artery or regional wall motion abnormalities corresponding to the electrocardiographic infarct localization, or both.	Controls were subjects from population-based studies from Germany (PopGen, Heinz-Nixdorf-Recall, KORA).	28-32

WTCCC	Case-control	CAD cases in the WTCCC Study were from those recruited in the British Heart Foundation Heart Family Heart Study (BHF-FHS) and supplemented by additional cases from WTCCC-CAD2	Controls were subjects from the UK 1958 Birth Cohort.	33,34
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WHI, Women's Health Initiative; FHS, Framingham Heart Study; MDC-CVA, Malmo Diet and Cancer Study-Cardiovascular Arm; ARIC, Atherosclerosis Risk in Communities Study; IPM, Mt. Sinai Institute for Personalized Medicine Biobank; ATVB, Italian Atherosclerosis, Thrombosis, and Vascular Biology Study; Verona, Verona Heart Study; Ottawa, Ottawa Heart Study; PROCARDIS, Precocious Coronary Artery Disease Study; HUNT, Nord-Trøndelag health study; GoDARTS, Genetics of Diabetes Audit and Research Tayside; FIA3, First Myocardial Infarction in AC county 3; EPIC, European Prospective Study into Cancer and Nutrition; WTCCC, Wellcome Trust Case Control Consortium  
MI denotes myocardial infarction; CAD, coronary artery disease

**Table S4. Association of individual gene variants and plasma triglycerides in African Americans**

Chromosome, position	Gene	N	Beta	Statistic	P	Minor allele frequency	Protein annotation
chr11_116662407	APOA5	1562	0.165	4.962	7.74E-07	0.07	S19W
chr6_153019197	MYCT1	1564	0.591	4.948	8.33E-07	0.005	T54A
chr16_5140548	FAM86A	1561	1.027	4.443	9.51E-06	0.001	T121A
chr12_106632875	CKAP4	1564	1.015	4.388	1.22E-05	0.001	G579D
chr2_113671410	IL37	1564	-0.078	-4.380	1.27E-05	0.33	T42A
chr1_183514098	SMG7	1564	0.824	4.373	1.31E-05	0.002	P632H
chr16_702524	WDR90	1476	0.146	4.357	1.41E-05	0.07	G371S
chr2_197298051	HECW2	1564	0.759	4.338	1.53E-05	0.002	A33T
chr22_37465121	TMPRSS6	1467	0.326	4.281	1.99E-05	0.02	R711L
chr1_240071937	CHRM3	1564	0.534	4.270	2.07E-05	0.005	L396M
chr19_53014422	ZNF578	1559	-0.130	-4.221	2.58E-05	0.08	I263T
chr19_54652192	CNOT3	1456	0.795	4.211	2.71E-05	0.002	G402S
chr15_89870432	POLG	1561	0.969	4.196	2.88E-05	0.001	A467T
chr6_90408618	MDN1	1564	0.945	4.094	4.46E-05	0.001	E3045G
chr22_29446079	ZNRF3	1353	1.93	4.088	4.61E-05	0.001	H637R

Covariates included age, age<sup>2</sup>, sex, two principal components of ancestry, an indicator variable for race (in race-combined model only) and indicator variables for sequencing ascertainment scheme.

**Table S5. Association of individual gene variants and plasma triglycerides in participants of European ancestry**

Chromosome, position	Gene	N	Beta	Statistic	P	Minor allele frequency	Protein annotation
chr4_4304605	ZBTB49	2079	0.786	5.085	4.00E-07	0.003	A348T
chr6_39832264	DAAM2	2079	1.054	4.377	1.27E-05	0.001	R105H
chr3_62307648	C3orf14	2074	0.744	4.173	3.13E-05	0.002	L33M
chr11_116701560	APOC3	2075	-0.992	-4.120	3.94E-05	0.001	A43T
chr4_4322570	ZBTB49	2079	0.778	4.103	4.24E-05	0.002	E609K
chr8_121357700	COL14A1	2079	-0.949	-3.970	7.45E-05	0.001	P1659A
chr9_32633036	TAF1L	2079	-0.931	-3.889	0.0001	0.001	D848N
chr20_55941872	RAE1	2079	0.628	3.885	0.0001	0.003	P129S
chr3_49314251	C3orf62	2079	0.925	3.870	0.0001	0.001	R19G
chr4_84384688	FAM175A	2079	-0.725	-3.831	0.0001	0.002	R252Q
chr2_190608005	ANKAR	2079	-0.775	-3.823	0.0001	0.001	R1272H
chr12_10532326	KLRK1, KLRC4-KLRK1	2079	-0.077	-3.811	0.0001	0.21	T72A
chr12_57863433	GLI1	2079	-0.482	-3.797	0.0002	0.004	R382W
chr20_58476811	SYCP2	2066	-0.222	-3.780	0.0002	0.02	S363N
chr9_116132334	BSPRY	2079	0.0793	3.772	0.0002	0.19	T374I

Covariates included age, age<sup>2</sup>, sex, two principal components of ancestry, an indicator variable for race (in race-combined model only) and indicator variables for sequencing ascertainment scheme.

**Table S6. Association of individual gene variants and plasma triglycerides in participants of African American and European ancestry**

<b>Chromosome, position</b>	<b>Gene</b>	<b>N</b>	<b>Beta</b>	<b>Statistic</b>	<b>P</b>	<b>Minor allele frequency African Americans</b>	<b>Minor allele frequency European Americans</b>	<b>Protein annotation</b>
chr11_116662407	APOA5	3728	0.124	5.092	3.71E-07	0.07	0.06	S19W
chr2_27730940	GCKR	3734	0.0686	4.984	6.50E-07	0.1	0.4	L446P
chr6_153019197	MYCT1	3731	0.586	4.470	8.05E-06	0.005	0	T54A
chr20_55941872	RAE1	3734	0.563	4.300	1.75E-05	0.001	0.003	P129S
chr3_62307648	C3orf14	3728	0.648	4.246	2.23E-05	0.0006	0.002	L33M
chr8_19819724	LPL	3734	-0.0891	-4.244	2.25E-05	0.07	0.1	S474X
chr17_38031648	ZPBP2	3734	-0.457	-4.202	2.71E-05	0.0003	0.005	K262E
chr12_57863433	GLI1	3734	-0.476	-4.081	4.57E-05	0.0003	0.004	R382W
chr5_102423628	GIN1	3416	-0.371	-4.051	5.22E-05	0.01	0.0002	N515D
chr4_84384688	FAM175A	3734	-0.724	-4.045	5.34E-05	0	0.002	R252Q
chr8_121292281	COL14A1	3734	0.702	3.918	9.10E-05	0.0006	0.001	A1197T
chr18_65181506	DSEL	3734	-0.698	-3.892	0.0001	0.0003	0.002	A124T
chr2_29259543	FAM179A	3734	-0.183	-3.888	0.0001	0.005	0.02	V852A
chr1_41978890	HIVEP3	3732	0.288	3.881	0.0001	0.01	0	R2001Q
chr11_19955322	NAV2	3724	-0.254	-3.873	0.0001	0.003	0.01	T447M

Covariates included age, age<sup>2</sup>, sex, two principal components of ancestry, an indicator variable for race (in race-combined model only) and indicator variables for sequencing ascertainment scheme.

**Table S7. Gene-based association results aggregating coding sequence variants with minor allele frequency < 1%**

Ancestry	Gene	Full gene name	Location	P
EA	APOC3	apolipoprotein C-III	11q23.3	6.89E-06
EA	C12orf56	chromosome 12 open reading frame 56	12q14.2	8.63E-05
AA	GIN1	gypsy retrotransposon integrase 1	5q21.1	9.16E-05
AA	MARCH6	membrane-associated ring finger (C3HC4) 6, E3 ubiquitin protein ligase	5p15.2	3.80E-05
Combined	APOC3	apolipoprotein C-III	11q23.3	1.31E-05

Presented here are genes with  $P < 0.0001$  for triglycerides in European ancestry, African American ancestry, and overall.

**Table S8. Combined allele frequency of four rare *APOC3* loss-of-function mutations**

Ancestry	<i>APOC3</i> R19X <a href="#">rs76353203</a> alternate allele count/total number of chromosomes	IVS2+1 G>A <a href="#">rs138326449</a> alternate allele count/total number of chromosomes	IVS3+1 G>T <a href="#">rs140621530</a> alternate allele count/total number of chromosomes	A43T <a href="#">rs147210663</a> alternate allele count/total number of chromosomes	Total alternate allele count/total number of chromosomes	Combined Allele frequency	Combined Carrier frequency
EA	3/8588	16/8586	1/8590	8/8592	28/8592	0.00326 (1:307)	1:154
AA	0/4402	3/4401	5/4400	7/4402	15/4402	0.00341 (1:293)	1:147



**Table S9. Association of four *APOC3* coding sequence variants and plasma lipid levels**

<b>Mutation</b>	<b>R19X</b>	<b>R19X</b>	<b>IVS2+1 G&gt;A</b>	<b>IVS2+1 G&gt;A</b>	<b>A43T</b>	<b>A43T</b>	<b>IVS3+1 G&gt;T</b>	<b>IVS3+1 G&gt;T</b>	<b>Carriers of any of four <i>APOC3</i> mutations</b>	<b>Carriers of any of four <i>APOC3</i> mutations</b>	<b>Carriers of any of four <i>APOC3</i> mutations</b>
<b>Ancestry</b>	EA	AA	EA	AA	EA	AA	EA	AA	EA	AA	Race- combined
<b>N</b>	33,068	5,066	14,623	2,152	24,840	7,282	10,618	7,279	34,432	7,239	41,671
<b>TG* Beta (SE)</b>	-0.58 (0.09)	-0.38 (0.21)	-0.53 (0.07)	-0.76 (0.14)	-0.49 (0.13)	-0.13 (0.08)	-0.43 (0.37)	-0.63 (0.16)	-0.55 (0.05)	-0.38 (0.06)	-0.49 (0.04)
<b>P TG</b>	1.6e-11	0.07	7.2e-16	8.1e-08	2.0e-04	0.15	0.24	5.4e-05	<1.0e-20	1.4e-09	<1.0e-20
<b>LDL-C* Beta (SE)</b>	-15.5 (6.4)	3.1 (19.2)	-8.6 (5.4)	-5.9 (13.6)	-4.1 (9.3)	13.4 (7.4)	13.1 (26.5)	2.4 (14.2)	-9.3 (3.4)	10.7 (5.4)	-3.8 (2.9)
<b>P LDL-C</b>	0.02	0.87	0.11	0.67	0.66	0.07	0.62	0.86	5.6e-03	0.05	0.19
<b>HDL-C* Beta (SE)</b>	17.1 (2.5)	-1.2 (7.4)	9.0 (1.8)	7.4 (4.7)	4.5 (3.7)	6.3 (2.7)	13.5 (10.6)	25.5 (5.3)	11.5 (1.3)	9.1 (2.0)	10.8 (1.1)
<b>P HDL-C</b>	3.5e-12	0.87	6.7e-07	0.12	0.21	0.02	0.20	1.2e-06	<1.0e-20	7.5e-06	<1.0e-20

TG denotes triglycerides; LDL-C, low-density lipoprotein cholesterol; HDL-C, high-density lipoprotein cholesterol

P values are for the comparison with noncarriers. P values were derived from a linear regression model, with adjustments for age, sex, ancestry, and principal components of ancestry. The P value for the triglyceride phenotype is based on triglyceride levels logarithmically transformed on a natural log scale.

\*Units for TG are ln (triglycerides), for LDL-C is mg/dl, for HDL-C is mg/dl

**Table S10: Association of *APOC3* LoF carrier status with plasma lipids before and after conditioning on *APOA5* S19W**

Outcome variable	Whites		Blacks	
	Before accounting for <i>APOA5</i> S19W	After accounting for <i>APOA5</i> S19W	Before accounting for <i>APOA5</i> S19W	After accounting for <i>APOA5</i> S19W
	Beta (SE) P	Beta (SE) P	Beta (SE) P	Beta (SE) P
Triglycerides	-0.56 (0.12) $4 \times 10^{-6}$	-0.56 (0.12) $4 \times 10^{-6}$	-0.39 (0.10) 0.0001	-0.40 (0.10) $9 \times 10^{-5}$
HDL cholesterol	+12.0 (3.6) 0.001	+11.9 (3.6) 0.001	+12.5 (3.6) 0.0006	+12.6 (3.6) 0.0005
LDL cholesterol	-12.4 (8.5) 0.15	-12.4 (8.5) 0.15	+11.4 (8.4) 0.18	+11.2 (8.4) 0.18
n	10,349	10,349	2,932	2,932

**Table S11. Association of rare *APOC3* mutations and risk for coronary heart disease**

	Non-carriers	Carriers of <i>APOC3</i> R19X, IVS2+1 G>A, IVS3+1 G>T, or A43T	Proportion of cases who carry variant	Proportion of controls who carry variant
<b>Study 1 - WHI</b>				
EA Cases	2412	6		
EA Controls	14009	89	0.25%	0.63%
AA Cases	126	0		
AA Controls	2249	14	0%	0.62%
<b>Study 2 - FHS</b>				
EA Cases	126	0		
EA Controls	3474	8	0%	0.20%
<b>Study 3 - MDC-CVA</b>				
EA Cases	339	2		
EA Controls	4507	16	0.59%	0.35%
<b>Study 4 - ARIC</b>				
EA Cases	1792	2		
EA Controls	8523	16	0.11%	0.19%
AA Cases	556	8		
AA Controls	3129	19	1.4%	0.86%
<b>Study 5 - IPM</b>				
EA Cases	693	10		
EA Controls	1696	33	1.4%	1.91%
HA Cases	1053	2		
HA Controls	3465	13	0.19%	0.37%
AA Cases	553	3		
AA Controls	3212	28	0.54%	0.86%
<b>Study 6 &amp; 7 – ATVB + VHS</b>				
EA Cases	1595	9		
EA Controls	1217	16	0.56%	1.3%
<b>Study 8 - Ottawa</b>				
EA Cases	1021	3		
EA Controls	2248	19	0.29%	0.84%
<b>Study 9 - PROCARDIS</b>				
EA Cases	2426	10		
EA Controls	2163	16	0.41%	0.73%
<b>Study 10 - HUNT</b>				
EA Cases	2891	6		
EA Controls	2899	7	0.21%	0.24%
<b>Study 11 - GoDARTS CAD</b>				
EA Cases	1694	0		
EA Controls	2869	5	0%	0.17%
<b>Study 12 - EPIC CAD</b>				
EA Cases	1394	2		
EA Controls	7158	10	0.14%	0.14%
<b>Study 13 – FIA3</b>				
EA Cases	2657	0		
EA Controls	2112	8	0%	0.38%
<b>Study 14 - German</b>				

<b>CAD</b>				
EA Cases	9681	37		
EA Controls	5769	41	0.38%	0.71%
<b>Study 15 – WTCCC</b>				
EA Cases	2880	13		
EA Controls	5884	27	0.45%	0.46%
<b>Total Cases</b>	<b>33,889</b>	<b>113</b>		
<b>Total Controls</b>	<b>76,583</b>	<b>385</b>	<b>0.33%</b>	<b>0.50%</b>

EA denotes European ancestry; AA, African American; HA, Hispanic ancestry; WHI, Women’s Health Initiative; FHS, Framingham Heart Study; MDC-CVA, Malmo Diet and Cancer Study-Cardiovascular Arm; ARIC, Atherosclerosis Risk in Communities Study; IPM, Mt. Sinai Institute for Personalized Medicine Biobank; ATVB, Italian Atherosclerosis, Thrombosis, and Vascular Biology Study; Verona, Verona Heart Study; Ottawa, Ottawa Heart Study; PROCARDIS, Precocious Coronary Artery Disease Study; HUNT, Nord-Trøndelag health study; GoDARTS, Genetics of Diabetes Audit and Research Tayside; EPIC, European Prospective Study into Cancer and Nutrition; FIA3, FörstagångsInsjuknande i hjärtinfarkt i AC-län; WTCCC, Wellcome Trust Case Control Consortium

**Table S12. Number of individuals expected to be homozygous or compound heterozygous for any of four *APOC3* loss-of-function mutations**

N genotyped	Combined allele frequency = q	Expected number of homozygotes = $q^2*n$	Variance in number of homozygotes = $q^2(1-q^2)*n$	Standard deviation = square root of variance
110,970	1:300	1.23	1.23	1.11

**Table S13. Association of *APOC3* LoF mutations with CT hepatic fat in 3,051 Framingham Heart Study participants**

<b>Outcome variable</b>	<b>Predictor variable</b>	<b>Covariates</b>	<b>Beta (SE)</b>	<b>P</b>
CT hepatic fat	<i>APOC3</i> R19X or IVS2+1 G>A (n=27)	age, age <sup>2</sup> , gender	-0.04 (0.19)	0.82
CT hepatic fat	<i>APOC3</i> R19X or IVS2+1 (n=27)	age, age <sup>2</sup> , gender, # of alcoholic drinks per week	-0.04 (0.19)	0.84

**Table S14. Correlation of plasma apolipoprotein C-III level with plasma lipids, apolipoproteins, and cardiovascular risk factors in the Framingham Heart Study Offspring Cohort**

Variable	Correlation Coefficient	Pr(> t )
Total cholesterol	0.473	2.26E-180
High density lipoprotein cholesterol	-0.135	1.25E-14
Low-density lipoprotein cholesterol	0.233	5.01E-40
Triglycerides	0.752	<1E-222
Log (Triglycerides)	0.789	<1E-222
Body mass index	0.200	1.42E-30
Fasting glucose	0.271	2.31E-55
Intermediate-density lipoprotein determined by NMR, Exam 4	0.274	4.92E-48
VLDL size determined by NMR, Exam 4	0.390	6.15E-100
LDL size determined by NMR, Exam 4	-0.327	3.20E-69
HDL size determined by NMR, Exam 4	-0.178	6.77E-21
Large VLDL particles determined by NMR, Exam 4	0.445	1.34E-132
Medium VLDL particles determined by NMR, Exam 4	0.557	1.21E-222
Small VLDL particles determined by NMR, Exam 4	0.135	1.41E-12
Large LDL particles determined by NMR, Exam 4	-0.114	2.47E-09
Medium LDL particles determined by NMR, Exam 4	0.249	9.21E-40
Small LDL particles determined by NMR, Exam 4	0.329	1.02E-69
large HDL particles determined by NMR, Exam 4	-0.135	1.60E-12
medium HDL particles determined by NMR, Exam 4	0.209	3.14E-28
small HDL particles determined by NMR, Exam 4	0.094	9.33E-07
Apolipoprotein AI concentration by ELISA (mg/dl), Exam 4	0.132	6.79E-14
Apolipoprotein AII concentration by ELISA (mg/dl), Exam 4	0.294	4.02E-65
Apolipoprotein B concentration by ELISA (mg/dl), Exam 4	0.359	4.26E-98
Cholesterol in remnant like particles in mg/dl, Exam 4	0.421	2.12E-106
Triglycerides in remnant like particles in mg/dl, Exam 4	0.365	5.35E-76
Systolic blood pressure	0.249	7.63E-47
Diastolic blood pressure	0.172	7.17E-23
Log (C-reactive protein), exam 5	0.174	9.53E-30
Log (C-reactive protein), exam 6	0.193	1.90E-24
Sex	0.019	0.276
Age	0.200	2.05E-30

Correlations are unadjusted. All measurements are made in exam cycle 5 unless specified.

VLDL denotes very-low density lipoprotein; NMR, nuclear magnetic resonance; LDL, low-density lipoprotein; HDL, high-density lipoprotein

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**Table S15. Association of continuous plasma apolipoprotein C-III levels with incident CHD in the Framingham Heart Study**

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<b>Model</b>	<b>Beta</b>	<b>SE</b>	<b>OR</b>	<b>95% CI lower</b>	<b>95% CI upper</b>	<b>P</b>
1	0.044	0.011	1.045	1.023	1.068	4.90E-05
2	0.017	0.015	1.017	0.988	1.047	0.26

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Model 1 covariates include age and sex

Model 2 covariates include age, sex, smoking, diabetes mellitus, LDL cholesterol, HDL cholesterol, hypertension treatment, alcohol consumption, systolic and diastolic blood pressure, lipid-lowering treatment, and fasting serum glucose



**Table S16. Association of tertiles of plasma apolipoprotein C-III levels with incident events in the Framingham Heart Study Offspring cohort**

Model	Comparison	Beta	SE	OR	95% CI lower	95% CI upper	P
1	Lowest third vs. Highest third	-0.435	0.148	0.648	0.484	0.865	0.003
	Middle third vs. Highest third	-0.174	0.133	0.841	0.647	1.091	0.19
2	Lowest third vs. Highest third	-0.117	0.159	0.890	0.651	1.214	0.46
	Middle third vs. Highest third	0.029	0.141	1.029	0.780	1.357	0.84

Model 1: age and sex

Model 2: age, sex, smoking, diabetes mellitus, LDL cholesterol, HDL cholesterol, hypertension treatment, alcohol consumption, systolic and diastolic blood pressure, lipid-lowering treatment, and fasting serum glucose

**Table S17. Association of continuous plasma apolipoproteinC-III levels with total mortality in CAD patients in Verona Heart Study**

Model	Beta	SE	OR	95% CI lower	95% CI upper	P
1	0.078	0.016	1.081	1.047	1.116	2E-06
2	0.107	0.025	1.113	1.059	1.168	2E-05

Model 1 covariates include age and sex

Model 2 covariates include age, sex, diabetes mellitus, hypertension, LDL cholesterol, HDL cholesterol, lipid-lowering treatment, and fasting serum glucose

**Table S18. Association of tertiles of plasma apolipoproteinC-III levels with total mortality in CAD patients in Verona Heart Study**

Model	Comparison	Beta	SE	OR	95% CI lower	95% CI upper	P
1	Lowest third vs. Highest third	-0.677	0.211	0.508	0.336	0.769	0.001
	Middle third vs. Highest third	-0.565	0.215	0.569	0.373	0.867	0.009
2	Lowest third vs. Highest third	-0.774	0.317	0.461	0.248	0.858	0.015
	Middle third vs. Highest third	-0.819	0.301	0.441	0.244	0.795	0.006

Model 1: age and sex

Model 2 covariates include age, sex, diabetes mellitus, hypertension, LDL cholesterol, HDL cholesterol, lipid-lowering treatment, and fasting serum glucose

**Table S19. Association of continuous plasma apolipoproteinC-III levels with cardiovascular mortality in CAD patients in Verona Heart Study**

Model	Beta	SE	OR	95% CI lower	95% CI upper	P
1	0.069	0.020	1.071	1.029	1.115	0.001
2	0.088	0.033	1.092	1.023	1.165	0.008

Model 1 covariates include age and sex

Model 2 covariates include age, sex, diabetes mellitus, hypertension, LDL cholesterol, HDL cholesterol, lipid-lowering treatment, and fasting serum glucose

**Table S20. Association of tertiles of plasma apolipoproteinC-III levels with cardiovascular mortality in CAD patients in Verona Heart Study**

Model	Comparison	Beta	SE	OR	95% CI lower	95% CI upper	P
1	Lowest third vs. Highest third	-0.673	0.268	0.510	0.302	0.862	0.012
	Middle third vs. Highest third	-0.246	0.248	0.782	0.481	1.271	0.321
2	Lowest third vs. Highest third	-0.850	0.399	0.427	0.195	0.934	0.033
	Middle third vs. Highest third	-0.523	0.341	0.593	0.304	1.156	0.125

Model 1: age and sex

Model 2 covariates include age, sex, diabetes mellitus, hypertension, LDL cholesterol, HDL cholesterol, lipid-lowering treatment, and fasting serum glucose

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