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VOICES: the Value Of sIx-month Clinical Evaluation in Stroke - A qualitative study to ascertain the value of stroke follow-up to people affected by stroke

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VOICES: the Value Of six-month Clinical Evaluation in Stroke - A qualitative study to ascertain the value of stroke follow-up to people affected by stroke

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ABSTRACT

Introduction

The National Clinical Guidelines for Stroke recommend 'routine follow-up of patients six months post discharge'. The Sentinel Stroke National Audit Programme sets a standard of six months post admission follow-up, capturing data on process and outcomes.

There appears to be no convincing model of stroke follow-up at six months, and despite evidence of unmet need in almost 50% of stroke survivors one to five years after their stroke, little work focuses on the first twelve months of recovery.

By listening to the living experiences of stroke, the research aims to tailor the stroke care pathway to the needs of those affected.

Methods and analysis

A focus group of six stroke survivors and carers will be invited to identify appropriate interview questions about the value of follow-up at six months, ensuring that this study has its genesis in the participant experience.

A pilot study of four stroke survivors will ascertain the feasibility of the method. 30 stroke survivors from the follow-up clinic will be invited to take part in semi-structured interviews. Raw data, in the form of digital recordings of the interviews, will be transcribed. Interview transcriptions will be checked by the participant for accuracy prior to analysis using NVivo[®] software. Literal and reflective narrative analysis will be used to code transcribed text to examine shared themes and reflect on content.

Ethics and dissemination

Study documentation has been reviewed by the Coventry and Warwickshire Research Ethics Committee; the chief investigator met with the committee to scrutinise the study and justify its methodology. The committee has approved this study.

A copy of the final report will be given to participants, the Stroke Association, the local Clinical Commissioning Group and participants' GP's. It is intended to disseminate the results locally by presentation to the Trust board, at academic conferences and by publication in a peer-reviewed scientific journal.

STRENGTHS AND LIMITATIONS

Strengths –

- patient and carer involvement – interview questions arise from stroke survivors, not from the researcher’s assumption
- detailed, holistic data
- lived and living, active histories

Limitations –

- geographically specific, but may be generalisable to others in similar locations
- relatively small sample size, but close to 30 hours of data is broad and deep, and should providing vivid, compelling accounts
- subjectivity of qualitative style is inevitable & acknowledged

LAY SUMMARY

This study will examine the value of the six month follow-up clinic to people affected by stroke. It seeks to explore peoples’ expectations of the follow-up and evaluate its impact on the practical and psychological aspects of living with stroke. It is intended that this study will provide valuable evidence for commissioners to inform decisions about stroke service development.

The study will recruit up to 30 individuals six months after their stroke. A single semi-structured interview will be conducted with each person in a clinical setting or person’s home, depending upon their choice. Interviews will last a maximum of one hour, and can be undertaken in short stages (e.g. 20 minutes) if the participant prefers. The interviews will be digitally recorded and transcribed data will be analysed to examine themes and key words.

Transcribed raw data will be entered into a qualitative analysis package by Dr. Price. Coded data will be analysed and interpreted by both researchers. The resulting data will arise from an active, collaborative partnership between participant as expert and researcher, leading to a co-creation of knowledge. In collaborative research, the participants are experts of their own experiences; the role of the researcher is to make sense of this insight and co-construct new knowledge by sharing understanding.

By listening to the living experiences of stroke survivors, the research aims to provide a clear rationale for the six month follow-up and tailor the long-term stroke care pathway to the needs of those affected. Much research examines practical outcomes after stroke, but little work has focused on the *value* of follow-up after stroke as experienced by the patient. This study aims to build on the work ‘Feeling Overwhelmed’¹ by examining if there is emotional or practical benefit from attending a follow-up appointment; it also aims to

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3 provide a patient-centred evidence-base for the National Stroke Strategy²
4 recommendation for follow-up at six months.
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7 **BACKGROUND AND RATIONALE**

8 The National Clinical Guidelines for Stroke recommend 'routine follow-up of
9 patients six months post discharge and annually after a stroke' and 'any
10 patient with residual impairment after the end of initial rehabilitation should be
11 offered a formal review at least every six months, to consider whether further
12 interventions are warranted'. These recommendations are a consensus view
13 of the expert working party².
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17 The Sentinel Stroke National Audit Programme (SSNAP) sets a standard of
18 six months post admission follow-up assessment (± 2 months): this captures
19 data on process and some outcomes³.
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22 There appears to be no convincing theoretical model of stroke follow-up at six
23 months; there is also a notable discrepancy between six months post
24 admission and six months post discharge recommended by SSNAP and the
25 National Clinical Guidelines for Stroke respectively. Two studies suggest that
26 some patients will benefit from physiotherapy^{4,5}. Forster *et al.* however found
27 no evidence for a structured reassessment at six months in terms of resource
28 usage⁶.
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32 Some person-centred qualitative evidence is available from the Stroke
33 Association survey 'Feeling Overwhelmed: the emotional impact of stroke' of
34 2700 people affected by stroke¹. This research examined the emotional
35 impact of stroke on survivors, their carers and families and highlighted the
36 need for further research into what they describe as an 'underappreciated
37 problem'¹. Finally, Martin Gower highlighted the need to focus on service user
38 and carer involvement in helping to shape the stroke care agenda in the
39 Comprehensive Local Research Network (CLRN) 'Celebrating Achievements'
40 conference⁷.
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45 **PATIENT BENEFIT**

46 There is evidence of unmet need in almost 50% of stroke survivors between
47 one and five years after the stroke⁸ though little work focuses on the first
48 twelve months of recovery. Our six month consultant-led follow-up clinic
49 currently examines the needs of local patients and their carers.
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52 This study aims to provide patient benefit by having a positive impact on the
53 short to medium term holistic physical and psychological well-being of the
54 patient and their carers. By ascertaining the value of follow-up intervention
55 from the stroke survivor's perspective, we aim to provide a beneficial service
56 tailored to the needs of individuals. The provision of a follow-up service at six
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3 months has been timed according to the National Clinical Guidelines for
4 Stroke², but there is no clear evidence to show why six months has been
5 chosen as an appropriate time. This study will ascertain the value of the
6 follow-up directly from the living experiences of the stroke survivors and
7 carers as experts, and could lead to evidence for follow-up at a different time.
8 Results from this study could be incorporated into the National Clinical
9 Guidelines for Stroke and ultimately achieve benefit for all users of stroke
10 services within the NHS.
11
12

13 14 15 **OBJECTIVES**

16 Principle objectives:

- 17 ○ What is the value to people affected by stroke of a six month follow-up
18 clinic?
- 19 ○ Is six months post stroke the best time?

20
21 Secondary objectives:

- 22 ○ The study will systematically review previous research in this area and
23 seek to fill the specific gap in knowledge about the value of follow-up.
- 24 ○ The study will follow a given methodology, a patient-centred,
25 constructivist qualitative philosophy, in order to collect robust data.
- 26 ○ The term 'value' will be examined to determine how it is perceived and
27 interpreted by stroke survivors.
- 28 ○ Data will be examined using narrative analysis to gain the lived and
29 living experience of stroke survivors.
- 30 ○ Results will inform local stroke provision.
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35 36 **TRIAL DESIGN, METHODOLOGY AND METHOD**

37 This is a qualitative study using a convenience sample. The philosophy is to
38 use a qualitative, constructivist, interpretive method to co-construct knowledge
39 about the value of follow-up, since a person centred approach was not the
40 focus of previous studies assessing the value of stroke follow-up. It is
41 intended that this study will provide valuable evidence to inform decisions
42 about local stroke service development. This is particularly important as there
43 has been limited service user engagement in service design previously.
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47 The study will use a convenience sampling method, since people affected by
48 stroke will be approached in the clinic offered at the hospital. There will be no
49 selection by the researchers; all those who attend will be offered the chance
50 to take part regardless of age, ability or any other criteria other than those
51 exclusion criteria listed. Carers or relatives of stroke survivors who could act
52 as interpreters would be welcome to participate in the study with the
53 individual.
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3 Potential participants will be approached at the end of their six month clinical
4 follow-up appointment and invited to participate within the next two weeks.
5 Written information about the study and a contact number will be given out if
6 interest is initially expressed. Within the next week a researcher will make
7 contact to invite formal enrolment, gain consent and arrange the interview
8 date, time and venue. It is intended to hold interviews within two weeks of the
9 clinic appointment. This will allow participants to prepare for the interview by
10 making notes or reflecting on what they valued in the follow-up. The aim is to
11 recruit up to 30 stroke survivors into the study.
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16 A semi-structured interview will be conducted in the hospital or person's
17 home, depending upon their choice. Interviews will last a maximum of one
18 hour. As the interview style is semi-structured, the length of interview and
19 depth of information proffered will be determined by the participant. This style
20 of interview allows the participant to offer as much or little detail as they see
21 fit, since the topic is likely to require some emotional investment from each
22 individual. The emotional state and vulnerability of the individual will be
23 considered, so interviews could be staged into short time sections in order not
24 to tire the individual and to encourage the participant to feel they were needed
25 and not 'being used'. The physical and psychological safety of the participant
26 will be paramount. If a participant should disclose information which was of
27 concern to the interviewer, the interviewer will follow the multi-agency
28 safeguarding adults policy agreed by the local Adult Safeguarding Board.
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34 The interviews will be digitally recorded and transcribed, then stored on-line in
35 a password-protected file only accessible by the researchers and one
36 secretary. Transcriptions will be analysed using NVivo[®] software. The use of
37 qualitative software will standardise analysis, resulting in broad themes which
38 can be interpreted and illustrated using verbatim quotations.
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41 Narrative analysis will be used to code transcribed text to examine themes
42 and key words from the raw data. Narrative analysis is an examination of
43 individual stories that can contribute to an understanding of that individual's
44 experience. In this case the 'stories' are the content of the interview, the lived
45 and living experience of stroke as described by the stroke survivor in the
46 context of an interview conversation. Narrative analysis, in which experiences
47 are constructed from dialogic aspects of narrative⁹, can examine data from a
48 literal or reflexive approach. Both will be used in this study; literal analysis will
49 examine particular language, for example repeated words or phrases, and
50 reflexive analysis will include the researchers' and participants' contribution to
51 the co-creation of knowledge through the interpretation and reflection on
52 content¹⁰. Verbatim quotes will be used to illustrate themes or recurrent
53 points.
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3 All data will be anonymised and potential participants will be advised of this
4 when giving informed consent. Participants will also be offered the opportunity
5 to validate the transcription by checking a copy of the transcribed interview for
6 accuracy. Transcriptions will be posted or emailed to the participant,
7 whichever method they prefer, and the researcher practitioner's contact
8 number and e-mail will be provided for them to call or e-mail with their
9 comments. They will be advised that they are being asked to ensure that the
10 transcription is an accurate record of their interview and to confirm again that
11 they are happy for quotes to be used in the final report. This process of
12 validation will give participants ownership of the data and further allow them to
13 agree to its use. This collaborative approach will enable the co-construction of
14 new knowledge between the researchers, and the participants as experts.
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20 The full study is expected to last two years, with a focus group and pilot
21 interviews taking place in the first year. Transcription, data analysis and report
22 writing are anticipated to be completed in the second year.
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24 **ELIGIBILITY**

25 Inclusion

- 26 ○ Those attending the six month follow-up clinic appointment.
- 27 ○ Adults over the age of 18.
- 28 ○ Able to give informed consent, or proxy consent from a relative.
- 29 ○ Individuals with aphasia may take part if they have a close relative
30 who can help make their views understood through verbal or written
31 means.
32

33 Exclusion

- 34 ○ Those who had a stroke less than six months ago.
- 35 ○ Age less than 18.
- 36 ○ Those who do not speak English fluently and who do not have an
37 interpreter who can translate for them.
- 38 ○ Non-stroke life expectancy of less than six months.
- 39 ○ Individuals with dementia whose memory is impaired to a degree that
40 they could not give meaningful consent
- 41 ○ Individuals who do not have capacity to consent.
42

43 **RECRUITMENT**

44 The trial uses an opportunistic sampling strategy. Potential participants will be
45 approached at the end of their clinical follow-up by the Chief Investigator (who
46 runs the clinic) and invited to participate within the next two weeks. Written
47 information about the study and the contact number of the researcher will be
48 given out if interest is initially expressed. Within the next week the researcher
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3 will make contact to invite formal enrolment, gain consent and arrange the
4 interview date, time and venue. The researcher will not be present in the clinic
5 interview.
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8 **CONSENT**

9 Informed, written consent will be sought for all participants. When initial
10 interest is expressed, individuals will be given an information sheet and
11 contact telephone numbers to take away with them. The researcher will gain
12 written consent before the interview takes place. The participant will be given
13 a copy of their signed consent form. Hard copies of consent forms will be
14 stored securely at the study centre.
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17 **DATA SOURCES AND MEASUREMENT**

18 Raw data will be in the form of digital recordings of the interviews. These will
19 be transcribed to enable analysis to be completed efficiently. Transcribed
20 interviews will be identified by a numerical code unique to each individual.
21 Transcriptions will be analysed using NVivo[®] software. Transcriptions will only
22 be read by Dr. Price, since there is potential to bias the results if the
23 researcher who runs the clinic (Dr. Jenkins) also sees the interview content.
24 The transcriptions will have been checked by the participant for accuracy prior
25 to analysis. Coded data will be analysed by both researchers and there will be
26 an iterative process of reflection on content by both researchers. Literal and
27 reflective narrative analysis will be used to code transcribed text to examine
28 shared themes and key words, and reflect on the content of the interviews.
29 Verbatim quotes will be used to illustrate themes or recurrent points.
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36 **QUALITY ASSURANCE**

37 The Chief Investigator and Co-Investigator have valid Good Clinical Practice
38 certificates and are experienced researchers. The scientific quality of the
39 study has been assessed by independent peer review of the proposal by a
40 university lecturer, via the West Midlands South Comprehensive Local
41 Research Network Research and Development team. It has also been
42 scrutinised by the Trust, acting as sponsor. In addition, this proposal has been
43 reviewed by the Research and Development team and the Research Design
44 Service at study preparation and prior to commencement.
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48 Finally, the proposal has also been considered by a member of the stroke
49 team who is not involved in the research but who has extensive knowledge of
50 stroke and experience of working with patients in a person-centred way.
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53 **CONFIDENTIALITY**

54 Digital interview recordings, written transcriptions and written analysis will be
55 kept in a file on a password protected secure NHS network drive. Access to
56 this file will be restricted to both named researchers and one member of
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3 secretarial staff. All data will be anonymised and potential participants will be
4 advised of this as part of the consent process. Hard copies of consent forms
5 will be kept in a locked filing cabinet in a locked office.
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8 **ACCRUAL AND ANALYSIS**

9 Sample size up to 30

10 The minimum recruitment is set at 12 to enable recruitment of one person a
11 month, though it is expected that this will be exceeded. The maximum is
12 calculated on the basis that saturation point will be reached, whereby no
13 further new information will be gained by interviewing more participants. The
14 data provided in interview will be rich and deep, so a relatively small sample
15 size is justified.
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19 **ANALYSIS METHODS**

20 A narrative style of analysis will be used to examine shared themes and
21 commonality in the interview transcriptions. NVivo[®] software will be used to
22 standardise the analysis. Narrative analysis centres on the structured study of
23 stories or oral narrative accounts of complex and nuanced experience, in this
24 case taking the form of interview responses. Individual interview stories can
25 be categorised and analysed by themes within the account (thematic analysis)
26 or by the way the interview conversation is structured; for example examining
27 the use of metaphor would result in a structural analysis of the narrative. It is
28 anticipated that both types of analysis will be used in this study.
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33 There is the potential for the Chief Investigator to be biased against any
34 negative narratives arising from interviews since it is his clinic under scrutiny.
35 In order to mitigate this possibility, raw data will be entered into the NVivo[®]
36 qualitative software package by Dr. Price, removing the need for the Chief
37 Investigator to examine raw data. Analysis of coded, processed data will then
38 be undertaken by both researchers in order to answer the research question.
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43 **LONG-TERM STORAGE OF DATA**

44 Digital voice recordings of interviews, transcribed interviews and analysed
45 data will be kept for five years after publication, and then destroyed. The
46 rationale for keeping data for this length of time is to allow sufficient time for
47 publication of the research in a peer-reviewed journal and subsequent
48 academic review.
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51 **PATIENT AND PUBLIC INVOLVEMENT**

52 The National Clinical Guidelines for Stroke² advise that the views of stroke
53 patients and their carers should be considered when evaluating a service, and
54 this study aims to answer that call.
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3 A focus group of six stroke survivors and their carers will be invited to discuss
4 the study and proposed method to ensure that the approach is appropriate
5 and robust. The focus group discussion will also be used to devise and
6 validate the interview questions, to ensure that attention is paid to the views
7 and feelings of stroke survivors. Members of the focus group will consist of
8 individuals who will not have attended a recent follow-up appointment so they
9 will be able to approach the study from an independent viewpoint. Group
10 members will be asked for their permission to have the discussion recorded.
11 The content and feedback from this discussion will enable the focus of the
12 resulting research questions to be precisely based on the views of patients
13 and carers, thereby ensuring that this study has its genesis in the participant
14 experience, not the researcher's interpretation of what that experience may
15 be.
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21 Four stroke survivors who did not take part in the focus group will be recruited
22 from the follow-up clinic to take part in a one-to-one pilot interview to ascertain
23 the feasibility of the study method. Again, feedback will be sought from the
24 pilot interviewees on the questions and the way the study was run, and final
25 amendments to the full study will be made accordingly.
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29 Participants in both the pilot and main study will be offered the opportunity to
30 validate the transcription by checking a copy of their interview for accuracy.
31 This will give participants ownership of the data and further allow them to
32 agree to its use. Participants will also be given a copy of the final report, to
33 see the results of their involvement.
34
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36 **ETHICAL CONSIDERATIONS**

37 The study proposal has been reviewed by the West Midlands South
38 Comprehensive Local Research Network, and been peer reviewed by an
39 independent university lecturer who acted as a reviewer.
40
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42 All study documentation has been reviewed by the Coventry and
43 Warwickshire Research Ethics Committee and the chief investigator met with
44 the committee to scrutinise the study and justify its methodology. The
45 committee has approved this study.
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49 Risk of breach of confidentiality will be minimised by the use of anonymised
50 data. Participants will be asked to consent to direct quotations from interview
51 being used in the final report, in the knowledge that they will not be named or
52 their identity be inferred.
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55 There is a minimal risk that people might become upset while talking about
56 their experiences of stroke; Dr. Price is an experienced interviewer and will
57 support people appropriately using active listening skills. People will not have
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3 to answer any questions they find uncomfortable and can withdraw at any
4 time in the study; this will be made clear in the consent process.
5 In the event of an individual becoming distressed, they will be asked if they
6 wish to delay or discontinue the interview, and Dr. Price will ensure that
7 someone is with the participant once the interview is completed. The
8 interviewer will also be equipped to provide the participant with details of
9 support organisations or help-lines should the need arise.
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12 13 **ANTICIPATED PROBLEMS**

14 There might be a bias caused by the participant receiving additional attention
15 by taking part in the interview; they might over-value the clinic appointment
16 because additional attention has been paid to them and they place value on
17 that process. Participants will be reminded that the focus of the study is the
18 value of the clinic appointment, so as not to confound the results.
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22 Individuals may be reluctant to offer negative views about the clinic if they are
23 aware that those views will be fed back to the consultant. It will be made clear
24 in the informed consent process that i) data will not be attributable to them by
25 name, ii) the consultant will not analyse raw data but will only examine the
26 resulting themes, and iii) Dr. Price is not employed by the Trust and so she
27 can offer an objective analysis of the results.
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30 31 **POTENTIAL BENEFIT TO RESEARCH PARTICIPANTS**

32 For some individuals, participation may allow further opportunity to reflect on
33 their development since their stroke or to take part in a worthwhile endeavour
34 which could benefit others. In other words, participation may be beneficial
35 since it enables them to have some influence or a role.
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38 39 **EXPECTED OUTCOMES OF THE STUDY**

40 The study will inform the development of local stroke services in an area that
41 has hitherto had little resource or clinical attention. The study will inform
42 commissioners of the benefits to people affected by stroke of follow-up by
43 stroke clinicians. The study will also enhance the theoretical basis for stroke
44 follow-up. The study might show that there is no benefit to six month follow-up
45 in its current SSNAP-based format but may suggest alternative approaches or
46 timing of follow-up.
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49 50 **DISSEMINATION OF RESULTS AND PUBLICATION POLICY**

51 A copy of the final study report will be given to the participants, participants'
52 GP's, the Stroke Association and the local Clinical Commissioning Group. It is
53 further intended to disseminate the results by presentation at academic
54 conferences and by publication in a peer-reviewed scientific journal.
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TIMETABLE

The timetable is sub-divided into a pre-study set-up period, and the pilot and main study. Chart 1 shows the anticipated timing to gain approvals, run the focus group and amend the study based on focus group feedback.

Chart 1: Pre-Study Timetable

Chart 1 here

Chart 2 shows the estimated timetable for the study, commencing May 2014 and anticipated to end in May 2016. Both investigators expect to be in post for the duration of the study.

Chart 2: Study Timetable

Chart 2 here

BUDGET SUMMARY

Table 1

	Year 1 (£)	Year 2 (£)	Total
Salaries (typing costs at £1.50 per minute)*	£1350*	£1350*	£1700
Equipment (External computer hard drive and Digital encrypted Dictaphone)	£390	-	£390
Consumables (NVivo® software)	£835	-	£835
Travel (Researcher to patient homes, and participants to venue plus parking costs)	£400	-	£400
Other expenses (Printing information and consent forms; Postage; Literature: printing and access costs)	£400	-	£400
Total	£3,375	£1,350	£4,725

*The salary budget is designed to be used to pay one member of secretarial staff to transcribe interviews, and the typing cost is set to reflect the fact that transcription is in addition to their usual duties.

The budget is largely for initial capital costs to enable the study to be set up.

TEAM EXPERTISE

The Chief Investigator (CI) has experience of acting as Principal Investigator for seven clinical stroke trials and has undertaken independent qualitative research in the past. The Co-Investigator has successfully completed independent doctoral level qualitative study. Her post is funded by the NIHR Clinical Research Network. Both researchers hold current Good Clinical

1
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3 Practice certificates. In addition, both researchers have a person-centred
4 focus and are motivated to gain the personal histories of people affected by
5 stroke in order to inform service provision.
6

7 **Acknowledgements**

8 We are very grateful to The Eveson Charitable Trust and The James Tudor
9 Foundation for funding this study. Thanks also to Dr. Karima Kadi-Hanifi and
10 the anonymous reviewers for their suggestions. Finally, we acknowledge the
11 contribution of Ciara Harris – without her word-play skills this study would
12 have no name!
13
14

15 **FUNDING BODIES**

16 The study has been funded by two local charities, The Eveson Charitable
17 Trust and The James Tudor Foundation.
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22 **CONTRIBUTORSHIP**

23 Dr. Colin Jenkins originally conceived the idea for the study and has made a
24 substantial contribution to the design and methodology of the research
25 protocol. He has reviewed the protocol content, researched the background to
26 the issue and collaborated in the writing of the full document.
27
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29 Dr. Fiona Price has drafted and revised the written protocol based on scrutiny
30 by independent academic colleagues. She has also used her expertise in
31 qualitative research design to devise a structured method for the research.
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VOICES: the Value Of six-month Clinical Evaluation in Stroke - A qualitative study to ascertain the value of stroke follow-up to people affected by stroke

Jenkins and Price

Chart 1: Pre-Study Timetable

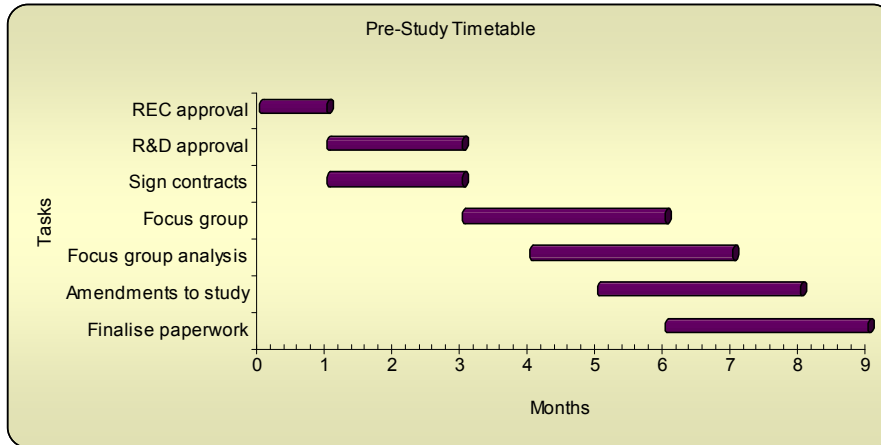
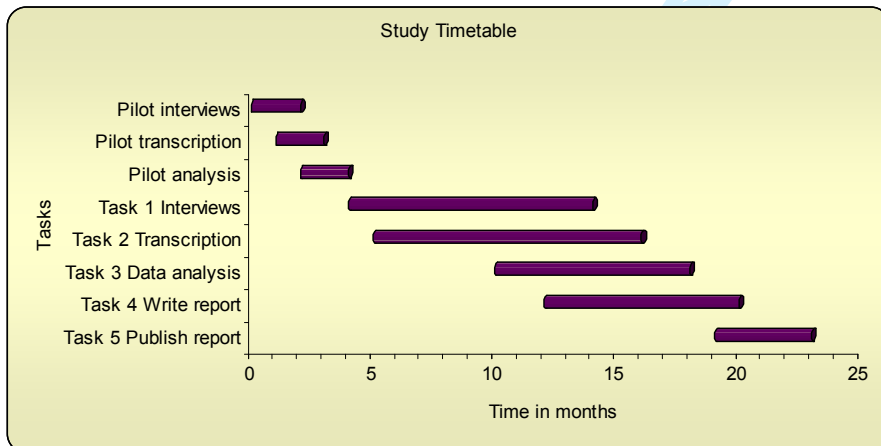


Chart 2: Study Timetable



BMJ Open

VOICES: the Value Of sIx-month Clinical Evaluation in Stroke - The protocol for a planned qualitative study to ascertain the value of stroke follow-up to people affected by stroke

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3 **VOICES: the Value Of six-month Clinical Evaluation in Stroke – The protocol**
4 **for a planned qualitative study to ascertain the value of stroke follow-up to**
5 **people affected by stroke**
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8 Protocol Version 1.4 Dated 8th April 2014
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47 Key words: Stroke, Follow-up, Value, Carers, Review
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50 Word count: 3805
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ABSTRACT

Introduction

The National Clinical Guidelines for Stroke recommend 'routine follow-up of patients six months post discharge'. The Sentinel Stroke National Audit Programme sets a standard of six months post admission follow-up, capturing data on process and outcomes.

There appears to be no convincing model of stroke follow-up at six months, and despite evidence of unmet need in almost 50% of stroke survivors one to five years after their stroke, little work focuses on the first twelve months of recovery.

By listening to the living experiences of stroke, the research aims to tailor the stroke care pathway to the needs of those affected.

Methods and analysis

A focus group of six stroke survivors and carers will be invited to identify appropriate interview questions about the value of follow-up at six months, ensuring that this study has its genesis in the participant experience.

A pilot study of four stroke survivors will ascertain the feasibility of the method. 30 stroke survivors from the follow-up clinic will be invited to take part in semi-structured interviews. Raw data, in the form of digital recordings of the interviews, will be transcribed. Interview transcriptions will be checked by the participant for accuracy prior to analysis using NVivo[®] software. Literal and reflective narrative analysis will be used to code transcribed text to examine shared themes and reflect on content.

Ethics and dissemination

Study documentation has been reviewed by the Coventry and Warwickshire Research Ethics Committee; the chief investigator met with the committee to scrutinise the study and justify its methodology. The committee has approved this study.

A copy of the final report will be given to participants, the Stroke Association, the local Clinical Commissioning Group and participants' GP's. It is intended to disseminate the results locally by presentation to the Trust board, at academic conferences and by publication in a peer-reviewed scientific journal.

STRENGTHS AND LIMITATIONS

Strengths –

- patient and carer involvement – interview questions arise from stroke survivors, not from the researcher's assumption
- detailed, holistic data
- lived and living, active histories

Limitations –

- geographically specific, but may be generalisable to others in similar locations
- relatively small sample size, but close to 30 hours of data is broad and deep, and should providing vivid, compelling accounts
- subjectivity of qualitative style is inevitable & acknowledged

BACKGROUND AND RATIONALE

The National Clinical Guidelines for Stroke recommend 'routine follow-up of patients six months post discharge and annually after a stroke' and 'any patient with residual impairment after the end of initial rehabilitation should be offered a formal review at least every six months, to consider whether further interventions are warranted'. These recommendations are a consensus view of the expert working party¹.

The Sentinel Stroke National Audit Programme (SSNAP) sets a standard of six months post admission follow-up assessment (± 2 months): this captures data on process and some outcomes².

There appears to be no convincing theoretical model of stroke follow-up at six months; there is also a notable discrepancy between six months post admission and six months post discharge recommended by SSNAP and the National Clinical Guidelines for Stroke respectively. Two studies suggest that some patients will benefit from physiotherapy^{3,4}. Forster *et al.* however found no evidence for a structured reassessment at six months in terms of resource usage⁵.

Some person-centred qualitative evidence is available from the Stroke Association survey 'Feeling Overwhelmed: the emotional impact of stroke' of 2700 people affected by stroke⁶. This research examined the emotional impact of stroke on survivors, their carers and families and highlighted the need for further research into what they describe as an 'underappreciated problem'⁶. Finally, Martin Gower highlighted the need to focus on service user and carer involvement in helping to shape the stroke care agenda in the

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3 Comprehensive Local Research Network (CLRN) 'Celebrating Achievements'
4 conference⁷.

6 7 **PATIENT BENEFIT**

8 There is evidence of unmet need in almost 50% of stroke survivors between
9 one and five years after the stroke⁸ though little work focuses on the first
10 twelve months of recovery. Our six month consultant-led follow-up clinic
11 currently examines the needs of local patients and their carers.
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14 This study aims to provide patient benefit by having a positive impact on the
15 short to medium term holistic physical and psychological well-being of the
16 patient and their carers. By ascertaining the value of follow-up intervention
17 from the stroke survivor's perspective, we aim to provide a beneficial service
18 tailored to the needs of individuals. The provision of a follow-up service at six
19 months has been timed according to the National Clinical Guidelines for
20 Stroke¹, but there is no clear evidence to show why six months has been
21 chosen as an appropriate time. This study will ascertain the value of the
22 follow-up directly from the living experiences of the stroke survivors and
23 carers as experts, and could lead to evidence for follow-up at a different time.
24 Results from this study could be incorporated into the National Clinical
25 Guidelines for Stroke and ultimately achieve benefit for all users of stroke
26 services within the NHS.
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32 33 **OBJECTIVES**

34 Principle objectives:

- 35 ○ What is the value to people affected by stroke of a six month follow-up
36 clinic?
- 37 ○ Is six months post stroke the best time?

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39 Secondary objectives:

- 40 ○ The study will systematically review previous research in this area and
41 seek to fill the specific gap in knowledge about the value of follow-up.
- 42 ○ The study will follow a given methodology, a patient-centred,
43 constructivist qualitative philosophy, in order to collect robust data.
- 44 ○ The term 'value' will be examined to determine how it is perceived and
45 interpreted by stroke survivors.
- 46 ○ Data will be examined using narrative analysis to gain the lived and
47 living experience of stroke survivors.
- 48 ○ Results will inform local stroke provision.

52 53 **TRIAL DESIGN, METHODOLOGY AND METHOD**

54 This is a qualitative study using a convenience sample. The philosophy is to
55 use a qualitative, constructivist, interpretive method to co-construct knowledge
56 about the value of follow-up, since a person centred approach was not the
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3 focus of previous studies assessing the value of stroke follow-up. It is
4 intended that this study will provide valuable evidence to inform decisions
5 about local stroke service development. This is particularly important as there
6 has been limited service user engagement in service design previously.
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10 The study will use a convenience sampling method, since people affected by
11 stroke will be approached in the clinic offered at the hospital. There will be no
12 selection by the researchers; all those who attend will be offered the chance
13 to take part regardless of age, ability or any other criteria other than those
14 exclusion criteria listed. Carers or relatives of stroke survivors who could act
15 as interpreters would be welcome to participate in the study with the
16 individual.
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20 Potential participants will be approached at the end of their six month clinical
21 follow-up appointment and invited to participate within the next two weeks.
22 Written information about the study and a contact number will be given out if
23 interest is initially expressed. Within the next week a researcher will make
24 contact to invite formal enrolment, gain consent and arrange the interview
25 date, time and venue. It is intended to hold interviews within two weeks of the
26 clinic appointment. This will allow participants to prepare for the interview by
27 making notes or reflecting on what they valued in the follow-up. The aim is to
28 recruit up to 30 stroke survivors into the study.
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33 A semi-structured interview will be conducted in the hospital or person's
34 home, depending upon their choice. Interviews will last a maximum of one
35 hour. As the interview style is semi-structured, the length of interview and
36 depth of information proffered will be determined by the participant. This style
37 of interview allows the participant to offer as much or little detail as they see
38 fit, since the topic is likely to require some emotional investment from each
39 individual. The emotional state and vulnerability of the individual will be
40 considered, so interviews could be staged into short time sections in order not
41 to tire the individual and to encourage the participant to feel they were needed
42 and not 'being used'. The physical and psychological safety of the participant
43 will be paramount. If a participant should disclose information which was of
44 concern to the interviewer, the interviewer will follow the multi-agency
45 safeguarding adults policy agreed by the local Adult Safeguarding Board.
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51 The interviews will be digitally recorded and transcribed, then stored on-line in
52 a password-protected file only accessible by the researchers and one
53 secretary. Transcriptions will be analysed using NVivo[®] software. The use of
54 qualitative software will standardise analysis, resulting in broad themes which
55 can be interpreted and illustrated using verbatim quotations.
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3 Narrative analysis will be used to code transcribed text to examine themes
4 and key words from the raw data. Narrative analysis is an examination of
5 individual stories that can contribute to an understanding of that individual's
6 experience. In this case the 'stories' are the content of the interview, the lived
7 and living experience of stroke as described by the stroke survivor in the
8 context of an interview conversation. Narrative analysis, in which experiences
9 are constructed from dialogic aspects of narrative⁹, can examine data from a
10 literal or reflexive approach. Both will be used in this study; literal analysis will
11 examine particular language, for example repeated words or phrases, and
12 reflexive analysis will include the researchers' and participants' contribution to
13 the co-creation of knowledge through the interpretation and reflection on
14 content¹⁰. Verbatim quotes will be used to illustrate themes or recurrent
15 points.
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21 All data will be anonymised and potential participants will be advised of this
22 when giving informed consent. Participants will also be offered the opportunity
23 to validate the transcription by checking a copy of the transcribed interview for
24 accuracy. Transcriptions will be posted or emailed to the participant,
25 whichever method they prefer, and the researcher practitioner's contact
26 number and e-mail will be provided for them to call or e-mail with their
27 comments. They will be advised that they are being asked to ensure that the
28 transcription is an accurate record of their interview and to confirm again that
29 they are happy for quotes to be used in the final report. This process of
30 validation will give participants ownership of the data and further allow them to
31 agree to its use. This collaborative approach will enable the co-construction of
32 new knowledge between the researchers, and the participants as experts.
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37 The full study is expected to last two years, with a focus group and pilot
38 interviews taking place in the first year. Transcription, data analysis and report
39 writing are anticipated to be completed in the second year.
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42 **ELIGIBILITY**

43 Inclusion

- 44 ○ Those attending the six month follow-up clinic appointment.
- 45 ○ Adults over the age of 18.
- 46 ○ Able to give informed consent, or proxy consent from a relative.
- 47 ○ Individuals with aphasia may take part if they have a close relative
48 who can help make their views understood through verbal or written
49 means.
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51 Exclusion

- 52 ○ Those who had a stroke less than six months ago.
- 53 ○ Age less than 18.
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- Those who do not speak English fluently and who do not have an interpreter who can translate for them.
- Non-stroke life expectancy of less than six months.
- Individuals with dementia whose memory is impaired to a degree that they could not give meaningful consent
- Individuals who do not have capacity to consent.

RECRUITMENT

The trial uses an opportunistic sampling strategy. Potential participants will be approached at the end of their clinical follow-up by the Chief Investigator (who runs the clinic) and invited to participate within the next two weeks. Written information about the study and the contact number of the researcher will be given out if interest is initially expressed. Written information using text that has key words and concepts in bold¹¹ will be provided to those with dysphasia to enable them to express their own wishes about participation. Within the next week the researcher will make contact to invite formal enrolment, gain consent and arrange the interview date, time and venue. The researcher will not be present in the clinic interview.

CONSENT

Informed, written consent will be sought for all participants. When initial interest is expressed, individuals will be given an information sheet and contact telephone numbers to take away with them. The researcher will gain written consent before the interview takes place. The participant will be given a copy of their signed consent form. Hard copies of consent forms will be stored securely at the study centre.

DATA SOURCES AND MEASUREMENT

Raw data will be in the form of digital recordings of the interviews. These will be transcribed to enable analysis to be completed efficiently. Transcribed interviews will be identified by a numerical code unique to each individual. Transcriptions will be analysed using NVivo[®] software. In response to ethics committee recommendations, transcriptions will only be entered into the NVivo analysis software by Dr. Price, (*i.e.* before any analysis or coding takes place) since there is potential to bias the results if the researcher who runs the clinic (Dr. Jenkins) also sees the interview content. The transcriptions will have been checked by the participant for accuracy prior to analysis.

Coded 'chunks' of data will be analysed by both researchers and there will be an iterative process of reflection on content by both researchers. Literal and reflective narrative analysis will be used to code transcribed text to examine shared themes and key words, and reflect on the content of the interviews. Verbatim quotes will be used to illustrate themes or recurrent points.

QUALITY ASSURANCE

The Chief Investigator and Co-Investigator have valid Good Clinical Practice certificates and are experienced researchers. The scientific quality of the study has been assessed by independent peer review of the proposal by a university lecturer, via the West Midlands South Comprehensive Local Research Network Research and Development team. It has also been scrutinised by the Trust, acting as sponsor. In addition, this proposal has been reviewed by the Research and Development team and the Research Design Service at study preparation and prior to commencement.

Finally, the proposal has also been considered by a member of the stroke team who is not involved in the research but who has extensive knowledge of stroke and experience of working with patients in a person-centred way.

CONFIDENTIALITY

Digital interview recordings, written transcriptions and written analysis will be kept in a file on a password protected secure NHS network drive. Access to this file will be restricted to both named researchers and one member of secretarial staff. All data will be anonymised and potential participants will be advised of this as part of the consent process. Hard copies of consent forms will be kept in a locked filing cabinet in a locked office.

ACCRUAL AND ANALYSIS

Sample size up to 30

The minimum recruitment is set at 12 to enable recruitment of one person a month, though it is expected that this will be exceeded. The maximum is calculated on the basis that saturation point will be reached, whereby no further new information will be gained by interviewing more participants. The data provided in interview will be rich and deep, so a relatively small sample size is justified.

ANALYSIS METHODS

A narrative style of analysis will be used to examine shared themes and commonality in the interview transcriptions. NVivo[®] software will be used to standardise the analysis. Narrative analysis centres on the structured study of stories or oral narrative accounts of complex and nuanced experience, in this case taking the form of interview responses. Individual interview stories can be categorised and analysed by themes within the account (thematic analysis) or by the way the interview conversation is structured; for example examining the use of metaphor would result in a structural analysis of the narrative. It is anticipated that both types of analysis will be used in this study.

There is the potential for the Chief Investigator to be biased against any negative narratives arising from interviews since it is his clinic under scrutiny.

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3 In order to mitigate this possibility, raw data will be entered into the NVivo[®]
4 qualitative software package by Dr. Price, removing the need for the Chief
5 Investigator to examine raw data. Analysis of coded, processed data will then
6 be undertaken by both researchers in order to answer the research question.
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9 10 **LONG-TERM STORAGE OF DATA**

11 Digital voice recordings of interviews, transcribed interviews and analysed
12 data will be kept for five years after publication, and then destroyed. The
13 rationale for keeping data for this length of time is to allow sufficient time for
14 publication of the research in a peer-reviewed journal and subsequent
15 academic review.
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18 19 **PATIENT AND PUBLIC INVOLVEMENT**

20 The National Clinical Guidelines for Stroke¹ advise that the views of stroke
21 patients and their carers should be considered when evaluating a service, and
22 this study aims to answer that call.
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25 A focus group of six stroke survivors and their carers will be invited to discuss
26 the study and proposed method to ensure that the approach is appropriate
27 and robust. The focus group discussion will also be used to devise and
28 validate the interview questions, to ensure that attention is paid to the views
29 and feelings of stroke survivors. Members of the focus group will consist of
30 individuals who will not have attended a recent follow-up appointment so they
31 will be able to approach the study from an independent viewpoint. Group
32 members will be asked for their permission to have the discussion recorded.
33 The content and feedback from this discussion will enable the focus of the
34 resulting research questions to be precisely based on the views of patients
35 and carers, thereby ensuring that this study has its genesis in the participant
36 experience, not the researcher's interpretation of what that experience may
37 be.
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43 Four stroke survivors who did not take part in the focus group will be recruited
44 from the follow-up clinic to take part in a one-to-one pilot interview to ascertain
45 the feasibility of the study method. Again, feedback will be sought from the
46 pilot interviewees on the questions and the way the study was run, and final
47 amendments to the full study will be made accordingly.
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50 Participants in both the pilot and main study will be offered the opportunity to
51 validate the transcription by checking a copy of their interview for accuracy.
52 This will give participants ownership of the data and further allow them to
53 agree to its use. Participants will also be given a copy of the final report, to
54 see the results of their involvement.
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ETHICAL CONSIDERATIONS

The study proposal has been reviewed by the West Midlands South Comprehensive Local Research Network, and been peer reviewed by an independent university lecturer who acted as a reviewer.

All study documentation has been reviewed by the Coventry and Warwickshire Research Ethics Committee and the chief investigator met with the committee to scrutinise the study and justify its methodology. The committee has approved this study.

Risk of breach of confidentiality will be minimised by the use of anonymised data. Participants will be asked to consent to direct quotations from interview being used in the final report, in the knowledge that they will not be named or their identity be inferred.

There is a minimal risk that people might become upset while talking about their experiences of stroke; Dr. Price is an experienced interviewer and will support people appropriately using active listening skills. People will not have to answer any questions they find uncomfortable and can withdraw at any time in the study; this will be made clear in the consent process.

In the event of an individual becoming distressed, they will be asked if they wish to delay or discontinue the interview, and Dr. Price will ensure that someone is with the participant once the interview is completed. The interviewer will also be equipped to provide the participant with details of support organisations or help-lines should the need arise.

ANTICIPATED PROBLEMS

There might be a bias caused by the participant receiving additional attention by taking part in the interview; they might over-value the clinic appointment because additional attention has been paid to them and they place value on that process. Participants will be reminded that the focus of the study is the value of the clinic appointment, so as not to confound the results.

Individuals may be reluctant to offer negative views about the clinic if they are aware that those views will be fed back to the consultant. It will be made clear in the informed consent process that i) data will not be attributable to them by name, ii) the consultant will not analyse raw data but will only examine the resulting themes, and iii) Dr. Price is not employed by the Trust and so she can offer an objective analysis of the results.

POTENTIAL BENEFIT TO RESEARCH PARTICIPANTS

For some individuals, participation may allow further opportunity to reflect on their development since their stroke or to take part in a worthwhile endeavour

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3 which could benefit others. In other words, participation may be beneficial
4 since it enables them to have some influence or a role.
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7 **EXPECTED OUTCOMES OF THE STUDY**

8 The study will inform the development of local stroke services in an area that
9 has hitherto had little resource or clinical attention. The study will inform
10 commissioners of the benefits to people affected by stroke of follow-up by
11 stroke clinicians. The study will also enhance the theoretical basis for stroke
12 follow-up. The study might show that there is no benefit to six month follow-up
13 in its current SSNAP-based format but may suggest alternative approaches or
14 timing of follow-up.
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17 **DISSEMINATION OF RESULTS AND PUBLICATION POLICY**

18 A copy of the final study report will be given to the participants, participants'
19 GP's, the Stroke Association and the local Clinical Commissioning Group. It is
20 further intended to disseminate the results by presentation at academic
21 conferences and by publication in a peer-reviewed scientific journal.
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25 **TIMETABLE**

26 The timetable is sub-divided into a pre-study set-up period, and the pilot and
27 main study. Chart 1 shows the anticipated timing to gain approvals, run the
28 focus group and amend the study based on focus group feedback.
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33 **Chart 1: Pre-Study Timetable**

34 *Chart 1 here*
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42 **Chart 2: Study Timetable**

43 *Chart 2 here*
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47 Chart 2 shows the estimated timetable for the study, commencing May 2014
48 and anticipated to end in May 2016. Both investigators expect to be in post for
49 the duration of the study.
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53 **BUDGET SUMMARY**

54 Table 1 below shows the breakdown of the budget for the two years of the
55 study.
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Table 1

	Year 1 (£)	Year 2 (£)	Total
Salaries (typing costs at £1.50 per minute)*	£1350*	£1350*	£1700
Equipment (External computer hard drive and Digital encrypted Dictaphone)	£390	-	£390
Consumables (NVivo® software)	£835	-	£835
Travel (Researcher to patient homes, and participants to venue plus parking costs)	£400	-	£400
Other expenses (Printing information and consent forms; Postage; Literature: printing and access costs)	£400	-	£400
Total	£3,375	£1,350	£4,725

*The salary budget is designed to be used to pay one member of secretarial staff to transcribe interviews, and the typing cost is set to reflect the fact that transcription is in addition to their usual duties.

The budget is largely for initial capital costs to enable the study to be set up.

TEAM EXPERTISE

The Chief Investigator (CI) has experience of acting as Principal Investigator for seven clinical stroke trials and has undertaken independent qualitative research in the past. The Co-Investigator has successfully completed independent doctoral level qualitative study. Her post is funded by the NIHR Clinical Research Network. Both researchers hold current Good Clinical Practice certificates. In addition, both researchers have a person-centred focus and are motivated to gain the personal histories of people affected by stroke in order to inform service provision.

Acknowledgements

We are very grateful to The Eveson Charitable Trust and The James Tudor Foundation for funding this study. Thanks also to Dr. Karima Kadi-Hanifi and the anonymous reviewers for their suggestions. Finally, we acknowledge the contribution of Ciara Harris – without her word-play skills this study would have no name!

FUNDING BODIES

The study has been funded by two local charities, The Eveson Charitable Trust and The James Tudor Foundation.

CONTRIBUTORSHIP

Dr. Colin Jenkins originally conceived the idea for the study and has made a substantial contribution to the design and methodology of the research protocol. He has reviewed the protocol content, researched the background to the issue and collaborated in the writing of the full document.

Dr. Fiona Price has drafted and revised the written protocol based on scrutiny by independent academic colleagues. She has also used her expertise in qualitative research design to devise a structured method for the research.

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VOICES: the Value Of six-month Clinical Evaluation in Stroke - A qualitative study to ascertain the value of stroke follow-up to people affected by stroke

Jenkins and Price

Chart 1: Pre-Study Timetable

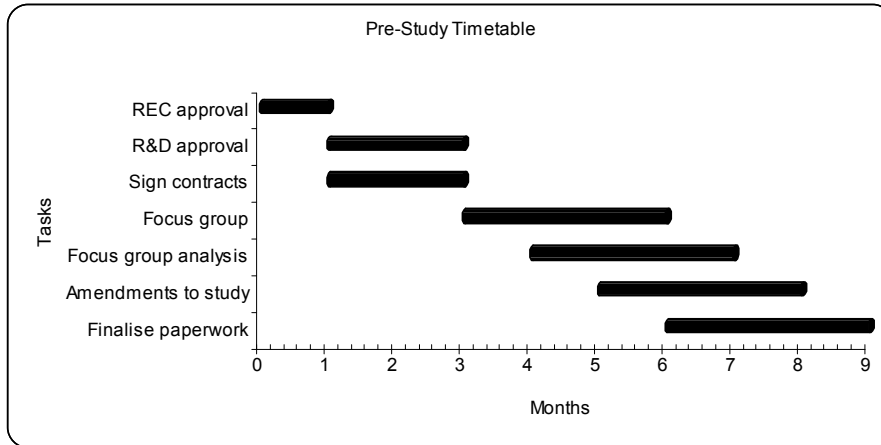
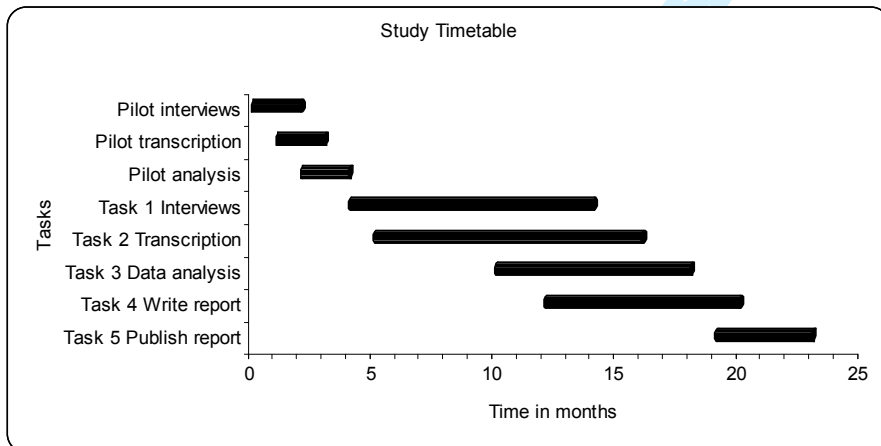


Chart 2: Study Timetable



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6 **VOICES: the Value Of six-month Clinical Evaluation in Stroke – The protocol**
7 **for a planned qualitative study to ascertain the value of stroke follow-up to**
8 **people affected by stroke**
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Comment [PF1]: Changed from 'A qualitative study'.
Added in response to editorial comment 1 to clarify that this is a protocol

10 Protocol Version 1.4 Dated 8th April 2014
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45 Key words: Stroke, Follow-up, Value, Carers, Review
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48 Word count: 3789
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Comment [PF2]: Word count has been amended following changes to the document

ABSTRACT

Introduction

The National Clinical Guidelines for Stroke recommend 'routine follow-up of patients six months post discharge'. The Sentinel Stroke National Audit Programme sets a standard of six months post admission follow-up, capturing data on process and outcomes.

There appears to be no convincing model of stroke follow-up at six months, and despite evidence of unmet need in almost 50% of stroke survivors one to five years after their stroke, little work focuses on the first twelve months of recovery.

By listening to the living experiences of stroke, the research aims to tailor the stroke care pathway to the needs of those affected.

Methods and analysis

A focus group of six stroke survivors and carers will be invited to identify appropriate interview questions about the value of follow-up at six months, ensuring that this study has its genesis in the participant experience.

A pilot study of four stroke survivors will ascertain the feasibility of the method. 30 stroke survivors from the follow-up clinic will be invited to take part in semi-structured interviews. Raw data, in the form of digital recordings of the interviews, will be transcribed. Interview transcriptions will be checked by the participant for accuracy prior to analysis using NVivo[®] software. Literal and reflective narrative analysis will be used to code transcribed text to examine shared themes and reflect on content.

Ethics and dissemination

Study documentation has been reviewed by the Coventry and Warwickshire Research Ethics Committee; the chief investigator met with the committee to scrutinise the study and justify its methodology. The committee has approved this study.

A copy of the final report will be given to participants, the Stroke Association, the local Clinical Commissioning Group and participants' GP's. It is intended to disseminate the results locally by presentation to the Trust board, at academic conferences and by publication in a peer-reviewed scientific journal.

STRENGTHS AND LIMITATIONS

Strengths –

- patient and carer involvement – interview questions arise from stroke survivors, not from the researcher's assumption
- detailed, holistic data
- lived and living, active histories

Limitations –

- geographically specific, but may be generalisable to others in similar locations
- relatively small sample size, but close to 30 hours of data is broad and deep, and should providing vivid, compelling accounts
- subjectivity of qualitative style is inevitable & acknowledged

BACKGROUND AND RATIONALE

The National Clinical Guidelines for Stroke recommend 'routine follow-up of patients six months post discharge and annually after a stroke' and 'any patient with residual impairment after the end of initial rehabilitation should be offered a formal review at least every six months, to consider whether further interventions are warranted'. These recommendations are a consensus view of the expert working party².

The Sentinel Stroke National Audit Programme (SSNAP) sets a standard of six months post admission follow-up assessment (± 2 months): this captures data on process and some outcomes³.

There appears to be no convincing theoretical model of stroke follow-up at six months; there is also a notable discrepancy between six months post admission and six months post discharge recommended by SSNAP and the National Clinical Guidelines for Stroke respectively. Two studies suggest that some patients will benefit from physiotherapy^{4,5}. Forster *et al.* however found no evidence for a structured reassessment at six months in terms of resource usage⁶.

Some person-centred qualitative evidence is available from the Stroke Association survey 'Feeling Overwhelmed: the emotional impact of stroke' of 2700 people affected by stroke¹. This research examined the emotional impact of stroke on survivors, their carers and families and highlighted the need for further research into what they describe as an 'underappreciated problem'¹. Finally, Martin Gower highlighted the need to focus on service user and carer involvement in helping to shape the stroke care agenda in the

Comment [PF3]: Lay summary has been removed from here in response to comment 2

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6 Comprehensive Local Research Network (CLRN) 'Celebrating Achievements'
7 conference⁷.
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9 **PATIENT BENEFIT**

10 There is evidence of unmet need in almost 50% of stroke survivors between
11 one and five years after the stroke⁸ though little work focuses on the first
12 twelve months of recovery. Our six month consultant-led follow-up clinic
13 currently examines the needs of local patients and their carers.
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16 This study aims to provide patient benefit by having a positive impact on the
17 short to medium term holistic physical and psychological well-being of the
18 patient and their carers. By ascertaining the value of follow-up intervention
19 from the stroke survivor's perspective, we aim to provide a beneficial service
20 tailored to the needs of individuals. The provision of a follow-up service at six
21 months has been timed according to the National Clinical Guidelines for
22 Stroke², but there is no clear evidence to show why six months has been
23 chosen as an appropriate time. This study will ascertain the value of the
24 follow-up directly from the living experiences of the stroke survivors and
25 carers as experts, and could lead to evidence for follow-up at a different time.
26 Results from this study could be incorporated into the National Clinical
27 Guidelines for Stroke and ultimately achieve benefit for all users of stroke
28 services within the NHS.
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32 **OBJECTIVES**

33 Principle objectives:

- 34 ○ What is the value to people affected by stroke of a six month follow-up
35 clinic?
36
- 37 ○ Is six months post stroke the best time?
38

39 Secondary objectives:

- 40 ○ The study will systematically review previous research in this area and
41 seek to fill the specific gap in knowledge about the value of follow-up.
42
- 43 ○ The study will follow a given methodology, a patient-centred,
44 constructivist qualitative philosophy, in order to collect robust data.
45
- 46 ○ The term 'value' will be examined to determine how it is perceived and
47 interpreted by stroke survivors.
48
- 49 ○ Data will be examined using narrative analysis to gain the lived and
50 living experience of stroke survivors.
51
- 52 ○ Results will inform local stroke provision.
53

54 **TRIAL DESIGN, METHODOLOGY AND METHOD**

55 This is a qualitative study using a convenience sample. The philosophy is to
56 use a qualitative, constructivist, interpretive method to co-construct knowledge
57 about the value of follow-up, since a person centred approach was not the
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6 focus of previous studies assessing the value of stroke follow-up. It is
7 intended that this study will provide valuable evidence to inform decisions
8 about local stroke service development. This is particularly important as there
9 has been limited service user engagement in service design previously.
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12 The study will use a convenience sampling method, since people affected by
13 stroke will be approached in the clinic offered at the hospital. There will be no
14 selection by the researchers; all those who attend will be offered the chance
15 to take part regardless of age, ability or any other criteria other than those
16 exclusion criteria listed. Carers or relatives of stroke survivors who could act
17 as interpreters would be welcome to participate in the study with the
18 individual.
19

20
21 Potential participants will be approached at the end of their six month clinical
22 follow-up appointment and invited to participate within the next two weeks.
23 Written information about the study and a contact number will be given out if
24 interest is initially expressed. Within the next week a researcher will make
25 contact to invite formal enrolment, gain consent and arrange the interview
26 date, time and venue. It is intended to hold interviews within two weeks of the
27 clinic appointment. This will allow participants to prepare for the interview by
28 making notes or reflecting on what they valued in the follow-up. The aim is to
29 recruit up to 30 stroke survivors into the study.
30
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32 A semi-structured interview will be conducted in the hospital or person's
33 home, depending upon their choice. Interviews will last a maximum of one
34 hour. As the interview style is semi-structured, the length of interview and
35 depth of information proffered will be determined by the participant. This style
36 of interview allows the participant to offer as much or little detail as they see
37 fit, since the topic is likely to require some emotional investment from each
38 individual. The emotional state and vulnerability of the individual will be
39 considered, so interviews could be staged into short time sections in order not
40 to tire the individual and to encourage the participant to feel they were needed
41 and not 'being used'. The physical and psychological safety of the participant
42 will be paramount. If a participant should disclose information which was of
43 concern to the interviewer, the interviewer will follow the multi-agency
44 safeguarding adults policy agreed by the local Adult Safeguarding Board.
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48 The interviews will be digitally recorded and transcribed, then stored on-line in
49 a password-protected file only accessible by the researchers and one
50 secretary. Transcriptions will be analysed using NVivo[®] software. The use of
51 qualitative software will standardise analysis, resulting in broad themes which
52 can be interpreted and illustrated using verbatim quotations.
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6 Narrative analysis will be used to code transcribed text to examine themes
7 and key words from the raw data. Narrative analysis is an examination of
8 individual stories that can contribute to an understanding of that individual's
9 experience. In this case the 'stories' are the content of the interview, the lived
10 and living experience of stroke as described by the stroke survivor in the
11 context of an interview conversation. Narrative analysis, in which experiences
12 are constructed from dialogic aspects of narrative⁹, can examine data from a
13 literal or reflexive approach. Both will be used in this study; literal analysis will
14 examine particular language, for example repeated words or phrases, and
15 reflexive analysis will include the researchers' and participants' contribution to
16 the co-creation of knowledge through the interpretation and reflection on
17 content¹⁰. Verbatim quotes will be used to illustrate themes or recurrent
18 points.
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22 All data will be anonymised and potential participants will be advised of this
23 when giving informed consent. Participants will also be offered the opportunity
24 to validate the transcription by checking a copy of the transcribed interview for
25 accuracy. Transcriptions will be posted or emailed to the participant,
26 whichever method they prefer, and the researcher practitioner's contact
27 number and e-mail will be provided for them to call or e-mail with their
28 comments. They will be advised that they are being asked to ensure that the
29 transcription is an accurate record of their interview and to confirm again that
30 they are happy for quotes to be used in the final report. This process of
31 validation will give participants ownership of the data and further allow them to
32 agree to its use. This collaborative approach will enable the co-construction of
33 new knowledge between the researchers, and the participants as experts.
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37 The full study is expected to last two years, with a focus group and pilot
38 interviews taking place in the first year. Transcription, data analysis and report
39 writing are anticipated to be completed in the second year.
40

41 **ELIGIBILITY**

42 Inclusion

- 43 ○ Those attending the six month follow-up clinic appointment.
- 44 ○ Adults over the age of 18.
- 45 ○ Able to give informed consent, or proxy consent from a relative.
- 46 ○ Individuals with aphasia may take part if they have a close relative
47 who can help make their views understood through verbal or written
48 means.
49

50 Exclusion

- 51 ○ Those who had a stroke less than six months ago.
- 52 ○ Age less than 18.

- Those who do not speak English fluently and who do not have an interpreter who can translate for them.
- Non-stroke life expectancy of less than six months.
- Individuals with dementia whose memory is impaired to a degree that they could not give meaningful consent
- Individuals who do not have capacity to consent.

RECRUITMENT

The trial uses an opportunistic sampling strategy. Potential participants will be approached at the end of their clinical follow-up by the Chief Investigator (who runs the clinic) and invited to participate within the next two weeks. Written information about the study and the contact number of the researcher will be given out if interest is initially expressed. **Written information using text that has key words and concepts in bold¹¹ will be provided to those with dysphasia to enable them to express their own wishes about participation.** Within the next week the researcher will make contact to invite formal enrolment, gain consent and arrange the interview date, time and venue. The researcher will not be present in the clinic interview.

CONSENT

Informed, written consent will be sought for all participants. When initial interest is expressed, individuals will be given an information sheet and contact telephone numbers to take away with them. The researcher will gain written consent before the interview takes place. The participant will be given a copy of their signed consent form. Hard copies of consent forms will be stored securely at the study centre.

DATA SOURCES AND MEASUREMENT

Raw data will be in the form of digital recordings of the interviews. These will be transcribed to enable analysis to be completed efficiently. Transcribed interviews will be identified by a numerical code unique to each individual. Transcriptions will be analysed using NVivo[®] software. **In response to ethics committee recommendations, transcriptions will only be entered into the NVivo analysis software by Dr. Price, (i.e. before any analysis or coding takes place)** since there is potential to bias the results if the researcher who runs the clinic (Dr. Jenkins) also sees the interview content. The transcriptions will have been checked by the participant for accuracy prior to analysis.

Coded 'chunks' of data will be analysed by both researchers and there will be an iterative process of reflection on content by both researchers. Literal and reflective narrative analysis will be used to code transcribed text to examine shared themes and key words, and reflect on the content of the interviews. Verbatim quotes will be used to illustrate themes or recurrent points.

Comment [PF4]: This sentence has been added in response to the comment 'The inclusion of stroke survivors with aphasia is welcome, but could be better addressed by designing aphasia-friendly study materials (e.g. information and consent forms, modified interview schedule) rather than relying on the interpretation of a relative or friend.' The study documentation mentioned here will enable the participation of people with aphasia

Comment [PF5]: In response to the reviewer comment 'It appears that initial coding will be performed only by one researcher (FP).' The wording has been changed from 'only read by Dr. Price'. The ethics committee recommended that the chief investigator (who runs the clinic) should not see the full transcription prior to analysis of coded sections of that data. This paragraph indicates that FP will enter raw data into NVivo, and then both researchers will examine the resulting output. This point is re-iterated at the top of page 9

Comment [PF6]: Changed from 'coded data' to show that the researchers will be analysing specific parts of the overall transcription, i.e. the 'chunks' highlighted by the software package.

QUALITY ASSURANCE

The Chief Investigator and Co-Investigator have valid Good Clinical Practice certificates and are experienced researchers. The scientific quality of the study has been assessed by independent peer review of the proposal by a university lecturer, via the West Midlands South Comprehensive Local Research Network Research and Development team. It has also been scrutinised by the Trust, acting as sponsor. In addition, this proposal has been reviewed by the Research and Development team and the Research Design Service at study preparation and prior to commencement.

Finally, the proposal has also been considered by a member of the stroke team who is not involved in the research but who has extensive knowledge of stroke and experience of working with patients in a person-centred way.

CONFIDENTIALITY

Digital interview recordings, written transcriptions and written analysis will be kept in a file on a password protected secure NHS network drive. Access to this file will be restricted to both named researchers and one member of secretarial staff. All data will be anonymised and potential participants will be advised of this as part of the consent process. Hard copies of consent forms will be kept in a locked filing cabinet in a locked office.

ACCRUAL AND ANALYSIS

Sample size up to 30

The minimum recruitment is set at 12 to enable recruitment of one person a month, though it is expected that this will be exceeded. The maximum is calculated on the basis that saturation point will be reached, whereby no further new information will be gained by interviewing more participants. The data provided in interview will be rich and deep, so a relatively small sample size is justified.

ANALYSIS METHODS

A narrative style of analysis will be used to examine shared themes and commonality in the interview transcriptions. NVivo[®] software will be used to standardise the analysis. Narrative analysis centres on the structured study of stories or oral narrative accounts of complex and nuanced experience, in this case taking the form of interview responses. Individual interview stories can be categorised and analysed by themes within the account (thematic analysis) or by the way the interview conversation is structured; for example examining the use of metaphor would result in a structural analysis of the narrative. It is anticipated that both types of analysis will be used in this study.

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There is the potential for the Chief Investigator to be biased against any negative narratives arising from interviews since it is his clinic under scrutiny. In order to mitigate this possibility, raw data will be entered into the NVivo[®] qualitative software package by Dr. Price, removing the need for the Chief Investigator to examine raw data. Analysis of coded, processed data will then be undertaken by both researchers in order to answer the research question.

15 16 17 18 19 20 21 22 **LONG-TERM STORAGE OF DATA**

Digital voice recordings of interviews, transcribed interviews and analysed data will be kept for five years after publication, and then destroyed. The rationale for keeping data for this length of time is to allow sufficient time for publication of the research in a peer-reviewed journal and subsequent academic review.

23 24 25 26 27 28 **PATIENT AND PUBLIC INVOLVEMENT**

The National Clinical Guidelines for Stroke² advise that the views of stroke patients and their carers should be considered when evaluating a service, and this study aims to answer that call.

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A focus group of six stroke survivors and their carers will be invited to discuss the study and proposed method to ensure that the approach is appropriate and robust. The focus group discussion will also be used to devise and validate the interview questions, to ensure that attention is paid to the views and feelings of stroke survivors. Members of the focus group will consist of individuals who will not have attended a recent follow-up appointment so they will be able to approach the study from an independent viewpoint. Group members will be asked for their permission to have the discussion recorded. The content and feedback from this discussion will enable the focus of the resulting research questions to be precisely based on the views of patients and carers, thereby ensuring that this study has its genesis in the participant experience, not the researcher's interpretation of what that experience may be.

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Four stroke survivors who did not take part in the focus group will be recruited from the follow-up clinic to take part in a one-to-one pilot interview to ascertain the feasibility of the study method. Again, feedback will be sought from the pilot interviewees on the questions and the way the study was run, and final amendments to the full study will be made accordingly.

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Participants in both the pilot and main study will be offered the opportunity to validate the transcription by checking a copy of their interview for accuracy. This will give participants ownership of the data and further allow them to

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6 agree to its use. Participants will also be given a copy of the final report, to
7 see the results of their involvement.
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9 10 **ETHICAL CONSIDERATIONS**

11 The study proposal has been reviewed by the West Midlands South
12 Comprehensive Local Research Network, and been peer reviewed by an
13 independent university lecturer who acted as a reviewer.
14

15 All study documentation has been reviewed by the Coventry and
16 Warwickshire Research Ethics Committee and the chief investigator met with
17 the committee to scrutinise the study and justify its methodology. The
18 committee has approved this study.
19

20 Risk of breach of confidentiality will be minimised by the use of anonymised
21 data. Participants will be asked to consent to direct quotations from interview
22 being used in the final report, in the knowledge that they will not be named or
23 their identity be inferred.
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26 There is a minimal risk that people might become upset while talking about
27 their experiences of stroke; Dr. Price is an experienced interviewer and will
28 support people appropriately using active listening skills. People will not have
29 to answer any questions they find uncomfortable and can withdraw at any
30 time in the study; this will be made clear in the consent process.
31 In the event of an individual becoming distressed, they will be asked if they
32 wish to delay or discontinue the interview, and Dr. Price will ensure that
33 someone is with the participant once the interview is completed. The
34 interviewer will also be equipped to provide the participant with details of
35 support organisations or help-lines should the need arise.
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39 **ANTICIPATED PROBLEMS**

40 There might be a bias caused by the participant receiving additional attention
41 by taking part in the interview; they might over-value the clinic appointment
42 because additional attention has been paid to them and they place value on
43 that process. Participants will be reminded that the focus of the study is the
44 value of the clinic appointment, so as not to confound the results.
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47 Individuals may be reluctant to offer negative views about the clinic if they are
48 aware that those views will be fed back to the consultant. It will be made clear
49 in the informed consent process that i) data will not be attributable to them by
50 name, ii) the consultant will not analyse raw data but will only examine the
51 resulting themes, and iii) Dr. Price is not employed by the Trust and so she
52 can offer an objective analysis of the results.
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55 **POTENTIAL BENEFIT TO RESEARCH PARTICIPANTS**

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6 For some individuals, participation may allow further opportunity to reflect on
7 their development since their stroke or to take part in a worthwhile endeavour
8 which could benefit others. In other words, participation may be beneficial
9 since it enables them to have some influence or a role.
10

11 **EXPECTED OUTCOMES OF THE STUDY**

12 The study will inform the development of local stroke services in an area that
13 has hitherto had little resource or clinical attention. The study will inform
14 commissioners of the benefits to people affected by stroke of follow-up by
15 stroke clinicians. The study will also enhance the theoretical basis for stroke
16 follow-up. The study might show that there is no benefit to six month follow-up
17 in its current SSNAP-based format but may suggest alternative approaches or
18 timing of follow-up.
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21 **DISSEMINATION OF RESULTS AND PUBLICATION POLICY**

22 A copy of the final study report will be given to the participants, participants'
23 GP's, the Stroke Association and the local Clinical Commissioning Group. It is
24 further intended to disseminate the results by presentation at academic
25 conferences and by publication in a peer-reviewed scientific journal.
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28 **TIMETABLE**

29 The timetable is sub-divided into a pre-study set-up period, and the pilot and
30 main study. Chart 1 shows the anticipated timing to gain approvals, run the
31 focus group and amend the study based on focus group feedback.
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34 **Chart 1: Pre-Study Timetable**

35 *Chart 1 here*
36

37 Chart 2 shows the estimated timetable for the study, commencing May 2014
38 and anticipated to end in May 2016. Both investigators expect to be in post for
39 the duration of the study.
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41 **Chart 2: Study Timetable**

42 *Chart 2 here*
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BUDGET SUMMARY

Table 1 below shows the breakdown of the budget for the two years of the study.

Comment [PF7]: Added to clarify table content

Table 1

	Year 1 (£)	Year 2 (£)	Total
Salaries (typing costs at £1.50 per minute)*	£1350*	£1350*	£1700
Equipment (External computer hard drive and Digital encrypted Dictaphone)	£390	-	£390
Consumables (NVivo® software)	£835	-	£835
Travel (Researcher to patient homes, and participants to venue plus parking costs)	£400	-	£400
Other expenses (Printing information and consent forms; Postage; Literature: printing and access costs)	£400	-	£400
Total	£3,375	£1,350	£4,725

*The salary budget is designed to be used to pay one member of secretarial staff to transcribe interviews, and the typing cost is set to reflect the fact that transcription is in addition to their usual duties.

The budget is largely for initial capital costs to enable the study to be set up.

TEAM EXPERTISE

The Chief Investigator (CI) has experience of acting as Principal Investigator for seven clinical stroke trials and has undertaken independent qualitative research in the past. The Co-Investigator has successfully completed independent doctoral level qualitative study. Her post is funded by the NIHR Clinical Research Network. Both researchers hold current Good Clinical Practice certificates. In addition, both researchers have a person-centred focus and are motivated to gain the personal histories of people affected by stroke in order to inform service provision.

Acknowledgements

We are very grateful to The Eveson Charitable Trust and The James Tudor Foundation for funding this study. Thanks also to Dr. Karima Kadi-Hanifi and the anonymous reviewers for their suggestions. Finally, we acknowledge the contribution of Ciara Harris – without her word-play skills this study would have no name!

FUNDING BODIES

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6 The study has been funded by two local charities, The Eveson Charitable
7 Trust and The James Tudor Foundation.
8

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Comment [PF8]: Reference added in support of development of aphasia-friendly written materials

For peer review only