#### E-MAIL: INTRODUCTION TO THE SURVEY AND INVITATION TO PARTICIPATE

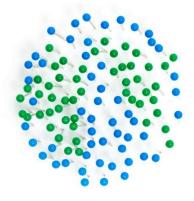
# Deloitte.

Dear Doctor ....,

As you have recently been informed by GlaxoSmithKline Biologicals, Deloitte has been selected to conduct a survey to collect feedback on GlaxoSmithKline Biologicals' current publication process.

This survey is being circulated to all investigators/researchers collaborating with GlaxoSmithKline Biologicals on publications in the world.

GlaxoSmithKline Biologicals has implemented a number of initiatives over the past five years to make its publication process more efficient. This survey is meant to collect your views on the progress achieved and to identify possible areas for further improvement.



We value your feedback and would therefore be grateful if you would agree to participate in this survey. May we kindly remind you that the **closing date is June 8<sup>th</sup> 2012**.

Please click here to access the survey. It will take only 15 to 20 minutes of your time.

Please note that Deloitte is acting as an independent third party: your individual feedback will remain entirely confidential.

Thank you for your collaboration The Deloitte team

Would you have any question or concern, please contact us by email at the following address: <u>besurveypublication@deloitte.com</u>

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#### **1. Survey Introduction**

As you have recently been informed by GlaxoSmithKline Biologicals, Deloitte has been commissioned to conduct a survey to collect feedback on GlaxoSmithKline Biologicals' current publication process.

GlaxoSmithKline Biologicals has implemented a number of initiatives over the past five years to make its publication process more transparent and efficient. This survey is meant to collect your views on the progress achieved and to identify possible areas for further improvement.

This survey will only take 15-20 minutes of your time to complete and its results will be processed completely anonymously.

To start survey, please click NEXT.

. Your profile					
Your information will re	main entirely confidential.				
*1. Your contact info	rmation (email):				
*2. Please indicate the second sec	he region of your host ins	titution:			
C Europe	Asia / Oceania	O Northern America	C Latin America	Middle East	Africa
★3. How many scient Number of articles	ific articles have you pub	lished over the past 5 yea	ars?		
*4. How many scient	ific articles have you pub	lished in collaboration wi	th GlaxoSmithKlineBio	ologicals over the past	5 years?
C 1	C 2-4		C 5-9	C >=	10
★5. Please indicate y	our main research area:				
Clinical research	C Epidemiology	C Health Eco	nomics C Sa	ıfety	C Other
Other (please specify)					
6. Please indicate the	area where you have the	largest scientific publica	tion experience in coll	aboration with GlaxoS	mithKline Biologicals:
Largest experience					
Other (please specify)					

#### 3. Publication process - Authorship

The International Committee of Medical Journal Editors (ICMJE) is a small working group of general medical journals, not an open-membership organization created the Uniform Requirements primarily to help authors and editors in their mutual task of creating and distributing accurate, clear, easily accessible reports of biomedical studies.

#### http://www.icmje.org/

\*7. How would you rate the clarity of the ICMJE criteria to evaluate authorship?

	Very clear	4	3	2	Not clear at all
ICMJE criteria	C	С	C	C	C
If not applicable/not sure, plea	ase comment here.				
	*				
8. Does GlaxoSmithKline	Biologicals provide you suffi	cient guidance/inform	nation on your role and r	esponsibility as author	?
C Yes					
No					

Surve	v on the	publication	process suppor	ted b	v GlaxoSi	mithKline Bio	odicals

4. Publication process - Authorship (continued)

Since 2009, GlaxoSmithKline Biologicals has been implementing "authorship questionnaires" to ascertain and document:

- a) compliance with the ICMJE criteria for authorship,
   b) the respective past/ongoing contributions to the study, and
   c) the interest/availability to contribute to a future scientific article.

\*9. Have you ever received the "Authorship Questionnaire" from GlaxoSmithKline Biologicals?

O Yes

### 5. Publication process - Authorship (continued)

### **\***10. How much do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
The "authorship questionnaire" is a helpful tool to gather the respective contributions to a study and to guide the future discussion on authorship.	C	C	C	0	C
Format and content of the authorship questionnaire are appropriate to assess authorship eligibility as per the ICMJE criteria.	C	0	C	0	C
The timing when the "authorship questionnaire" is sent out is appropriate.	C	C	C	0	C
Once all "authorship questionnaires" have been collected and processed, GlaxoSmithKline Biologicals appropriately shares the outcome of the collection process with all potential authors.	0	0	С	0	C

Survey on the publication process supported by GlaxoSmithKline Biologicals
6. Publication process Authorship (continued)
*11. Is the concept of "group authorship" clear to you?
○ Yes
No
12. Would you feel comfortable with the idea of being part of a "group authorship" for a study?
C Yes
C No
If no, could you explain?
*13. Currently, GlaxoSmithKline Biologicals does not implement any form of "author agreement", whereby authors and sponsor formally agree on their respective responsibilities before the publication process starts. Would you be in favour of such an agreement in future?
○ Yes
C No
14. Would you like to suggest any alternative to "authorship questionnaires"?

#### 7. Publication process- Briefing meetings

A "briefing meeting" is now systematically organised with at least the lead author at the start of the publication process to agree on: the publication content, authorship, journal selection and timelines.

\* 15. Have you ever participated in a "briefing meeting"?

O Yes

### 8. Publication process- Briefing meeting (continued)

### \* 16. How much do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
The systematic organisation of "briefing meetings" has granted me a greater role in authorship, content and journal selection discussions.	0	C	C	0	C
"Briefing meetings" are efficiently organised by GlaxoSmithKline Biologicals.	0	0	0	0	0
I have had the opportunity to provide enough input for the selection and order of authors.	0	C	0	C	C
My viewpoint on the journals selection was taken into account.	0	0	0	0	0
17. Would you have any suggestion to further improve the organisation of "briefing mee	etings"?				
	*				

9. Publication process - Publication Steering Committees

In the case of international multi-centre studies, "Publication Steering Committees" (PSC) may be organised to endorse: the publication plan, authorship, journal selection and timelines.

A PSC comprises a limited number of members with representation of investigators/researchers and GlaxoSmithKline Biologicals scientific/medical staff. Several publications, resulting from a single study, are usually discussed by the PSC.

- \*18. Have you ever been a member of a PSC for a given study?
- O Yes
- O No

10. Publication process - Publication Steering Committees (continued)

### \*19. How much do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
The establishment of "Publication Steering Committees" has granted a greater role to investigators/researchers in the publication planning of large studies.	C	C	С	C	С
The appointment process to join a PSC is made in a transparent manner.	0	0	0	0	0
PSC meetings are usually well prepared and facilitated by the publication managers (i.e. agenda, presentation, minutes).	C	С	C	0	C
The rationale of a PSC decision on publication planning/proposal and authorship is always well disclosed and qualified.	C	C	C	C	C

\*

20. Would you have any suggestion to further improve the management of PSCs?

Survey on the publication process supported by GlaxoSmithKline Biologicals					
11. Publication process - Core-writing Teams					
The core-writing team is a small group of authors leading the development of the content of a ma	anuscript with	large auti	horship.		
★21. Have you ever been a member of a core-writing team?					
C Yes					
C No					
22. How much do you agree or disagree with the following statements?					
	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
A core-writing team is of added value for the development of a manuscript.	C	0	С	C	C
If a publication manager is involved in a core-writing team, his/her role and responsibilities are clearly defined and agreed upfront.	C	C	C	C	C

### 12. Publication process - Content of the scientific publication

#### \*23. How much do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
The development of an outline improves the quality of the first draft of a publication.	C	0	0	0	С
I had the opportunity to provide input on the content of the publication at early stage.	0	0	0	0	0
My feedback on the manuscript content was taken into account.	С	0	C	0	С
If my feedback was not taken into account, clear explanations were provided.	0	0	0	0	0
The current publication process is well-designed and offers sufficient time for me to provide input.	C	0	C	0	С

13. Publication process - Scientific congress related activities

\*24. Have you been involved in plenary session activities at scientific congresses in collaboration with GlaxoSmithKline Biologicals?

O Yes

Survey on the publication process supported by GlaxoSmithKline	Biologic	als			
14. Publication process - Scientific congress related activities (continued)					
★25. How much do you agree or disagree the following statements?					
	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
I am sufficiently involved in the planning and decision around congress activities (i.e. abstracts, poster and presentation).	C	C	C	C	C
The selection of the presenting author(s) is appropriate and transparent.	C	0	C	C	0
<ul> <li>*26. Did you interact with an external agency (hired by GlaxoSmithKline Biologicals) o congresses?</li> <li>Yes</li> <li>No</li> </ul>	n the prepa	ration of a	ctivities relate	d to scienti	ific
27. If yes, how satisfied are you with the interactions you had with the external agencies?	)				
C Very satisfied C Satisfied C Somehow Satisfied	O Not Satis	fied	O No	ot Satisfied a	t all
★28. Overall, how satisfied are you with the congress related activities, as managed by	GlaxoSmit	hKline Bio	logicals?		
Very satisfied       Satisfied     Somehow Satisfied	O Not Satis	fied	O No	ot Satisfied a	t all
29. Would you have any suggestion to further improve the organisation of congress relation	ted activitie	s?			

15. Publication process - Transparency of process and decision making

- \*30. In your opinion, when is the ideal time to start discussing a manuscript to be published in a peer-reviewed journal (title, authorship, and journal)?
- At the start of protocol development
- At first investigators meeting
- Whilst the study is ongoing
- As the study results are about to be made available
- Once the study results have been shared fully
- \*31. How much do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree	Not applicable
GlaxoSmithKline Biologicals' publication process development adequately follows the international publication good practices and reporting guidelines (such as ICMJE, GPP2, CONSORT, ISPOR, STROBE, PRISMA etc).	C	С	C	C	C	C
Overall, I think the communication channel established by GlaxoSmithKline Biologicals' publication team is clear, proactive and streamlined.	C	C	C	C	C	C
I have been adequately informed on the respective requirements of the target journals (i.e. disclosure of medical writing support, conflicts of interest, contributor-ship, and acknowledgments).	0	C	С	0	C	C
As author, I had access to sufficient data to meaningfully contribute to a publication.	0	0	С	0	0	C

\$32. I am well informed on					
	Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree
upcoming publications	0	C	C	C	0
publication development steps and timelines	0	0	0	0	0
target journal(s) /congress selected	C	C	C	C	С
changes in authorship	0	0	0	0	0
submission and post-submission status (submission, editors' decision, publication, e-publication)	С	C	С	C	C

Survey on the publication process supported by GlaxoSmithKline Biologicals						
16. Publication process - Datavision						
Datavision is a secure web-b	ased interface between GlaxoSm	ithKline Biologicals and aut	ors to develop a publication.			
Before first use, an email is sent out by GlaxoSmithKline Biologicals with log-in details, instructions and user-guide.						
<ul> <li>★33. Do you find the use</li> <li>Yes</li> <li>No</li> </ul>	r-guide sent by GlaxoSmithKli	ne Biologicals provides s	ifficient and timely information	on to use Datavision?		
¥34. Do you know how to C Yes C №	o access other co-authors' cor	nments via Datavision?				
35. If yes, do you usually t	ake time to review the comme	nts made by other co-auth	ors in Datavision?			
C Always	Often	Sometimes	C Hardly ever	Never		
*36. Datavision provides	s a list of outstanding tasks as	signed to you as co-autho	r. How often do you check th	nis list?		
C Always	Often	Sometimes	C Hardly ever	Never		

Survey on the publica	tion process support	ed by GlaxoSmithKlii	ne Biologicals	
17. Publication process - D	Datavision (continued)			
★37. How often have you e	experienced issues when acc	cessing Datavision?		
C Always	C Often	Sometimes	C Hardly ever	C Never
38. If you have encountered	l issues, please specify: (mu	ltiple choice is possible)		
Log-in & password issues	Document Uploading & downloading issues	Access issue when travelling	Unable to access via smart phone or lpad	C Other
Other (please specify)				
★39. In your opinion, what	are the key advantages of Da	atavision?		
Easy to use	Secure environment	Overview of publication status and pending tasks	Transparent	C Other
Other (please specify)				
★40. Overall, how satisfied	l are you with the use of Data	vision?		
O Very satisfied	Satisfied	Somehow Satisfied	O Not Satisfied	O Not Satisfied at all
41. Would you have any sug	ggestion to further improve th	e use of Datavision?		
			× •	

Survey on the publication process supported by GlaxoSmithKline Biologicals							
18. Publication process - General perception on the publication of company spon							
★42. How much do you agree or disagree	with the following statements?						
		Strongly agree	Agree	Neither agree or disagree	Disagree	Strongly disagree	
The use of professional medical writing support is of added-value to the publication development.			С	С	C	С	
To have an employee from a drug manufacturer as lead author undermines the acceptance of a manuscript by journal editors.			C	C	0	0	
Results from industry-sponsored research studies publications as a result of selective reporting pract	С	C	С	C	C		
*43. In your opinion, who is the most appr	★43. In your opinion, who is the most appropriate person to be the corresponding author?						
First author/ lead author	Senior author		Any auth	or			
C Last author	Co-author working at the industry spons	sor	C Other				
Other (please specify)							
44. In your opinion, what are the main resp	onsibilities of						
Lead author?							
Corresponding author?							

19. Your collaboration with GlaxoSmithKline Biologicals before 2009

In 2009, GlaxoSmithKline Biologicals publication team conducted a survey to receive feedback from investigators on the publication process. Based on the feedback received, GlaxoSmithKline Biologicals has implemented a number of initiatives to improve the publication process.

\*45. Did you collaborate on any scientific publication with GlaxoSmithKline Biologicals before 2009?

O Yes

Survey on the publication process supported by GlaxoSmithKline Biologicals			
20. Your collaboration with GlaxoSmithKline Biologicals before 2009 (continued)			
★46. Over the past a few years,			
	Yes	No	Not applicable
if you have been involved in PSCs, do you feel that the PSC process has improved over that period of time?	C	C	С
if you have been involved in scientific congresses in collaboration with GlaxoSmithKline Biologicals, do you feel that the management of congress activities has improved over that period of time?	C	C	C
if you have been collaborating on scientific publications with GlaxoSmithKline Biologicals, do you feel that the overall transparency of the publication development has improved over that period?	C	С	C
if you have been using Datavision software, do you feel its functionality has improved over that period of time? (e.g. log- in help, use instructions in first email etc)?	C	C	C

Survey on the publication process supported by GlaxoSmithKline Biologicals					
21. Overall satisfaction					
This is the last page of this s	survey.				
*47. Overall, are you sa	tisfied with the interaction	ns you have with GlaxoSmithKline	Biologicals when working	on scientific publications?	
C Very satisfied	Satisfied	Somehow Satisfied	O Not Satisfied	O Not Satisfied at all	
★48. Compared with the managed by GlaxoSm	•	ompanies that you have been colla	borating with, how would y	you rate the publication process	
C Top tier		Middle tier	C Lower tier	r	
49. Do you feel that any to If so, please share with us		ot been covered in this survey? nay have.	×		
50. GlaxoSmithkline Biok – would you agree to part (NB: this short survey will Yes No	icipate in this future surve	ey?	gators on some specific a	spects in a year's time (May 2013)	

### 22. End of survey

This is the end of the survey, please click "SUBMIT" to send your answers.

THANK YOU FOR PARTICIPATING IN THIS SURVEY!