# 2 Supporting Information3

## Chitosan-PEG Hydrogel with Sol-Gel Transition Triggerable by Multiple External Stimuli

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#### 12 Supplementary Experimental Section

13 Reagents

14 All chemicals were purchased from Sigma-Aldrich (St. Louis, MO) unless otherwise specified.

15 Chitosan (85% de-acetylated, medium molecular weight), methoxy-poly(ethylene glycol)

16 (mPEG, 750 Da), succinic anhydride, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide

17 (EDC) and N-hydroxysuccinimide (NHS) were used as received.

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#### 19 Synthesis of carboxylic acid-terminated methoxy-poly(ethylene glycol) (mPEG-acid)

20 The carboxylic acid-terminated methoxy-poly(ethylene glycol) (mPEG-acid) was prepared following reported methods<sup>[1,2]</sup> with slight modifications. Specifically, mPEG (9.75 g) was 21 22 dehydrated at 50°C under vacuum for 8 hr before initiating the reaction. Succinic anhydride 23 (1.35 g) was added to dehydrated mPEG, and the mixture heated at 100°C for 2 hr to allow 24 the succinic anhydride to fully dissolve. The molar ratio of succinic anhydride to mPEG is 25 1.038. The reaction then proceeded under reflux at 120°C for 24 hr. During the reaction, it 26 was observed that some succinic anhydride sublimed inside the reaction vessel. Therefore a 27 small excess of succinic anhydride was used to ensure that the terminal hydroxyl groups of 28 mPEG were completely reacted. The mixture was then cooled to room temperature, and the 29 mPEG-acid was collected by filtration through filter paper to eliminate unreacted succinic

- anhydride. The yield of mPEG-acid is 70 wt%, and the conversion is 99 mol%. The mPEGacid was stored at -20°C for future use.
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#### 33 Synthesis of methoxy-poly(ethylene glycol)-g-chitosan (mPEG-g-chitosan)

34 Chitosan (0.3 g) was dissolved in 30 mL of 1.0 w/v % acetic acid. To this mPEG-acid (0.43 g) 35 was added, and the two components were mixed with constant stirring until homogeneous. A 36 catalyst solution was prepared by adding EDC (0.2 g) and NHS (0.12 g) in 20 mL of DI water. 37 Subsequently, the catalyst solution was added dropwise into the mixture of chitosan and 38 mPEG-acid. The resulting solution was stirred for 4 hr at room temperature allowing for 39 amide linkage formation between chitosan and mPEG-acid.<sup>[3,4]</sup> A 0.5 M NaOH solution was 40 then added dropwise into the mixture until a pH value of 7 was reached. The resultant mixture 41 was dialyzed with a dialysis membrane (MW 12,000-14,000 cutoff) against DI water to 42 ensure unreacted chemicals and salts were removed. The dialysis step was repeated three 43 times, and the resulting solution after dialysis was snap frozen with liquid nitorgen. mPEG-g-44 chitosan was obtained by removal of water using lyophilization.

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46 *FTIR* 

47 Chitosan, mPEG, succinic anhydride, mPEG-acid, and mPEG-g-chitosan were analyzed by 48 Fourier transform infrared spectroscopy (FTIR, Perkin-Elmer Spectrum RX1 System, MA) to 49 examine the peak intensity variation of the hydroxyl groups, carboxylic acid groups and the 50 amide linkages. A 0.5 w/v % sample solution of each was prepared in acetone, and a droplet 51 of sample solution was placed onto a transparent KBr disk for FTIR analysis.

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53 *NMR* 

54 The chemical structures of chitosan and mPEG-g-chitosan were confirmed using <sup>1</sup>H nuclear 55 magnetic resonance spectroscopy (<sup>1</sup>H NMR, Bruker AV-301 spectrometer, MA), and the

56 spectra were acquired at 500 MHz and 50°C. mPEG-g-chitosan samples of 10–20 mg each 57 were dissolved in D<sub>2</sub>O (0.7 mL). The degree of PEG-grafting (PEGylation) was defined by 58 the molar ratio of H1 to H7 as shown in **Figure 1e** using the integral function in Topspin 59 (Bruker, MA).

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61 Rheology

62 Thermal sensitive gelation behavior of mPEG-g-chitosan was further studied by rheological 63 analysis. Briefly, water-soluble mPEG-g-chitosan was reconstituted with DI water,  $1 \times PBS$ , 64 or  $10 \times PBS$  to make solutions of different solute concentrations and salt concentrations. The 65 solutions were maintained on ice for 4 hr with periodic vortexing to ensure full dissolution of 66 mPEG-g-chitosan. The rheological properties of samples were measured using a stress-67 controlled rheometer (MCR 301, Anton Paar, Germany) with a cone and plate configuration 68 of 24.982 mm diameter and 0.994° cone angle. A layer of silicon oil was carefully applied to prevent water evaporation during the experiment.<sup>[5]</sup> All dynamic frequency experiments were 69 70 conducted in the linear viscoelastic regime of tested samples, as determined by dynamic 71 strainsweep trials over a strian range of 0.05-50%.

The viscoelastic measurement was taken in the dynamic oscillatory mode with a constant frequency of 1 Hz and strain of 10% (no effect on gel formation) with temperature ramping at a rate of 1°C/min. The values of the storage and loss moduli (G' and G", respectively) and phase angle ( $\Theta$ ) were obtained accordingly.<sup>[6]</sup> The incipient of gel network formation, which is defined by the gelation temperature, is given by the crossover of G' and G". The measurement of the gelation temperature showed good reproducibility.

For the pH dependence of rheological properties, the solution was adjusted with 1N NaOH and 1 N CH<sub>3</sub>COOH to the targeted pH value with constant ionic strength of 1N. The samples were completely sealed to prevent solution exposure to CO<sub>2</sub> in the air. The samples were also

81 analyzed for zeta potential using a DTS Zetasizer Nano (Malvern Instruments, Worcestershire,

82 UK).

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#### 84 In vitro protein release

85 Bovine serum albumin (BSA) was dissolved in  $1 \times PBS$  to yield BSA solutions of 0, 100, 500, 86 800 and 1000 µg/mL. The BSA solutions were filtered through a 0.22 µm syringe filter for 87 sterilization. Ethylene oxide (EtO)-sterilized mPEG-g-chitosan was dissolved in previously 88 prepared BSA solutions to yield a final mPEG-g-chitosan concentration of 1.5 wt%. The 89 mixtures were ice-chilled overnight to ensure full dissolution. After light vortexing of the 90 polymer/protein mixtures, air bubbles were removed by centrifugation. The 0.5 mL solutions 91 containing mPEG-g-chitosan and BSA were incubated at 37°C for 10 minutes to form gels. 92 Following gelation, 0.5 mL of sterile PBS (37°C) was added on top of the gel. The BSA-93 containing gels and PBS were maintained at 37°C without mixing. At specified sample 94 collection times, 0.5 mL of PBS was collected and transferred to a siliconized 1.5 mL 95 microcentrifuge tube, and 0.5 mL of fresh PBS was added. The protein content of each 96 sample was analyzed with modified Coomassie blue protein assay (BioradR) in a 96-well 97 plate using UV spectroscopy at 590 nm. A calibration curve was generated at each time 98 interval using a non-loaded gel in order to correct for the intrinsic absorbance of the polymer.

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#### 100 Cell compatibility

101 1.5 wt% EtO-sterilized mPEG-g-chitosan was fuly dissolved in Dulbecco's Modified Eagle 102 Media (DMEM). Matrigel (Corning, Tewksbury, MA) was thawed at 4°C overnight to obtain 103 liquidized solution. Pre-chilled pipette tips and 24-well tissue culture polystyrene (TCPS) 104 plates were utilized. 200  $\mu$ L of mPEG-g-chitosan gel or Matrigel was pipetted into TCPS 105 wells, and maintained at 37°C for 2 hours to achieve gelation. Murine mammary carcinoma 106 (MMC) cells (1 × 10<sup>5</sup>) were seeded in uncoated, mPEG-g-chitosan gel pre-coated (200  $\mu$ L),

107 and Matrigel pre-coated (200  $\mu$ L) wells. The fully supplemented DMEM medium (800  $\mu$ L) 108 was added 2 hours after seeding. MMC cell proliferation was assessed using an Alamar blue 109 assay (Alamar BioSciences, Sacramento, CA) one and four days after cells seeding. Briefly, 110 media were gently aspirated and replaced with the Alamar blue solution ( $10 \times$  dilution with 111 DMEM). After incubation for 2 hrs, the Alamar blue solution was collected and the 112 fluorescence of the solution was measured on a SpectraMax M2 microplate reader (Molecular 113 Device, Sunnyvale, CA) at 550 nm excitation and 590 nm emission. The cell number was 114 determined from calibration curves generated with known numbers of MMC cells. 115

116 Microstructural characterization

Scanning electron microscopy (SEM) was utilized to investigate the mophology of mPEG-gchitosan. Gels were frozen in liquid nitrogen and lyophilized for dehydration. The samples
were mounted, sputter-coated with platinum, and imaged using a JSM-7000F SEM (JEOL,
Tokyo, Japan) operated at 10 kV and 5 nA.

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122 Statistical Analysis

The results were presented as mean values  $\pm$  standard deviation (mean  $\pm$  s.d.). The statistical difference was determined by one-way analysis of variance (ANOVA) and unpaired, two-tailed Student's t-test. Values were considered to be statistically significant at p < 0.05(\*).

128 Supplementary Results and Discussion

#### 129 Chemical structure analysis

130 mPEG-acid was prepared via the ring opening reaction of succinic anhydride with mPEG.

131 The characteristic assignments of mPEG-acid were:  $\delta = 4.2$  (H-4), 3.5–3.7 (H-2, H-3), 3.4 (H-

- 132 1), 2.6 ppm (H-5).<sup>[7]</sup> No remaining unreacted succinic anhydride ( $\delta = 3$  ppm) was found in
- the mPEG-acid.
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#### 135 Controlled release study

136 mPEG-g-chitosan gel was investigated for controlled release of a model protein. Figure S3a 137 shows the cumulative release of bovine serum albumin (BSA) from 1.5 wt% mPEG-g-138 chitosan prepared in  $1 \times$  PBS. The hydrogels were loaded with BSA of different 139 concentrations ranging from 0, 100, 500, 800, to 1000 µg/mL, and the BSA release was 140 quantified at 1, 5, 30 and 93 hours. mPEG-g-chitosan gel loaded with 100 µg/mL or 500 µg 141 /mL BSA showed minimal burst release within the first hour and a controlled release profile 142 over the course of 90 hours. When high concentrations of BSA were loaded into mPEG-g-143 chitosan gels (800 and 1000 µg/mL), more than 60% of the BSA was released during the first 144 30 hours. This might result from disturbance of optimal hydrogel chain packing by the 145 presence of too much protein within the hydrogel network. The weight loss of mPEG-g-146 chitosan gel is around 10% at the end of this controlled release study (data not shown).

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#### 148 Biocomaptibility

Cell viability is the most fundamental feature of biocompatibility for biomedical materials. The viability of murine mammary carcinoma (MMC) cells cultured on mPEG-g-chitosan gel was assessed with the Alamar blue assay. Tissue culture polystyrene (TCPS) and Matrigel were utilized as control materials. **Figure S3b** shows the number of MMC cells on all three substrates over a culture period of 4 days. Cell proliferation was observed on all three

154 substrates. The initial attachment of MMC cells on TCPS was higher than on Matrigel and on 155 mPEG-g-chitosan gel given the same seeding density. However, the number of MMC cells 156 incresed more than twice on Matrigel and mPEG-g-chitosan gel than on TCPS. The difference 157 in initial cell attachment and subsequent proliferation might result from topological 158 differences between 3D (Matrigel and mPEG-g-chitosan) and 2D (TCP) culture substrates. 159 Though MMC cells showed higher initial attachment on TCPS, rigid, two-dimensional 160 substrates have been reported as poorly representive of biological environments.<sup>[8,9]</sup> Cells 161 cultured on 2D are forced to adhere to a rigid surface and are geometrically constrained. The 162 adopted flat morphology alters cytoskeletal regulation that is important to celluar signaling thus affecting proliferation, migration and apotosis.<sup>[10]</sup> Therefore the cell attachment and 163 164 proliferation on TCPS may not accurately reflect *in vivo* cellular activity. On the other hand, 3D matrices have been reported as more biomimetic.<sup>[9,10]</sup> Overall, the cell number was 165 166 significantly higher on mPEG-g-chitosan gel than on Matrigel, which is a more comparable 167 and biologically-relevant culture substrate than TCPS. This result confirms that mPEG-g-168 chitosan gel is biocompatible and a suitable material for culture of MMC cells.

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170 Microstructure

171 The microstructure of mPEG-g-chitosan is visible via scanning electron microscopy as shown

172 in Figure S3c. The hydrogel possesses interconnected pores on the order of  $1-10 \ \mu m$  in

173 diameter. A uniform microstructure was observed throughout a hydrogel sample.



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- Figure S1. Chemical structure analysis: (a) Chemical structure of mPEG-acid, (b) <sup>1</sup>H NMR
- analysis of mPEG-acid (purple) and succinic anhydride (gray).



181 Figure S2. Schematic illustration of mechanisms for sol-gel transition of mPEG-g-chitosan 182 in response to multiple stimuli: temperature, salt concentration, pH and solute concentration. 183 (a) Rearrangement of mPEG-g-chitosan chains occurs at elevated temperatures allowing for 184 the dominance of hydrophobic interactions and resulting in gel network formation. (b) The 185 addition of salt interrupts gel network formation causing an increase in sol-gel transition 186 temperature. (c) Gel network formation occurs more easily with an increase in mPEG-g-187 chitosan concentration, causing a decrease in temperature associated with sol-gel transition, 188 but (d) further increase in mPEG-g-chitosan concentration disrupts network formation by 189 disrupting optimal polymer packing required for gelation. (e) The protonation of the chitosan 190 amines prevents mPEG-g-chitosan packing and thereby gel formation due to increased 191 electrostatic repulsions among polymer chains. 192



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197 Figure S3. Model protein release profile, biocompatibility and microstructure of mPEG-g-198 chitosan: (a) in vitro cumulative percent release of bovine serum albumin (BSA) from mPEG-199 g-chitosan. Solutions of 1.5 wt% mPEG-g-chitosan in 1× PBS were loaded with different 200 BSA concentrations (0, 100, 500, 800, and 1000 µg/mL) and incubated at 37° to induce 201 gelation. (b) the growth of murine mammary carcinoma (MMC) cells on TCPS (tissue culture 202 polystyrene), Matrigel, and mPEG-g-chitosan gel over a 4 day culture period as determined 203 by Alamar blue assay. Stastistic analysis was conducted between Matrigel and mPEG-gchitosan gel. Results are presented as mean  $\pm$  s.d., and \* indicates statistical significance as 204 205 determined by unpaired, two-tailed Student's t-test, p < 0.05, n = 3. (c) the SEM image of the 206 porous strucutre of mPEG-g-chitosan. The scale bar is 10 µm.

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