Supplementary Box 1 | Emerging trend where patient response rate and PFS does not translate into significantly increased overall survival in phase III trials

Patient response rate and progression-free survival (PFS) has not always translated into significantly increased overall survival in phase III trials (Tables 1 and 2). This has been observed in trials assessing bevacizumab (Tables 1 and 2) in patients with RCC patients treated in combination with interferon-α (AVOREN¹ and *CALGB 90206*² studies); in patients with MBC treated in combination with chemotherapy ($E2100$ ³) $AVADO⁴ Ribbon-1⁵$ and Ribbon-2⁶); in patients with NSCLC treated in combination with gemcitabine and cisplatin ($AVAiL^7$ study); and in patients with prostate or pancreatic cancer treated in combination with chemotherapy (CALGB80303, 8 CALGB90401, 9 and AviTA¹⁰ (Table 1).

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Supplementary Box 2

The first exception includes the aforementioned use of bevacizumab as a second-line monotherapy in patients with glioblastoma multiforme, where approval was based on improvements in quality of life and objective response rates in a phase II trial, which has yet to translate into improvements in overall survival. Whether this improvement arises as a result of tumor shrinkage or a noted (beneficial) consequence of VEGF blockade, namely, reduction in edema, remains unknown.¹ The second example comes from a recent announcement of improved progression-free survival when vandetanib—which has additional activity against EGFR—was given in combination with docetaxol in non-small-cell lung cancer.²

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- 2. Herbst,R.S. et al. Vandetanib plus docetaxel versus docetaxel as second-line treatment for patients with advanced non-small-cell lung cancer (ZODIAC): a double-blind, randomised, phase 3 trial. Lancet Oncol. (2010).

Supplementary Box 3

In general, two methods have been employed to disrupt VEGF pathway signaling, both of which have been reviewed recently.^{1,2} In one group are extracellular, largemolecule based inhibitors such as antibodies to VEGF (bevacizumab), VEGFR2 (ramucirumab), chimeric soluble receptors (aflibercept), or fusion proteins (angiocept) and others in clinical development that specifically disrupt ligand–receptor binding and prevent receptor dimerization. In the other group are small-molecule-based inhibitors that (much less specifically) block intracellular phosphorylation and downstream signaling pathways, including the aforementioned inhibitory effects against PDGFR and other receptors.

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Supplementary Box 4

Such results were observed with multiple forms of the aforementioned large-molecule inhibitors of VEGF or VEGFR binding, such as bevacizumab, VEGF-trap, RNA-based VEGF-targeted aptamers (pegaptanib), and the mouse VEGFR2 neutralizing antibody, DC101. More recently, Paez-Ribes *et al*. 1 used DC101 or various VEGF receptor tyrosine kinase inhibitors as monotherapies (both short-term and long-term treatments) in transgenic mice with genetically engineered *RIP1-*Tag2 pancreatic islet cell tumors and in mice bearing orthotopically transplanted GBMs. In drug treated mice, or in mice with *VEGF* selectively knocked out, tumors acquired an adaptive or evasive phenotype capable of increased infiltrative or aggressive patterns with wide fronts of invasion, whereas the majority of control tumors were predominantly encapsulated or microinvasive.

1. Paez-Ribes, M. *et al.* Antiangiogenic therapy elicits malignant progression of tumors to increased local invasion and distant metastasis. *Cancer Cell* **15**, 220–231 (2009).

Supplementary Box 5

The tumor microenvironment consists of multiple interacting components, such as the extracellular matrix (ECM); several cell types including endothelial cells, fibroblasts, numerous bone-marrow-derived dendritic cells (BMDCs), and infiltrating inflammatory cells; a myriad of different growth factors, hormones, chemokines, cytokines, and proteases; and processes which drive (often via tumor hypoxia) invasion and distant metastasis, such as low pH, low glucose concentrations, altered adhesion, ECM alterations, among other factors.¹ Disruption of the VEGF pathway could potentially affect all or some of these functions. For example, stromal cells (including tumorassociated fibroblasts [TAFs]) can upregulate compensatory growth factors (such as PDGF-C) in response to VEGF inhibition.² Also, pericytes could alter vascular

function $3-5$ and, thus, have an important role in observed rapid rebounds in revascularization after cessation of VEGFR tyrosine kinase inhibitor therapy by providing a scaffold for regrowth.^{6,7} Also, various proangiogenic BMDCs, such as Gr1⁺CD11b⁺ myeloid suppressor-type cells, TIE2 expressing monocytes, and tumorassociated macrophages might home to the tumor microenvironment and mediate resistance to VEGF pathway blockade via the production of the aforementioned compensatory proangiogenic factors, including Bv8 (prokineticin), G-CSF, angiopoietin-2, among others. $8-12$ Of course, the tumor likely has a critical role in adaption as well, directly and indirectly, and likely includes mechanisms involving initial (intrinsic) or adaptive (acquired) changes in response to therapy. Intrinsic resistance likely depends on disease history, stage, genetic factors, and acquired resistance to antiangiogenic therapy can include vascular co-option¹³ and/or numerous complimentary/supplemental proangiogenic pathways, which could compensate for VEGF inhibition,¹⁴ among others.^{5,15}

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Supplementary Box 6

Sometimes the term neoadjuvant therapy is used to describe any treatment of disease prior to surgery, which can include several different scenarios of patients with both primary and secondary metastatic lesions present (referred to as 'synchronous' or 'concurrent' therapy) at different stages of progression.¹ End points of objective response in the primary tumor remain the focus—such as downstaging of tumors to

decrease surgical margins (for example nephron-sparing in RCC²)—but it is also used as a determinant of treatment efficacy, that is, primary tumor response used as surrogate determinant of whether a particular patient's metastatic disease is likely to respond to the same therapy.^{3,4}

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Supplementary Box 7

The dearth in neoadjuvant-model therapy testing could be owing to the extreme difficulty of such assays, as it is cumbersome to balance several variables, including establishing tumor cells that readily metastasize; determining how to assess a threshold for micrometastatic or macrometastatic disease; duration of therapy; monitoring of disease progression; among many other challenges. Theoretical limitations also include the purpose of such studies. For example, is it better to study anti-primary tumor effects (that is, tumor shrinkage) or antimetastatic effects (that is, increased survival), or both; which drugs and treatment regimens (including combinations) can be compared; can an antibody with a long half life be compared to a tyrosine kinase inhibitor that has a short-half life? These questions increase the complexity of undertaking such studies in mice, but such efforts are urgently needed, particularly given the potential that antiangiogenic effects observed in localized tumors may be quite different when treating micrometastatic and macrometastatic disease. At present, only a handful of preclinicial studies have attempted to use VEGF pathway inhibitors to test this and, thus, there is no compelling body of evidence to support clinical testing in this setting.

 \Box Examples of therapy-induced metastasis in preclinical models

experimental metastasis but no enhancement or reduction of spontaneous metastasis. [§]Spontaneous metastasis increased. ^{||}These references include examples of primary tumor treatment examining later metastasis development (without tumor resection). ¹The irradiation pretreatment studies were largely conducted in mice, but some studies were carried out in rabbits, rats and dogs. Abbreviation: LPS, lipopolysaccharide.

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