A Data Analysis Pipeline Accounting for Artifacts in Tox21 Quantitative High Throughput Screening Assays

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#### <span id="page-2-1"></span>Pipeline components

#### <span id="page-2-2"></span>Preview

The flowchart of pipeline with KNIME [\(http://knime.org,](http://knime.org/) version 2.92) workflow names can be found in the Supplemental Material, Figure S2.

#### *Data storage*

The plate-level data processed by the pipeline are stored using RData format (R version: 3.01) in Odum Institute Dataverse Network [\(http://arc.irss.unc.edu/dvn/dv/curvepwauc\)](http://arc.irss.unc.edu/dvn/dv/curvepwauc). The files are named based on the rule:  $\{\pathway\} \$  \{readout\}.RData. The <math>\{\}</math> notation represents a variable. The included pathways can be found in Table 2. The readout information is listed in the section of "Tox21 assays" in the main article. The plate-level column description can be found in Supplemental Material, Table S4. All the R scripts (R version: 3.01) and KNIME workflows in each component at plate-level, source-level, and compound-level are also uploaded to the Odum Institute Dataverse Network [\(http://arc.irss.unc.edu/dvn/dv/curvepwauc\)](http://arc.irss.unc.edu/dvn/dv/curvepwauc). The response pattern recognition algorithm in terms of carry-over and U-shape detection (Supplemental Material, p. 10 and 13) has been implemented into Curvep. The source code can be found in the GitHub [\(https://github.com/sedykh/curvep\)](https://github.com/sedykh/curvep)

#### *Data visualization*

The plate-level concentration-response curves can be visualized in the public Rstudio Shiny server [\(http://spark.rstudio.com/moggces/plotting\)](http://spark.rstudio.com/moggces/plotting). The compound-level activity profiling results can be visualized in the [http://spark.rstudio.com/moggces/profiling/.](http://spark.rstudio.com/moggces/profiling/) The source code can be

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found in Github [\(https://github.com/moggces/ActivityProfilingGUI/archive/v1.0-beta.zip](https://github.com/moggces/ActivityProfilingGUI/archive/v1.0-beta.zip) and [https://github.com/moggces/CurveVisualizationGUI4Tox21/archive/v1.0-beta.zip\)](https://github.com/moggces/CurveVisualizationGUI4Tox21/archive/v1.0-beta.zip)

## <span id="page-3-0"></span>Plate level

# *NCATS data standardization*

The goal of this component is to standardize NCATS data with additional informative columns. The component includes an R script file (standardize\_NCATS.r) implemented into KNIME workflow (standardize\_NCATS.zip)

#### Input files

The tab-delimited text files (\${basename}.txt, e.g., basename: tox21-p53-bla-p1\_ch2) downloaded from NCATS Tox21 internal Gateway<http://tripod.nih.gov/tox/>

#### Output files

The standardized NCATS data files (\${basename}\_std.txt, e.g., tox21-p53-bla-p1\_ch2\_std.txt) with additional columns

#### Output columns

The pathway, readout, Cmpd\_Library, Library, Library\_seq, uniqueID, Tox21AgencyID, Chemical.ID, and Chemical.Name parameters are generated. For plate-level column description, please see Supplemental Material, Table S4.

# *Signal artifacts handling*

The goal of this component is to apply Curvep with appropriate input parameters to clean the artifacts and with additional masking options (see Supplemental Material, Figure S4) for severe non-monotonic concentration-response data seen in the activation-type assays. The main R script (run\_curvep.r) is implemented in the KNIME workflow (run\_curvep.zip)

# Dependent scripts/programs

# io.r, get.r, Curvep

# Input files

The standardized NCATS data files from the KNIME workflow of standardize\_NCATS.zip or tab-delimited text file with appropriate format:

- pathway column: *pathway*, the assay identifier
- readout column: *readout*, the readout identifier
- unique ID column by pathway: *uniqueID*
- concentration columns:  $conc[0-9]+$ , (e.g., conc0, conc1, conc2, ... etc.),  $log10(M)$ transformation
- response columns:  $resp[0-9] + (e.g., resp0, resp1, resp2, ... etc.), -100\% ~ 0\% ~ 100\%; 0\%$ is the baseline

The words with italic font represent column names.

# Input parameters

rename\_text – the string to be appended to the original file name. Although any input is allowed, some controlled terms are already employed: u (setting assuming increasing signal); d (setting assuming decreasing signal); u0 (self-masking with setting assuming increasing signal); u1 (ch1 masking with setting assuming increasing signal)

pathway – the pathway name for filtering the files based on file names

readout – the readout name for filtering the files based on file names

direction – the string value to indicate whether increasing response ("up") or decreasing response ("down") should be treated by Curvep

thr – Curvep baseline noise threshold (*THR*)

thr\_cro – Curvep carryover threshold (*CRO*). Set as "default" to use the default values. See Supplemental Material, Methods (Supplemental Material, p. 10) for more information about the *CRO*.

selfmask – whether self-masking should be conducted (default: no). If yes, the

\${basename}\_curvep\_d.txt needs to be available

ch1mask – whether ch1-masking should be conducted (default: no). If yes, the

\${basename%\_\*}\_ch1\_curvep\_twoD.txt needs to be available

# Output files

The tab-delimited text files (\${basename}\_\${rename\_text}.txt) with additional Curvep columns. If the input data files are processed by both self-masking and ch1-masking setting, the ch1 masking data are used only when the curve is flat in the self-masking setting.

# Output columns

Curvep-related columns are appended. See Supplemental Material, Table S4

# *Quality control*

The goal of this component is to check the reproducibility between plates for Tox21 data after Curvep. The R script is provided (qc.r).

## Input files

The plate-level Rdata files (\${basename} \${readout}.RData, e.g., ar-bla-antagonist ratio.RData) or output after KNIME workflow (run\_curvep.zip).

# Input parameters

dmso\_sd – the SD value of responses in DMSO control plates

curvep\_thr – the Curvep baseline noise threshold (*THR*)

metric – a string value to indicate the type of signals (wauc or pod)

# Output

The statistics are stored in an R list structure, including Pearson's r correlation between plates, intraclass correlation coefficient when using  $T_I$  (or 0) and  $T_2$  to categorize signals into: two groups (hit and others), or three groups (active, marginal active, and others), or five groups (strong increasing signal, weak increasing signal, inactive, weak decreasing signal, strong decreasing signal), and percentage of signals based on different categories.

 $T_1 \& T_2$  (assay-dependent wAUC thresholds. see "hit calling/ranking" in the main article)

# <span id="page-6-0"></span>Source level

*wAUC-related data collapsing #1*

The goal of this component is to 1) combine the two directions (increasing/decreasing) of signal if available and to 2) calculate source-level signal statistics. The R script (collapse\_source\_wauc.r) is implemented in the KNIME workflow (collapse\_source\_wauc.zip).

# Input files

The files generated from KNIME workflow run\_curvep.zip. For \${basename} curvep\_u.txt (data are cleaned using the setting for increasing signal), the \${basename}\_curvep\_d.txt file may be used to merge the data if "istwoD" parameter is set to TRUE.

#### Input parameters

istwoD – shows whether the curvep output file  $(\frac{1}{2} \delta \cdot \delta)$  (should be used in the merging. When merging, the results from the preferred direction (determined from pathway/readout fields) have higher priority.

by\_type – the type of chemical ID in the source level file (either Tox21.ID or Tox21AgencyID) used for collapsing.

# Output files

If both signals from two directions are available, the merged tab-delimited text file

 $(\frac{1}{6}$ {baseman}\_curvep\_twoD.txt). This merged file is further converted to the reported RData file

 $(\frac{\xi}{pathway})$   $\frac{\xi}{readout}$ . RData). Otherwise, the original input file will be renamed as

(\${baseman}\_curvep\_oneD.txt.

Also, source-level signal data files are generated. The files are named as

\${readout}\_\${pathway}.wauc.source.txt if the readout is not *ratio*, *luc*, or *via*. Otherwise,

\${readout}\_\${pathway}\_main.wauc.source.txt is used.

#### Output columns

The descriptions of source-level columns can be found in Supplemental Material, Table S5.

*Compound-dependent artifacts flagging* 

The goal of this component is to integrate various data sources to flag the potential artifacts (KNIME workflow flag\_artifacts.zip).

# Input files

Source-level auto-fluorescence data are needed for β-lactamase reporter gene assays as well as the readouts from either β-lactamase reporter gene assay or luciferase reporter gene assay.

# Input parameters

pathway (name string) and pod\_thr (max.difference in log-conc units between PODs of cytotoxic and inhibitory signals, e.g.,  $POD_{viability} - POD_{inhibition} < -0.5)$ 

#### Output files

The pathway-based, tab-delimited text files \${pathway}\_main.wauc.source.txt (e.g., p53 bla\_main.wauc.source.txt)

#### Output columns

Tox21.ID, Library, Cmpd\_Library, curvep\_wauc.[ratio|ch2|ch1|via|luc], curvep\_pod.

[ratio|ch2|ch1|via|luc], med\_curvep\_wauc[ratio|ch2|ch1|via|luc],

med\_curvep\_pod.[ratio|ch2|ch1|via|luc], max\_curvep\_wauc.[blue|red|green], Comment, pvalue, mean\_pod\_diff, and med\_pod\_diff.

The compound ID column information can be found in Supplemental Material, Table S4. The source-level column descriptions can be found in Supplemental Material, Table S5.

<span id="page-8-0"></span>Compound level

*wAUC-related data collapsing #2*

The goal of this component is to collapse the source-level activity data to the compound-level activity data, to generate hit calls, and to normalize wAUC [0-1]. The R script (collapse\_cmpd\_wauc.r) is implemented in the KNIME workflow (collapse\_cmpd\_wauc.zip).

#### Input file

The pathway-based, tab-delimited text files: \${pathway}\_main.wauc.source.txt (e.g., p53-

bla\_main.wauc.source.txt) or the readout-based, tab-delimited text files:

\${readout}\_\${pathway}\_main.wauc.source.txt.

# Input parameters

assay\_id – the pathway (e.g., p53-bla)

 $T_1 \& T_2$  – see the "Quality control" (Supplemental Material, p.6)

data\_type – the value (either activation or inhibition) to indicate whether the assay is activationlike or inhibition-like assay

# Output files

Pathway-based, tab-delimited text file with compound-level activity information

(\${pathway}\_main.wauc.cas.txt) or readout-based, tab-delimited text file with compound-level signal information (\${readout}\_\${pathway}.wauc.cas.txt)

#### Output columns

The descriptions of compound-level columns can be found in Supplemental Material, Table S6.

#### <span id="page-10-0"></span>Response pattern recognition

The examples of curves can be found in Table 1 and Supplemental Material, Figure S3.

#### <span id="page-10-1"></span>Carry-over and baseline shift

Compound carry-over (Figure S3f) is caused by compounds that resist the pin-tool cleaning steps between plate runs and result in the activity due to the compound from the previous set of plates (at the highest concentration) being transferred to the next set of plates (which start at the lowest concentration for a different compound) (Table 1). It substantially affects wAUC. If the compound is inactive in the current plates, monotonically decreasing (increasing) responses could be observed in activation-type (inhibition-type) assays. These curves are corrected as baselines. However, if the compound is active in the current plates, the addition of transferred activity causes a baseline shift. Therefore, additional treatments are applied to adjust the part that caused by carry-over to baseline.

## Pseudo code for carry-over detection (by Curvep)

The carry-over pattern identification is controlled by a carryover threshold (CRO) and maximum deviation  $(MXDV)^1$ . The default value is set as 80% (CRO) and 5% (MXDV). For activation-type assays, a smaller CRO (e.g., 60%) is suggested for noisier assays. However, for stress response pathway assays, which have low noise (3SD < 10%), 30% could be used. The following code only applies to the carry-over in activation-type assays. For inhibitiontype/cytotoxicity assays, the direction is simply reverse.

#### LOOP through the curves after noise filtering

Find the highest concentration (c) of the lowest response (m) (or the responses within MXDV)

Find the maximum responses before (rb) and after (ra) the c

IF c is the highest tested concentration

$$
ra=m
$$

IF  $(rb == 0)$ 

normal curve, continue to next

IF  $rb < CRO$ 

IF the curve is monotonically decreasing  $(rb - ra > MXDV)$ 

carry-over

IF the curve is flat ( $abs(rb - ra) \leq MXDV$ )

carry-over

IF the curve is monotonically increasing  $-ra - rb > MXDV)$ 

baseline shift due to carry-over

IF  $rb \geq CRO$ 

IF the curve is monotonically decreasing  $(rb - ra > MXDV)$ 

IF response type is inhibitory

carry-over

ELSE

undetermined (potential strong active )

IF the curve is flat ( $abs(rb - ra) \leq MXDV$ )

#### undetermined (potential strong active)

#### END LOOP

To clarify whether the "undetermined" is a strong active (Figure S3e) or an artifact requires the incorporation of plate sequence information. If a signal from the previous plate is significant or is a carry-over resultant, the "undetermined" signal in current plate is highly probable to be an artifact – otherwise a strong active, where the response is saturated.

#### <span id="page-12-0"></span>U-shape by masking

The U-shape curve violates the monotonicity assumption and represents mostly nonreproducible artifacts in qHTS assays. However, some of these responses could be real signals (judging by the occurrence of the same spikes in three runs) and can be handled by incorporating readout information as a mask (Supplemental Material, Figure S4). For example, in the selfmasking component, the output of Curvep from the negative direction (response between 0% and -100%) is used to mask some of the responses in positive direction (response between 100% and 0%). Thus, the responses become monotonic (Figure S3b). A similar strategy can be applied (ch1-masking) by using ch1 (background readout in β-lactamase assay) to mask some of the responses in ch2 (gene expression readout) (Figure S3c).

#### <span id="page-12-1"></span>U-shape by Curvep

Some of the U-shapes (real signals) cannot be handled by the readout masking but can be handled by Curvep itself (Figure S3d). The pseudo code handling U-shapes uses USHAPE parameter (set to 4; for low-noise assay, USHAPE=3 is applied) and is given below. Briefly, it detects an optimal pivot point (where change in monotonicity occurs), then masks the points on one of the shoulders (the other one will be retained).

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LOOP through the curves

IF responses at lowest and highest test concentrations are different by more than THR normal curve, continue to next

IF standard deviation of responses < MXDV

noisy flat curve, continue to next

Find the pivot point (c) with minimum number of corrections to restore monotonicity (e) Find the number of points (p) in the plateau and the peak slopes around the pivot c

IF  $e > p$  AND response at  $c < CRO$ 

too many corrections, treat as flat noisy curve and continue to next

Find total number of spikes in the curve (x), i.e., number of changes in monotonicity

IF  $p <$  USHAPE OR  $x > p$ 

noisy curve with spikes, flatten and continue to next

Find the number of points in the retained shoulder of the U-shape curve (s)

IF 2\*s < USHAPE OR c is lowest test conc.

Not a true U-shape, treat as flat noisy curve and continue to next

U-shape curve is found. Correct e and treat as monotonic.

<span id="page-13-0"></span>END LOOP

# References

1. Sedykh, A.; Zhu, H.; Tang, H.; et al. Use of in Vitro HTS-Derived Concentration–Response Data as Biological Descriptors Improves the Accuracy of QSAR Models of in Vivo Toxicity. Environ. Health Perspect. 2011, 119, 364–370.

# <span id="page-15-0"></span>Supplemental Material, Tables





Abbreviations: AHR: aryl hydrocarbon receptor: AR; androgen receptor; ER: estrogen receptor; GR: glucocorticoid receptor; mitotox: mitochondria toxicity; PPARγ: peroxisome proliferatoractivated receptor gamma; TR: thyroid receptor;  $T_I$  and  $T_2$  are the two wAUC thresholds.

assay name	activation-type		inhibition-type	
	correlation	$\ast$ frequency	correlation	** frequency
ahr	0.43	4737	<b>NA</b>	
atad <sub>5</sub>	0.66	1004	<b>NA</b>	
aromatase	<b>NA</b>		0.90	4267
bgler	0.85	3803	0.84	2764
gr	0.89	1610	0.83	3123
hek293ar	0.80	1639	0.77	5660
hek293er	0.88	2401	0.77	2843
mdakb2ar	0.76	1431	0.85	3650
mitotox	<b>NA</b>		0.85	6767
p53	0.51	2843	<b>NA</b>	
pparg	0.63	1191	<b>NA</b>	
tr * .	0.79	182	0.90	6902

Supplemental Material, Table S2: the comparison between the wAUC and  $AC_{50}$  from highquality curves

<sup>\*</sup>the AC<sub>50</sub> from Curve Class = 1.1, 1.2, 2.1, 2.2

\*\* the AC<sub>50</sub> from Curve Class = -1.1, -1.2, -2.1, -2.2

Abbreviations: ahr: aryl hydrocarbon receptor; atad5: ATAD5 protein; bg1er: estrogen receptor in BG1 cell; gr: glucocorticoid receptor; hek293ar: androgen receptor in Hek293 cell; hek293er: estrogen receptor in Hek293 cell; mitotox: mitochondria toxicity; pparg: peroxisome proliferatoractivated receptor gamma; tr: thyroid receptor; NA: not applicable



Supplemental Material, Table S3: the percentage of signal groups (n=8306)

Abbreviations: ahr: aryl hydrocarbon receptor; ar: androgen receptor; dsb: double strand break; er: estrogen receptor; gr: glucocorticoid receptor; mitotox: mitochondria toxicity; pparg: peroxisome proliferator-activated receptor gamma; srf: stalled replication fork; tr: thyroid receptor; +/- represents the direction of the signal (increasing/decreasing)

Supplemental Material, Table S4: plate-level column descriptions







Supplemental Material, Table S5: columns unique in source-level data



# Supplemental Material, Table S6: columns unique to compound-level data





# <span id="page-24-0"></span>Supplemental Material, Figures



Supplemental Material, Figure S1: the Tox21 10K Library. The Tox21 library consists of nine different compound plates coming from three agencies: NCATS (NPC library), EPA, and NTP. Each compound plate is screened three times, each time on a separate day. The reproducibility (e.g., wAUC) between three different batches is compared using Pearson's r correlation coefficient (e.g., Pearson's r\_13: the correlation coefficient between the batch 1 and batch 3). Thus, in total, there are 27 comparisons.



Supplemental Material, Figure S2: the pipeline components with KNIME workflow names (zip files). An R script for quality control of plate-level signals (qc.r) is also included.



Supplemental Material, Figure S3: challenges in qHTS data analysis, continued. a) baseline shift, could be due to the compound activity carry-over. b) U-shape, handled by Curvep alone. c) Ushape of ch2, handled by using ch1 as mask. d) U-shape, handled by self-masking. e) strong active similar to carry-over. f) compound carry-over with monotonically decreasing signal. Note: Ratio" or "luc" represents the main readout in either β-lactamase assay or luciferase assay, respectively; "ch1" represents channel 1, the background in bla (β-lactamase assay) assay; "ch2" represents channel 2, the signal channel in bla assay; "via" represents cell viability. Numbers represent different batches.



Supplemental Material, Figure S4: The masking flowchart for activation-type assays. The red components represent the two masking strategy: self-masking by responses between 0% and - 100% after Curvep and ch1-masking particular for β-lactamase assays.





a.



Supplemental Material, Figure S5: the relationship between the wAUC and  $AC_{50}$  from highquality curves a. activation-type assays; b. inhibition-type assays



Supplemental Material, Figure S6: comparison between the raw wAUC values and the normalized wAUC values in assays. The color relates to the hit calls in assays. The *T<sup>1</sup>* and *T<sup>2</sup>* control the shape of curve. The curve shape is more flat in the inhibition-type assays and cytotoxicity assays than in the activation-type assays because of the higher background noise level in assays and the response satuaration feature (i.e.,  $E_{\text{max}}$  can usually reach 100%) in curves; thus, in the inhibition-type assays and cytotoxicity assays, the signal needs to have higher raw wAUC value to achieve the same normalized wAUC value in the activation-type assays.



b

a



Supplemental Material, Figure S7: comparison of inhibition-type assay (a) and activation-type assay (b) reproducibility based on three signal processing protocols as a function of Curvep baseline noise threshold (THR). The solid/dashed line represents median or  $25<sup>th</sup>$  percentile of Pearson's r values from the plate comparisons.