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Supplemental Data

# **Incorporating Functional Information in Tests of Excess De Novo Mutational Load**

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## Supplemental Data

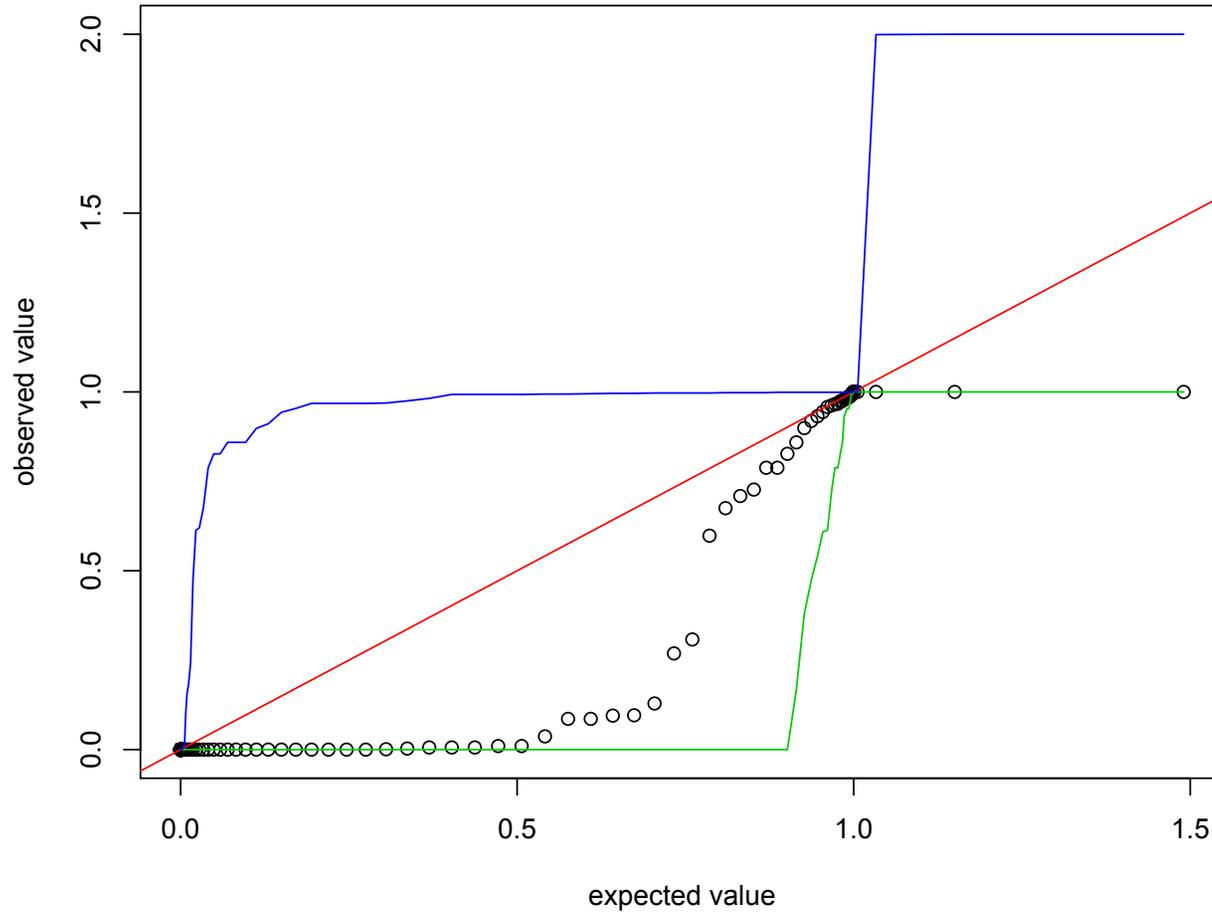


Figure S1: Expected versus observed test statistics for KIRREL3-based simulation. Sample size=150 and 10000 replicates. The blue and green lines denote 95% confidence intervals.

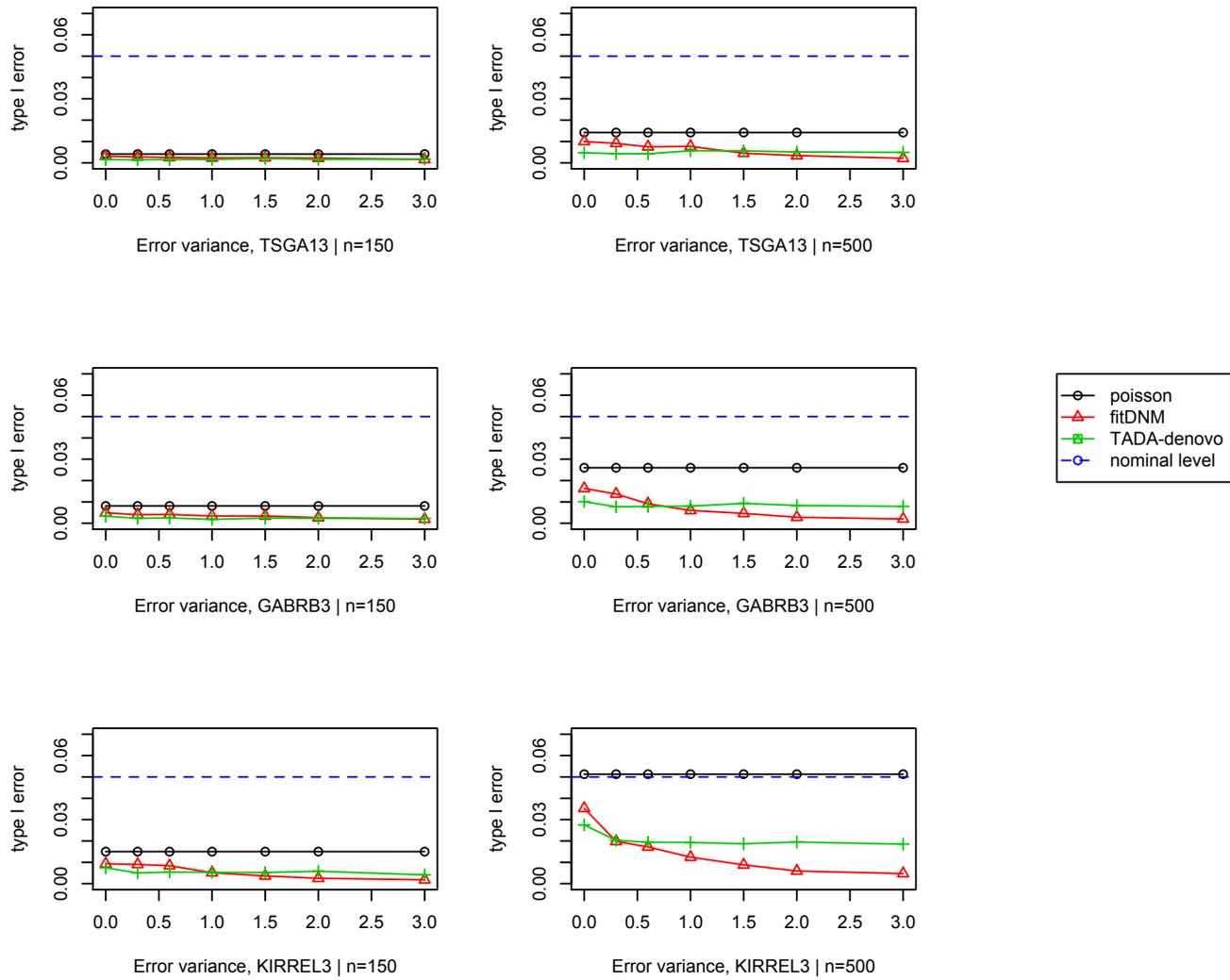


Figure S2: Type I error rates variant deleteriousness is misspecified ( $\alpha=0.05$ )

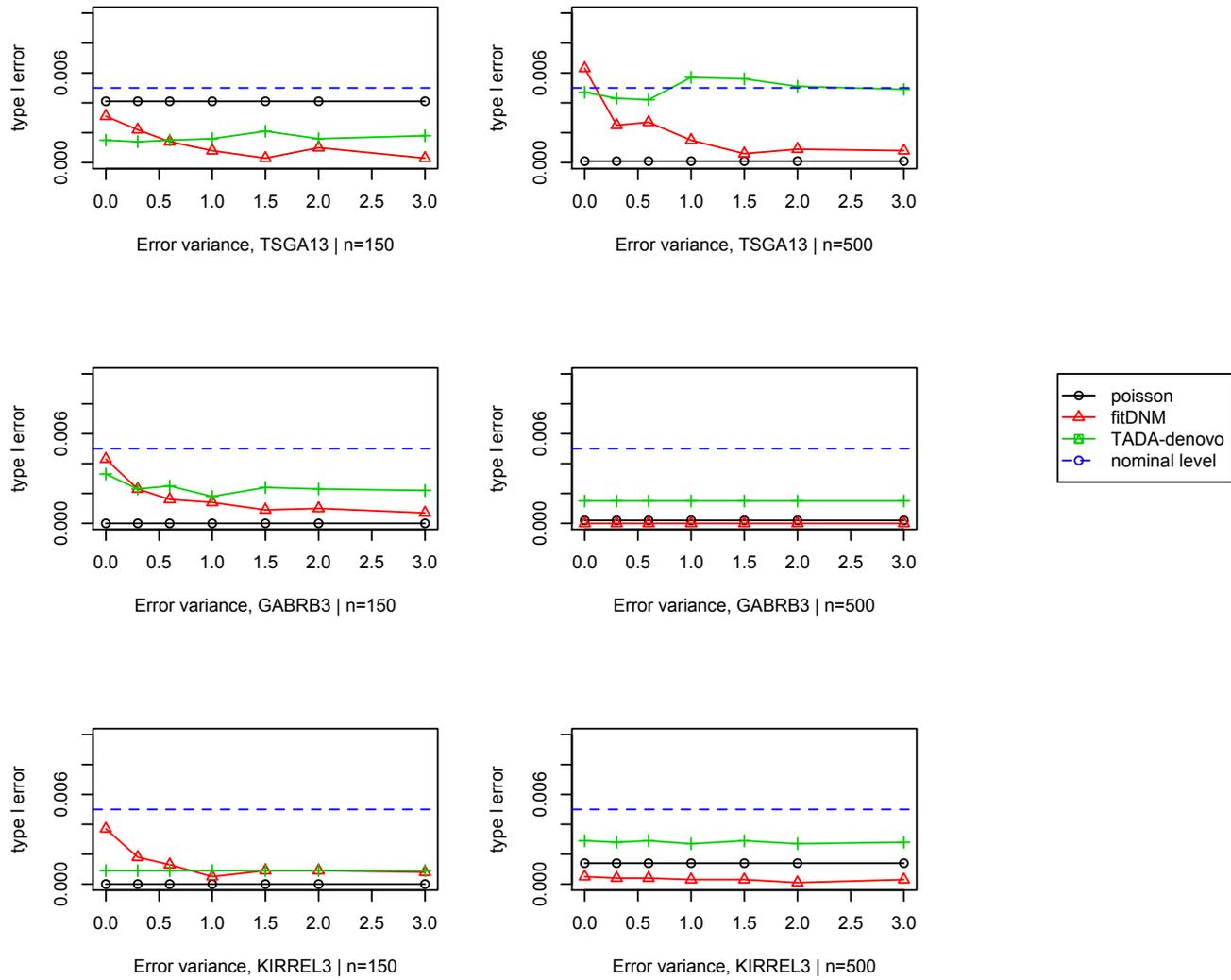
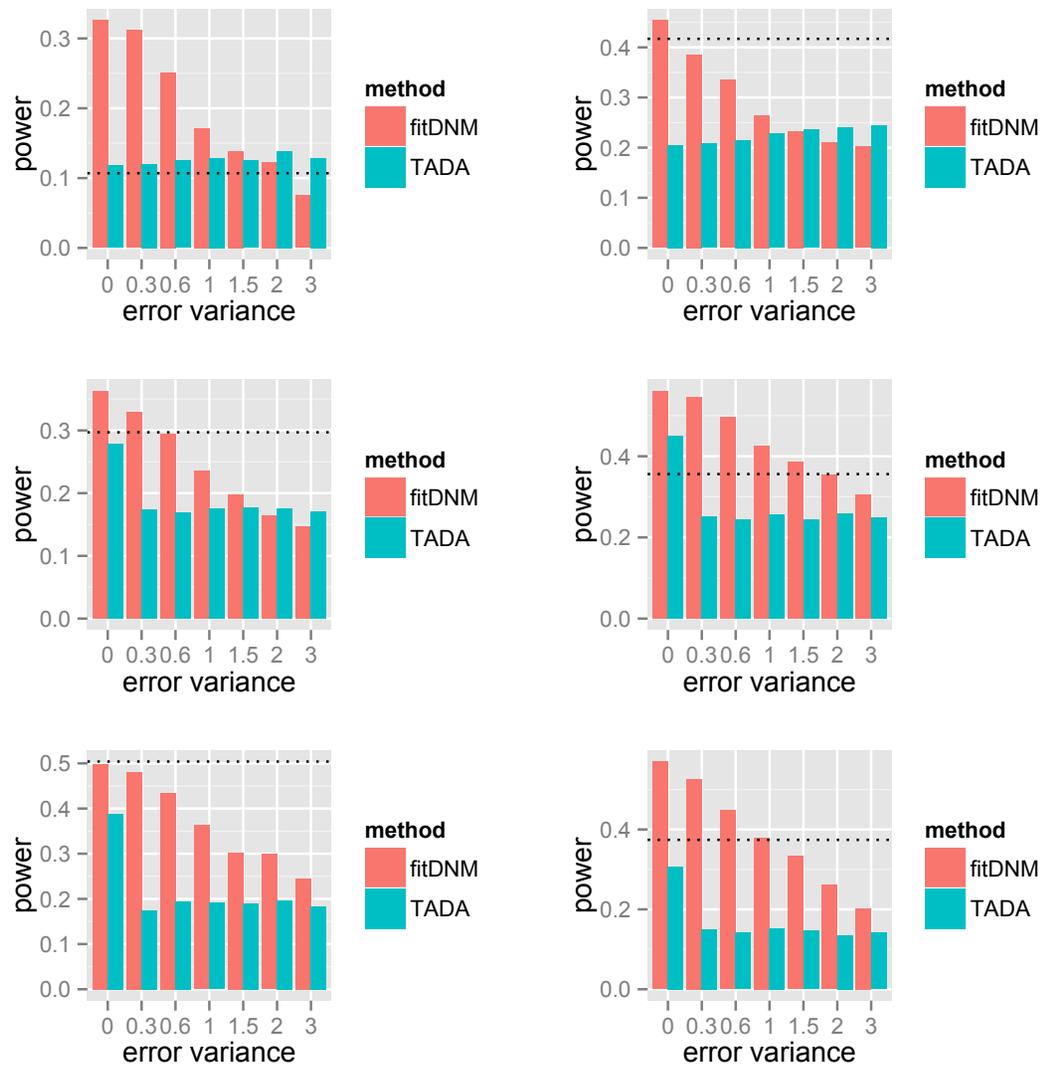


Figure S3: Type I error rates when variant deleteriousness is misspecified ( $\alpha=0.005$ )



**Figure S4: Power when variant deleteriousness is misspecified.** The sample size is 150 in the left panels and 500 in the right. Simulations are based on the following genes (top to the bottom): *TSGA13*, *GABRB3*, *KIRREL3*. The dashed horizontal line is the power of Poisson test.

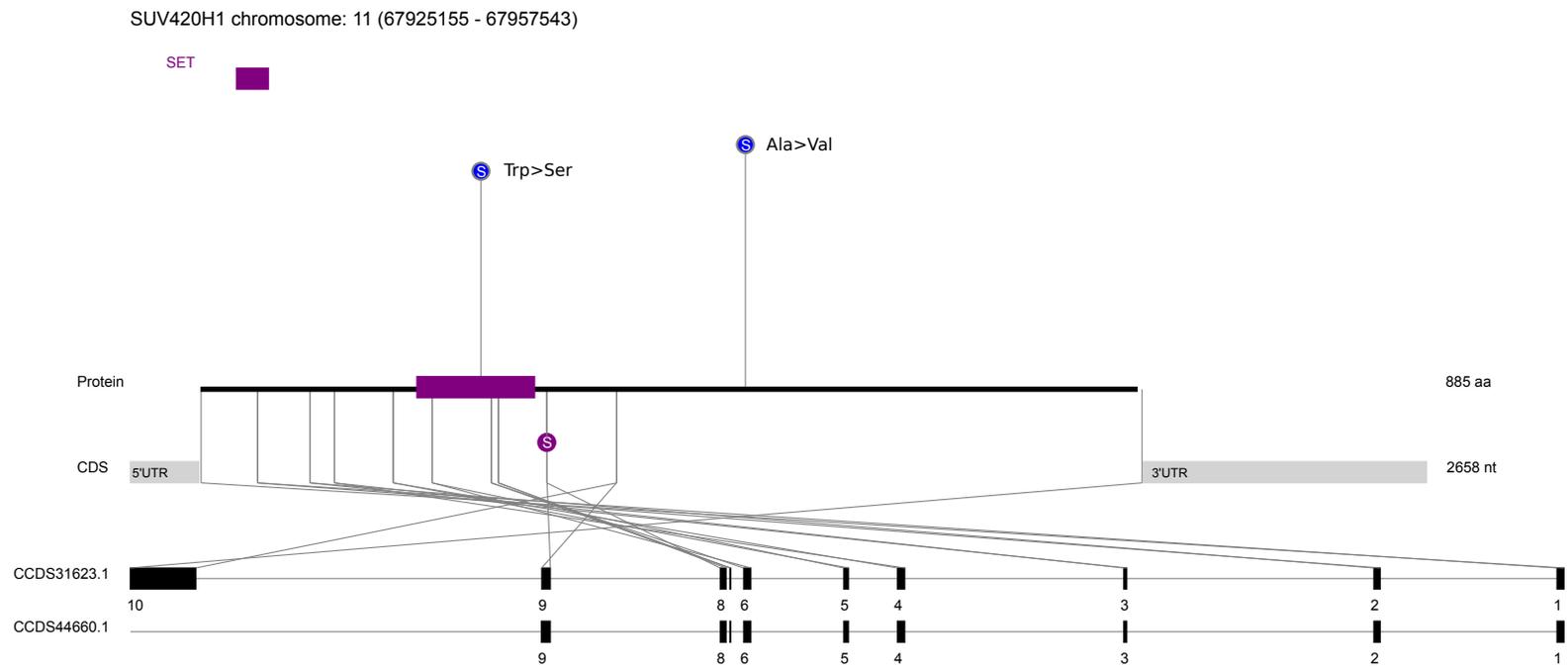
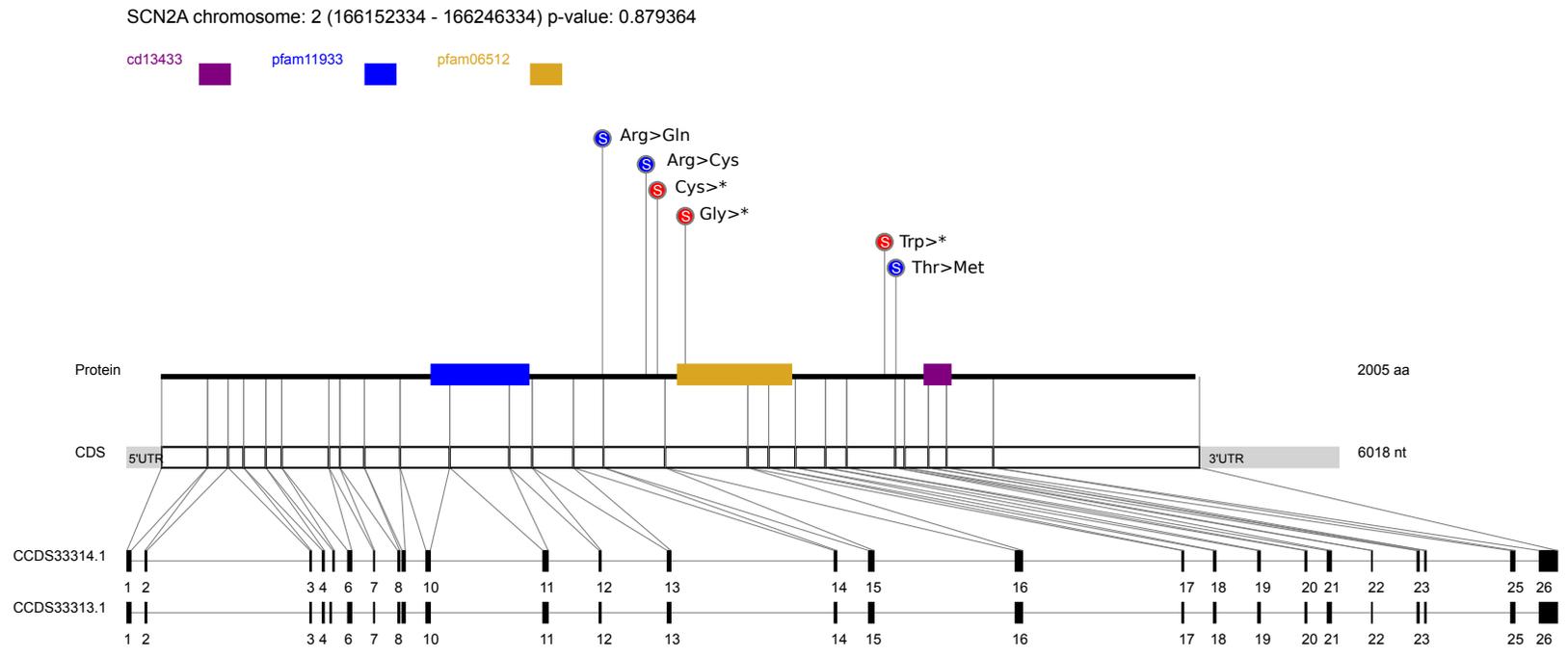


Figure S5: Location of *De novo* mutations in SUV420H1 found in ASD samples.



**Figure S6: Location of *De novo* mutations in *SCN2A* found in Neurodevelopmental and neuropsychiatric samples.** The first mutation (Arg>Gln) occurred twice in EE samples.

Table S1. Correlations between true PolyPhen-2 score and the misspecified Polyphen-2 scores used in analysis.

Gene	Simulation variance $\sigma^2$					
	0.3	0.6	1.0	1.5	2.0	3.0
TSGA13	0.878	0.698	0.565	0.462	0.439	0.391
GABRB3	0.915	0.753	0.604	0.507	0.444	0.424
KIRREL3	0.922	0.775	0.635	0.557	0.526	0.464

Table S2. List of *de novo* mutations in disease associated genes from combined analysis

Gene	RVIS	Variant description	Affected transcript	Affected protein	Disease	Polyphen-2
TRIO	0.15%	chr5:g.14388774C>T	NM_007118.2:c.3934C>T	NM_007118.2(TRIO_i001):p.(Arg1312Trp)	ASD	probably damaging
		chr5:g.14390384A>T	NM_007118.2:c.4103A>T	NM_007118.2(TRIO_i001):p.(Asp1368Val)	severeID	probably damaging
		chr5:g.14394220A>T	NM_007118.2:c.4292A>T	NM_007118.2(TRIO_i001):p.(Lys1431Met)	ASD	probably damaging
		chr5:g.14492731C>T	NM_007118.2:c.7688C>T	NM_007118.2(TRIO_i001):p.(Thr2563Met)	severeID	probably damaging
		chr5:g.14508071C>T	NM_007118.2:c.8834C>T	NM_007118.2(TRIO_i001):p.(Thr2945Met)	EE	probably damaging
SUV420H1	11.22 %	chr11:g.67926275G>A	NM_017635.3:c.1538C>T	NM_017635.3(SUV420H1_i001):p.(Ala513Val)	ASD	probably damaging
		chr11:g.67938481C>T	NM_017635.3:c.977+1G>A	Splice donor	ASD	
		chr11:g.67939039C>G	NM_017635.3:c.791G>C	NM_017635.3(SUV420H1_i001):p.(Trp264Ser)	ASD	probably damaging
SCN2A	0.89%	chr2:g.166198975G>A	NM_001040142.1:c.2558G>A	NM_001040142.1(SCN2A_i001):p.(Arg853Gln)	EE (twice)	probably damaging
		chr2:g.166201311C>T	NM_001040142.1:c.2809C>T	NM_001040142.1(SCN2A_i001):p.(Arg937Cys)	severeID	probably damaging
		chr2:g.166201379C>A	NM_001040142.1:c.2877C>A	NM_001040142.1(SCN2A_i001):p.(Cys959*)	ASD	
		chr2:g.166210819G>T	NM_001040142.1:c.3037G>T	NM_001040142.1(SCN2A_i001):p.(Gly1013*)	ASD	
		chr2:g.166231415G>A	NM_001040142.1:c.4193G>A	NM_001040142.1(SCN2A_i001):p.(Trp1398*)	severeID	
		chr2:g.166234111C>T	NM_001040142.1:c.4259C>T	NM_001040142.1(SCN2A_i001):p.(Thr1420Met)	ASD	probably damaging
CDKL5	7.64%	chrX:g.18598064C>T	NM_001037343.1:c.379C>T	NM_001037343.1(CDKL5_i001):p.(His127Tyr)	EE	probably damaging
		chrX:g.18606157G>A	NM_001037343.1:c.638G>A	NM_001037343.1(CDKL5_i001):p.(Gly213Glu)	EE	probably damaging
		chrX:g.18622434C>T	NM_001037343.1:c.1390C>T	NM_001037343.1(CDKL5_i001):p.(Gln464*)	EE	
SCN1A	2.29%	chr2:g.166848071G>A	NM_001165963.1:c.5714C>T	NM_001165963.1(SCN1A_i001):p.(Pro1905Leu)	ASD	probably damaging

		chr2:g.166911147C>T	NM_001165963.1:c.602+1 G>A	splice donor variant	EE (twice)	
		chr2:g.166903480G> A	NM_001165963.1:c.1177C >T	NM_001165963.1(SCN1A_i001):p.(Arg393 Cys)	EE	probably damaging
		chr2:g.166894356C>T	NM_001165963.1:c.2876G >A	NM_001165963.1(SCN1A_i001):p.(Cys959 Tyr)	EE	probably damaging
		chr2:g.166870322G> A	NM_001165963.1:c.3637C >T	NM_001165963.1(SCN1A_i001):p.(Arg121 3*)	EE	
		chr2:g.166852575G>T	NM_001165963.1:c.4529C >A	NM_001165963.1(SCN1A_i001):p.(Ala151 0Glu)	EE	probably damaging
		chr2:g.166848563C>G	NM_001165963.1:c.5222G >C	NM_001165963.1(SCN1A_i001):p.(Cys174 1Ser)	EE	probably damaging
STXBP1	13.64%	chr9:g.130420659G> A	NM_001032221.2:c.175G >A	NM_001032221.2(STXBP1_i001):p.(Glu59 Lys)	SevereID	probably damaging
		chr9:g.130422363G>C	NM_001032221.2:c.301G >C	NM_001032221.2(STXBP1_i001):p.(Ala101 Pro)	SevereID	possibly damaging
		chr9:g.130425622C>T	NM_001032221.2:c.568C> T	NM_001032221.2(STXBP1_i001):p.(Arg19 0Trp)	EE	probably damaging
		chr9:g.130428484C>T	NM_001032221.2:c.703C> T	NM_001032221.2(STXBP1_i001):p.(Arg23 5*)	EE	
		chr9:g.130434370C>T	NM_001032221.2:c.1004C >T	NM_001032221.2(STXBP1_i001):p.(Pro335 Leu)	EE	probably damaging
		chr9:g.130438189G> A	NM_001032221.2:c.1217G >A	NM_001032221.2(STXBP1_i001):p.(Arg40 6His)	EE	probably damaging
		chr9:g.130444768G> A	NM_001032221.2:c.1631G >A	NM_001032221.2(STXBP1_i001):p.(Gly54 4Asp)	EE	probably damaging
		chr9:g.130444788C>T	NM_001032221.2:c.1651C >T	NM_001032221.2(STXBP1_i001):p.(Arg55 1Cys)	ASD	probably damaging
GABRB3	17.72%	chr15:g.26806254T>C	NM_000814.4:c.905A>G	NM_000814.4(GABRB3_i001):p.(Tyr302Cy s)	EE	probably damaging
		chr15:g.26828484T>C	NM_000814.4:c.539A>G	NM_000814.4(GABRB3_i001):p.(Glu180Gl y)	EE	probably damaging
		chr15:g.26828534C>T	NM_000814.4:c.489G>A	NM_000814.4(GABRB3_i001):p.(Met163Il e)	ASD	probably damaging
		chr15:g.26866564C>T	NM_000814.4:c.358G>A	NM_000814.4(GABRB3_i001):p.(Asp120A sn)	EE	probably damaging
		chr15:g.26866594T>C	NM_000814.4:c.328A>G	NM_000814.4(GABRB3_i001):p.(Asn110A sp)	EE	probably damaging

Table S3: Analysis of genes hit by more than one *de novo* mutation in controls

Gene	Sample size	Gene size†	Calculated loci †	Count of de novos	fitDNM	Poisson	TADA
<i>ADAMTS2</i> (MIM 604539)	728	3800	3697	2	0.0345	0.00666	1
<i>AGBL5</i> (MIM 615900)	728	2782	2782	2	0.0277	0.00211	0.0226
<i>AHNAK2</i> (MIM 103390)	728	17416	17416	2	0.00605	0.0643	0.014
<i>BYSL</i> (MIM 603871)	728	1342	1342	2	0.0206	0.000659	1
<i>EIF4G1</i> (MIM 600495)	728	4952	4894	2	0.0622	0.00651	0.0451
<i>FO XK2</i> (MIM 147685)	728	2019	1967	2	0.000246	0.00227	0.0276
<i>GLIS1</i> (MIM 610378)	728	1895	1895	2	0.0119	0.00144	0.0202
<i>KIF14</i> (MIM 611279)	728	5063	5063	2	0.0492	0.00349	1
<i>KIF4A‡</i> (MIM 300521)	388+340	3819	3819	2	0.0381	0.00163	1
<i>KIF4A‡</i>	368+360	3819	3819	2	0.0374	0.00158	1
<i>LRRK1</i> (MIM 610986)	728	6180	6180	2	0.00149	0.0122	0.0026
<i>MUC16*</i> (MIM 606154)	728	43860	15106	0	1	1	1
<i>RGS7</i> (MIM 602617)	728	1611	1611	2	0.0188	0.000561	1
<i>SNRNP200</i> (MIM 601664)	728	6591	6591	2	0.0879	0.0106	1
<i>SYNE2</i> (MIM 608442)	728	21229	21229	2	0.00662	0.0565	0.0097
<i>TDRD5</i> (MIM 614593)	728	3176	3176	2	0.0412	0.00166	1
<i>TTN*</i> (MIM 188840)	728	115883	45104	1	0.113	0.569	0.2782
<i>UGT2B4</i> (MIM 600067)	728	1611	1611	2	0.000145	0.000386	0.0110
<i>USP34</i> (MIM 615295)	728	10961	10961	2	0.00631	0.0168	0.0758

Note: † gene size: size of all exomes (plus splice sites)

† Calculated loci: removed loci with missense mutations which are not annotated by PolyPhen-2.

‡ Gene located in chromosome X, computed twice, assuming all 20 unknown gender samples are females or males.

\* Contain 2 de novo mutations inside transcripts, but some de novo mutations fall into regions not annotated by PolyPhen-2