## Supplemental table S1 Risk of community-acquired pneumonia or pneumococcal disease in patients with chronic respiratory diseases (CRD)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	No. of exposed cases with CRD	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Community-acqui	red pneumonia (all cause)					
Almirall 2008 [16] (Spain)	Population-based, case-control study, Nov 1999–Nov 2000 (1,336 cases)	Antibiotics, clinical and X-ray	Chronic bronchitis	216	>14	Multivariate: 1.81 (1.19– 2.75)
			Asthma	375	>14	Multivariate: 1.67 (1.28– 2.19)
Garcia-Vidal 2009 [17] (Spain)	Prospective, observational study, Jan 1995–Dec 2005 (146 cases, 224 episodes)	Clinical, X-ray, blood culture, urinary tests	COPD	56	Adults	Multivariate: 1.53 (1.02– 2.30)
	Recurrent community-acquired pneumonia: new episode of community-acquired pneumonia requiring hospitalization <1 month or >3 years after first episode					
Gau 2010 [18] (USA)	Retrospective case-control study, 2004–2006 (194 cases)	X-ray	COPD	91	≥65	Adjusted: 1.82 (1.17-2.82)
Jackson 2009 [19] (USA)	Population-based, nested case-control study, influenza seasons and pre-influenza periods of 2000/01 and 2002/03 (1,173 cases)	Clinical and X-ray	Lung disease, no medication or oxygen	6	≥65 65–74 75–84 85	Multivariate: 2.0 (1.5–2.7) 3.1 (1.7–5.7) 2.3 (1.5–3.5) 1.1 (0.5–2.3)
			Lung disease with medication but not oxygen	36	≥65 65–74 75–84 85	2.5 (2.2–3.0) 2.9 (2.3–3.7) 2.8 (2.2–3.6) 1.9 (1.3–2.8)
			Lung disease, using oxygen	9	≥65 65–74 75–84 85	8.3 (5.5–12.7) 8.3 (4.4–15.7) 5.2 (2.7–9.9) 16.1 (3.7–69.9)
Juthani-Mehta 2013 [15] (USA)	Case-control study using a subset of a prospective cohort, 1997–2008 (193 cases)	Hospitalized pneumonia: ICD- 9 criteria, clinical and chest X- ray	Chronic lung disease	49	70–79	Bivariate HR: 1.20 (0.87– 1.66)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	No. of exposed cases with CRD	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Loeb 2009 [20] (Canada)	Population-based, case-control study with collection of personal interview data. Sep 2002–Apr 2005 (717 cases)	Clinical and X-ray	COPD	343	≥65	Adjusted: 13.53 (7.80–23.48)
[ ]( ] ( ] ( ] )			Asthma	168	≥65	3.04 (2.28–4.05)
Mullerova 2012 [21] (UK)	Nested case-control population-based on retrospective COPD cohort, 1996–2005 (1,469 cases)	Diagnosis code	COPD hospitalization (severe exacerbation)		≥45 (COPD)	Multivariate: 2.73 (2.32-3.20)
			Moderate COPD exacerbation		≥45 (COPD)	1.28 (1.13-1.46)
Obiora 2013 [22] (UK)	Nested case-control study using primary care patient database, 2001–2002 (4,964 cases)	Medical records	Lung disease	1,201	Adults	2.74 (2.54–2.96)
Rodriguez 2009	Nested case-control study using the Health Improvement	Pneumonia diagnosis code,	COPD	1,144	20–79	RR: 2.82 (2.45–3.24)
[23] (Sweden)	Network database, 2000–2005 (7,297 cases)	validated by manual review of medical records	Asthma	1,807	20–79	RR: 1.58 (1.44–1.74)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Chronic lung disease		18–49 50–64 ≥65	Rate ratio: 8.6 (8.4–8.7) Rate ratio: 8.6 (8.5–8.7) Rate ratio: 6.6 (6.6–6.7)
			Asthma		18–49 50–64 ≥65	Rate ratio: 3.8 (3.8–3.9) Rate ratio: 4.7 (4.6–4.7) Rate ratio: 4.6 (4.5–4.6)
Vila-Corcoles 2009 [24] (Spain)	Population-based cohort study in community-dwelling individuals, 2002–2005 (473 cases)	Clinical, X-ray, microbiological and diagnosis code in hospital discharge database	Chronic lung disease	171	≥65	Multivariate HR: 2.91 (2.35–3.61)
Vinogradova 2009 [8] (UK)	Nested case-control study in 443 general practices in the UK 1996–2005 (17,172 cases)	Incident diagnosis code	Chronic respiratory disease	4,468 1,493	All ages 5–64	Adjusted: 2.42 (2.31–2.53) Adjusted: 2.31 (2.15–2.48)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	No. of exposed cases with CRD	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Pneumococcal pn	eumonia					
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Chronic lung disease		18–49 50–64 ≥65	Rate ratio: 8.9 (8.1–9.7) Rate ratio: 9.8 (9.3–10.3) Rate ratio: 7.7 (7.3–8.0)
			Asthma		18–49 50–64 ≥65	Rate ratio: 3.7 (3.4–4.0) Rate ratio: 4.9 (4.6–5.2) Rate ratio: 5.9 (5.6–6.3)
Invasive pneumoc	occal disease					
Flory 2009 [25] (USA)	Population-based surveillance study, 2002–2004 (609 cases)	Bacteremia pneumococcal pneumonia: microbiological	Asthma	71	≥18	Adjusted: 2.1 (1.5–2.9)
Inghammar 2013 [13] (Sweden)	Nested case-control study, 1987–2008 (4,084 cases)	Positive culture from a normally sterile fluid	COPD	248 25 147 76	≥18 18–59 60–79 ≥80	Adjusted: 4.7 (4.0–5.6) 10.3 (5.8–18.0) 6.3 (5.1–7.8) 4.0 (3.0–4.8)
			Asthma	71 26 31 14	≥18 18–59 60–79 ≥80	Adjusted: 2.0 (1.5–2.6) 4.9 (3.0–7.8) 1.9 (1.3–2.9) 1.5 (0.9–2.7)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Chronic lung disease		18–49 50–64 ≥65	Rate ratio: 6.3 (4.7–8.5) Rate ratio: 7.7 (6.8–8.8) Rate ratio: 6.2 (5.4–7.0)
			Asthma		18–49 50–64 ≥65	Rate ratio: 2.5 (1.9–3.2) Rate ratio: 3.8 (3.2–4.5) Rate ratio: 4.1 (3.4–5.0)
van Hoek 2012 [12] (UK)	Comparison of prevalence of clinical risk factors in the general population with that in hospitalized patients with invasive pneumococcal disease. 2002–2009 (22.298 cases)	ICD diagnosis code; microbiological	Chronic respiratory disease	938 2,364	16–64 ≥65	16.8 (15.7–18.0) 5.1 (4.8–5.4)
Watt 2007 [26] (USA)	Case-control prospective study, population-based active laboratory surveillance, 1999–2002 (118 cases)	Positive culture from a normally sterile fluid	COPD Asthma	7 8	≥18 ≥18	Univariate: 4.6 (1.3–15.9) Univariate: 1.3 (0.6–2.9)

Grey rows represent cohort studies; white rows represent case-control studies. \*Unless otherwise specified. CI, confidence interval; CRD, chronic respiratory disease; COPD, chronic obstructive pulmonary disease; HR, hazard ratio; ICD, International Classification of Diseases; OR, odds ratio, RR: relative risk.

Supplemental table S2	Risk of communit	y-acquired pneumonia	or pneumococcal diseas	e in individuals who smoke
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Community-acupation     Population-based case-control study (471 cases)     Clinical, X-ray, antibiotics     Passive smoker     Passive ->14     Adjusted: 0.98 (0.68-1.47) -265     Adjusted: 0.98 (0.68-1.47) -265       Almirall 2008     Population-based, case-control study, Nov 1999-Nov     Antibiotics, clinical and X- ray     Ex-smoker     423     >14     1.37 (1.14-1.64)       [16] (Spain)     2000 (1,336 cases)     Antibiotics, clinical and X- ray     Ex-smoker     423     >14     1.37 (1.14-1.64)       Current     365     >14     1.37 (1.14-1.64)     1.34 (1.11-1.62)     1.37 (1.14-1.64)       Smoker     -     1.33 (1.14-1.64)     1.34 (1.11-1.62)     1.37 (1.14-1.64)     1.34 (1.11-1.62)       Smoker     -     1.33 (1.14-1.64)     1.34 (1.11-1.62)     1.34 (1.11-1.62)       Smoker     -     1.38 (1.15-3.06)     1.34 (1.11-1.62)     1.34 (1.11-1.62)       Smoker     -     -     Pack-yrs: 1.35     354     >14     1.22 (0.93-1.61)       Smoker     -     -     -     -     -     -       Smoker     -     -     -     -     -     -
Almirall 2014   Population-based case-control study (471 cases)   Clinical, X-ray, antibiotics   Passive   >14   1.18 (0.90-1.57)     [29] (Spain)   Smoker   >14   1.18 (0.90-1.57)   65   0.98 (0.68-1.41)     Almirall 2008   Population-based, case-control study, Nov 1999–Nov   Antibiotics, clinical and X-   Tunivariate   100-2.45)     [16] (Spain)   Population-based, case-control study, Nov 1999–Nov   Antibiotics, clinical and X-   Tunivariate   1.37 (1.14-1.64)     [16] (Spain)   2000 (1,336 cases)   Antibiotics, clinical and X-   Tay   423   >14   1.37 (1.14-1.64)     Current   365   >14   1.37 (1.14-1.64)   Smoker   Tay   Tunivariate     Passive   143   >14   1.34 (1.11-1.62)   Smoker   Tunivariate     Smoker   Tunivariate   Tunivariate   Tunivariate   Tunivariate     Smoker   Tunivariate   Tunivariate   Tunivariate   Tunivariate     Smoker   Tunivariate   Tunivariate   Tunivariate   Tunivariate     Stack-yrs:   362   >14   1.46 (1.14-1.86)   Tunivariate     Stack-yrs:   362   >
Almirall 2008     Population-based, case-control study, Nov 1999–Nov     Antibiotics, clinical and X- ray     Ex-smoker     423     >14     1.37 (1.14–1.64)       [16] (Spain)     2000 (1,336 cases)     1.34 (1.11–1.62)     Sa65     >14     1.34 (1.11–1.62)       Smoker     Passive     143     >14     1.22 (0.93–1.61)       Smoker     Passive     143     >14     1.22 (0.93–1.61)       Smoker     Passive     354     >14     1.01 (0.81–1.26)       S150     Pack-yrs:     354     >14     1.01 (0.81–1.26)       S150     Pack-yrs:     362     >14     1.46 (1.14–1.86)
[16] (Spain)   2000 (1,336 cases)   ray   Ex-smoker   423   >14   1.37 (1.14–1.64)     [16] (Spain)   2000 (1,336 cases)   ray   Ex-smoker   423   >14   1.37 (1.14–1.64)     [16] (Spain)   2000 (1,336 cases)   ray   Ex-smoker   423   >14   1.37 (1.14–1.64)     [16] (Spain)   2000 (1,336 cases)   ray   Ex-smoker   423   >14   1.37 (1.14–1.64)     [16] (Spain)   Spaine   143   >14   1.22 (0.93–1.61)   smoker   1.37 (1.14–1.62)     [16] (Spain)   Fack-yrs:   354   >14   1.01 (0.81–1.26)   1.36 (1.14–1.86)     [16] (Spain)   Fack-yrs:   354   >14   1.01 (0.81–1.26)   1.50     [16] (Spain)   Spaine   X-ray   Adjusted:   Adjusted:     [USA)   (194 cases)   X-ray   Ex-smoker   60   ≥65   1.88 (1.15–3.06)
Current   365   >14   1.34 (1.11-1.62)     smoker   Passive   143   >14   1.22 (0.93-1.61)     smoker   Passive   143   >14   1.22 (0.93-1.61)     smoker   Pack-yrs:   354   >14   1.01 (0.81-1.26)     ≤150   Pack-yrs:   354   >14   1.01 (0.81-1.26)     ≤150   Pack-yrs:   362   >14   1.46 (1.14-1.86)     >150   Pack-yrs:   362   >14   1.46 (1.14-1.86)     Current   (USA)   (194 cases)   X-ray   Adjusted:
Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   X-ray   143   >14   1.22 (0.93–1.61)     Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   Mathematical   1.01 (0.81–1.26)     Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     (USA)   (194 cases)   1.88 (1.15–3.06)
Gau 2010 [18] (USA)   Retrospective case-control study, 2004–2006   X-ray   X-ray   Multivariate:     Pack-yrs: ≤150   354   >14   1.01 (0.81–1.26)     Sau 2010 [18] (USA)   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     Course the same same same same same same same sam
Gau 2010 [18] (USA)   Retrospective case-control study, 2004–2006   X-ray   Pack-yrs: 354   >14   1.01 (0.81–1.26)     Gau 2010 [18] (USA)   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     Guarante   60   ≥65   1.88 (1.15–3.06)
Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     (USA)   (194 cases)   Ex-smoker   60   ≥65   1.88 (1.15–3.06)
Gau 2010 [18]   Retrospective case-control study, 2004–2006   X-ray   Adjusted:     (USA)   (194 cases)   Ex-smoker   60   ≥65   1.88 (1.15–3.06)     Oursett   20   >05   0.24 (4.00, 4.50)
(USA) (194 cases)   Ex-smoker 60   ≥65 1.88 (1.15–3.06)   Ourset 20   Solution 20
Smoker 28 265 2.34 (1.22–4.50)
Jackson 2009 Population-based, nested case-control study, influenza Clinical and X-ray Former 57 Multivariate:
[19] (USA) seasons and pre-influenza periods of 2000/01 and smoker ≥65 1.3 (1.1–1.5)
2002/03 (1,173 cases) 65–74 1.3 (1.0–1.6)
75–84 1.4 (1.1–1.7)
85 1.6 (1.1–2.3)
Current 9 Multivariate:   smoker ≥65 1.8 (1.4–2.3)   65–74 1.6 (1.1–2.5)   75–04 0.0 (4.5–7.3)
$\begin{array}{ccc} 75-84 & 2.3 & (1.5-3.7) \\ 85 & 2.4 & (1.1-5.1) \end{array}$

Reference (country)	Methodology (N)	Disease definition	Underlying definition	Number of smokers	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Juthani-Mehta 2013 [15] (USA)	Case-control study using a subset of a prospective cohort, 1997-2008 (193 cases)	Hospitalized pneumonia: ICD-9 criteria, clinical and chest X-ray	Current smoker Former smoker Pack-yrs smoking	18 103	70–79 70–79 70–79	Bivariate HR: 1.95 (1.16–3.26) Bivariate HR: 1.68 (1.24–2.27) Multivariate HR: 1.01 (1.00–1.01)
Mullerova 2012 [21] (UK)	Nested case-control population-based on retrospective COPD cohort, 1996–2005 (1,469 cases)	Diagnosis code	Former smoker Current smoker		≥45 (COPD) ≥45 (COPD)	Multivariate: 1.04 (0.90–1.2) 0.99 (0.86–1.14)
Obiora 2013 [22] (UK)	Nested case-control study using primary care patient database, 2001–2002 (4964 cases)	Medical records	Current smoker	1,219	Adults	1.69 (1.57–1.83)
Rodriguez 2009 [23] (Sweden)	Nested case-control study using the Health Improvement Network database, 2000–2005 (7,297 cases)	Pneumonia diagnosis code, validated by manual review of medical records	Smoker Ex-smoker	3,161 1,839	20–79 20–79	RR: 1.52 (1.40–1.65) RR: 1.14 (1.04–1.25)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Smoker		18–49 50–64 ≥65	Rate ratio: 3.3 (3.2–3.3) Rate ratio: 4.0 (3.9–4.0) Rate ratio: 3.6 (3.5–3.6)
Vila-Corcoles 2009 [24] (Spain)	Population-based cohort study in community-dwelling individuals, 2002–2005 (473 cases)	Clinical, X-ray, microbiological and diagnosis code in hospital discharge database	Smoking	58	≥65	Multivariate HR: 1.12 (0.83–1.49)
Pneumococcal pr	eumonia					
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Smoker		18–49 50–64 ≥65	Rate ratio: 3.0 (2.7–3.3) Rate ratio: 4.4 (4.1–4.6) Rate ratio: 3.9 (3.6–4.3)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	Number of smokers	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% CI)*
Invasive pneumo	coccal disease					
Flory 2009 [25] (USA)	Population-based surveillance study, 2002–2004 (609 cases)	Bacteremia pneumococcal pneumonia: microbiological	Current smoker	207	≥18	Adjusted: 2.2 (1.7–3.0)
Jacups 2011 [30] (Australia)	Prospective surveillance cohort study, 1987–1998 (cohort 1); retrospective chart review cohort study, 1999–2008 (cohort 2) (205 cases for cohorts 1+2)	Bacteremic pneumococcal pneumonia: X-ray, microbiological	Smoker	146	≥14	Multivariate adjusted RR: 2.7 (1.9–3.7)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Smoker		18–49	Rate ratio: 3.6 (2.8–4.5)
· · ·					50–64	Rate ratio: 4.3 (3.7–5.0)
					≥65	Rate ratio: 4.2 (3.2–5.5)
Watt 2007 [26] (USA)	Case-control prospective study, population-based active laboratory surveillance, 1999–2002 (118 cases)	Positive culture from a normally sterile fluid	Self- reported:			
		·	Former	21	≥18	Univariate:
			Current	11	≥18	Univariate:
			smoker		. 10	1.1 (0.5–2.3)
			Passive smoker	29	≥18	Univariate: 0.7 (0.4–1.1)

Grey rows represent cohort studies; white rows represent case-control studies. \*Unless otherwise specified. CI, confidence interval; HR, hazard ratio; ICD, International Classification of Diseases; OR, odds ratio, RR: relative risk.

## Supplemental table S3 Risk of community-acquired pneumonia or pneumococcal disease in patients with diabetes mellitus

Reference (country)	Methodology (N)	Disease definition	Underlying definition	Number of cases with comorbidity	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Community-acqu	ired pneumonia					
Almirall 2008 [16] (Spain)	Population-based, case-control study, Nov 1999–Nov 2000 (1,336 cases)	Antibiotics, clinical and X- ray	Treated diabetes mellitus	135	>14	Univariate: 1.43 (1.11–1.92)
Ehrlich 2010 [31] (USA)	Retrospective, longitudinal cohort study using Kaiser Permanente Medical Care Program database, including subcohort of survey responders (70,645 patients with diabetes)	ICD-9 diagnosis code	Diabetes		≥18	Multivariate HR: 1.92 (1.84–1.99)
Jackson 2009 [19] (USA)	Population-based, nested case-control study, influenza seasons and pre-influenza periods of 2000/01 and 2002/03 (1,173 cases)	Clinical and X-ray	Diabetes without insulin	6	≥65	Univariate, adjusted: 1.0 (0.8–1.3)
			insulin	11	200	1.3 (1.0–1.5)
Juthani-Mehta 2013 [15] (USA)	Case-control study using a subset of a prospective cohort, 1997–2008 (193 cases)	Hospitalized pneumonia: ICD-9 criteria, clinical and chest X-ray	Diabetes mellitus	56	70–79	Bivariate HR: 1.05 (0.77–1.43)
Kornum 2008 [32] (Denmark)	Population-based, case-control study, 1997–2005 (34,239 cases)	Hospitalized pneumonia: ICD discharge codes	Diabetes (overall)	4,489	≥15 15–39 40–64 65–79 ≥80	Adjusted: RR: 1.26 (1.21–1.31) RR: 3.21 (2.51–4.12) RR: 1.65 (1.51–1.81) RR: 1.22 (1.15–1.29) RR: 1.11 (1.05–1.18)
			Type 1 diabetes	101	≥15 15–39 40–64	RR: 4.43 (3.40–5.77) RR: 5.15 (3.61–7.36) RR: 3.43 (2.14–5.50)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	Number of cases with comorbidity	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% CI)*
Kornum 2008 (cont'd)			Type 2 diabetes	4,388	≥15 15–39 40–64 65–79 ≥80	RR: 1.23 (1.19–1.28) RR: 2.15 (1.51–3.06) RR: 1.62 (1.47–1.77) RR: 1.22 (1.15–1.29) RR: 1.11 (1.05–1.18)
			Diabetes (types 1 & 2) A1C level	1,149	≥15	RR: 1.22 (1.14–1.30)
			<7% A1C level >9%	568	≥15	RR: 1.60 (1.44–1.76)
			Duration ≥10 years	1,224	≥15	RR: 1.37 (1.28–1.47)
Mullerova 2012 [21] (UK)	Nested case-control population-based on retrospective COPD cohort, 1996–2005 (1,469 cases)	Diagnosis code	Diabetes		≥45 (COPD)	Multivariate: 1.07 (0.89–1.28)
Rodriguez 2009 [23] (Sweden)	Nested case-control study using the Health Improvement Network database, 2000–2005 (7,297 cases)	Pneumonia diagnosis code, validated by manual review of medical records	Diabetes	792	20–79	RR: 1.28 (1.13–1.44)
Seminog 2013 [14] (UK)	Retrospective cohort study of individuals hospitalized with diabetes mellitus, using two data sets of linked	Lobar pneumonia: ICD diagnosis codes	Diabetes mellitus	2007–2011 (England):		
	hospital admission and death records (Oxford Record Linkage Study [1963–1998] and all-England linked			2,287	<60	Rate ratio: 2.34 (2.23–2.47)
	nospital episode statistics [1999–2011])			15,040	200	1.61 (1.58–1.65)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Diabetes		18–49	Rate ratio: 3.1 (3.1–3.2)
					50–64	Rate ratio: 3.0 (3.0–3.0)
					≥65	Rate ratio: 2.8 (2.8–2.8)
Skull 2009 [33] (Australia)	Case-cohort study (1,952 cases)	Hospitalized community- acquired pneumonia: diagnosis code, X-ray	Diabetes		≥65	Multivariate: RR: 1.22 (1.05–1.42)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	Number of cases with comorbidity	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Vila-Corcoles 2009 [24] (Spain)	Population-based cohort study in community-dwelling individuals, 2002–2005 (473 cases)	Clinical, X-ray, microbiological and diagnosis code in hospital discharge database	Diabetes mellitus	120	≥65	Multivariate HR: 1.04 (0.85–1.29)
Vinogradova 2009 [8] (UK)	Nested case-control study in 443 general practices in the UK 1996–2005 (17,172 cases)	Incident diagnosis code	Diabetes	1,344 264	All ages 5–64	Adjusted: 1.36 (1.27–1.47) Adjusted: 1.74 (1.49–2.02)
Pneumococcal pr	neumonia					
Jacups 2011 [30] (Australia)	Prospective surveillance cohort study, 1987–1998 (cohort 1); retrospective chart review cohort study, 1999–2008 (cohort 2) (205 cases for cohorts 1+2)	Bacteremic pneumococcal pneumonia: X-ray, microbiological	Diabetes	44	≥14	Multivariate, adjusted: RR: 2.3 (1.6–3.3)
Seminog 2013 [14] (UK)	Retrospective cohort study of individuals hospitalized with diabetes mellitus, using two data sets of linked	ICD diagnosis codes	Diabetes mellitus	2007–2011 (England):		
	hospital admission and death records (Oxford Record Linkage Study [1963–2011] and all-England linked			82	<60	Rate ratio: 2.03 (1.55–2.65)
	hospital episode statistics [1999–2011])			327	≥60	Rate ratio: 1.54 (1.32–1.79)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Diabetes		18–49	Rate ratio: 3.1 (2.9–3.3)
()					50–64	Rate ratio: 3.0 (2.8–3.1)
					≥65	Rate ratio: 2.8 (2.7–2.9)
Invasive pneumo	coccal disease					
Flory 2009 [25] (USA)	Population-based surveillance study, 2002–2004 (609 cases)	Bacteremia pneumococcal pneumonia: microbiological	Diabetes mellitus	65	≥18	Adjusted: 1.4 (1.0–2.0)

Reference (country)	Methodology (N)	Disease definition	Underlying definition	Number of cases with comorbidity	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Inghammar 2013 [13] (Sweden)	Nested case-control study, 1987–2008 (4084 cases)	Positive culture from a normally sterile fluid	Diabetes mellitus	298	≥18	Multivariate: 1.7 (1.5–1.9)
Seminog 2013 [14] (UK)	Retrospective cohort study of individuals hospitalized with diabetes mellitus, using two data sets of linked hospital admission and death records (Oxford Record Linkage Study [1963–1998] and all-England linked hospital episode statistics [1999–2011])	Pneumococcal septicemia and meningitis: ICD diagnosis codes	Diabetes mellitus	2007–2011 (England): 33 93	<60 ≥60	Rate ratio: 2.06 (1.33–3.14) Rate ratio: 1.50 (1.12–2.01)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Diabetes		18–49 50–64 ≥65	Rate ratio: 3.0 (2.4–3.7) Rate ratio: 2.6 (2.3–2.9) Rate ratio: 2.5 (2.2–2.9)
van Hoek 2012 [12] (UK)	Comparison of prevalence of clinical risk factors in the general population with that in hospitalized patients with invasive pneumococcal disease, 2002–2009 (22,298 cases)	ICD diagnosis code; microbiological	Diabetes	703 1,495	16–64 ≥65	4.6 (4.2–5.0) 2.3 (2.2–2.5)
Watt 2007 (USA) [26]	Case-control prospective study, population-based active laboratory surveillance, 1999–2002 (118 cases)	Positive culture from a normally sterile fluid	Diabetes mellitus	37	≥18 ≥18 ≥18 (chronic renal failure)	Univariate: 1.7 (1.0–2.9) Multivariate: 1.5 (0.8–2.6) Multivariate: 5.8 (2.3–14.8)
Wotton 2012 [34] (UK)	Retrospective cohort study of individuals hospitalized with immune-mediated diseases, using two data sets of linked hospital admission and death records (Oxford Record Linkage Study [ORLS1: 1963–1998; ORLS2: 1999–2008] and all-England linked hospital episode statistics [1999–2008])	ICD diagnostic codes	Diabetes mellitus (since <30 years of age)		All ages (ORLS2) All ages (England)	Rate ratio: 3.30 (2.07–5.07) Rate ratio: 3.90 (3.55–4.28)

Grey rows represent cohort studies; white rows represent case-control studies. \*Unless otherwise specified. A1C, glycated hemoglobin; CI, confidence interval; ChI, Charlson index; HR, hazard ratio; ICD, International Classification of Diseases; OR, odds ratio, RR: relative risk.

## Supplemental table S4 Risk of community-acquired pneumonia or pneumococcal disease in patients with chronic heart disease

Reference (country)	Methodology	Disease definition	Underlying definition	Number of exposed cases	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Community-acc	juired pneumonia					
Almirall 2008 [16] (Spain)	Population-based, case-control study, Nov 1999–Nov 2000 (1,336 cases)	Antibiotics, clinical and X- ray	CHF	114	>14	Univariate: 1.81 (1.33–2.49)
			Heart valve disease	59	>14	Univariate: 1.70 (1.11–2.61)
			Coronary artery disease	80	>14	Univariate: 1.05 (0.76–1.45)
Gau 2010 [18] (USA)	Retrospective case-control study, 2004–2006 (194 cases)	X-ray	CHF	63	≥65	Adjusted: 1.60 (1.04–2.46)
			Coronary artery disease	77	≥65	Adjusted: 1.40 (0.94–2.08)
Jackson 2009	Population-based, nested case-control study, influenza	Clinical and X-ray				Multivariate:
[19] (USA)	seasons and pre-influenza periods of 2000/01 and 2002/03 (1,173 cases)		Non-CHF heart disease	32	≥65 65–74 75–84 85	1.2 (1.1–1.4) 1.2 (1.0–1.6) 1.1 (0.9–1.4) 1.5 (1.0–2.3)
			Mild CHF	10	≥65 65–74 75–84 85	1.9 (1.5–2.5) 2.3 (1.4–4.0) 3.2 (2.2–4.8) 1.1 (0.6–1.8)
			Severe CHF	8	≥65 65–74 75–84 85	3.3 (2.3–4.7) 2.8 (1.5–5.4) 3.5 (2.1–5.8) 2.7 (1.1–6.6)
Juthani- Mehta 2013 [15] (USA)	Case-control study using a subset of a prospective cohort, 1997–2008 (193 cases)	Hospitalized pneumonia: ICD-9 criteria, clinical and chest X-ray	Coronary heart disease	70	70–79	Bivariate HR: 1.25 (0.93–1.68)

Reference (country)	Methodology	Disease definition	Underlying definition	Number of exposed cases	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Mor 2013 [10] (Denmark)	Population-based case-control study using healthcare databases in northern Denmark, 1994–2008 (67,162 cases)	Hospitalized pneumonia (including hospital- acquired pneumonia): ICD diagnosis code	Overall CHF Cardio- myopathy Heart valve	12,339 371 703	≥15 ≥15 ≥15	Adjusted: 1.81 (1.76–1.86) 2.05 (1.80–2.33) 2.19 (2.00–2.40)
			disease Acute myocardial infarction	2,704	≥15	1.69 (1.59–1.80)
			Atrial fibrillation	5,713	≥15	1.72 (1.66–1.78)
			Others	2,848	≥15	1.99 (1.89–2.09)
Mullerova 2012 [21] (UK)	Nested case-control population-based on retrospective COPD cohort, 1996–2005 (1,469 cases)	Diagnosis code	Myocardial infarction		≥45 (COPD)	Multivariate: 1.0 (0.85–1.19)
			CHF		≥45 (COPD)	1.37 (1.20–1.57)
Nyboe 2014 [11] (Denmark)	Nationwide cohort study in adults with closed versus unclosed atrial septal defect using Danish National Patient Registry, 1997–2009 (240 cases)	Diagnosis code	Atrial septal defect:			Adjusted HR:
			Closed Unclosed	161 79	≥18 ≥18	2.8 (2.3–3.5) 3.1 (2.4–4.0)
Obiora 2013 [22] (UK)	Nested case-control study using primary care patient database, 2001–2002 (4,964 cases)	Medical records	Myocardial infarction	630	Adults	1.36 (1.23–1.50)
Rodriguez 2009 [23] (Sweden)	Nested case-control study using the Health Improvement Network database, 2000–2005 (7,297 cases)	Pneumonia diagnosis code, validated by manual review of medical records	Ischemic heart	1,244	20–79	RR: 1.28 (1.16–1.42)
			Myocardial	512	20–79	RR: 1.42 (1.22–1.65)
			CHF	533	20–79	RR: 2.63 (2.21–3.14)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Chronic beart		18–49	Rate ratio: $4 \Rightarrow (4 \Rightarrow 5 0)$
			disease		50–64	Rate ratio:
					≥65	4.3 (4.2–4.3) Rate ratio: 3.8 (3.8–3.8)

Reference (country)	Methodology	Disease definition	Underlying definition	Number of exposed cases	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% CI)*
Vila-Corcoles 2009 [24] (Spain)	Population-based cohort study in community-dwelling individuals, 2002–2005 (473 cases)	Clinical, X-ray, microbiological and diagnosis code in hospital discharge database	Chronic heart disease	99	≥65	Multivariate HR: 1.46 (1.16–1.84)
Vinogradova 2009 [8] (UK)	Nested case-control study in 443 general practices in the UK 1996–2005 (17,172 cases)	Incident diagnostic code	Chronic heart disease	3,239 321	All ages 5–64	Adjusted: 1.63 (1.54–1.72) Adjusted: 2.05 (1.78–2.37)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Chronic heart disease		18–49 50–64 ≥65	Rate ratio: 5.1 (4.6–5.5) Rate ratio: 4.2 (4.0–4.4) Rate ratio: 3.8 (3.6–3.9)
Invasive pneum	nococcal disease					
Inghammar 2013 [13] (Sweden)	Nested case-control study, 1987–2008 (4,084 cases)	Positive culture from a normally sterile fluid	CHF	333	≥18	Multivariate: 1.7 (1.4–1.9)
Shea 2014 [7] (USA)	Retrospective cohort study using three healthcare claims repositories, 2006–2010	Diagnosis/drug codes	Chronic heart disease		18–49 50–64 ≥65	Rate ratio: 3.9 (3.0–5.1) Rate ratio: 2.9 (2.6–3.3) Rate ratio: 3.2 (2.8–3.6)
van Hoek 2012 [12] (UK)	Comparison of prevalence of clinical risk factors in the general population with that in hospitalized patients with invasive pneumococcal disease, 2002–2009 (22,298 cases)	ICD diagnosis code; microbiological	Chronic heart disease	1,213 4,841	16–64 ≥65	6.9 (6.5–7.4) 3.0 (2.9–3.1)

Reference (country)	Methodology	Disease definition	Underlying definition	Number of exposed cases	Population age (years) (other predisposing condition)	Fold risk elevation OR (95% Cl)*
Watt 2007 [26] (USA)	Case-control prospective study, population-based active laboratory surveillance, 1999–2002 (118 cases)	Positive culture from a normally sterile fluid	CHF	26	≥18	Univariate: 9.9 (4.3–23.0)
			History of myocardial infarction	7	≥18	Univariate: 2.6 (0.9–7.5)
			Coronary artery disease	15	≥18	Univariate: 2.9 (1.3–6.2)

Grey rows represent cohort studies; white rows represent case-control studies. \*Unless otherwise specified. CI, confidence interval; HR, hazard ratio; CHF, congestive heart failure; ICD, International Classification of Diseases; OR, odds ratio, RR: relative risk.