1	
2	
3 4	Risk and contributing factors of ecosystem shifts over naturally vegetated land under climate change in China
5	Yuanyuan Yin ¹ Qiuhong Tang ^{1*} Lixin Wang ² Xingcai Liu ¹
6 7	1 Key Laboratory of Water Cycle and Related Land Surface Processes, Institute of Geographic Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing 100101, China
8 9	2 Department of Earth Sciences, Indiana University-Purdue University Indianapolis (IUPUI), Indianapolis IN 46202, USA
10	*Corresponding author email: tangqh@igsnrr.ac.cn
11	
12	Contents of this file
13 14 15	Figures S1 to S6 Tables S1 to S6 References
16	
17	Introduction

This file contains the Supplemental Figure Captions and Table Captions. The references used in those captions have been listed in Reference. 19 20

21 **Supplemental Figures**





24 Figure S1 Components (local change, global importance, and balance) of the calculated 25 metric Γ for carbon fluxes (left column), carbon stocks (center column) and water fluxes 26 (right column) at the end of the 21^{st} century under RCP 2.6. Local change (c), global importance (g), and balance (b) are shown at the top, middle and bottom rows, 27 28 respectively. We generate the maps and integrate them into Figure S1 using ArcGIS 29 software.

30

23



31

Figure S2 Components (local change, global importance, and balance) of the calculated metric Γ for carbon fluxes (left column), carbon stocks (center column) and water fluxes (right column) at the end of the 21st century under RCP 4.5. Local change (*c*), global importance (*g*), and balance (*b*) are shown at the top, middle and bottom rows, respectively. We generate the maps and integrate them into Figure S2 using ArcGIS software.



38

Figure S3 Components (local change, global importance, and balance) of the calculated metric Γ for carbon fluxes (left column), carbon stocks (center column) and water fluxes (right column) at the end of the 21st century under RCP 6.0. Local change (c), global importance (g), and balance (b) are shown at the top, middle and bottom rows, respectively. We generate the maps and integrate them into Figure S3 using ArcGIS software.



Figure S4 Fraction of severe risk estimates (FSR) at the end of the 21^{st} century under four RCPs. The FSR was calculated as the number of the GCM-GGVM pairs showing severe risk ($\Gamma \ge 0.3$) divided by the total number of the GCM-GGVM pairs to show the model consistency in supporting a severe risk. We generate the maps and integrate them into Figure S4 using ArcGIS software.

51

45



Figure S5 Average annual NPP derived from MODIS (a) and the median of the average

annual NPP of GCM-GGVMs (b) during 2000-2005. We generate the maps and integrate them into Figure S5 using ArcGIS software.



57

58 Figure S6 The positions of the five selected GCMs in the space of relative changes in 59 annual precipitation (ΔP) and changes in annual temperature (ΔT) in China between the 60 end of the century (2071-2100) and present-day (1971-2000) from 30 GCMs. The 30 61 GCMs are bcc-csm1-1, bcc-csm1-1-m, CanESM2, CCSM4, CESM1-BGC, CESM1-CAM5, CMCC-CESM, CMCC-CM, CMCC-CMS, CNRM-CM5, CSIRO-Mk3-6-0, 62 63 GFDL-ESM2G, GFDL-ESM2M, GISS-E2-H, GISS-E2-H-CC, GISS-E2-R, GISS-E2-R-CC, inmcm4, IPSL-CM5A-LR, IPSL-CM5A-MR, IPSL-CM5B-LR, MIROC5, MIROC-64 65 ESM, MIROC-ESM-CHEM, MPI-ESM-LR, MPI-ESM-MR, MRI-CGCM3, NorESM1-M, NorESM1-ME, and HadGEM2-ES. We generate the map using MATLAB software. 66

Supplemental Tables

Table S1 Variable subsets used for analysis

Subset	Variables
Carbon fluxes	Net primary production (NPP); fire carbon
Carbon stocks	Carbon contained in vegetation and soil
Water fluxes	Transpiration; evaporation; runoff
All	Carbon fluxes; carbon stocks; water fluxes; soil water content (SWC)

Table S2 Relative change of variables in different eco-regions at the end of the 21st century (2071-2099) compared with the historical period (1981-2010) under RCP 8.5 (%)

			(/				
Zana	Carbor	n stocks	Carbon fl	uxes		SWC		
Zone	Carbon_soil	Carbon_veg	Fire carbon	NPP	Runoff	Evaporation	Transpiration	SWC
Zone I	28.08	11.6	10.74	42.74	3.24	-21.47	18.35	-8.89
Zone II	15.88	76.53	76.26	43.28	33.29	-18.26	15.08	-8.98
ZoneIII	17.49	42.73	123.88	67.43	15.58	-6.37	31.54	-0.88
ZoneIV	24.29	56.04	6.09	43.29	28.93	-9.41	15.41	-8.83
Zone V	37.69	103.2	82.16	97.28	-1.04	-32.14	42.08	-3.71
ZoneVI	9.98	57.9	30.37	53.42	-8.44	-33.62	2.85	-3.95

Table S3 Overview of the GCMs and GGVMs

	Name	Institute	References
	HadGEM2-ES	Met Office Hadley Centre	Jones et al. ¹
	IPSL-CM5A-LR	Institute Pierre-Simon Laplace	Mignot et al. ²
GCMs	MIROC-ESM-CHEM	Japan Agency for Marine-Earth Science and Technology, Atmosphere and Ocean Research Institute (The University of Tokyo), and National Institute for Environmental Studies	Watanabe et al. ³
	GFDL-ESM2M	Geophysical Fluid Dynamics Laboratory	Dunne et al. ^{4,5}
	NorESM1-M	Norwegian Climate Centre	Bentsen et al. ⁶ ; Iversen et al. ⁷
GGVMs	JeDi	Max-Planck-Institut für Biogeochemie (Germany)	Pavlick et al. ⁸
	JULES	Centre for Ecology and Hydrology (UK); Met Office Hadley	Best et al. ⁹ ;

	Centre (UK); University of Exeter (UK)	Clark et al. ¹⁰
I DImI	DIV (Germany)	<i>Rost et al.</i> ¹¹ ;
	Tik (Germany)	Bondeau et al. ¹²
VISIT	National Institute for Environmental Studies (Japan)	Inatomi et al. ¹³

Table S4 Variables reported by each GGVM, which were included in the calculation of Γ

	NPP	Fire Carbon	Carbon_veg	Carbon_soil	Transpiration	Evaporation	Runoff	SWC
JeDi							\checkmark	\checkmark
JULES							\checkmark	\checkmark
LPJmL	\checkmark							\checkmark
VISIT	\checkmark							

77 Note: NPP, Carbon_veg, Carbon_soil and SWC are short for Net Primary Production, Carbon contained in vegetation, Carbon contained in soil and soil water content respectively.

Table S5 Combinations of GCMs, GGVMs and RCPs used in the full model ensembles

gfdl-esm2m			hadgem2-es			ipsl-cm5a			miroc-esm-chem			noresm1-m								
RCP	8.5	6.0	4.5	2.6	8.5	6.0	4.5	2.6	8.5	6.0	4.5	2.6	8.5	6.0	4.5	2.6	8.5	6.0	4.5	2.6
JeDi					\checkmark	\checkmark	\checkmark		\checkmark					\checkmark				\checkmark		
JULES					\checkmark	\checkmark	\checkmark							\checkmark				\checkmark		
LPJmL					\checkmark	\checkmark	\checkmark		\checkmark					\checkmark				\checkmark		\checkmark
VISIT																				

Table S6 Eco-regions and climatic indices¹⁴

		Typical climatic indices					
Serial	Eco-regions	Days of accumulated temperature >10 °C	Aridity index				
Ι	Cold temperate humid region	<170	<1.5				
II	Temperate humid/sub-humid region	100-220	1.5-4				
III	Northwest arid region	100-220	>4				
IV	Warm temperate humid/ sub-humid region	171-220	<1.5				
V	Tibetan Plateau region	<180					
VI	Tropical and sub-tropical humid region	>221	<1				

82 **References**

- 83 [1] Jones, C. D. et al. The HadGEM2-ES implementation of CMIP5 centennial simulations. *Geosci.*
- 84 *Model Dev.* **4**, 543-570 (2011).
- Mignot, J., & Bony, S. Presentation and analysis of the IPSL and CNRM climate models used in CMIP5. *Clim. Dynam.* 40, 2089, doi: 10.1007/s00382-013-1720-1 (2013).
- [3] Watanabe, S. et al. MIROC-ESM 2010: model description and basic results of CMIP5-20c3m
 experiments. *Geosci. Model Dev.* 4, 845-872 (2011).
- [4] Dunne, J. P. et al. GFDL's ESM2 global coupled climate-carbon earth system models, part 1: physical formulation and baseline simulation characteristics. J. Climate. 25, 6646-6665 (2012).
- 91 [5] Dunne, J. P. et al. GFDL's ESM2 global coupled climate–carbon earth system models, part 2: 92 carbon system formulation and baseline simulation characteristics. *J. Climate.* **26**, 2247-2267 (2013).
- 93 [6] Bentsen, M. et al. The Norwegian Earth System Model, NorESM1-M part 1: description and basic evaluation of the physical climate. *Geosci. Model Dev.* 6, 687-720 (2013).
- 95 [7] Iversen, T. et al. The Norwegian Earth System Model, NorESM1-M part 2: climate response and scenario projections. *Geosci. Model Dev.* 6, 389-415 (2013).
- Pavlick, R., Drewry, D. T., Bohn, K., Reu, B., & Kleidon, A. The Jena Diversity-Dynamic Global
 Vegetation Model (JeDi-DGVM): a diverse approach to representing terrestrial biogeography and
 biogeochemistry based on plant functional trade-offs. *Biogeosciences* 10, 4137-4177 (2013).
- 100 [9] Best, M. J. et al. The Joint UK Land Environment Simulator (JULES), model description part 1: 101 energy and water fluxes. *Geosci. Model Dev.* **4**, 677-699 (2011).
- 102 [10] Clark, D. B. et al. The Joint UK Land Environment Simulator (JULES), model description part 2: carbon fluxes and vegetation. *Geosci. Model Dev.* **4**, 701-722 (2011).
- 104 [11] Rost, S. et al. Agricultural green and blue water consumption and its influence on the global water 105 system. *Water Resour. Res.* 44, 1-17 (2008).
- 106 [12] Bondeau, A. et al. Modelling the role of agriculture for the 20th century global terrestrial carbon 107 balance. *Global Change Biol.* **13**, 679-706 (2007).
- Inatomi, M., Ito, A., Ishijima, K., & Murayama, S. Greenhouse gas budget of a cool-temperate deciduous broad-leaved forest in Japan estimated using a process-based model. *Ecosystems* 13, 472-483 (2010).
- 111 [14] Zheng, D. A study on the eco-geographic regional system of China. Food Agricultural 112 Organization (FAO), FRA 2000: Global ecological zones mapping. Cambridge, United Kingdom (1999).